



INTERNATIONAL ATOMIC ENERGY AGENCY
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS



SMR/754 - 8

**WORKSHOP ON
SCIENCE AND TECHNOLOGY OF THIN FILMS**

(7 - 25 March 1994)

" Processing perspective "
&
" Formation of a new form of carbon 'amorphous diamond' "
&
" A view of some wide bandgap semiconductors "

presented by:

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These are preliminary lecture notes, intended only for distribution to participants.

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• Processing

Perspective

• Formation of a New form
of Carbon

"Amorphous Diamond"

• A View of Some

Wide Bandgap Semiconductors

General Outline

Introduction

Brief View of Where We Came From:

- Evaporation Processes
- Diode Sputter Deposition
- Magnetrons

Where We Are:

- Evaporation/Plasma/Ion Beam Assist.
- Magnetron
- Enhanced Magnetron
- Ion Beam Deposition

Where We Might Be Headed:

- Enhanced Plasma Processes
- Directed Beam
Energetic Condensation

Processes Present and Future

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IBM

"The Material
is
The Process"

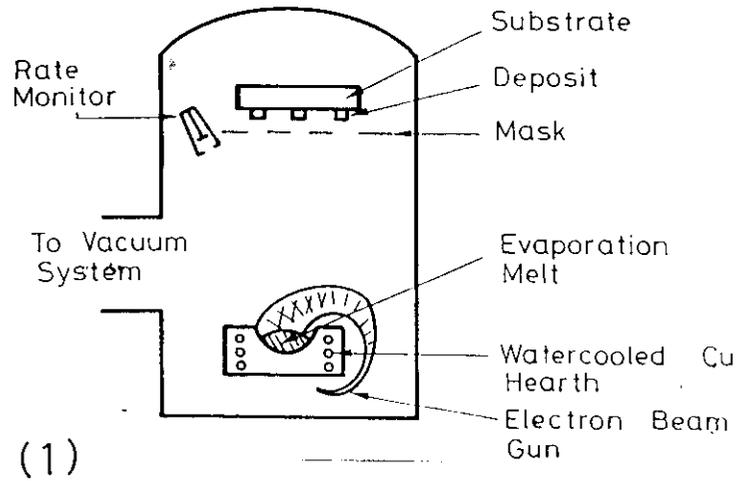
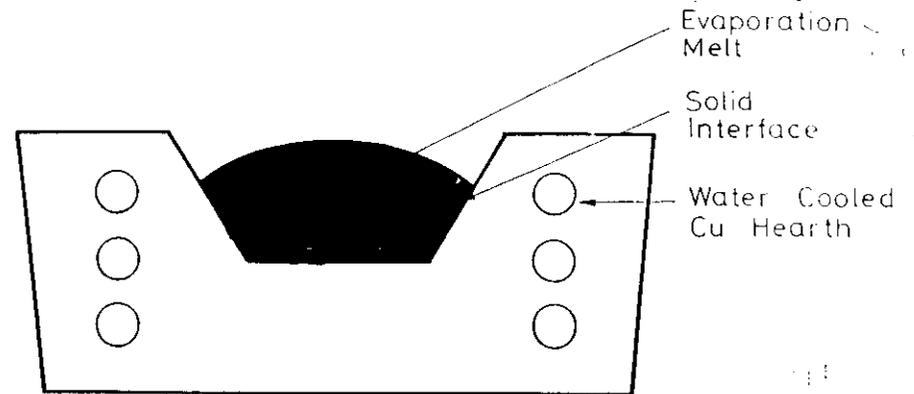


Figure 1.

Schematic of the electron beam evaporation process.



(2)

Figure 2.

Shows a water cooled copper hearth and the melt
hearth interface.

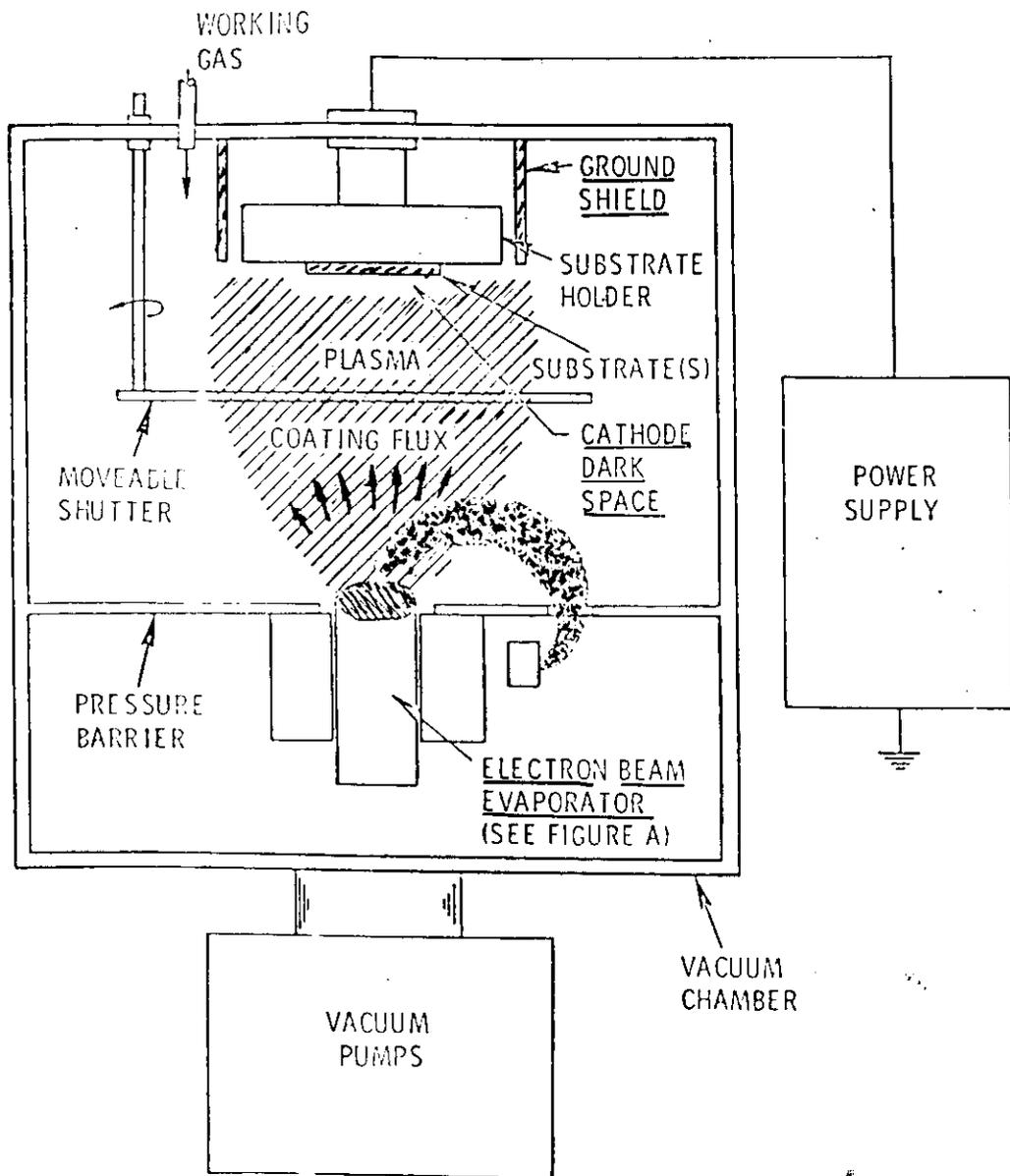


Figure 2. Ion Plating Process.

ION PLATING

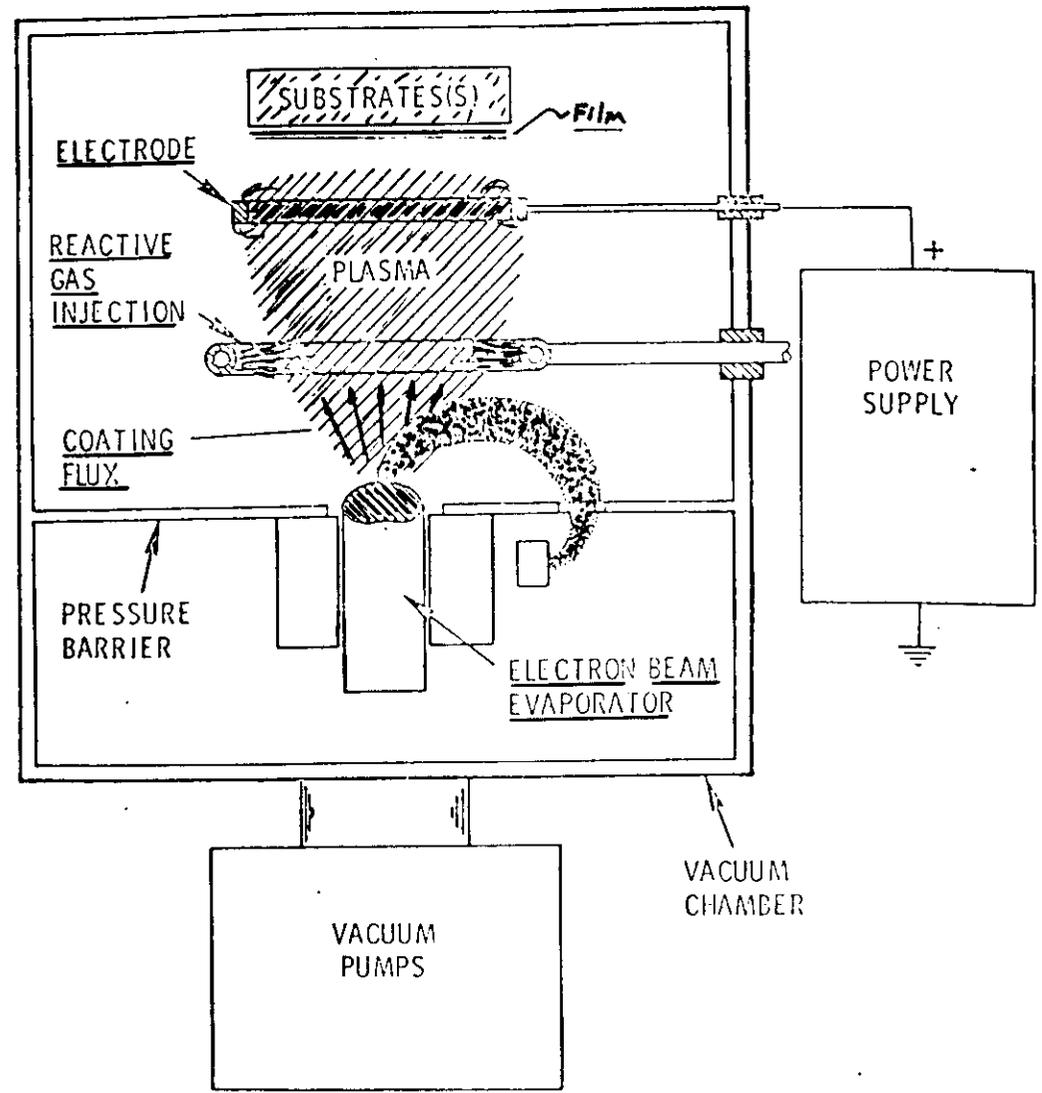


Figure 6. Schematic of the Activated Reactive Evaporation Process.

ACTIVATED REACTIVE EVAPORATION (2)

GLOW DISCHARGE ION SOURCE

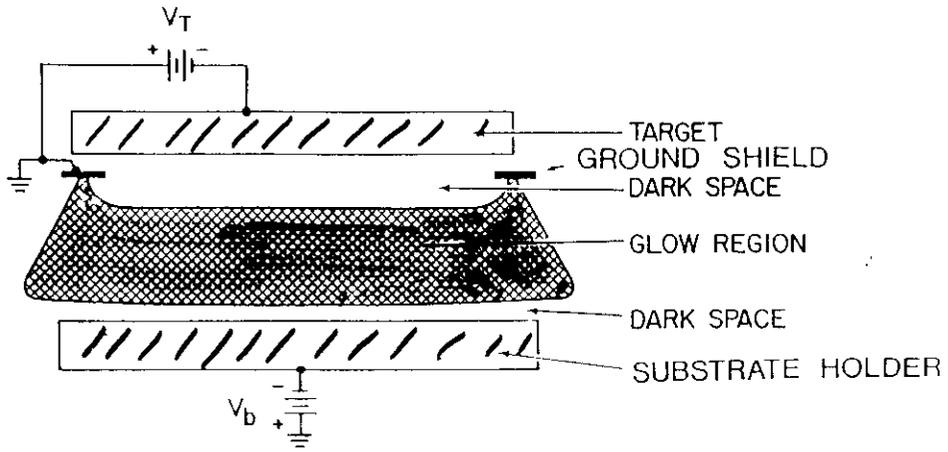


Figure 12b.

Schematic of a glow discharge as an ion source showing the target, dark space, glow region and an anode dark space when negative bias is applied to the anode.

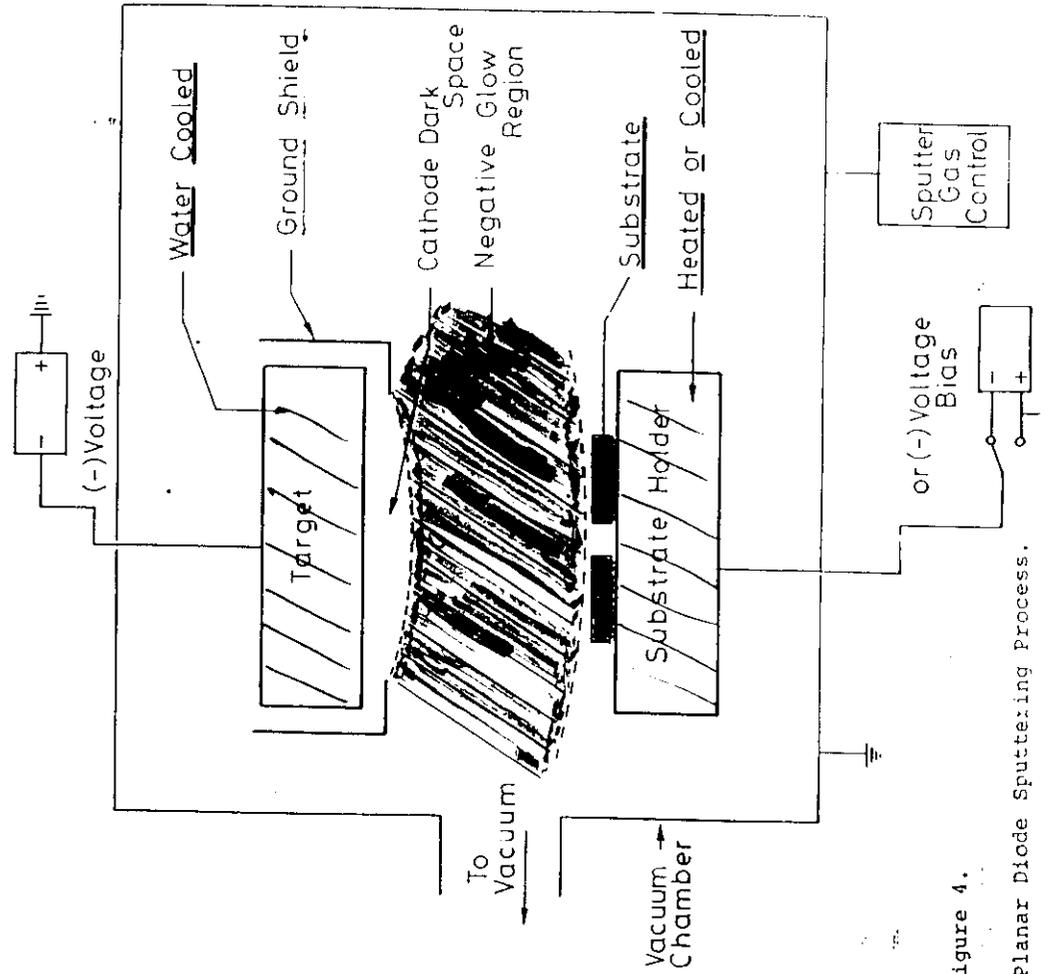


Figure 4.

Diagram of the Planar Diode Sputtering Process.

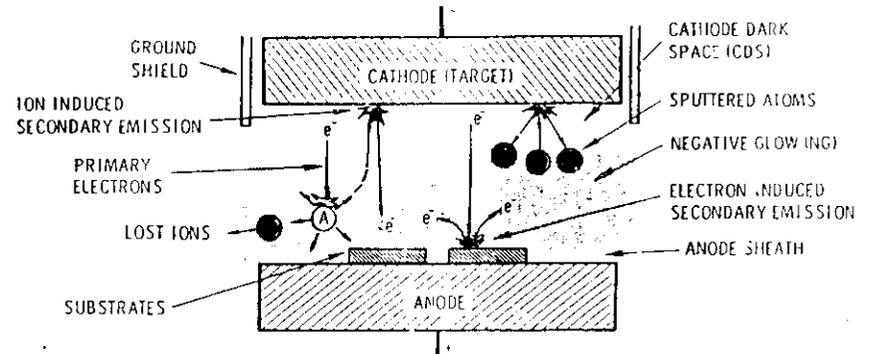
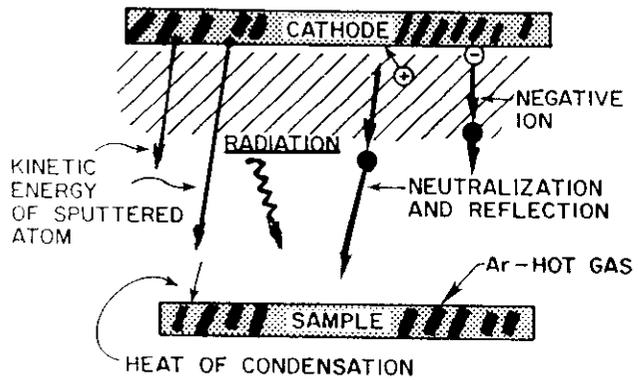


FIG. 9. Schematic representation of the plasma in a planar diode sputtering source.

Rossnager

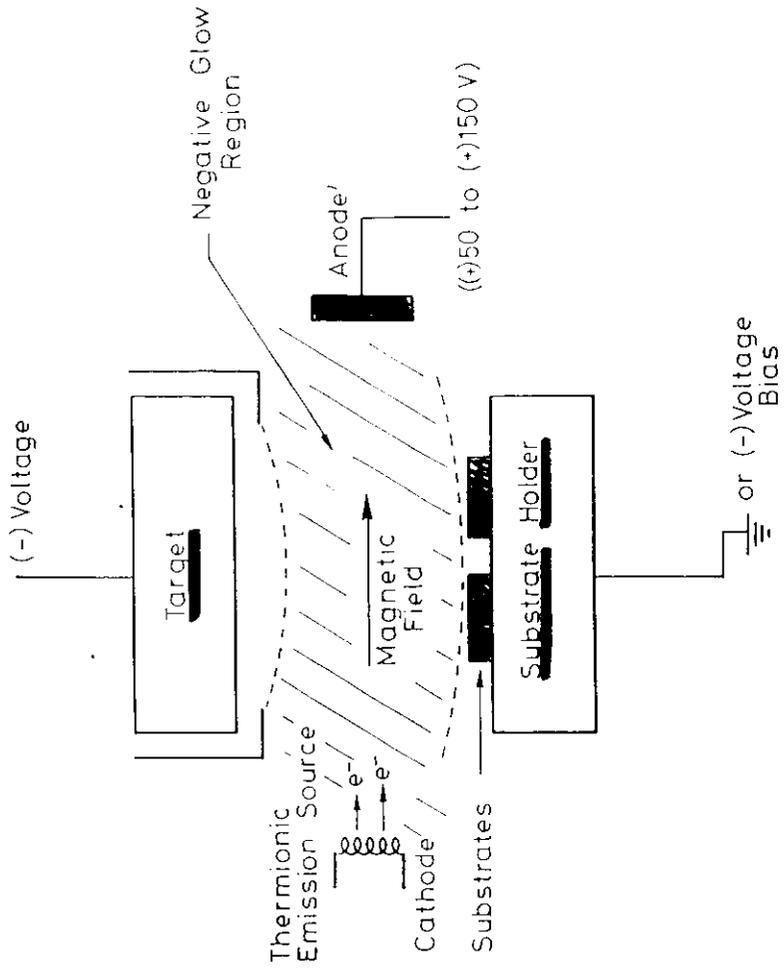


Figure 5.
Schematic of the Thermionic Assisted (triode) Sputtering Process.

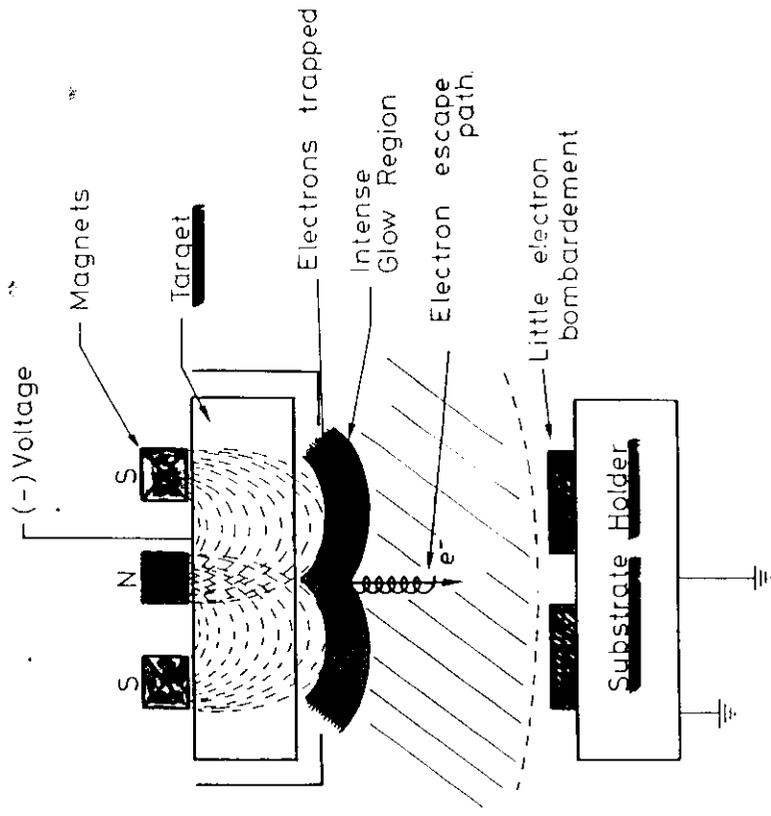


Figure 6.
Schematic of the Planar Magnetron Process.

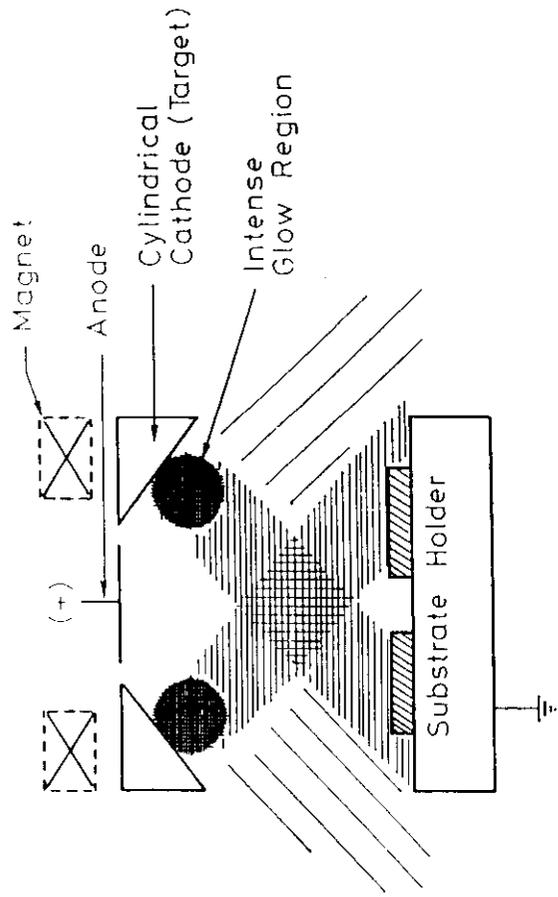
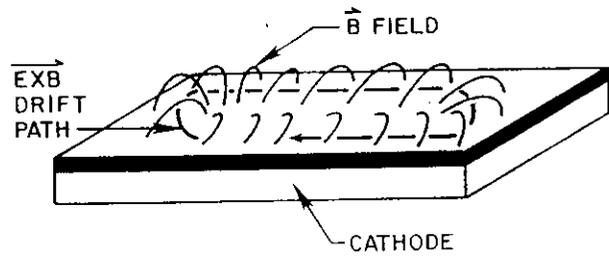
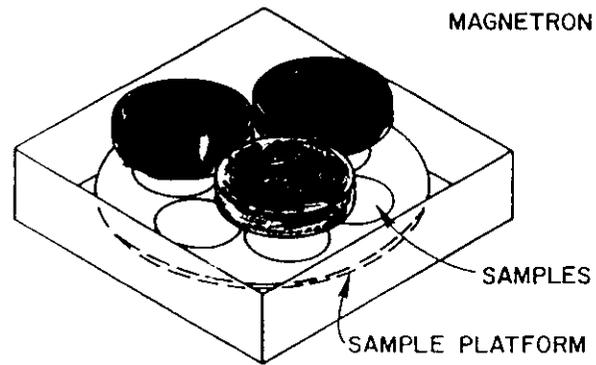


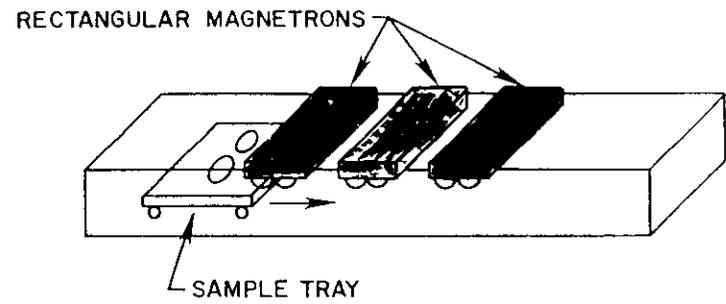
Figure 7.
Schematic of the Sputter Gun Process.



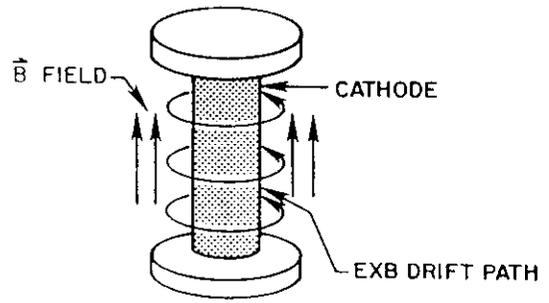
Rössner



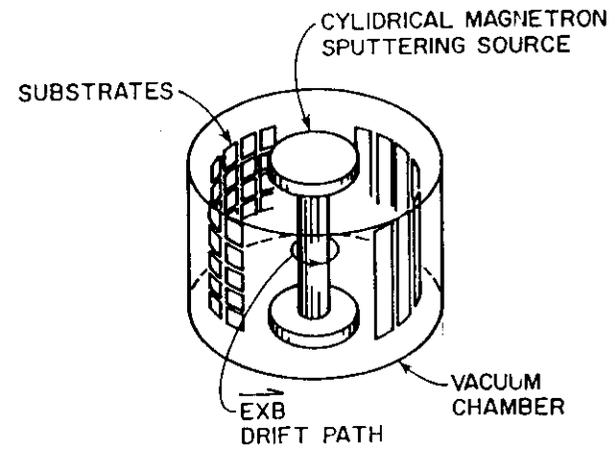
Rossnagel



Rossnagel



Rossmag



*Rossmag
Hamilton*

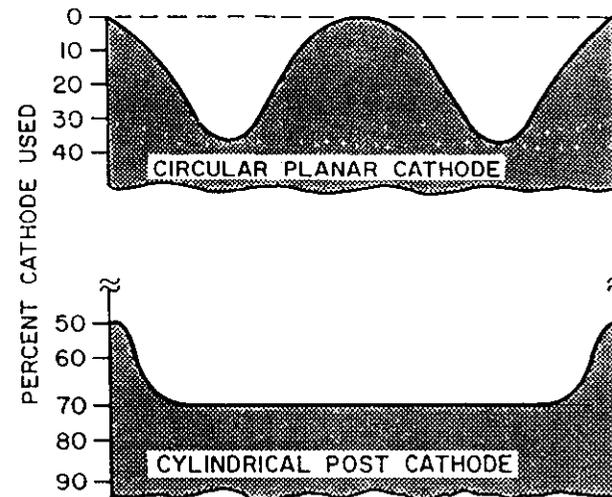
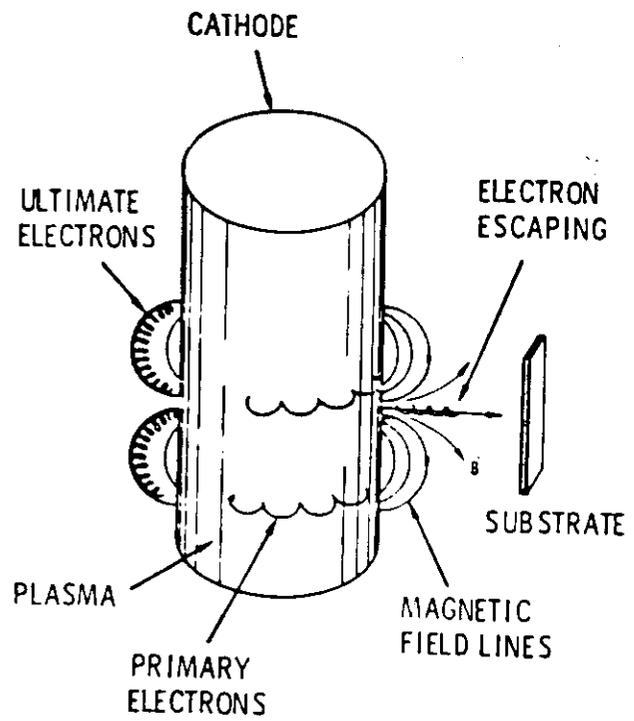
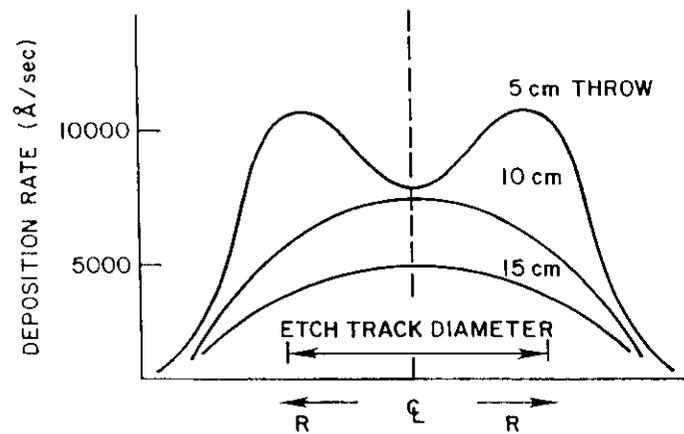
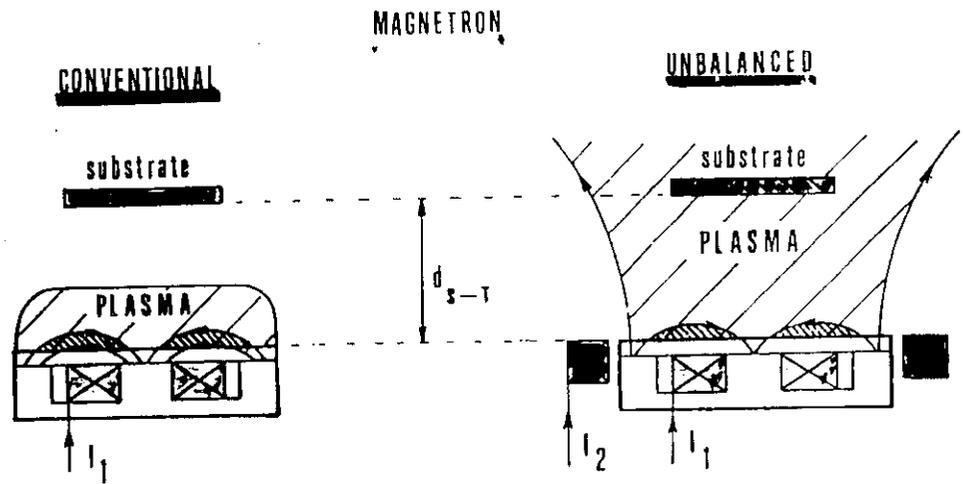


FIG. 14. Cylindrical magnetron with magnetic end confinement. From Ref. 89.

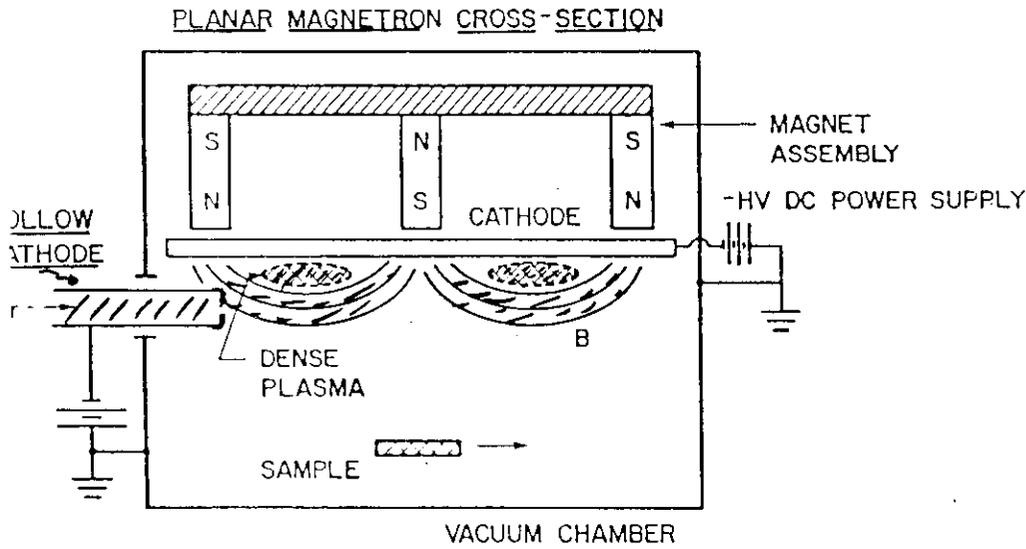
*adapted from:
Thorn*



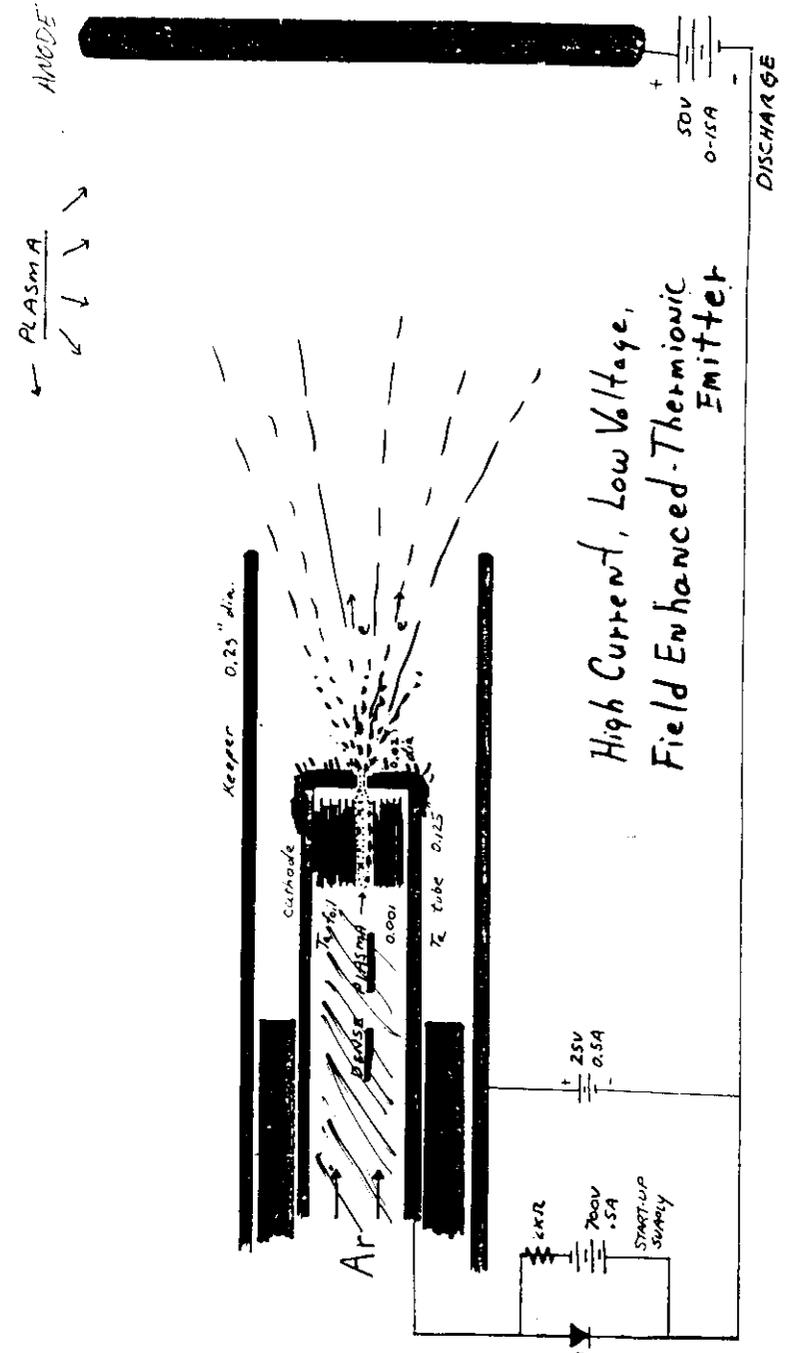
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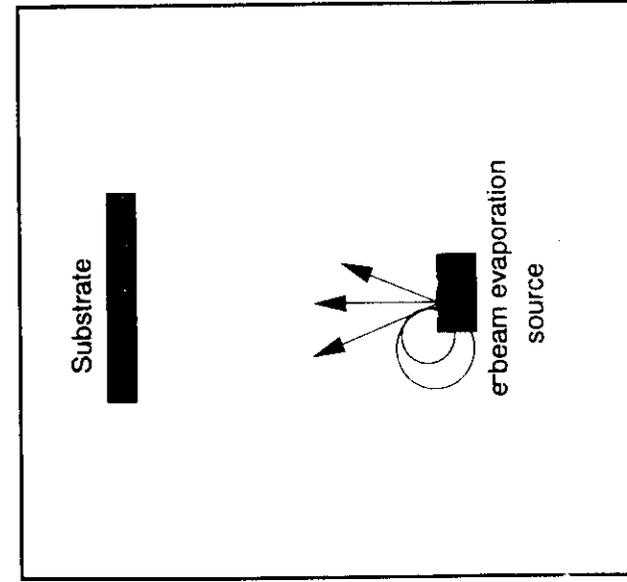
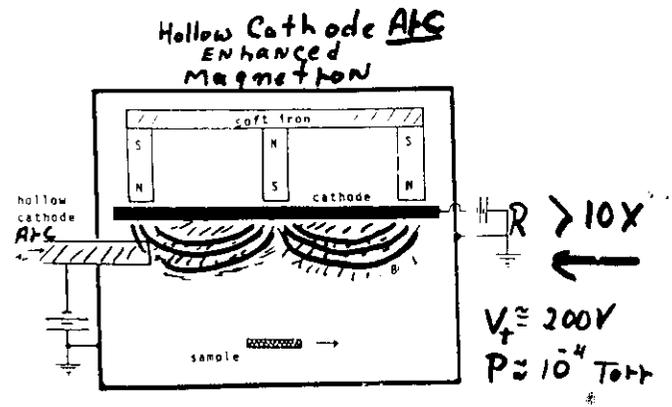
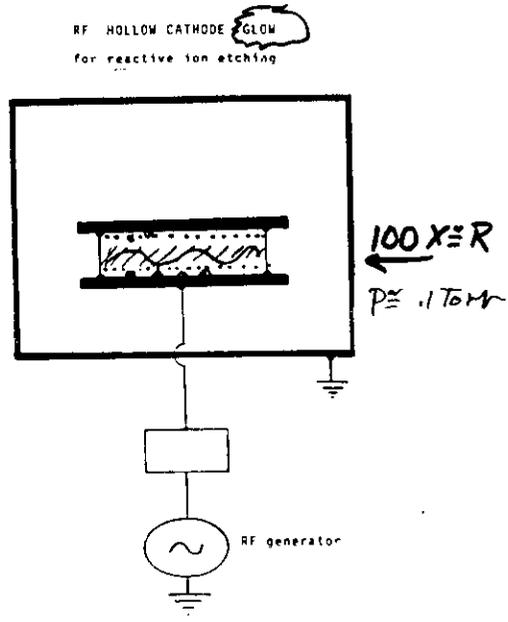
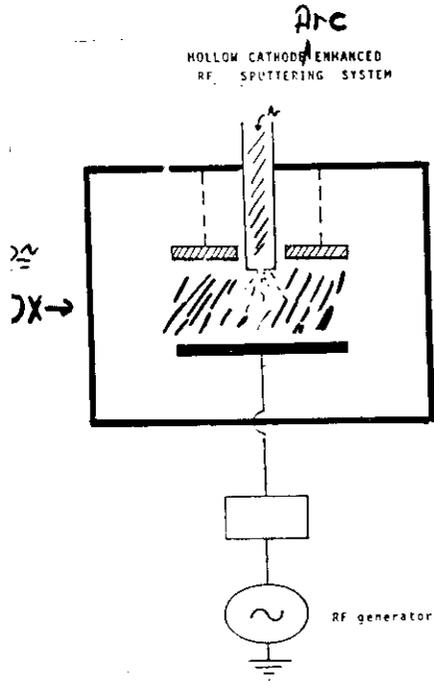


Hollow Cathode Enhanced Magnetron Sputtering



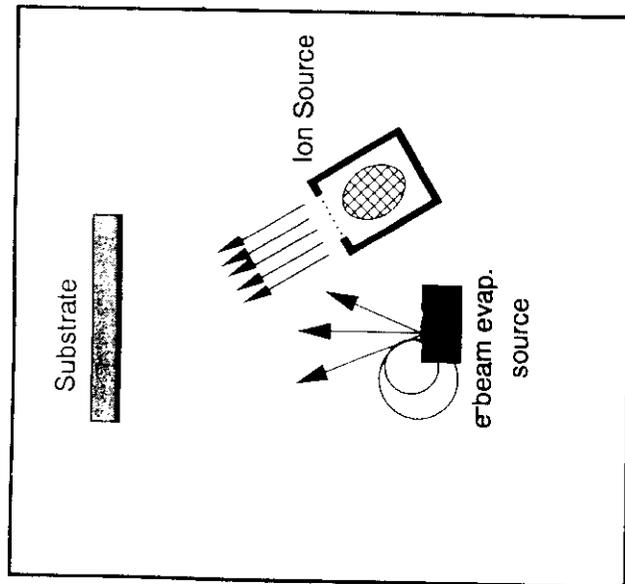
Arc
Hollow Cathode Operation





ELECTRON BEAM EVAPORATION

Directed Beam Deposition and Energetic Condensation

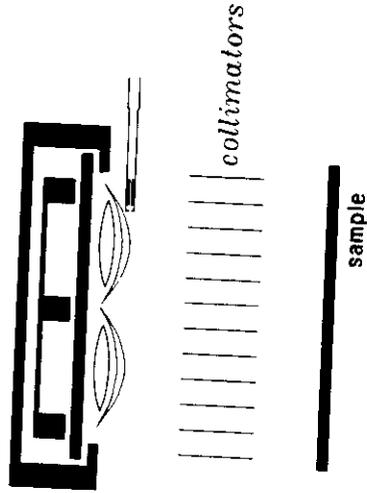


ION BEAM ASSISTED DEPOSITION

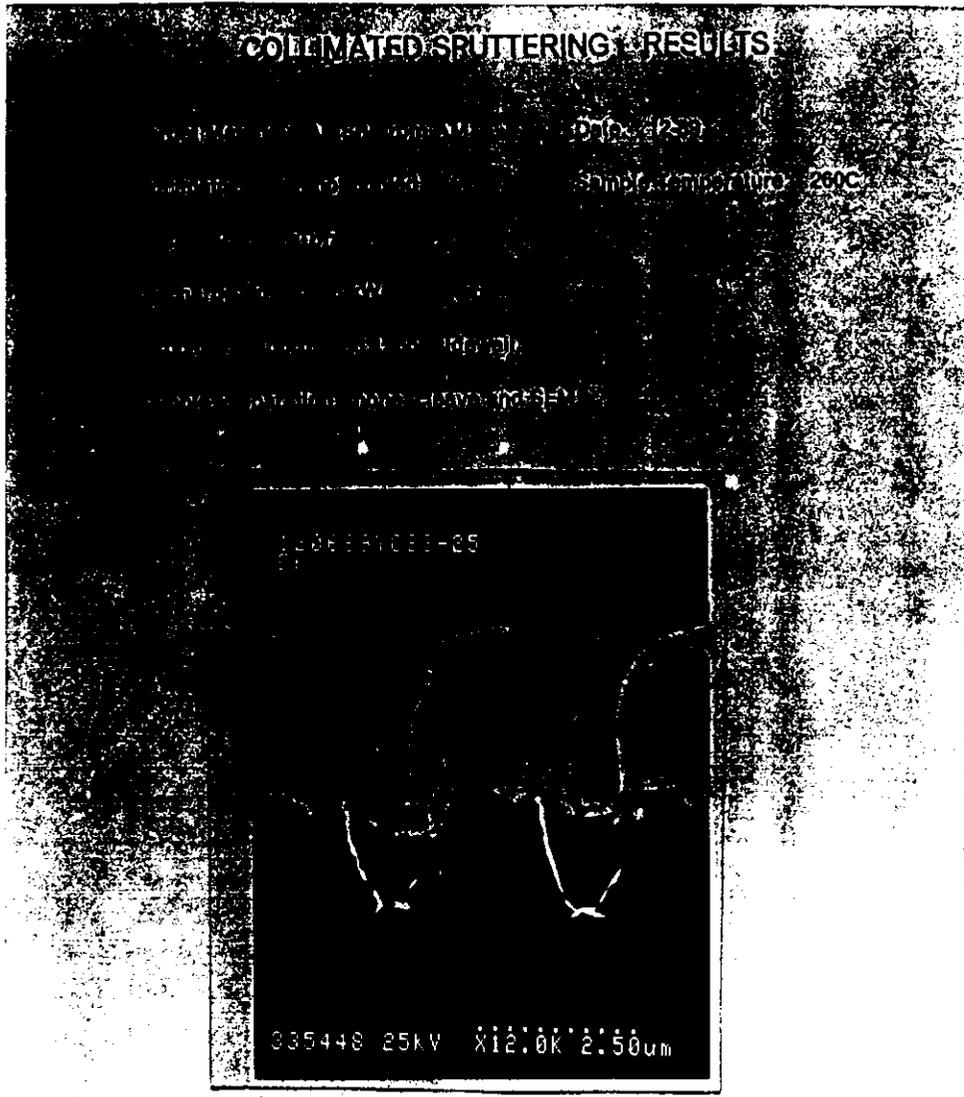
1. Collimated Sputtering
2. Cathodic Arc
3. Directed Ion Beam

Cross section of magnetron

By adding a collimator, the sputtered atom flux is effectively filtered: the atoms which do not pass the filter are collected on the inner surfaces of the collimator.

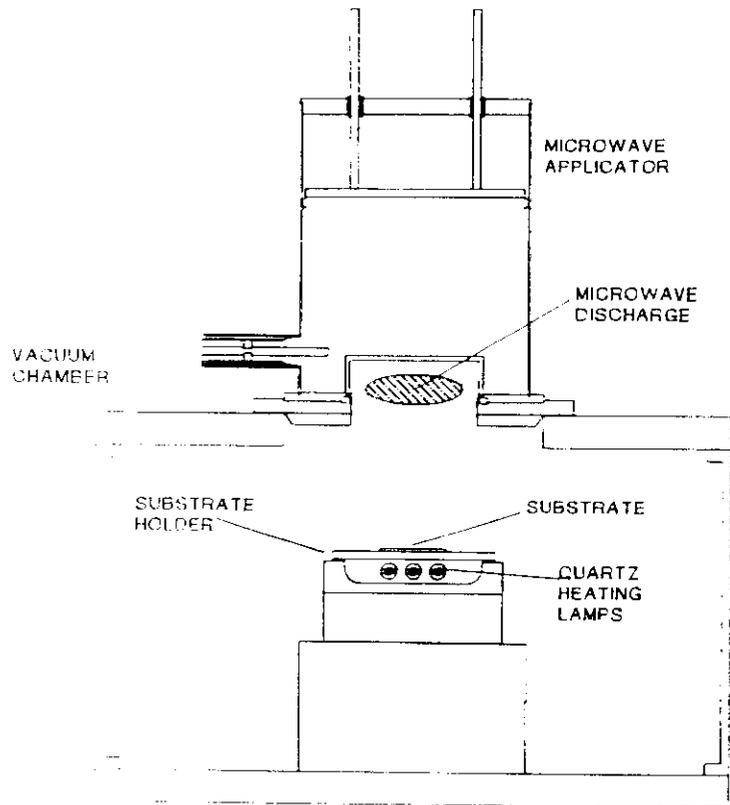


The collimator can be water cooled and grounded to protect sensitive samples



S.M. Rossnagel, IBM Research, PO 218 Yorktown Heights, NY 10598

DIAMOND THIN FILM MICROWAVE PROCESSING SYSTEM



Laser, Ion Beam, Thermal & Plasma Carbon Films

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D. L. Pappas

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CENTRAL SCIENTIFIC SERVICES
ADVANCED MATERIALS LABORATORY

IBM

Diamond-like Carbon
Amorphic Diamond
Energetic Condensation

Overview

Introduction

• **Processes**

- Thermal
- Plasma
- Ion Beam
- Laser
- Other - Directed Ion Beam/Filtered Cathodic Arc

• Considering

- Average Energy
- Energy Distribution of Particles

• **Measurements**

- EELS
- Resistivity
- Optical
- Density

- Temperature & Thermal Conducting Inverse Relationship with Density

- Model - Simple

Conclusion

THE MATERIAL IS THE PROCESS

JJC

Atoms, molecules, ions, electrons, and photons influence material properties

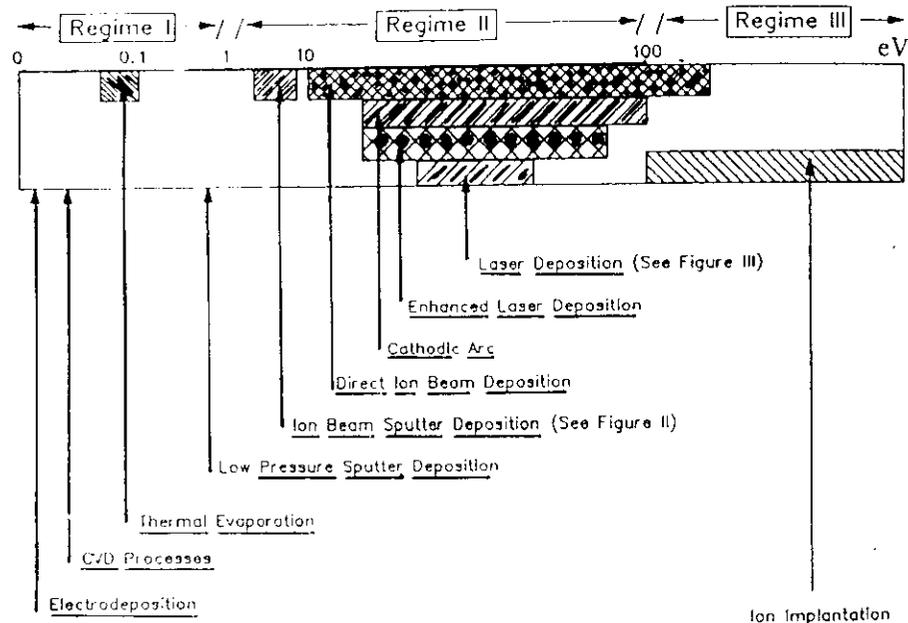


Figure 1: Diagram of the energy of the depositing species for several deposition process

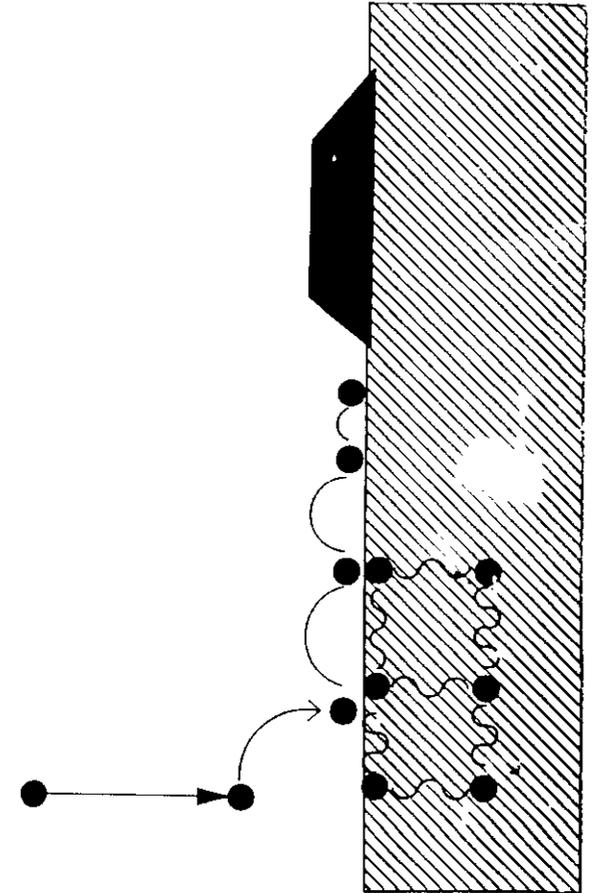
Deposition Technique	Energy Range (eV)
Thermal evaporation	0.05 - 1.
Diode sputtering	10. - 2000.
Magnetron sputtering	10. - 1000.
Ion beam sputtering	10. - 2000.
Ion plating	100. - 5000.
CVD	0.1 - 1.
LPE	0.1 - 1.

Processes

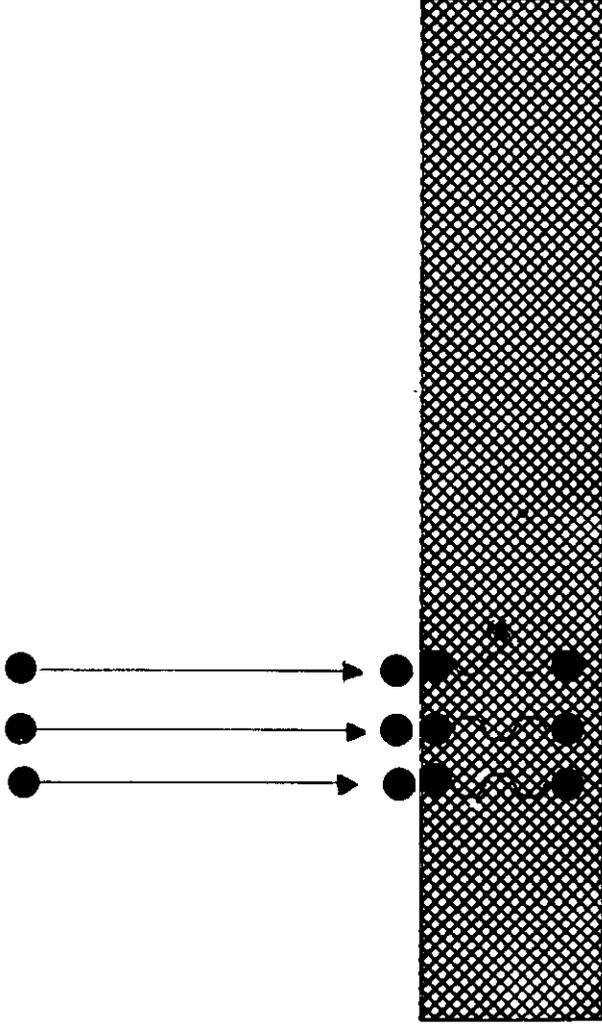
<u>Process</u>	<u>Example</u>	<u>Average Partical Energy</u>
<u>Thermal</u>	(e-beam)	~ 0.16eV
<u>Plasma</u>	Magnetron	~ 0.25eV - ~ 2.0eV
<u>Ion Beam</u>		~ 8.0eV
<u>Laser</u>	Excimer	~ 18.0eV
<u>Other</u>	Directed Ion Beam Filtered Cathodic Arc	~ 1 → 100eV

Regime I: Thermal

0.05 - 0.1 eV



Region II: Thermal Quench (Attachment)
1.0 to 100 eV



Regime III: Implantation

50 - 100 eV to KeV and Beyond

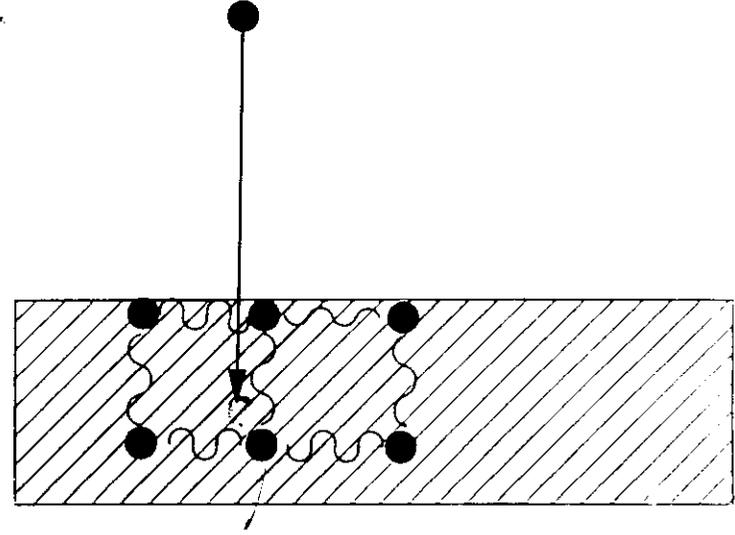


Table II. Thermal diffusivities (k) of substrates used in this study. Cu and graphite have been included for comparison.

<u>THERMAL DIFFUSIVITY ($\text{cm}^2 \text{sec}^{-1}$)</u>		
<u>SUBSTRATE</u>	<u>300 K</u>	<u>77 K</u>
<u>type IIa diamond*</u>	<u>10.0</u>	<u>3300</u>
<u>Si*</u>	<u>0.880</u>	<u>30.9</u>
NaCl†	0.330	0.267
<u>sapphire*</u> (60° to hex. axis)	<u>0.147</u>	<u>46.6</u>
<u>Cu*</u>	<u>1.17</u>	<u>3.36</u>
<u>graphite*</u> (pyrolytic, layer planes)	<u>20.0</u>	<u>41.0</u>
<u>graphite*</u> (pyrolytic, ⊥ layer planes)	<u>0.095</u>	<u>0.57</u>

*R. M. Chenko and H. M. Strong, General Electric, Technical Information Series, Report No. 75CRD089, 1975.

†Y.S. Touloukian, *Thermophysical Properties of Matter* (IFI/Plenum, New York, 1973).

‡calculated from $k = K / (C_p \cdot \rho)$, where K is thermal conductivity, C_p is specific heat and ρ is density.

Thermal Conductivity ($\text{W/cm}^2\text{K}$)

<u>Substrate</u>	<u>77 K</u>	<u>300 K</u>
Fused Quartz	0.0055	0.02
Quartz (⊥ to c)	0.3	0.06
Quartz (// to c)	0.6	0.1
Graphite (// to c)	0.68	0.8
Graphite (⊥ to c)	1.9	2.5
Tungsten	2.6	1.75
<u>Copper</u>	<u>7.5</u>	<u>4</u>
Silicon	13.4	1.4
<u>Silicon (B-doped)</u>	<u>15</u>	<u>1.5</u>
Silicon (P-doped)	15	1.5
<u>Sapphire</u>	<u>15</u>	<u>0.2</u>
<u>Diamond type IIa</u>	<u>142</u>	<u>20</u>

IBM

*

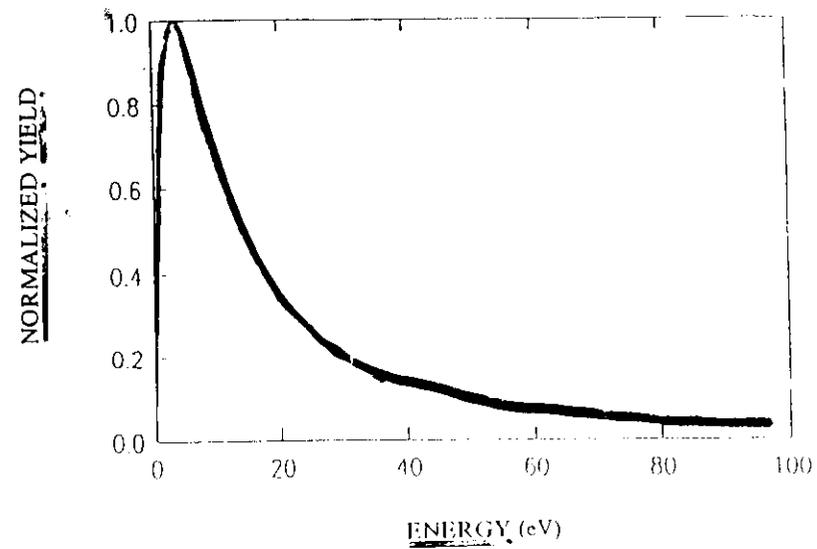
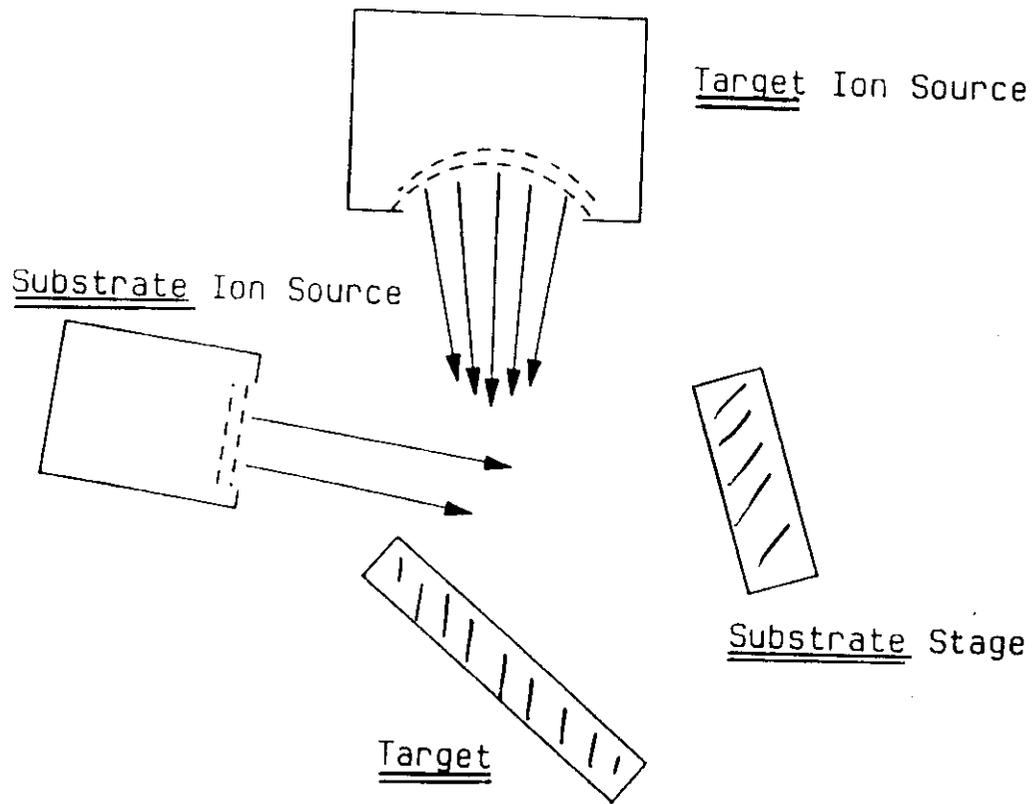
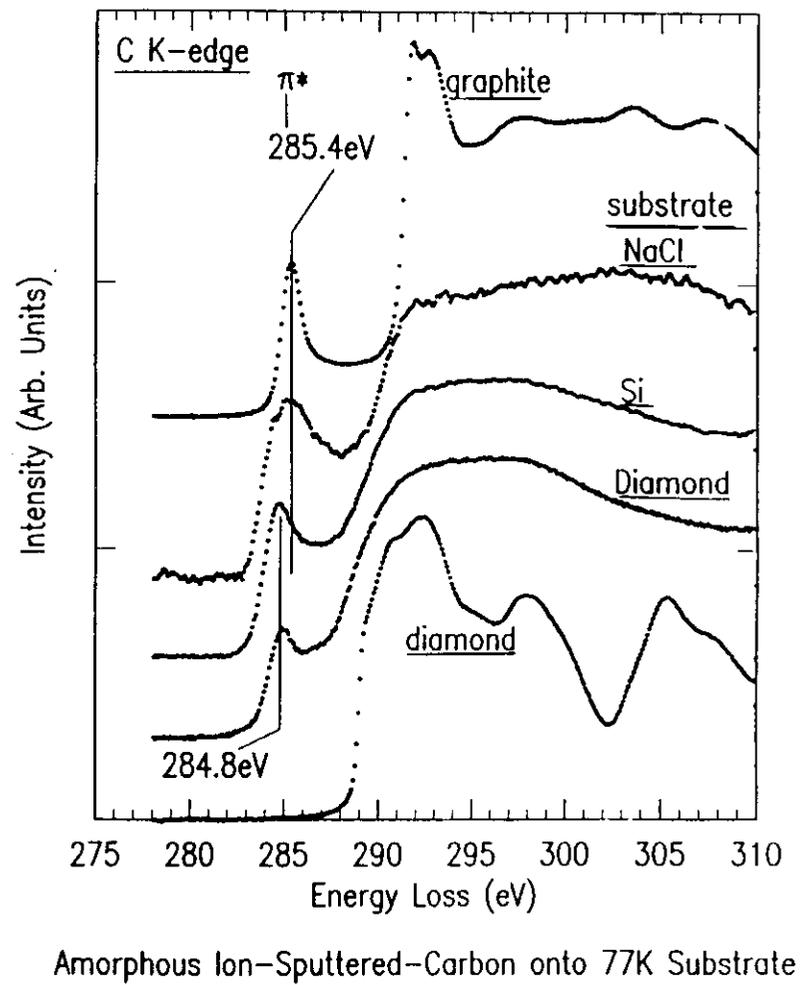
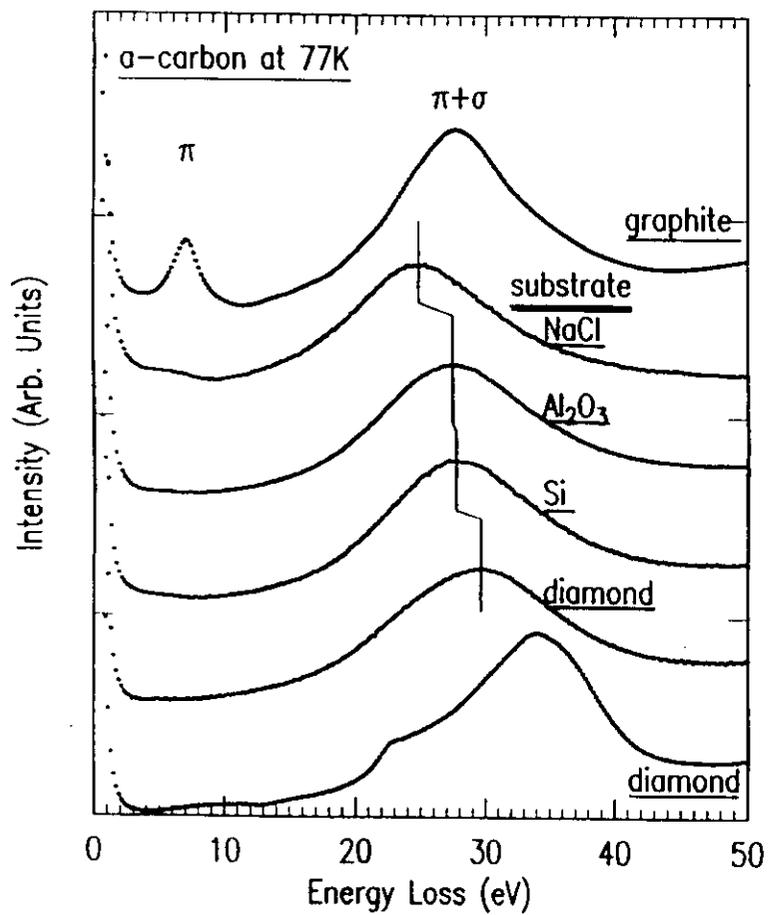


Figure 2: Energy distribution of carbon atoms sputtered from a carbon target.



Pulsed Laser Deposition

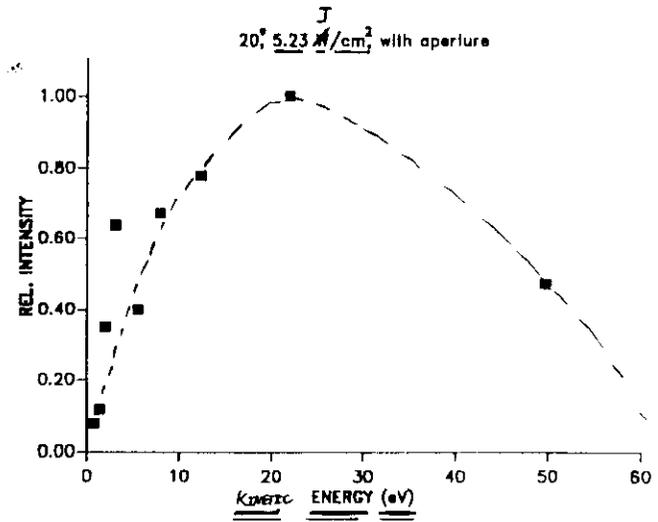
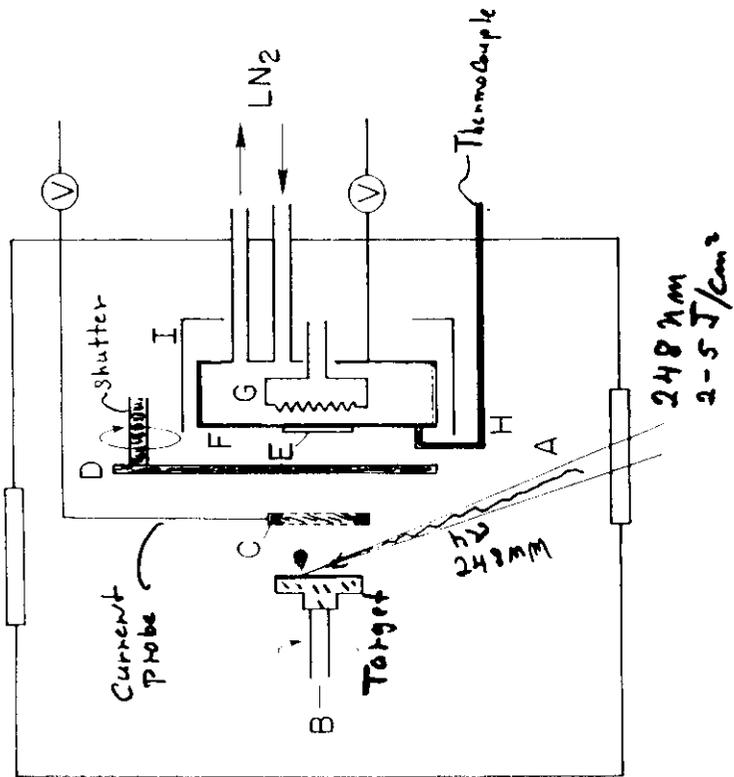


Fig 3.

Pappas 10/11/90

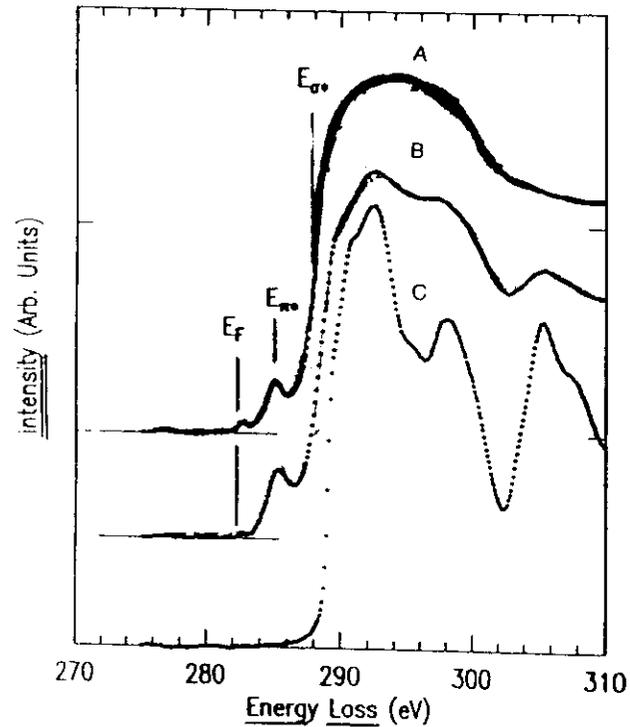


Figure 7: Electron energy loss data showing C(1s) K absorption edge for films deposited by laser deposition at 77 K. A) Type IIa diamond substrate B) Type IIa diamond substrate. C) Natural diamond energy loss spectra.

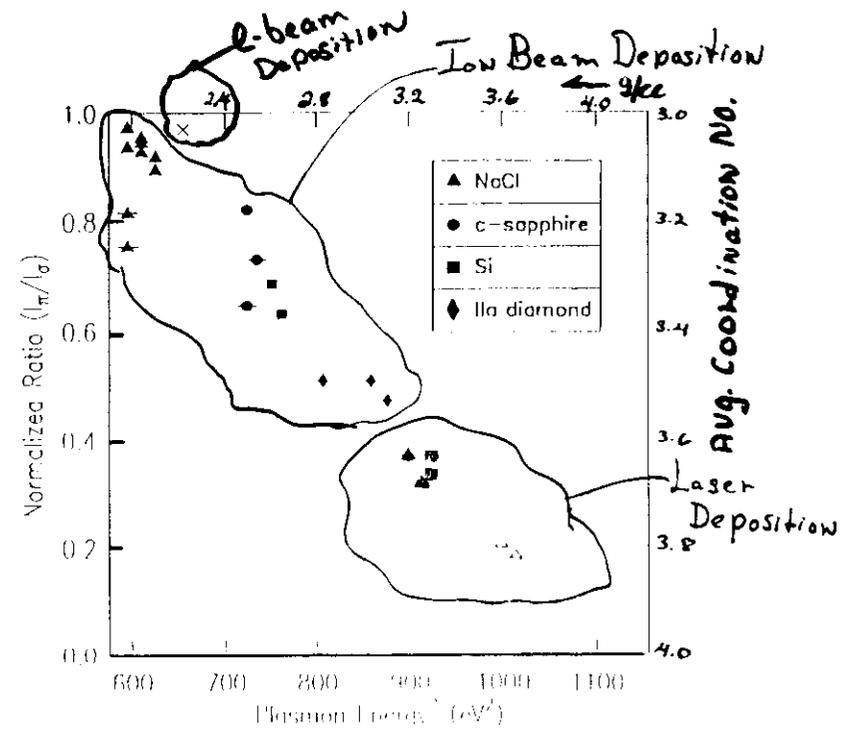


Figure 1. Summary of the EELS analysis for films deposited by a variety of methods at 295 K and 77 K. Plotted values are the relative normalized intensity of the π and σ contributions to the C K(1s) absorption edge vs. the square of the peak position of the bulk plasmon energy. The

sp^3 number fraction, $\frac{sp^3}{sp^2 + sp^3}$, denoted as f_x , is calculated from

$$f_x = \frac{3x}{4 - x}$$

Apparent density (ρ_a) is determined using

$$\rho_a = \frac{1}{\frac{x}{\rho_g} + \frac{1-x}{\rho_d}}$$

in which ρ_g and ρ_d are the bulk densities of graphite (2.25 g/cm³) and diamond (3.51 g/cm³), respectively. The open symbols represent laser deposited films while the filled symbols indicate ion beam sputtered films. The X identifies an e-beam evaporated film. A dash (-) through the symbol indicates depositions performed at 77 K.



(J. J. Cuomo)

Cathodic Arc

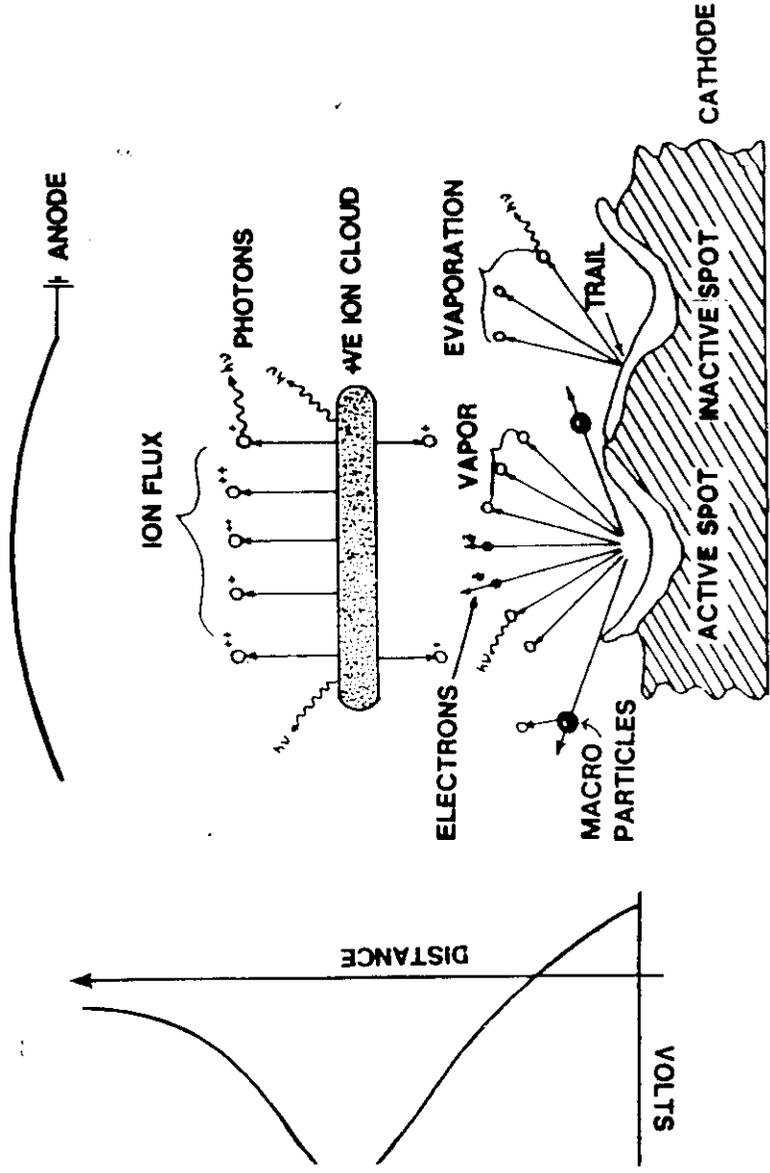
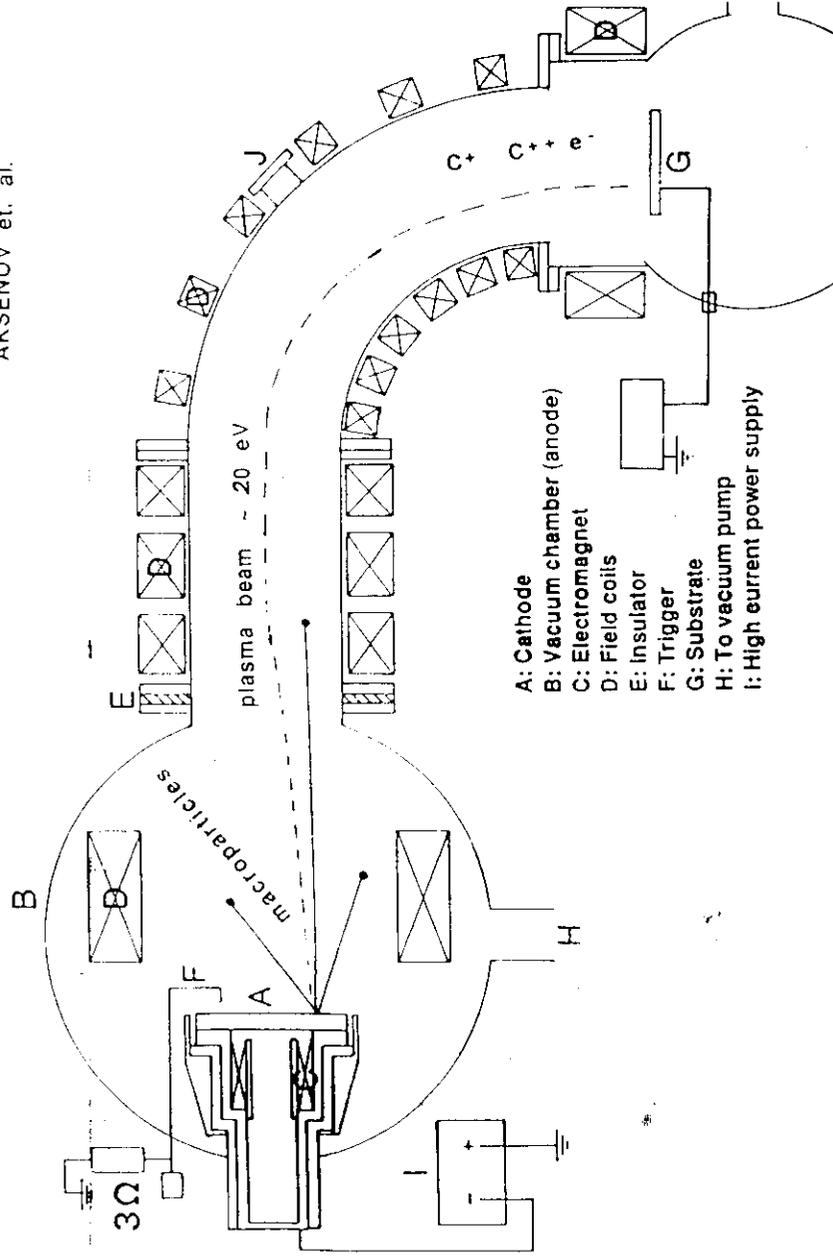
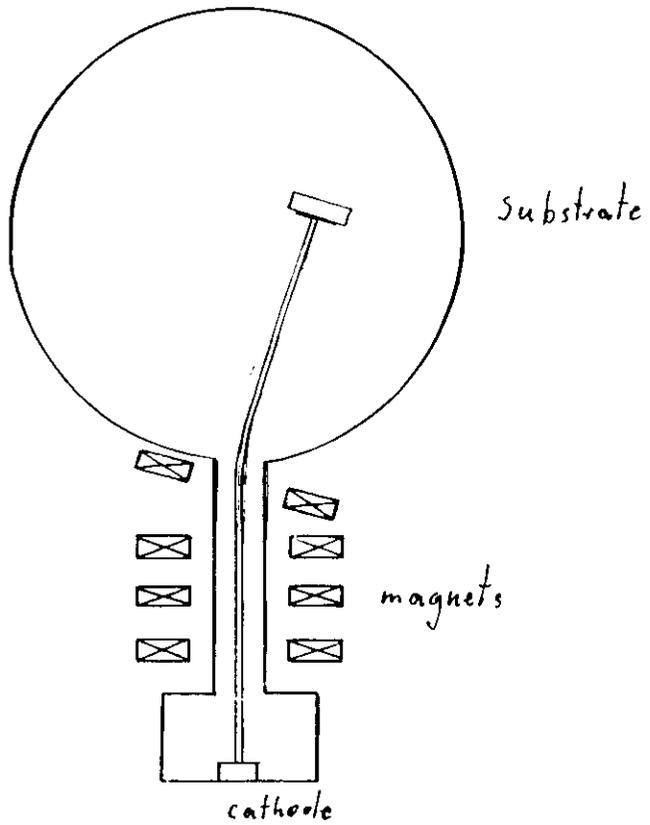


Fig 1

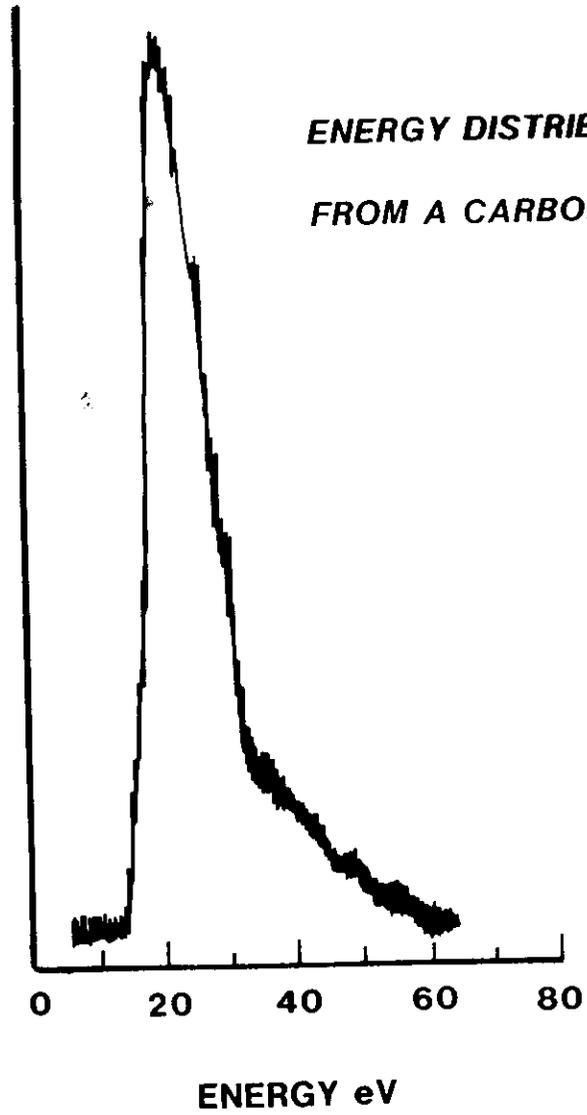


CATHODE SPOT PARAMETERS

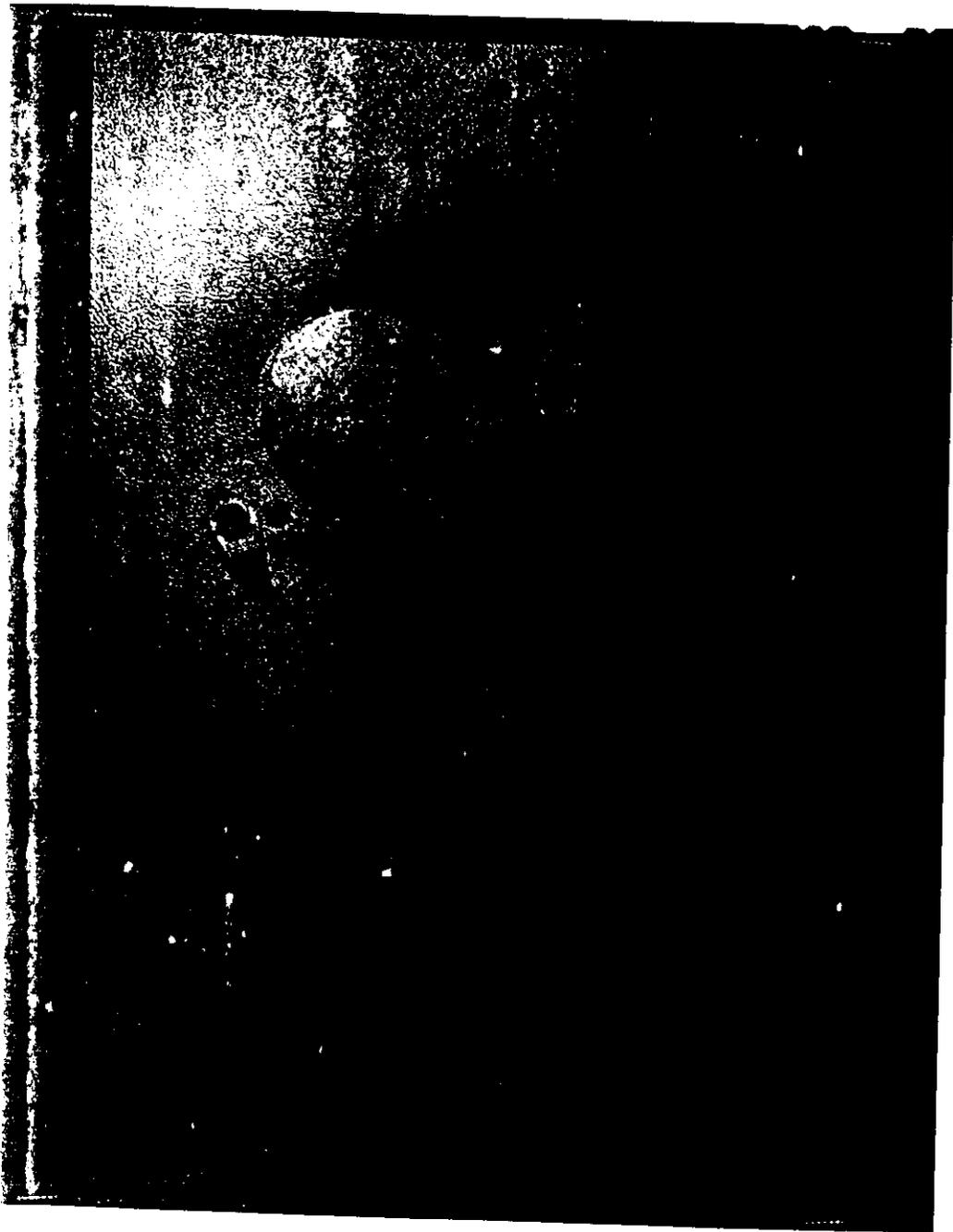
	REFERENCE
CURRENT DENSITY	$10^7 - 10^{10} \text{ Am}^{-2}$ DAALDER 1981
ELECTRON DENSITY	$5 \cdot 10^{20} \text{ m}^{-3} \text{ (Cu)}$ BOXMAN 1974
ELECTRON TEMPERATURE (eV)	3-6 Cu 6 - 9 Al BOXMAN 1974
PRESSURE	0.1 - 10 MPa BERGMAN 1966
CRATER SIZE	1 - 20 μm
CRATER FORMATION TIME	$\sim 10^{-7} \text{ s (JOULE)}$ 1.2 - 4.5 ns Cu 1.6 - 6.2 ns Mo DAALDER 1978 JÜTTNER 1981 (ION IMPACT)
ION ENERGY (J/V)	25-75 DAVIS & MILLER 1979
ION FRACTION	0.1 - 1.0 PLYUTTO 1965



INTENSITY (Arb.lin.units)



D. M. Evans.



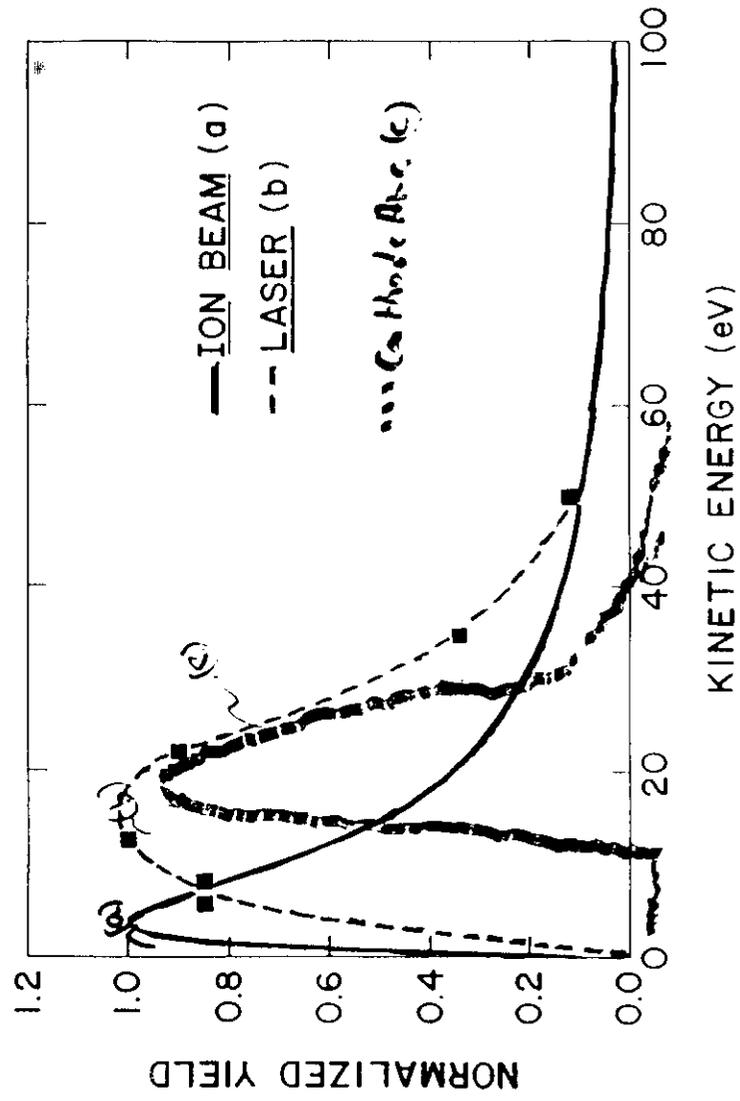
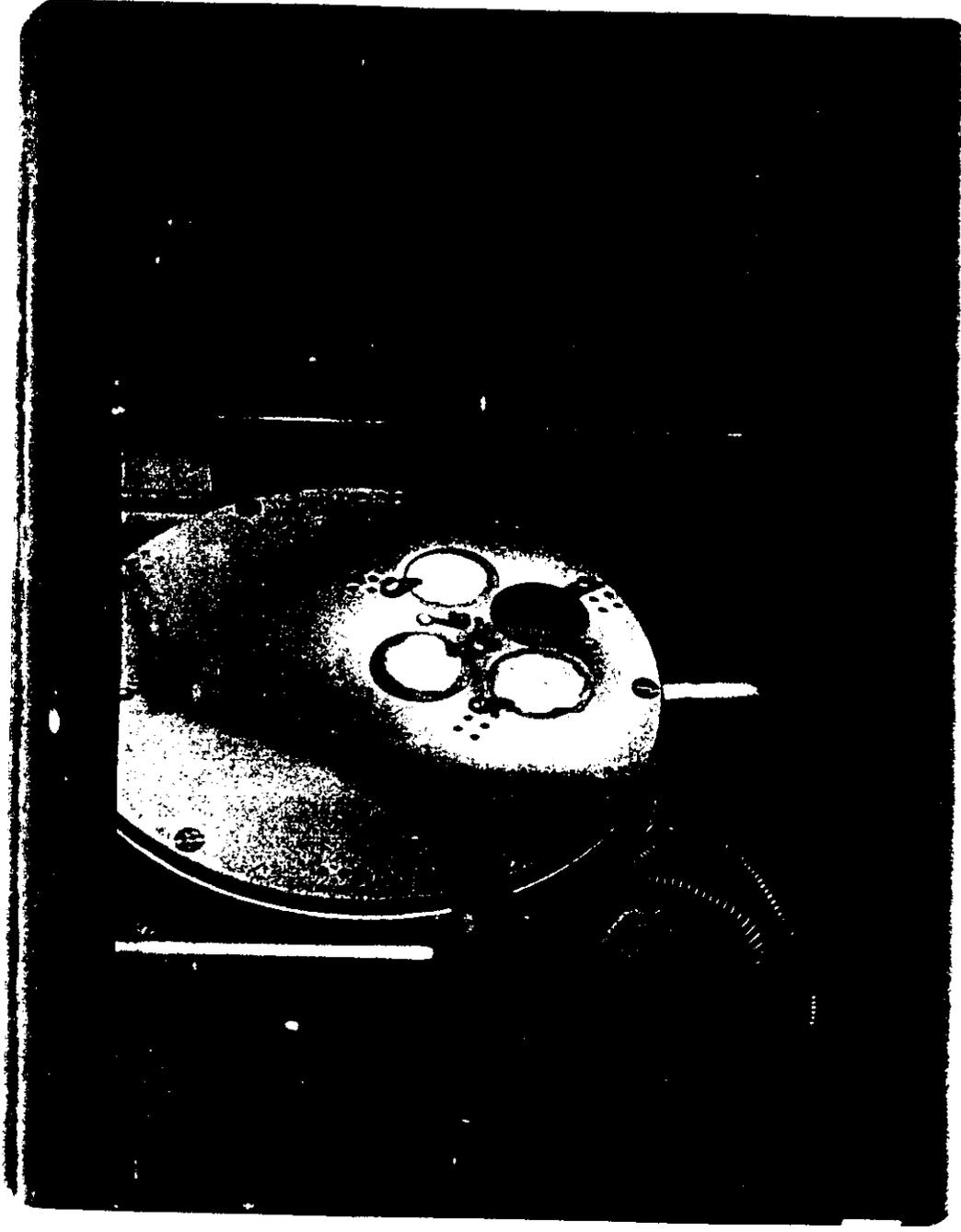
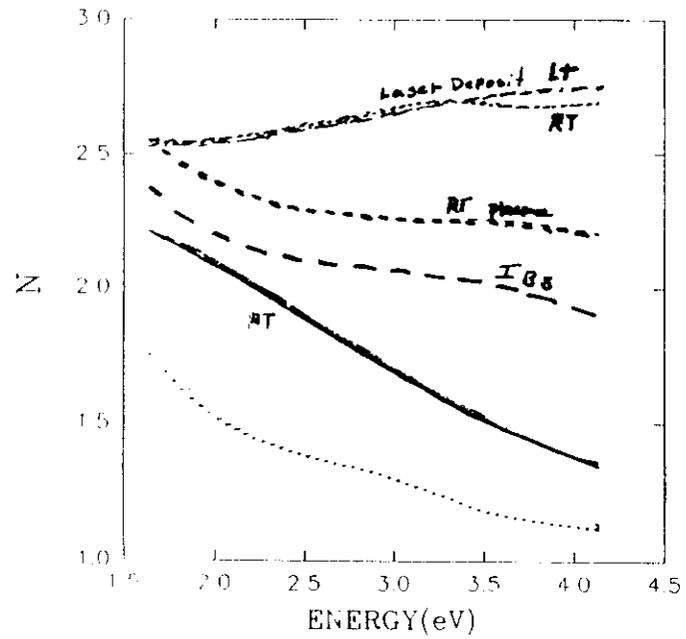
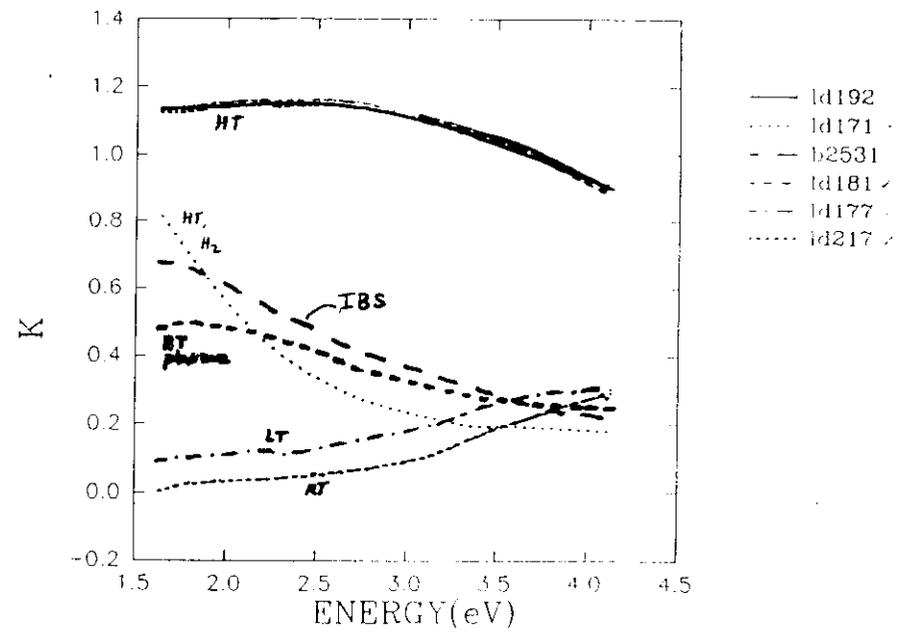


Fig. 1



- Id192 HT
- ⋯ Id171 HT, H₂
- - b2531 IBS
- - Id177 LN₂ LT
- ⋯ Id217 RT
- - Id181 RT plasma

Fig. 2

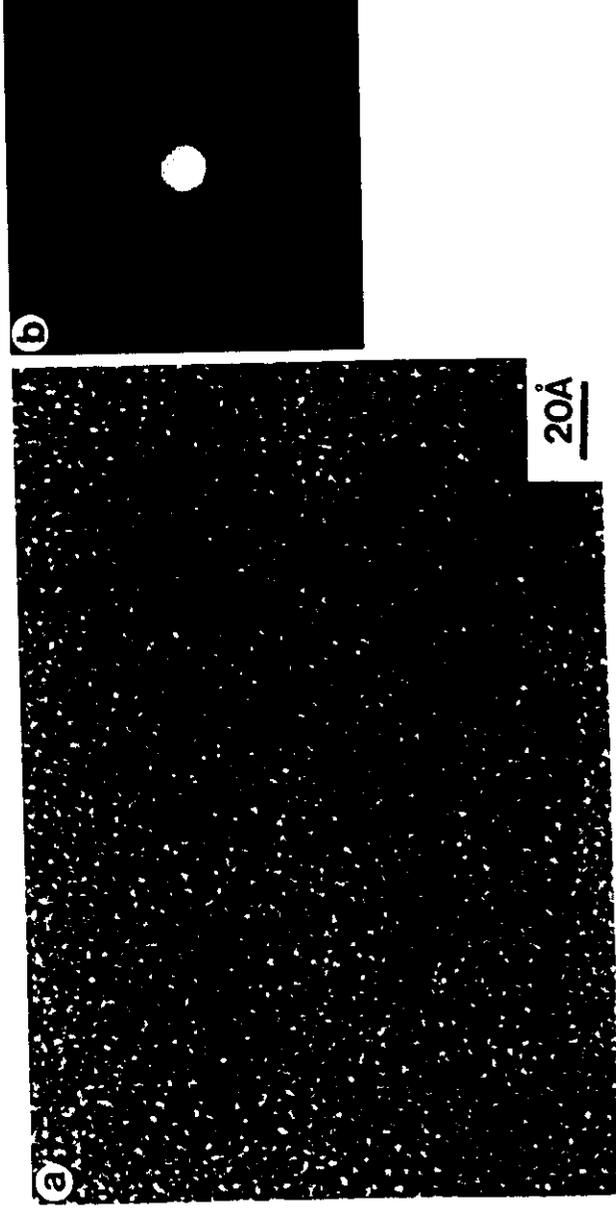


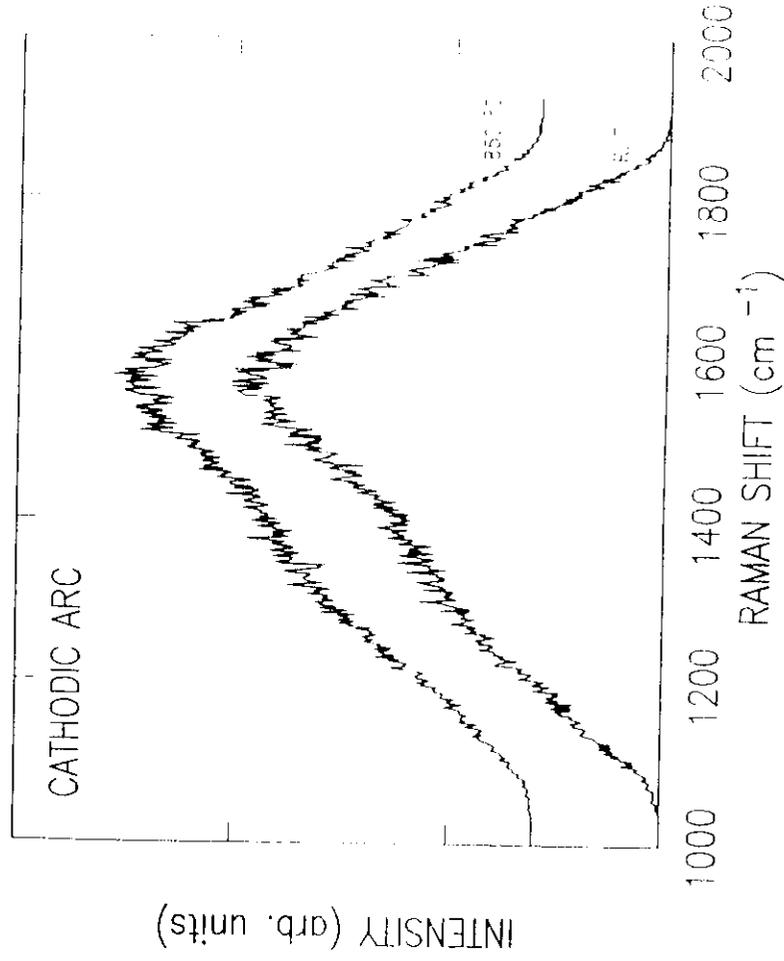
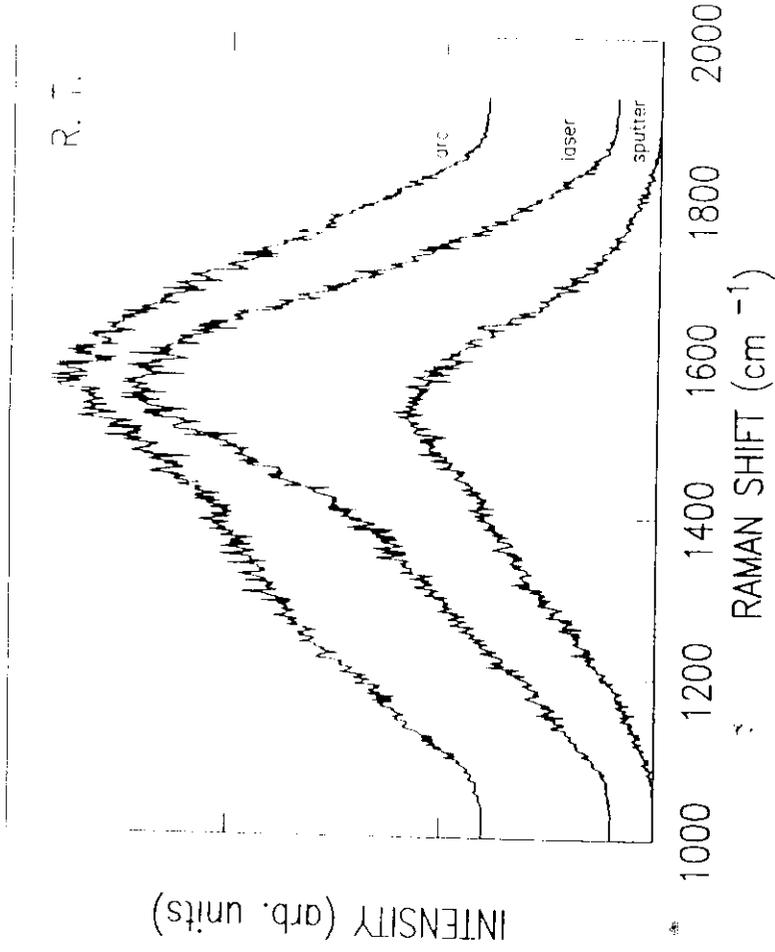
- Id192
- ⋯ Id171
- - b2531
- - Id181
- - Id177
- ⋯ Id217

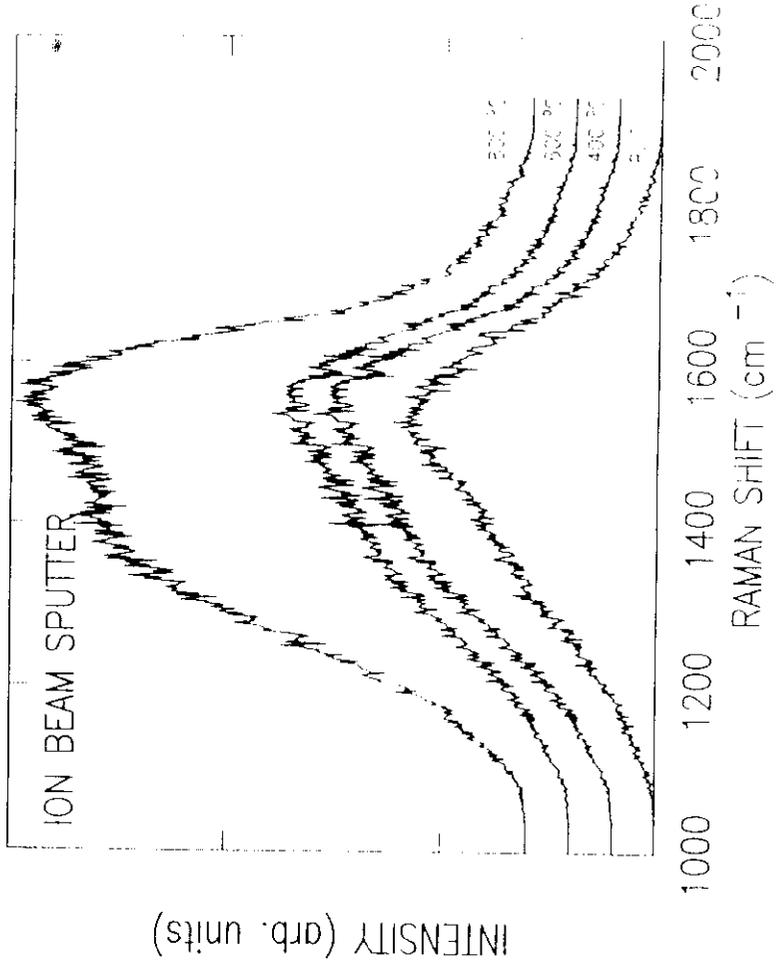
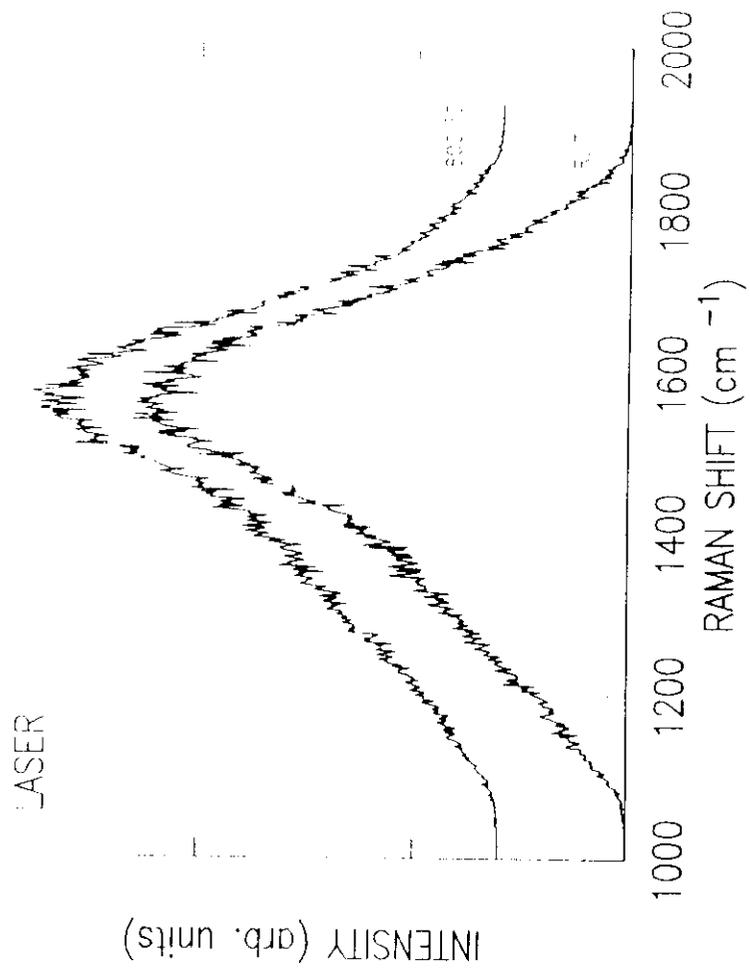
Index nos. λ

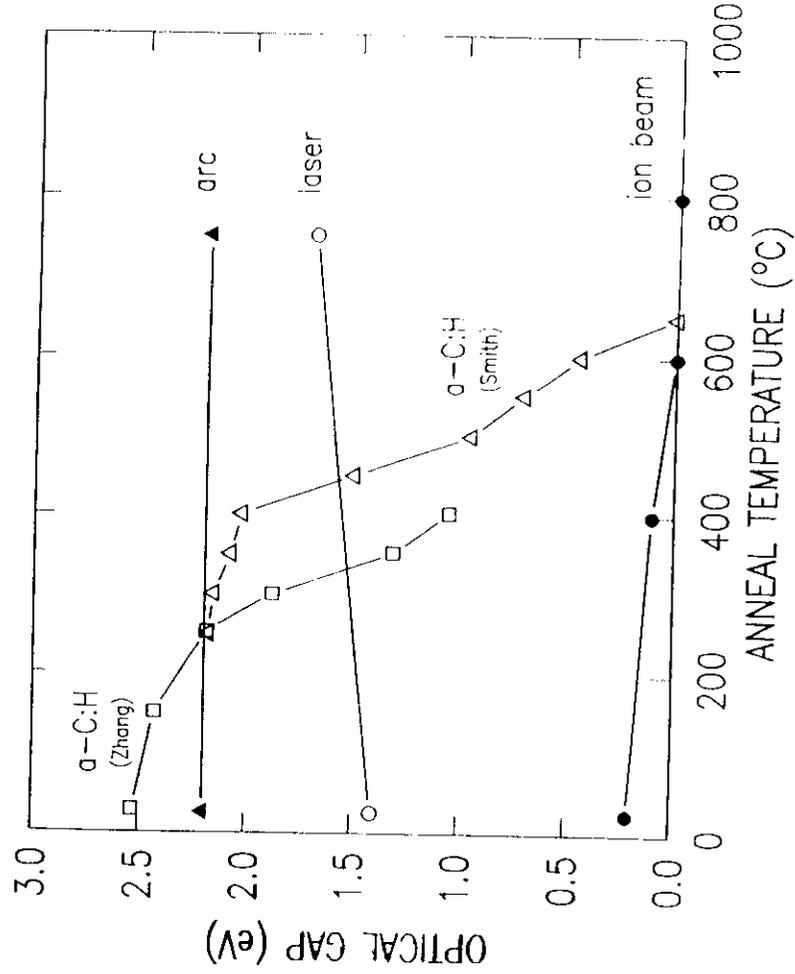
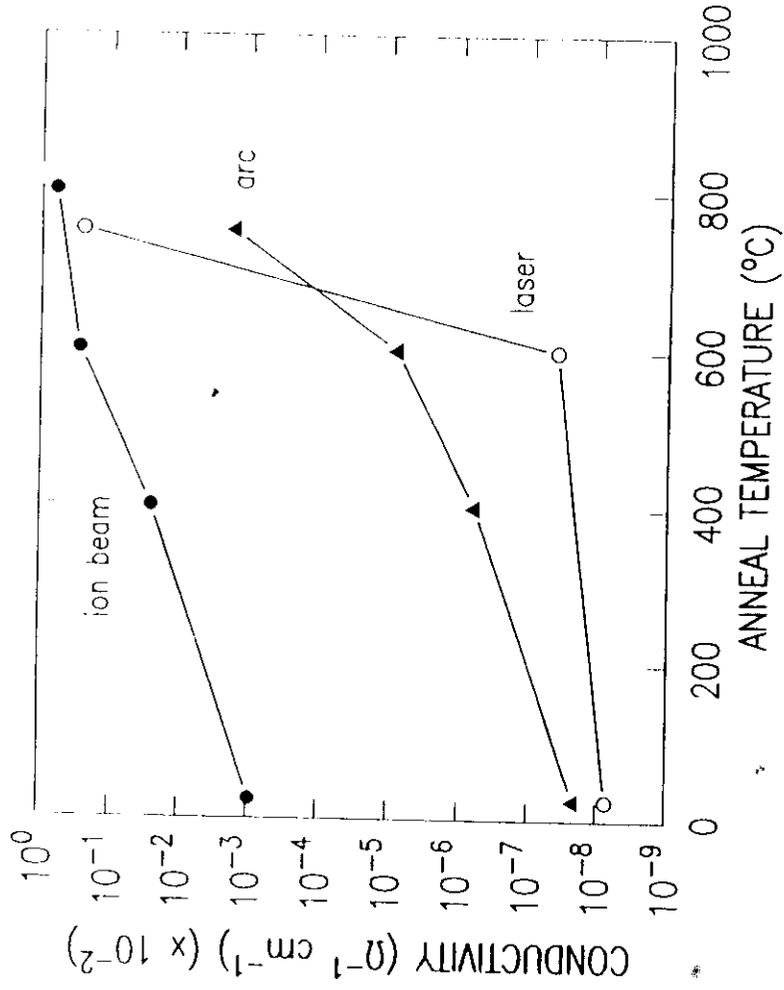
	<u>Process</u>	<u>SP³/SP²</u>	<u>Density</u> gm/cc	<u>N</u>	<u>K</u>	<u>Hardness</u> GPa
<u>Amorphous Carbon</u>	Evaporation	0	~2.0	--	--	--
<u>Diamond-Like Carbon</u>	PECVD	low?	~1.9-2.2	1.74	0.0065	15-30
<u>Amorphous Diamond</u>	Ion Beam	~50%	~2.9	2.05	0.29	--
	Laser Beam	~75%	~3.3	2.55	0.042	90 (1100)
	Cathodic Arc	~85-90	>3.3	2.5	0.049	95 (1100)
<u>Polycrystalline Diamond</u>	PECVD	100%	3.5	2.41	~0	90-100 (1100)
<u>Diamond</u>	-----	100%	3.54	2.41	~0	90-1 (1100)

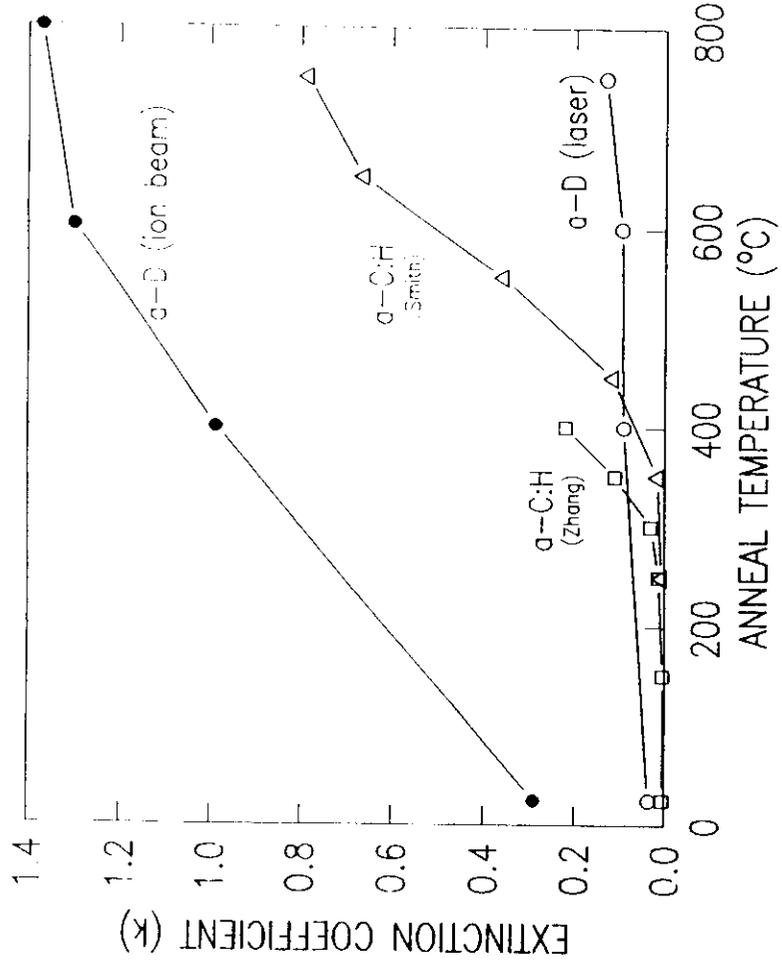
Temperature Stability DLC -350-400 °C
Amorphous Diamond 800 °C in Vacuum
850 °C in Argon

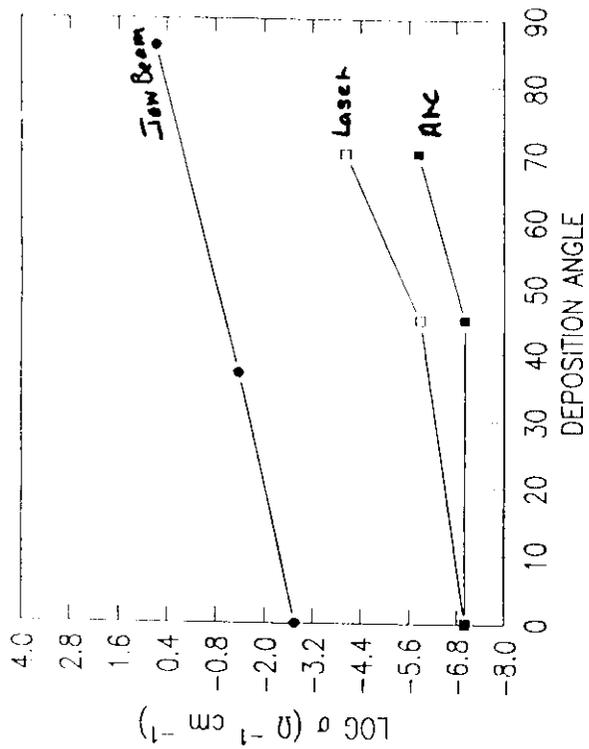






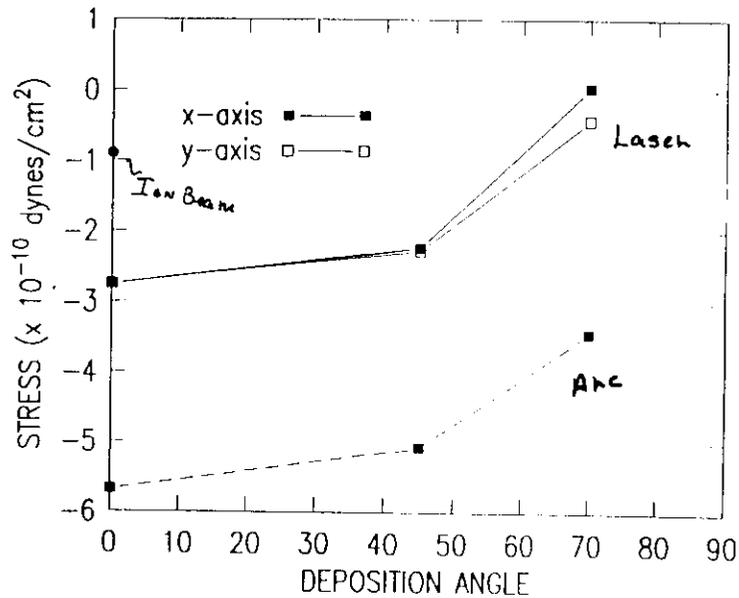






Relationship between conductivity and deposition angle for carbon films deposited onto fused quartz by cathodic arc (■—■), laser vaporization (□—□) and ion beam sputtering (●—●).

(4)



Relationship between film stress and deposition angle for carbon films deposited onto Si by laser vaporization (—) and cathodic arc (---) and ion beam sputtering (●, normal incidence only). Negative values denote compressive stress.

(5)

Filtered Cathodic Arc $\sim 95\% \text{ SP}^3$

Pulsed Laser $\sim 86\% \text{ SP}^3$

Ion Beam $\sim 50-60\% \text{ SP}^3$

Evaporated $\sim 100\% \text{ SP}^2$

Sapphire

↑
Energy