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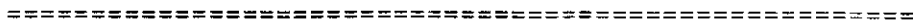
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**SPRING COLLEGE IN CONDENSED MATTER
ON QUANTUM PHASES
(3 May - 10 June 1994)**

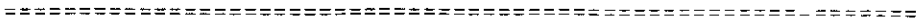


**QUANTUM PHASE TRANSITIONS
IN BOSONIC SYSTEMS**

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These are preliminary lecture notes, intended only for distribution to participants.



①

QUANTUM PHASE
TRANSITIONS IN
BOSONIC SYSTEMS

EFFECT OF FINITE
TEMPERATURE

I INTRODUCTION

II GENERAL SCALING IDEAS
THE "QUANTUM-CRITICAL"
REGION.

III THE DILUTE BOSE GAS
[HALDANE GAP ANTIFERRO-
MAGNETS IN A FIELD]

IV QUANTUM $O(N)$ SIGMA MODEL
[TWO DIMENSIONAL
ANTIFERROMAGNETS]

V CONSERVED QUANTITIES
[UNIFORM SUSCEPTIBILITY]

②

VI

EFFECTS OF RANDOMNESS

QUANTUM SPIN
GLASSES.

QUANTUM ROTORS

HEISENBERG SPIN
GLASS

I INTRODUCTION

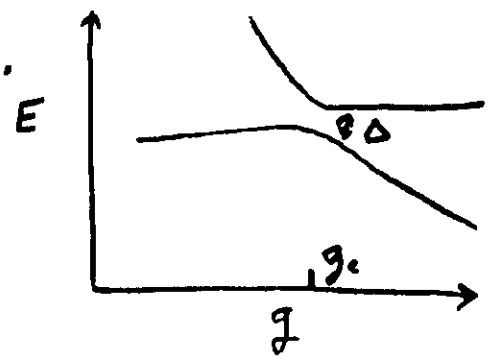
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QUANTUM TRANSITION:

NON-ANALYTICITY IN GROUND STATE PROPERTIES AS A FUNCTION OF SOME COUPLING CONSTANT (g)

AT $g = g_c$.

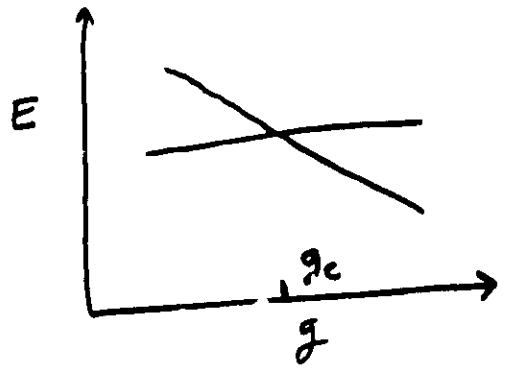
TYPE I (GENERIC)



AVOIDED LEVEL CROSSING

$\Delta \rightarrow 0$ AS SYSTEM SIZE $\rightarrow \infty$.

TYPE II



TRUE LEVEL CROSSING

$\mathcal{H} = \mathcal{H}_0 + gQ$
 $[\mathcal{H}_0, Q] = 0$.
 $(z\nu = 1)$

SECOND-ORDER

(4)

QUANTUM TRANSITION

"DOMINANT" EXCITATIONS

OCCUR ON A LENGTH SCALE ξ AND HAVE AN ENERGY $\sim \hbar / \xi \tau$

$\xi, \xi \tau \rightarrow \infty$ AS $g \rightarrow g_c$

SIMPLE SCALING

$$\xi \sim |g - g_c|^{-\nu}$$

$$\xi \tau \sim |g - g_c|^{-z\nu}$$

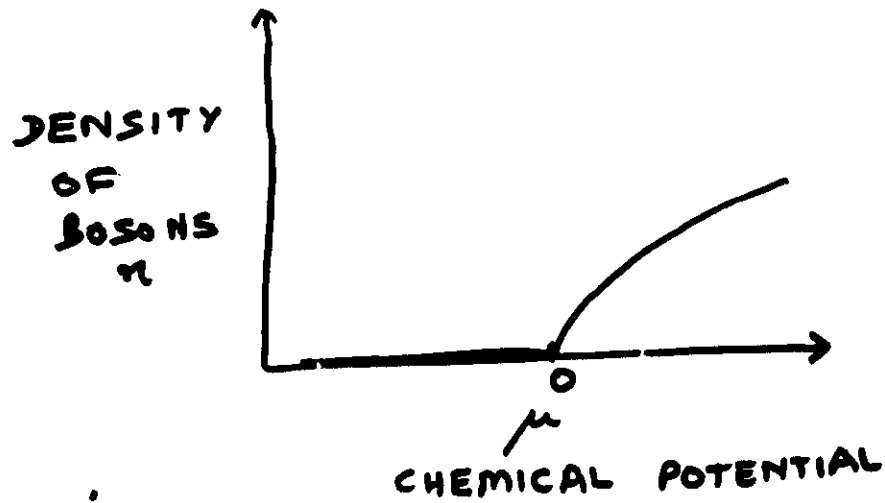
$$\xi \tau \sim \xi^z$$

$\nu \rightarrow$ CORRELATION LENGTH EXPONENT

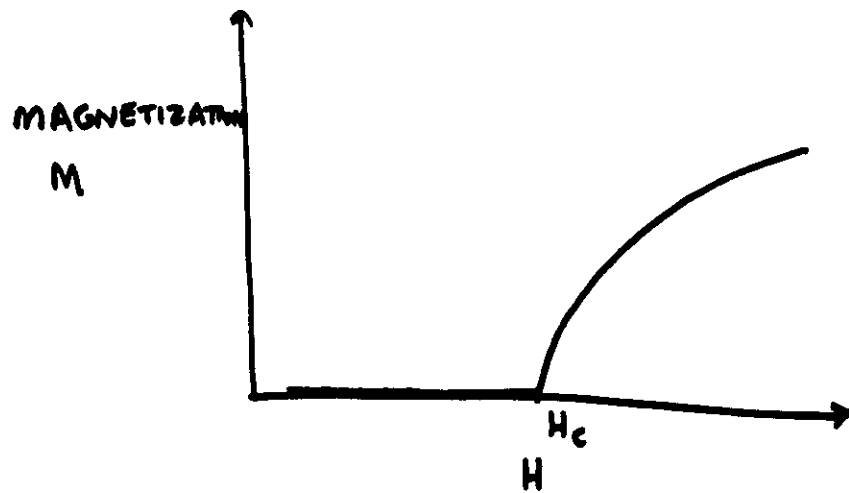
$z \rightarrow$ DYNAMIC CRITICAL EXPONENT.

EXAMPLES

1. DILUTE BOSE GAS



2. GAPPED ANTIFERROMAGNET IN A FIELD



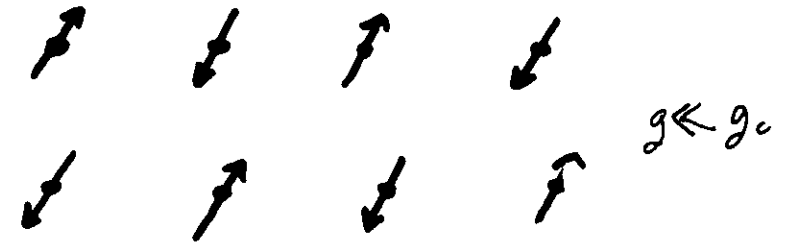
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3. ANTI-FERROMAGNETIC PHASE TRANSITION

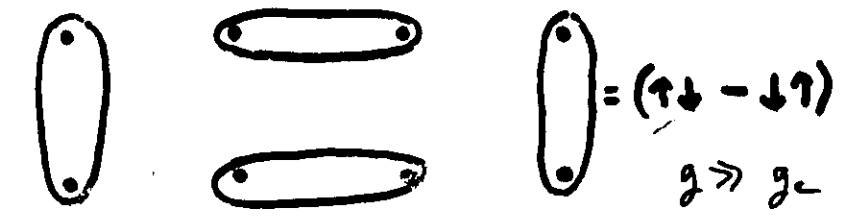
Let us consider the possible ground states of a clean two-dimensional quantum Heisenberg antiferromagnet

$$H = \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

- Magnetic long-range-order
Each spin has a definite orientation in spin space.



- Spin-fluid
Quantum fluctuations destroy correlations between spins.
Ground state can be visualized as a sea of singlet bonds.



Let this transition occur as a function of an all-purpose coupling constant g , at the critical value $g = g_c$. The constant g is a function of ratios of the J_{ij}

(6)

4. FERMION LIQUID

TO

SPIN-DENSITY WAVE,

FERROMAGNET

SUPERCONDUCTOR

⋮

(7)

Quantum Criticality

Consider the $T = 0$ system at $g = g_c$

CONTINUUM SCALE-INVARIANT FIELD
THEORY IN
 d SPACE AND 1 TIME DIMENSION

$$\exp\left(-\frac{i}{\hbar} \int dt H\right)$$



analytic continuation to imaginary time

$$it = \tau$$



STATISTICAL MECHANICS IN $d+1$
SPACETIME DIMENSIONS

$$\exp\left(-\frac{1}{\hbar} \int d\tau H\right)$$

possibly with anisotropic scaling and complex weights. Typical time scales are a power z of spatial scales.

(8)

• What happens at a finite T ?

$d+1$ dimensional quantum field theory at
finite temperature
 $\text{Tr} \exp(-H/k_B T)$



analytic continuation to imaginary time



$$\text{Tr} \exp\left(-\frac{1}{\hbar} \int_0^{L_\tau} d\tau H\right)$$

Statistical mechanics in a box of size
 $\infty^d \times L_\tau$ ($L_\tau \equiv \hbar/(k_B T)$)
with periodic boundary conditions along the time
direction.
Properties specified by the theory of FINITE-SIZE
SCALING

EFFECT OF RENORMALIZATION GROUP TRANSFORMATION

1) Integrate out short-distance degrees
of freedom

2) Rescale distances and times

$$x' = x/s$$

$$\tau' = \tau/s^2$$

$$T' = T s^2$$

3) Define new coupling constants

$$g' = R[g, u]$$

$$u' = R[g, u].$$

homogeneity relationship for free energy

$$\mathcal{F} = -\frac{k_B T}{V} \ln Z$$

$$\mathcal{F} = s^{-d-3} \left[\mathcal{F}'(g', u') + \mathcal{F}(g', u') \right]$$

\downarrow
 free energy of modes integrated out.

Iterate process $[s = e^{\lambda}]$

$$\mathcal{F} = e^{-(d+3)\lambda} \mathcal{F}(g(\lambda), u(\lambda), T(\lambda)) + \int_0^\lambda e^{-(d+3)\lambda'} \mathcal{F}(g(\lambda'), u(\lambda'), T(\lambda')) d\lambda'$$

In the limit $\lambda \rightarrow \infty$, first term is not important

(11) Near critical point

$$g(\lambda) = (g - g_c) e^{\lambda/\nu}$$

$$T(\lambda) = e^{3\lambda} T$$

$$u(\lambda) = e^{-\phi\lambda} (u - u^*)$$

$$\mathcal{F} = \int_0^\infty d\lambda e^{-(d+3)\lambda} \mathcal{F}(e^{3\lambda} T, e^{\lambda/\nu} \delta g, e^{-\phi\lambda} \delta u)$$

define $x = e^{3\lambda} T$

$$= \frac{T^{1+d/3}}{3} \int_T^\infty \frac{dx}{x} \left(\frac{1}{x}\right)^{1+d/3} \mathcal{F}\left(x, \delta g \left(\frac{x}{T}\right)^{1/3\nu}, \delta u \left(\frac{x}{T}\right)^{-\phi/3}\right)$$

As $T \rightarrow 0$ lower limit can be set to 0.
 (Infrared divergent terms lead only to regular terms in T).

(12)

Finally

$$\mathcal{F} = T^{1+d/3} \Phi\left(\frac{|\delta g|^{2\nu}}{T}\right)$$

UNLESS:-

g has a singular dependence on δu

THEN

$$\text{if } g \sim \frac{1}{\delta u}$$

$$\text{and } \delta u(\ell) = e^{-\theta \ell} \delta u$$

we get

$$\mathcal{F} = T^{1+\frac{d-\theta}{3}} \Phi\left(\frac{|\delta g|^{2\nu}}{T}\right)$$

$\theta \rightarrow$ VIOLATION OF HYPERSCALING EXPONENT.

(13)

IN SUMMARY

(14)

$$\mathcal{F} = T^{1+(d-\theta)/3} \Phi(T \xi_\tau)$$

SIMILARLY FOR OTHER OBSERVABLES

$$\chi(k, \omega) = T^{-\frac{(2-\gamma)}{3}} \tilde{\Phi}\left(T \xi_\tau, \frac{k}{T^{1/3}}, \frac{\omega}{T}\right)$$

The dimensionless quantity

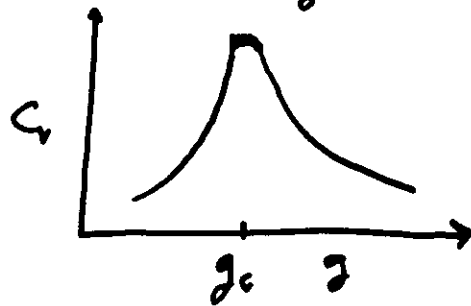
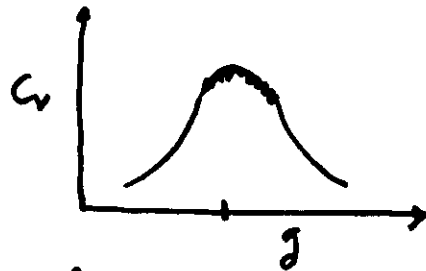
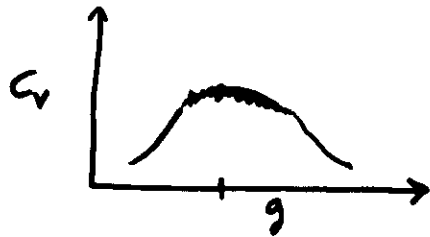
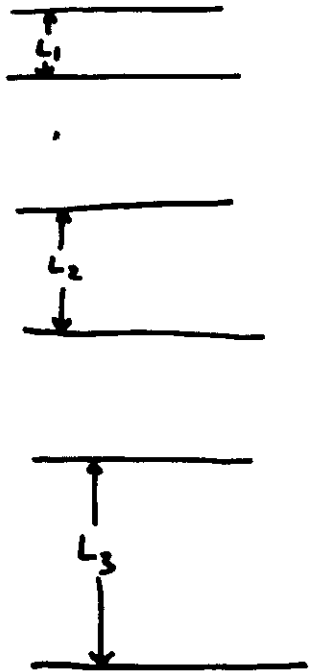
$$T \xi_\tau = \frac{\xi_\tau}{L_\tau}$$

is crucial.

FINITE SIZE SCALING IN
STATISTICAL MECHANICS

2D ISING MODEL ON A $(L \times \infty)$
LATTICE

$$Z = \sum_{\sigma_i} \exp\left(\frac{1}{g} \sum_{\langle ij \rangle} \sigma_i \sigma_j\right)$$



(15)

Let $\xi_{\infty} \sim |g - g_c|^{-\nu}$ be the
correlation length in $\infty \times \infty$ sample

(16)

~~~~~  $L < \xi_{\infty}$

PROPERTIES ARE SENSITIVE TO  
L BUT INSENSITIVE TO  
g

————  $L > \xi_{\infty}$

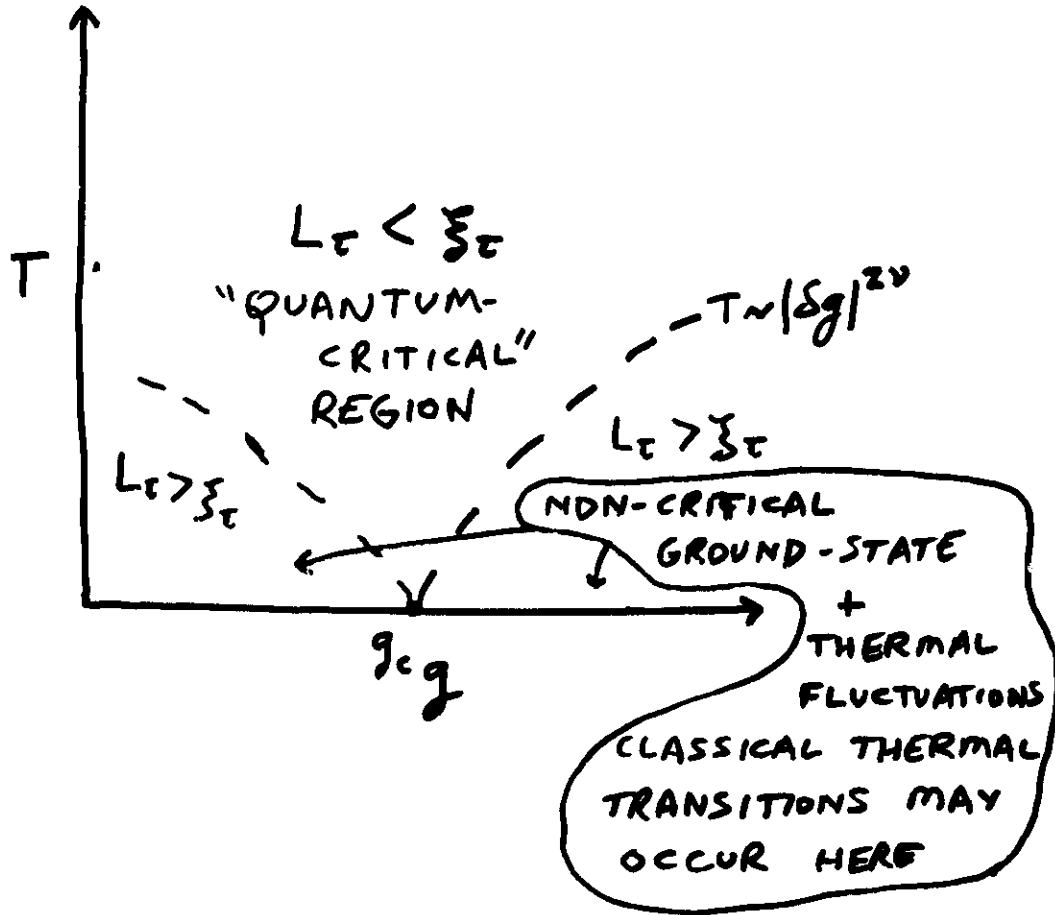
PROPERTIES DEPEND UPON  
g BUT ARE INSENSITIVE  
TO L

RETURN TO QUANTUM PROBLEM

(17)

$$L \rightarrow L_T = \hbar / k_B T$$

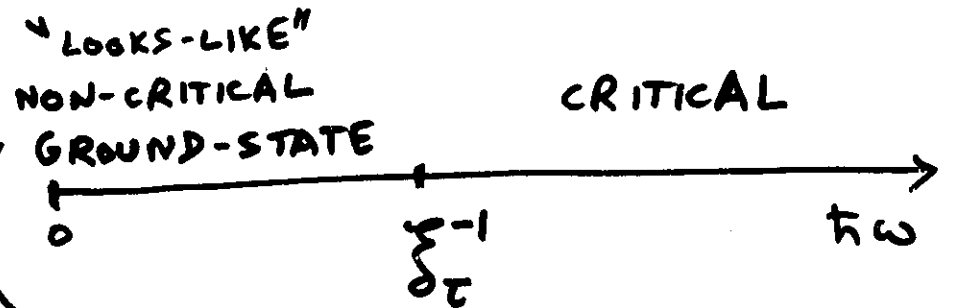
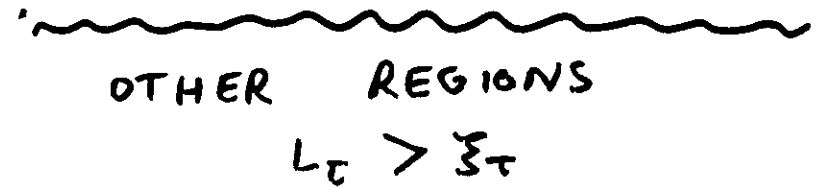
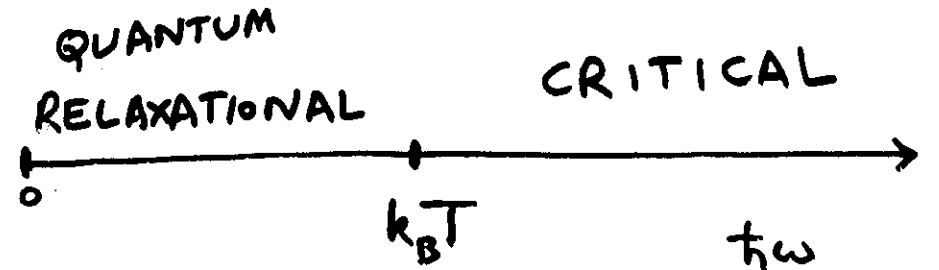
$$\xi_0 \rightarrow \xi_T \approx |g - g_c|^{-2\nu}$$



QUANTUM-CRITICAL REGION

(18)

$$L_T < \xi_T$$



ALMOST ALL OF CONVENTIONAL SOLID STATE PHYSICS AND STATISTICAL MECHANICS IS HERE

(19)

First example of a quantum phase transition

DILUTE BOSE GAS WITH  
REPULSIVE INTERACTIONS

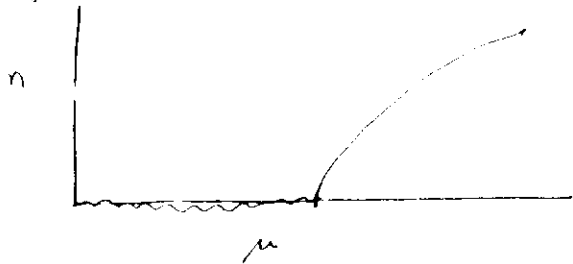
$$H = \int \left[ -\frac{\hbar^2 \psi^\dagger \nabla^2 \psi}{2m} - \mu \psi^\dagger \psi \right] d^d x$$

$$+ \frac{1}{2} \int d^d x d^d x' \psi^\dagger(x) \psi^\dagger(x') v(x-x') \psi(x) \psi(x')$$

$\psi^\dagger \rightarrow$  Boson annihilation operator

$v > 0 \rightarrow$  repulsive interactions.

Follow density  $n = \langle |\psi|^2 \rangle$  as a function  
of  $\mu$  at  $T=0$



For  $\mu < 0$ ,  $n = 0$  clearly

$\mu > 0$   $n \neq 0$

(20)

Non-analyticity at  $\mu = 0$

$\rightarrow$  Quantum phase transition

at  $\mu = 0$   $T = 0$

Renormalization group analysis

Coherent state path integral

$$Z = \int \mathcal{D}\psi \exp\left(\frac{S}{\hbar}\right)$$

$$S = \int_0^{\hbar/k_B T} d\tau \int d^d x \left[ \hbar \psi^\dagger \frac{\partial \psi}{\partial \tau} + \frac{\hbar^2}{2m} \psi^\dagger \nabla^2 \psi + \mu \psi^\dagger \psi - u |\psi^\dagger \psi|^2 \right]$$

Rescaling transformation

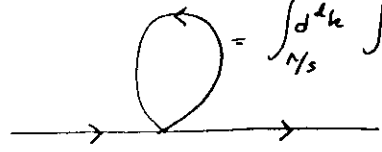
$$x' = x/s \quad \tau' = \tau/s^z$$

$$\psi' = \psi s^{(d+3-2+\gamma)/2}$$

$$\mu' = \mu s^2$$

$$u' = u s^{4-2\gamma-d-3}$$

Integrate out high momentum modes

$$\int_{\Lambda/s}^{\Lambda} d^d k \int d\omega \frac{1}{-i\omega + \Lambda^2} = 0$$


graph vanishes at  $T=0$ .

In fact, all corrections to Green's function vanish and self energy  $\Sigma = 0$  exactly.

$\Rightarrow$  No renormalizations to  $\psi^* \frac{\partial \psi}{\partial t}$ ,  $\psi^* \nabla^2 \psi$ ,  $\psi^4$  terms

$\Rightarrow$  RG equations ( $s = e^l$ )

$$\boxed{z = 2 \quad \eta = 0 \quad \text{exact}}$$

$$\boxed{\frac{du}{dl} = 2u \quad \text{exact}}$$

Eqn for  $u$ ,

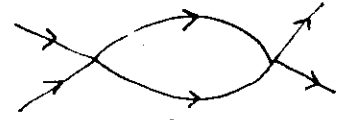
~~Non-trivial renormalization~~



$\hookrightarrow$  implies  $\nu = \frac{1}{2}$

Eqn for  $u$

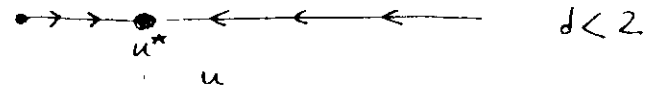
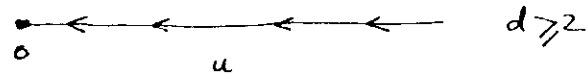
Non-trivial renormalization



$$\int_{\Lambda/s}^{\Lambda} d^d k \int d\omega \frac{1}{-i\omega + \Lambda^2} \frac{1}{i\omega + \Lambda^2}$$

$$\frac{du}{dl} = (2-d)u - \frac{m K_d \Lambda^{d-2}}{\hbar^2} u^2$$

$$\left[ K_d = \frac{S_d}{(2\pi)^d} \right]$$



For  $d \geq 2$  interactions are "irrelevant"

$d < 2$  " " "relevant"

$d = 2$  is the upper critical dimension.

(23)

Free energy(I)  $d > 2$  $u$  is "dangerously-irrelevant"

$$\text{Free energy} \sim \frac{1}{u}$$

$$\Rightarrow \theta = d - 2.$$

Using  $\beta = 2$ ,  $\nu = 1/2$  we then have  
 $\beta\nu = 1$ 

$$\mathcal{F} = T^2 \Phi\left(\frac{\mu}{T}\right)$$

or at  $T=0$ 

$$\mathcal{F} \sim \mu^2$$

$$\Rightarrow n = \frac{\partial \mathcal{F}}{\partial \mu} \sim \mu$$

cf. result of Lee and Yang for  $d=3$ 

hard sphere Bose gas

$$n = \frac{2m\mu}{\hbar^2} \frac{1}{8\pi a} + \dots \mathcal{O}(\mu^2)$$

Note: Co-eff  $\frac{n}{\mu}$  depends on details of microscopic interactions

(24)

(II)  $d < 2$  $u \rightarrow u^*$  at fixed point

~~is a~~  $u - u^*$  is not dangerously irrelevant as  $\mathcal{F} \sim \frac{1}{u^*}$

$$\text{so } \theta = 0$$

and

$$\mathcal{F} = k_B T \left( \frac{2mk_B T}{\hbar^2} \right)^{d/2} \Phi\left(\frac{\mu}{k_B T}\right)$$

$\Phi$  is a non-trivial scaling function which depends on  $u^*$

$\Rightarrow \Phi$  is completely universal, with no arbitrary scale factors, and independent of microscopic interactions.

At  $T=0$ 

$$n = \frac{\partial \mathcal{F}}{\partial \mu} = \left( \frac{2m\mu}{\hbar^2} \right)^{d/2} C$$

$C \rightarrow$  universal number

Test of scaling results in  $d=1$ .

It can be shown that in  $d=1$ ,  $u^* = \infty$   
and no other terms become relevant.

critical theory  $\rightarrow$  IMPENETRABLE

BOSE GAS

$\downarrow$  equivalent to free fermions.



$$k_F^2 = \frac{2m\mu}{\hbar^2}$$

At  $T=0$

$$F = \int_{-k_F}^{k_F} \frac{dk}{2\pi} \frac{\hbar^2 k^2}{2m} - \mu \int_{-k_F}^{k_F} \frac{dk}{2\pi}$$

$$= - \left( \frac{2m}{\hbar^2} \right)^{1/2} \frac{2\mu^{3/2}}{3\pi} \quad [ \sim \mu^{1+1/2} ]$$

$$n = - \frac{\partial F}{\partial \mu} = \left( \frac{2m\mu}{\hbar^2} \right)^{1/2} \frac{1}{\pi} \Rightarrow \boxed{c = \frac{1}{\pi}}$$

Scaling function

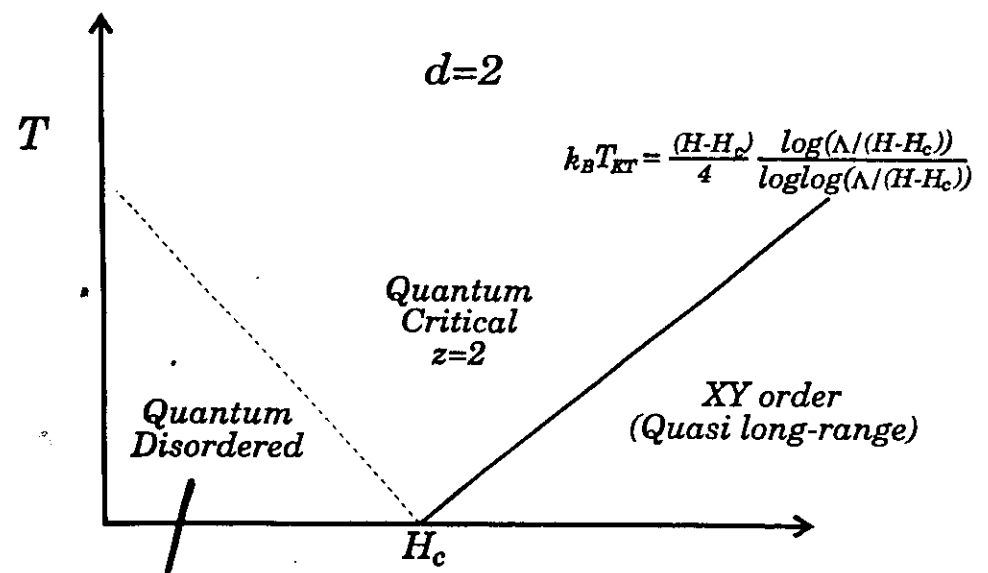
$$F = k_B T \left( \frac{2m k_B T}{\hbar^2} \right)^{1/2} \Phi \left( \frac{\mu}{k_B T} \right)$$

$$\Phi(x) = - \frac{1}{\pi} \int_0^{\infty} \ln(1 + e^{-t-x^2}) dx$$

# FINITE TEMPERATURE PHASE DIAGRAM

$$ZV = 1.$$

$\rightarrow$  CROSSOVERS ARE STRAIGHT LINES.



$$\mu = H - H_c$$

FIG 2

**DILUTE THERMALLY EXCITED BOSONS**

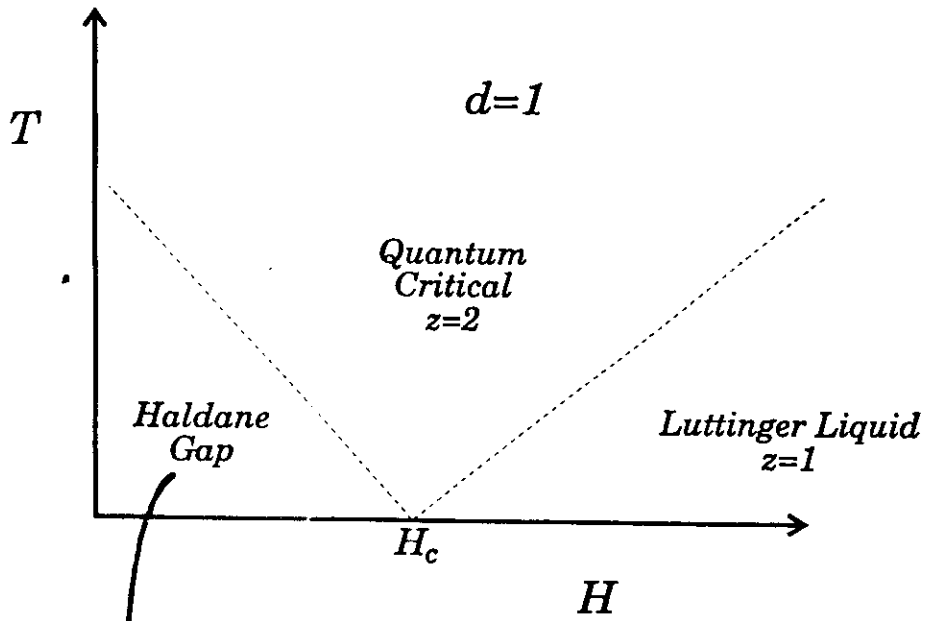
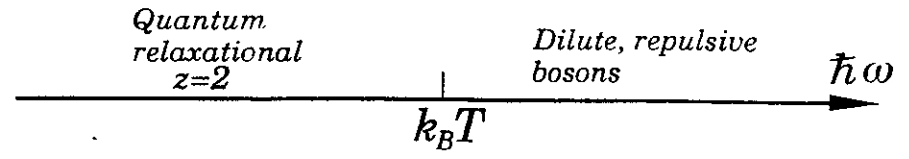
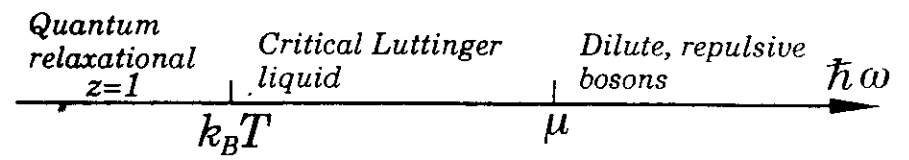


FIG 1  
**DILUTE THERMALLY EXCITED BOSONS**

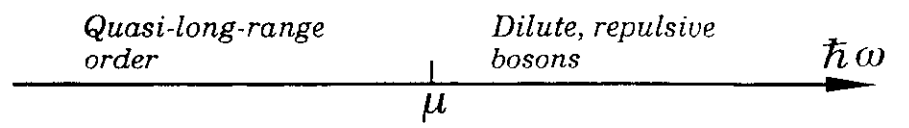
$$|\mu| \ll k_B T ; d=1,2$$



$$\mu \gg k_B T ; d=1$$



$$\mu \gg k_B T ; d=2$$



ALL CROSSOVERS DESCRIBED BY

$$G_R(k, \omega) = \frac{1}{k_B T} \tilde{\Phi} \left( \frac{\hbar\omega}{k_B T}, \frac{\hbar k}{\sqrt{2mk_B T}}, \frac{\mu}{k_B T} \right)$$

$\tilde{\Phi} \rightarrow$  FULLY UNIVERSAL FUNCTION.

Computation of  $\tilde{\Phi}$  in  $d=1$ .

can be reduced to (numerical) solution of complicated integro-differential equations (Korpin - Slavnov).

Illustrate two special cases

(1) Equal-time correlation function ~~structure factor~~  
Structure factor

$$S(k) = \int dx G(x) e^{ikx}$$

$$G(x) = \langle \psi(0) \psi^\dagger(x) \rangle$$

$$= \langle \psi_F(0) \exp(i\pi \int_0^x dx' \psi_F^\dagger(x') \psi_F(x')) \psi_F^\dagger(x) \rangle$$

fermion annihilation operator  
Jordan-Wigner phase factor

$$= \langle 0 | \hat{G}_F (1 - 2\hat{G}_F)^{-1} | x \rangle \det(1 - 2\hat{G}_F)$$

where  $\langle x | \hat{G}_F | x' \rangle = \int_{-\infty}^{\infty} \frac{dk}{2\pi} \frac{e^{ik(x-x')}}{e^{(k^2/4m - \lambda)/kT} + 1}$

Expression can be obtained by expanding exponent, using Wick's theorem for free fermions, and then resumming the series.

result

$$S(k) = B \left( \frac{\hbar k}{\sqrt{2m k_B T}}, \frac{\mu}{T} \right)$$

Numerical results for B →



$S(k)$  in  $d=1$ !

$$S(k) = B \left( \frac{\hbar k}{\sqrt{2m} k_B T}, \frac{\hbar k}{k_B T} \right)$$

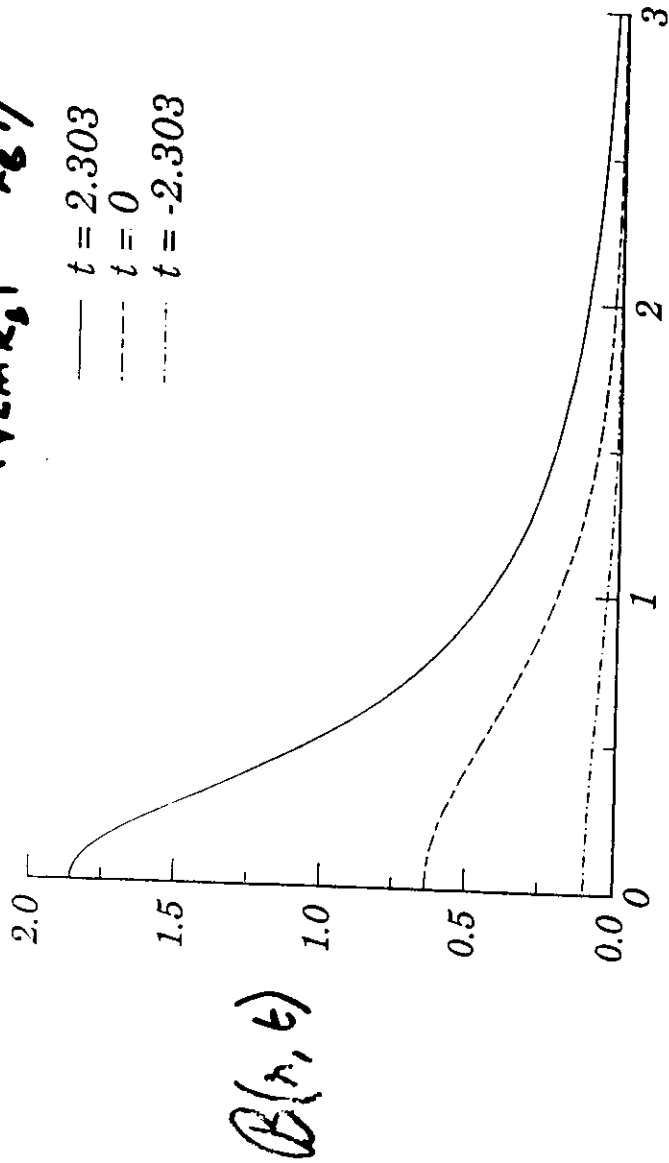


FIG 4

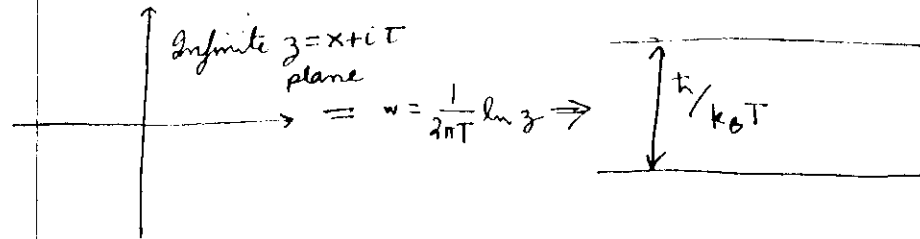
(31)

(II) ~~Static~~ Dynamic correlation function in Luttinger liquid ~~region~~ region  $\mu \gg k_B T$ , scan tw from  $\ll k_B T$  to  $\gg k_B T$ .

At  $T=0$ , bosonization technology can be used to obtain

$$G(x, \tau) = \langle \Psi(x, \tau) \Psi^\dagger(0, 0) \rangle = \frac{D}{(x^2 + c^2 \tau^2)^{1/4}} \quad [c = v_F \text{ Fermi velocity of fermions}]$$

Finite  $T$ :  $z=1$  critical theory is Lorentz and conformally invariant. Conformal invariance maps  $T=0$  problem onto finite  $T$  problem



(32)

Using conformal transformation

$$G(x, \tau) \Big|_{\text{finite } T} = \frac{D}{2^{1/4}} \left( \frac{2\pi k_B T}{\hbar c} \right)^{1/4} \frac{1}{\left( \cosh \frac{2\pi k_B T x}{\hbar c} - \cos \frac{2\pi k_B T \tau}{\hbar} \right)^{1/4}}$$

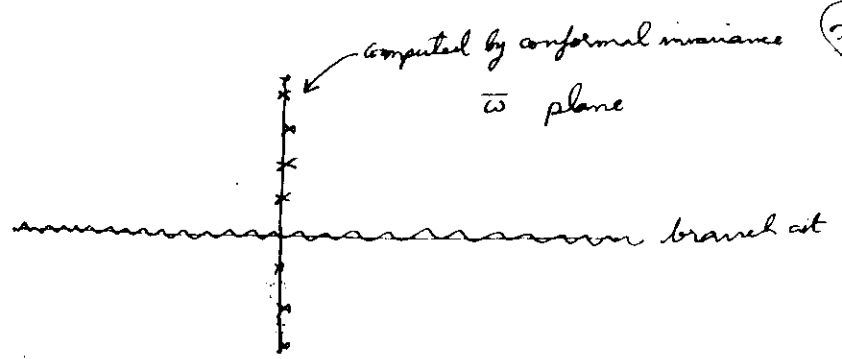
Take F.T.

$$\chi(q, i\omega_n) = \int dx e^{ikx} \int_0^{\hbar/k_B T} dt \mathcal{B} e^{-i\omega_n t} G(x, \tau)$$

$$= \frac{D}{c} \left( \frac{\hbar c}{k_B T} \right)^{3/2} \mathcal{A}_L \left( \frac{i\hbar \omega_n}{k_B T}, \frac{\hbar c k}{k_B T} \right)$$

$$\mathcal{A}_L(i\bar{\omega}, \bar{k}) = \frac{1}{2\sqrt{2}\pi} \frac{\Gamma(3/4)}{\Gamma(1/4)} \frac{\Gamma\left(\frac{1}{8} + \frac{|\bar{\omega} + i\bar{k}}{4\pi}\right) \Gamma\left(\frac{1}{8} + \frac{|\bar{\omega} - i\bar{k}}{4\pi}\right)}{\Gamma\left(\frac{7}{8} + \frac{|\bar{\omega} + i\bar{k}}{4\pi}\right) \Gamma\left(\frac{7}{8} + \frac{|\bar{\omega} - i\bar{k}}{4\pi}\right)}$$

To get dynamic correlation functions we must analytically continue to physical frequencies. Note: poles of  $\Gamma$  fns are in unphysical Riemann sheet  $\rightarrow$  the only singularities of  $\mathcal{A}_L(\bar{\omega}, \bar{k})$  in complex  $\bar{\omega}$  plane is the branch cut on real  $\bar{\omega}$  axis



Poles are in second sheet.

Excitation spectrum

$$\hbar\omega, \hbar ck \gg k_B T$$

propagating "phonons" with lifetime  $\sim k_B T$

$$\hbar\omega, \hbar ck \ll k_B T$$

overdamped phonons.

strong interaction between thermally excited phonons.

$$\chi(k, \omega) = \frac{D}{c} \left( \frac{\hbar c}{k_B T} \right)^{3/2} A \left( \frac{\hbar \omega}{k_B T}, \frac{\hbar c k}{k_B T} \right)$$

$\hbar c k / k_B T$

- 1
- ⋯ 3
- - - 5

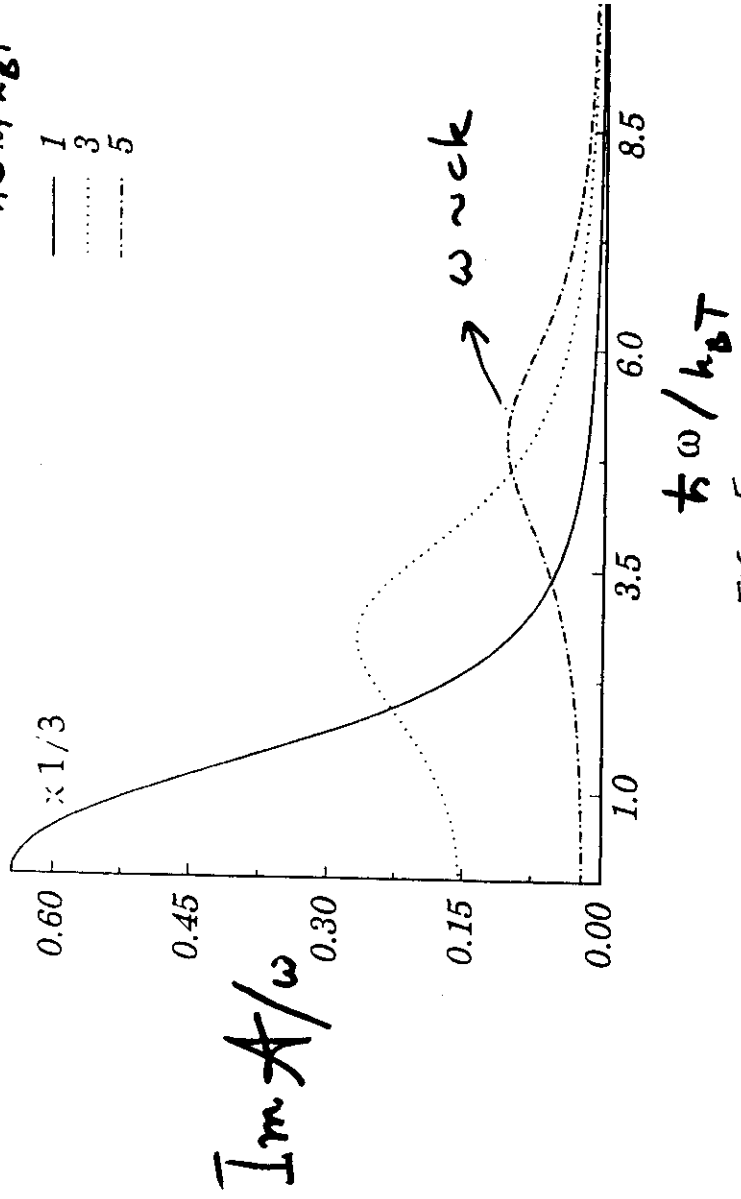


FIG 5

$$\chi_L(\omega) = \int \frac{dk}{2\pi} \chi(k, \omega)$$

$$\text{Im} \chi_L(\omega) = \frac{D}{\sqrt{c\omega}} F_L \left( \frac{\hbar \omega}{k_B T} \right)$$

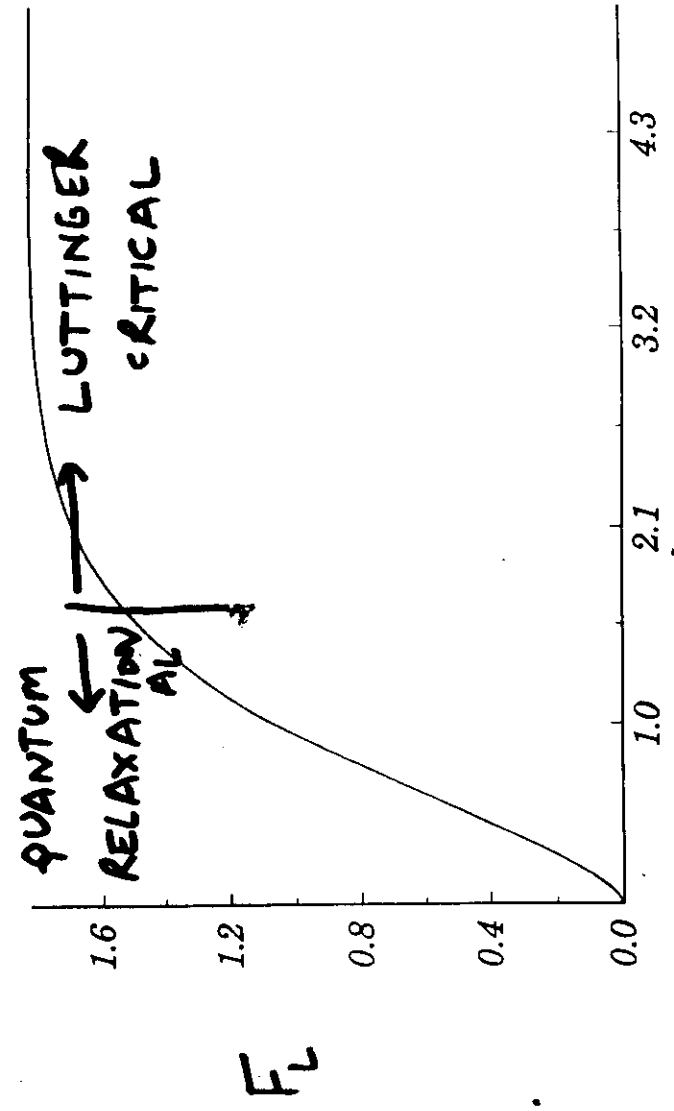


FIG 6

