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SMR. 758 - 22

**SPRING COLLEGE IN CONDENSED MATTER
 ON QUANTUM PHASES
 (3 May - 10 June 1994)**

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MAGNETOTRANSPORT MEASUREMENTS IN QUANTUM HALL EFFECT

LECTURES 2 and 3

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These are preliminary lecture notes, intended only for distribution to participants.

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**SPRING COLLEGE IN CONDENSED MATTER
 ON QUANTUM PHASES
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**MAGNETOTRANSPORT MEASUREMENTS
 IN QUANTUM HALL EFFECT**

LECTURE 2

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Part II. Ground State @ Low Fillings:

The Case for a Wigner Crystal

M. Shayegan

Trieste, May 1994

Electron System

1. Correlation Effects

Free electron gas

$$E_K \sim E_F \sim n^{2/3} \sim 1/r^2$$

$$E_C \sim e^2/r \sim 1/r$$

r = average e-e spacing

$$\text{Large } r \Rightarrow E_C/E_K \gg 1$$

\Rightarrow gas \rightarrow solid
(Wigner Crystal)

\Rightarrow "Localization"

- Magnetic field:
(extreme quantum limit)

$$E_F = \frac{2\pi^4 \hbar^4}{m^* c^2} \cdot \frac{n^2}{B^2}$$

2. Disorder

Real electron system

- impurities,
- inhomogeneities,
- defects,
- etc.

\Rightarrow Potential fluctuations

\Rightarrow Localization

In uniformly doped
semiconductors (InSb):

- shallow donors
(hydrogenic model)

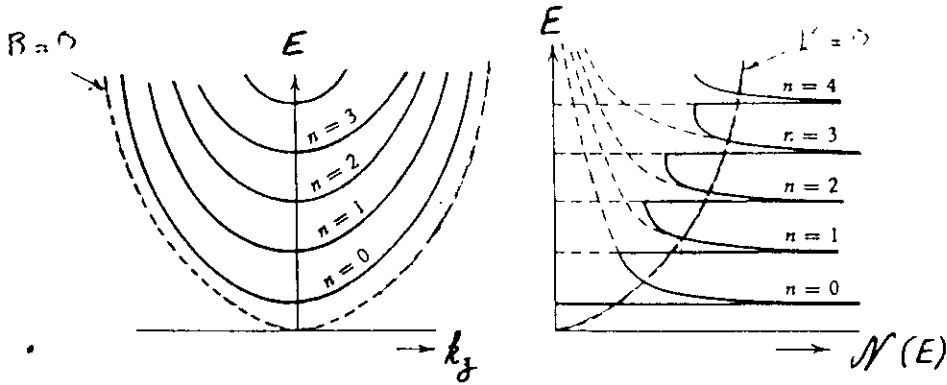
- electron-impurity
interaction $\sim e^2/r$

\Rightarrow electrons are bound
on donors
(freeze-out)

Free electrons in a magnetic field (3D)

$$E = (n+1/2)\hbar\omega_c + \frac{\hbar^2}{2m} k_z^2 \quad (n = 0,1,2,\dots)$$

$$\omega_c = eB/m \quad \text{cyclotron frequency}$$



• Extreme Quantum Limit

$$E_F = \frac{2\pi^4 \hbar^4}{me^2} \cdot \frac{n^2}{B^2}$$

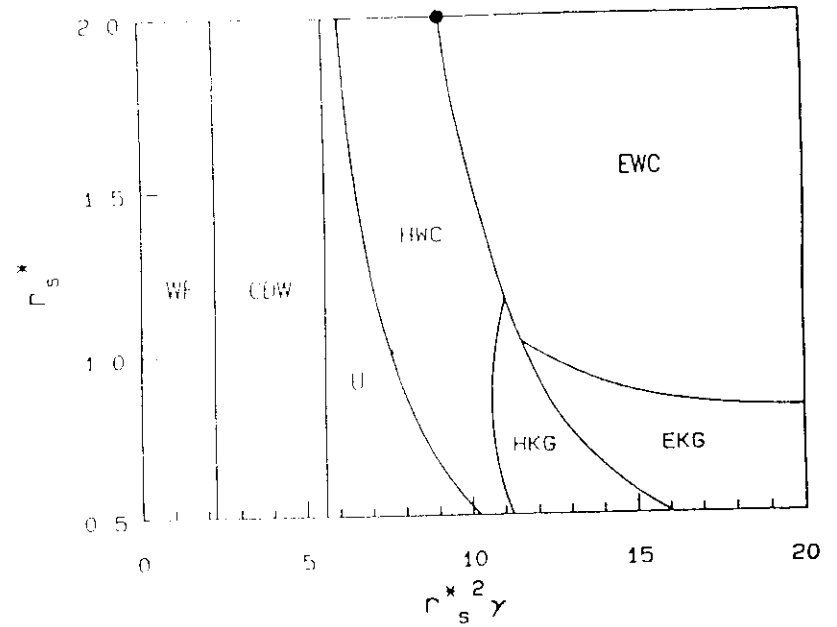
$\Rightarrow E_F$ decreases with B

• Metal: $B \sim 10^9 \text{T}$ needed

Semiconductor: $B \sim \text{few T}$

Strong-Magnetic-Field States of the Pure Electron Plasma (3D)

MacDonald & Bryant (PRL, 1987)



$$\frac{4\pi}{3} (a_B r_s^*)^3 = \frac{V}{N} = \frac{1}{n} \quad \gamma = \frac{\hbar\omega_c}{2R_y^*}$$

For GaAs with $r_s^* = 2.0$:

$$n = 3.0 \times 10^{16} \text{cm}^{-3}$$

• $\Rightarrow \gamma = 2.3$

$$E_F (@14 \text{ T}) = 0.42 \text{ meV}$$

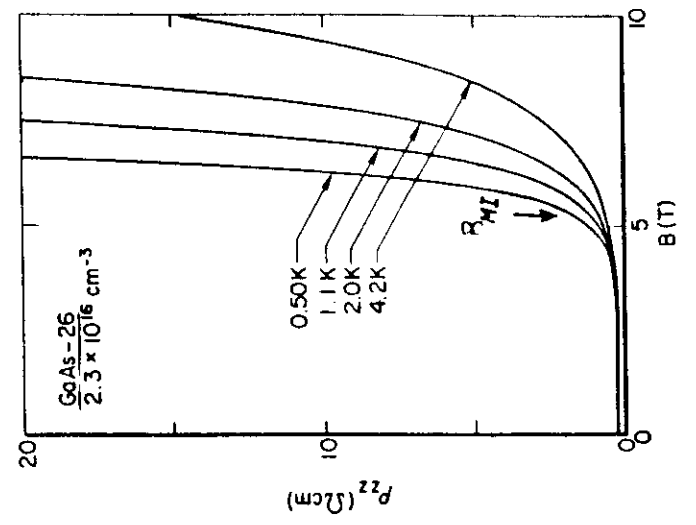
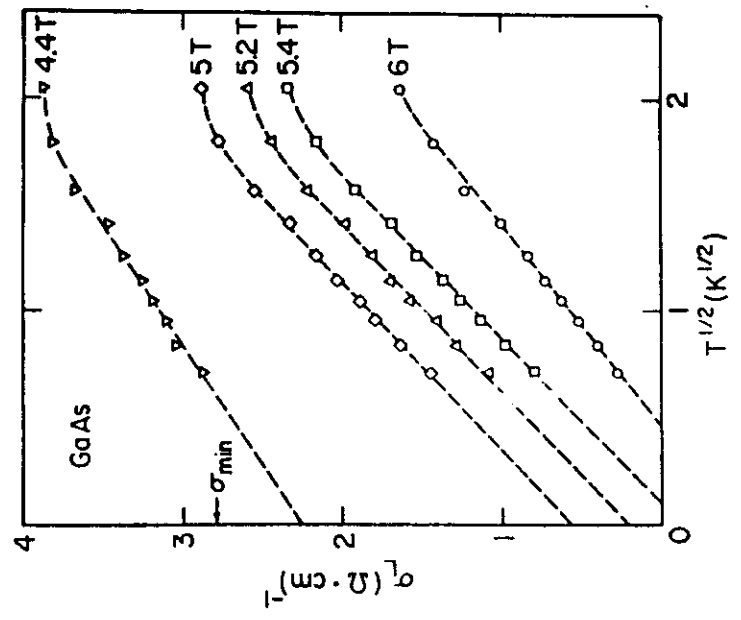
$B = 14 \text{ T}$

$$E_C = \frac{e^2}{\epsilon r_s^* a_B} = 5.5 \text{ meV}$$

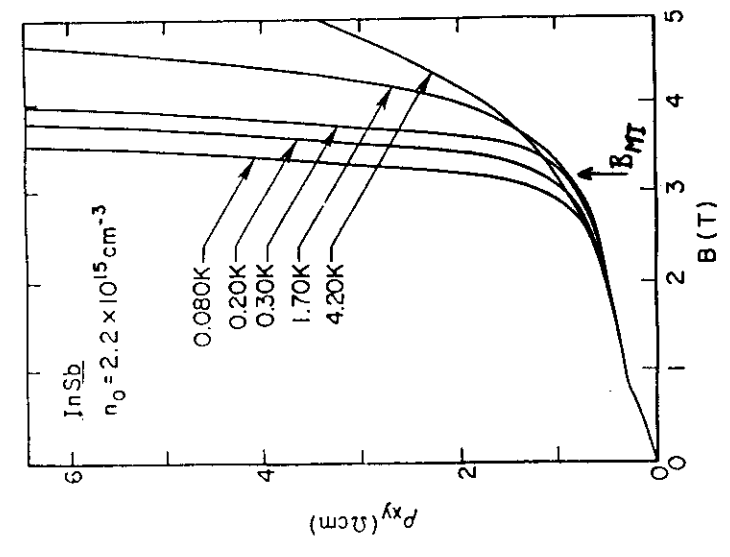
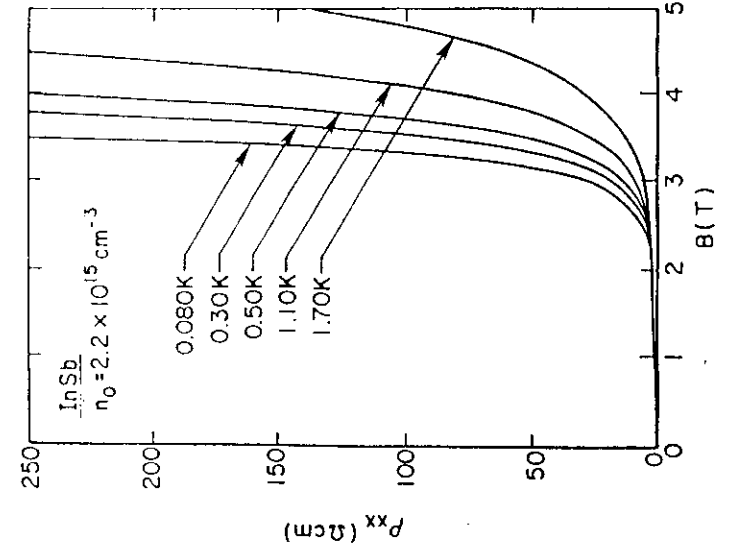
$\Rightarrow E_C/E_K \simeq 40$

$$\sigma(T) = \sigma(0) + AT^{1/2} + BT$$

Coulomb
Weak Localization



Shayegan (~1986)



Shayegan, 1988b

2D Wigner Crystal ($B=0$)

(review: Platzman, 1987)

$$\langle P.E. \rangle = (\pi n_s)^{1/2} \frac{e^2}{\epsilon}$$

$$\langle K.E. \rangle = \begin{cases} k_B T & T \rightarrow \infty \\ E_F = \frac{\pi \hbar^2 n_s}{m^*} & T \rightarrow 0 \end{cases}$$

• Classical limit ($k_B T \gg E_F$)

$$\Gamma = \frac{\langle P.E. \rangle}{\langle K.E. \rangle} = \frac{(\pi n_s)^{1/2} \frac{e^2}{\epsilon}}{k_B T}$$

• gas \rightarrow solid as $\Gamma \uparrow$
($n_s \uparrow$ or $T \downarrow$)

• e^- 's on liquid He:

solid for $\Gamma \gtrsim 130$

both theory & experiment

• Quantum limit ($k_B T \ll E_F$)

$$\Gamma = \frac{(\pi n_s)^{1/2} \frac{e^2}{\epsilon}}{\frac{\pi \hbar^2 n_s}{m^*}} = \frac{\langle r \rangle}{a_B^*} = r_s$$

• gas \rightarrow solid as $r_s \uparrow$
($n_s \downarrow$)

• theory:

solid for $r_s \gtrsim 33$

• no experiments

(Pudalov, 1993 ?)

• Physical parameters of 2D systems

GaAs/AlGaAs

2DES on He

2DES

2DHS

	2DES on He	2DES	2DHS
n_s (cm^{-2})	$10^5 - 10^9$	$10^{10} - 10^{12}$	$10^{10} - 10^{12}$
m^*/m_e	1.0	0.067	≈ 0.38
E_F (meV)	$2.4 \times 10^{-7} - 2.4 \times 10^{-3}$	0.36 - 36	0.064 - 6.4
ϵ (ϵ_0)	1.0	13	13
a_B^* (\AA)	0.53	103	18
r_s	$3.4 \times 10^5 - 3.4 \times 10^3$	5.5 - 0.55	31 - 3.1

2D Wigner Crystal ($B > 0$)

$$E_N = \hbar \omega_c \left(N + \frac{1}{2}\right) \quad \text{L.L.'s}$$

$$l_B = \left(\frac{\hbar}{eB}\right)^{1/2} \quad \left(\text{in the plane } \perp \vec{B}, e^- \text{ in the lowest L.L. confined to length } \propto l_B\right)$$

\therefore Kinetic energy "quenched"

• Important parameters:

• Filling factor

$$\nu = \frac{2l_B^2}{a^2} = \frac{E_F}{\hbar \omega_c} \quad \left(\pi a^2 = \frac{1}{n_s}\right)$$

• L.L. mixing parameter

$$\lambda = \frac{e^2/a}{\hbar \omega_c} \equiv r_s \nu$$

• For finite T

$$\Gamma_\infty = \frac{e^2/a}{k_B T}$$

• Theory:

• for $r_s \rightarrow 0$

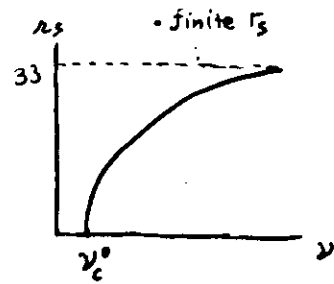
$$\nu < \nu_c^0 \Leftrightarrow \text{W.C.}$$

$$\nu_c^0 \sim \frac{1}{6} \text{ to } \frac{1}{11}$$

Logovik, 1975

Lesvesque, 1984

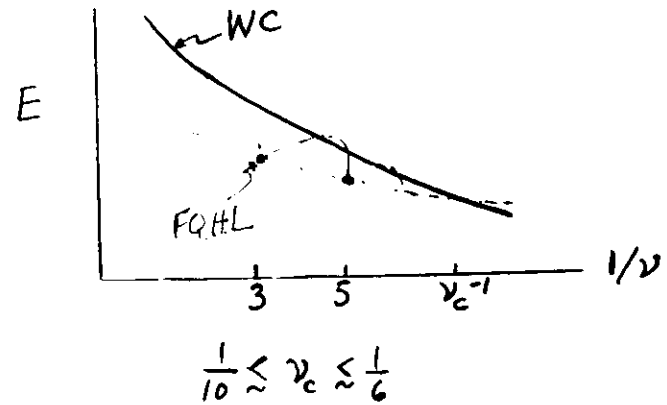
Lam & Girvin, 1984



Chui, 1991

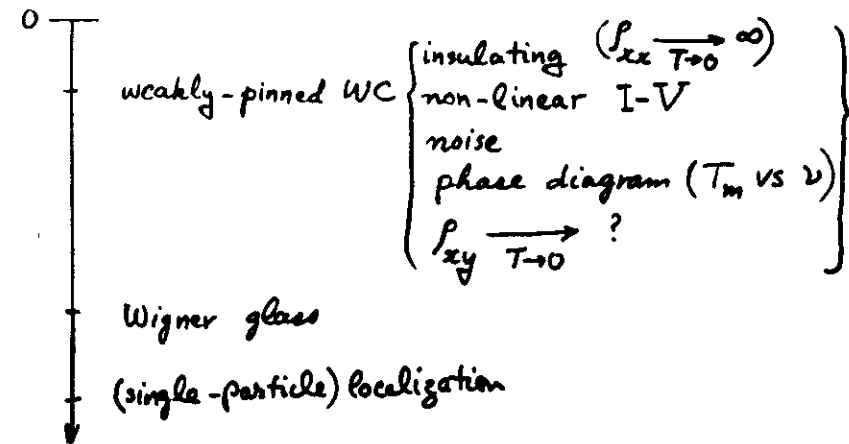
Zhu, 1993 Price, 1993

• Wigner Crystal in 2D systems at low ν ?



• Reentrant?

• Effect of Disorder?



Outline

- I. 2D electron system at GaAs/AlGaAs
 - A. Historical
 - B. Insulating phase near $\nu=1/5$
 - Non-linear I-V
 - Noise
 - ^{Melting} Phase diagram ?
- II. Other systems
 - A. Comparison with CDW in 1D (NbSe₃)
 - B. 2D electrons on He
 - C. 2D holes at GaAs/AlGaAs
 - D. 2D electrons at Si/SiO₂
- III. Summary

Quest for Low ν

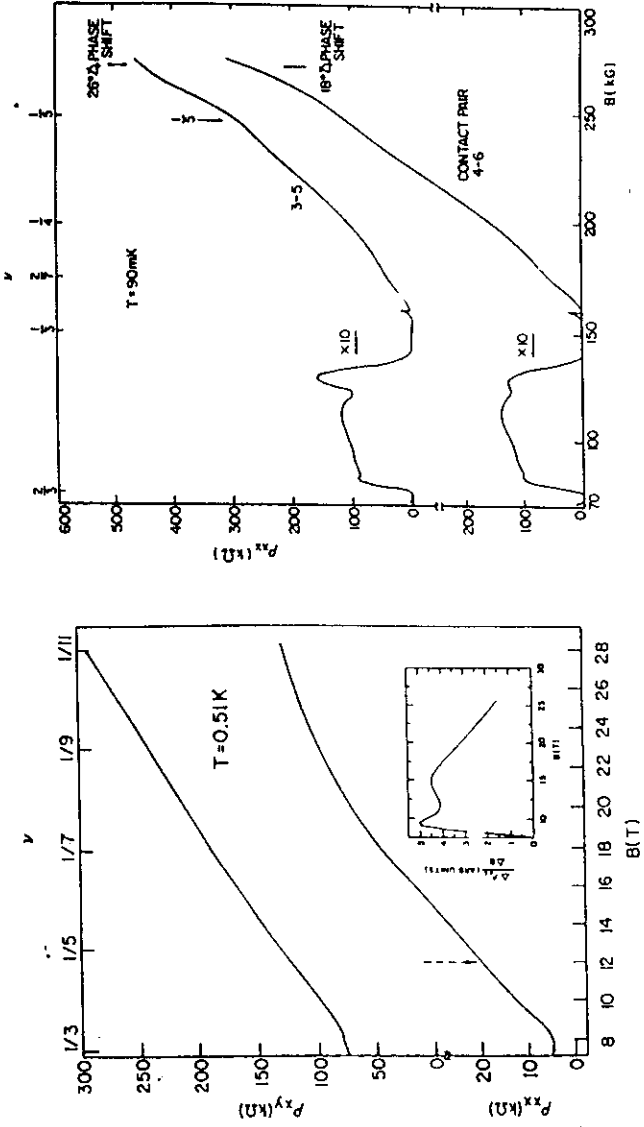
2DES in GaAs

- 1983/84: "feature" near $\nu = 1/5$ (Mendez, Chang)
- 1988: Minimum in ρ_{xx} at $\nu = 1/5$ (Willett, Nicholas, Goldman, Mallet, Glattli, Shayegan)
"inflection point" near $1/7$ (Goldman)
- 1989: Non-linear I-V near $1/5$ (Willett)
- 1990: Non-linear I-V, phase diagram (Goldman)
Vanishing ρ_{xx} at $\nu = 1/5$ (Jiang)
- 1991: More non-linear I-V (Williams, Jiang, Li)
Noise (Li)
High frequency & SAW (Willett, Paalanen)
- 1992: "Normal" $\rho_{xy} = B/ne$ near $\nu = 1/5$ (Sajoto, Goldman)

Other Systems

- 1989: Electrons on He (Jiang)
- 1990/91: 2D electrons at Si/SiO₂ (D'Iorio, Pudalov)
- 1991/92: 2D holes at GaAs/AlGaAs (Santos)
- 1992: Electrons in wide GaAs wells (Suen)

Early "features" near $\nu = 1/5$

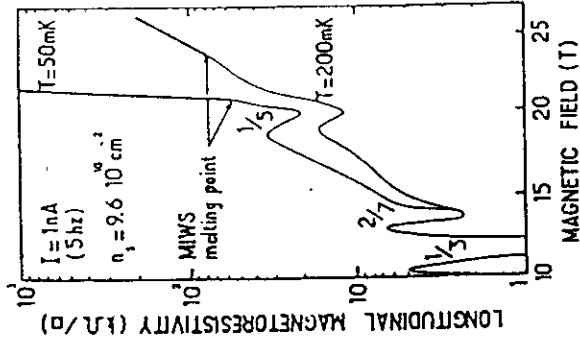


Mendez (1983)

Chang (1984)

Würzburg, August 1988

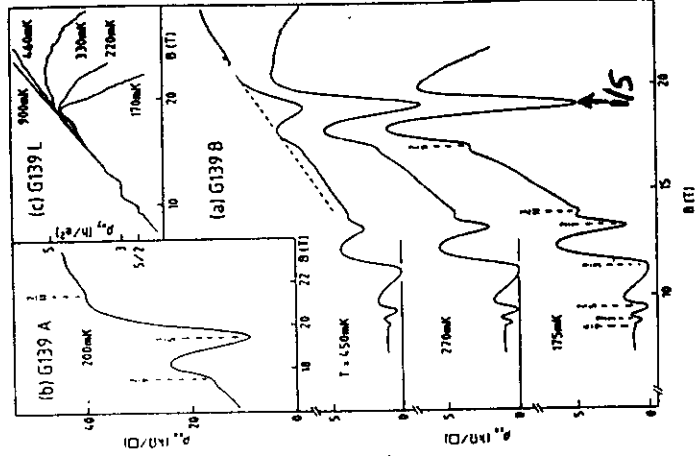
$\nu = \frac{1}{5}$ minimum emerging!



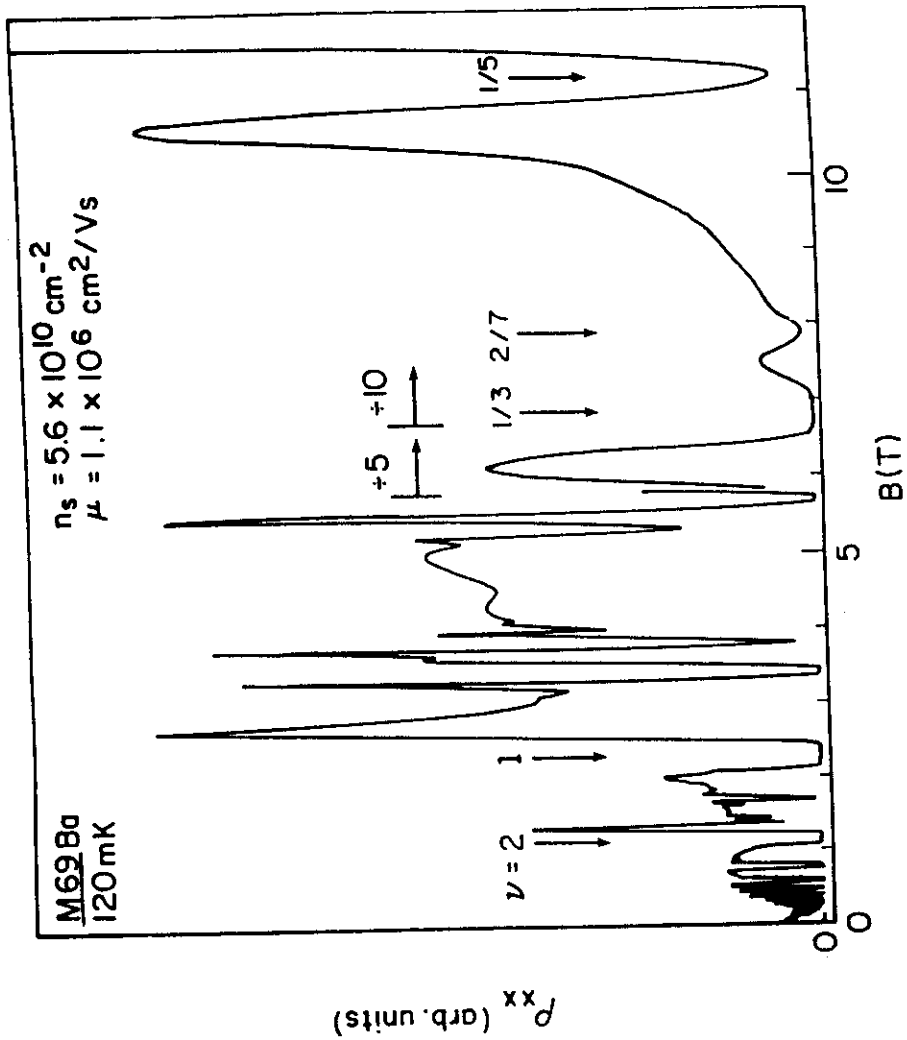
Glattli et al.

Willett et al.

Nicholas et al.

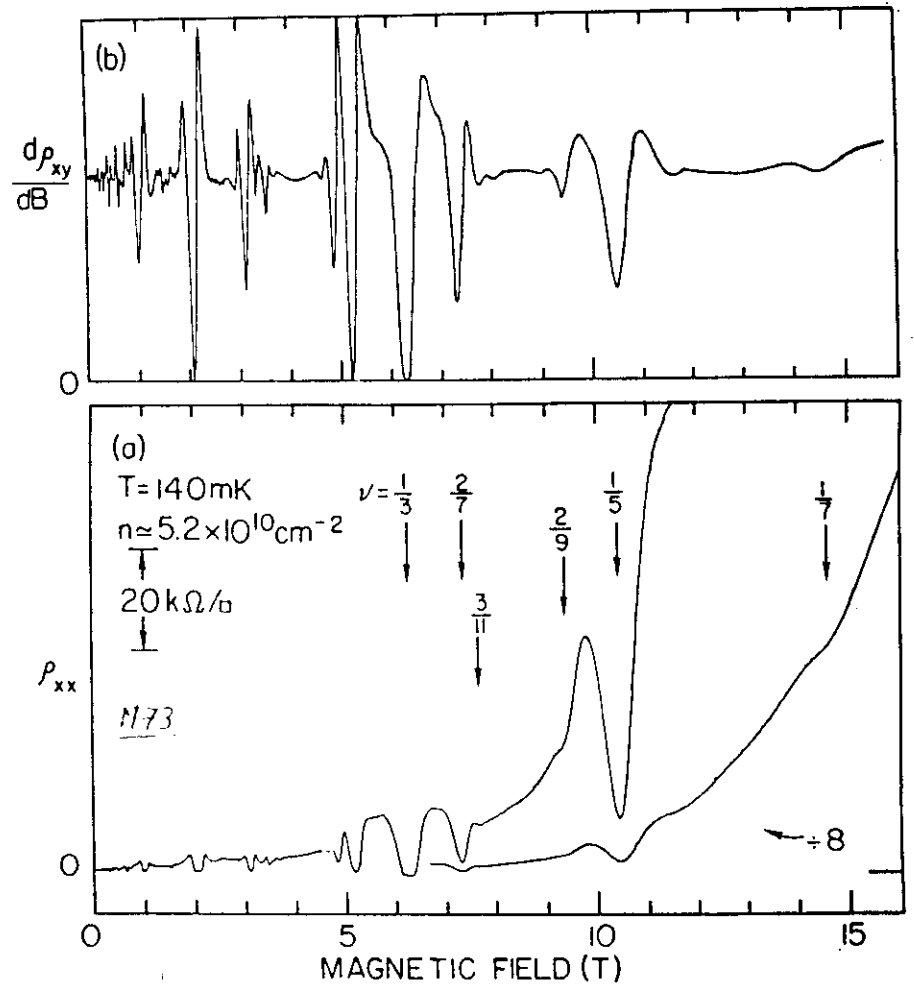


Int'l MBE Conf. (Sapporo, Aug. 1988)

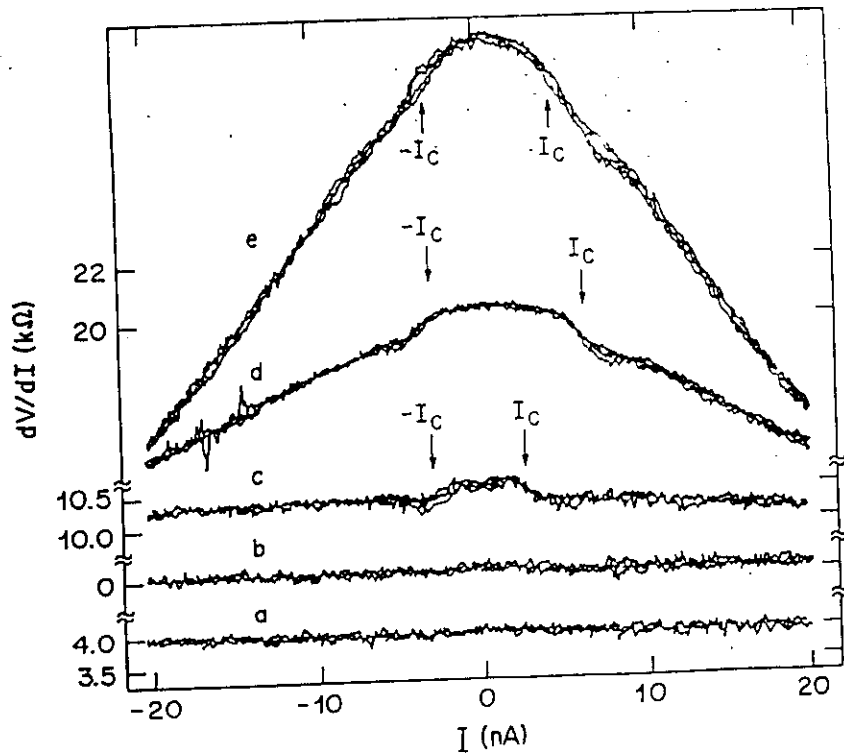
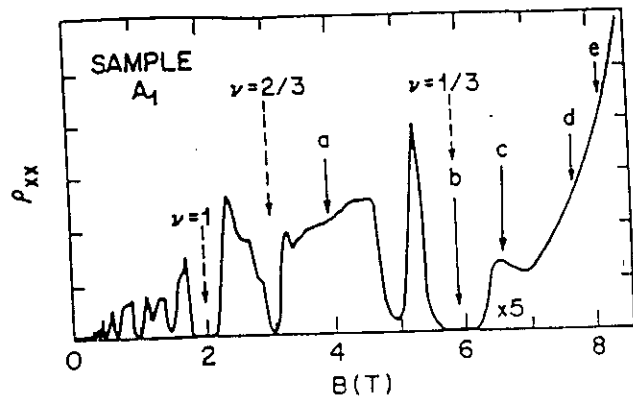


Shayegan et al. [J. Cryst. Growth, vol. 95, 1989]

Even a FQHE feature near $\nu = \frac{1}{7}$!

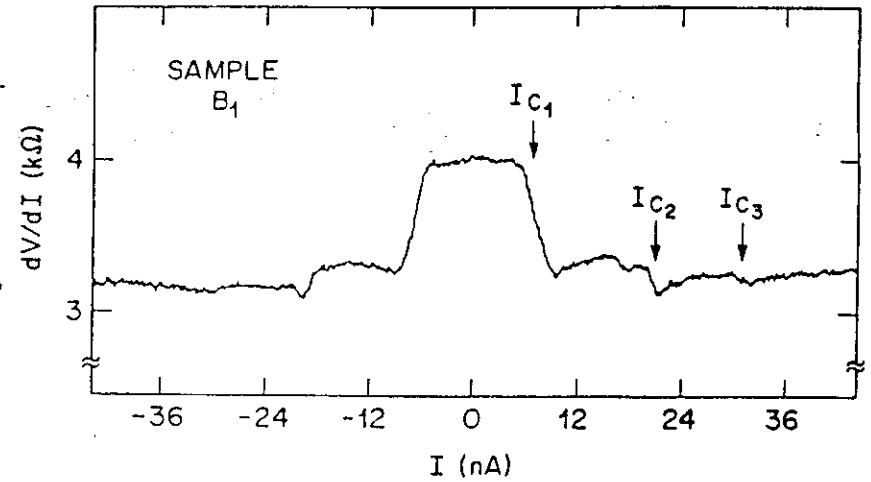


Goldman, Shayegan, & Tsui (Phys. Rev. Lett. Aug. 15, 1988)



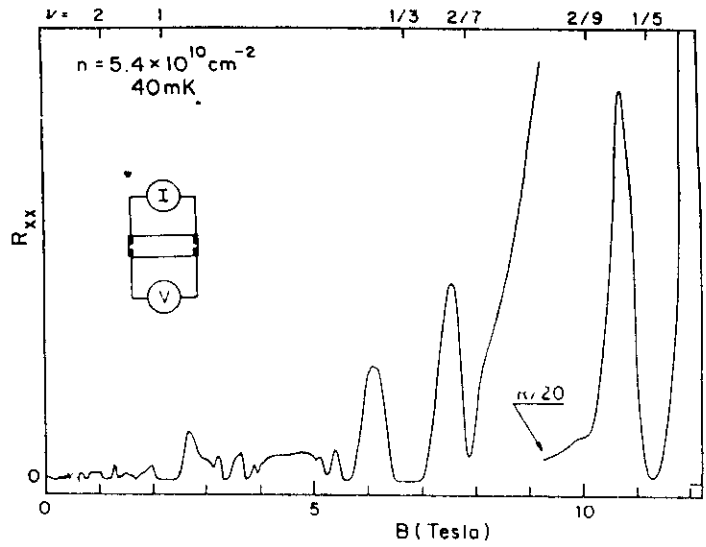
Willet et al. (1989)

- Non-linear I-V
- No clear T-dependence

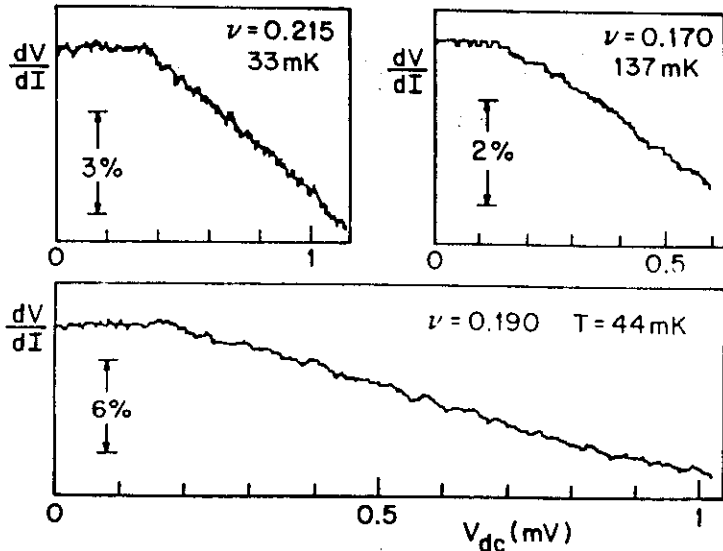


Willet et al. (1989)

• Observation of a Pinned Quantum Wigner Crystal

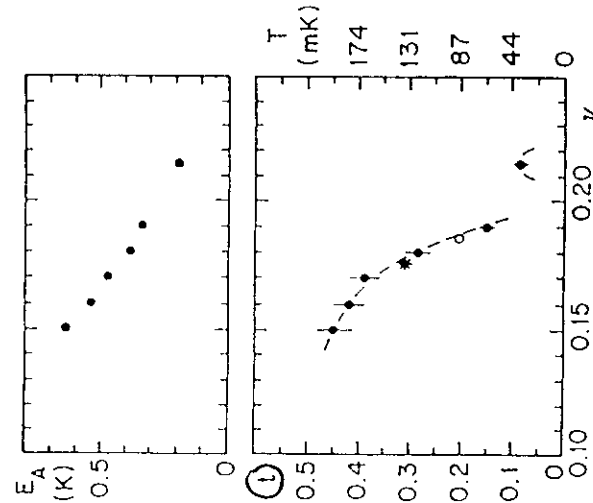


Non-linear I-V



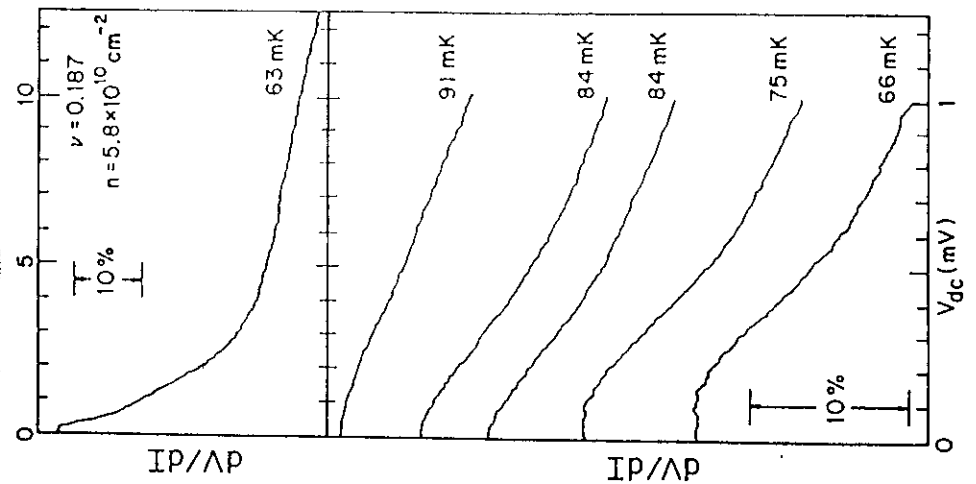
Goldman et al. (1990)

W.C. melting phase diagram?



$t = \text{reduced temperature} = \frac{T}{T_{mc}}$ where: $T_{mc} = \frac{e^2}{m^2 \epsilon a \sqrt{m}}$
 $\Gamma_m = 12.7$
 $\left\{ \begin{array}{l} a = (\pi n_s)^{-1/2} \\ \epsilon = 13\epsilon_0 \end{array} \right.$

Goldman et al. (1990)



• Reentrance around $\nu = \frac{1}{5}$ FQH liquid

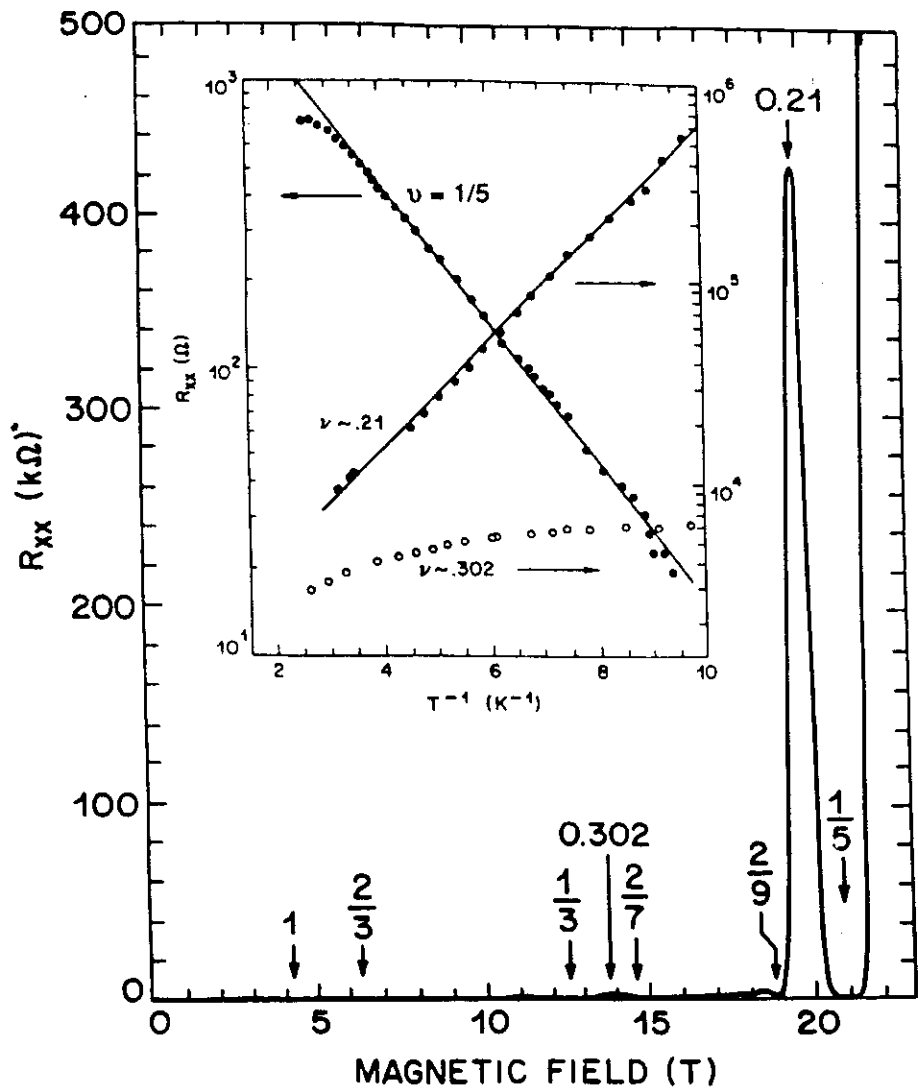
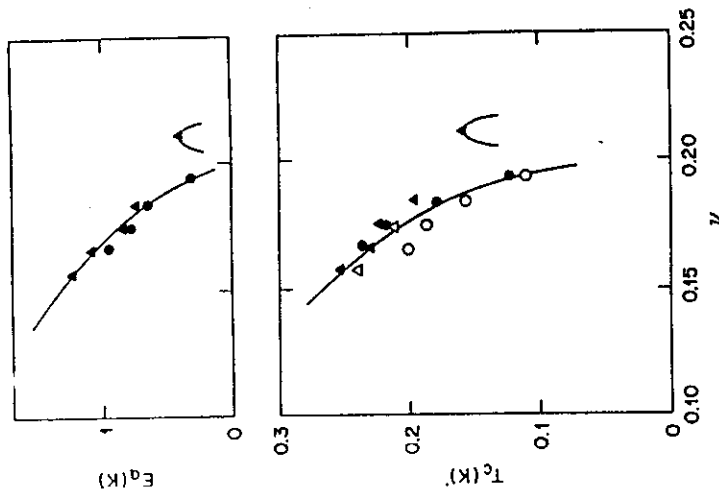
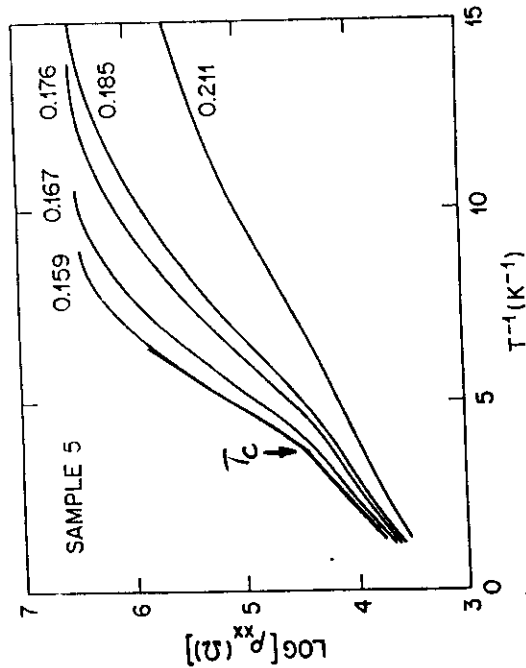


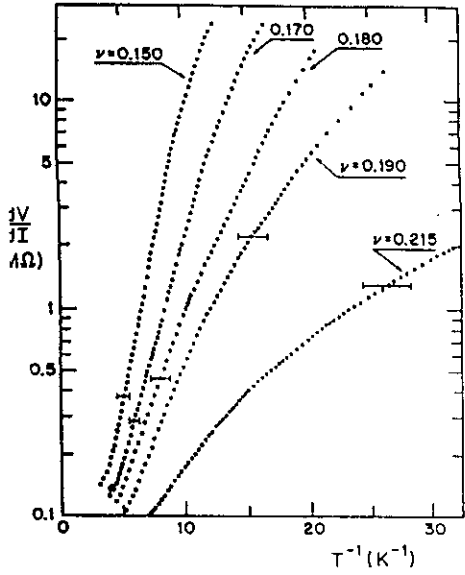
Fig. 6 Jiang et al. (1990)

"Kink" in ρ_{xx} vs T
 \downarrow
 T_c for melting!

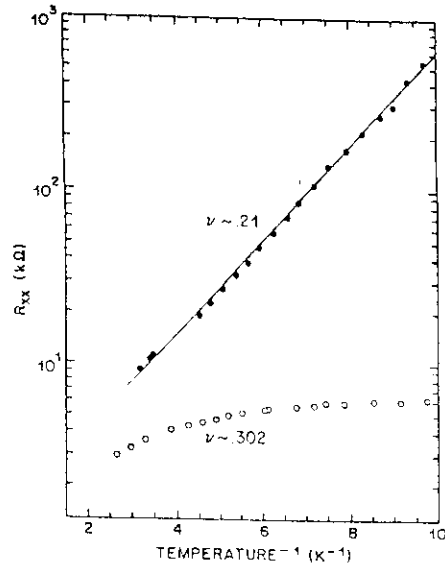


Paalonen et al. (1992)
 PRB 45, 13784

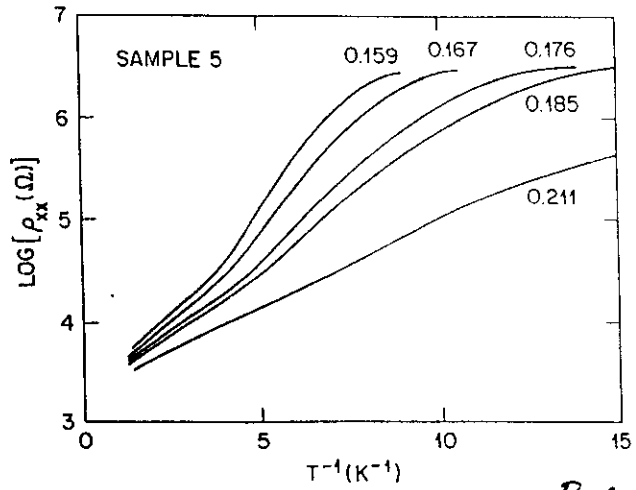
R_{xx} vs $\frac{1}{T}$ near $\nu = \frac{1}{5}$: A comparison!



Goldman, 1990



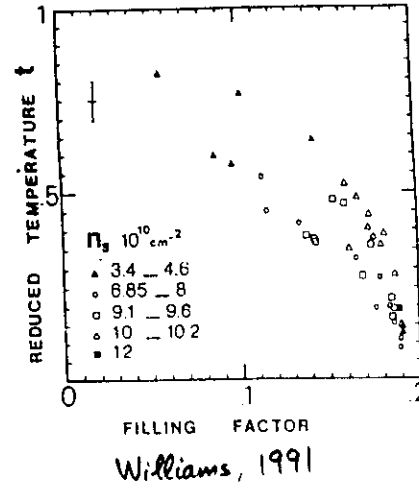
Jiang, 1990



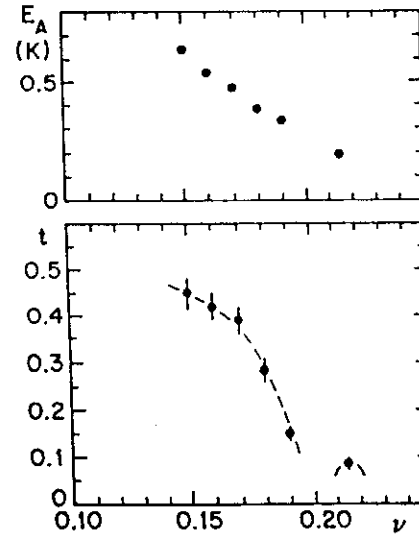
Paalanen, 1992

• 2D WC melting phase diagrams

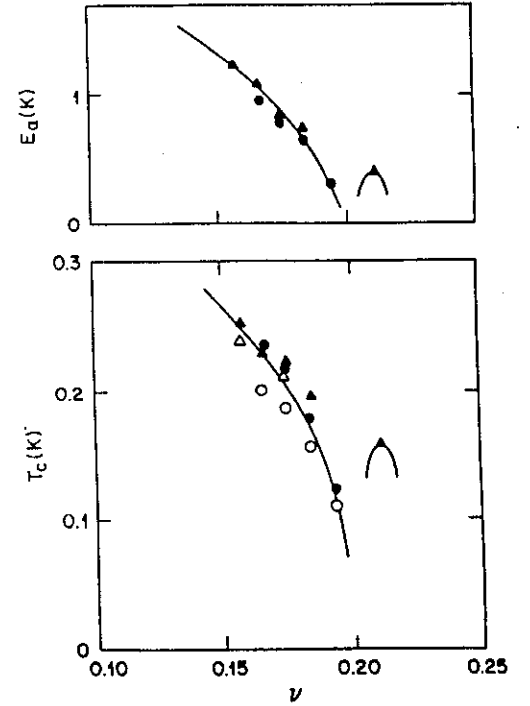
t vs ν : A comparison



Williams, 1991



Goldman, 1990



Paalanen, 1992

Transport and noise in the insulating phase around the $\frac{1}{5}$ FQH liquid

L. W. Engel, Y. P. Li, T. Sajoto, D. C. Tsui, and M. Shayegan
Dept of Electrical Engineering, Princeton University

Li, 1991

Engel, *Surf. Sci.* **263**, 44 (1992)

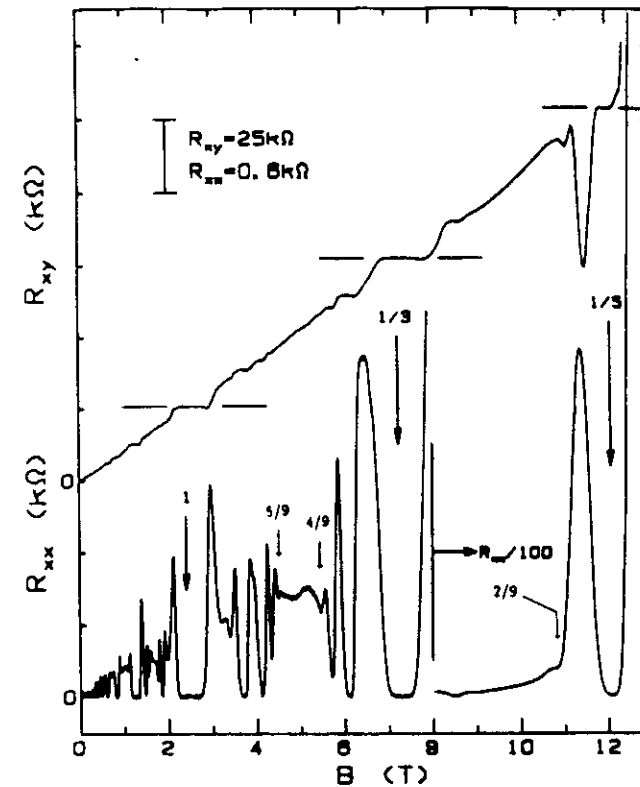
Sajoto, *Ph.D. Thesis*, 1992

Li, *Ph. D. Thesis*, 1994

Systematic Studies (B, T dependence):

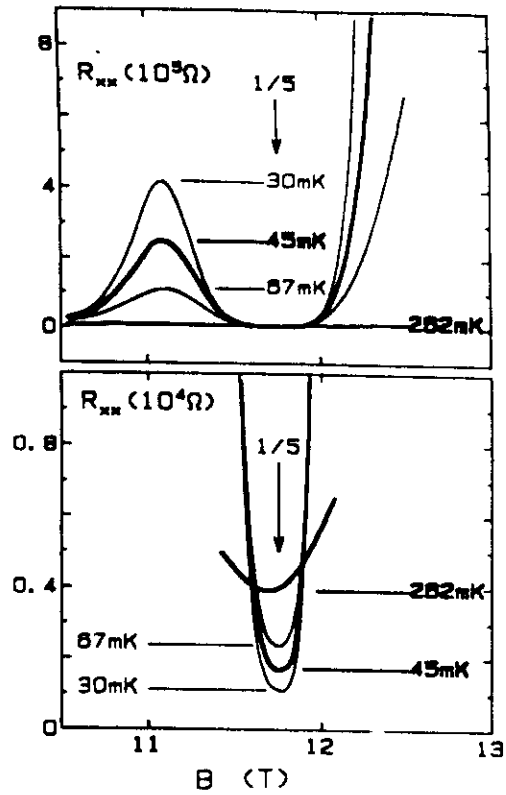
- Re-entrant insulating phase (IP).
- I-V curves: dV/dI drops off at threshold V_T .
- Current noise: also has V threshold.

Transport:



- Sample, $1.5 \times 5 \text{ mm}^2$, unpatterned. $n \approx 5.75 \times 10^{10} \text{ cm}^{-2}$.
- Well-developed $\frac{1}{5}$ FQHE, with quantized R_{xy} plateau.
- High R_{xx} regions either side.

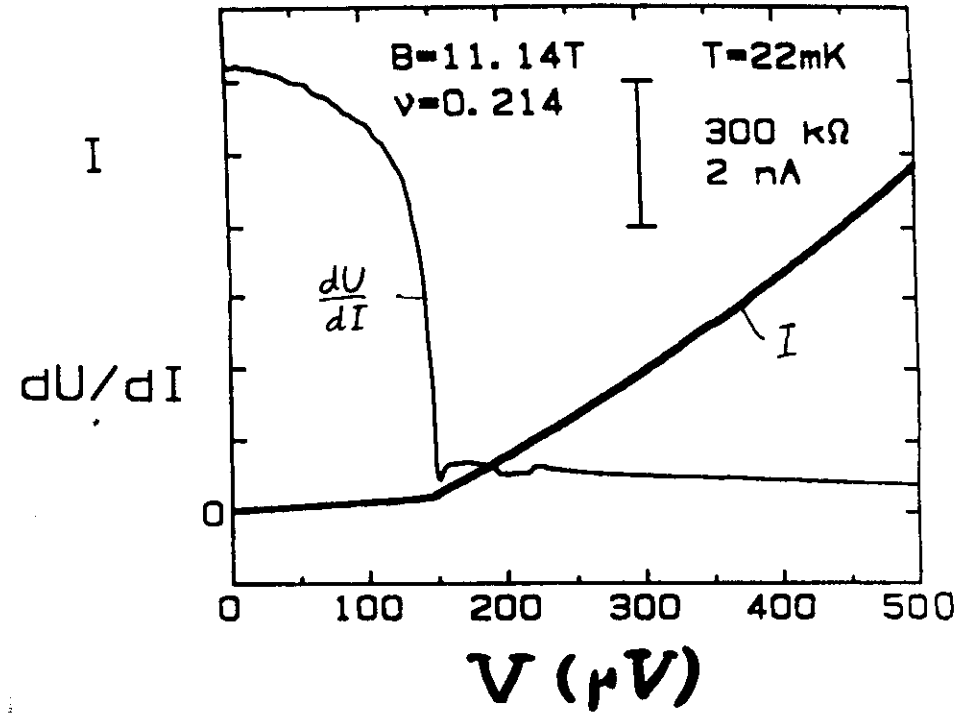
Re-entrance of the insulating phase (IP)



- $1/5$ FQH liquid, decreasing R_{xx} with decreasing T to lowest T .
- IP, increasing R_{xx} as T falls.

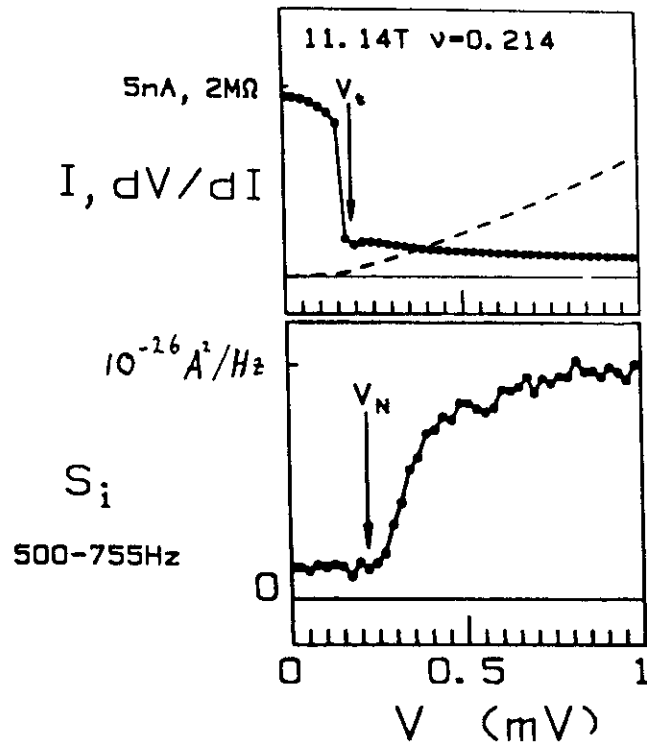
Re-entrance \implies correlations important in IP, at least on low-B side of $1/5$ FQH liquid.

Current-Voltage thresholds in IP regions



- 100-300 μ V thresholds in IP regions.
- Sharp steps in this sample.
- Curve shown is at R_{xx} peak between $1/5$ and $2/9$ FQHE's and was measured with separate current and voltage contacts.

I-V & Noise Measurements



- S_i is current noise power, measured two-terminal with transimpedance amplifier.

Li et al. (1991)

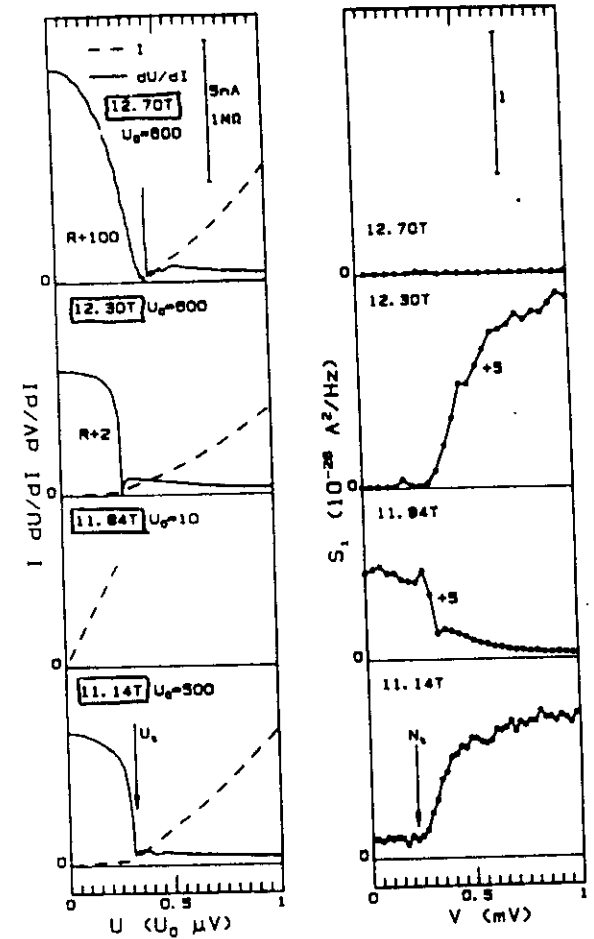
I-V and noise data: 4 regions of ν

Noiseless IP

IP, $\nu < \frac{1}{5}$

$\frac{1}{5}$ FQH Liquid

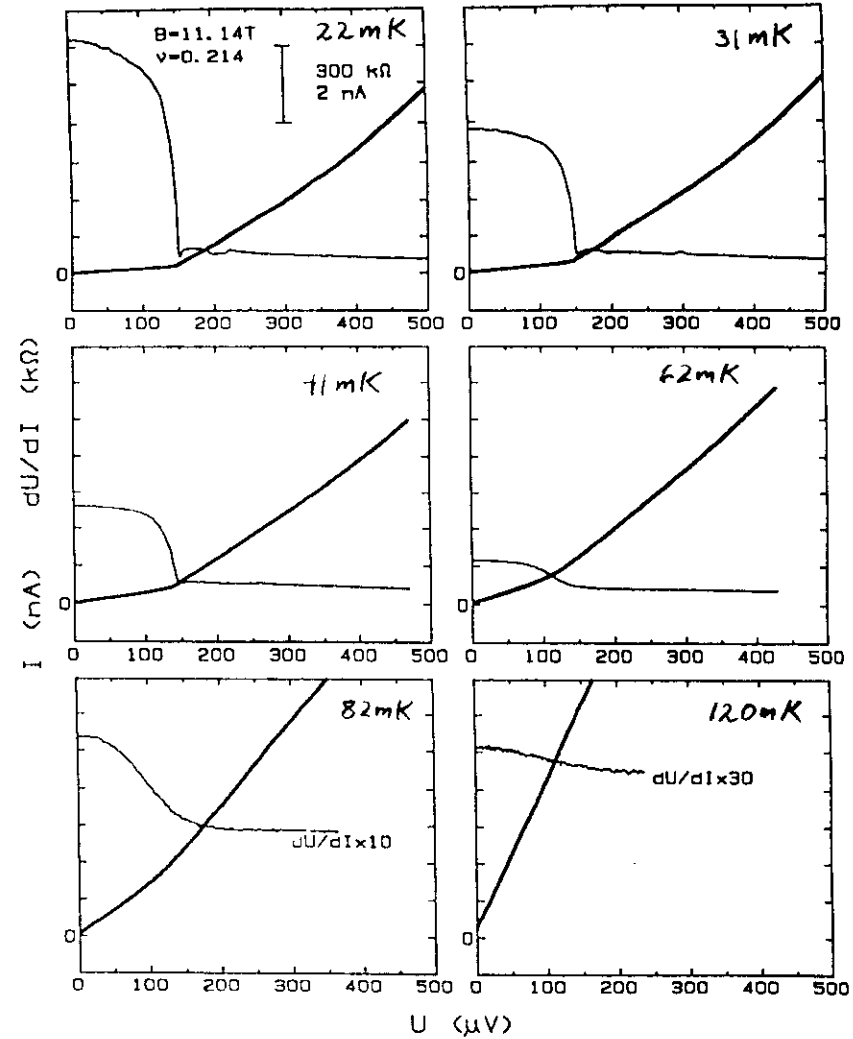
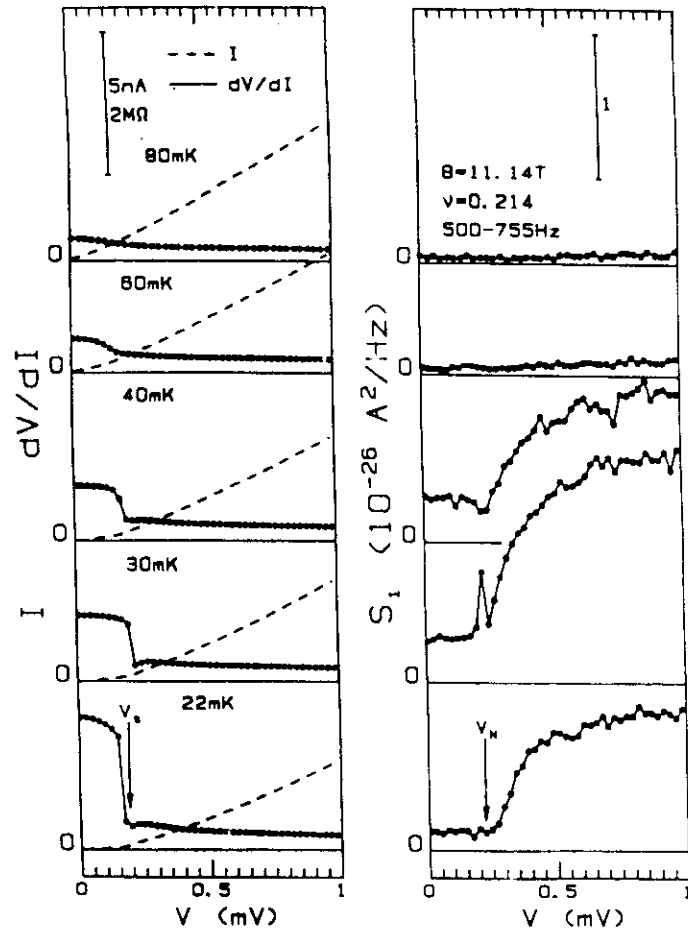
IP, $\nu > \frac{1}{5}$



In the IP...

- S_i averaged over 550-775 Hz. $T \sim 22\text{mK}$.
- I-V: Ohmic in $\frac{1}{5}$ FQH liquid. High R_{xx} , $B \geq 12.7\text{T}$.
- Noise: Noise disappears in high R_{xx} region, $B \geq 12.7\text{T}$.

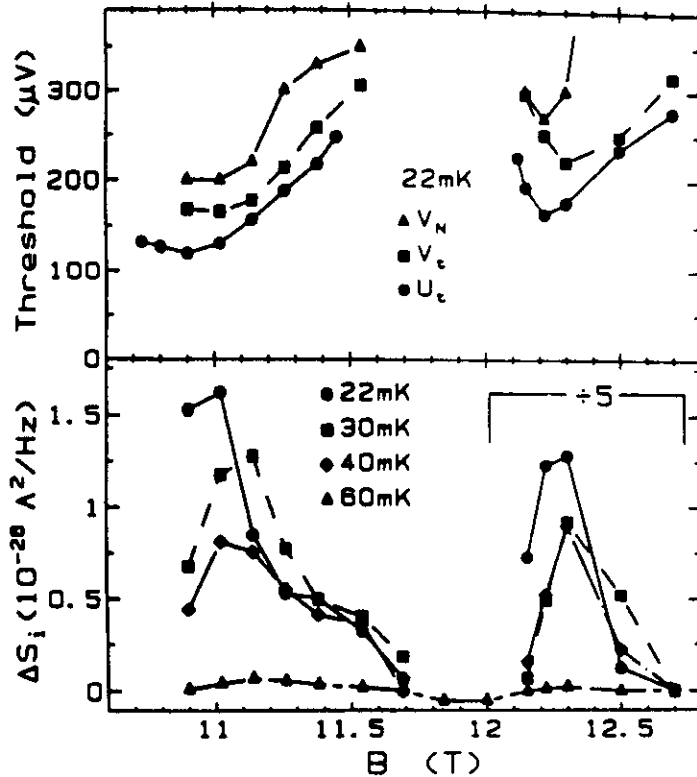
I-V and S_i -V T-Dependence



- Zero-bias dV/dI decreases with T .
- When threshold observed, $V_T \sim$ constant with T .
- Relative change in dV/dI at threshold *gradually* disappears by $T \sim 120\text{mK}$.
- ΔS_i disappears at lower T of $\sim 60\text{mK}$.

Li, Sajoto, et al. (1991)

Threshold and Noise: Survey Results



$(\Delta S_i = S_i(V = 1\text{mV}) - S_i(V = 0))$ averaged 550 to 775 Hz.)

- Threshold voltages and noise power vary oppositely.
- As $\frac{1}{3}$ FQH liquid is approached, thresholds *increase*, noise *decreases*.
- same as "noiseless" IP approached, as B goes from 12.3 to 12.7 T.

① Interpretation for $B < 12.7\text{T}$: Weakly pinned WC model, domain size L_0

- Pinning energy \sim Work done in threshold field E_T , to slide WC by one lattice constant a . Use theoretical shear modulus: $L_0^2 \sim 0.02e/\epsilon E_T$.
- Our $E_T \lesssim 1.2\text{mV/cm}$, $\implies L_0 \lesssim 4\mu\text{m} \sim 100a$.
- Sliding WC $\implies S_i$ thresholds $V_N \gtrsim V_T$. Each domain \sim independent noise source, $L_0 \ll$ sample size $\implies S_i \sim L_0^2$.
- Decreasing L_0 thus explains *both* increasing threshold voltages *and* decreasing ΔS_i seen as $\frac{1}{3}$ FQH liquid approached, and as B goes from 12.3 to 12.7T.
- The more insulating phase for $B \geq 12.7\text{T}$, where $S_i \rightarrow 0$, is *not* described by weak pinning model —better described as a Wigner glass, since $L_0 \rightarrow a$.

② Later measurements by Li (Ph.D. thesis) of

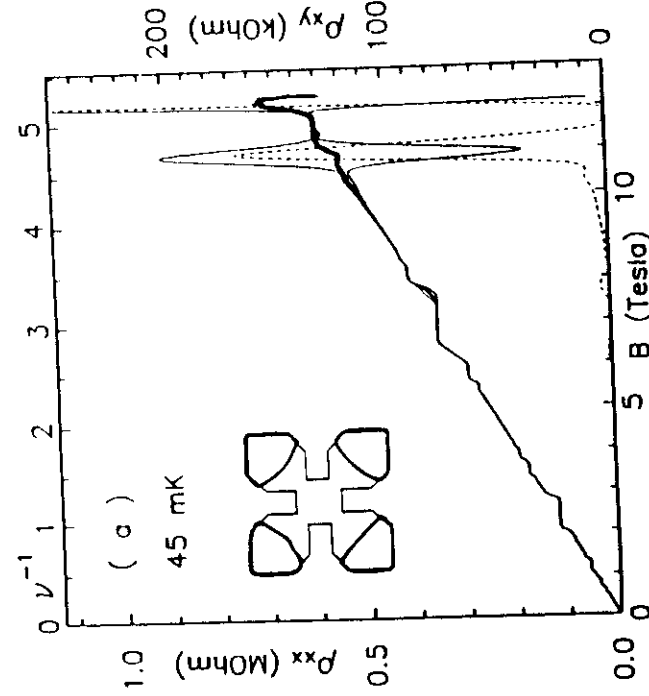
$\left\{ \begin{matrix} \sigma(\omega) \\ \epsilon(\omega) \end{matrix} \right\} \Rightarrow$ "strong" pinning by residual impurities near 2DES more appropriate!

Also, see: Zhu, Littlewood, & Millis, PRL 72, 2255 (1994) & submitted to PRB? for latest theoretical work!

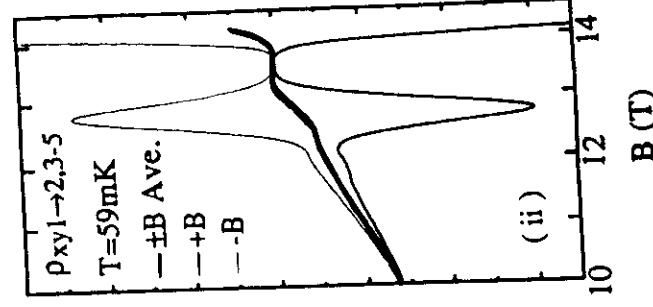
Summary

- IP on either side of region with $\frac{1}{5}$ FQH liquid ground state.
- Differential resistance falls, noise jumps at threshold $E_T \sim 1.2\text{mv/cm}$.
- Opposite V_T and S_i variation with ν : as $\frac{1}{5}$ FQHL approached, V_T increases while S_i decreases.
- Interpret I-V and noise data using weakly pinned WC model, $L_0 \lesssim 4\mu\text{m}$.
- Transition to "Wigner glass" at $B \geq 12.7\text{T}$, $\nu \leq 0.187$.
- Gradual T-dependence of I-V's. Different temperatures for disappearance of S_i -V and I-V thresholds. *Cannot draw a reasonable B, T phase diagram.*

Hall coefficient - stays normal! (at least down to $\approx 40\text{ mK}$)



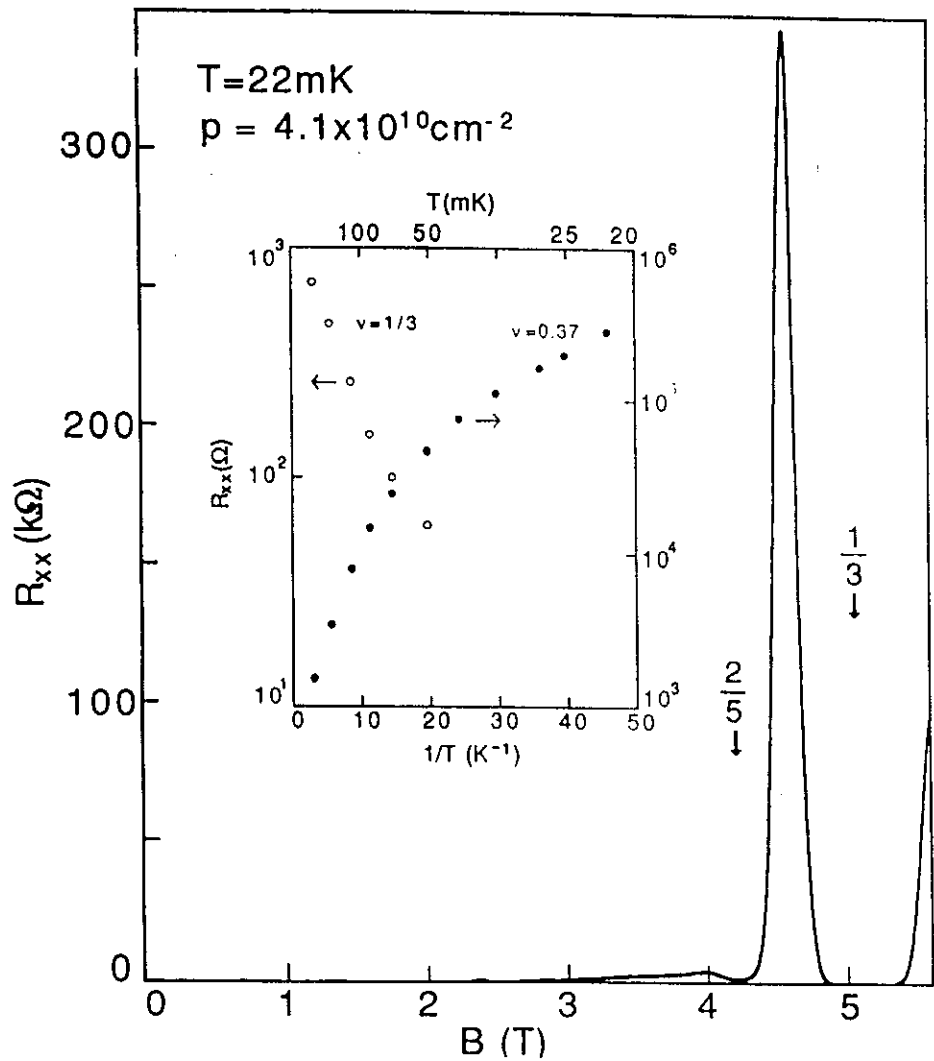
Goldman et al. (1993)



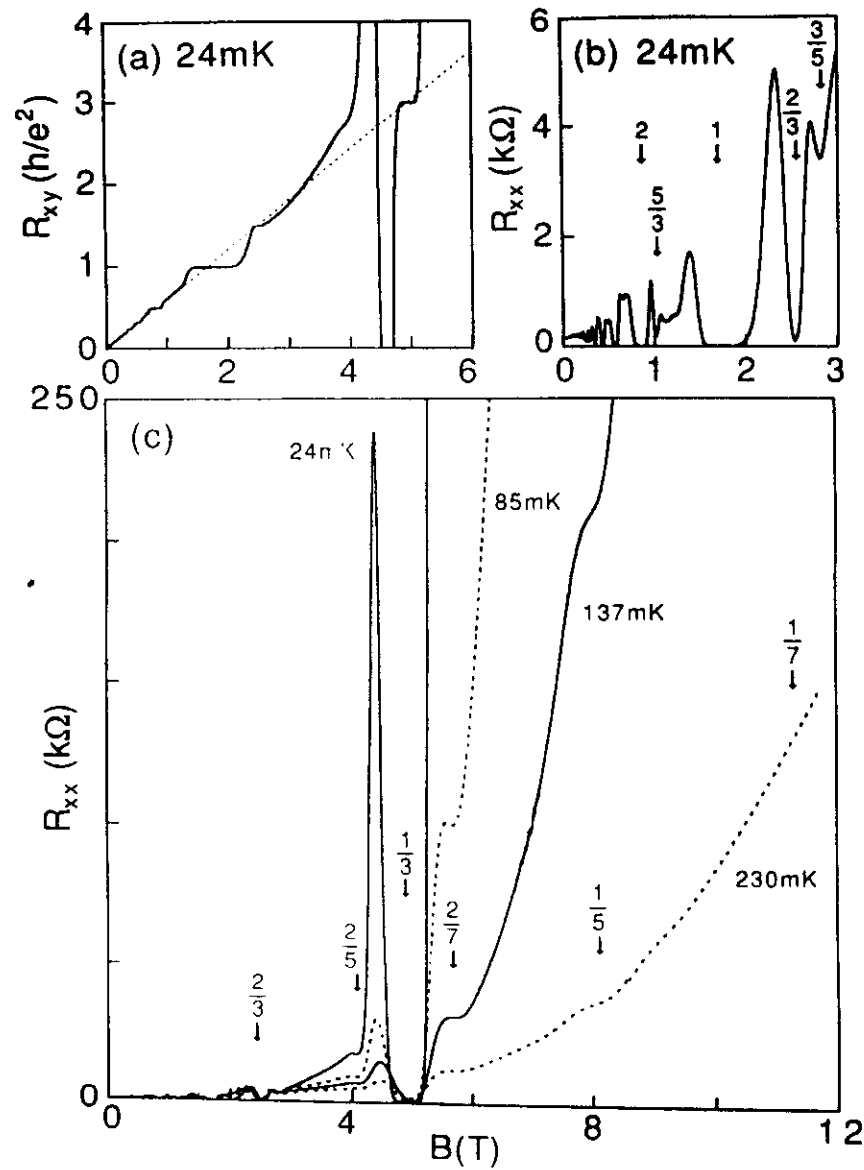
Sajoto et al. (1993)

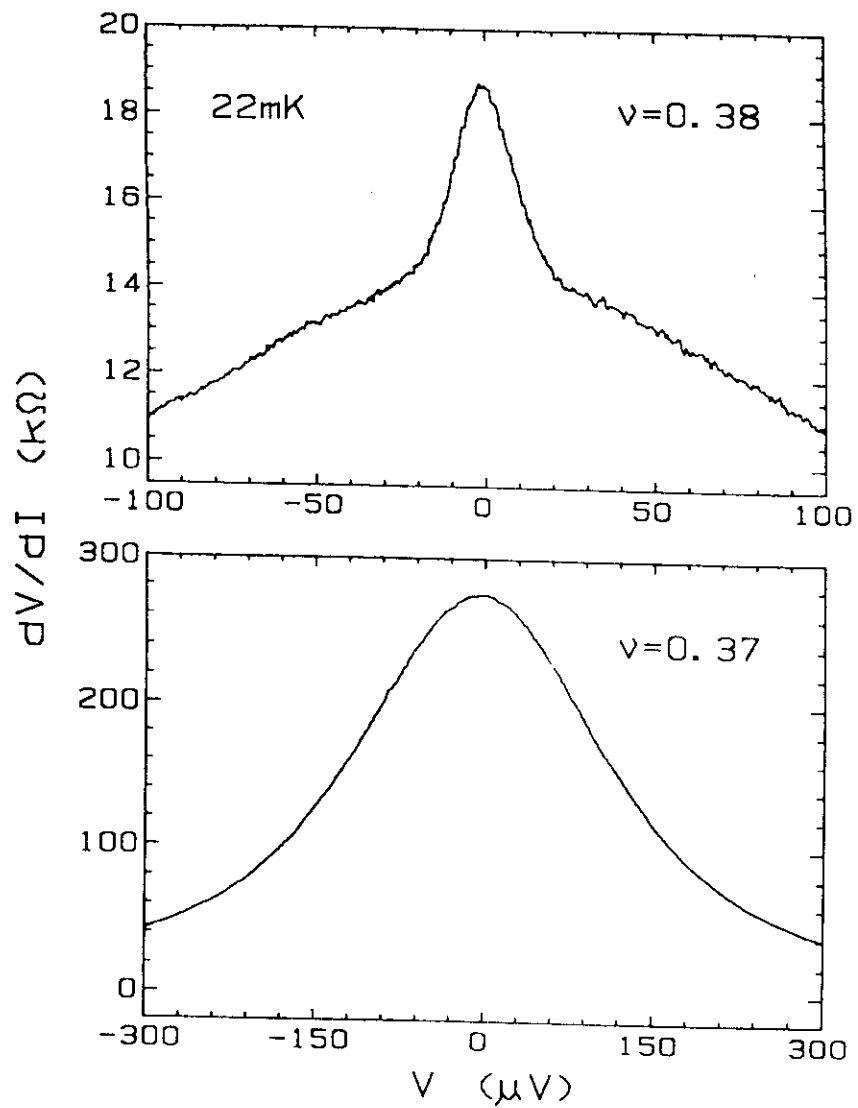
2D Hetero System:

Reentrant insulating phase near $\nu=1$!!

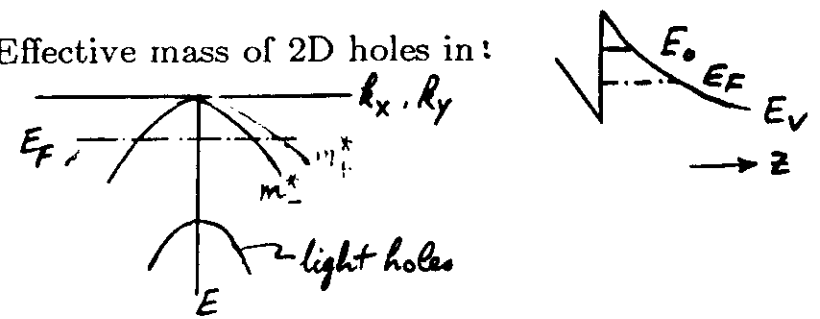


Santos et al. (1992)

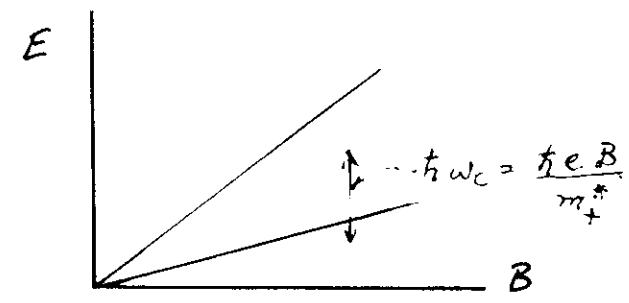




• Effective mass of 2D holes in:



$$m_+^* \approx \frac{0.37}{0.6} m_0 \sim \frac{5}{10} m_{\text{electrons}}$$



$\rightarrow \hbar\omega_c \ll \text{Coulomb energy}$

$\frac{e^2(\pi n)^{1/2}}{4\pi\epsilon_0\epsilon}$	$\frac{c^2}{4\pi\epsilon_0\epsilon l}$	$1/3 \Delta$	$\hbar\omega_c = \frac{\hbar e B}{m_+^*}$
50K	100K	10K	20K

$\text{@ } B.5T$

2D holes at $\nu=1/3 \leftrightarrow$ 2D electrons at $\nu=1/5$

Why !?

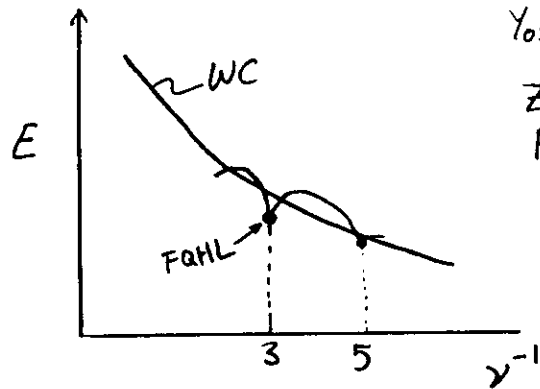
$$m^*_{\text{hole}} \approx 5 m^*_{\text{electron}}$$

At $B \approx 5T$:

$\frac{e^2/(4\pi\epsilon\ell)}{100K}$	$\frac{1/3\Delta}{10K}$	$\frac{\hbar\omega_c = \hbar eB/m^*}{20K}$
---------------------------------------	-------------------------	--

Landau level mixing

Both $1/3\Delta$ and $E_{WC} - E_{FQHL}$ are lowered.



Yoshioka (1984, '86)

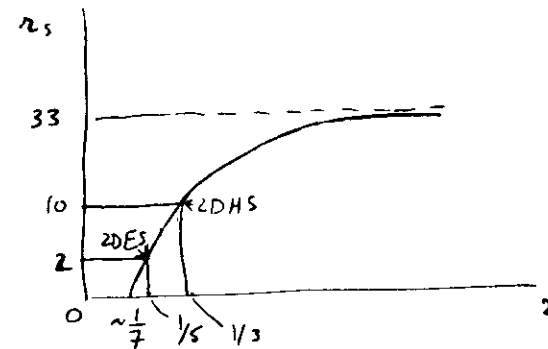
Zhu et al. (1993)

Price et al. (1993)

- consider "phase" diagram in ν vs. r_s plane

$$r_s \triangleq \frac{\langle \text{particle separation} \rangle}{\text{Bohr radius}}$$

- $r_s \gtrsim 33 \rightarrow$ WC for even $B=0$
- $r_s=0 \rightarrow$ WC for $\nu \lesssim 1/7$



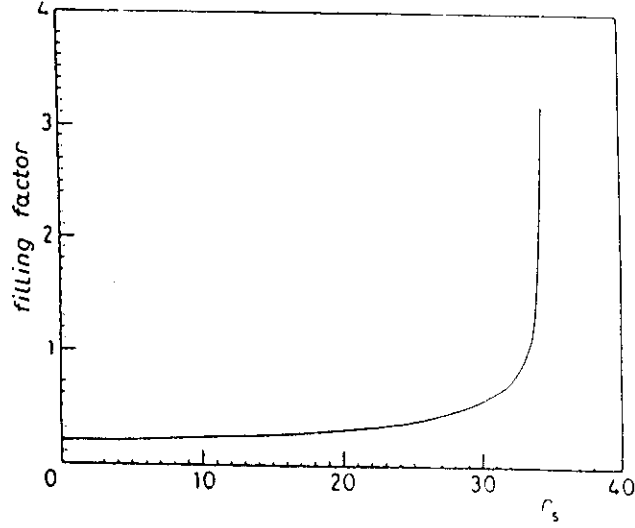
Experimental observations so far:

2DES: $\begin{cases} r_s \sim 2 \\ \nu_c \approx 1/5 \end{cases}$ (for $n_s = 1 \times 10^{11} \text{ cm}^{-2}$)

2DHS: $\begin{cases} r_s \sim 10 \\ \nu_c \approx 1/3 \end{cases}$ ← very dilute system!

S.T. Chui and K. Esfarjani

Europhysics Letters (1991)

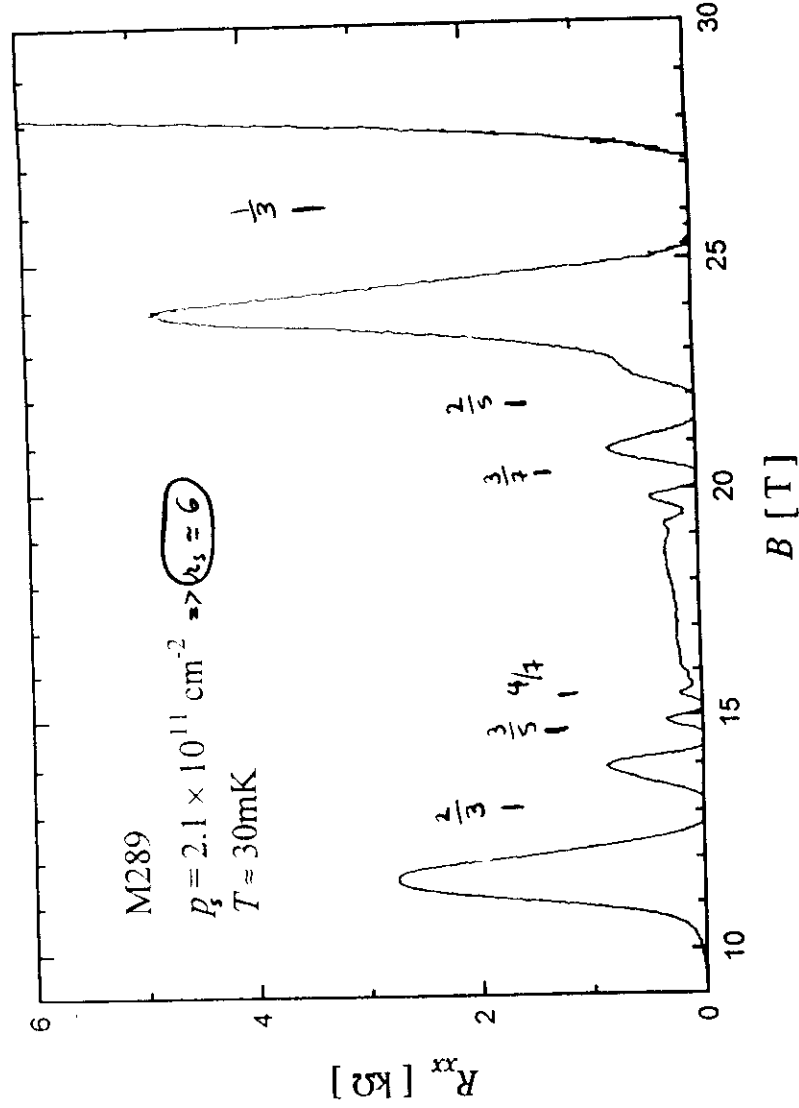


$$r_s = \frac{\langle \text{distance between charge carriers} \rangle}{\text{effective Bohr radius}}$$

• Does the IP near $\nu = \frac{1}{3}$ disappear as we go to higher r_s in 2DHS?!

↓
smaller r_s

YES!



Mamburam, Shayegan, Klappas (1994)
unpublished.

• Other experiments:

1. RF measurements

- Andrei 1988 (?)
- Glotli 1990
- Williams 1991
- Paalanen 1992

→ - Li, Ph.D. thesis, 1994:

• $\left\{ \begin{matrix} \sigma(\omega) \\ \epsilon(\omega) \end{matrix} \right\}$ large dielectric const. \Rightarrow polarizability \Rightarrow WC

• Washboard frequency (ac + dc):

apply sufficiently large dc \vec{E} to depin W.C.

\Rightarrow expect an ac current @ frequency:

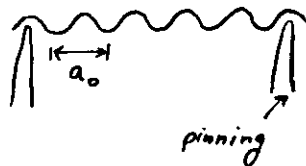
$$f_{WB} = \frac{v_d}{a_0}$$

\swarrow ave. drift velocity
 \nwarrow WC lattice const.

$$= \frac{J}{n_s e a_0}$$

$\Rightarrow f_{WB}$ depends linearly on J with n_s & a_0 known

Li's results qualitatively consistent with f_{WB}



2. Magneto-optics

- photoluminescence
 - cyclotron resonance
- } see: Surf. Sci. 305 (1994)
(Proc. EP2DS11 Conf.)

3. Thermopower

- diverging S_{xx} in IP \Rightarrow Energy gap! Bayot, 1994


Summary

• Although experiments so far vary in details and don't prove it they are VERY SUGGESTIVE!

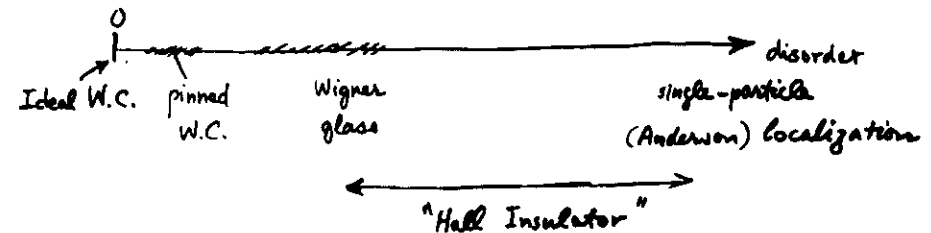
- recentrance around well-developed FQH liquid states (\Rightarrow correlations important)
- non-linear IV
- noise
- large $\epsilon(\omega)$
- 2DHS with large $r_s \Rightarrow$ IP around $\nu = \frac{1}{3}$ rather than $\frac{1}{5}$



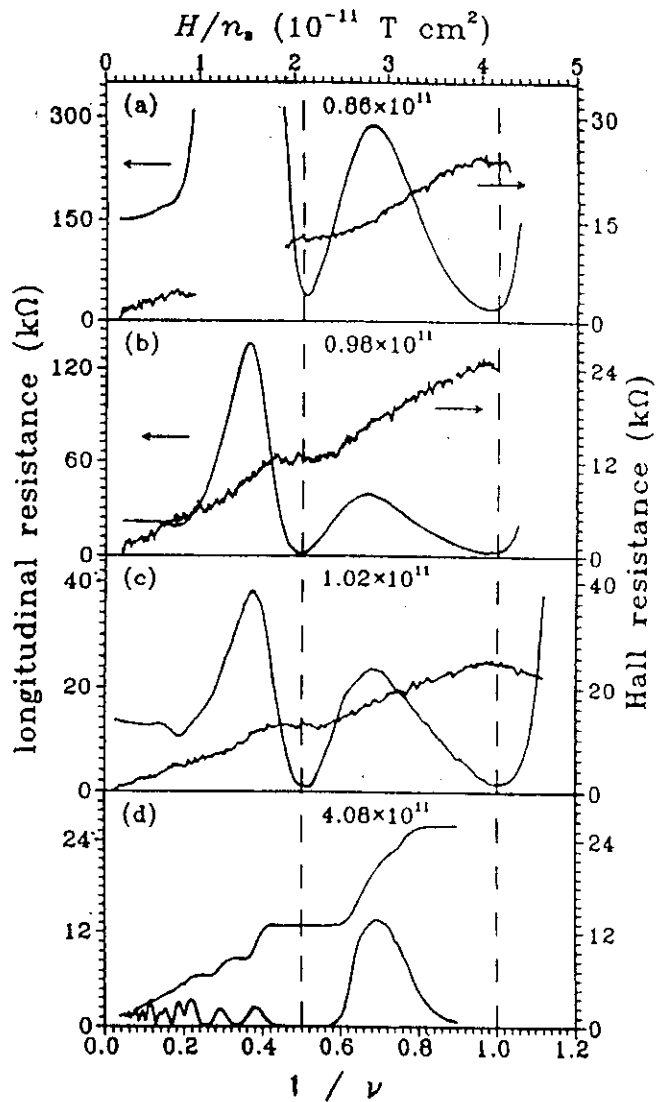
• But what about experiments in "dirty" 2DES?

- GaAs/AlGaAs (Jiang, 1992, 1993)  2DES
 - Si/SiO₂ (D'Iorio, Pudalov 1990-1993)
- which show recentrant IP behavior around IQH states and other similar features, e.g., $S_{xx} \rightarrow \infty$; $S_{xy} \rightarrow$ normal

• Can the "Hall Insulator" picture explain it all?



2D electrons at Si/SiO₂



Di'orio et al. (1990); Pudalov et al. (1991)

• Two important points

(1) $I_s \left\{ \begin{array}{l} \rho_{xx} \rightarrow \infty \\ \rho_{xy} \rightarrow \text{normal} \end{array} \right\}$ evidence for Hall Insulator
& against W.C. ?

NO!

a. limit $\left\{ \begin{array}{l} \omega=0 \\ T \rightarrow 0 \end{array} \right\}$ more physical, not $\left\{ \begin{array}{l} T=0 \\ \omega \rightarrow 0 \end{array} \right\}$ as in HI

b. Pinned W.C. (at $T=0$) and de-pinned W.C.
also have finite & normal ρ_{xy}

[Chui, Solid State Commun. 86, 605 (1993)
Zhu, Littlewood, Millis, PRL 72, 2255 (1994)
& preprint]

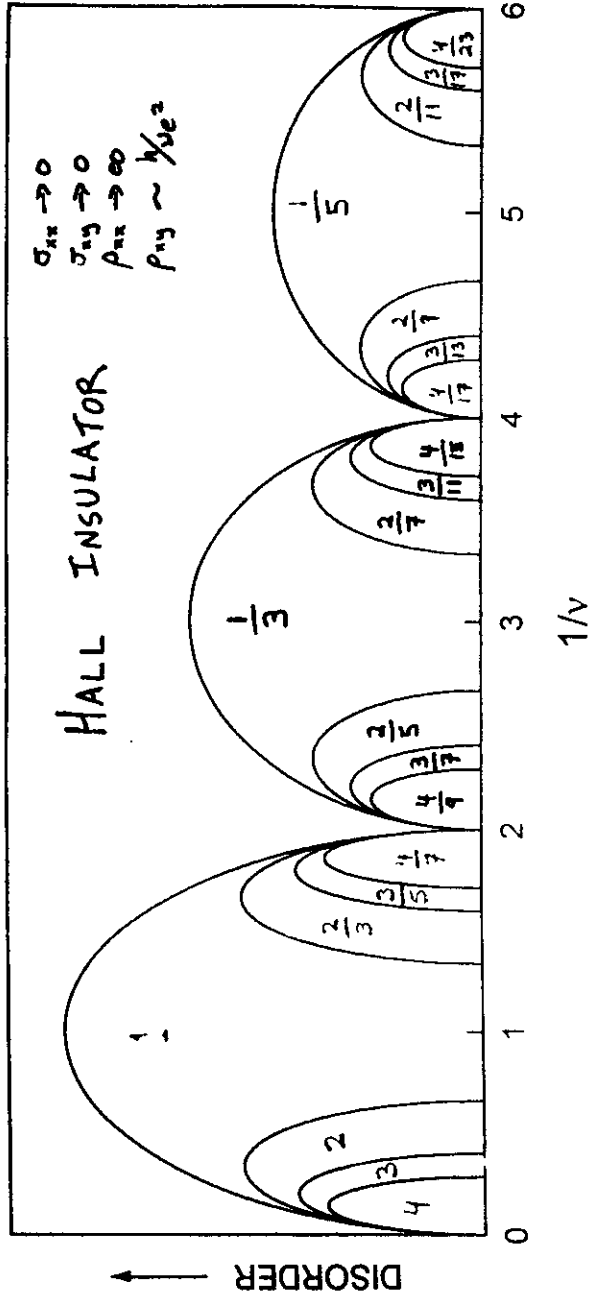
(2) Global phase diagram does not allow for the transitions like:

$$\begin{array}{ccc} \text{FQHL} & \rightarrow & \cancel{\text{IP}} & \rightarrow & \text{FQHL} \\ \frac{2}{5} & & & & \frac{1}{3} \\ \text{or } \frac{2}{9} & & & & \frac{1}{5} \end{array}$$

But these are experimentally observed!

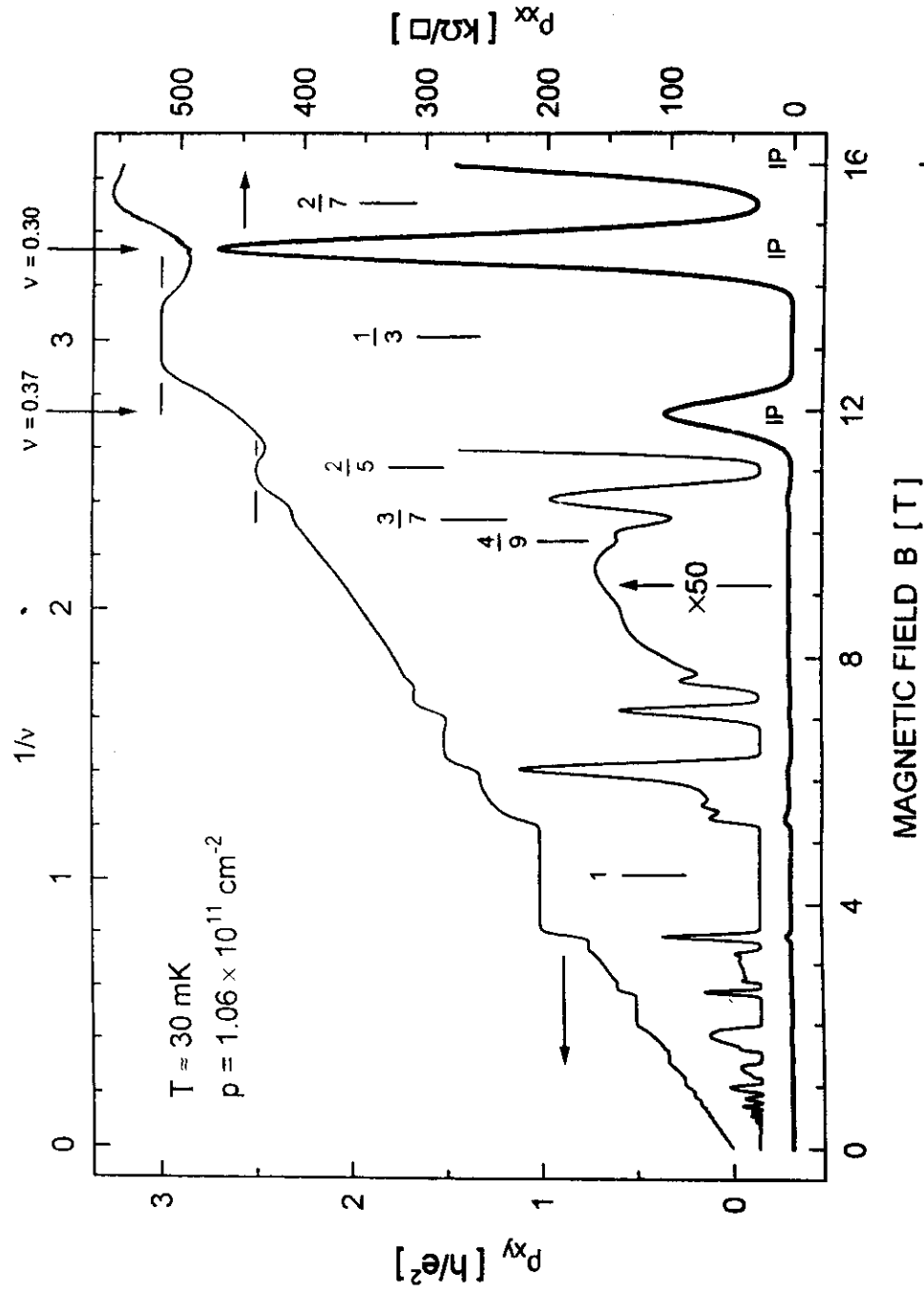
Global Phase Diagram

(Kivelson, Lee, and Zhang; 1992)



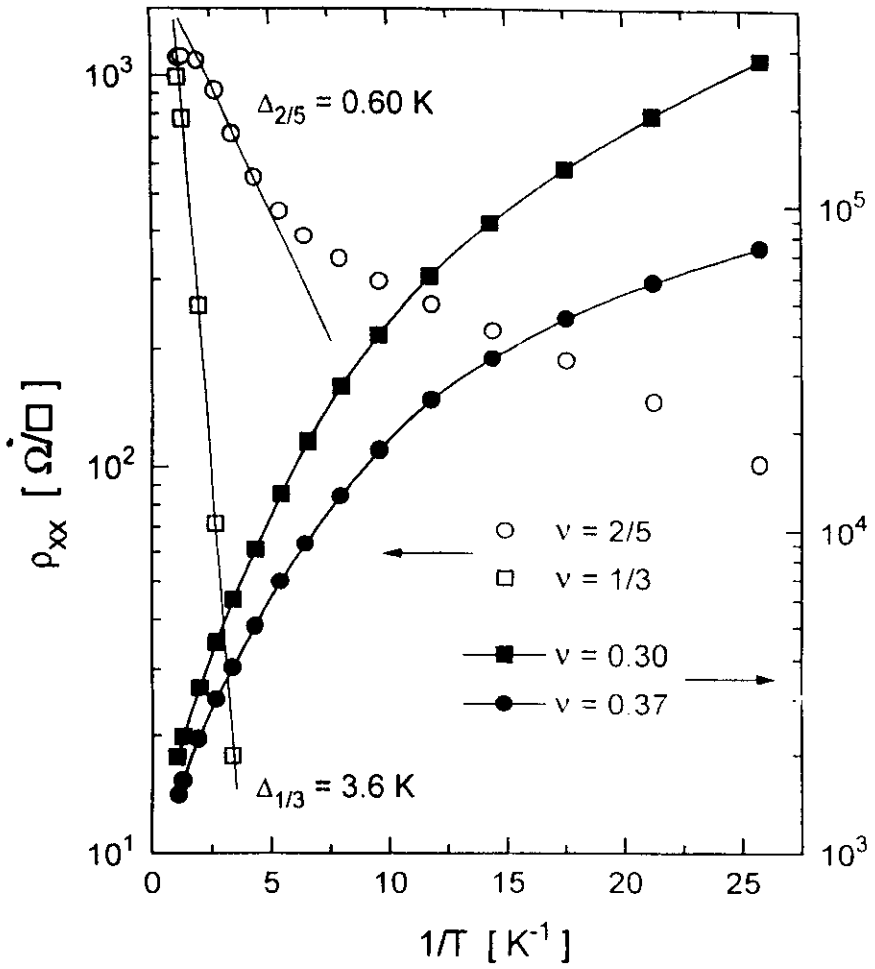
"CORRESPONDING STATES":

$\nu \leftrightarrow \nu + 1$ LL promotion
 $\nu \leftrightarrow 1 - \nu$ P-H symmetry
 $\frac{1}{\nu} \leftrightarrow \frac{1}{\nu} + 2$ Flux attachment

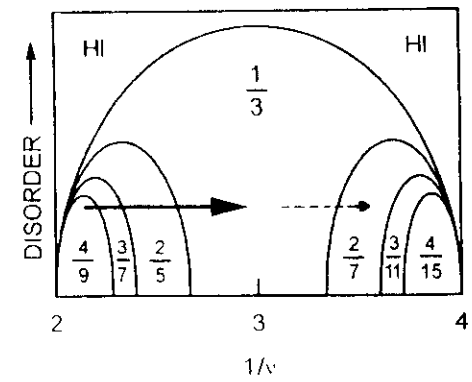


SUMMARY

- EXPERIMENTAL OBSERVATIONS
 - Direct transition from high-order ($\nu = 2/5$) FQH liquid to an IP
 - Finite and \sim classical ρ_{xx} in IP regions

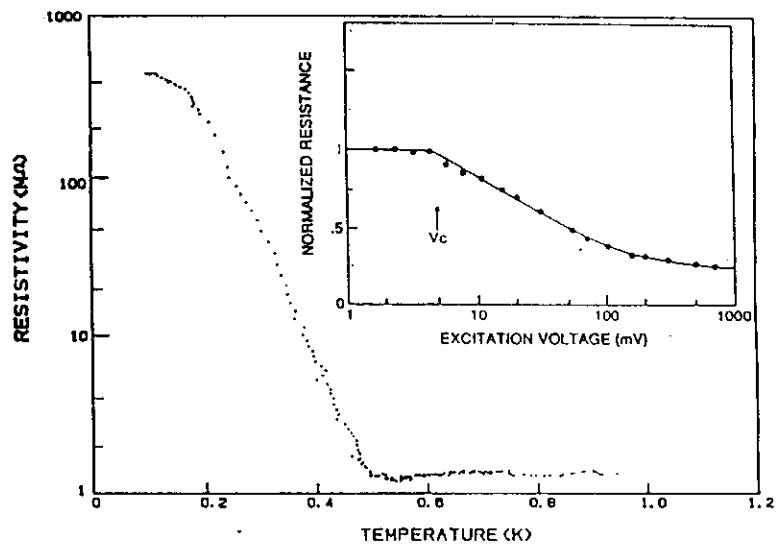


- INCONSISTENT WITH HALL INSULATOR AND GLOBAL PHASE DIAGRAM TOPOLOGY:



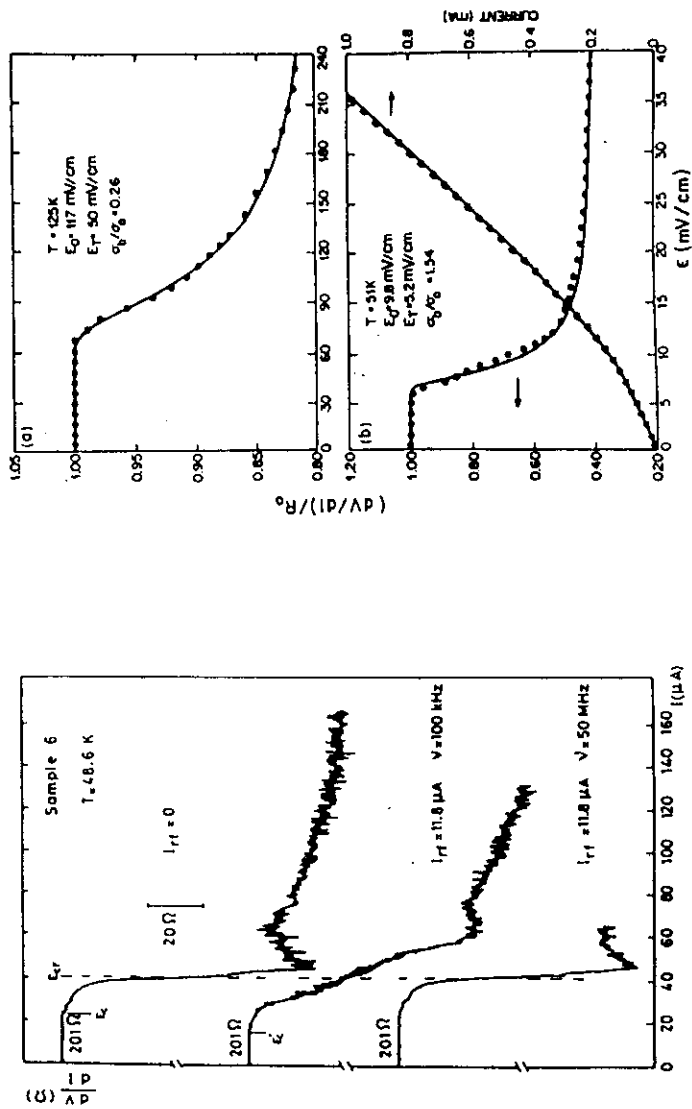
- CONSISTENT WITH PINNED WIGNER CRYSTAL
 - IP around $\nu = 1/5$ for 2DES
 - LL MIXING $\rightarrow \nu = 1/3$ for 2DHS
 - Higher density 2DHS shows direct $\nu = 2/7$ FQHL \leftrightarrow IP

2D Electrons on He



Siang & Dahm (1989)

CDW in ID Systems (NbSe_3)



Monceau et al. (1982)

Grüner (1988)

SPRING COLLEGE IN CONDENSED MATTER
ON QUANTUM PHASES
(3 May - 10 June 1994)

=====

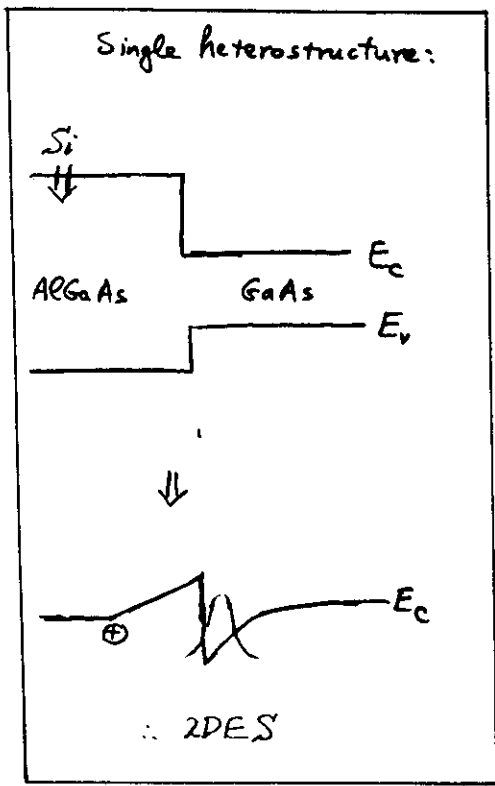
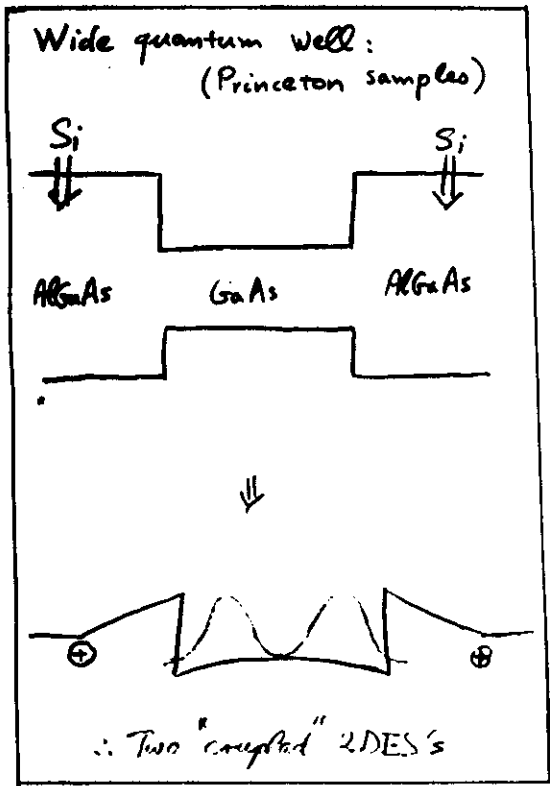
**MAGNETOTRANSPORT MEASUREMENTS
IN QUANTUM HALL EFFECT**

LECTURE 3

=====

CORRELATED QHE in BILAYER ELECTRON SYSTEMS

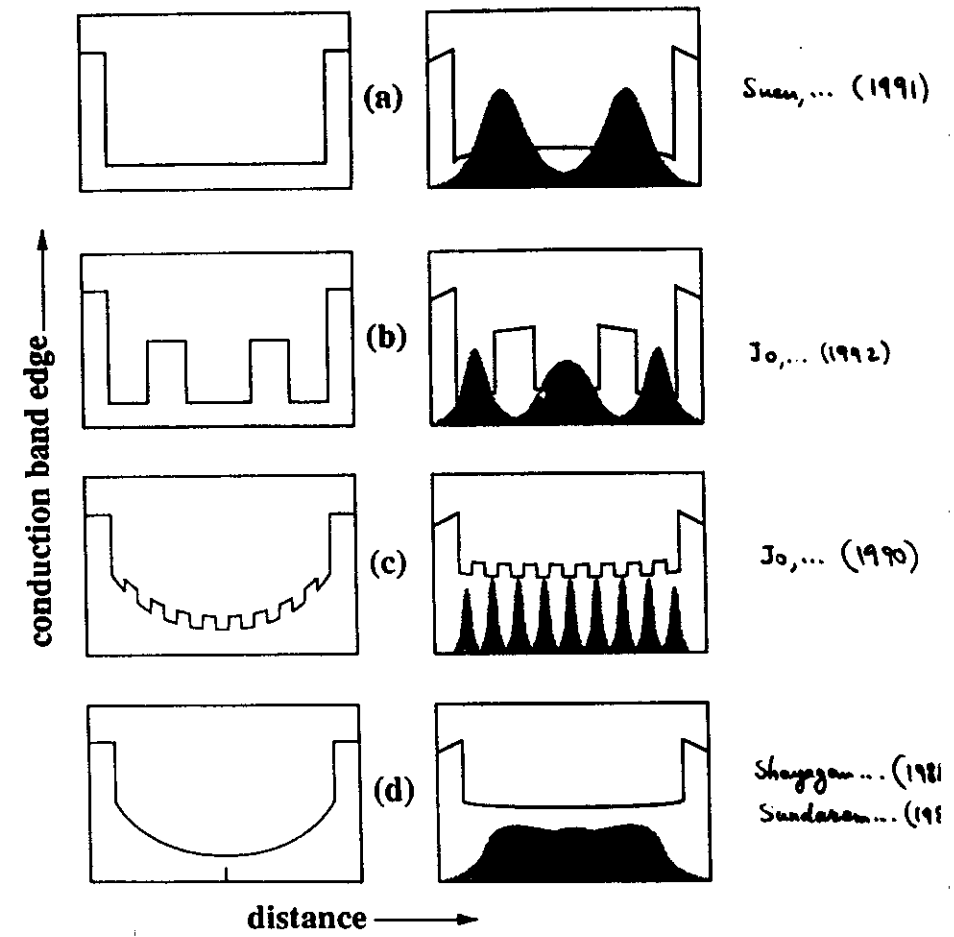
SUEN, MANOHARAN, LAY, YING, SANTOS, SHAYEGAN



← Also in double-quantum-wells (DQW's)
(Bell Labs' samples)
Boebinger, Eisenstein, Murphy,
Pfeiffer, & West

More to come !!

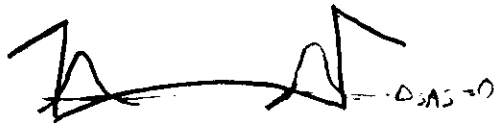
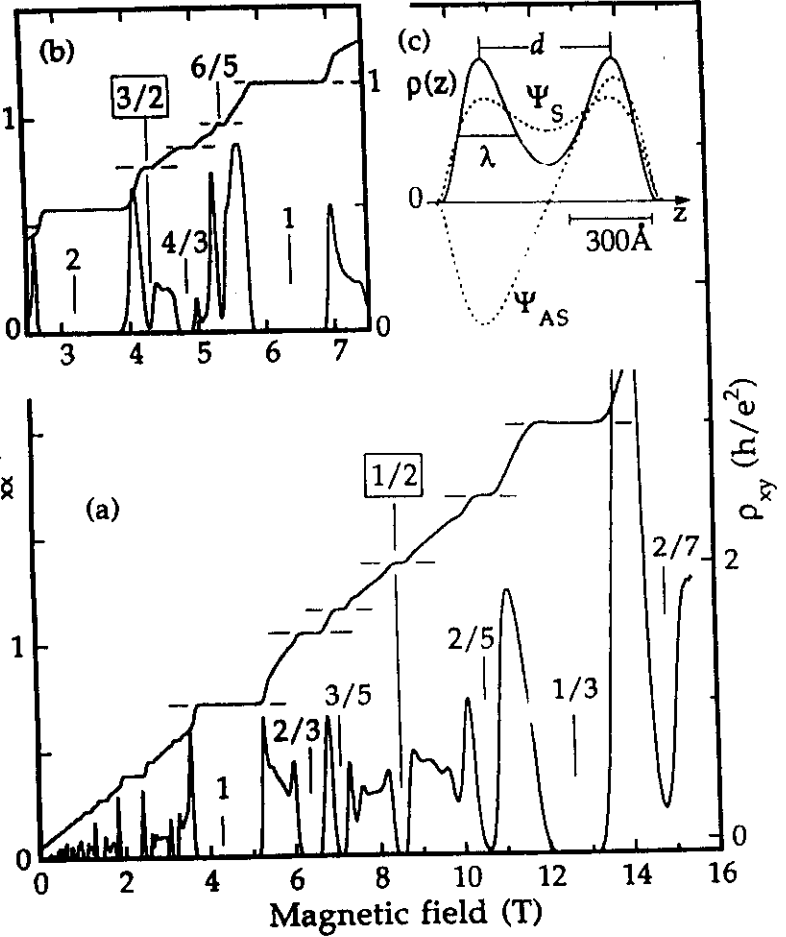
"Quasi-2D" Systems with an Additional (Spatial)
Degree of Freedom



Simple picture doesn't work!

Experimental Surprises!

Two limits:



• Two isolated 2DES's
in parallel

IQHE

• $\nu_{\text{each layer}} = 1, 2, 3, \dots$
 $\Rightarrow \nu_{\text{tot.}} = 2, 4, 6, \dots$

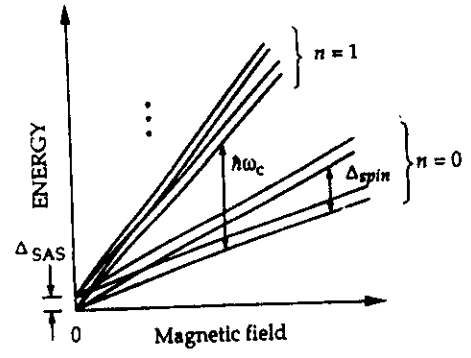
FQHE

• $\nu_{\text{each layer}} = \frac{1}{3}, \frac{2}{5}, \dots, \frac{3}{5}, \frac{2}{3}$
 $\Rightarrow \nu_{\text{tot.}} = \frac{2}{3}, \frac{4}{5}, \dots, \frac{6}{5}, \frac{4}{3}$

• One (wide) 2DES

IQHE

• $\nu_{\text{tot.}} = 1, 2, 3, \dots$



FQHE

• $\nu_{\text{tot.}} = \frac{1}{3}, \frac{2}{5}, \dots, \frac{3}{5}, \frac{2}{3}$

$\nu = \frac{1}{2}$?
 $\nu = 1$ ✓

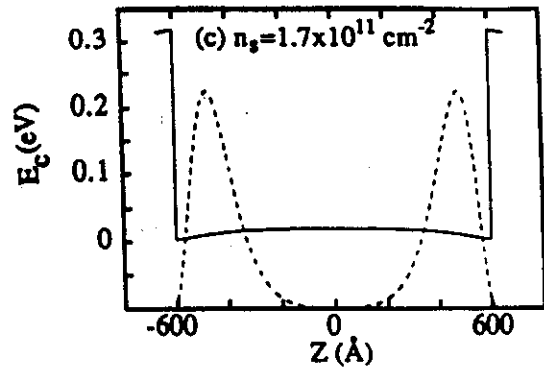
FQHE • $\nu_{\text{tot.}} = \frac{1}{2}$!

IQHE • $\nu_{\text{tot.}} = 1$!

(i.e., $\nu_{\text{each layer}} = \frac{1}{4}$)

(i.e., $\nu_{\text{each layer}} = \frac{1}{2}$)

Suen, 1994b



HISTORICAL (EXPERIMENTS)

1. Collapse of odd- ν IQHE in BLES's

→ Boebinger, 1990, 1992
Suen 1991, 1992

2. Observation of $\nu = \frac{1}{2}$ FQHE in BLES's

→ Suen, 1992a,b; 1994 a, b ~ May 23 issue of PRL!
(has $\nu = 3/2$ FQHE also)

→ Eisenstein, 1992

3. Many-body $\nu = 1$

Eisenstein 1992 (a hint!)

Murphy 1994 (commensurate to incommensurate transition with in-plane B)

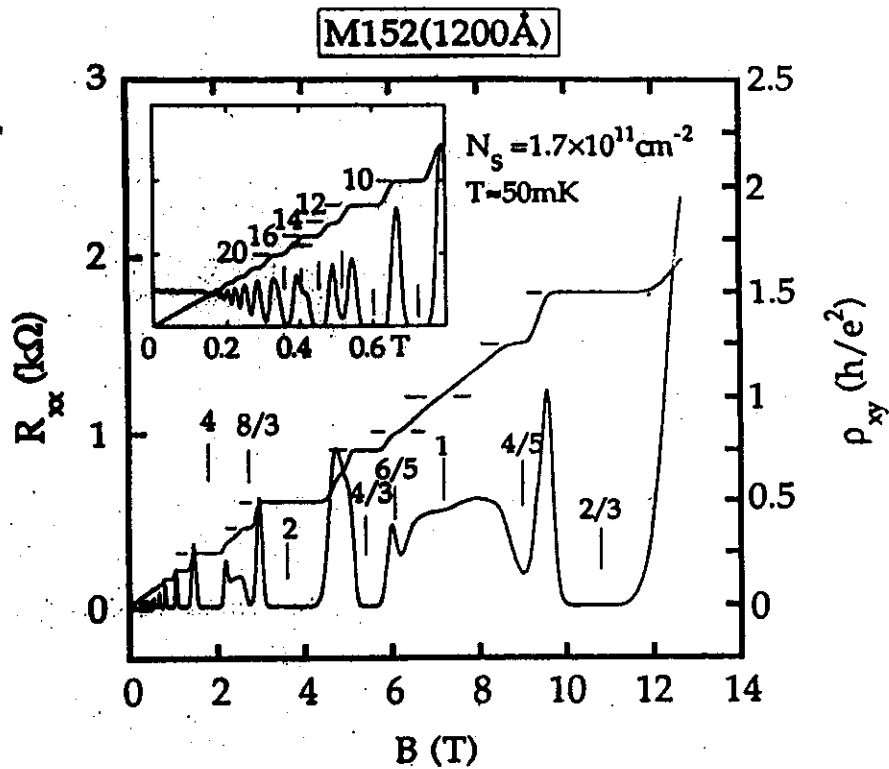
→ Lay 1994 (finite-T QHE \rightarrow metal transition!)
preprint

4. Reentrant insulating phases around $\nu = \frac{1}{3}$ and even $\frac{1}{2}$ FQHL's!

Evidence for Bilayer Wigner Crystal!?

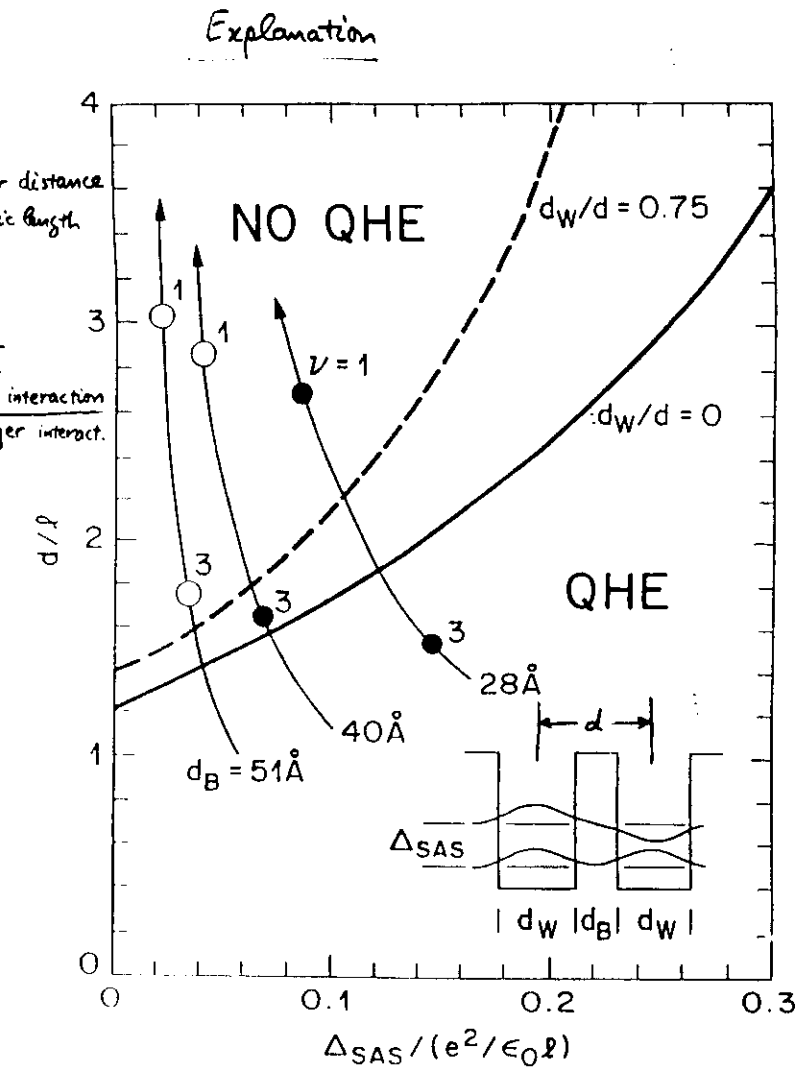
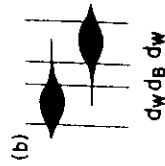
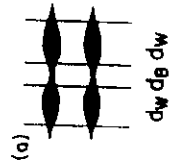
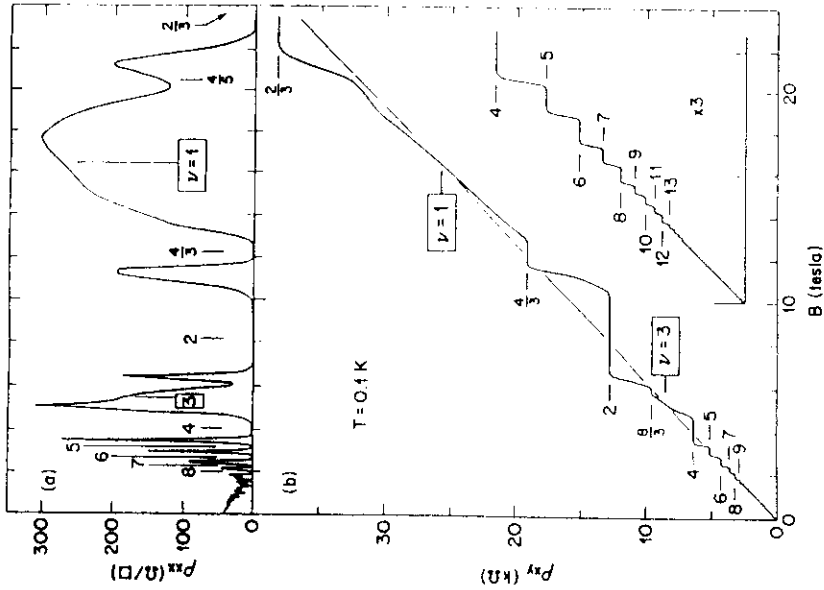
if time? →

Suen, 1992b; 1994a; unpublished.



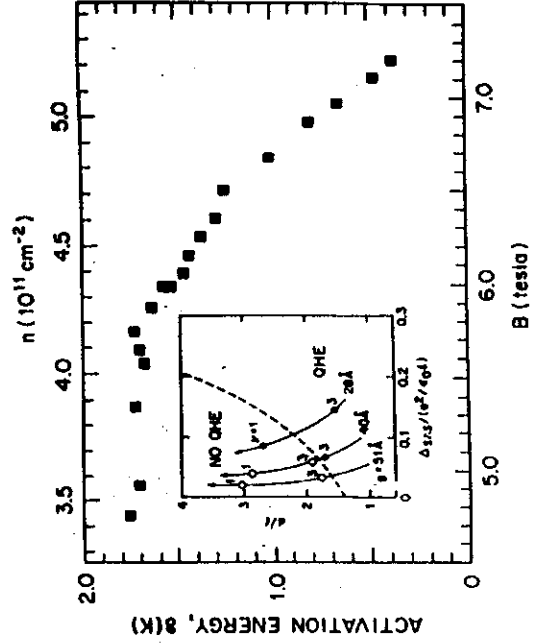
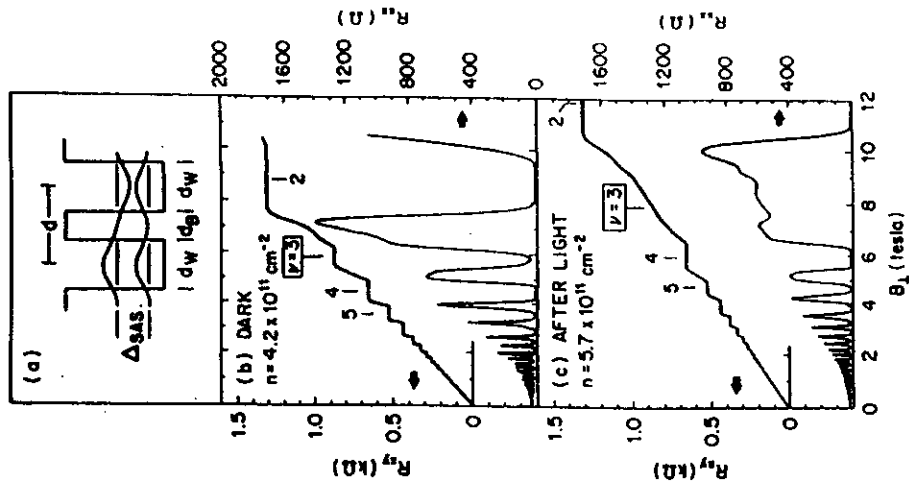
• Collapse of odd- ν IQHE at high B (Boebinger 1990)

DGW with finite Δ_{SAS}

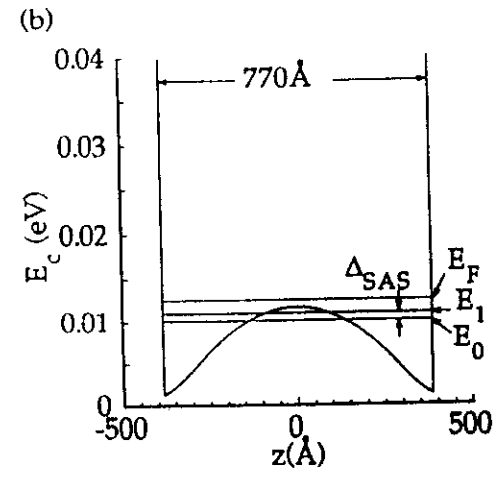
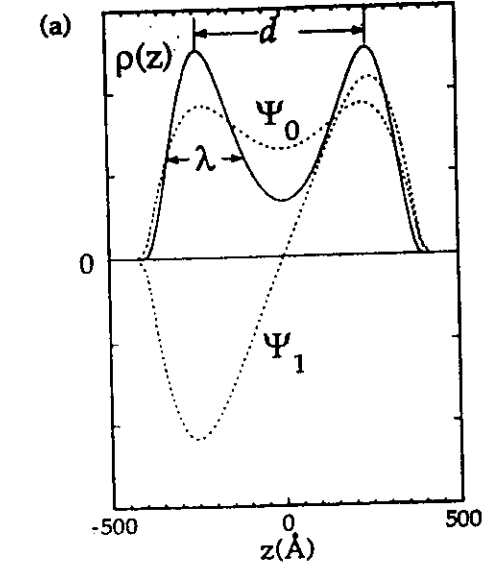


MacDonald, Platzman, Boebinger (1990) FIG ①
 Also, see Brey, 1990

How to (slowly) kill $\nu = 3$ QHE!
 raise density in DQW.



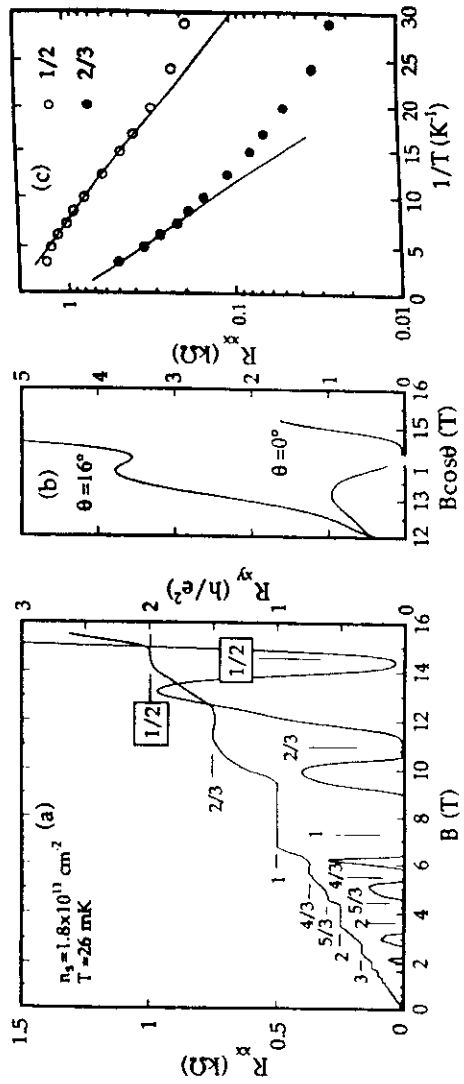
Boedinger et al. 1992



Yuan, PhD thesis 1993

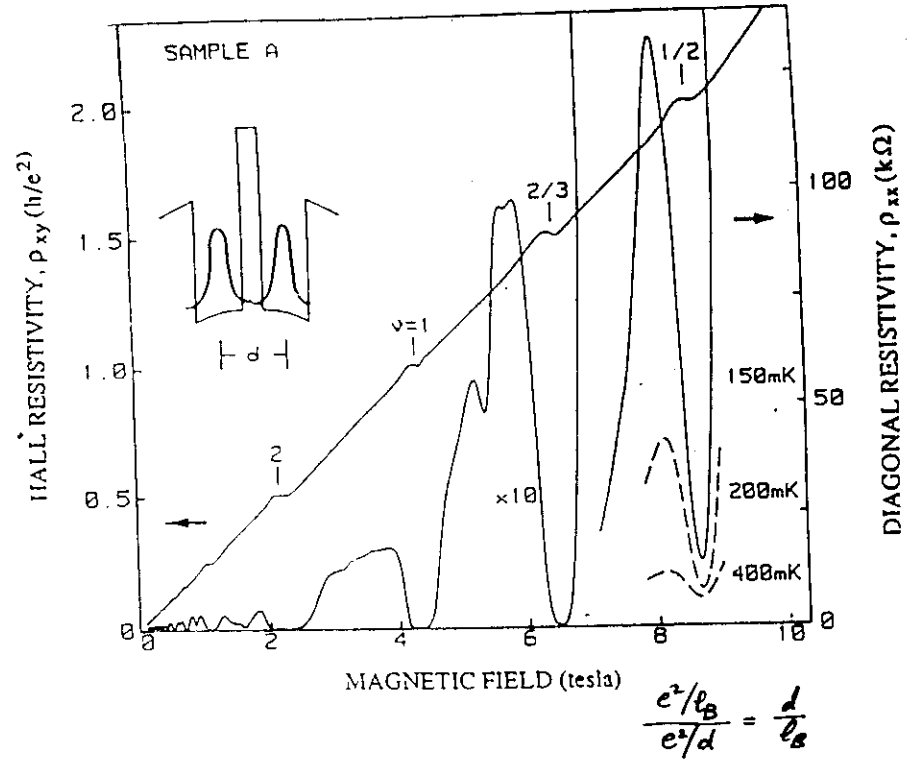
Figure 2.5: (a) The calculated wavefunctions of two occupied states, Ψ_0 and Ψ_1 , the electron density distribution function $\rho(z)$, and (b) the conduction band edge E_c , for a 770 Å-wide well with $N_s \approx 1 \times 10^{11} \text{ cm}^{-2}$.

An even-denominator $\nu=1/2$ FQH state!



Suen et al. (1992)
a

Fig. 3



$$\frac{e^2/l_B}{e^2/d} = \frac{d}{l_B}$$

Sample	Barrier width (Å)	Density (10^{11} cm^{-2})	Mobility ($10^6 \text{ cm}^2/\text{V-s}$)	$\Delta_{2/3}$ (K)	d/l_B at $\nu=1/2$	Strength of $\nu=1/2$
A	31	1.04	0.5	1.7	2.4	strongest
B	31	1.29	1.5	2.3	2.7	strong
C	31	1.52	1.0	1.7	2.9	weak
D	99	1.31	0.5	2.8	3.6	absent

Eisenstein et al. (1992)

• Explanation for $\nu = 1/2$ FQHE?

1. "Two-component" Laughlin trial wavefunction:

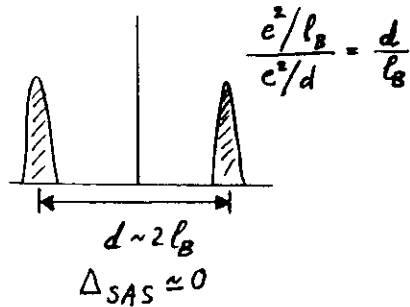
(Halperin, 1983)

$$\Psi_{m,m',n}(u_i, w_i) =$$

$$\prod_{i < j} (u_i - u_j)^m \prod_i \exp(-\frac{1}{4} |u_i|^2) \prod_{i < j} (w_i - w_j)^{m'} \prod_i \exp(-\frac{1}{4} |w_i|^2) \prod_{i,j} (u_i - w_j)^n$$

⇒ The state $(m,m',n) = (3,3,1)$ has filling $\nu = 1/2$ & can be a FQH ground state.

- { Rezaayi, Haldane (1987)
- Yoshioka et al. (1989)
- He et al. (1991, 95)

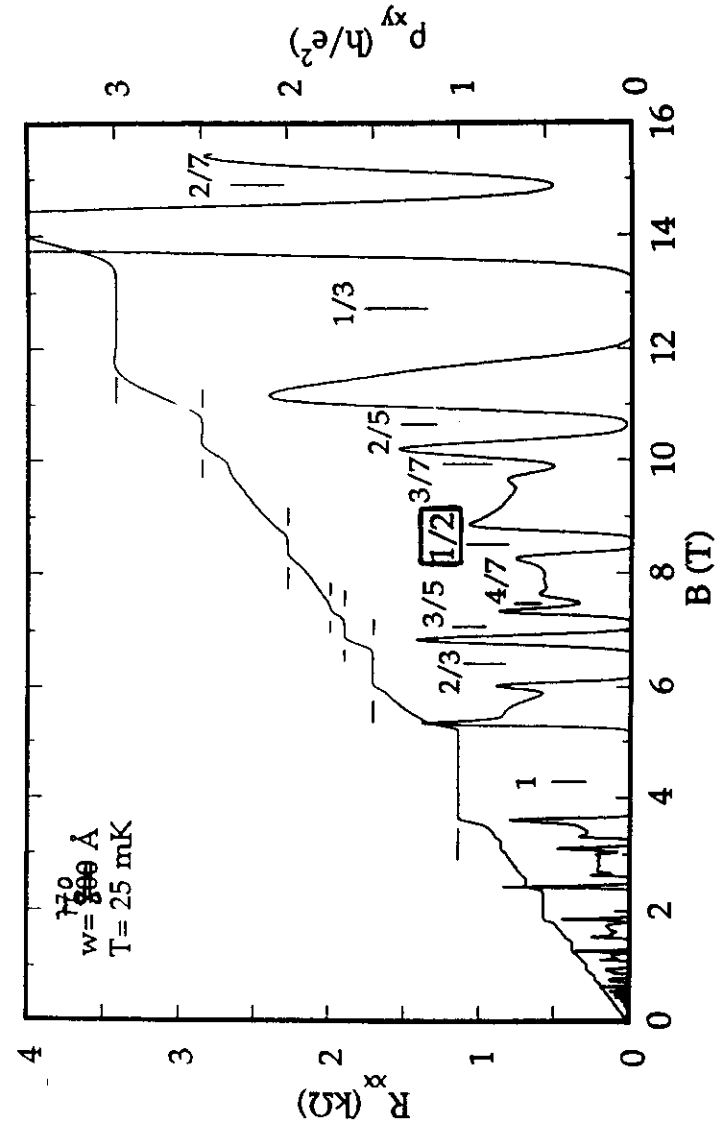


2. "Paired Hall State"

(Greiter, Wen, Wilczek, 1991, 1992):

- Quasi-2D system with reasonably large thickness ($w_e/l_B \gtrsim 3$) can show $\nu = 1/2$ FQH state
- effective reduction of short-range Coulomb repulsion ⇒ "pairing"!

Origin of $\nu = 1/2$ FQH state: One-component or two-component? (in wide single QW)



$$N_s = 7 \times 10^{10} \text{ cm}^{-2}$$

$$\Delta_{SAS} \uparrow \downarrow$$

$$9.5 \times 10^{10} \text{ cm}^{-2}$$

$$\uparrow \downarrow$$

$$1.2 \times 10^{11} \text{ cm}^{-2}$$

$$\uparrow \downarrow$$

magnetic field

In ohmic contact

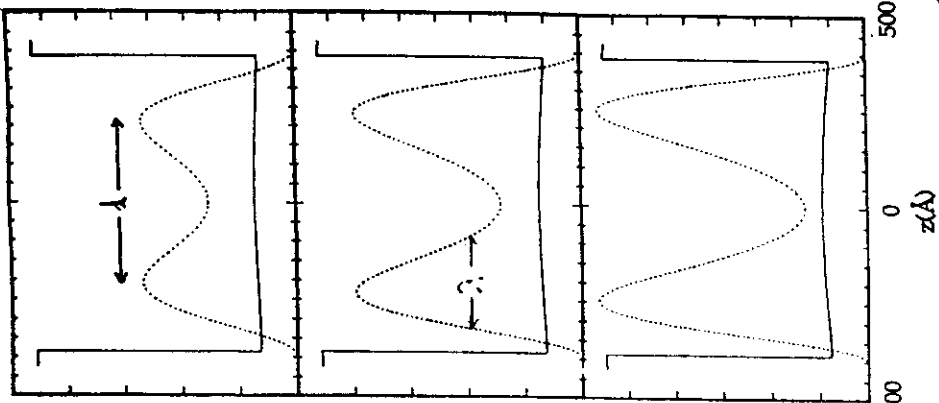
V_{FG}

V_{BG}

Al front gate

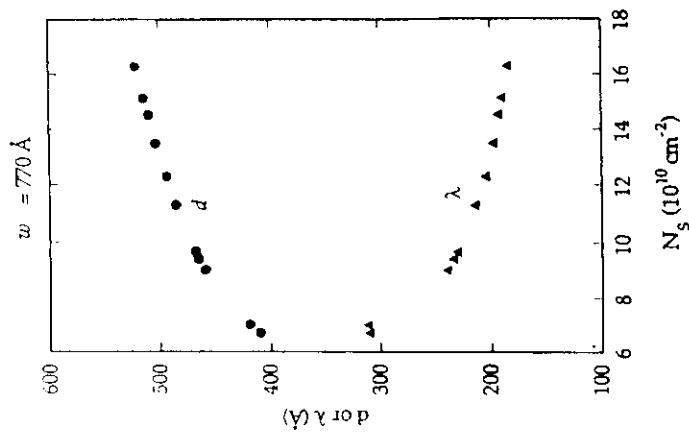
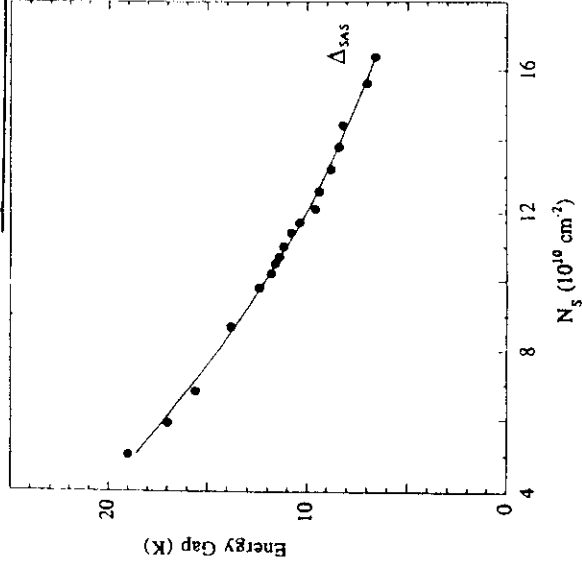
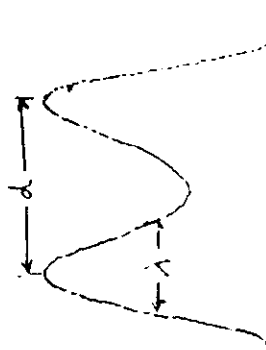
In back-gate

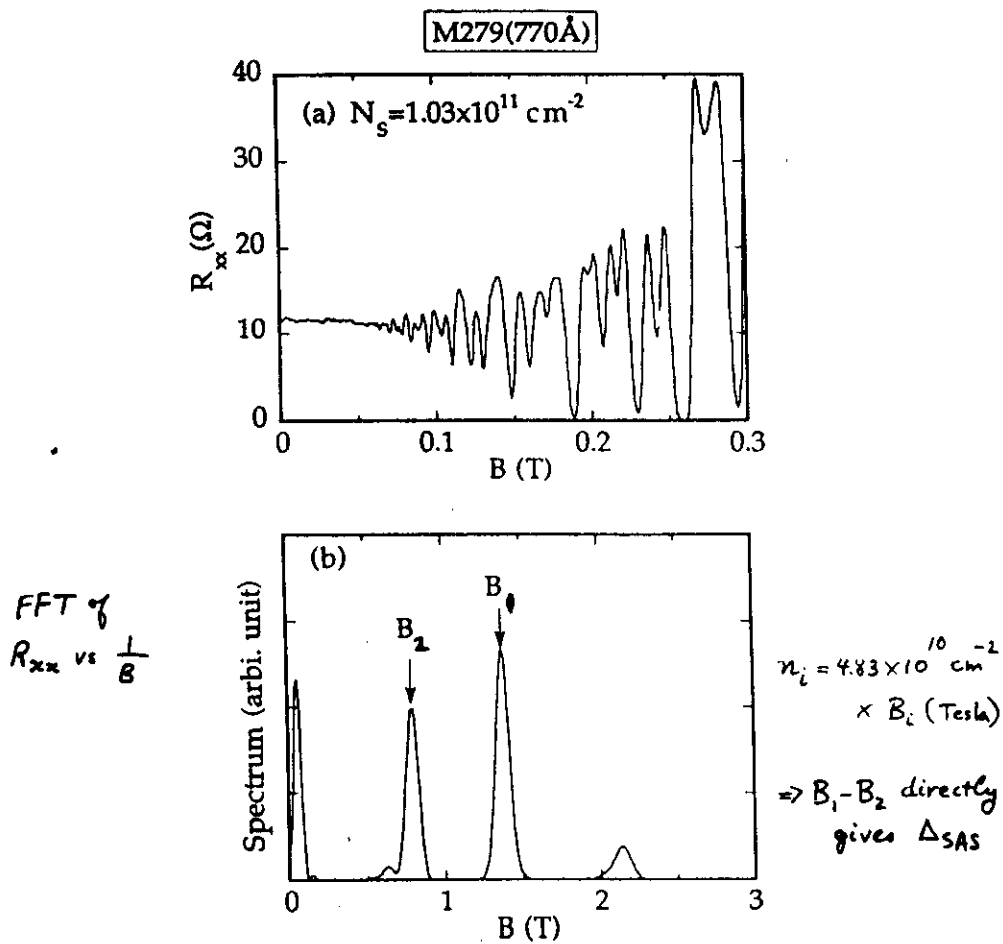
wide quantum well



PRINCETON UNIVERSITY

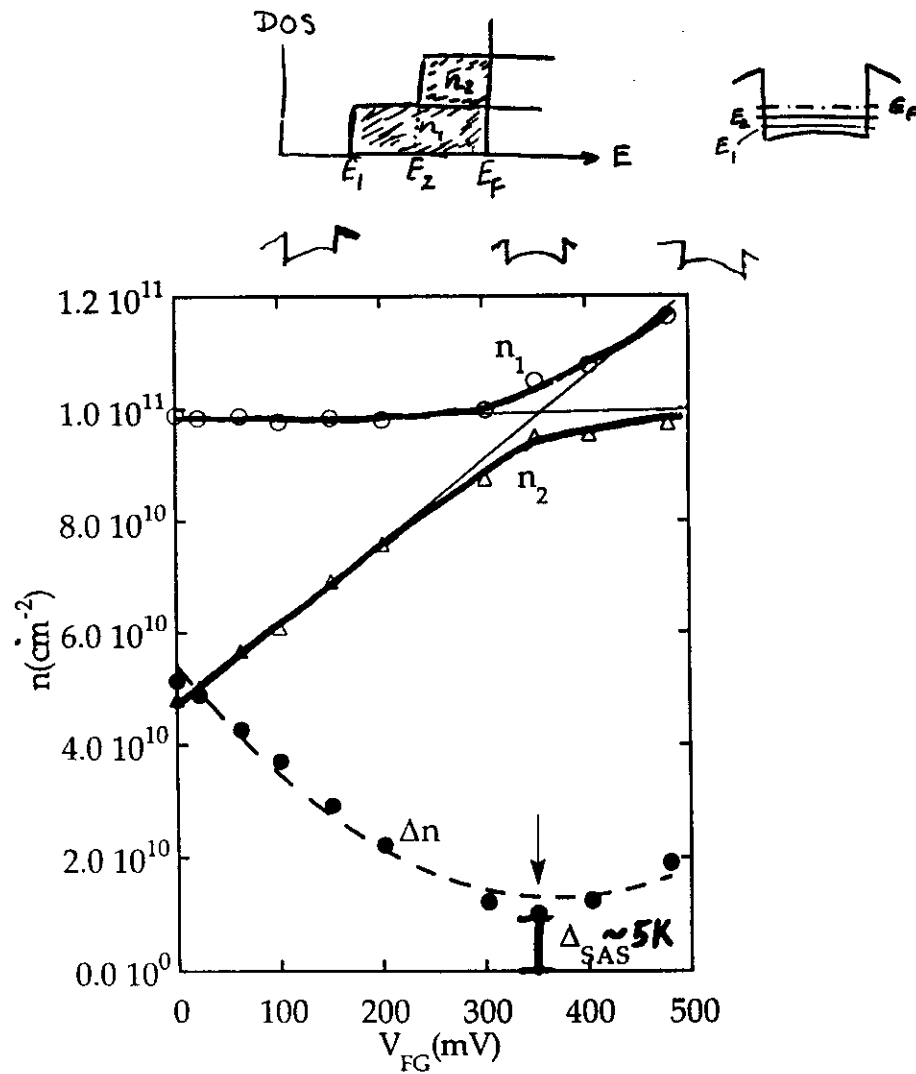
- Dependence of Δ_{SAS} , d , λ on N_s

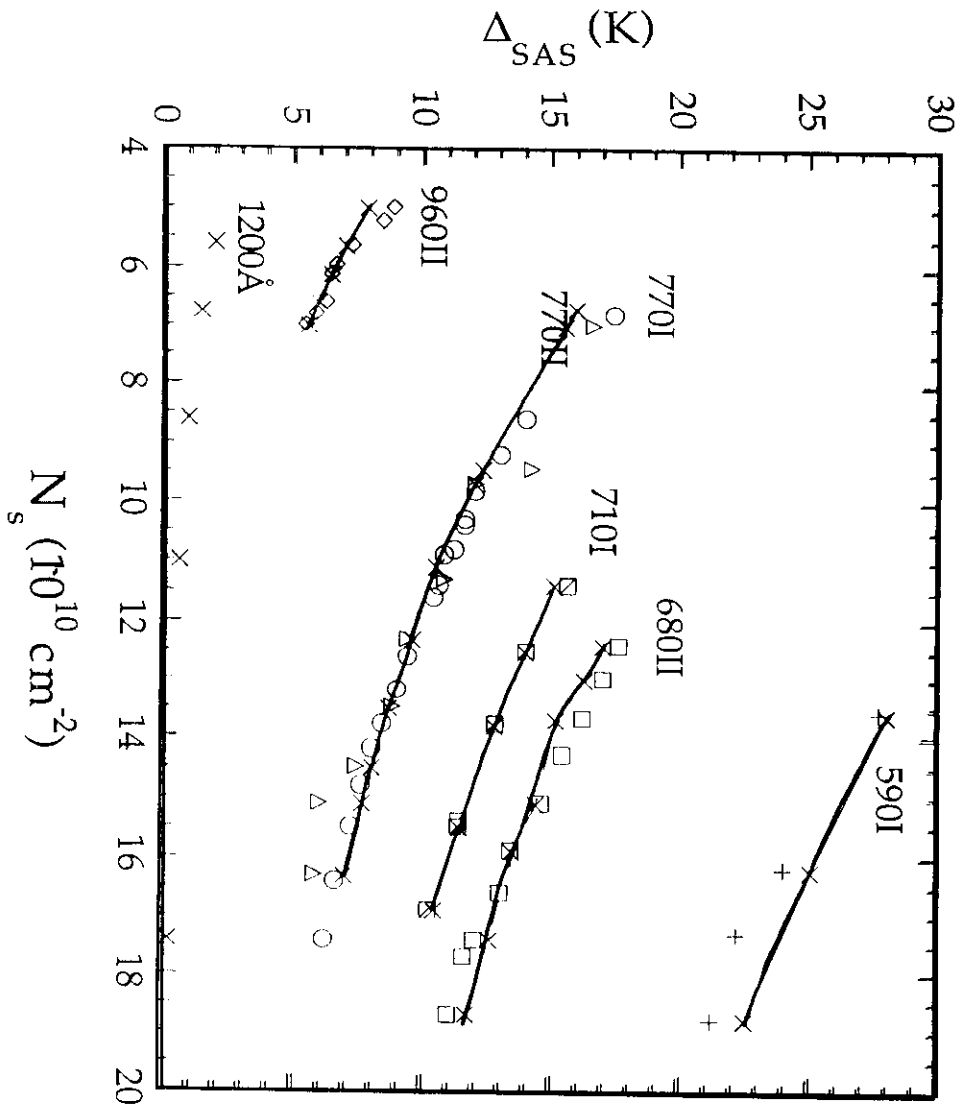




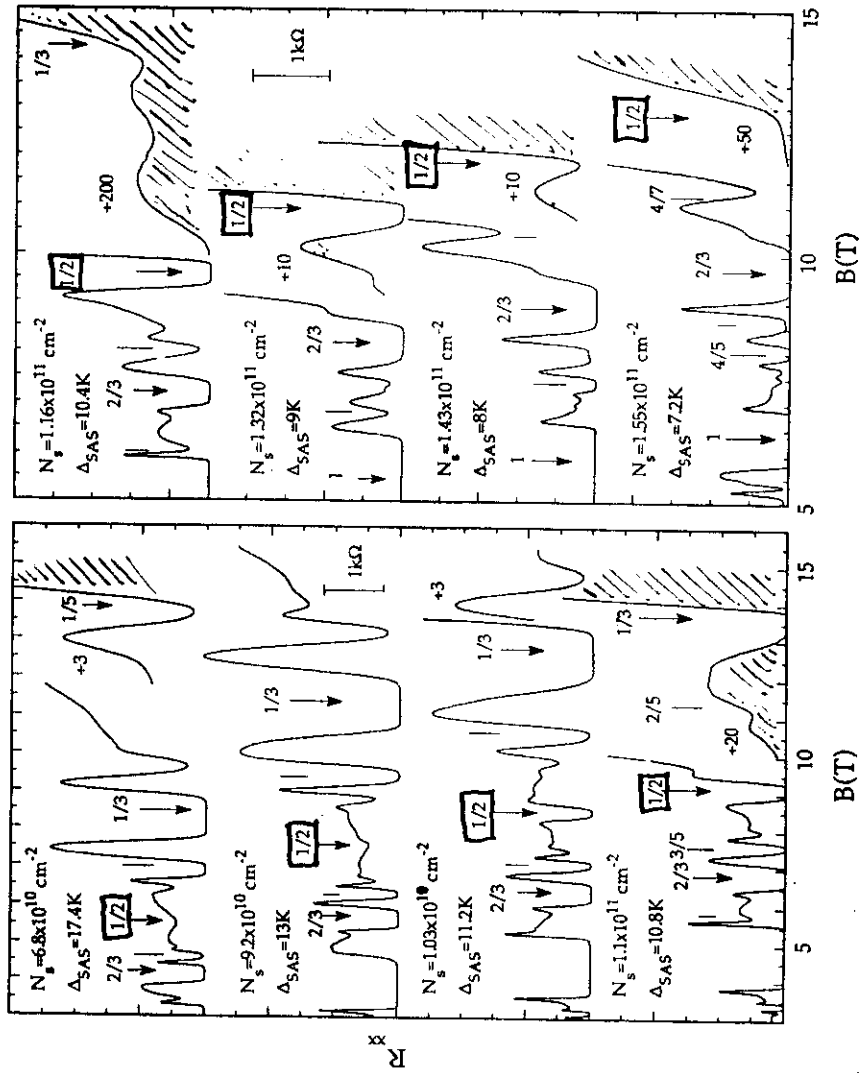
Sun, PhD thesis, 1993

Figure 2.3: (a) The low-magnetic-field Shubnikov-de Haas oscillations, and (b) the FFT spectrum of the oscillatory R_{xx} vs $1/B$ data.

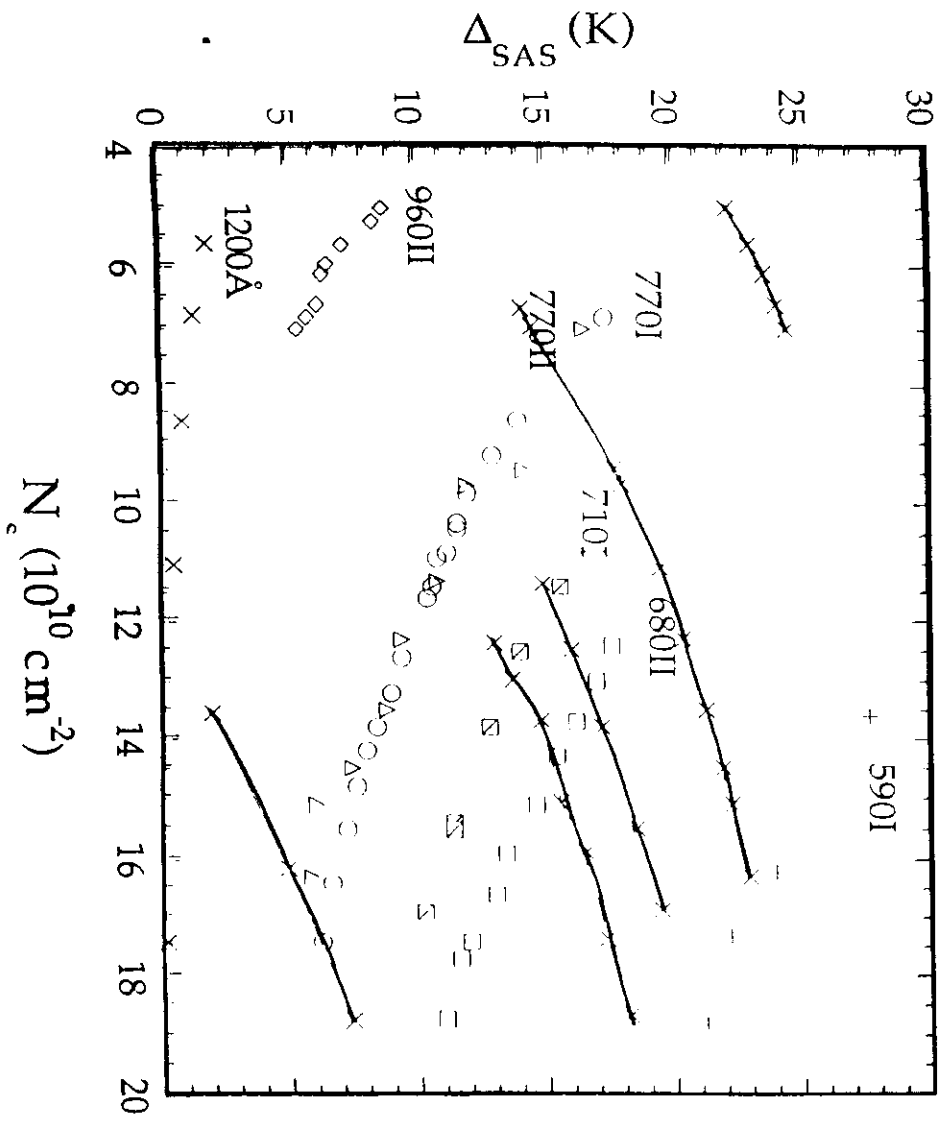
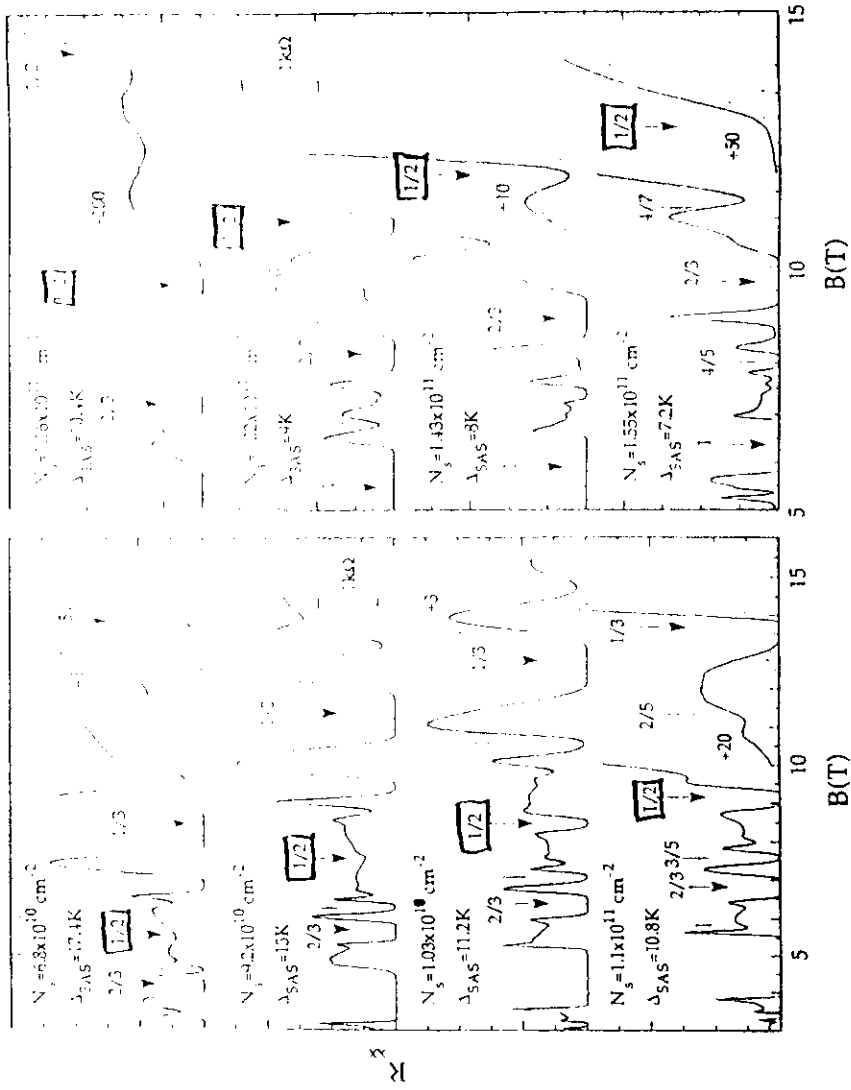




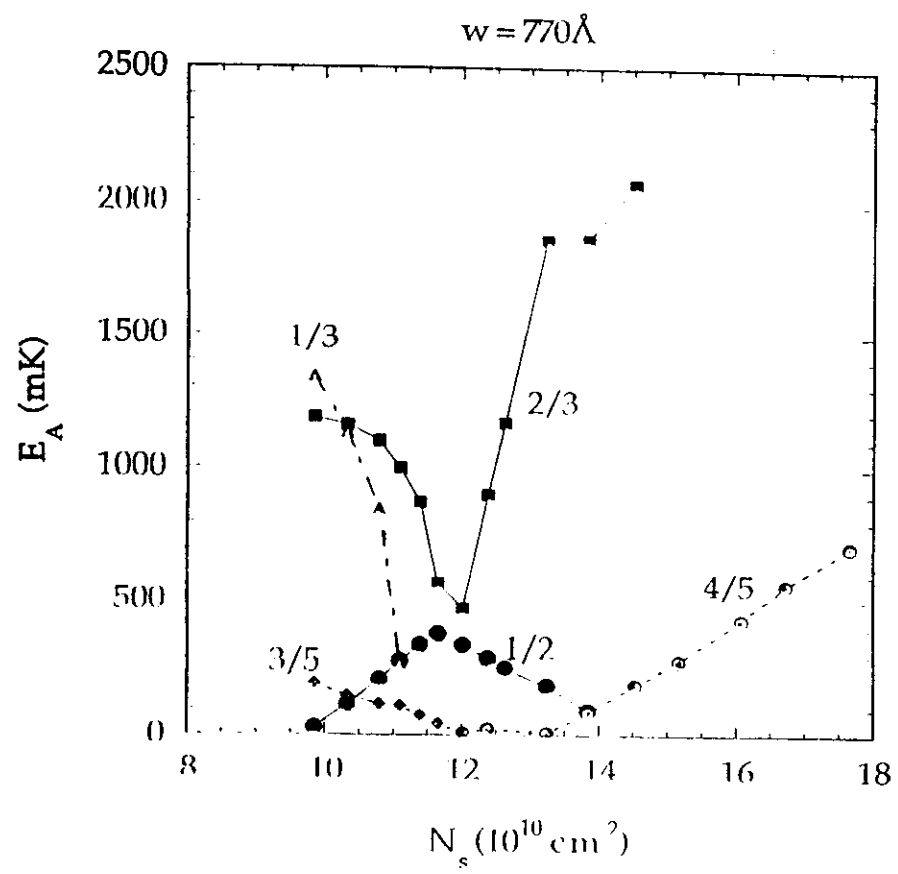
Symmetric Well: Evolution with Increasing Density



Symmetric Well: Evolution with Increasing Density



What happens if Δ_{SAS} finite?



$$\Delta_{SAS} > C \frac{e^2}{\epsilon l_B}$$

Ψ_s^{ν}
one-component

$1/3, 2/3, 3/5, 4/5 \dots$

$1/2: \Psi_{Pf}^{1/2}$

$$\Delta_{SAS} < C \frac{e^2}{\epsilon l_B}$$

For $\nu < 1$

$\Psi^{v(S,AS)}$
two-component

$\frac{e^2}{\epsilon d}$
small

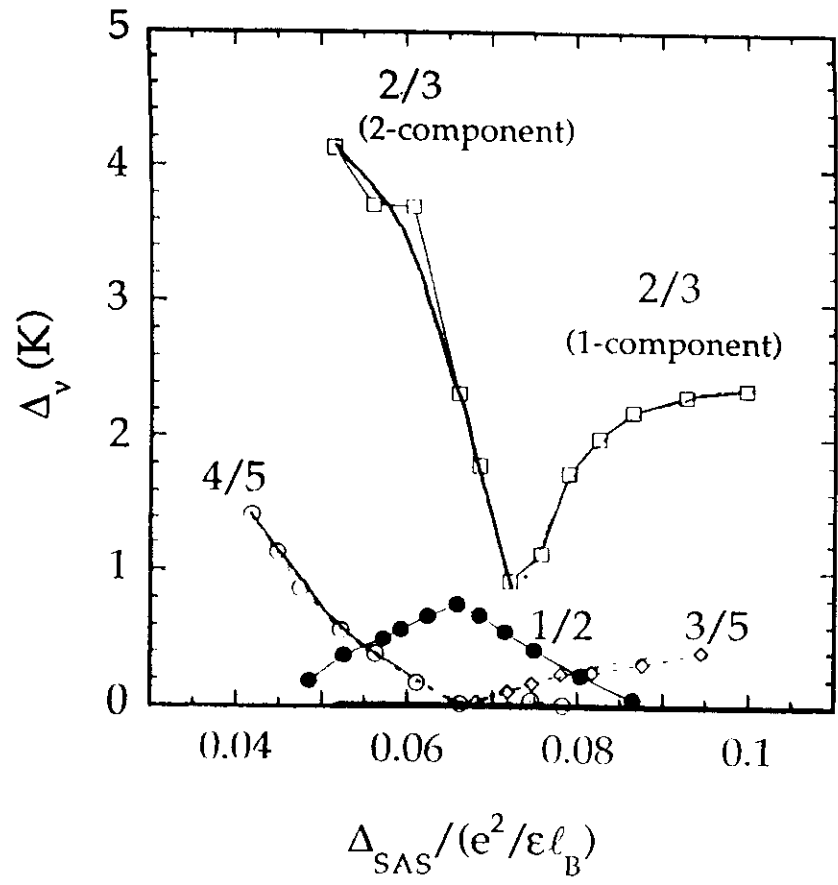
$2/3 (1/3+1/3)$
 $\Psi_{330}^{2/3}$

$4/5 (2/5+2/5)$

$\frac{e^2}{\epsilon d}$
large

new FQH states
 $1/2 \dots$

$\Psi_{331}^{1/2}$



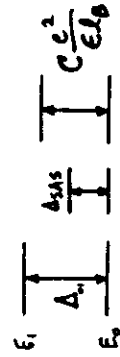
UNBALANCING THE WELL

(FIXED n_s)

ASYMMETRY CHANGES:

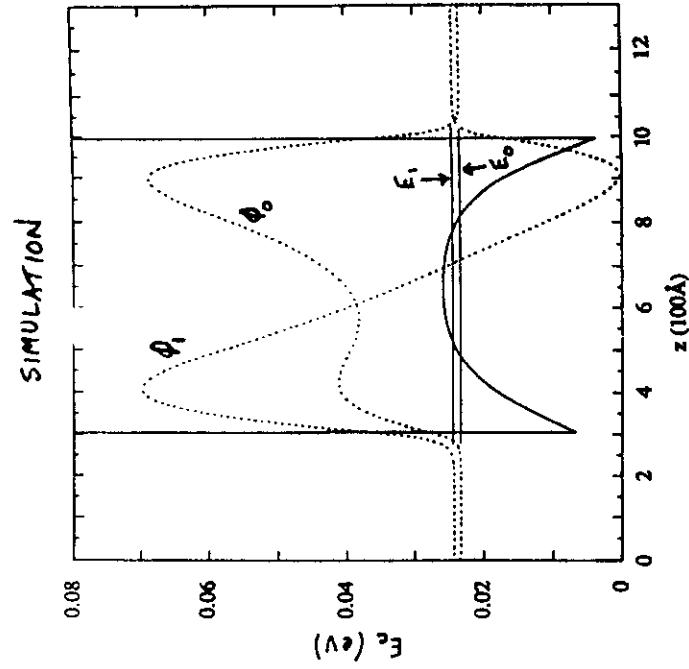
1) BOTH WAVEFUNCTIONS TO BECOME ASYMMETRIC

2) $E_1 - E_0 \equiv \Delta_{11} > \Delta_{SAS}$



ONE-COMPONENT STATES

TWO-COMPONENT STATES



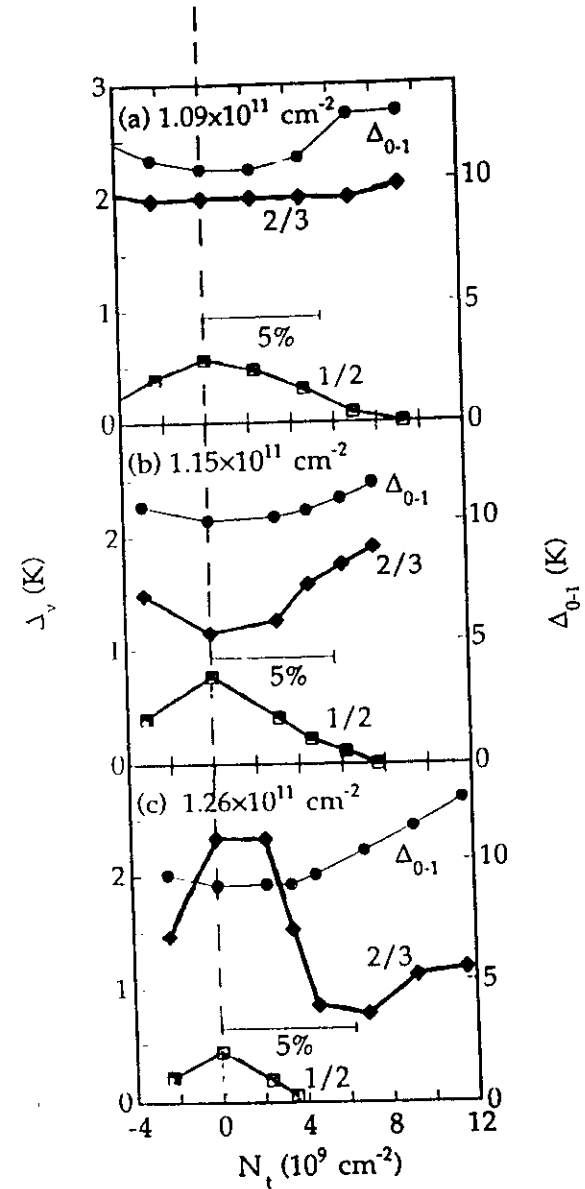
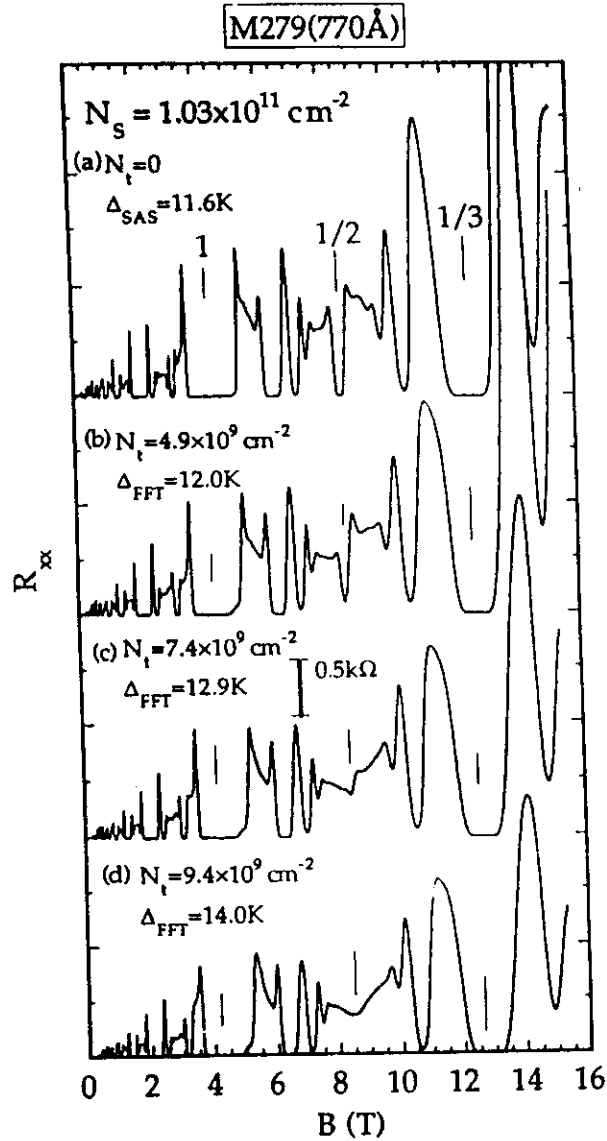
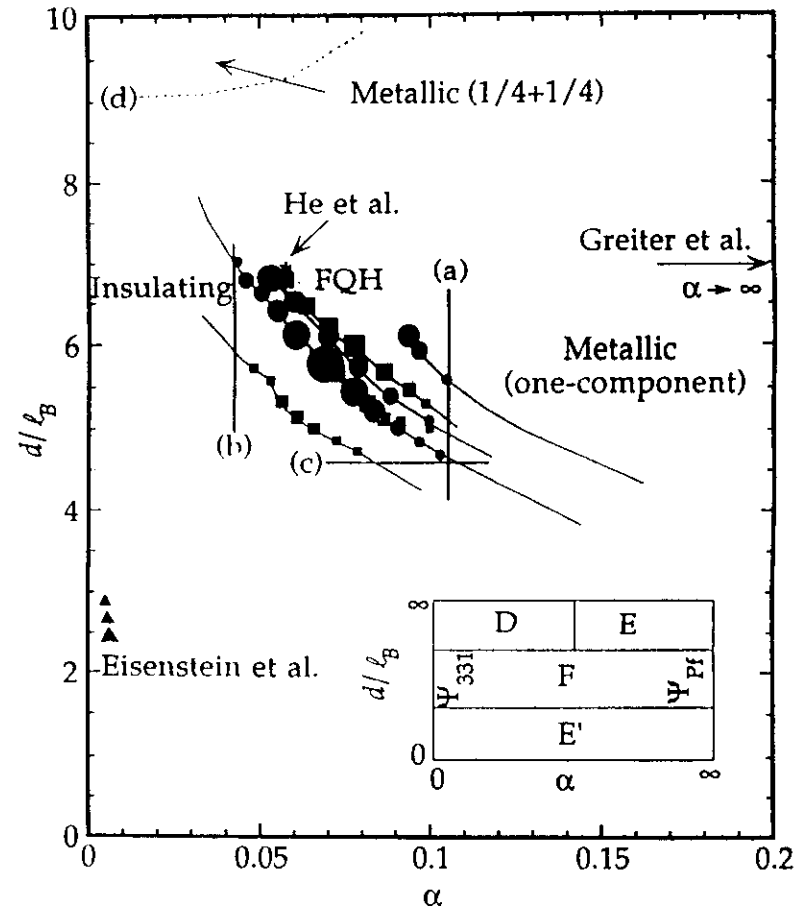
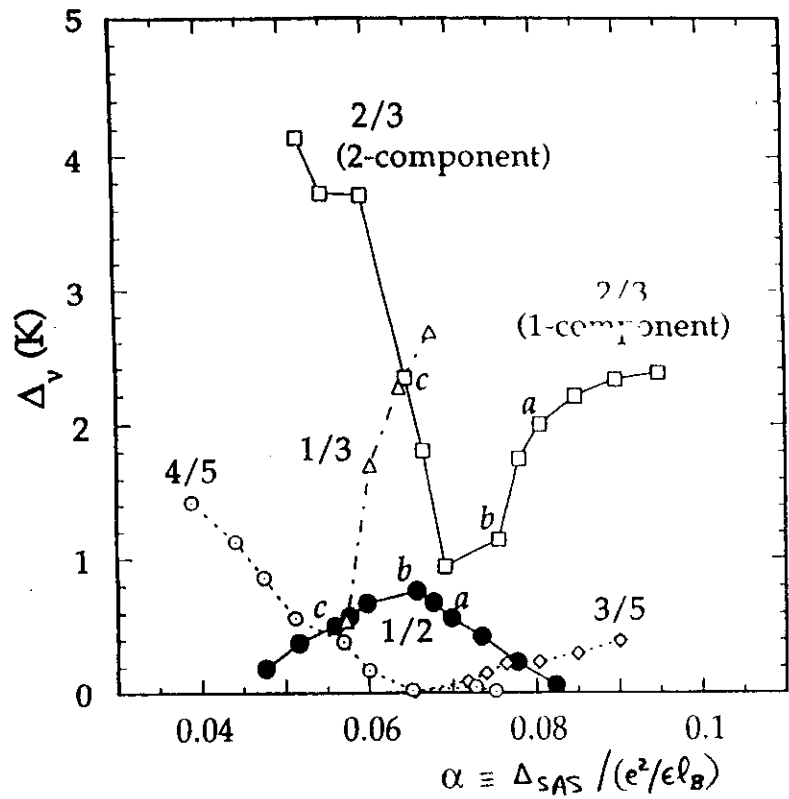


Figure 3.12: Low-temperature ($\sim 30 \text{ mK}$) R_{xx} data for different states along a constant- N_s ($= 1.03 \times 10^{11} \text{ cm}^{-2}$) line in the (V_{FG} , V_{BG}) parameter space for M279(770Å).

Suen, 1994b

Summary of $\nu=1/2$ FQH Data



Suen, 1994b

Suen, 1994 b

FIG. 2

SUMMARY

1) Observe $\nu=1/2$ FQH state and one- to two-component transitions at the same energy scales.

2) Asymmetry effect at fixed density:

Δ_{0-1} , $N_T \uparrow$

1-component states \uparrow

2-component states \downarrow

(+ transition back to 1-component!)

$\frac{1}{2}$ state always \downarrow

\hookrightarrow very sensitive to Δ_{0-1} and wavefunction symmetry

\Rightarrow

$$\Psi_{331}^{1/2}$$

\Rightarrow

$$\Psi_S^{2/3} \xleftarrow{\text{DENSITY, ASYMMETRY}} \Psi_{330}^{2/3}$$

{1}

{2}

Finite-Temperature Phase Transition and Many-body Origin of $\nu = 1$ IQH state in Bilayer Electron Systems

T. S. Lay, Y. W. Suen, H. C. Manoharan,
X. Ying, M. B. Santos and M. Shayegan

Department of Electrical Engineering
Princeton University

APS Meeting, March 1994
Also, Lay, 1994 (submitted)

For exciting results & interpretation on $\nu=1$ QHE in DQW's see:

Murphy, 1994
Yang, 1994

Two Limits



• Two isolated 2DES's
in parallel (No tunneling)

IQHE

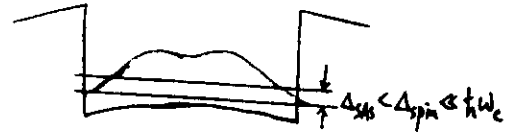
at $\nu_{\text{each layer}} = 1, 2, 3, \dots$

⇒ $\nu_{\text{total}} = 2, 4, 6, \dots$

FQHE

at $\nu_{\text{each layer}} = \frac{1}{3}, \frac{2}{5}, \dots, \frac{3}{5}, \frac{2}{3}$

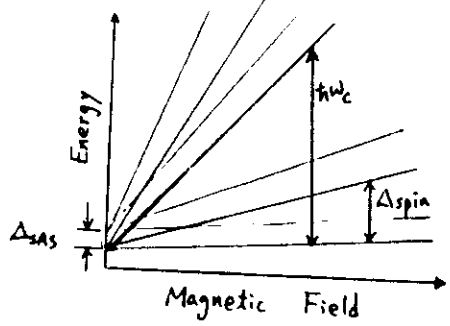
⇒ $\nu_{\text{total}} = \frac{2}{3}, \frac{4}{5}, \dots, \frac{6}{5}, \frac{4}{3}$



• One (Wide) 2DES

IQHE

at $\nu_{\text{total}} = 1, 2, 3, \dots$

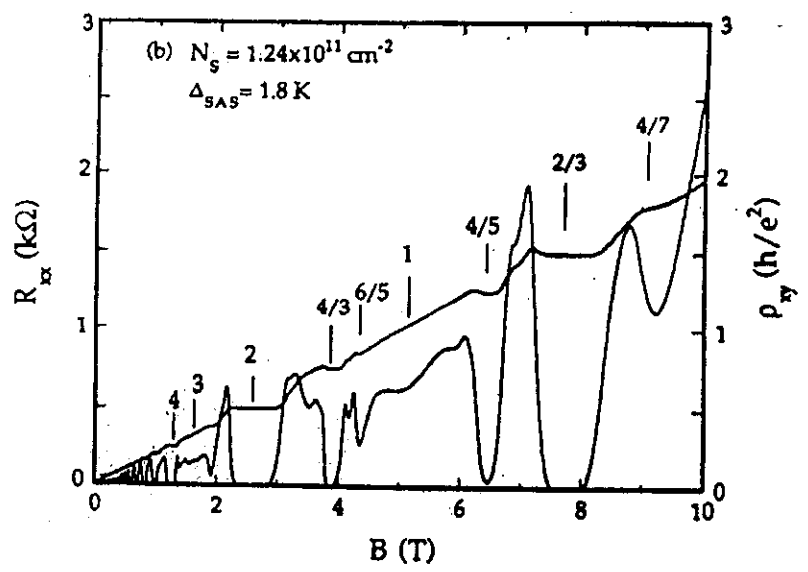
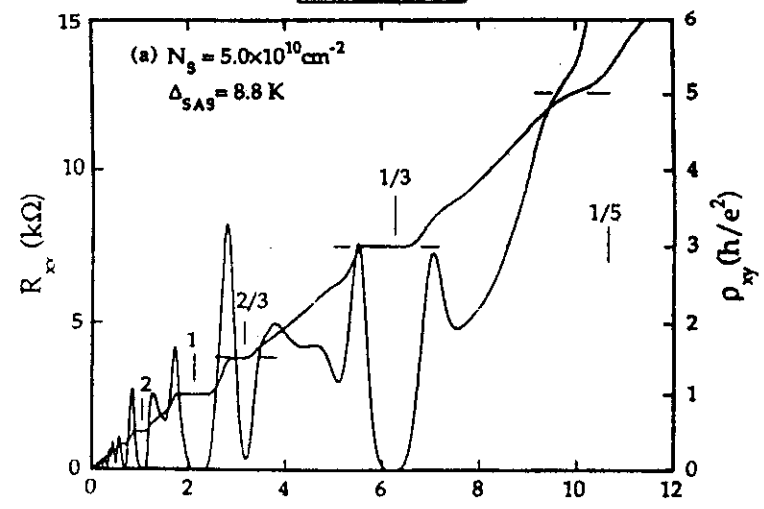


FQHE

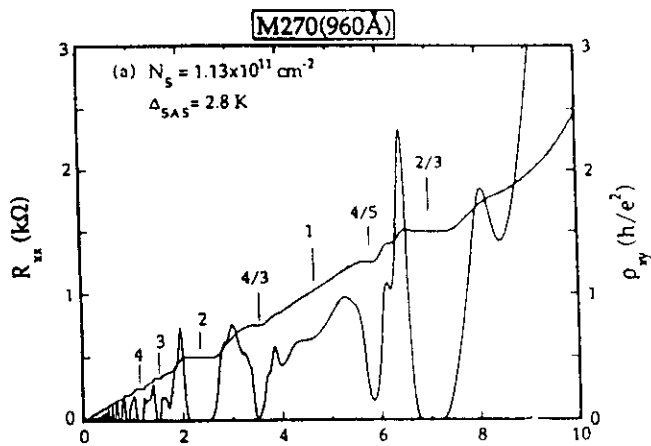
at $\nu_{\text{total}} = \frac{1}{3}, \frac{2}{5}, \dots, \frac{3}{5}, \frac{2}{3}$

? evolution ?

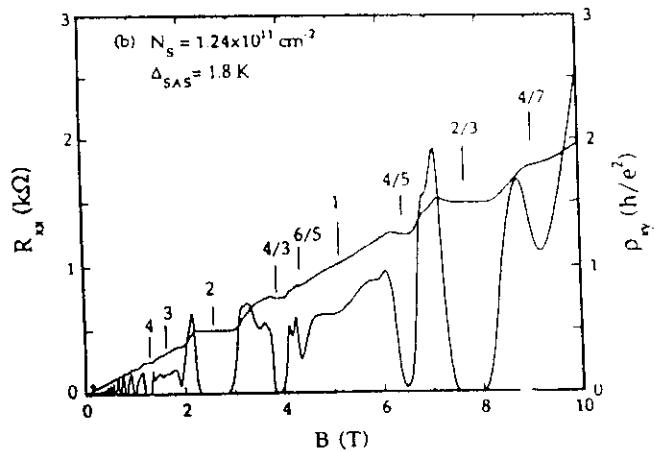
M270(960Å)



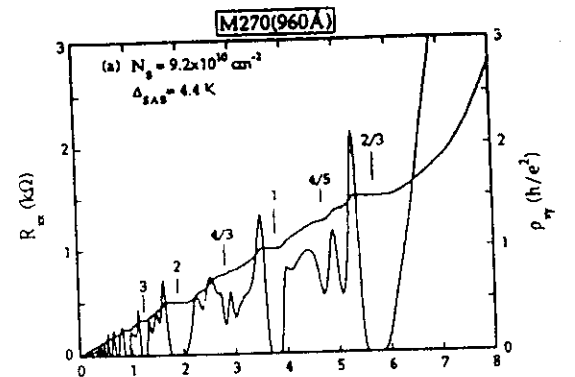
$$\alpha = 0.025$$



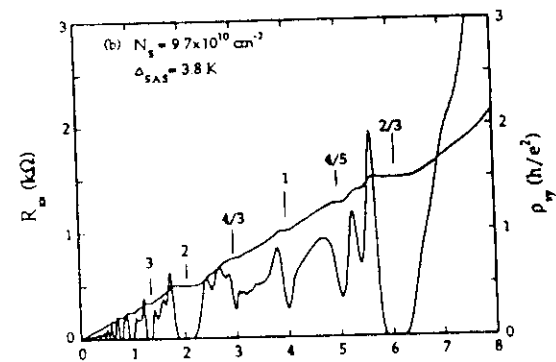
$$\alpha = 0.016$$



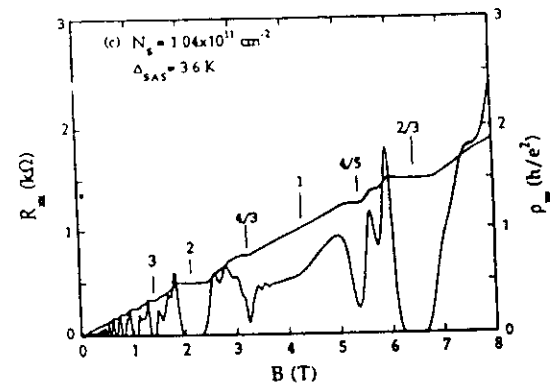
$$\alpha = 0.044$$



$$\alpha = 0.037$$

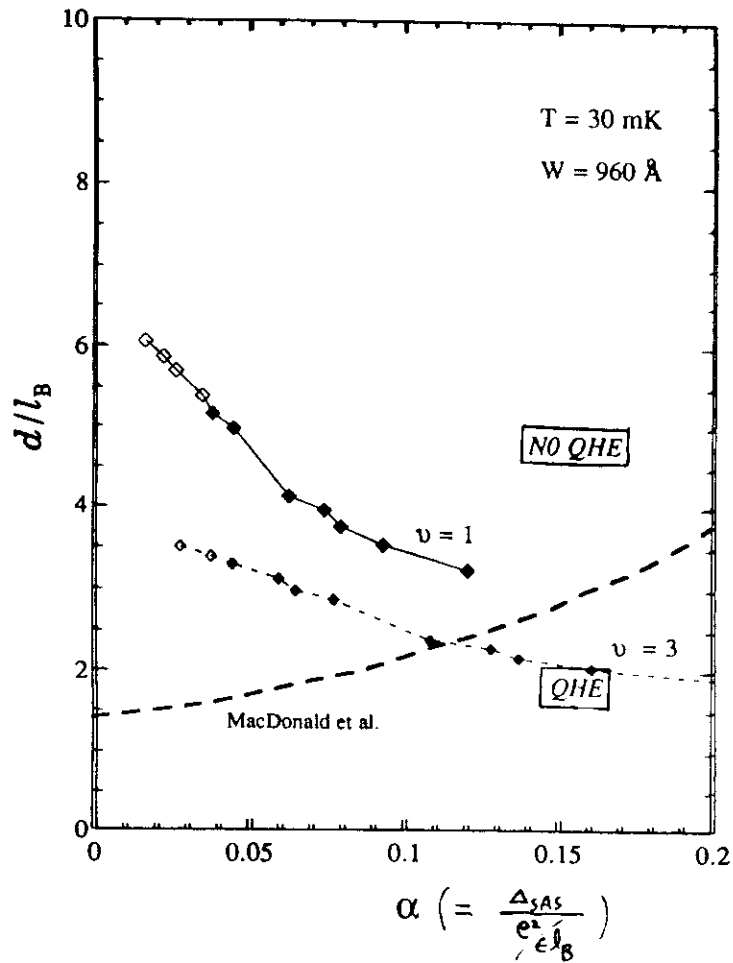


$$\alpha = 0.034$$



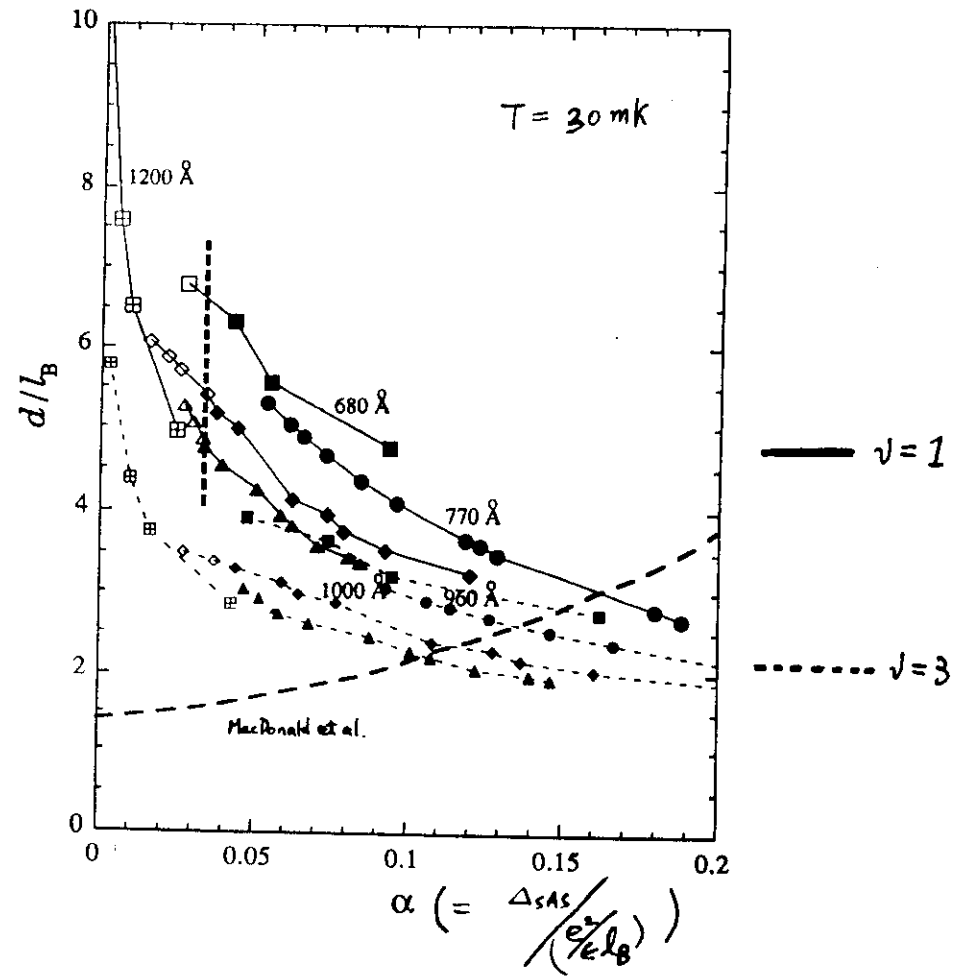
$$\alpha = \frac{\Delta_{SAS}}{e^2/\epsilon l_B} = \frac{\text{tunneling gap}}{\text{intralayer interaction}}; \quad l_B = \sqrt{\frac{\hbar}{eB}} \text{ (magnetic length)}$$

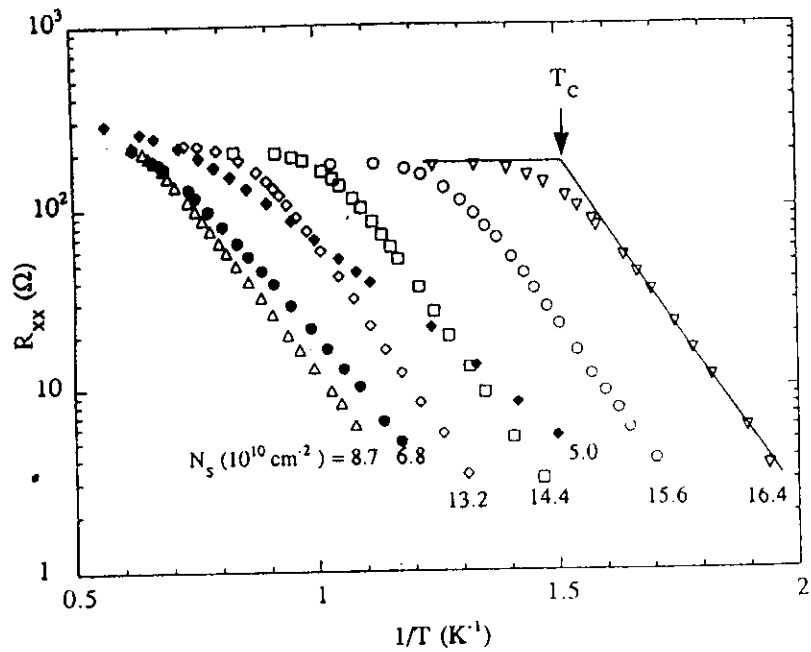
$$\frac{d}{l_B} = \frac{e^2/\epsilon l_B}{e^2/\epsilon d} = \frac{\text{intralayer interaction}}{\text{interlayer interaction}}$$



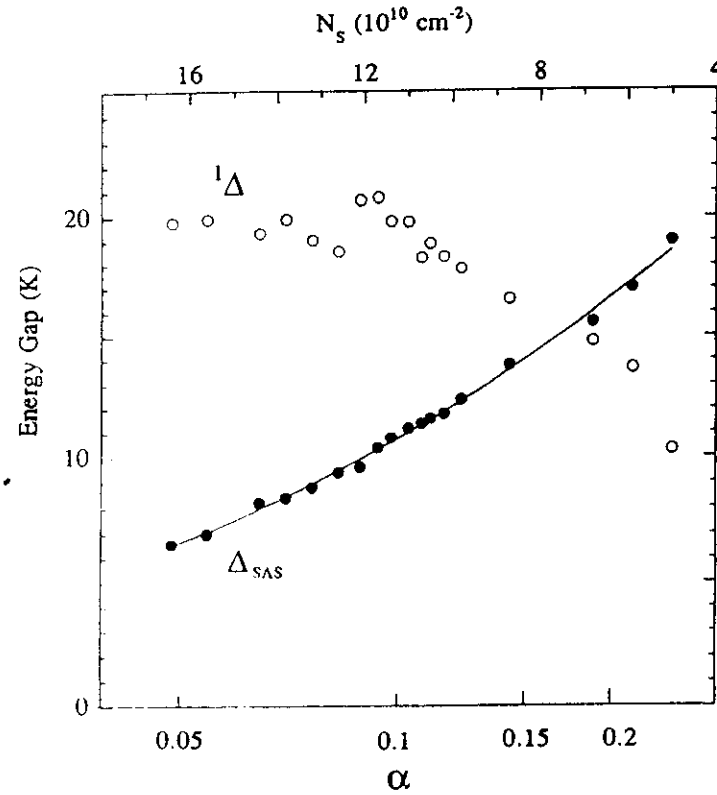
Observation :

QHE in "forbidden" region

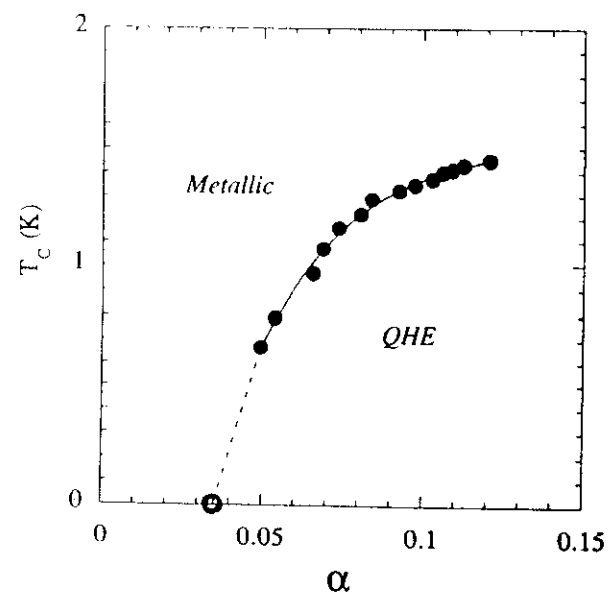
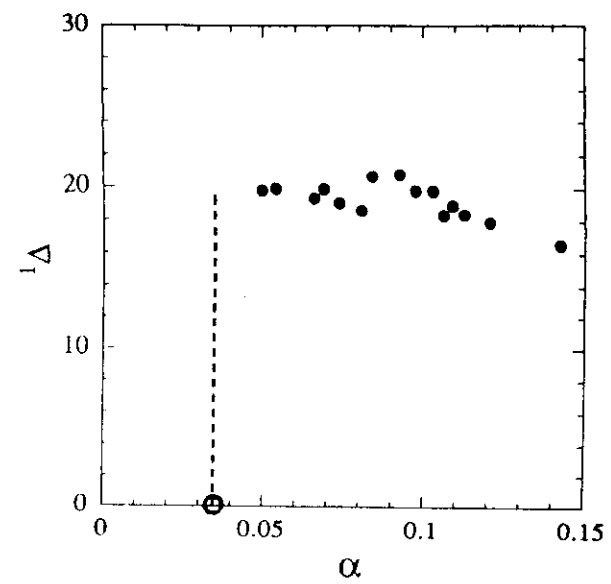
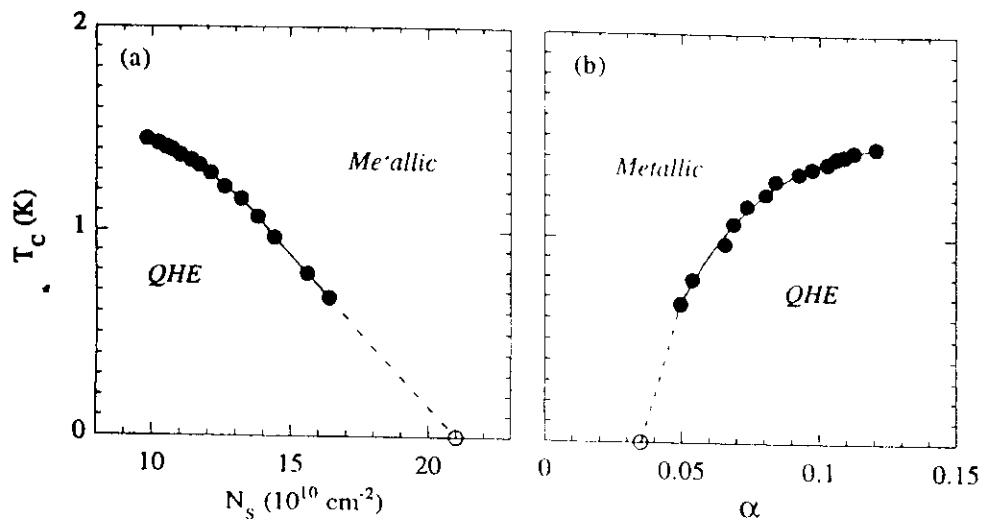




- For large N_s (small α):
 - "sharp" end to activated behavior above T_c .
 - $T_c \approx 1\text{K} \ll {}^1\Delta \approx 20\text{K}$.
 - T_c depends on N_s while ${}^1\Delta$ does not.
- For small N_s (large α):
 - No clear T_c .
 - ${}^1\Delta$ starts to drop.



- Measured Δ_{SAS} is in excellent agreement with calculated result.
- For small α (large N_s):
 - ${}^1\Delta$ is independent of α (or N_s).
 - ${}^1\Delta$ is much larger than Δ_{SAS} .



Summary

1. Many-body QHE at $\nu = 1$ in "forbidden" region:

- $\Delta = 3 \Delta_{SAS}$
- $\Delta \gg T_C$
- two-component state?

$$\Psi_{mm'n} = \prod_{i,j} (u_i - u_j)^m \prod_{i,j} (w_i - w_j)^{m'} \prod_{i,j} (u_i - w_j)^n \cdot \exp \left\{ - \sum_i \frac{|u_i|^2}{4\ell_B^2} - \sum_i \frac{|w_i|^2}{4\ell_B^2} \right\}$$

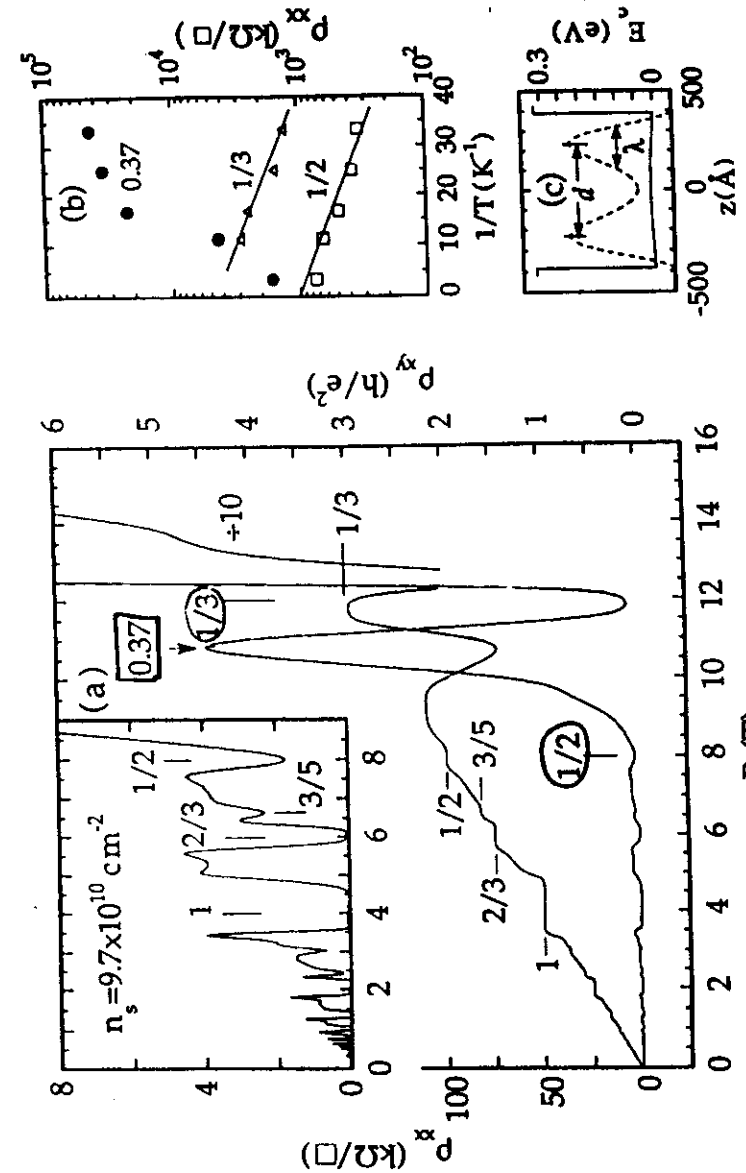
$m = m' = n = 1 \Rightarrow \Psi_{111}$: QHE at $\nu = 1$

2. Finite-temperature QHE \rightarrow metallic state transition:

- Kosterlitz-Thouless transition?
 - Theoretically proposed for bilayer system with $d > 0$ and $\Delta_{SAS} = 0$ (Wen and Zee; Yang et al.)
 - Estimated T_{KT} qualitatively consistent with our T_C
 - Problems: (i) $\Delta_{SAS} > 0$ expected to destroy KT transition
 - (ii) not clear how R_{xx} vs T should behave near T_{KT} .

- Ψ_{111} (ground state) $\xrightarrow{T \uparrow}$ metallic state (larger entropy)?
 - The closer to the $\alpha = 0.035$ boundary, the lower the temperature to destroy Ψ_{111} state.

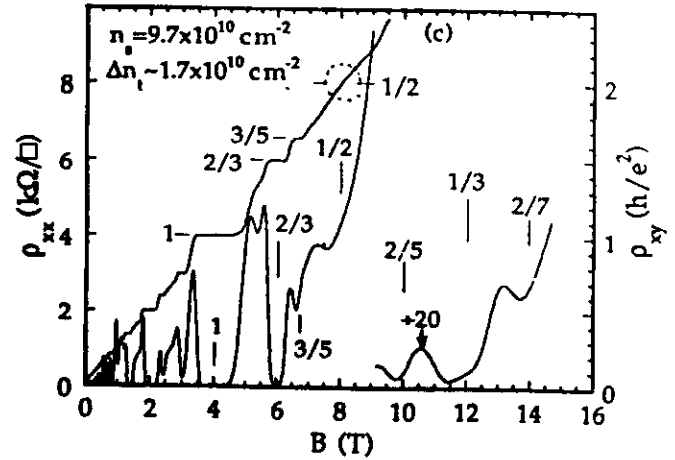
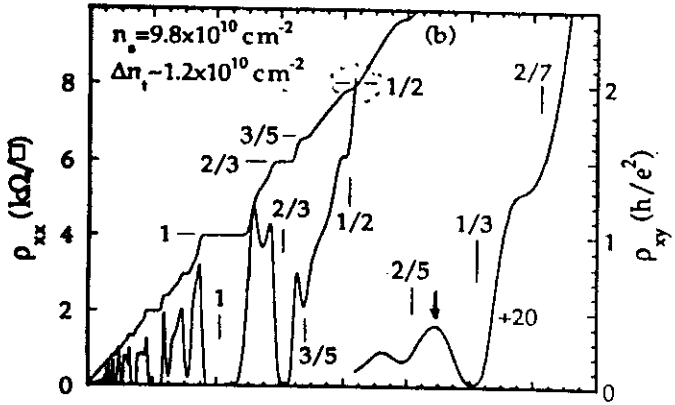
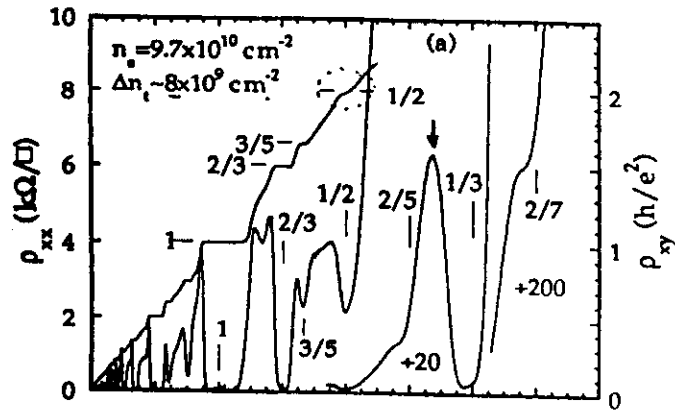
(related talks in session M2, Thursday)



FQH states @ $\nu = \frac{3}{5}, \frac{1}{2}, \frac{1}{3}$ Insulating phase @ $\nu = 0.37$

Suen et al. 1992 b

Dependence of Asymmetry



- IP for electrons in wide GaAs wells:
 - "reentrant" e.g., around $\nu = 1/3$ or $\nu = 1/2$ FQHL
 - nonlinear I-V
 - disappears in asymmetric systems
 - disappears as n_s is lowered!

• Explanation "?"

- Oji, MacDonald, Girvin ('87)

collapse of the energy gap at the magnetoroton minimum in a multilayer system

⇒ Wigner crystal?

- Disorder induced? NO!

