



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
I.C.T.P., P.O. BOX 586, 34100 TRIESTE, ITALY, CABLE: CENTRATOM TRIESTE



SMR.762 - 16

**SUMMER SCHOOL IN HIGH ENERGY PHYSICS AND COSMOLOGY**

**13 June - 29 July 1994**

LECTURE ON TRINIFICATION

Q. SHAFI  
Bartol Research Institute  
University of Delaware  
Newark, DE,  
USA

Please note: These are preliminary notes intended for internal distribution only.

Supersymmetric UnificationGOALS

- 'Naturally' incorporate unification of the three gauge couplings at scales  $\sim 10^{16}$  GeV (as 'observed' at LEP), consistent with  $\sin^2 \theta_W$ ;
- i.o. Gauge Hierarchy Problem;
- Explain the stability of the proton;
- Origin of GUT scale;
- Origin of fermion masses, mixings, CP;
- Higgs & sparticle masses of MSSM;
- Applications in Cosmology (e.g. inflation, dark matter, ...)
- Merger in superstrings, SUSY breaking, ...
- ⋮

# Beyond SU(5) & SO(10) SUSY GUTS

## 2. Eventual Simplification

- Minimal  $\Lambda$  models  $\overset{\text{GUT}}{\wedge}$   $\overset{\text{typically}}{\wedge}$  do not resolve the 'gauge hierarchy' problem.

In  $SU(5)$ :

$$W \supset \bar{H}(\bar{5}) \Sigma(24) H(5)$$

$$\Rightarrow (\bar{H}(3) \quad \bar{H}(2)) \begin{pmatrix} a & & & \\ & a & & \\ & & a & \\ & & & b \\ & & & b \end{pmatrix} \begin{pmatrix} H(3) \\ H(2) \end{pmatrix}$$

- $\rightarrow m_{\bar{H}(3)} \sim a, m_{\bar{H}(2)} \sim b$   
 $\uparrow$   
 $\sim M_{GUT}$        $\uparrow$   
 $\text{Also } \sim M_{GUT} \text{ since}$

$$b = -\frac{3}{2}a$$

- Add  $m_{\bar{H}(5)} H(5)$       ( $\bar{7}, \Sigma = c$ )  
and fine tune  
(or Try  $75, 50 + \bar{50}, \dots$ )

What about  $SO(10)$ ?

Has a number of attractive features.

- $10 + \bar{5} \subset \underline{16}$  (unifies a single family)
- Automatically anomaly free
- $m_\nu \neq 0$  (MSW? hot dark matter?)
- Automatic 'Matter Parity' provided gauge breaking by tensor repsns.
- More restrictive mass matrices

How about Gauge Hierarchy?

$W \supset T_1(10) A(45) T_2(10)$

$$\langle A \rangle = \begin{pmatrix} a & & & \\ & a & & \\ & & a & \\ & & & b \\ & & & & b \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$m_{H(2)} \sim b, \quad m_{H_3} \sim a$$

$a \neq 0, b = 0$  possible in  $SO(10)$

since Trace of  $U(5)$  Generators

are non zero.

Can one exploit this and construct  
sensible models?

- Ignoring Planck scale corrections

You need the following:

3  $\underbrace{45}'s$

1  $\underbrace{54}$

2  $\underbrace{10}'s$

$126 + \overline{126}$  pair

- In addition you will need some discrete symmetries.

- With Planck scale corrections (non-renormalizable terms) need additional symmetries (even local  $U(1)??$ )

$$G \equiv SU(3)_c \times SU(3)_L \times SU(3)_R$$

(Trinification)

Chiral fermions/bosons (superfields) transform  
as follows:

$$\lambda_i = (1, \bar{3}, 3)_i = \begin{pmatrix} H^u & H^d & L \\ e^c & \nu^c & N \end{pmatrix}_i$$

$$Q_i = \begin{pmatrix} u \\ d \\ g \end{pmatrix}_i \equiv (3, 3, 1)$$

$$Q^c_i = (u^c \ d^c \ g^c)_i \equiv (\bar{3}, 1, \bar{3})$$

$SU(3)_L$  acts vertically  
 $SU(3)_R$  " horizontally

Need Higgs superfields for gauge

Symmetry breaking. Two super-

multiplets needed to break  $G$  to

$SU(3)_c \times SU(2)_L \times U(1)$ . These transform

as  $\lambda_i$  and denoted by  $\lambda(\bar{\lambda})$  and

$\lambda'(\bar{\lambda}')$  [Note the simplicity. Cf:  $\frac{SU(5)}{SO(10)}$ ]

$$|\lambda| = |\bar{\lambda}^*| = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & N \end{pmatrix} \xrightarrow{\quad} \begin{matrix} SU(2)_L \times \\ SU(2)_R \times \\ U(1)_{B-L} \end{matrix}$$

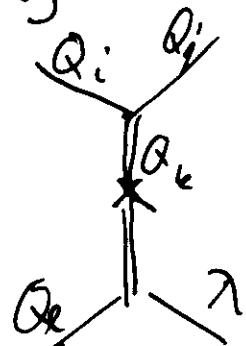
$$|\lambda'| = |\bar{\lambda}'^*| = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & v^* & 0 \end{pmatrix} \xrightarrow{\quad} SU(2)_L \times U(1)_Y$$

$G$ -invariant couplings include

$$\underbrace{\lambda^3}_{Q_i Q_j Q_k} \quad \underbrace{Q_i Q_j^c \lambda}_{\text{dim 5 p decay}}$$

contains the term  $H^u H^d \langle N \rangle$

$$(\lambda^3 \equiv \epsilon^{\alpha\beta\gamma} \epsilon_{abc} \lambda_a^A \lambda_b^B \lambda_c^C, \text{etc})$$



Eliminate the  $\lambda^3$  term  $\Rightarrow H^u - H^d$  pair  $\checkmark$  is  
(in  $\lambda$ )  
'massless'

Simplest way:  $\lambda \rightarrow -\lambda$  (under  $\mathbb{Z}_2$ )

Would like to retain  $Q_i Q_j^c \lambda$  (at least for the  
3rd family)  
(gives masses to  
add'l fields)

Really need a  $\mathbb{Z}_4$ :

$$Q_i, Q_j^c \rightarrow i(Q_i, Q_j^c)$$

$$\lambda \rightarrow -\lambda$$

What have we achieved so far?

Supplement G with a discrete  $\mathbb{Z}_4$ :

- 'Massless' electroweak doublet pair  $H^u - H^d$ ;
- Dimension five p decay absent ;
- $\mathbb{Z}_2$  (subgroup of  $\mathbb{Z}_4$ ) unbroken and acts as 'matter parity';

Are we done ?

- What about non-renormalizable intcs?
- Couplings of  $\lambda$  to the remaining fields?  
⋮

## Gauge Hierarchy & Planck Scale Corrections

Consider the  $\lambda$  term  $\overset{\text{superpotential}}{\lambda^3 (\lambda \bar{\lambda}) / M_p^2} \boxed{\mu H^u H^d}$

This would lead to  $\mu \sim \mathcal{O}(10^{-4}) M_{\text{GUT}}$ ,

assuming  $\lambda/M_p \sim 10^{-2}$ ,  $\lambda \sim M_{\text{GUT}} \sim 10^{16} \text{ GeV}$ .

$\therefore \lambda$  should be eliminated.

Should  $\bar{\lambda} \rightarrow -\bar{\lambda}$  under  $\mathbb{Z}_4$ ?

No! Otherwise wont have  $\bar{\lambda}^3$  term

$\Rightarrow$  'massless' doublet pair which we dont need

Need something which allows  $\bar{\lambda}^3$  but not above term  $\Rightarrow \mathbb{Z}_3$  ( $\bar{\lambda} \rightarrow \alpha \bar{\lambda}$ )

$$\text{In this case get } \mu \sim \lambda^3 \frac{(\lambda \bar{\lambda})^3}{M_p^6}$$

$\Rightarrow$  right order of magnitude

In order to resolve the gauge hierarchy problem to all orders (including the  $\lambda' - \bar{\lambda}'$  sector) we need one more ingredient :

Discrete R parity such that  
The superpotential as well as the  
chiral superfields change sign (under  
the action of R)

Proof:

Any invariant takes the 'form'

$$\epsilon_{\alpha\beta\gamma} \epsilon_{ABC} f_{\alpha\beta\gamma, ABC}$$

$\underbrace{\hspace{10em}}$   
odd product of  $\lambda, \bar{\lambda}, \lambda', \bar{\lambda}'$

Any such invariant as well as its derivatives w.r.t.  $\lambda, \bar{\lambda}, \lambda', \bar{\lambda}'$  vanish along the vacuum configuration

$$\frac{\partial W}{\partial \lambda} = \frac{\partial W}{\partial \bar{\lambda}} = \frac{\partial W}{\partial \lambda'} = \frac{\partial W}{\partial \bar{\lambda}'} = 0$$

The 'correct' vacuum corresponds to a flat direction (to all orders in  $M_p^{-1}!!$ )

How does  $M_{GUT}$  arise ??

$M_{GUT}$  will be fixed only after

SUSY breaking has occurred.

=> exciting possibility of explaining  
where  $10^{16}$  GeV comes from.

But  $M_{GUT}$  is now linked with

the SUSY breaking scale.

# Origin of GUT scale

Suggestion:

Spontaneous breaking of R-parity (& SUSY)  
in the hidden sector induce R-parity  
noninvariant terms in the observable  
sector which help generate the GUT  
scale.

Consider for instance the coupling

$$R \frac{(\lambda' \bar{\lambda}')^2}{M_p^2} \downarrow \sim M_{\text{SUSY}}^{\text{(hidden)}}$$

which can stabilize the  $\lambda' - \bar{\lambda}'$  vev ( $\langle R \rangle \neq 0$ )

Similar terms arise in the  $\lambda - \bar{\lambda}$  sector.

With  $\langle R \rangle \sim (m_{3/2} M_p)^{1/2}$ , induce a term  
in the potential

$$\frac{m_{3/2}}{M_p^3} |\lambda'|^6$$

which leads to  $|\langle \lambda' \rangle| \sim (m_{3/2}/M_p)^{1/4} M_p$   
 $\sim M_{\text{GUT}} (\ll M_p)$

Similarly for  $(\lambda - \bar{\lambda})$  vs.

An alternative approach relies on R-symmetry. In this case the GUT scale is put in by hand from the beginning.

Consider the terms

$$W \supset (\kappa \lambda \bar{\lambda} - \mu^2) S + a \bar{\lambda}^3 + \dots$$

Under  $R$ :

$$\boxed{M_{\text{GUT}} = \mu/\sqrt{\kappa}}$$

$$S \rightarrow e^{iR} S$$

$\lambda \bar{\lambda}$  → invariant

$$\lambda \rightarrow e^{-iR/3} \lambda, \bar{\lambda} \rightarrow e^{iR/3} \bar{\lambda}$$

$$W \rightarrow e^{iR} W$$

$$\boxed{\begin{array}{l} \lambda' \rightarrow e^{iR/3} \lambda' \\ \bar{\lambda}' \rightarrow e^{iR/3} \bar{\lambda}' \end{array}}$$

"

What about the 'μ term'?

It arises from  $\lambda^3 (\tilde{\chi}' \bar{\tilde{\chi}}')^3 / M_p^6$

(as before!  
(almost))

∴  $\mu \sim \text{TeV}$  (as desired)

R-symmetry also eliminates dimension

five P decay:

Retain  $\tilde{\chi}_i \tilde{\chi}_j \tilde{\chi}_k \{ \Rightarrow \tilde{\chi}_i \rightarrow e^{\frac{i 2R}{3}} \tilde{\chi}_i$   
Eliminate  $\tilde{Q}_i Q_j Q_k \}$

## Summary.

SUSY GUTS based on  $[SU(3)]^3$ :

~~idea~~

- Retain unification of the gauge couplings at  $M_x \sim 10^{16}$  GeV, consistent with  $\sin^2 \theta_w \approx 0.23$ ;
  - Give rise to the 'light' doublet pair, with  $\mu \sim \text{TeV}$ ;
  - May explain how  $M_x$  arises from  $M_p$  and  $M_s$  (Susy scale);
  - Make the proton stable;
- • May ~~also~~ Arise from superstrings;
- Interesting possibility of implementing inflation with  $\delta p/p \sim (M_x/M_p)^2$
  - Mixing angles vanish in lowest order

# Unification of the Three Gauge Couplings in $SU(3)_c \times SU(3)_L \times SU(3)_R$

Introduce suitable 'discrete symmetries'

such that

- Couplings unify (presumably) above the GUT scale ;
- Additional symmetries retain the solution to the Gauge Hierarchy Problem ;
- Do not induce new 'undesirable' couplings ;

It turns out that this can be done.

Moreover, one achieves a sort of matter-Higgs unification.