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SMR.762 - 3

SUMMER SCHOOL IN HIGH ENERGY PHYSICS AND COSMOLOGY

13 June - 29 July 1994

PERTURBATIVE QCD AND CHIRAL LANGRANGIANS

P. NASON
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CERN

PERTURBATIVE

QUANTUM CHROMO DYNAMICS

-) INTRODUCTION
-) ILLUSTRATION OF ASYMPTOTIC FREEDOM IN THE CONTEXT OF $e^+e^- \Rightarrow$ HADRONS
-) JET PRODUCTION IN $e^+e^- \Rightarrow$ HADRON
-) HIGH ENERGY COLLISIONS WITH ONE INCOMING HADRON: SCALING AND SCALING VIOLATIONS IN DEEP-INELASTIC SCATTERING
-) PRODUCTION PHENOMENA IN HADRON-HADRON COLLISIONS

PROTONS, NEUTRONS AND MESONS INTERACT MAINLY VIA STRONG FORCES

STRONG FORCES APPEAR TO HAVE HIGHER SYMMETRY THAN WEAK AND ELECTROMAGNETIC INTERACTIONS. CONSERVE PARITY, AND HAVE (APPROXIMATE) ISOSPIN SYMMETRY

MAIN FEATURES:

- No small parameter is present (unlike QED, weak interactions)
- Characteristic scale $\approx 100 \div 300 \text{ MeV}$
 - Typical cross sections
 $\approx 10 \text{ mb} \approx (300 \text{ MeV})^2$
 - Typical lifetime of excitations:
 $\tau \approx 1/300 \text{ MeV}$

VERY MUCH DIFFERENT (AND MUCH HARDER) THAN WEAK AND EM INTERACTIONS:
WE DON'T SEE (AT LEAST AT LOW ENERGY) THE "VERTICES" OF STRONG INTERACTIONS

MOTIVATIONS FOR QCD

1) SPECTRUM OF HADRONS

The whole hadron spectrum can be classified by assuming that

a) Hadrons are made up of quarks

$$\left. \begin{array}{l} u = \text{up (charge } \frac{2}{3}) \\ d = \text{down (charge } = -\frac{1}{3}) \\ s = \text{strange (charge } = -\frac{1}{3}) \\ \dots \dots \dots \end{array} \right\} \text{FLAVOURS}$$

b) Each quark "flavour" (u, d or s) comes in three different colours. We can represent quarks with the notation q_i^f , where f is the flavour index (u, d, s, ...) and i is the colour index.

c) Observable hadrons are colour singlets under $SU(3)_{\text{colours}}$

"Singlet under $SU(3)$ colour" means that the wavefunction of a hadron must be invariant under $SU(3)_{\text{colour}}$

Example 1:

A state formed by a quark of flavour f and an antiquark of flavour f' , in the combination

$$\sum_i |q_i^f \bar{q}_i^{f'}\rangle \text{ is a singlet!}$$

transforms into

$$\sum_{i,j,m} U_{ie} U_{im}^* |q_e^f \bar{q}_m^{f'}\rangle$$

for $U \in SU(3)$ ($U^\dagger U = 11$, $\det U = 1$)

$$\text{But } \sum_i U_{ie} U_{im}^* = \sum_i U_{mi}^\dagger U_{ie} = \delta_{me}$$

Example 2:

$$\sum \epsilon_{ije} |q_i^f q_j^{f'} q_e^{f''}\rangle$$

is also a singlet; transforms into:

$$\sum_{k,m,n} \sum_{i,j,e} \epsilon_{ije} U_{ik} U_{jm} U_{en} |q_k^f q_m^{f'} q_n^{f''}\rangle$$

antisymmetric in $k, m, n \Rightarrow \overset{\uparrow}{=} C \epsilon_{kmn}$ Only 1 antisym tensor with 3 index in 3 dimensions

Easy to show that $C=1$ (From $\det U=1$)

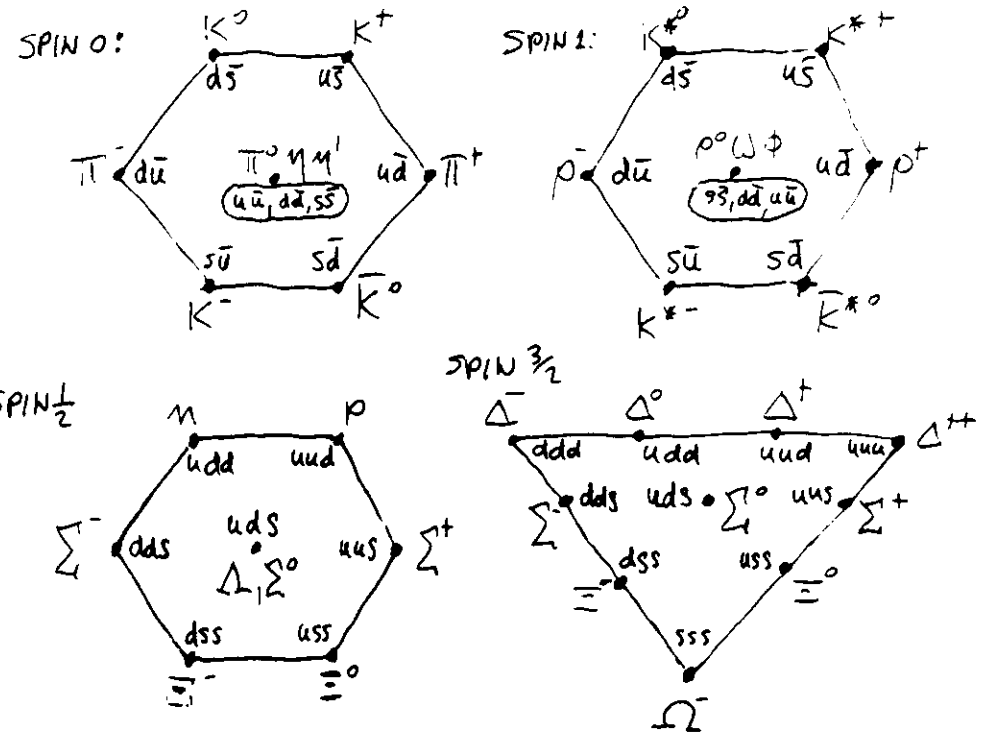
Enough to build mesons and baryons. (5)

$$\pi, K, \eta : \sum_i |q_i^f \bar{q}_i^{f'}\rangle \text{ in spin 0 state}$$

$$\rho, K^* \dots : \quad \quad \quad \text{in spin 1 state}$$

$$p, \Lambda, n \dots : \sum_{i,j,k} \epsilon_{ijk} |q_i^f q_j^{f'} q_k^{f''}\rangle \text{ spin } \frac{1}{2}$$

$$\Delta, \Omega \dots : \quad \quad \quad \text{spin } \frac{3}{2}$$



Nice symmetry in each group; difficult to explain without colour
(Δ^{++} : 3 up with spin up; and the exclusion principle?)

ALSO:

Mass differences in each multiplet are well explained by assuming that they are due to quark mass differences (GELL-MANN; OKUBO). Therefore spatial wavefunctions must be the same for each member of the multiplet. Difficult to reconcile this with the fact that some of the members of the multiplet must have odd (antisymmetric) wavefunctions.

Further:

$q\bar{q}$ states would give rise to fractionally charged hadrons. In $SU(3)_c$ we CANNOT make a singlet out of them.

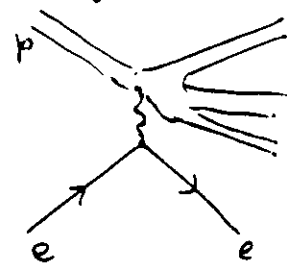
In general, to make a singlet we need $M_q - M_{\bar{q}}$ multiple of 3. It follows that we cannot build fractionally charged combinations which are colour singlet.

⑥

2) HIGH ENERGY DYNAMICS

⑦

Scaling in Deep-Inelastic-Scattering (DIS) (SLAC-MIT, 1968)



$$p + e \Rightarrow e + X$$

For inclusive inelastic large angle scattering, the differential cross section (in terms of dimensionless variables) "SCALES" with energy. Bjorken
Feynman

$$\frac{d\sigma}{dx dy} \approx \frac{1}{S} \quad (S = E_{cm}^2)$$

No dimensionful coefficient on the right hand side!!!

Suggests that strong interactions at high energy RESEMBLES a weakly interacting theory with dimensionless coupling!

(Same effect is seen in $e^+e^- \Rightarrow$ hadrons)

3) THE THEORETICAL DISCOVERY
OF ASYMPTOTICALLY FREE THEORIES
(GROSS, WILCZEK, POLITZER, 73-74)
(t Hooft (72))

Non abelian gauge theories
are weakly coupled at high
energies (short distances)
Good candidates to be the theory
of strong interactions!

ALL THESE INGREDIENTS PUT TOGETHER
POINT TO

A NON-ABELIAN
GAUGE THEORY } THE ONLY ASYMPTOTICALLY
FREE FIELD THEORIES
COUPLED TO $SU(3)_c$ } IT BECOMES STRONG
AT LOW ENERGY; IT
MAY BIND HADRONS
INTO COLOR NEUTRAL
SYSTEMS (ASSUME CONFINEMENT
(SINGLE SCALE AT LOW ENERGY))

OTHER ADVANTAGES:

•) (WEINBERG, 73) THE STRONG interaction
group $SU(3)_c$ is completely independent
(commutes) with the weak interaction
group. Weinberg has proven that
under this condition parity-violating
terms of order α_{weak} are not
induced by the mixing of strong
and weak interaction. Parity violating
terms remain of order $\frac{\alpha_{\text{weak}}}{M_W^2}$, and no
strangeness changing neutral currents
are induced.

•) Same type of theory for weak,
strong, and electromagnetic interactions!
A step towards unification!

Colour Feynman rules

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$$\bigcirc = 3$$

$\rightarrow$ Fermion

$\rightleftarrows$ Gluon

$$\frac{1}{\sqrt{2}} \left(\begin{array}{c} \uparrow \downarrow \\ \rightarrow \rightarrow \end{array} - \frac{1}{3} \begin{array}{c} \uparrow \downarrow \\ \rightarrow \end{array} \right)$$

Fermion-Gluon Vertex (t^a)

$$\frac{1}{\sqrt{2}} \left(\begin{array}{c} \uparrow \downarrow \\ \nearrow \searrow \end{array} - \begin{array}{c} \uparrow \downarrow \\ \nwarrow \swarrow \end{array} \right)$$

3-Gluon Vertex

$$\text{Gluon self-energy loop} \Rightarrow \frac{1}{\sqrt{2}} \left(\text{Feynman diagram} - \frac{1}{3} \text{Feynman diagram} \right) = 0$$

$$\left\{ \begin{array}{l} \text{Gluon self-energy loop} \Rightarrow \bigcirc = 3 \\ \text{Gluon self-energy loop with ghost} \Rightarrow \frac{1}{2} \left(\frac{\bigcirc}{9} - \frac{\bigcirc}{3} - \frac{\bigcirc}{3} + \frac{\bigcirc}{9} \right) \end{array} \right.$$

relative factor is $\frac{4}{3}$ (it is 1 in QED)

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GHOSTS!

$$\text{Feynman diagrams} = \mathcal{M}_{\mu\nu}$$

$$\sigma = \mathcal{M}_{\mu\nu} \mathcal{M}^*_{\mu'\nu'} \mathbb{P}^{\mu\mu'} \mathbb{P}^{\nu\nu'}$$

In the frame where $p_1^0 = p_2^0$, $\vec{p}_1 = -\vec{p}_2$, the polarization projector for μ and ν can be chosen as the projection onto the $\perp$ plane

$$\mathbb{P}^{\mu\mu'} = -g^{\mu\mu'} + \frac{p_1^\mu p_2^{\mu'} + p_1^{\mu'} p_2^\mu}{p_1 \cdot p_2}$$

Feynman gauge projectors: $-g^{\mu\mu'}$ should give the same answer (as in QED, to preserve unitarity). It does not!

Unless you add $\{ \text{ghost loops} \}$?

$$\mathcal{M}_{\mu\nu} \mathcal{M}^*_{\mu'\nu'} \mathbb{P}^{\mu\mu'} \mathbb{P}^{\nu\nu'} = \mathcal{M}_{\mu\nu} \mathcal{M}^*_{\mu'\nu'} g^{\mu\mu'} g^{\nu\nu'} + \left| \text{ghost loops} \right|^2$$

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2 COMMON POINTS OF VIEW

(13)

A) QCD is better established than EW theory;

In fact: We have specified the full Lagrangian, no room for modifications (In EW theories the Higgs sector is not well understood, lots of parameters, etc.)

B) EW theory are better established than QCD;

In fact: We can compute everything we like to high accuracy, is accurately confirmed by experiments (In QCD we still don't understand hadron spectra dynamics, even perturbative applications rely on unproven assumptions.)

Point of view (A) relies on the assumption
If we can't think of anything else,
it must be true

Point of view (B) considers the possibility
that our fantasy may be limited

TESTING QCD IS IMPORTANT!

QCD and phenomenology

What can we calculate within QCD?

-) Low energy:
 - i) consequences of the symmetry of the theory
 - ii) Lattice computations
-) High energy: we can use perturbation theory. Only hadrons appear in initial and final states in reality, while perturbation theory deals with quarks and gluons. The predictions of perturbative QCD rely upon the assumptions of the softness of the process of hadron formation.

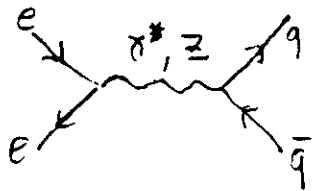
Applications:

$e^+e^- \rightarrow$ hadrons: Total cross section, jets

$e p$ collisions: deep inelastic scattering, jet photoproduction, heavy quark photoproduction

hadron-hadron collisions: jet production, Drell-Yan pairs (or W and Z) production, direct photon, heavy quark, etc.

Illustration of perturbative QCD by its simplest application: $e^+e^- \rightarrow \text{hadrons}$



QCD at order α_s^0 : (neglecting masses)

$$\frac{\sigma(\gamma^* \rightarrow \text{hadrons})}{\sigma(\gamma^* \rightarrow \mu^+ \mu^-)} = 3 \cdot \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9} + \frac{1}{9} + \frac{1}{9} + \frac{1}{9} \right)$$

up down s c b

QCD at order α_s :

$$\begin{aligned} \sigma &= \left| \text{tree} + \text{tree} + \text{tree} + \dots \right|^2 \\ &= \left| \text{tree} \right|^2 + 2 \operatorname{Re} \left(\text{tree} \right) \left(\text{tree} \right)^* + \dots \\ \left| \text{tree} + \text{tree} \right|^2 &= \left(1 + \frac{\alpha_s}{\pi} \right) \sigma_0 \end{aligned}$$

(Same as in QED, except for a colour factor $C_F = \frac{4}{3}$)

At order α_s^2

Divergent! $M = 1/V \text{ cutoff!}$

$$\sigma = \left(1 + \frac{\alpha_s}{\pi} + \left(C + \pi b_0 \log \frac{M^2}{Q^2} \right) \frac{\alpha_s^2}{\pi^2} \right) \sigma_0$$

$$C = 1.986 - 1.15 n_f$$

$$b_0 = \frac{33 - 2n_f}{12\pi}$$

How do we fix this?

One can show that for any physical quantity G (For G-ENERIC) with the expansion:

$$G = G_0 \alpha_s^m + () \alpha_s^{m+1} + \dots$$

the expansion has the form:

$$G = G_0 \alpha_s^m + \left(G_2 + m G_0 b_0 \log \frac{M^2}{Q^2} \right) \alpha_s^{m+1} + \dots$$

some scale in the process

In the case of σ , the quantity:

$$\frac{\sigma}{\sigma_0} - 1 = \frac{1}{\pi} \alpha_s + \left(\frac{C}{\pi^2} + \frac{1}{\pi} b_0 \log \frac{M^2}{Q^2} \right) \alpha_s^2$$

has precisely this form, with $m=1$.

If we now define:

$$\tilde{\alpha}_s(\mu) = \alpha_s + b_0 \log\left(\frac{M^2}{\mu^2}\right) \alpha_s^2$$

where μ is an arbitrary finite scale (while M is assumed to be very large)

the physical quantity G expressed in terms of $\tilde{\alpha}_s(\mu)$ has the form:

$$G = G_0 \tilde{\alpha}_s^m(\mu) + \left(G_1 + m G_0 b_0 \log \frac{M^2}{\mu^2}\right) \tilde{\alpha}_s^{m+1} + \dots \mathcal{O}(\alpha_s^{m+2})$$

By a redefinition of the coupling constant, physical quantities are finite functions of the (redefined) coupling.

Patiently carry through the exercise:

$$G = G_0 \left(\alpha_s + b_0 \log \frac{M^2}{\mu^2} \alpha_s^2\right)^m + \left(G_1 + m G_0 b_0 \log \frac{M^2}{\mu^2}\right) \alpha_s^{m+1}$$

(always neglecting α_s^{m+2} and higher)

$$= G_0 \alpha_s^m + m G_0 b_0 \log \frac{M^2}{\mu^2} \alpha_s^{m+1} + G_1 \alpha_s^{m+1} + m G_0 b_0 \log \frac{M^2}{\mu^2} \alpha_s^{m+1}$$

$$= G_0 \alpha_s^m + G_1 \alpha_s^{m+1} + m G_0 b_0 \log \frac{M^2}{\mu^2} \alpha_s^{m+1} + \mathcal{O}(\alpha_s^{m+2})$$

THIS IS RENORMALIZATION

Stated more carefully, if we compute physical quantities

$$G(\alpha_s, M, \dots)$$

$\uparrow$ coupling $\uparrow$ cutoff UV $\uparrow$ physical variables
 (energy, masses, momenta, etc.)

I can always define a charge

$$\tilde{\alpha}_s(\mu, M, \alpha_s) = \alpha_s + C_1(\mu, M) \alpha_s^2 + \dots$$

In such a way that:

$$G(\alpha_s, M, \dots) = G'(\tilde{\alpha}_s(\mu, M, \alpha_s), \mu, \dots)$$

so that the physical quantity has a finite expression in terms of $\tilde{\alpha}$, μ , and the physical variables

The same charge redefinition makes all physical quantities finite.

We can use one process to measure $\tilde{\alpha}_s(\mu, M)$, and then use it to predict other cross sections.

(19)

MANY IMPORTANT CONSEQUENCES:

TAKE DERIVATIVE OF BOTH SIDES WITH RESPECT TO $\log \mu^2$; left hand side is independent of μ , must give zero

$$0 = \frac{\partial G'(\tilde{\alpha}_s, \mu, \dots)}{\partial \tilde{\alpha}_s} \frac{\partial \tilde{\alpha}_s}{\partial \log \mu^2} + \frac{\partial G'(\tilde{\alpha}_s, \mu, \dots)}{\partial \log \mu^2}$$

$$\Rightarrow \frac{\partial \tilde{\alpha}_s(\mu, M, \alpha_s)}{\partial \log \mu^2} = - \frac{\frac{\partial G'(\tilde{\alpha}_s, \mu, \dots)}{\partial \log \mu^2}}{\frac{\partial G'(\tilde{\alpha}_s, \mu, \dots)}{\partial \tilde{\alpha}_s}} = \beta(\tilde{\alpha}_s, \mu)$$

But β is dimensionless; if it does not depend upon M , it cannot depend upon μ : $\beta = \beta(\tilde{\alpha}_s)$

$$\frac{\partial \tilde{\alpha}_s(\mu, M, \alpha_s)}{\partial \log \mu^2} = \beta(\tilde{\alpha}_s)$$

In fact, from our initial 1-loop result:

$$\tilde{\alpha}_s(\mu) = \alpha_s + b_0 \log \frac{M^2}{\mu^2} \alpha_s^2$$

$$\Rightarrow \frac{\partial \tilde{\alpha}_s}{\partial \log \mu^2} = -b_0 \tilde{\alpha}_s^2 + \mathcal{O}(\tilde{\alpha}_s^3)$$

(2)

Up to now, no gain!

We have concluded that physical quantities can be given as an expansion in $\tilde{\alpha}$:

$$G'(\tilde{\alpha}, \mu, \dots) = \sum G_i(\mu, \dots) \tilde{\alpha}^i$$

and that if we change μ and $\tilde{\alpha}$ in such a way that:

$$\delta \tilde{\alpha} = \delta \log \mu^2 \beta(\tilde{\alpha}_s)$$

physical quantities don't change
(RENORMALIZATION GROUP TRANSFORMATION)

WHAT IS THE USE OF IT?

Look at our result as a function of the (21)
renormalized charge: (drop the $\sim$ from now on)

$$\sigma = \left(1 + \frac{\alpha_s(\mu)}{\pi} + \left(c + \pi b_0 \log\left(\frac{\mu^2}{Q^2}\right) \right) \frac{\alpha_s^2(\mu)}{\pi^2} \right) \sigma$$

- One should always choose $\mu \approx Q$;
in fact, if we choose $\mu \gg Q$ or $\mu \ll Q$ the
second order term may become larger
than the first order one (and the third
order even larger)
- Choosing μ and Q in a fixed ratio,
for example $\mu = Q$, the energy dependence
of the cross section is due to the running
of α_s .
- Solving the evolution equation:

$$\frac{\partial \alpha_s(\mu^2)}{\partial \log \mu^2} = -b_0 \alpha_s^2(\mu) \Rightarrow \alpha_s = \frac{1}{b_0 \log\left(\frac{\mu^2}{\Lambda^2}\right)}$$

the integration constant Λ fixes
the value of α_s at a given scale

$$b_0 = \frac{33-2M_f}{12\pi} > 0; \quad \text{in QED } b_0 = -\frac{4M_f}{12\pi} < 0$$

($\mu > \Lambda$) ($\mu < \Lambda$)

QCD	is only	calculable	for	$\mu \gg \Lambda$
QED	"	"	"	$\mu \ll \Lambda$

(The divergence comes mainly from graphs
that correct the gluon propagator:



$$b_0 = \frac{33 - 2M_f}{12\pi}$$

In QED we only have the diagrams with
the fermion loop.

In QED, for $\mu = m_e \Rightarrow \alpha(\mu) = \alpha_{\text{QED}}$

So:

$$\alpha_{\text{QED}} = \frac{1}{\left(-\frac{4M_f}{12\pi}\right) \log\left(\frac{m_e^2}{\Lambda_{\text{QED}}^2}\right)}$$

$$\Lambda_{\text{QED}}^2 \approx m_e^2 e^{\frac{3\pi}{m_e \alpha_{\text{QED}}}} \leftarrow \text{Astonomic Scale!}$$

That's why we never talk about Λ_{QED}

In QCD, we must have Λ of the
order of typical hadronic scale (~ 500 MeV)
so that the hadronic systems become
strongly coupled at ^{low} high energy.

QCD: since strong interactions have
characteristic scales of few hundred

PREDICTION: $\alpha_s(Q^2) = \frac{1}{b_0 \log\left(\frac{Q^2}{\Lambda^2}\right)}$
WITH $\Lambda \approx 100 - 500$ MeV

$$\Rightarrow \alpha(M_Z) = 0.1 \div 0.13 \quad (+13\%)$$

$$\alpha(10^3 \text{ GeV}) = 0.040 \div 0.044 \quad (+5\%)$$

Present Status of $e^+e^- \Rightarrow$ hadrons Total cross section

GORISHNY, KATAEV, LARIN

$$\frac{\sigma}{\sigma_0} = 1 + \frac{\alpha_s}{\pi} \left(1 + .448 \alpha_s - 1.30 \alpha_s^2 \right) + \dots$$

↓
DINE, SAPIRSTEIN
CELMASER, GONSALVES

(Coefficients are for $m_f = 5$; general expression very complicated)

$$\alpha_s = \alpha_s^{\overline{MS}}(Q)$$

Corrections are well behaved; with $\alpha \approx .12$
 $O(\alpha_s^2)$ term is 5%, $O(\alpha_s^3)$ is 2% of total QCD correction (on Z peak)

In principle, we could determine $\alpha_s(M_Z)$ with 1% accuracy

β function:

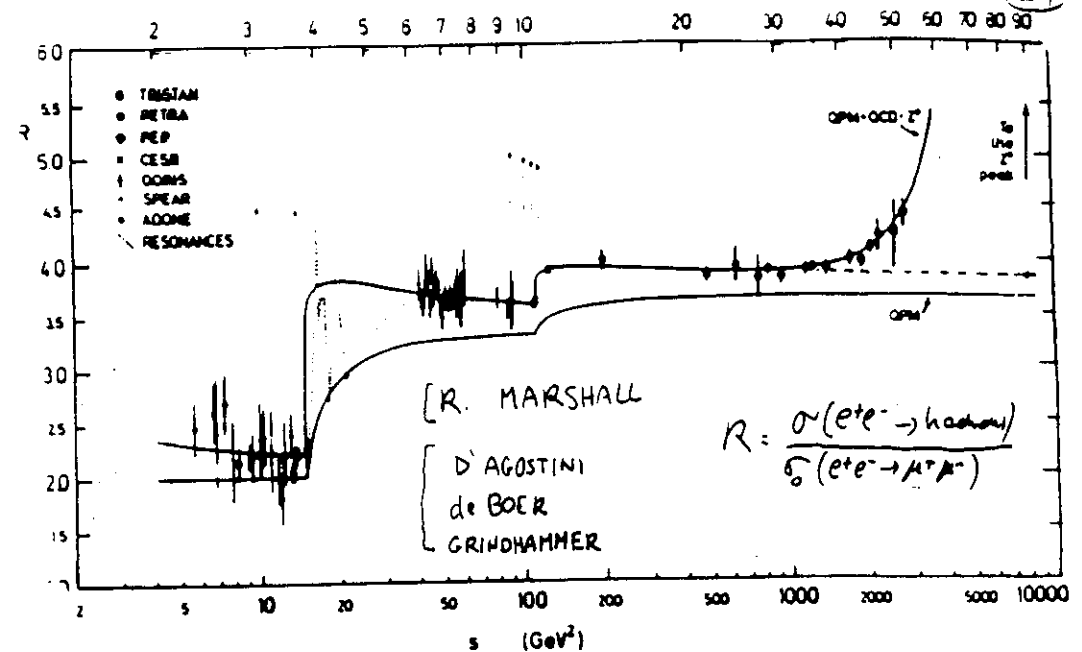
$$\frac{\partial \alpha_s}{\partial \log \mu^2} = -b_0 \alpha_s^2 - b_1 \alpha_s^3 - b_2 \alpha_s^4$$

| TARASOV
VLADIMIROV
ZHARKOV

$$b_0 = \frac{33-2M_f}{12\pi}, \quad b_1 = \frac{153-19M_f}{24\pi^2}, \quad b_2 = \frac{2857 - \frac{5033}{18}M_f + \frac{325}{54}M_f^2}{(4\pi)^3}$$

Commonly used 2-loop formula:

$$\alpha_s(\mu) = \frac{1}{b_0 \log \frac{\mu^2}{\Lambda^2}} \left(1 - \frac{b_1 \log \log \frac{\mu^2}{\Lambda^2}}{b_0^2 \log \frac{\mu^2}{\Lambda^2}} \right)$$



$e^+e^- \Rightarrow$ hadrons

below the Z peak

Large errors in each experiment, must combine them to get smaller error.

Recent analysis of D. Haidt: (MARSEILLE '93)

$$20 < \sqrt{s} < 65 \text{ GeV}$$

$$\alpha_s(35 \text{ GeV}) = 0.146 \pm 0.030 \xrightarrow{\text{NLO evolution}} \alpha_s(M_Z) = 0.124 \pm 0.021$$

But: Branchina, Castorina, Consoli, Zappala (1991)

$$22 < \sqrt{s} < 61.4 \text{ GeV} \Rightarrow \alpha_s = .155 \pm .02$$

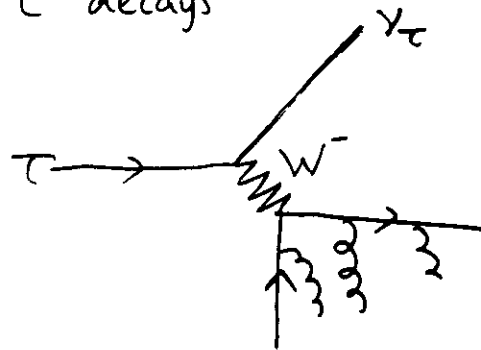
AT LEP:

$$\Gamma(Z^0 \rightarrow \text{had}) \Rightarrow \alpha_s(M_Z) = 0.122 \pm 0.009$$

$\begin{array}{ccc} & \downarrow & \\ 0.007 & & 0.005 \\ \uparrow & & \uparrow \\ \text{EXP. ERROR} & & \text{TH. ERROR} \end{array}$

(Marseille, EPS 93)

τ decays



0^{th} order QCD: $\frac{\tau \rightarrow \nu_{\tau} q \bar{q}}{\tau \rightarrow \nu_{\tau} e \bar{\nu}_e} = 3$

QCD correction displaces value from 3

$$\alpha_s(M_Z) = 0.36 \pm 0.05 \Rightarrow \alpha_s(M_Z) = 0.122 \pm 0.005$$

(Dangerous game) (Marseille, EPS 93)

(25)

Back to theory...

(26)

3 questions:

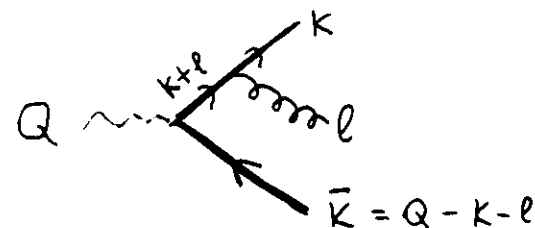
- 1) Our cross section at order α_s for producing two quarks alone, and two quarks + a gluon. However, we never see neither the two quarks, nor the gluon in the final state. How comes that we get the right answer?
- 2) The leading quark antiquark pair flies away pulling away colour quantum numbers in the opposite hemispheres of the events. How comes that in reality, for any pair of hemispheres we get neutrality in colour?
- 3) Following 1 and 2 it seems that our final state is not described by the feynman graphs we computed. Is there any feature of the final state that we can compute?

Let us proceed to show that questions 1 and 2 imply no contradiction, and 3 (under some assumptions) has a positive answer.

Using some approximations (just for illustration) we will look at details of the $\mathcal{O}(\alpha_s)$ correction to $e^+e^- \rightarrow \text{hadrons}$, trying to understand how the final state looks like

Look at $\gamma^* \rightarrow q\bar{q}g$;

Make for simplicity the assumption that the energy of the gluon is small compared to Q



Assume $l \ll k, \bar{k}$

The amplitude $\mathcal{M}$ is given by:

$$\bar{u}(k) \gamma^\alpha \frac{k+l}{(k+l)^2} \gamma^\nu v(\bar{k}) \approx \bar{u}(k) \frac{\gamma^\alpha \cancel{k}}{2k \cdot l} \gamma^\nu v(\bar{k})$$

using $\gamma^\alpha \cancel{k} + \cancel{k} \gamma^\alpha = 2k^\alpha$, and $\bar{u}(k) \cancel{k} = 0$

$$= \bar{u}(k) \frac{\gamma^\alpha \cancel{k} + \cancel{k} \gamma^\alpha}{2k \cdot l} \gamma^\nu v(\bar{k}) = \frac{k^\alpha}{k \cdot l} \bar{u}(k) \gamma^\nu v(\bar{k})$$

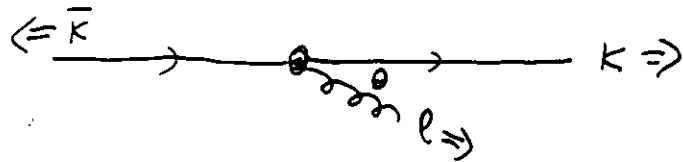
$$= \frac{k^\alpha}{k \cdot l} \mathcal{M}_0$$

Including the correction on the antiquark line we get:

$$\mathcal{M} = \mathcal{M}_0 \left(\frac{k^\alpha}{k \cdot l} - \frac{\bar{k}^\alpha}{\bar{k} \cdot l} \right)$$

Squaring:

$$|\mathcal{M}|^2 = -|\mathcal{M}_0|^2 \left(\frac{k^\alpha}{k \cdot l} - \frac{\bar{k}^\alpha}{\bar{k} \cdot l} \right)^2 = |\mathcal{M}_0|^2 \frac{2k^\alpha \bar{k}^\alpha}{k \cdot l \bar{k} \cdot l}$$



$$k \cdot l = k^0 l^0 (1 - \cos \theta)$$

$$\bar{k} \cdot l = k^0 l^0 (1 + \cos \theta)$$

$$|\mathcal{M}|^2 = |\mathcal{M}_0|^2 \frac{k^\alpha \bar{k}^\alpha}{k^0 l^0 (1 - \cos^2 \theta)}$$

Phase space: $\frac{d^3 l}{2l^0 (2\pi)^3} = \frac{l^0 dl^0 d\cos\theta}{2(2\pi)^2}$

Integrating we get: (include $g_s^2 C_F$, $C_F = 4/3$)

$$\frac{d^3 l}{2l^0 (2\pi)^3} |\mathcal{M}|^2 = |\mathcal{M}_0|^2 \frac{\alpha_s C_F}{2\pi} \int \frac{dl^0}{l^0} \int \frac{d\cos\theta}{1 - \cos^2 \theta}$$

Two divergent integrals.

$$\int \frac{dl^0}{l^0} \Rightarrow \text{"SOFT" divergence}$$

$$\int \frac{d\cos\theta}{1 - \cos^2 \theta} \Rightarrow \text{"COLLINEAR" divergence}$$

(29)

Remember: $\mathcal{O}(\alpha_s)$ correction is of order $(1 + \frac{\alpha_s}{\pi})$; finite!

(30)

Therefore:

$$\sigma = \left| \text{tree} \right|^2 + \left| \text{tree} + \text{tree}^{\text{corr}} \right|^2 \ll \infty!$$

must be $-\infty!$

$$+ 2 \operatorname{Re} \left(\text{tree}^{\text{corr}} + \dots \right) \left(\text{tree} \right)^* = 1 + \frac{\alpha_s}{\pi}$$

Only possibility: virtual corrections = $-\infty$ to exactly cancel real correction.

(31)

Back to question #1

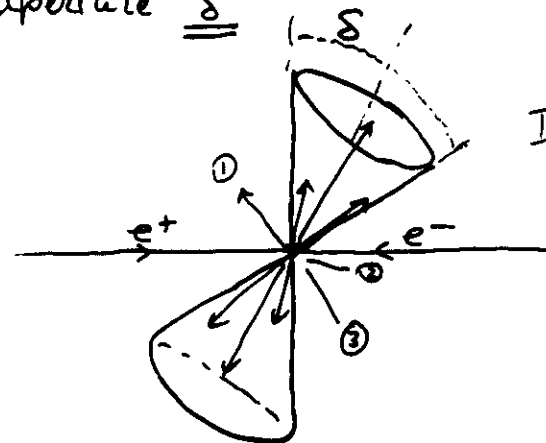
Already at order α_s , the cross section for producing a $q\bar{q}g$ state has a (+) infinite coefficient, and the cross section for producing ONLY a $q\bar{q}$ pair has a (-) infinite coefficient.

It is easy to guess that these infinities will arise to all orders in the perturbative expansion - To answer #1 we must resum the "large" terms in the expansion.

We don't know how to do this in QCD; but it may well be that the cross section for producing any finite # of quarks/gluons in the final state is zero.

(32) STERMAN & WEINBERG (1977) answer to #3

Define the cross section for the production of "Sterman-Weinberg Jets" as the cross section for the production of a hadronic event in which all the produced energy, except for a fraction $\underline{\epsilon}$ of the total energy, contained in two opposite cones of aperture $\underline{\delta}$.

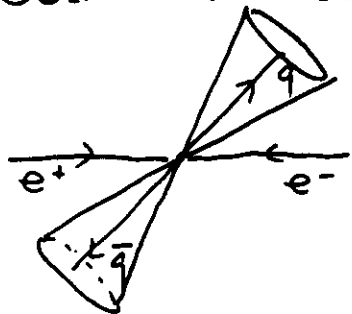


!! $E_1 + E_2 + E_3 < \epsilon E$,
 $E = \text{total energy}$

An event contributes to the Sterman Weinberg cross section if we can find a cone that satisfies the above condition.

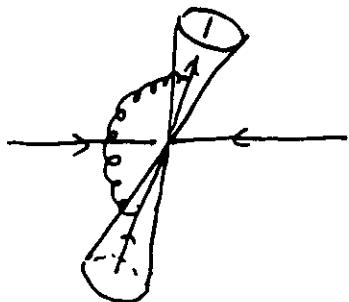
Computation of the Sterman-Weinberg ⁽³³⁾ cross section

"Born" contribution



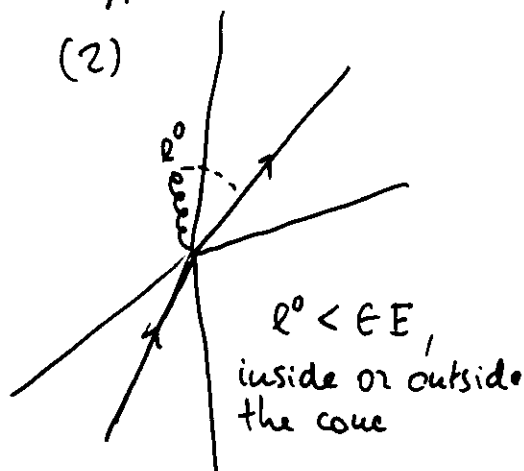
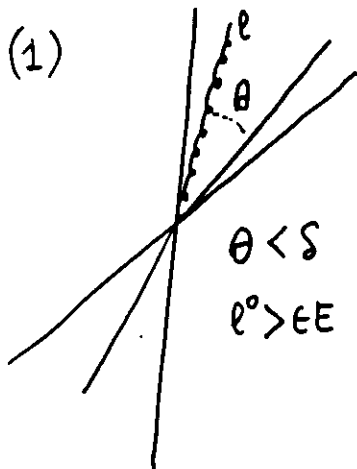
ALL BORN cross section contribute, independent of δ and ϵ !

Virtual contribution $\mathcal{O}(\alpha_s)$



All Virtual terms, as in the Born case

Real contributions, 2 types



Divergent parts in the various contributions (Neglect finite, $\mathcal{O}(\alpha_s)$ pieces) ⁽³⁴⁾

Real (1)

$$\sigma_0 \frac{\alpha_s C_F}{2\pi} \int_{\epsilon E}^E \frac{dl^0}{l^0} \left[\int_{\theta=0}^{\delta} d\cos\theta \frac{1}{1-\cos\theta} + \int_{\theta=\pi-\delta}^{\pi} d\cos\theta \frac{1}{1-\cos\theta} \right]$$

Real (2)

$$\sigma_0 \frac{\alpha_s C_F}{2\pi} \int_0^{\epsilon E} \frac{dl^0}{l^0} \int_{\theta=0}^{\pi} \frac{d\cos\theta}{1-\cos\theta}$$

Virtual

$$-\sigma_0 \frac{\alpha_s C_F}{2\pi} \int_0^E \frac{dl^0}{l^0} \int_{\theta=0}^{\pi} \frac{d\cos\theta}{1-\cos\theta} \quad \left| \begin{array}{l} \text{Because it} \\ \text{must cancel} \\ \text{the total } \infty \\ \text{part of the REAL} \\ \text{cross section} \end{array} \right.$$

Sum them up:

$$\text{Real}(1) + (2) + \text{Virtual} = -\sigma_0 \frac{\alpha_s C_F}{2\pi} \int_{\epsilon E}^E \frac{dl^0}{l^0} \int_{\theta=\delta}^{\pi-\delta} \frac{d\cos\theta}{1-\cos\theta}$$

$$= -\sigma_0 \frac{\alpha_s C_F}{2\pi} \log \epsilon \log \delta \quad \left(\begin{array}{l} \text{+ other terms which are finite} \\ \text{when } \delta \rightarrow 0 \text{ or } \epsilon \rightarrow 0 \end{array} \right)$$

+ Born:

$$\sigma_0 \left(1 - \sigma_0 \frac{\alpha_s C_F}{2\pi} \log \epsilon \log \delta + \mathcal{O}(\alpha_s, \text{no logs}) \right)$$

FINITE !!!

NOW, JUST BE BRAVE!

(35)

TAKE THE STERMAN-WEINBERG RESULT SERIOUSLY, AND SEE WHAT IT PREDICTS FOR THE HADRONIC FINAL STATE IN e^+e^- ANNIHILATION!

The cross section is (approximately)

$$\sigma_0 \left(1 - \frac{\alpha_s C_F}{2\pi} \log \epsilon \log \delta + O(\alpha_s, \text{more}) \right)$$

if ϵ and δ are small, but not TOO small ($\log \epsilon$ and $\log \delta$ are not too large) the cross section for producing two Stermen-Weinberg jets is close to 1: almost all events have most of their energy contained in opposite cones! It predicts therefore that most events will be 2 jets events, and that their angular distribution will be that of σ_0 . This has indeed been observed since long time.

Why is Stermen-Weinberg cross section finite? (36)

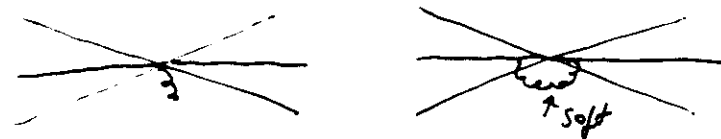
2 reasons:

- a) It is not too sensitive to collinear splitting (because of $\delta > 0$)



Both contribute to SW cross sections

- b) It is not too sensitive to soft emission (because of $\epsilon > 0$)



Both contribute

After SW, a whole Zoo of soft and collinear safe variables have been invented: Thrust, Oblateness, C-parameter, Jet clusters, Heavy jet mass, etc.

Assume that THE SAME DEFINITION IS APPLIED TO HADRONS (WHEN THEY ARE MEASURED)

Some examples

Thrust:

$$T = \text{Max}_{\vec{n}} \frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|}$$

$\vec{n}$ is a vector of unit length, and the maximum is taken over all possible orientations of $\vec{n}$.

For two partons (hadrons) in the final state $T=1$

T starts being non trivial with three or more partons.

Jet clusters:

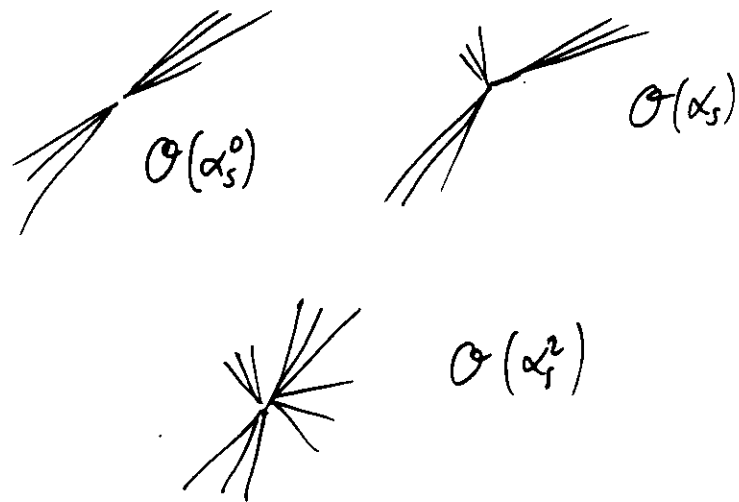
Form the invariant mass of all pairs of hadrons (partons) in the final state. The pair with minimum invariant mass is merged into a single pseudoparticle. The procedure is continued until the minimum invariant mass obtained is larger than a given cut: $\frac{(p_i + p_j)^2}{s} < Y$

With the jet clusters definition, events are identified into two, three, four clusters events, according to how many clusters we have at the end.

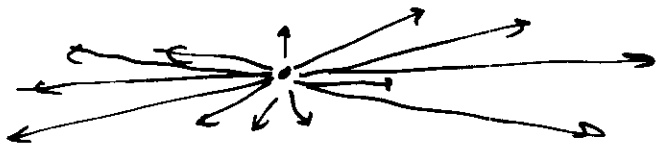
(37)

Clearly, quantities to which two parton configurations contribute (two jets) prevail, since they are of order α_s^0 in α_s . Planar type of events are a small fraction of the total, and highly splinter events ($\mathcal{O}(\alpha_s^4)$) are even less. The distinction between two, three, four or more jet events is not, however, a unique one. The nature of perturbative QCD forbids us to ask too detailed (too exclusive) questions about the final state. There will always be a jet resolution parameter, like a Y_{cut} , or the ϵ - δ of Sterman - Weinberg, to decide whether two nearby jets should be merged into a single one.

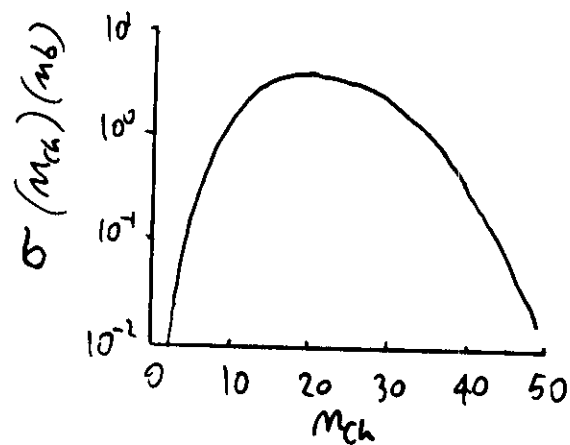
(38)



Typical structure of a hadronic event at LEP



LARGE multiplicity : $\langle n_{ch} \rangle = 20$
with large fluctuations



Average mass of the fattest Jet: $\approx 20 \text{ GeV}$
QCD predicts: $\left\langle \frac{M_H^2}{S} \right\rangle = \frac{\alpha}{\pi} 1.05 + O(\alpha^2)$

THEORETICAL STATUS OF JET CROSS SECTIONS AND SHAPE VARIABLE DISTRIBUTION

JET CROSS SECTIONS:

EACH EVENT
THAT CONTRIBUTES
IS ADDED TO THE
CROSS SECTION

STERMAN-WEINBERG

OF CLUSTERS

A SOFT AND COLLINEAR
INSENSITIVE DEFINITION
OF JETS

SHAPE VARIABLES DISTRIBUTIONS: THRUST, OBLATENESS

EACH EVENT
CONTRIBUTES TO
1 BIN OF A
DISTRIBUTION



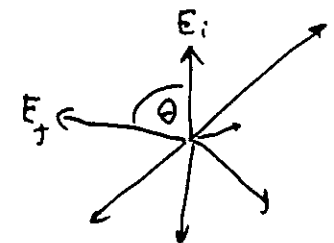
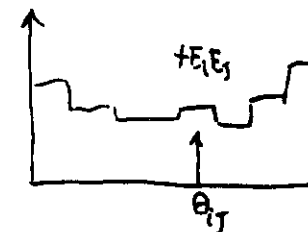
C-PARAMETER, ETC.

A VARIABLE CHARACTERIZING
THE FINAL STATE (I.E. A
FUNCTION OF FINAL STATE
MOMENTA AND ENERGIES)

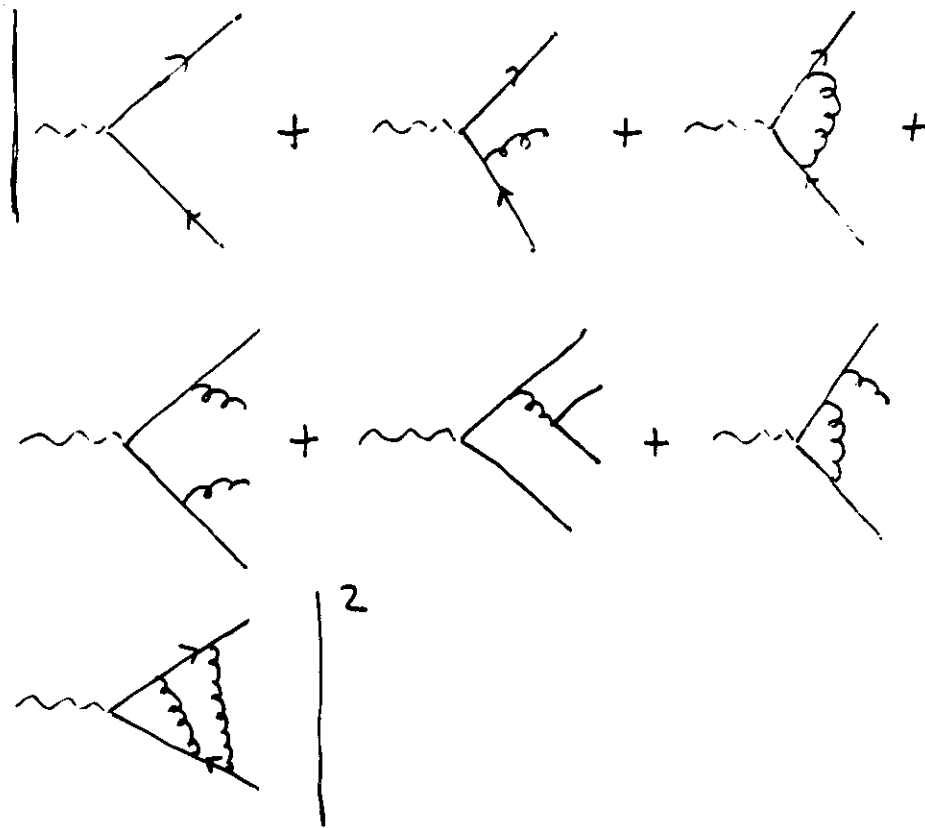
SOFT AND COLLINEAR INSENSITIVE

OTHERS: ENERGY-ENERGY CORRELATION

EACH EVENT CONTRIBUTES
TO SEVERAL BINS OF
A DISTRIBUTION



ELLIS - ROSS - TERRANO have computed for us the NEXT-TO-LEADING CROSS SECTION FOR $e^+e^- \rightarrow 3, 4$ partons (1981)



WE CAN USE THEIR RESULT TO COMPUTE ANY SHAPE VARIABLE DISTRIBUTION, OR JET CROSS SECTION, OR OTHER TYPE OF VARIABLE CHARACTERIZING THE FINAL STATE.

LET US FOCUS UPON THRUST AS AN EXAMPLE (PAG. 37). THE THRUST DISTRIBUTION HAS THE PERT. EXPANSION:

$$\frac{1}{\sigma_0} \frac{d\sigma}{dt} = \overset{\text{BORN}}{S(1-t)} + \frac{\alpha_s(\mu)}{2\pi} A(t) + \overset{\text{LEADING}}{\text{NEXT-TO-LEADING}} \Rightarrow \left(\frac{\alpha_s(\mu)}{2\pi} \right)^2 \left[A(t) 2\pi b_0 \left[\log \frac{\mu^2}{Q^2} \right] + B(t) \right] + \mathcal{O}(\alpha_s^3)$$

AS IN THE TOTAL CROSS SECTION CASE THE NEXT-TO-LEADING TERM HAS A μ DEPENDENCE WHICH IS FIXED BY THE LEADING TERM (PAG 17)

REMEMBER: THE LEFT HAND SIDE IS μ INDEPENDENT. ON THE RIGHT HAND SIDE WE ARE NEGLECTING TERMS OF ORDER α_s^3 ; THEREFORE WE MAY HAVE A RESIDUAL SCALE DEPENDENCE OF ORDER α_s^3 .
CHECK THIS AS AN EXERCISE!
(USE $\partial \alpha / \partial \log \mu^2 = -b_0 \alpha^2$)

(42) (4)

RADIATIVE CORRECTIONS ARE GENERALLY QUITE LARGE. SOME EXAMPLES:

$$\langle 1-t \rangle = \frac{1.05}{\pi} \alpha_s(Q) (1 + 3\alpha_s)$$

$$\langle Q \rangle = 1.29 \alpha_s(Q) (1 - 4.3\alpha_s)$$

$$\left\langle \frac{M_{D_1^*}^2}{s} \right\rangle = \frac{1.05}{\pi} \alpha_s(Q) (1 - 0.025\alpha_s)$$

UP TO 40% AT LEP ENERGIES!

$O(\alpha_s^3)$ EFFECTS MUST ALSO BE IMPORTANT. IF WE WANT TO COMPARE THEORY WITH DATA WE MUST FIND A WAY TO ESTIMATE HIGHER ORDER (α_s^3 and higher) EFFECTS.

A COMMON METHOD IS THE FOLLOWING:
KEEP $\mu \neq Q$:

$$\langle 1-t \rangle = \frac{1.05}{\pi} \alpha_s(\mu) \left(1 + \alpha_s \left(b_0 \log \frac{\mu^2}{Q^2} + 3 \right) \right) + O(\alpha_s^3)$$

WE KNOW THAT FOR THE EXPANSION TO WORK WE MUST HAVE $\mu \approx Q$. CHOOSE A RANGE:

$$\boxed{\frac{Q}{2} < \mu < 2Q}$$

LEFT HAND SIDE IS μ INDEPENDENT; THE RESIDUAL μ VARIATION OF RIGHT HAND SIDE SHOULD BE COMPENSATED BY HIGHER ORDER TERMS. THEREFORE, HIGHER ORDER TERMS ARE AT LEAST AS LARGE AS THE μ VARIATION!

HADRONIZATION EFFECTS

(43) (4)

IN PERTURBATIVE QCD ONE USUALLY ASSUMES THAT HADRON FORMATION IS A SOFT PHENOMENON (IT GIVES CORRECTIONS OF ORDER $\frac{\Lambda}{Q}$)

LOOK AT THRUST: SIMPLE EXAMPLE:



pion with few hundred
MEV transverse (with respect to thrust)
momentum axis

(From simple reasoning, this should have probability of order 1, because involves α_s (few hundred MeV).)

EFFECT ON THRUST:

$$St = \frac{\sqrt{m_\pi^2 + p_\perp^2}}{Q} \approx \frac{.5}{100} \text{ AT LEP}$$

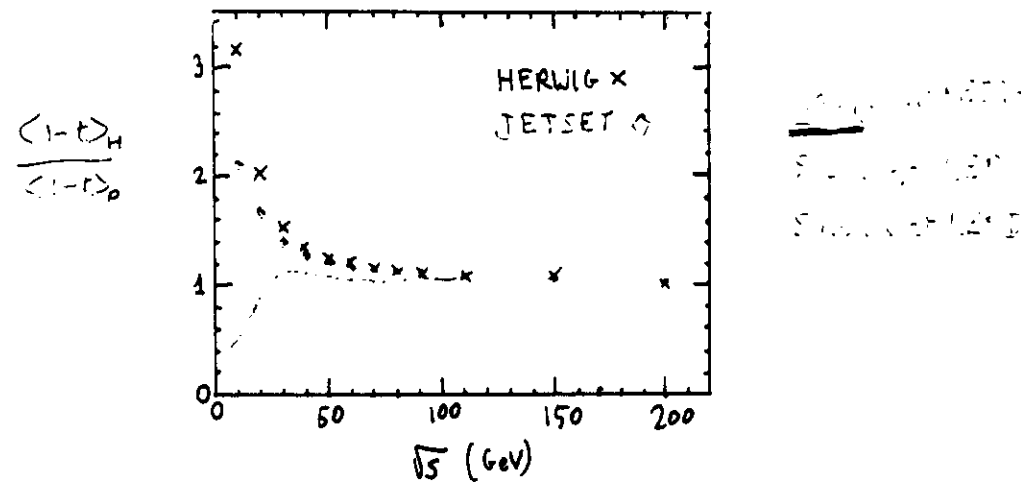
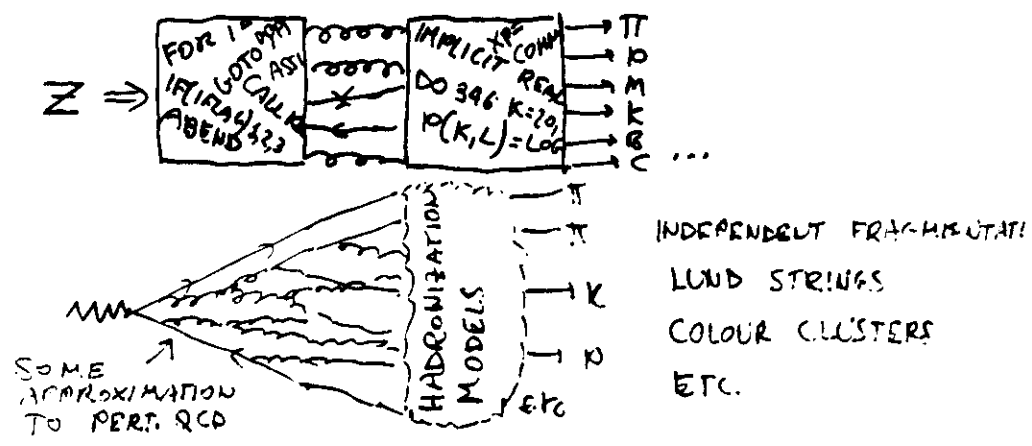
To be compared with the perturbative value:

$$\langle 1-t \rangle \approx \frac{\alpha}{\pi} \approx .06 \text{ AT LEP}$$

$$\text{SO: } \frac{St}{\langle 1-t \rangle} = \frac{\frac{.5}{100}}{.06} = \boxed{8\%}$$

This will affect directly α_s determinations!

MORE SOPHISTICATED WAYS TO ESTIMATE HADRONIZATION EFFECTS: USE MONTECARLO MODELS OF HADRON PRODUCTION (JETSET, HERWIG, ARIADNE)



Estimated by subtracting on and off the hadronization stage in a shower Monte Carlo (U. Gatto)
(Monte Carlo estimates of hadronization effects are always data-biased)

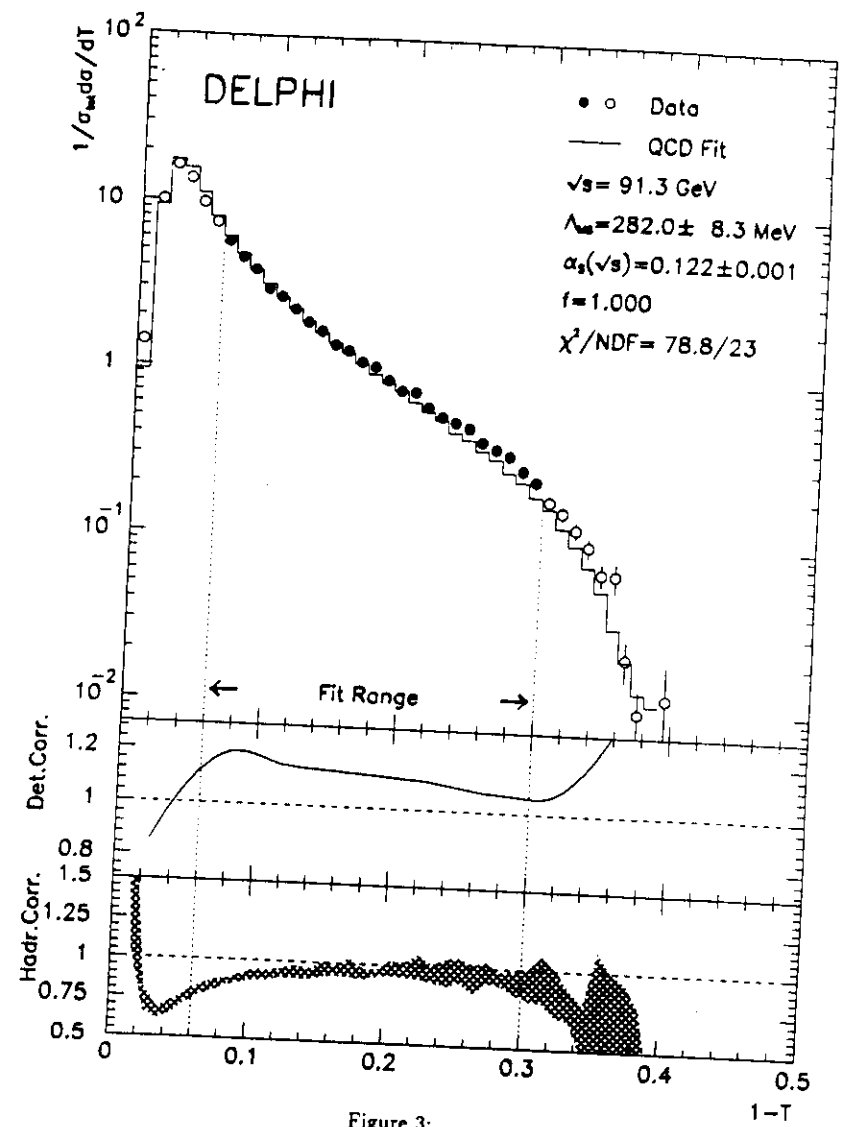


Figure 3:

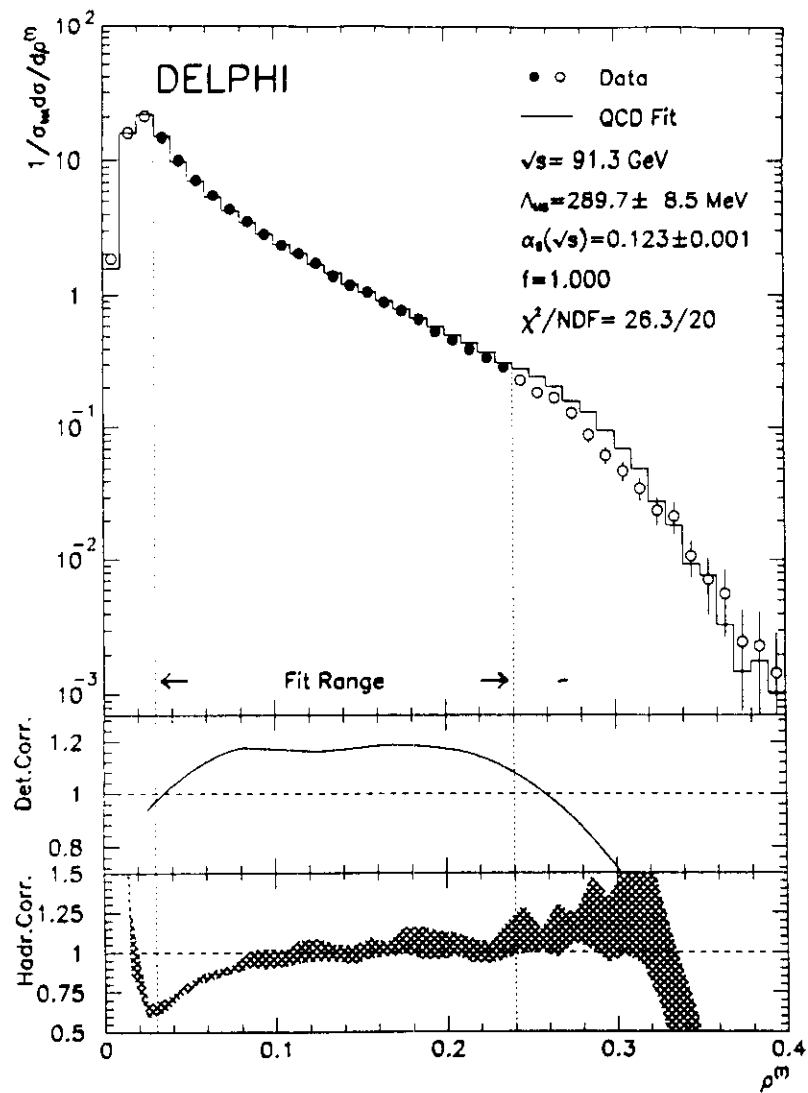


Figure 4:

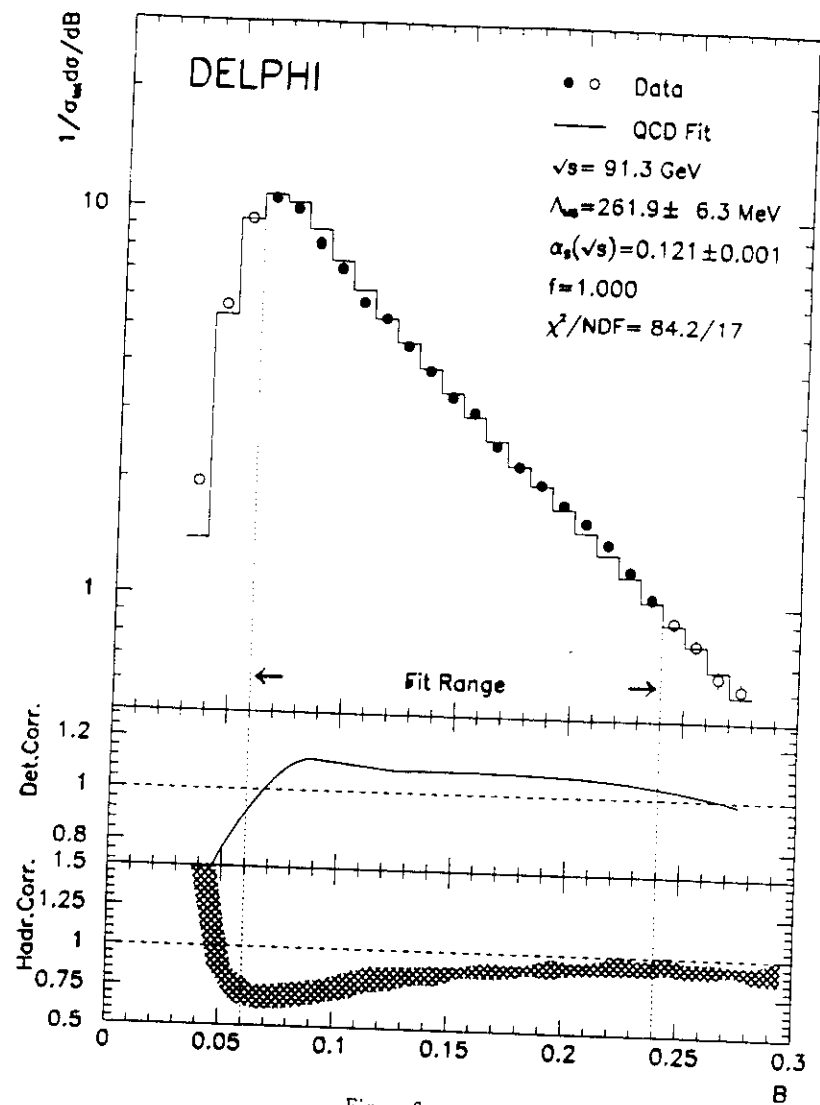


Figure 6:

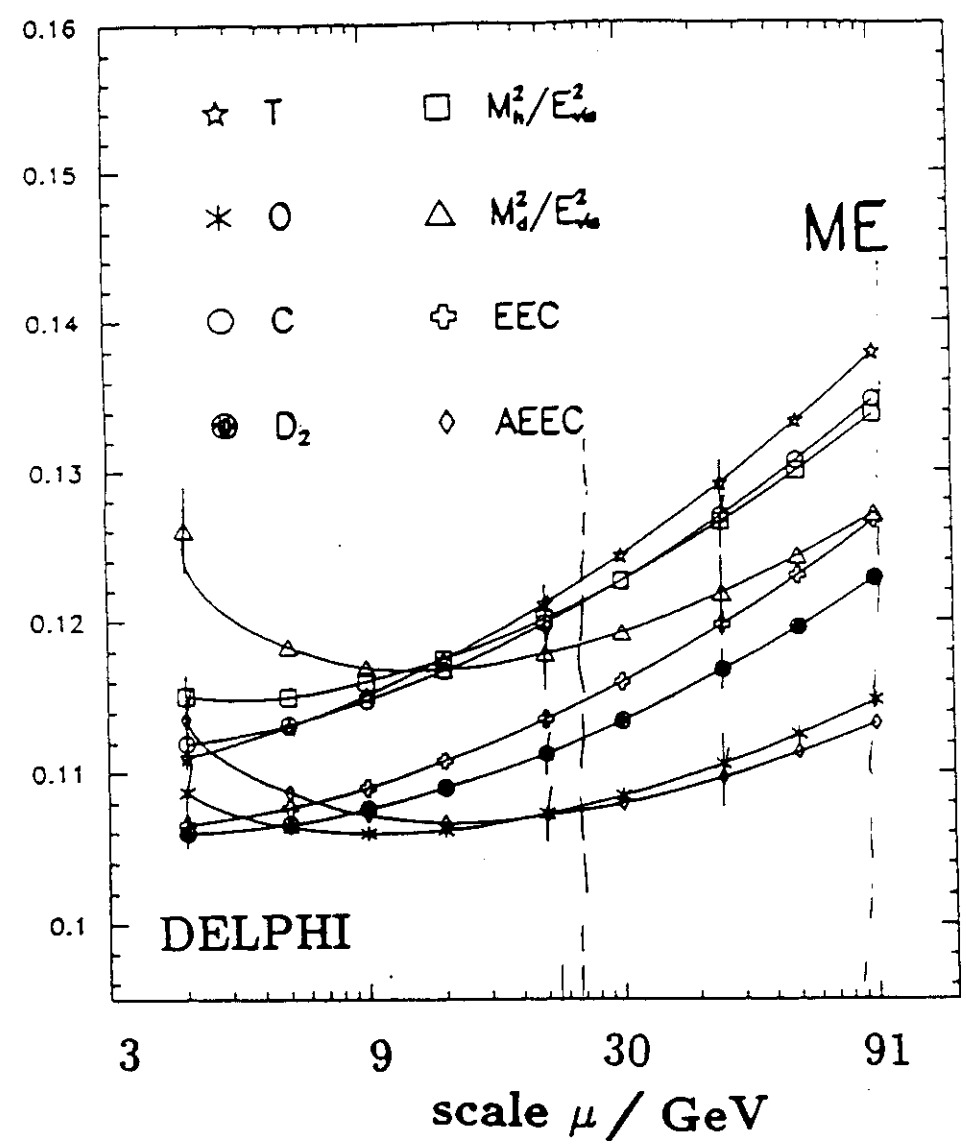


Figure 4.9: α_s values determined by DELPHI
USING VARIOUS SHAPE VARIABLES

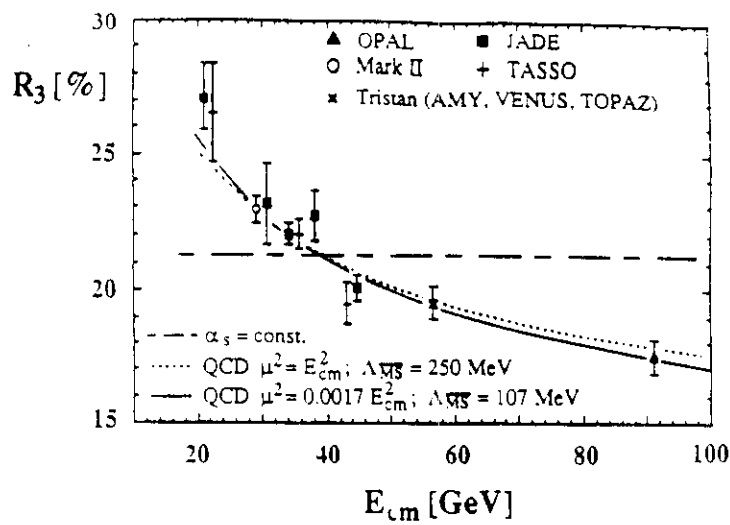
Process	Q [GeV]	$\alpha_s(Q)$	$\alpha_s(M_Z)$	$\Delta\alpha_s(M_Z)$		order of perturb.
				exp.	theor.	
* GLS [CCFR]	1.73	0.32 ± 0.05	0.115 ± 0.006	0.005	0.003	NNLO
* R _s [world]	1.73	0.36 ± 0.05	0.122 ± 0.005	0.002	0.004	NNLO
DIS [u]	5.0	0.193 ± 0.019	0.111 ± 0.006	0.004	0.004	NLO
DIS [d]	7.1	0.190 ± 0.014	0.113 ± 0.005	0.003	0.004	NLO
* c $\bar{c}$ mass splitting	5.0	0.174 ± 0.012	0.105 ± 0.004	0.000	0.004	LO
J/ψ, Υ	10.0	0.167 ± 0.011	0.113 ± 0.005	0.001	0.005	NLO
* e ⁺ e ⁻ [σ _{had}]	35.0	0.146 ± 0.030	0.124 ± 0.021	-	-	NLO
e ⁺ e ⁻ [ev. shapes]	35.0	0.14 ± 0.02	0.119 ± 0.014	-	-	NLO
e ⁺ e ⁻ [ev. shapes]	53.0	0.130 ± 0.008	0.122 ± 0.007	0.003	0.007	NLO
p $\bar{p}$ → b $\bar{b}$ X	20.0	0.138 ± 0.025	0.109 ± 0.016	0.007	0.013	NLO
p $\bar{p}$ → W jets	50.6	0.123 ± 0.025	0.121 ± 0.024	0.017	0.016	NLO
e ⁺ e ⁻ [scal. viol.]	91.2	0.118 ± 0.005	0.118 ± 0.005	0.001	0.005	NLO
* Γ(Z ⁰ → had.)	91.2	0.127 ± 0.010	0.127 ± 0.010	0.009	0.005	NNLO
Z ⁰ [ev. shapes]	91.2	0.119 ± 0.006	0.119 ± 0.006	0.001	0.006	NLO
Z ⁰ [ev. shapes]	91.2	0.126 ± 0.007	0.126 ± 0.007	0.004	0.006	resum.
* SLD	91.2	0.125 ± 0.005				resum.
* ALEPH	91.2	0.123 ± 0.006				resum.
* DELPHI	91.2	0.124 ± 0.009				resum.
* L3	91.2	0.120 ± 0.006				resum.
* OPAL	91.2		0.123 ± 0.006	0.002	0.005	resum.
* LEP Average	91.2					resum.

Table 1: Summary of measurements of α_s , (EPS Conference, Marseille, July '93). For details see text.

* new x.r.t. Dallas Conf. '92

** theoretical uncertainty (?) [→ Sannajda talk]

"RESUM" HAS TO DO WITH RESUMMING TO ALL ORDER CERTAIN EFFECTS
(ANALOG OF $(\alpha_s \log t \log s)^m$ in STERMAN-WEILBERG)



• RUNNING OF α_s

Based upon N_c . Each event is classified according to the number of clusters.

Look at event: from all particle pairs i, j find the pair with minimum $Y_{ij} = \frac{R_{ij} + R_{\bar{i}\bar{j}}}{2}$

If $Y_{ij} < Y_{cut}$, combine i, j into a single (pseudo)particle. Repeat until no pair has $Y_{ij} < Y_{cut}$

$$N_3 = \frac{\text{number of events with 3 clusters}}{\text{Total}}$$

'Jade' variation: $Y_{ij} = E_i E_j (1 - \cos \theta_{ij}) / s$

• Based upon Monte Carlo estimates of hadronization effects, the 'Jade' cluster algorithm shows little sensitivity to hadronization. A good start, but it would be nice to see the running also with other variables.

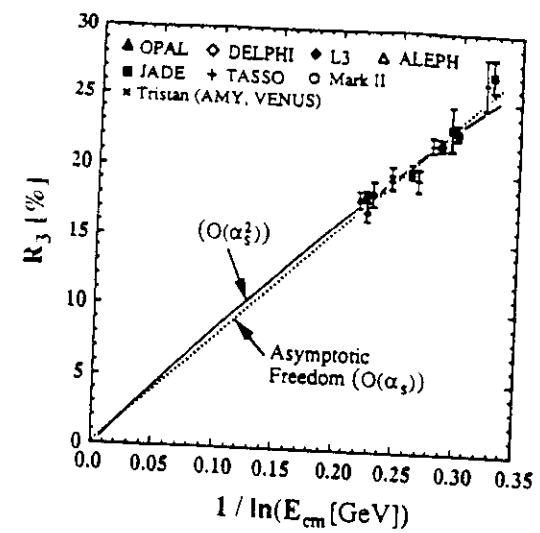
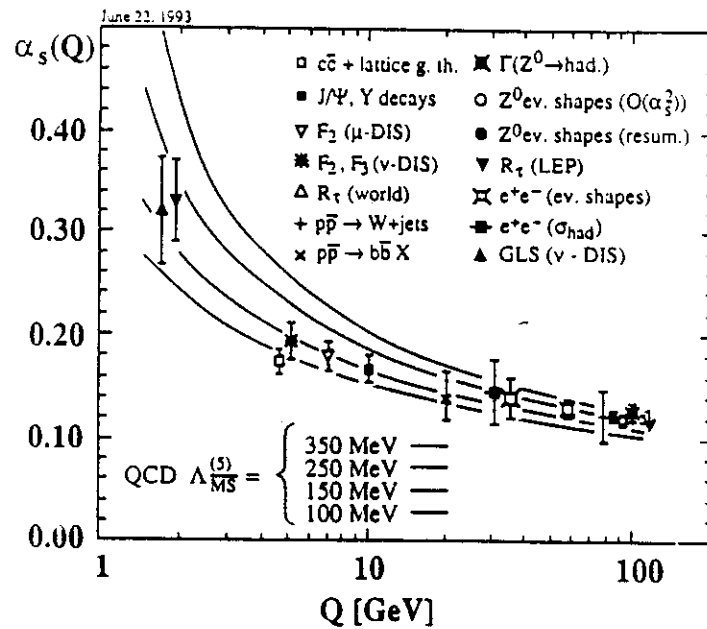


Fig. 10. The same data as shown in Fig. 9, as a function of $1/\ln(E_{cm})$, compared to the prediction of Asymptotic Freedom.

Running of $\alpha_s(Q)$

[up-to-date version of S. Bethke, Dallas Conf. '92]



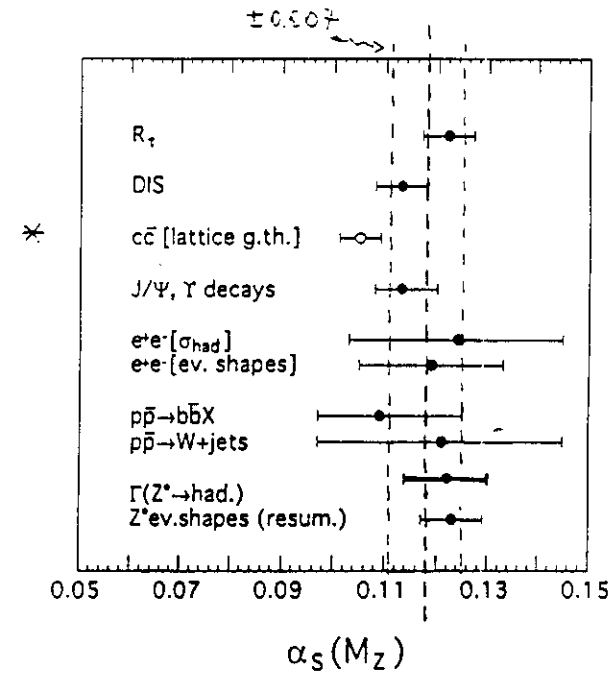
$\alpha_s(Q)$ from jet physics consistent with QCD running

Weighted average

$$\alpha_s(M_Z) = 0.118$$

error **

$$\Delta\alpha_s(M_Z) = \begin{cases} \pm 0.005 & (\text{optimistic}) \\ \pm 0.007 & (\text{conservative}) \\ ? & (\text{pessimistic}) \end{cases}$$



ONE SAMPLE ANALYSIS

(RATTAZZI
MAGNOLI
P. N., 1990)

(52)

EARLY DATA FROM OPAL ON THRUST, C-PARAMETER, (53)
{MAJOR, OBLATENESS N_3 (FRACTION OF EVENTS WITH 3 CLUSTER!
FOR $Y = M_{CLUS}^2 / M_Z^2 < 0.1$)

-) FOR A GIVEN SET OF SHAPE VARIABLES
DETERMINE $\alpha_s(M_Z)$ WITH 3 METHODS

- 1) LEADING ORDER
- 2) NEXT-TO-LEADING ORDER
- 3) NEXT-TO-LEADING ORDER + ESTIMATE OF
HADRONIZATION EFFECTS

-) ERRORS FOR (UNKNOWN) HIGHER ORDER
EFFECTS ARE ESTIMATED AS FOLLOWS:

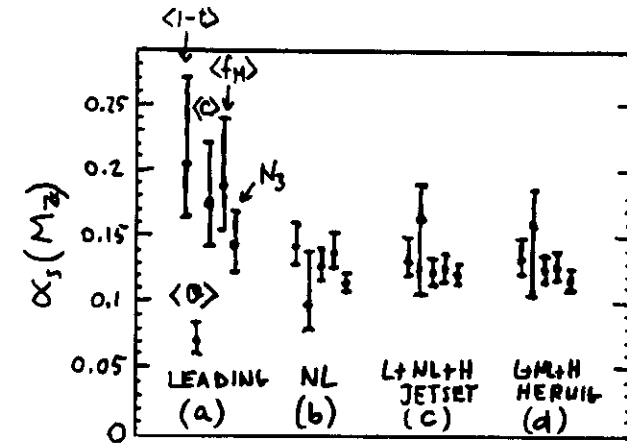
- 1) USE PERTURBATIVE FORMULA
WITH $\mu = M_Z$, determine $\alpha(M_Z)$

- 2) USE PERTURBATIVE FORMULA
FOR $\mu = \frac{M_Z}{2}$; determine $\alpha(\frac{M_Z}{2})$,
evaluate it to $\alpha(M_Z)$ USING EVOLUTION
EQUATION

- 3) AS IN 2), WITH $\mu = \frac{M_Z}{4}$

THE CHOICE 2) WILL GIVE OUR CENTRAL
VALUE, 1) AND 3) WILL GIVE THE
EXTREMES OF THE ERROR BAR (THEORETICAL)

-) EXPERIMENTAL ERRORS ARE ADDED IN
QUADRATURE TO THE THEORETICAL ONES.



-) REMARKABLE IMPROVEMENT IN CONSISTENCY
WHEN RADIATIVE CORRECTIONS ARE INCLUDED
-) HADRONIZATION CORRECTIONS BRING ABOUT
TOO MUCH CONSISTENCY. DATA BIAS?
-) LARGE UNCERTAINTIES ($\approx 12\%$ OR SO...)

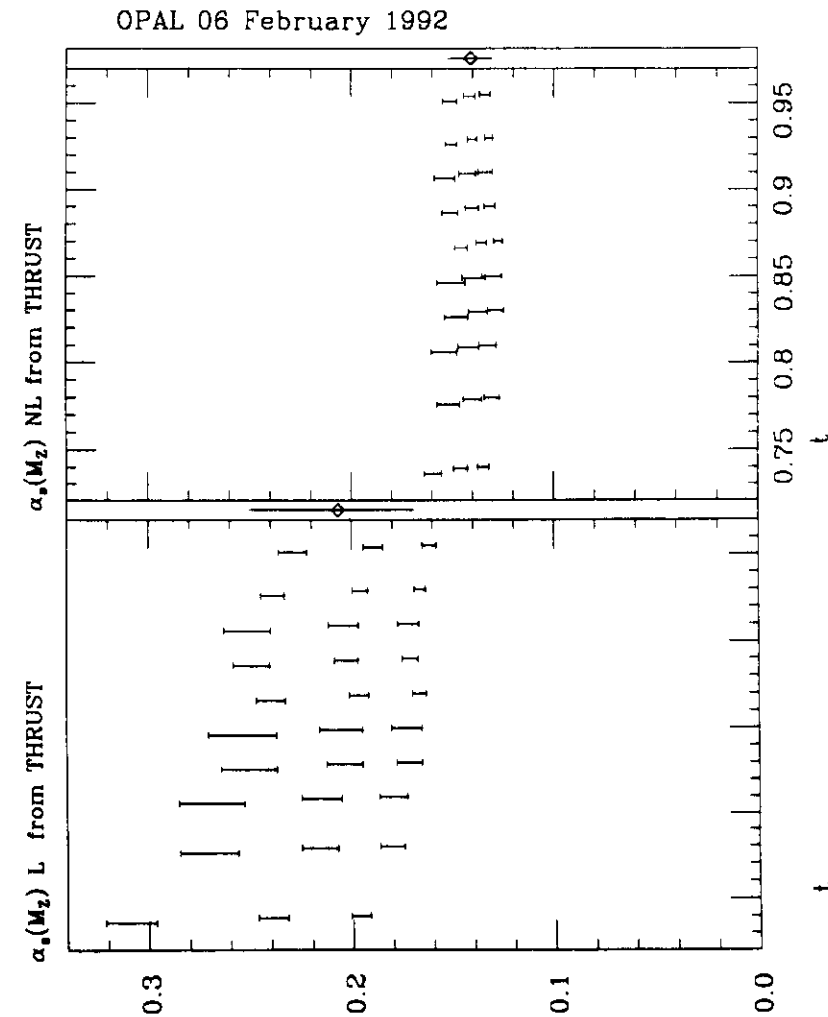
TODAY THE DATA IS SO GOOD THAT WE CAN REPEAT THIS PROCEDURE FOR EACH BIN OF MANY DISTRIBUTIONS (NO NEED TO TAKE AVERAGE VALUE). ONLY, STAY NOT TO CLOSE TO EXTREME VALUE OF SHAPE VARIABLES (REMEMBER; STERMAN-WEINBERG CALCULATION DOES NOT WORK WHEN δ, ϵ ARE TOO SMALL)

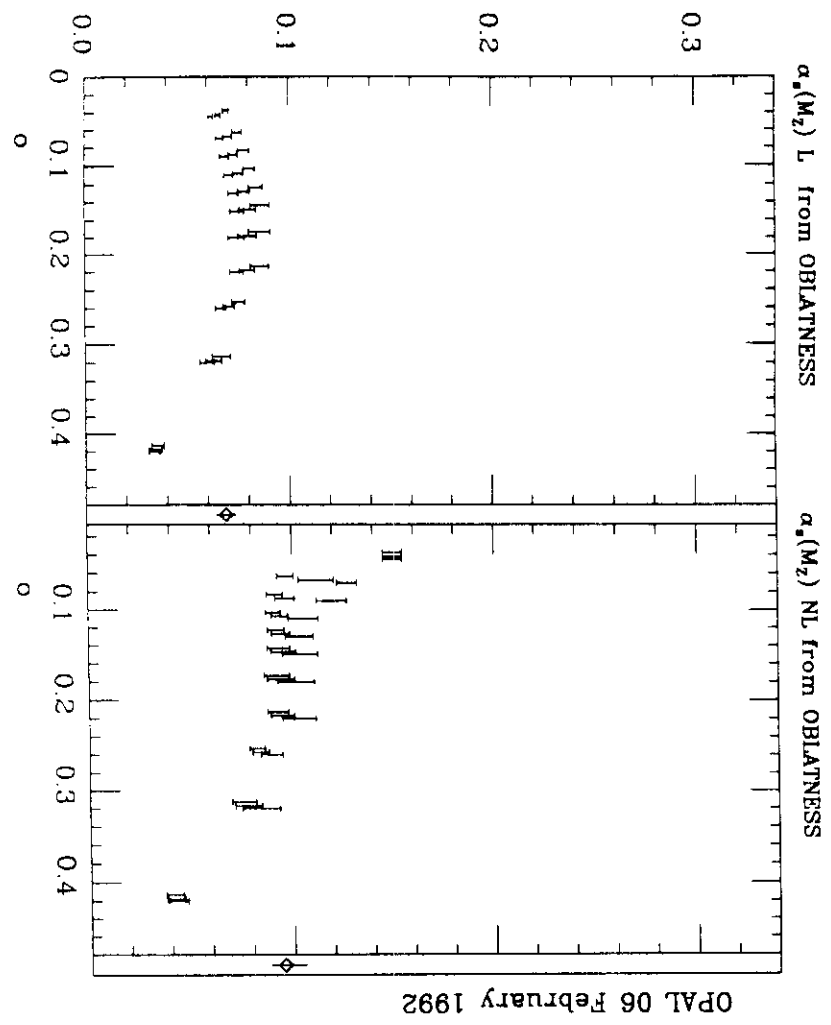
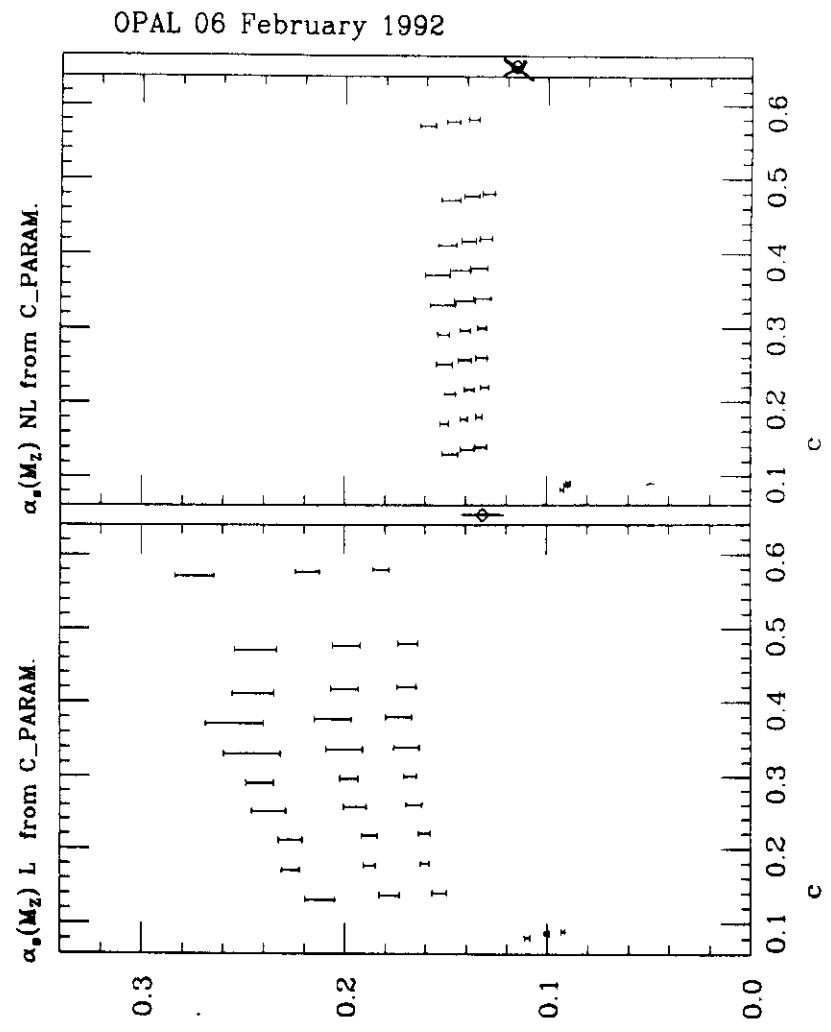
•) USE OPAL AND DELPHI PUBLISHED DATA

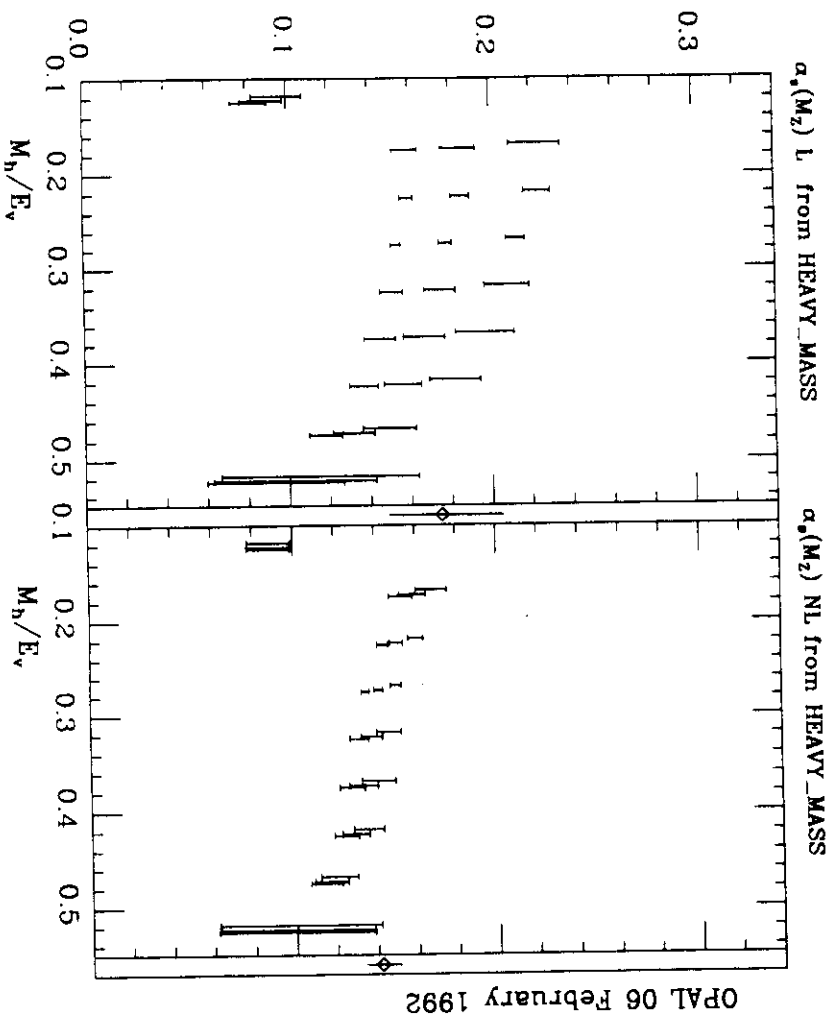
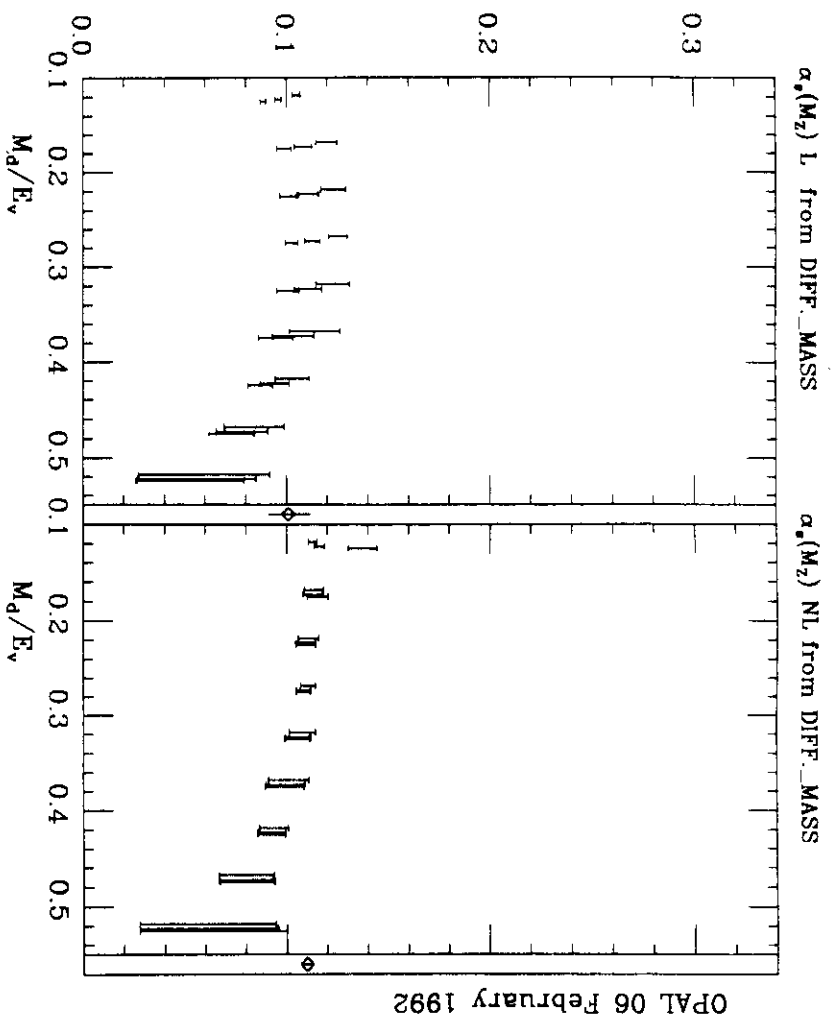
AS IN PREVIOUS (PAG. 52), BUT FOR EACH BIN OF DISTRIBUTION;
DO LEADING AND LEADING+NL.

LIVE OUT HADRONIZATION (IT MAY BE BIASED).

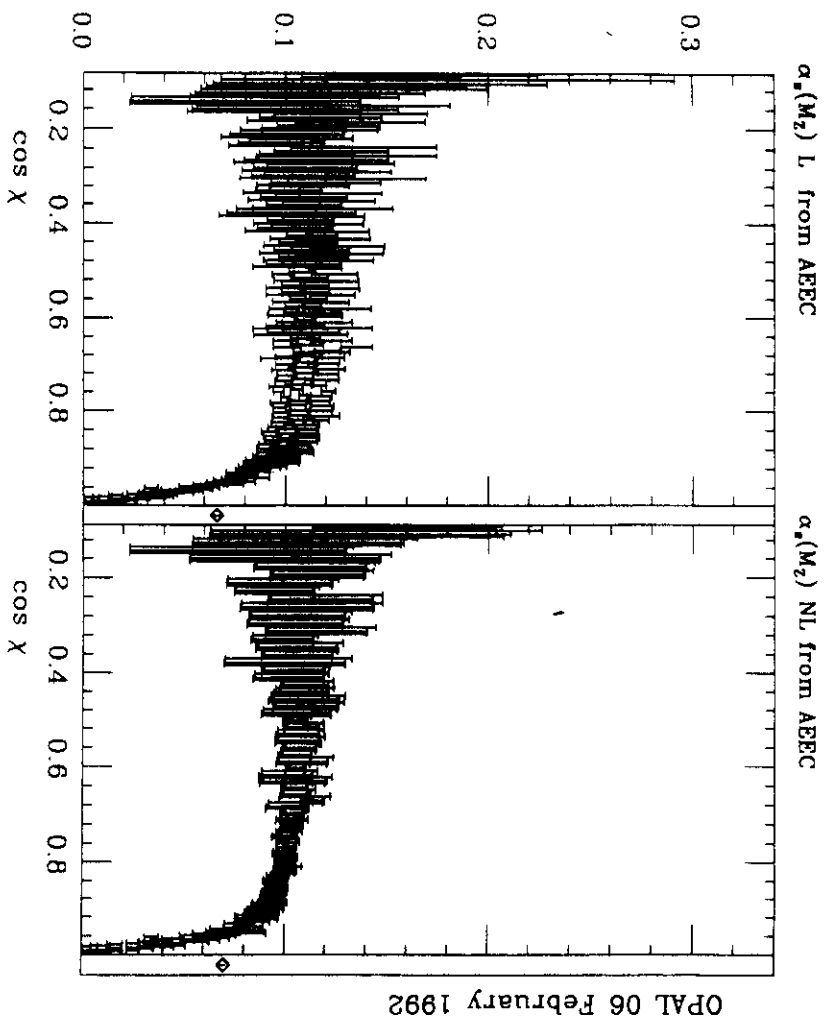
(N. BLUNDA, R. RATTAZZI, P.N., UNPUBLISHED)







19



20

