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SMR.762 - 23

Lectures III and IV

SUMMER SCHOOL IN HIGH ENERGY PHYSICS AND COSMOLOGY

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NON-PERTURBATIVE ELECTROWEAK EFFECTS IN BARYOGENESIS

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Please note: These are preliminary notes intended for internal distribution only.

Anomalous fermionic number non-conservation.

①

① Level crossing. $1+1$

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F_{\mu\nu} + \bar{\Psi}_1 D \Psi_1 + \bar{\Psi}_2 D \Psi_2 + \text{scalars}$$

$$D \Psi_1 = i \gamma_\mu (\partial_\mu - i e A_\mu (1 + \gamma_5)) \Psi_1$$

$$D \Psi_2 = i \gamma_\mu (\partial_\mu + i e A_\mu (1 + \gamma_5)) \Psi_2$$

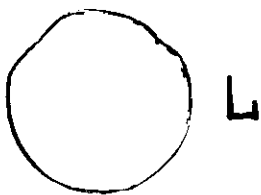
Conserved currents:

(i) electric current: $\bar{\Psi}_1 \gamma_\mu \Psi_1 - \bar{\Psi}_2 \gamma_\mu \Psi_2$

(ii) fermionic number $\bar{\Psi}_1 \gamma_\mu \Psi_1 + \bar{\Psi}_2 \gamma_\mu \Psi_2$

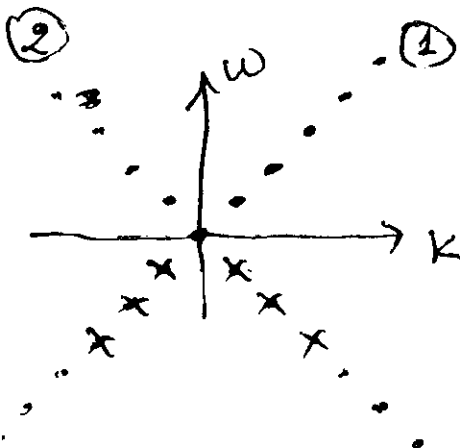
Are they conserved?

Fermionic level crossing.



$A=0 \Rightarrow$ spectrum

$$k = \frac{2\pi n}{L} ; \omega = k$$



$A_1 \neq 0 \Rightarrow$ (constant, time indep.)

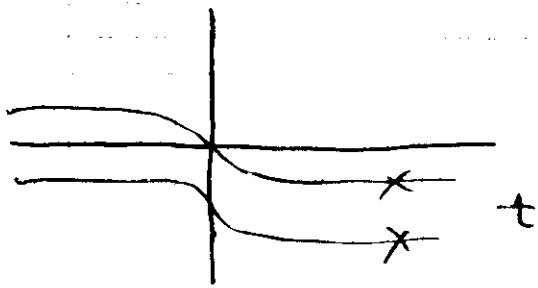
spectrum

$$\omega_1 = k - e A_1$$

$$\omega_2 = k + e A_1$$

if $A_1 = \frac{2\pi N}{eL}$ $N \leftarrow$ integer — spectrum is the same

②



connect

$$A_1 = 0 \text{ \& } A_1 = \frac{2\pi}{eL}$$

Creation of fermion!

Number of created fermions:

$$F = 2 \cdot \frac{eL A_1}{2\pi} = 2 \cdot \frac{e}{2\pi} \int dx A_1 =$$

$$= 2 \cdot \frac{e}{2\pi} \int dx \int dt \partial_t A_1 = 2 \cdot \frac{e}{2\pi} \int d^2x \epsilon_{\mu\nu} F^{\mu\nu}$$

$$\partial_\mu \hat{j}_\mu^F = n_f \frac{e}{2\pi} \epsilon_{\mu\nu} F^{\mu\nu} - \text{anomaly equation}$$

$$N_{CS} = \frac{e}{2\pi} \int dx A_1 - \text{Chern-Simons number}$$

$$\Delta F = n_f \Delta N_{CS}$$

vacua with $A = 0$ \& $A = \frac{2\pi N}{eL}$ are related by non-trivial gauge transformation

$$\psi \rightarrow e^{i\alpha(x)} \psi ; A_\mu \rightarrow A_\mu + \frac{1}{e} e^{i\alpha} \partial_\mu e^{-i\alpha}$$

$$\alpha = \frac{x \cdot 2\pi N}{L}$$

Mapping: $S \rightarrow U(1)$; N -winding number

Electroweak theory: everything is the same:

(3)

Fermionic spectrum is identical in any of the fields

$$\psi = g(\psi); \quad A = g \partial g^{-1} \quad g - \text{gauge transformation.}$$

Mapping: $S_3 \rightarrow SU(2)$; winding number:

$$W(g) = \frac{1}{24\pi^2} \text{Tr} \epsilon_{ijk} \int d^4x (g \partial_i g^{-1}) (\partial_j g^{-1}) (\partial_k g^{-1})$$

integer vacuum with $W=0 \Rightarrow$ vacuum with $W=1 \Rightarrow$ fermionic level crossing.

Selection rules: (9 quark doublets + 3 leptonic doublets) $\Rightarrow$

creation of 9 quark + 3 leptons

$$\partial_\mu j_\mu^B = \eta_f \frac{g^2}{32\pi^2} F_{\mu\nu} \tilde{F}_{\mu\nu}; \quad \tilde{F}_{\mu\nu} = \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} F_{\rho\sigma}$$

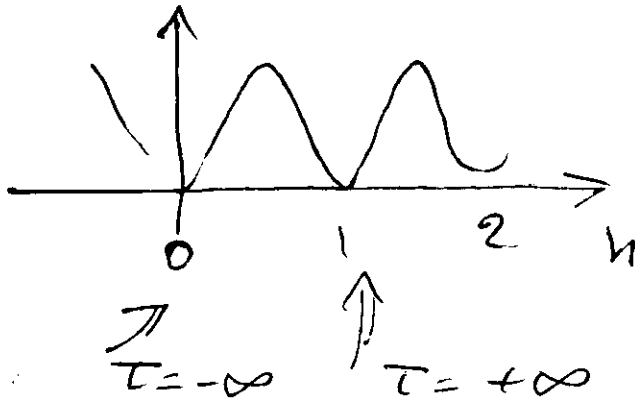
$$\partial_\mu j_\mu^L = 0, \dots$$

$$\frac{g^2}{32\pi^2} F\tilde{F} = \partial_\mu K_\mu; \quad \leftarrow \text{Chern-Simons current.}$$

$$K_\mu = \frac{g^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} \left\{ F_{\nu\lambda}^a A_\sigma^a - \frac{2}{3} g \epsilon^{abc} A_\nu^a A_\rho^b A_\sigma^c \right\}$$

Energy barriers

④



$$E_B = \min_{\{\text{paths}\}} \max_{\{\tau\}} \underbrace{E(\tau)}_{\text{static energy}}$$

Configuration saturating the minimax procedure: unstable solution to the classical eq. of motion.

sphaleron:

~~$$A_i = \frac{1}{g} \frac{\partial \mathcal{L}}{\partial \dot{x}_i}$$~~

Barrier in 3+1 case:

50

sphaleron:

$$A_i^a = \frac{1}{g} \frac{\epsilon_{aij} x_j}{r^2} \cdot f\left(\frac{\xi}{r}\right) \quad \xi = g v \sqrt{2} |\vec{x}|$$

$$\varphi = i \frac{x^a x^a}{r} h\left(\frac{\xi}{r}\right) \begin{pmatrix} 0 \\ v \end{pmatrix} \quad \begin{aligned} f(0) &= h(0) = 0 \\ f(\infty) &= h(\infty) = 1 \end{aligned}$$

$$E_{sph} = \frac{2M_W}{d_w} B\left(\frac{\lambda}{d_w}\right) = \begin{cases} 8 \text{ TeV}, & m_H \ll M_W \\ 14 \text{ TeV}, & m_H \gg M_W \end{cases}$$

What is the origin of the scale $\frac{M_W}{d_w}$?

$$E = \int d^3x \left[\frac{1}{4} (F_{ij})^2 + (D_i \varphi)^2 + V(\varphi) \right]$$

dimensionless variables

$$x = \frac{1}{m_W} \xi, \quad A = \frac{m_W}{g} \tilde{A}, \quad \varphi = \frac{v}{g} \tilde{\varphi}$$

$$E = \frac{M_W}{g^2} \int d^3 \xi \left[\frac{1}{4} (\tilde{F}_{ij})^2 + (D_i \tilde{\varphi})^2 + \frac{\lambda}{g^2} (\tilde{\varphi}^2 - 1)^2 \right]$$

$$\sim O\left(\frac{M_W}{g^2}\right)$$

Important properties of the sphaleron

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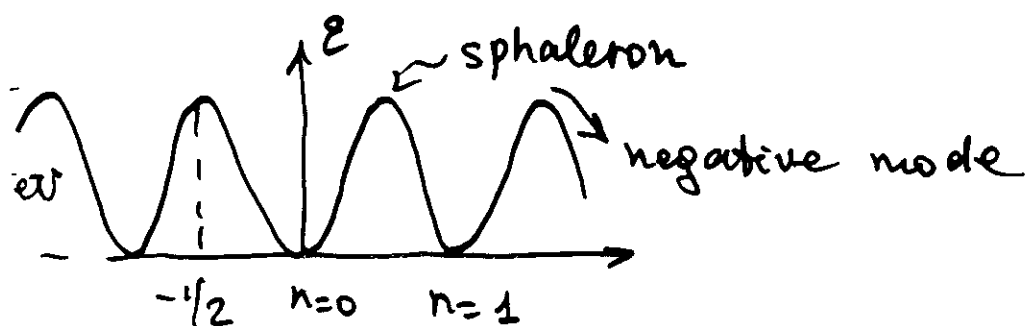
(i) topological charge = $1/2$

$$\frac{g^2}{32\pi^2} \int_0^{t_0} F_{\mu\nu} \tilde{F}_{\mu\nu} = \frac{1}{2}$$

$$t=0: \quad \varphi = \begin{pmatrix} 0 \\ \nu \end{pmatrix}, \quad \mathcal{A} = 0$$

$$t=t_0: \quad \varphi = \varphi_{sph}, \quad \mathcal{A} = \mathcal{A}_{sph}$$

(ii) it has exactly one negative mode



(iii) fermionic zero modes in sphaleron background

is non-conservation under usual conditions:

tunneling through the barrier

$$\text{Amplitude: } \exp\left(-\frac{2\pi}{\alpha_w}\right) \sim \exp(-180)$$

too small

HIGH TEMPERATURES!

⑦

Rate of sphaleron transitions at high T :

sphaleron : analogy with critical bubble

Rate : $T < T_c$:

$$\Gamma \sim \exp\left(-\frac{M_{\text{sph}}}{T}\right) \approx$$

$$\approx T^4 \left(\frac{\alpha_W}{4\pi}\right)^4 N_{\text{tr}} N_{\text{rot}} \left(\frac{2M_W}{\alpha_W T}\right)^7 \exp\left(-\frac{M_{\text{sph}}}{T}\right)^K$$

→ temperature dependent sphaleron mass.

$T > T_c$:

no barrier

$$\Gamma \approx (\alpha_W T)^4 \quad \left[\text{from } m_W \approx \alpha_W T \right]$$

Cosmology :

compare rate with the rate of universe expansion

$$t = \frac{M_{\text{Pl}}}{T^2} \Rightarrow$$

equilibrium for $\Delta B \neq 0$

$$100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$$

Electroweak Baryogenesis

①

Sakharov conditions

- (i) B - non-conservation
- (ii) CP - violation
- (iii) thermal non-equilibrium

How it can happen?



$v_{ev} = 0$

sphaleron rate is high -

no B - generation



domain wall baryogenesis.

Out of equilibrium condition ;
upper limit on the Higgs mass

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Sphaleron mass after Phase transition

(3) (11)

~~11~~

$$M_{\text{sph}} \approx \frac{3M_W}{\alpha} = \frac{3}{\alpha} \cdot \frac{1}{2} g_W \cdot \frac{38T}{\lambda}$$

Out of equilibrium condition:

$$\frac{M_{\text{sph}}}{T} \geq 45 \Rightarrow \underbrace{\lambda \leq \frac{3}{80} g_W^2}$$

upper bound on the Higgs mass

$$m_H \leq 45 \text{ GeV} \leftarrow \text{one-loop result.}$$

Theory with two Higgs doublets:

$$m_H \leq 120 \text{ GeV.}$$

One-loop result should not be trusted: infrared divergences

Non-perturbative effects, lattice simulations $\Rightarrow m_H^{\text{crit}}$ may be as large as

$$m_H^{\text{crit}} \simeq 80-100 \text{ GeV}$$

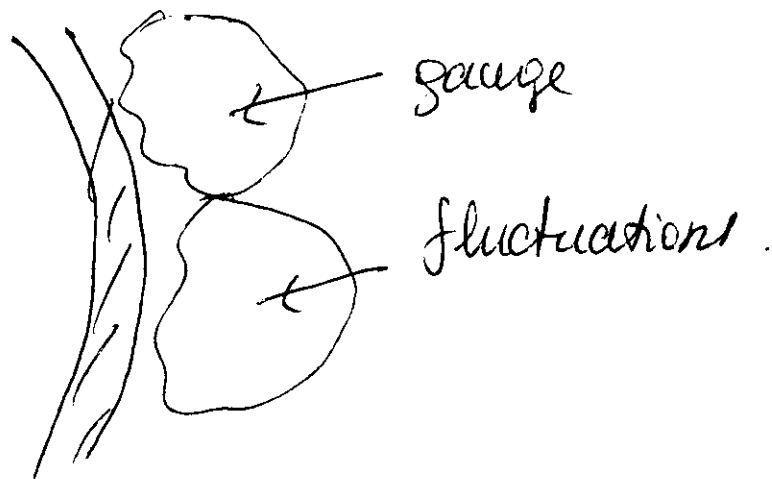


Two general types of mechanisms

(i) Bosonic fields are important

(ii) fermions are important.

(i) "Bosonic" mechanism (a)



domain wall (thin)

CP-violation :

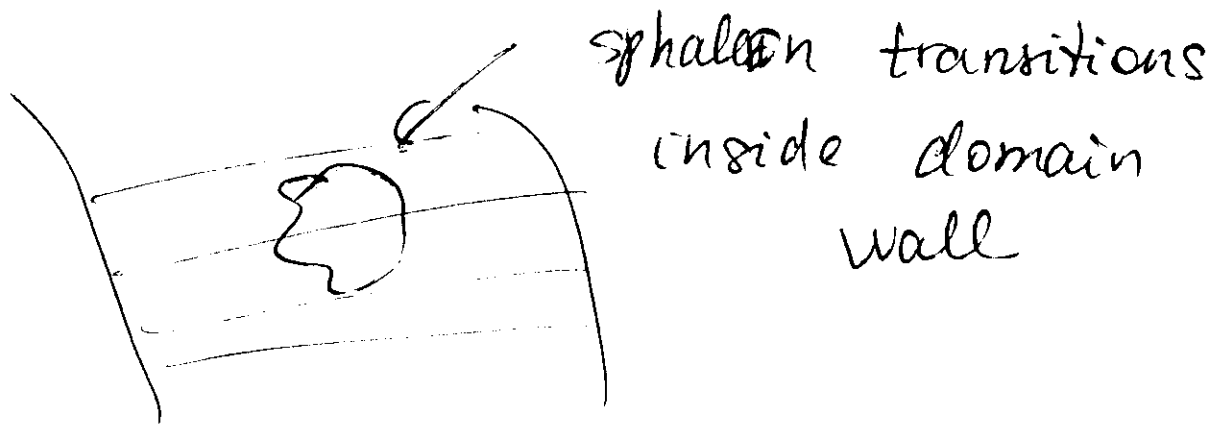
fluctuations decay and produce
non-zero $\tilde{F}\tilde{F}$

$$\begin{cases} \tilde{F}\tilde{F} > 0 \\ \tilde{F}\tilde{F} < 0 \end{cases} \neq \text{due to CP} \Rightarrow$$

fermions are created.

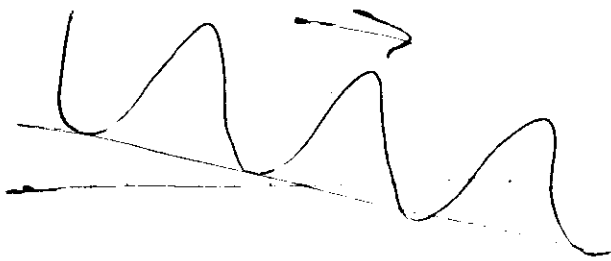
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Bosonic mechanism (B)



wall (thick)

time varying background:

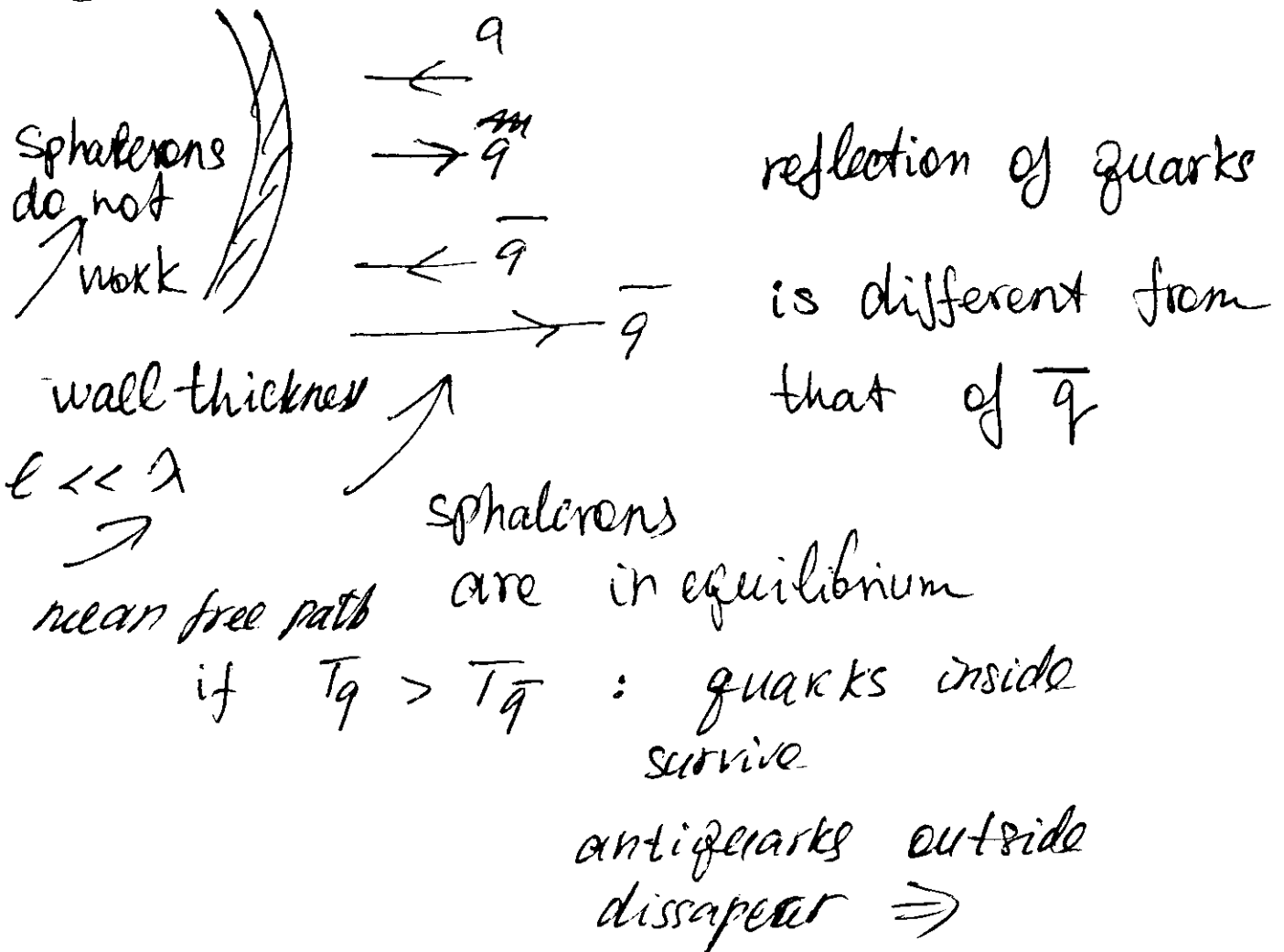


$$\Delta B \neq 0$$

(ii) fermionic mechanism
charge transport:

(6)

(i) thin wall



$$\Delta B \neq 0$$

(ii) thick walls $\rightarrow$

interaction of quarks with gluons
inside the domain walls should
be taken into account

Results:

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① MSM :

Farrar, M-S

$$\frac{h_0}{m_{\tilde{g}}} \approx 10^{-10} - 10^{-18}$$

may be OK.

② Extended EW theories

(i) two Higgs doublets - OK

(ii) SUSY SM - maybe OK

Phase transitions:

Effective potential:

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M.S., P.L. B316 (1993) 112

Farake, Kajantie, Rummukainen, M.S.

N.P. B407 (1993) 356

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Arnold, Espinosa, P.R. D47 (1993) 3546

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$T=0$:

G. 't Hooft, PRL 37(1976)8, PR D14(1976)3432

θ -vacuum

Jackiw, Rebbi, PRL 37(1976)172

Callan, Dashen, Gross, PL 63B(1976)334

level - crossing in $1+1$

Ambjørn, Greensite, Peterson, NP B221(1983)381

Energy barriers (sphalerons)

$3+1$: Klinkhamer, Manton, PR D30(1984)2212

$1+1$: Grigoriev, Rubakov, NP B299(1988)671

Bochkarev, M.S. Mod. Phys. Lett. A2(1987)199

B. non-conservation at $T \neq 0$

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Reviews:

Matveev, Rubakov, Tavkhelidze, M.S.

Sov. Phys. Uspekhi 31(1988)916

M.S. Physica Scripta T36(1991)

M.S. - lectures at ICTP, 1991 July

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Turok, Zadrozny, P.R.L. 65(1990)2331

Cohen, Kaplan, Nelson, P.L. 263B(1991)86
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Minimal standard model:

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