



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
I.C.T.P., P.O. BOX 586, 34100 TRIESTE, ITALY, CABLE: CENTRATOM TRIESTE



SMR.762 - 9

## SUMMER SCHOOL IN HIGH ENERGY PHYSICS AND COSMOLOGY

**13 June - 29 July 1994**

### NEUTRINO PHYSICS

A. SMIRNOV  
ICTP

Please note: These are preliminary notes intended for internal distribution only.

## IN THREE FEATURES:

SUSY

15 minutes?

WHAT IS HAD, basic?

WHAT WE KNOW?

NEUTRINO  
PHYSICS

or

LOOKING

FOR NOTHING

UNKNOWN ①

SUMMARIZE

DEF ②

Neutrino is

→ LIGHT OR MASSLESS M&D

→ NEUTRAL:  $Q = 0$ ;  $\bar{Q} = Q$

PARTICLE

→ WITH SPIN 1/2.

INTERACTIONS

~~Neutral~~  
~~Lepton~~ → weak interactions  
~~gravity~~ → ~~strong~~

EM - INTERACTIONS  
in high  
orders

3W  
LEPTON

THREE NEUTRINOS:  $\nu_e$   $\nu_\mu$   $\nu_\tau$   
AND CORRESPOND  
TO CHARGE  $e$   $\mu$   $\tau$ .

→ This correspondence is described  
by BY CONSERVING FLOWN  
NUMBERS.

EWL

NEUTRAL LEPTON → weak interaction.

NO E $\mu$ , STRONG →

HUGE ABSORPTION ABILITY, ESPECIALLY  
AT LOW E

size of S with E  
cross sections

• THE INTERACTIONS ARE WELL DESCRIBED  
BY STANDARD MODEL.  
Moreover

$\nu$  played a crucial role in  
building and check of SUSY

→ NC. in 3. interaction

STATE

?

$V \rightarrow V_f$   
?

bound

• Are neutrinos



~~Majorana~~

Majorana?

$$\nu = \bar{\nu}?$$



A BURST

$\nu$ :

MAIN <sup>UNIVERSAL</sup> COMPONENT IN THE Universe:

Universe density:  $\rightarrow$  MORE THAN

NUMBER OF PHOTONS

Universe

NATURAL SOURCES

- Relic Neutrinos.

- PRODUCED SUP.



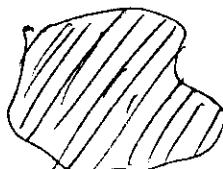
- Collapsing stars

- AGN.

EARTH Atmosphere

- .

Normal:  
25 fm.



$$6 \cdot 10^{10} \times 10^4 \\ \approx 10^{14} \text{ cm}^{-2}$$

Relic

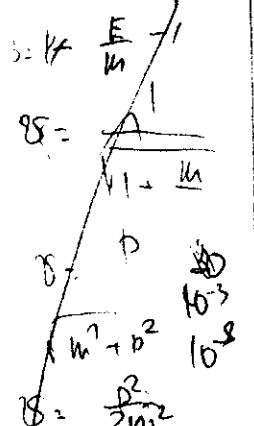
$$\text{Relic } 4 \cdot 10^2 \cdot 3 \cdot 10^{10} \cdot 10^4 \gtrsim 10^{17} \text{ fm}^{-4}$$

• over scales (25 orders) Ch. more or less if local strength is higher.

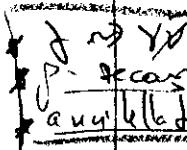
$10^{-4} \text{ cm}^{-2}$   $10^{10} \text{ cm}^{-2}$

appreciable

(1)  $\rightarrow$  give (non 0) contribution  
to FUTURE density in the  
universe  $\rightarrow$  DYNAMICS OF  
THE UNIVERSE



ELEMENTARY PROCESSES



e-capture  
p-decay,  $\pi^+, \mu^+, K^+$   
annihilation

Neutrinos

ARTIFICIAL:

- ACCELERATORS
- SOURCE  $^{37}Ar$  SICK
- MESON FACTORIES  $^{16}Be - ^{15}N$

[This is proton]

(2)

IN MINIMAL VERSION:

[No  $\nu_2$ ]

$\rightarrow$  MASSLESS

NO coupling ~~like~~ with Higgs which  
GF respects masses of CHARGED LEPTON  
 $W=0$ ) NO LEPTON ~~masses~~  
fact even in SM one can

2

Lepton number conservation

$h_e, h_\mu, h_\tau$

$\nu_i \rightarrow e^- \nu_i$  <sup>idle</sup> <sup>10 fm/10 fm</sup>

$l_R, l_C \rightarrow e^- \nu_i$  <sup>idle</sup> <sup>10 fm/10 fm</sup>

## THE HIGHER THE SCALES THE MORE IMPORTANT ROLE OF NEUTRINOS:

- Responsible for
- large scale structure of the Universe
- PLAY crucial role in the EVOLUTION OF STARS!
- ~~SN~~, ~~gravitational collapse~~
- cooling of stars
- nucleosynthesis of the elements in the universe
  - Direct
  - Indirect  $\rightarrow$  expansion of the universe.
  - $2 \rightarrow 4$  neutrons
  - Nucleosynthesis in SN.

IMPORTANT FOR

Astrophysics
Cosmology

→  $^{14}\text{He}$

→ AFTER f - quark.  
•  $\pi^+$

### Problems:

- Neutrino masses
- Lepton mixing
- b - conservation
- New interpretations

(NATURE  
OF NEUTRINO)

- \* ~~No coupling~~
- \* LABORATORY constraints  $\rightarrow$  T from time to time some indications:
  - TTE D
  - RUGER
  - 17 keV
  - $\gamma\gamma$
  - oscillation at low plane
- \* More steady (difficult to check)
  - solar neutrinos
  - ATMOSPHERIC neutrinos
  - COSMOLOGICAL - long scale

# NEUTRINO PHYSICS

PULSES

+ Pulse width  
+ Neutrino masses  
in the Sun

## MASSES AND LEPTON MIXING

### I. INTRINSIC

#### NEUTRINO PROPERTIES

(WHAT WE KNOW AND  
WHAT IS NOT KNOWN)

CONCENTRATE

COULD STUDY FOLLOWING  
TOPICS

### II. PROPAGATION OF MIXED NEUTRINOS IN VACUUM AND MATTER.

### III. "NEUTRINO ANOMALIES"

#### ASTROPHYSICS HINTS AND NEUTRINO MASS SPECTRUM

#### NEUTRINO

1. Light or masses
2. Neutral:  $Q_d = Q_e = 0$
3. Spin  $1/2$

$\bar{\nu}$  AND leptonic  
NUMBER VIOLATION.

- THREE TYPES
- NEUTRINOS

ANTINEUTRINOS

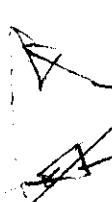
$10^{-7} \text{ eV}$

$10^{21} \text{ eV}$

EXPERIMENTAL  
BASIS:

Ring  
Dense  
Wire

- THEORETICAL
- NUCLEONIC FACTORIES
- LOW ENERGY
- REACTOR
- FUSION
- FACTORIES



THE NEUTRINO  
UNIVERSE

OTHER PARTS

ASTROPHYSICS

PHYSICS

ASTRONOMY

NUCLEOSYNTHESIS

LARGE SCALE  
STRUCTURE

RECKNESS

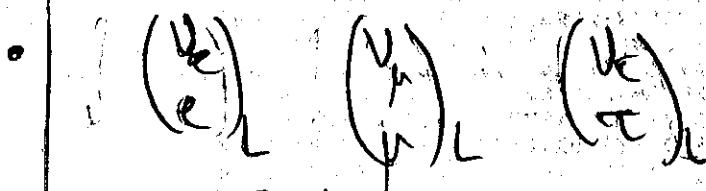
AUG

UNDERWATER  
EXPLORATION

A neutrino source at sea

# I. NEUTRINO PROPERTIES

## 1. IN THE STANDARD MODEL



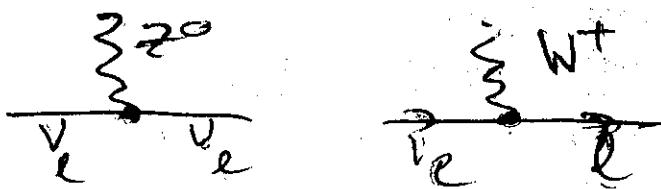
• FORM DOUBLETS OF  $SU_2$  Doublets

• THREE NEUTRINOS

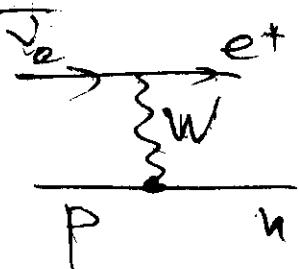
• CAN BE CHARACTERIZED BY

$$\begin{array}{l} \text{Weak Isospin} \\ I_3 = \frac{1}{2} \\ Y = -1 \end{array} \leftarrow \text{Hypercharge}$$

$$Q = 0 \leftarrow \text{No direct couplings with photon}$$



fix "flavor" of neutrino state



IN SUSY

• Photon  $\rightarrow$  singlet of  $SU_3$

Link to what

REMIN

FORM DOUBLETS

THIS MEANS

CHARGES FOR THE W FERMION  
THIS INTERACTION DETERMINES THE FLAVOR

• VEV ALONG ORTHOGONAL POLAR  
W COULD NOT ESTABLISH FLAVOR

• NO VEV ALONG WITH VEV WHICH MEANS

• NON-FLAVOR DETERMINING  
DOES PLACE  
 $e^+$  IN NATURE

# R.H. NEUTRINOS?

R.H. Neutrinos  
STERILE  
B-L



?

DO THEY EXIST?

WHY NOT? SYMMETRY  
NO CHARGES CONTRIBUTE TO E

SUPPOSE THEY EXIST:

$$T_3 = 0$$

$$Y = 0$$

$$Q = 0$$

IN CONTRAST WITH CHARGED FERMIONS  
NO ELECTROWEAK INTERACTIONS

STERILE

WHY  $\nu$  IS SO CRITICAL

$W(Z)$

DO THEY EXIST  
WHAT IS THE MASS

HOW THEY X  
INTERACT WITH "ACTIVE" SECTOR

• INTERACTIONS WITH HIGGS

→ MASS OF NEUTRINOS?

WITH THEM BE suppressed

ACTUALLY:

THE EXISTENCE OF  $\nu_p$

AND THEIR PROPERTIES  
(MASSES, CHARGES)

MAIN ISSUE OF  $\nu$ -PHYSICS

→ NO  $\nu_e$

→ VERY HEAVY

→ SYMMETRY

WITH THIS  
SYMMETRY  
DOES NOT  
REFLECT MASSES  
OF NEUTRONS

The problem of  $\nu$ -physics  
can be reduced to

$\nu_p$

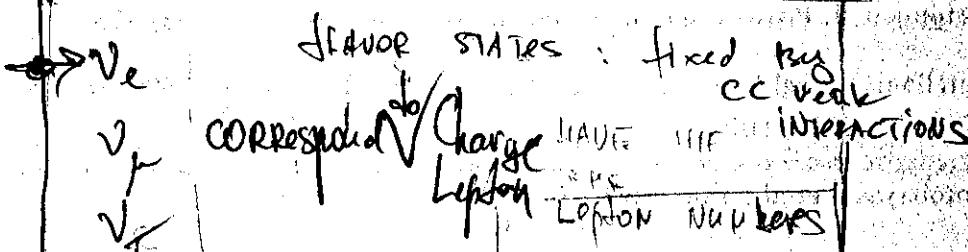
Fermi had  
reduced the problem of p-decay  
to something very light and  
very difficult to observe

NOW WE

REDUCE THE PROBLEM OF  $\nu$  TO  
SOMETHING WHICH HAS EVEN MORE WEAK INTERACTIONS

# FLAVORS

9



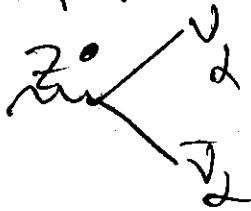
$\rightarrow$  UpL Down  $\rightarrow$  NO lepton number VIOLATION

$\nu_e \leftrightarrow \nu_\mu$  affects no expansion between neutrinos

(\*) NUMBER OF NEUTRINO SPECIES:  $N_V$

ALREADY DISCUSSED

LEP: invisible width of  $Z^0$



$$\Gamma_{inv} \propto N_V$$

$$N_V = \frac{\Gamma_{inv}}{\Gamma_W}$$

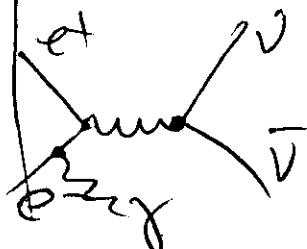
$$\Gamma_{inv} = \Gamma_{tot} - \Gamma_{vis}$$

$$N_V = 2.985 \pm 0.023 \quad \text{from all 4 exps} \quad 90\% \text{ CL}$$

IMPLICATIONS: THREE neutrinos NO NEW

$$N_V > 4 \quad ?$$

- THREE generations  $\oplus$  BUT
- BOUNDS ON New physics
  - new additional channels
  - NON-SINGLET MAJORONS - excluded
  - ADMIXTURE OF NEUTRONS LEPTONS



$$N_V = 3.04 \pm 0.16$$

$$\begin{aligned} N_V &= \frac{\Gamma_{inv}}{\Gamma_V} = \frac{\Gamma_{inv}}{\Gamma_e} \left( \frac{\Gamma_e}{\Gamma_V} \right) \\ &= \left( \frac{\Gamma - \Gamma_e - \Gamma_\mu}{\Gamma_e} \right) \left( \frac{\Gamma_e}{\Gamma_V} \right) \end{aligned}$$

many implications

basic figures

IMPLICATIONS TO  $\phi$  PHYSICS

shape

(10)

Neutralino

## NUCLEOSYNTHESIS

bound or number of light degrees of freedom

$$(m < 40 \text{ MeV}) \rightarrow \text{deuteron}$$

$$N_V < 3.3$$

$$3.01$$

criticized.

Neutralino and Nucleosynthesis

→ direct / indirect influence

Cosmological

## MASSES

→ rounds

present

KINEMATICS?

$\tau_e$

$$M \leq 4-5 \text{ eV} \quad (\text{MAINZ})$$

TOLL-KIENE



$$\cdot M V_e / w_e < 10^{-8}$$

WW?

$$M^2 = -8 \pm 12 \text{ eV}^2$$

$$(-39 \pm 34 = 15)$$

Symmetries,  $M < 4 \text{ eV}$

3-

$\mu$

$\rightarrow \mu \bar{\nu}_\mu$

rest  $\uparrow M_\mu \quad P_\mu \quad \mu_\mu$

PSI:

$$M < 220 \text{ keV}$$

$$M(\nu_\mu) / w_\mu \leq 2 \cdot 10^{-3}$$

PSI

$e$

$\rightarrow \nu_e \bar{\nu}_e$

$$\begin{aligned} M(\nu_e) &< 31 \text{ MeV ARGUS} \\ &< 32.6 \text{ CLEO} \end{aligned}$$

$\rightarrow 29 \text{ MeV}$

Nucleosynthesis:

(\*)

1730

$| M_0 < 0.1 \text{ MeV} \quad T > 10^3 \text{ s} \quad t > 10^3 \text{ s.} |$

Cosmology: energy density  $\sum m_i < 50 \text{ eV}$  expansion RATE  $\propto$  age

$$\sum m_i < 50 \text{ eV}$$

20-

DEAR MAIORANA (different)

← THESE BOUNDS DO NOT DEPEND ON NATURE

SN 1987 A

$m < 20 \text{ eV}$

RE SLEKKE

→ Much smaller than charge lepton mass spread of signal.

→ splitting

↓ Charge

↓ Neutrino

→ SPLITTED OUT

SMALLER  
OF  
VNU

NEUTRALITY

→ OTHER BOUNDS ON  $m$

→ Lepton number violation

→ NOT pure bound but

MODEL  
FOR  
PARTICLE  
VIOLATION

# MASSES (THEORY)

(14/2)

THIS SECTION NUMBER -

A) IN SM:  $\psi_L$  - WIMP spinor.

MASSLESS

well  $M_D = 0$

C.P.

disappearing  
• L-conservation

(two component  
AN

Physical (2) If there are  $\nu_R$ , suppose there is  $\nu_R$

Dirac particle is

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = M_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

$$\psi = \bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L \quad M_D (4.4)$$

-> 4 components

(3) Can two components be different? No  
HAS A MASS?

$\Rightarrow$  MASS  $\neq$  TELEM CHANGE CHIRALITY

$$\overline{\nu_L} \quad \overline{\nu_R}$$

$$\nu_L$$

$$C: C(\nu_L)^c = \nu_R^c$$

CHARGE CONJUGATION

$$(\nu_L)^c \rightarrow C(\bar{\nu}_L) \rightarrow \bar{\nu}_R^c$$

$$C = i\gamma^2\gamma^0$$

$$= \frac{1}{2} M (\bar{\nu}_L \nu_R^c + \bar{\nu}_R^c \nu_L) = M \bar{\nu}_L \nu_R$$

$$\nu_\mu = \nu_L + \nu_R^c$$

To move further some more theoretical consideration

WIMP - DILECTIC

Majorana

allow to introduce

left or right-handed

mass easier to work

with fermions will work

all kinds of calculations

more difficult

NOTE

giving mass you

can't distinguish left

and right handed

so fermion theory

C - operation

changes the sign

but mass not changes

fermion theory

is consistent

with mass

conservation

of fermions

is consistent

with mass

conservation

$$(1+\delta)$$

$$\delta^+ = -\delta^-$$

WHAT IS THE SENSE?

## Properties:

$$1. \bar{v}_M^c = (\bar{v}_L)^c + \bar{v}_L = \bar{v}_M$$

NEUTRINO  $\equiv$  ANTI-NEUTRINO

free Neutral  $\Rightarrow$  Majorana

2. It is impossible to introduce CONSERVING LEPTON NUMBERS

3.  $L(\mathcal{L})=1$  Only

MASS TERMS:  $|A_L| = 2$

$\Rightarrow$  effect of lepton number violation

$$4. \bar{v}_\mu = \bar{v}_L - \bar{v}_R$$

$\bar{v}$  - H.A.S

MASS TERM  $\Rightarrow + i m \bar{v}_M \bar{v}_\mu$

$\rightarrow$  different sign of leptons  $\Rightarrow$  work up to different CP particle

for each

properties

$$\bar{v}_\mu^c = - \bar{v}_\mu \quad \begin{array}{l} \text{opposite CP} \\ \equiv \text{different sign of mass} \end{array}$$

$$1. v_L \Rightarrow \bar{v}_L = i v' = e^{i\pi} v'$$

$$v_R^c = - i v_R^c$$

$$v_\mu = i(v'_L + v'_R) =$$

$$2. \boxed{\bar{v}_M = i \bar{v}_N} \quad -\text{relation}$$

for  $v_M$  - positive mass but the interaction will be changed

sign of mass can be removed by redefining field sign is important.

check THE coupling

$$m(v_L^\dagger C v_L + h.c.)$$

can be written  
check

FOR THOSE WHO KNOW  
 $\rightarrow$  INTRODUCTION OF NOTATIONS

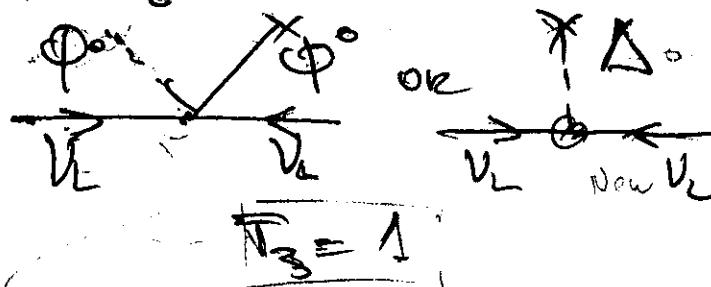
can work for neutral fermions

suppose all terms but  $M_A$  is conserved

# NEUTRINO MASSES AND SM

- NO R.H.

$\psi_l (\ell)$



effective mass

$$\frac{1}{M} \psi_C^\dagger \psi \cdot \Phi \psi \quad \leftarrow \begin{array}{l} \text{Gauge invariant} \\ \text{but non-renormalizable} \\ \text{origin?} \end{array}$$

something beyond.

- effective lagrangian

$\psi = \psi_R$

- NON-RENORMALIZABLE  
 $\Rightarrow$  GRAVITY?

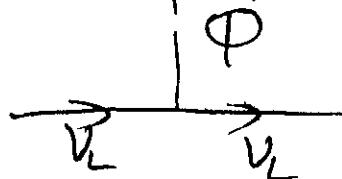
$$\mu = \mu_R$$

to back to SM

GAUGE  
SYMMETRY  
STRUCTURE

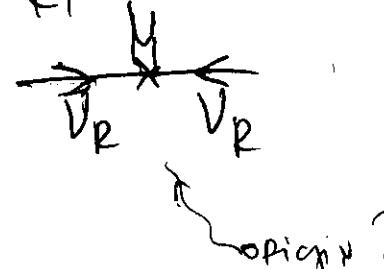
SO(10)  $\rightarrow$  SM

• WITH R.H.: DISC



$$T_3 = \frac{1}{2}$$

• RH



$$T_3 = 0$$

most general.

both Majorana AND Dirac

$$\frac{1}{2} \begin{pmatrix} V_L \\ V_C \\ V_L^c \end{pmatrix} \begin{pmatrix} M_L & M_D \\ M_D & M_R \end{pmatrix} \begin{pmatrix} V_R \\ V_R^c \end{pmatrix}$$

$\begin{pmatrix} V_L \\ V_C \\ V_L^c \end{pmatrix} M \begin{pmatrix} V_R \\ V_R^c \end{pmatrix}$  MASS MATRIX

$$\begin{pmatrix} V_L & V_C^c & V_L \\ V_L & \cdot & \cdot \\ V_C^c & \cdot & \cdot \end{pmatrix} \xrightarrow{\text{diag}} \begin{pmatrix} \frac{1}{2}(M_L + M_R) & & \\ & \frac{1}{2}(M_L - M_R) & \\ & & M_C \end{pmatrix} \begin{pmatrix} V_L & V_R \\ V_R^c & V_R \end{pmatrix}$$

Dependent on relations between the elements  
 $M_L, M_R, M_D$  — different

special cases:

$M_L, M_R \ll M_D$

$\rightarrow$  pseudodirac  
Neutrino

\* SEE-SAW

Suppose there is  $V_0$   
minimal structure:

$\Delta_0$

$$M_L = 0$$

induced small

AND NEUTRINOS HAVE

USUAL DIRAC MASS TERM

$$M_D \sim M_e, M_\nu$$

NATURAL

$V_R$  HAVE MAJORANA MASS TERMS

$$M_R \gg M_D$$

$\Rightarrow$  DIAGONALIZATION gives

$$M_e = -\frac{M_D^2}{M_R}$$



SEE-SAW relates

SHALLNESS  
OF  
MASSSES  
IN COMPARISON

NEUTRINO  
NEUTRALITY

•  $\nu$  - Neutral

$v_R$

MAY HAVE  
MAJORANA  
MASS

$v_R$  IS  
SINGLET OF  $SL_2 \times U_1$

$v_R$  IN UNPICTURABLE

$v_R$ :

$M_D$

?

AGAIN

unprotected

b - is violated by  $v_R$ 's term  
OF  $v_R$ .

→ explicit (BARE MASS TERM)

→ SPONTANEOUS

$v_R^T C v_R S$

MAJORON

(SPONTANEOUS VIOLATION)

↑ MAJORON

⇒ CAN BE GAUGED AS  $INSO_{10}$

(in SUSY)

→ GRAVITY effects

→ BOUNDS ON  
SCALE OF  
SPONTANEOUS  
VIOLATION

Mixing with  $h_A$ .

$$\frac{m_D}{M_P} \ll 1$$

if  $v_R$  light  $\Rightarrow$  mixing  
bound,