



SMR. 767 - 4

**MINIWORKSHOP ON STRONG CORRELATIONS
AND QUANTUM CRITICAL PHENOMENA
(4 - 22 July 1994)**

MOTT TRANSITIONS - UNIVERSALITY AND VARIETY

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These are preliminary lecture notes, intended only for distribution to participants.

MOTT TRANSITIONS

— UNIVERSALITY

&

VARIETY

M. IMADA

ISSP TOKYO

§1. INTRODUCTION

§2. 2D FERMION CASE

- (I) Mass or Density ?
- (II) Spin-Charge Separation
- (III) Growth of Correlation
— Criticality

§3. General Theory

- (I) 1D
- (II) 2D Bosons
- (III) Classification
- (IV) Critical Analysis of Existing Theories

§4. EXPERIMENTAL ASPECTS

- (I) Phenomenological Theory of Spin Correlation
- (II) Two Types of Mott Transitions
 - Charge Crossover and Spin Gap in High- T_c Cuprates

1. Introduction

$$\left. \begin{array}{l} \text{gap} \\ \chi = 0 \end{array} \right\}$$

INCOMPRESSIBLE STATES formed
by many-body interaction

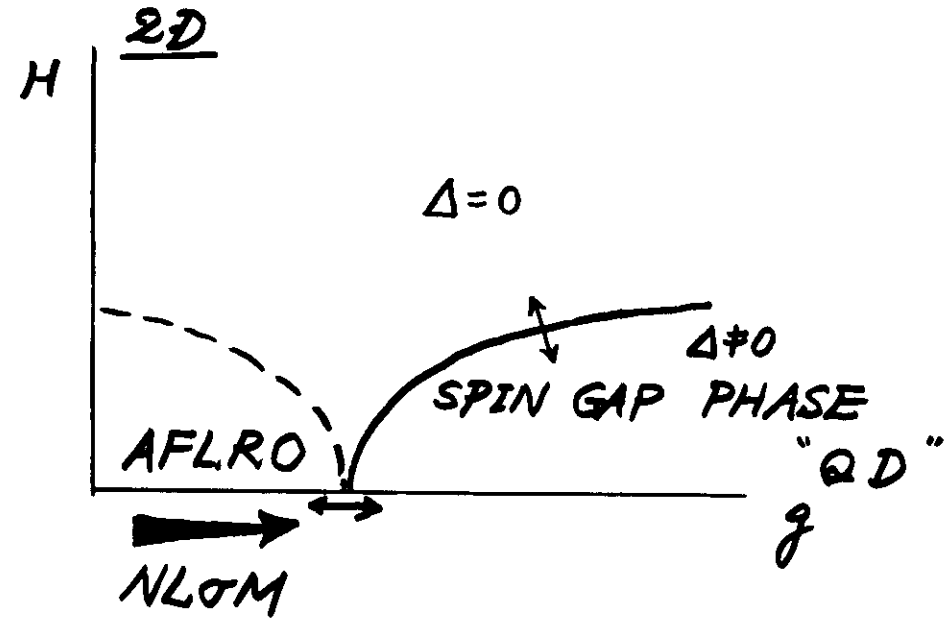
Mott insulator charge incompressible

$\left\{ \begin{array}{l} \text{fermion} \\ \text{boson} \end{array} \right.$

spin gap state spin incompressible

- 1D • spin integer Haldane
- spin half-integer + dimerization
 - spin half-integer + frustration
 - even-number chain

- 2D • dimerization
- two-layer



Chakravarty, Halperin & Nelson

FQH state charge incompressible

Quantum Liquid $\longleftrightarrow$ Incompressible States
 $\uparrow$
 Transition

- Interest on "critical phenomena" at $T=0$
- Probe for investigating the nature of quantum liquid

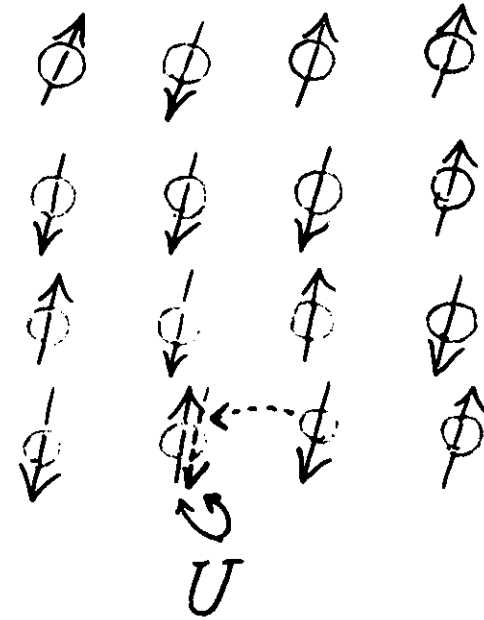
• Realization of anomalous quantum liquid example

1D Hubbard, Tomonaga-Luttinger liquid
 spin-charge separation

$\chi_c, \chi_s, C, D, \dots$

$\left\{ \begin{array}{l} \chi_c \neq \chi_s \text{ at transition} \\ \text{spin, charge correlation} \end{array} \right.$

$$\sigma(\omega) = D\delta(\omega) + \sigma_{reg}(\omega)$$



TRANSITION TO MOTT INSULATOR

1937 de Boer Verway NiO

Peterls "Strong Correlation"

Mott

1949 Mott

Hubbard

70's Brinkman Rice

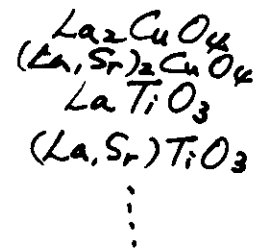
Hasegawa - Moriya



slave particle

∞D

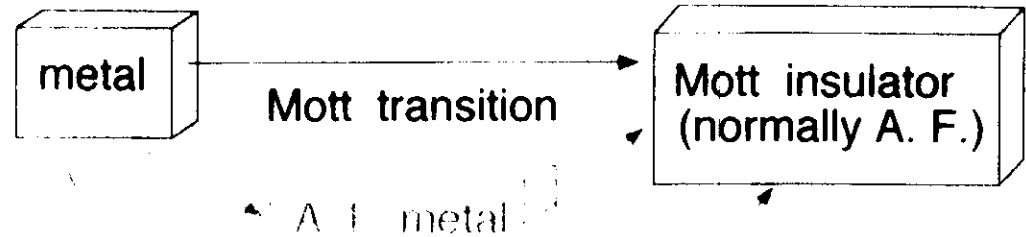
QMC



Mott insulator: integer number of electrons per unit cell (or site)

band not filled

Mott 1949 NiO



Anderson localization

typical examples of Mott transition
 $(\text{V}, \text{Ti})_2\text{O}_3$, $(\text{La}, \text{Sr})\text{TiO}_3$, cuprates

Note : two possible routes

1. change in doping

2. change in U/t pressure

§ 1. INTRODUCTION

RICHNESS OF THE TRANSITION

- route

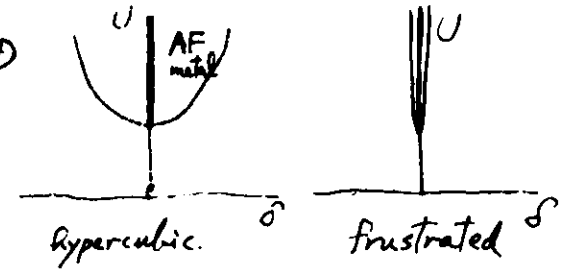
U/t

Pilling

- magnetic order

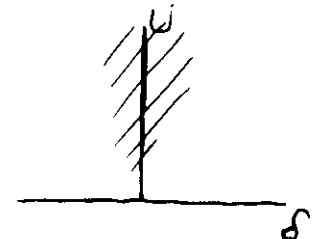
	1D	2D	3D	∞D
AF. M.	X	X	O	O
Ferrom.	X	X	O	O

3D, ∞D



- randomness

"Mott Anderson Transition."



- electron-phonon interaction $\leftrightarrow$ Peierls transition cf. DCNQ salt
- phase separation in short-ranged interaction model

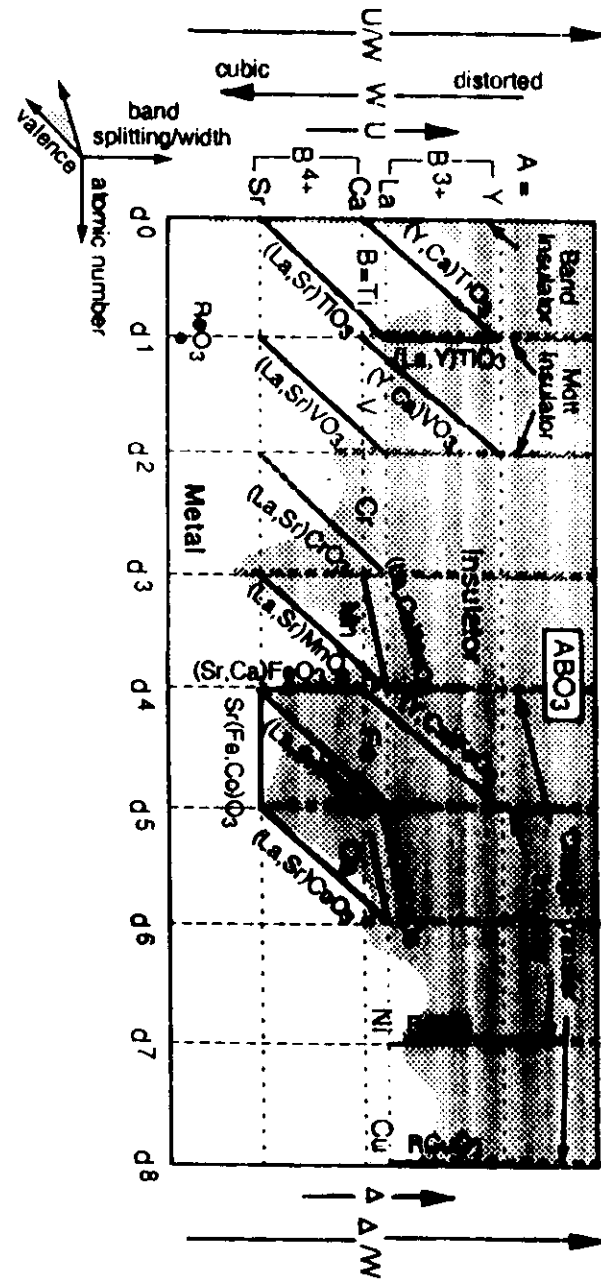


Fig. 1: Various ternary and pseudo-ternary perovskite-type oxides plotted against the A-site cation and the d-band filling n . Band-gap closure occurs along vertical lines; valence control is realized along oblique directions and atomic-number control along the horizontal direction.

Order of the transition

half-filling (change in t/t_0)

electron-phonon

long-ranged Coulomb $\rightarrow$ (Mott) insulator

electron-phonon

...

order of the transition (change in t/t_0)

electron-phonon

3-73 図 ヨランダム構造
黒丸: 陽イオン, V^{3+} ,
白丸: 陰イオン, O^{2-}

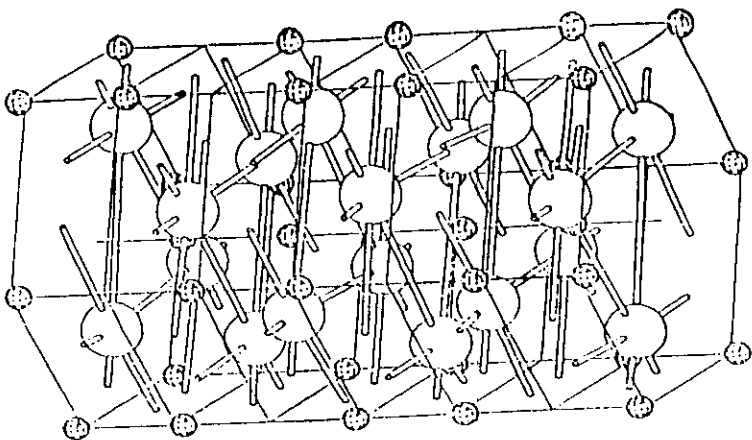
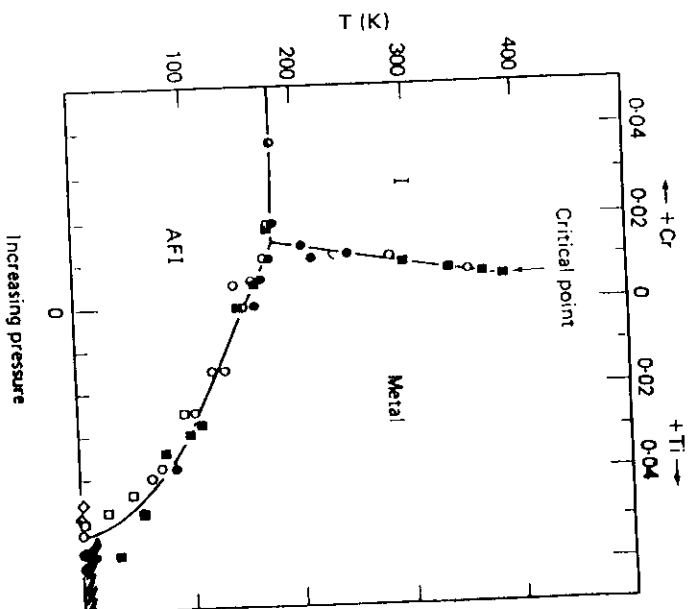
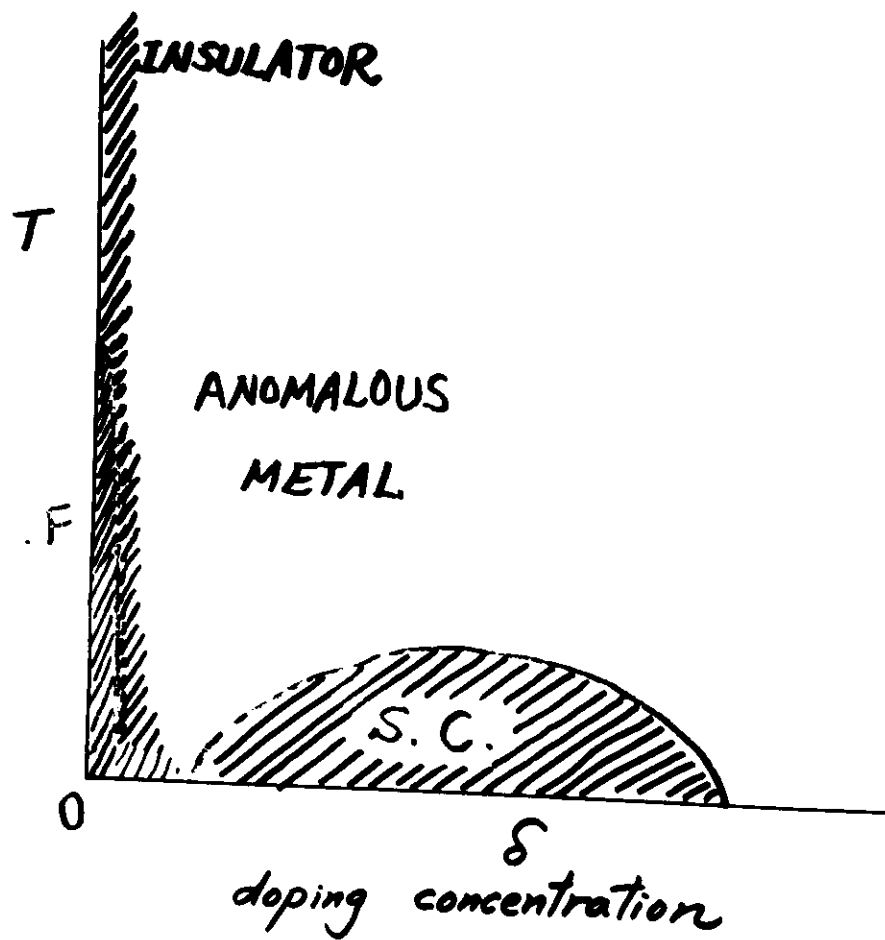
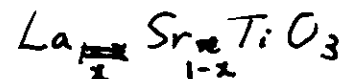
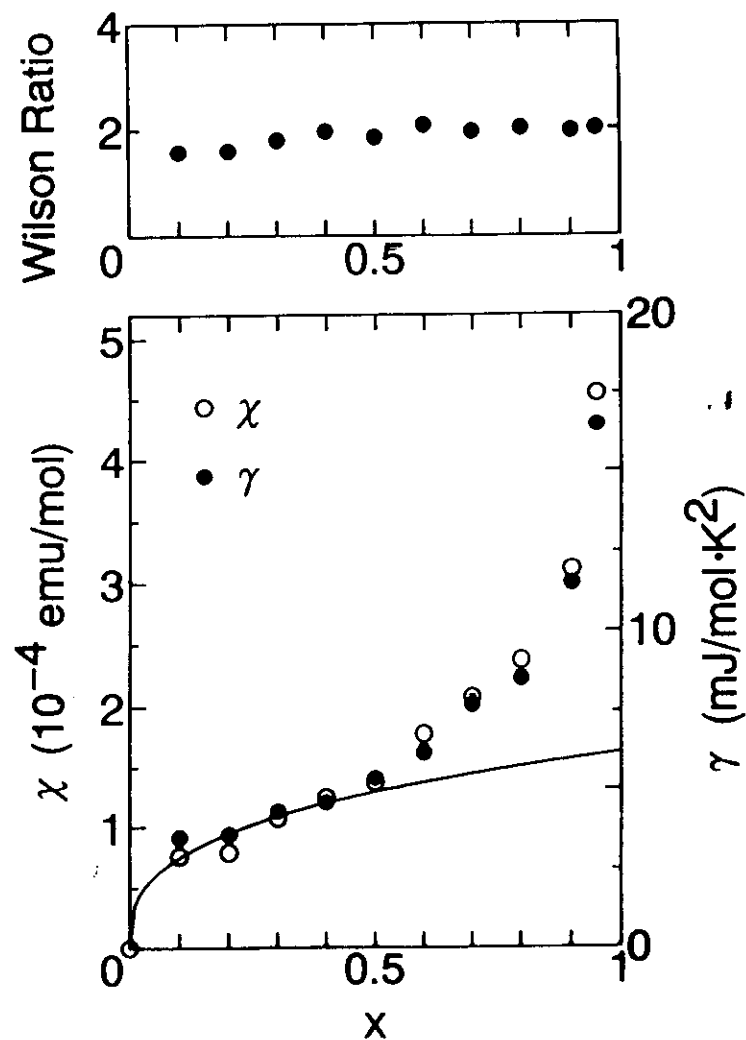


Fig. 6.3 Generalized phase diagram of the metal-insulator transition in V_2O_5 as a function of doping with Cr or Ti and as a function of pressure, showing the critical point (McWhan et al. 1971).



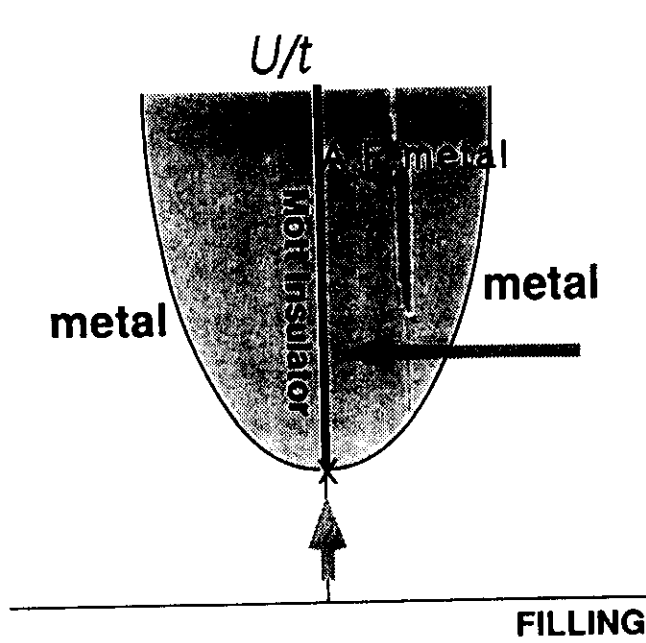


BASIC PHASE DIAGRAM
OF CUPRATES

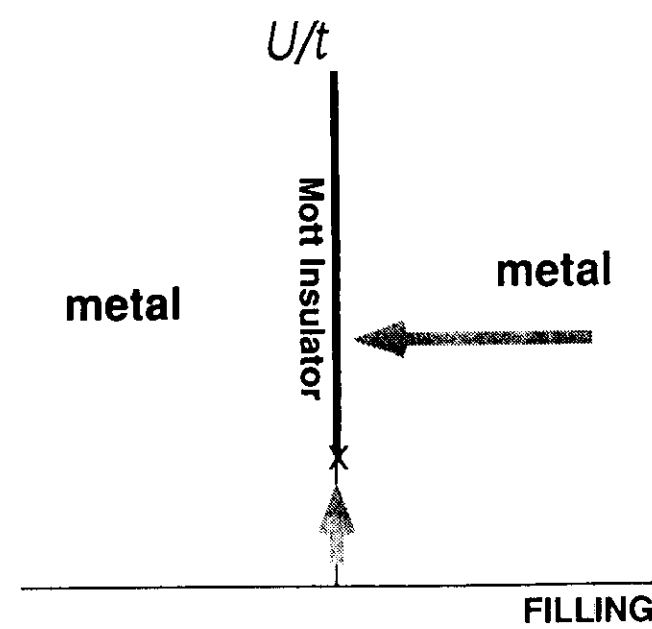


Tokura et al.

Fig. 3 Tokura et al.



Two Routes to the Mott Insulator



Two Routes to the Mott Insulator

4. A PARADIGM OF THE MOTT TRANSITION

PARAMAGNETIC METAL $\longleftrightarrow$ MOTT INSULATOR

SUPPRESSION OF

1) RANDOMNESS : ANDERSON LOCALIZATION

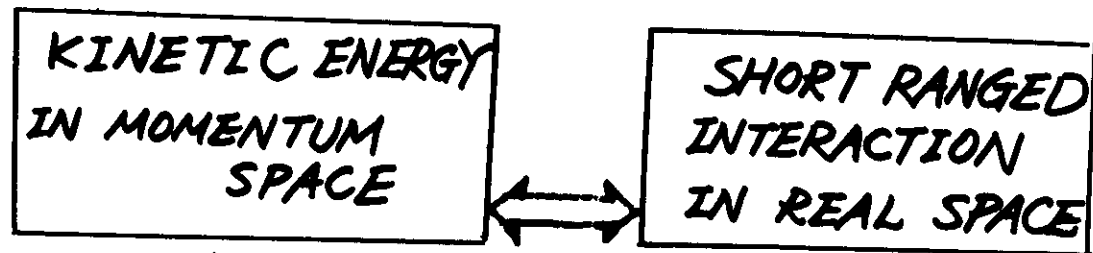
- more than or equal to 4 components
in transition metal oxides
- careful choice of doping centers

2) ANTIFERRIMAGNETIC METAL

- low dimensionality
- careful analysis of lattice structure and orbital degeneracy

3) LATTICE DISTORTION
Structural phase transition

(I)



COMPETITION

METAL $\longleftrightarrow$ **INSULATOR**

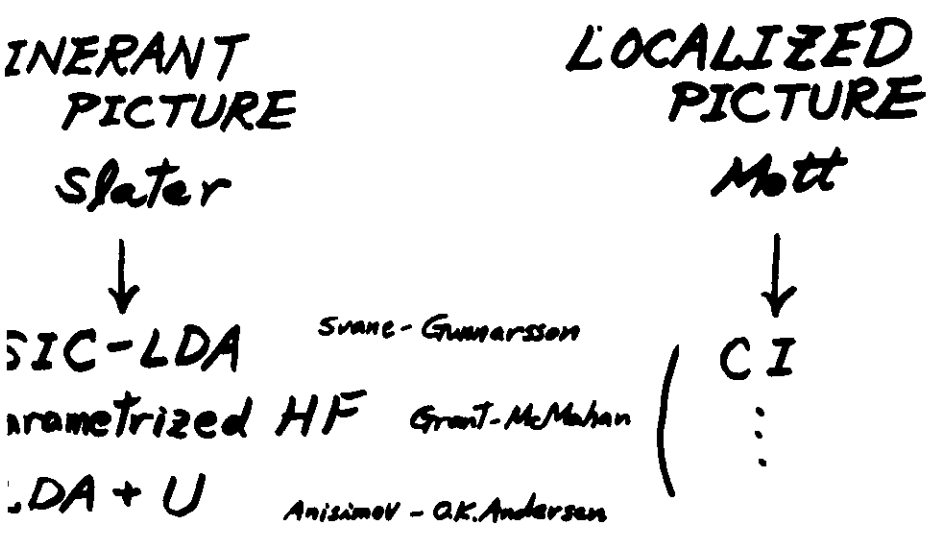
- METAL-INSULATOR TRANSITION
- ANOMALOUS METAL

TMO , HTC

bridge $\nearrow$ "band structure people"
 $\searrow$ "strong correlation people"

-called 'Mott insulator'

GROUND STATE OF THE INSULATOR

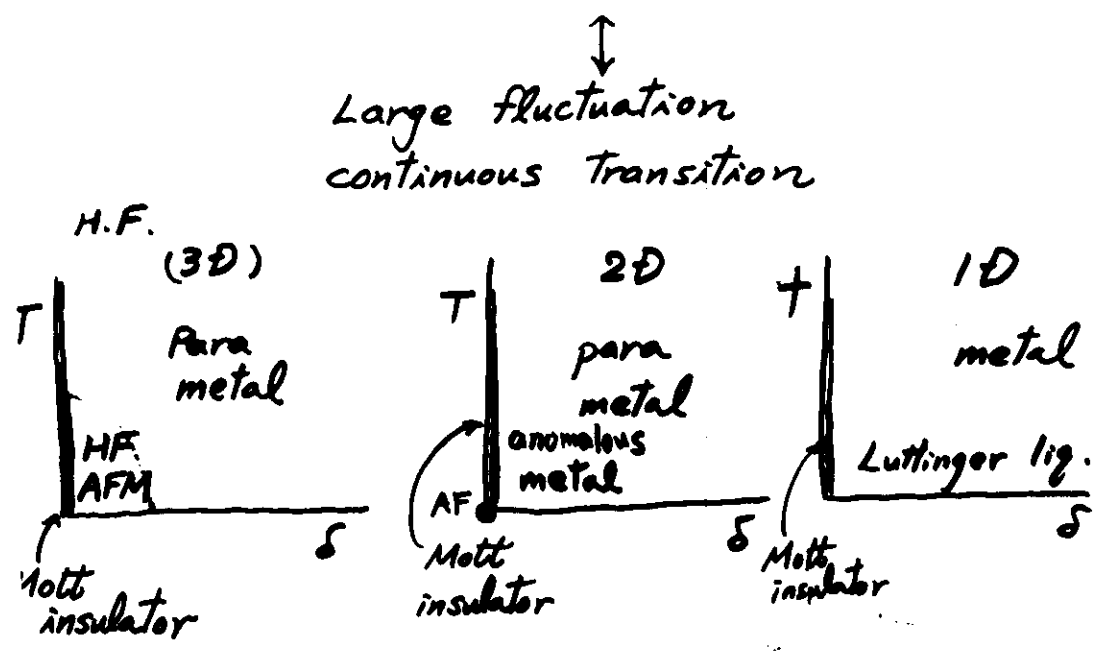


usual circumstances, it is hard to decide superiority between itinerant and localized at $T=0$

single particle description is qualitatively valid

DOPING "BAND PICTURE"

quasi-particle description with Luttinger theorem ← continuously separated → Mott insulator · AF insulator · strongly hybridized insulator



MOTT INSULATOR

SPIN GAP $\Delta_s \neq 0$ { "valence bond insulator"
strong hybridization
↓
low spin state @ NaCuO_2
@ dimerization
• 2-layer
• 2-chain
 $\Delta_s = 0$, no symmetry breaking
• 1D

(AF) symmetry breaking
• La_2CuO_4
• LaTiO_3
•

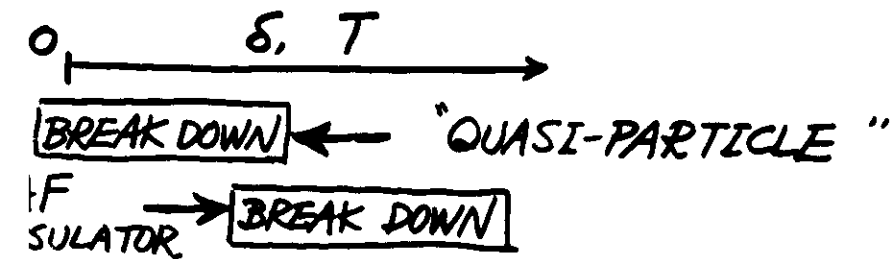
1) { EXCITATION FROM THE INSULATOR
DOPING → ANOMALOUS METAL
EXCITATION
spin gap $\neq$ charge gap

↔ X ↔ "band picture"
Koopman

{ AF insulator → Goldstone mode
"valence bond insulator"
Kondo insulator

RECENT TRENDS

MORE ANOMALOUS STATE!
MORE FLUCTUATION!



RELEVANT QUANTITIES

Drude weight D

$$\sigma(\omega) = D \delta(\omega) + \sigma_{reg}$$

$$D \propto \frac{n}{m^*}$$

$$D=0 \text{ at } \delta=0$$

compressibility κ

$$\kappa = \frac{1}{V} \frac{\partial V}{\partial P} = \frac{1}{n^2} \chi_c$$

$$\kappa=0 \text{ at } \delta=0$$

incompressible

$$\chi_c = \frac{\partial n}{\partial \mu}$$

density of states $N(\omega)$ $\Delta_c \neq 0$

uniform susceptibility χ

specific heat coefficient γ

⋮

HOW DOES A METAL BREAK DOWN TO AN INSULATOR ?

||

HOW DOES AN INSULATOR GROW UP FROM A METAL ?

(1) MASS or DENSITY ?

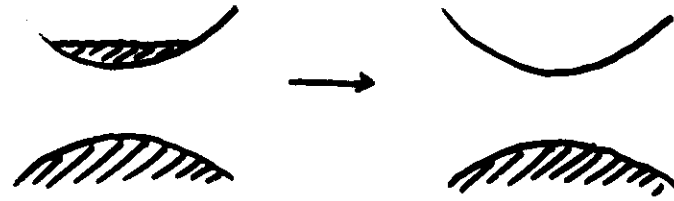
$$\sigma(\omega) = D \delta(\omega) + \sigma_{reg}$$

$$D \propto \frac{n_c}{m_c^*} \leftarrow \text{single particle picture}$$

$$\rightarrow 0 \left\{ \begin{array}{l} n_c \rightarrow 0 \\ m_c^* \rightarrow \infty \end{array} \right.$$

M.I. J.P.S.J. 62 (1993) 1105

METAL TO BAND-INSULATOR TRANSITION



$$n \rightarrow 0$$

~~$$m_c^* \rightarrow \infty$$~~

$$\delta \rightarrow +0$$

$$D \rightarrow 0$$

$$\kappa \rightarrow \begin{cases} \infty & (1D) \\ \text{const} & (2D) \\ 0 & (3D) \end{cases}$$

$$\chi$$

$$\delta$$

charge susceptibility

(charge compressibility)

= a direct probe to see the charge mass m_c^*

$T=0$

$$\mu = - \frac{\partial E_g}{\partial n}$$

$$\chi_c = \frac{\partial n}{\partial \mu}$$

$$\kappa = - \frac{1}{V} \frac{\partial V}{\partial P} = \frac{1}{n^2} \chi_c$$

cf. isotropic Fermi liquid

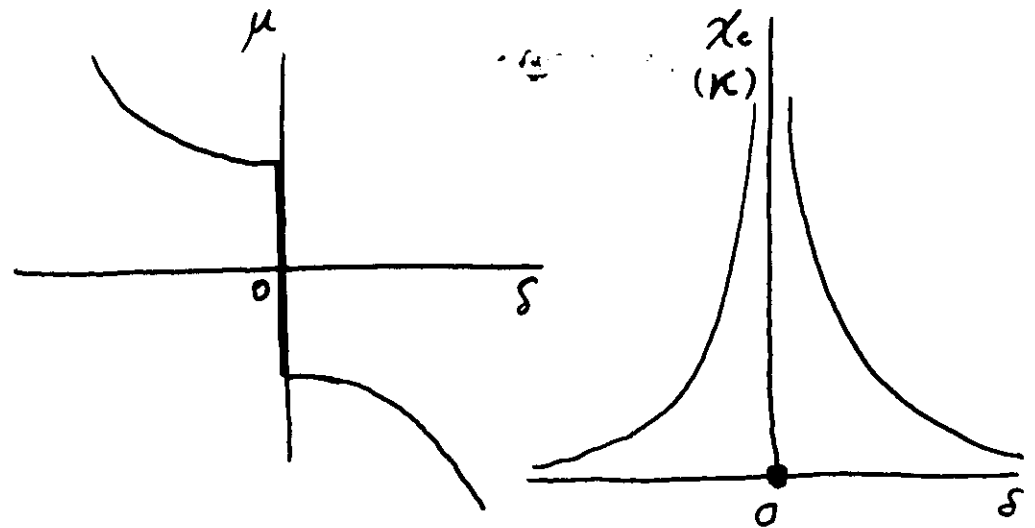
$$\chi_c = N(0) \frac{1}{1 + F_0^a} \propto m^*$$

$$\chi_c = N(0) \frac{1}{1 + F_0^a}$$

$$\chi_c = \frac{1}{N} \int_0^\beta \sum_i n_i(\tau) n_j(0) d\tau$$

localization $\longleftrightarrow$ charge mass
attractive interaction { phase separation
pairing

Metal-Insulator Transition in the Hubbard Model

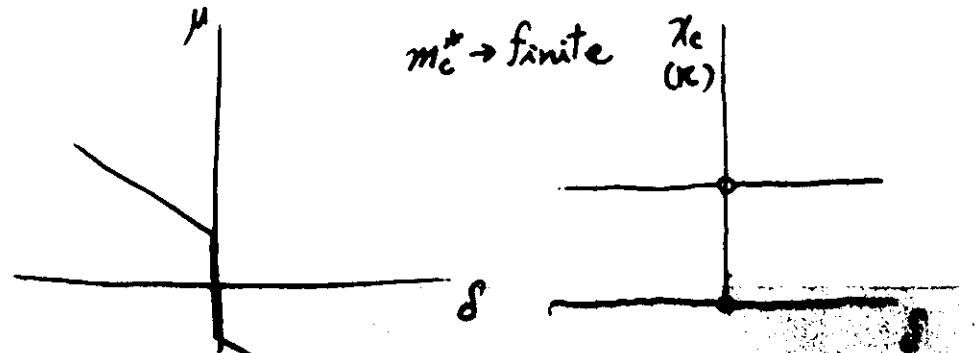


$$\chi_c \sim 1/|\delta| \quad \delta \neq 0$$

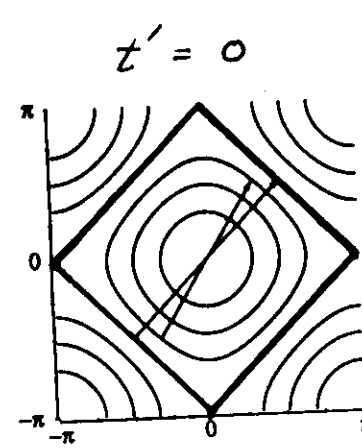
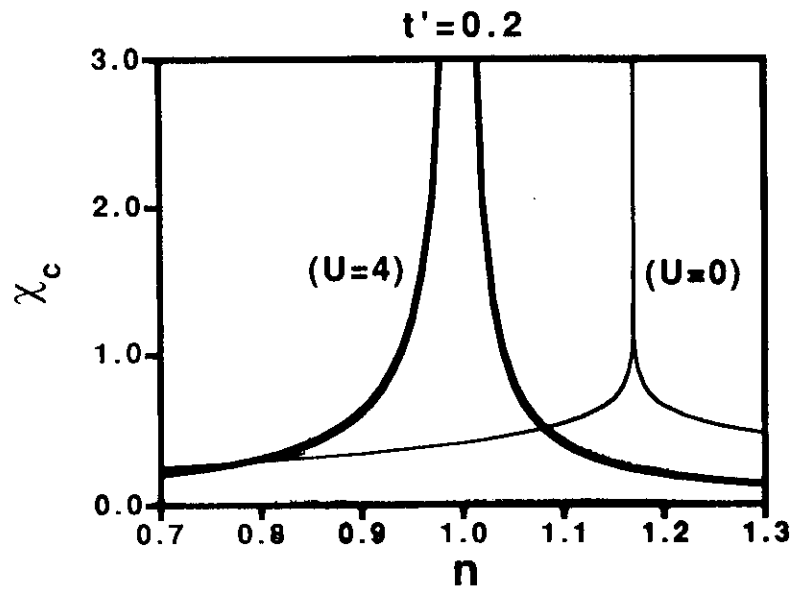
$$\chi_c = 0 \quad \delta = 0$$

$$m_c^* \rightarrow \infty \quad \text{as } |\delta| \rightarrow 0$$

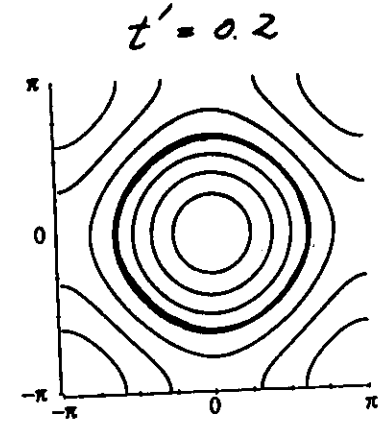
2D Metal-Band Insulator Transition



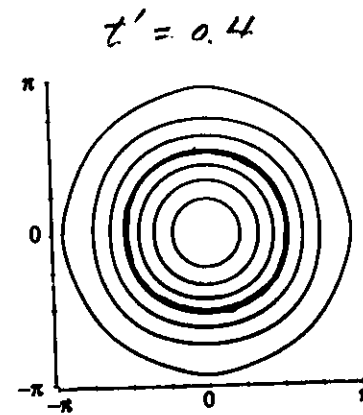
Energy Contour Line of Noninteracting System



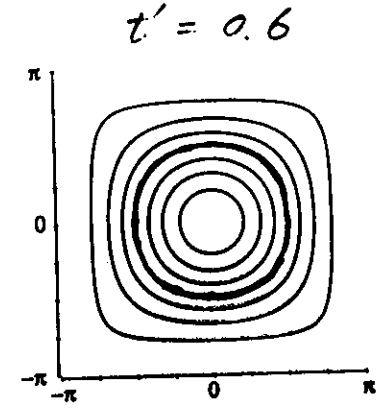
(a)



(b)



(c)



(d)

Figure 15

Monte Carlo results of 2D Hubbard

$$u = -J_c - a t^2 \quad \delta = 1 - n$$

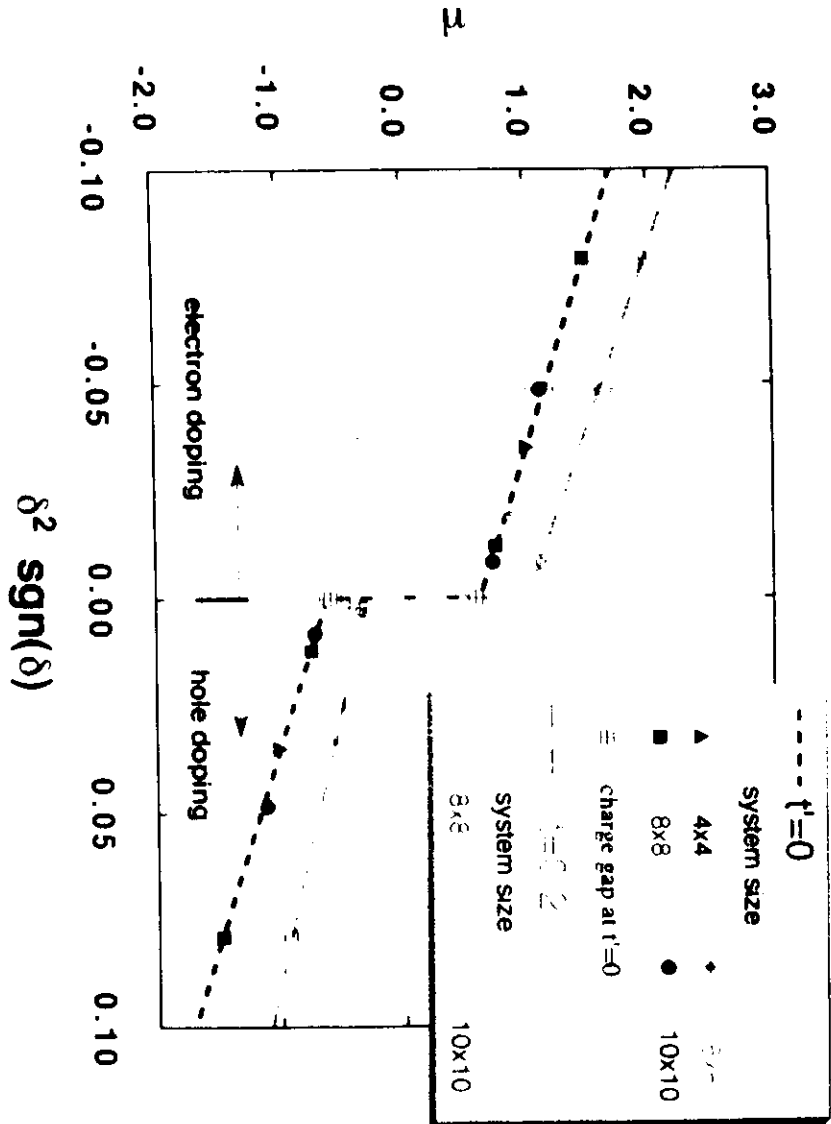
$$J_c \propto t^4$$

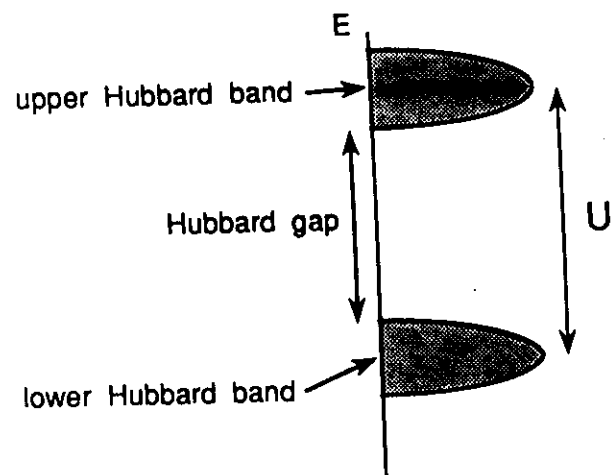
$$\text{large mass } m_c^* \propto t^{-1}$$

$$t' \neq 0$$

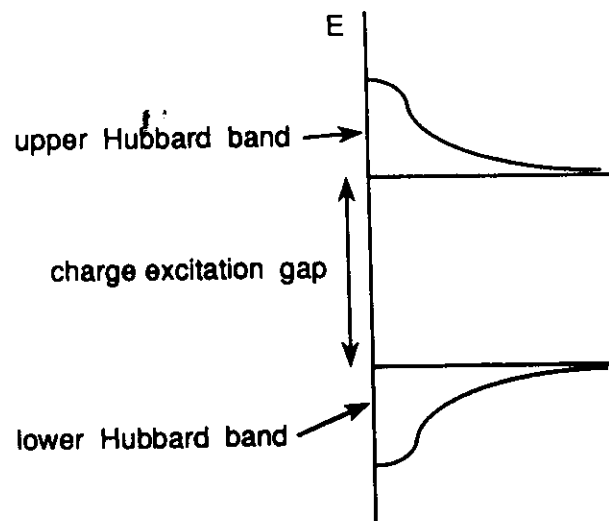
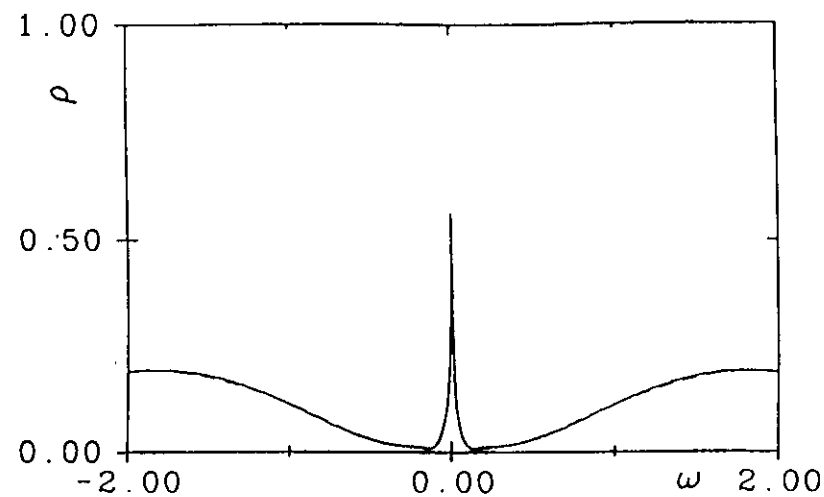
~~electron-hole symmetry~~

~~van-Hove singularity at F.F.~~



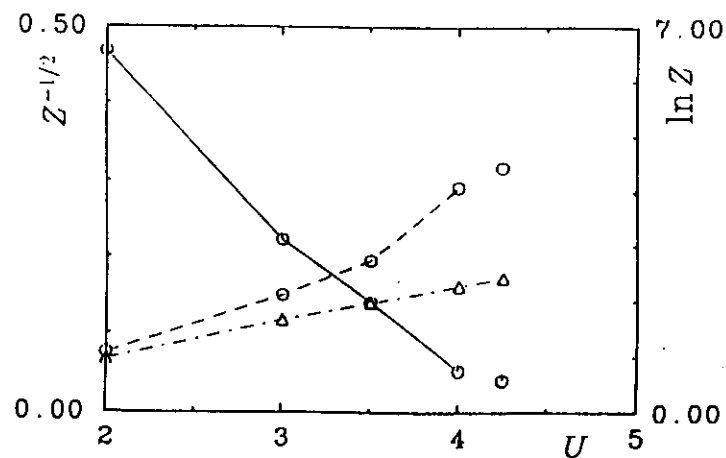


DENSITY OF STATES IN THE HUBBARD APPROXIMATION



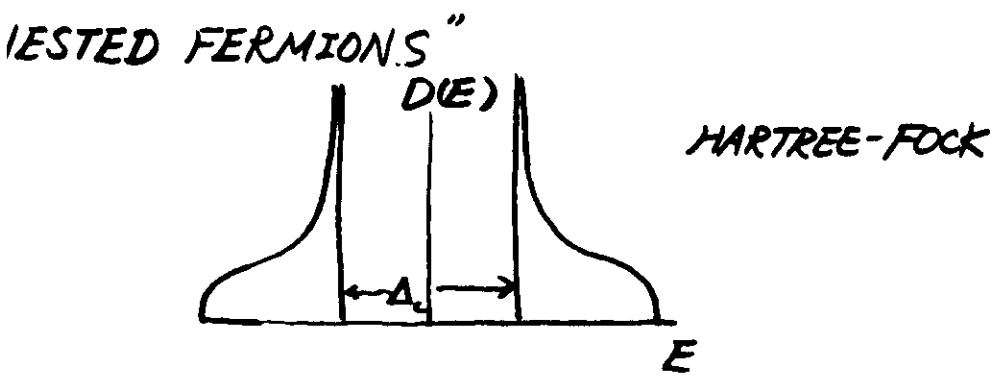
CHARGE

SCHEMATIC DENSITY OF STATES OF THE HUBBARD MODEL



Sakai & Kuramoto

THE MECHANISM OF MASS DIVERGENCE IN MULTI-COMPONENT SYSTEMS



k-independent gap ↔ square root singularity in D(E)

↑

destruction of AFLRO immediately upon doping

Ordering as "entropy releasing process"

↓ law of thermodynamics ↗ cf. ∞D

↑

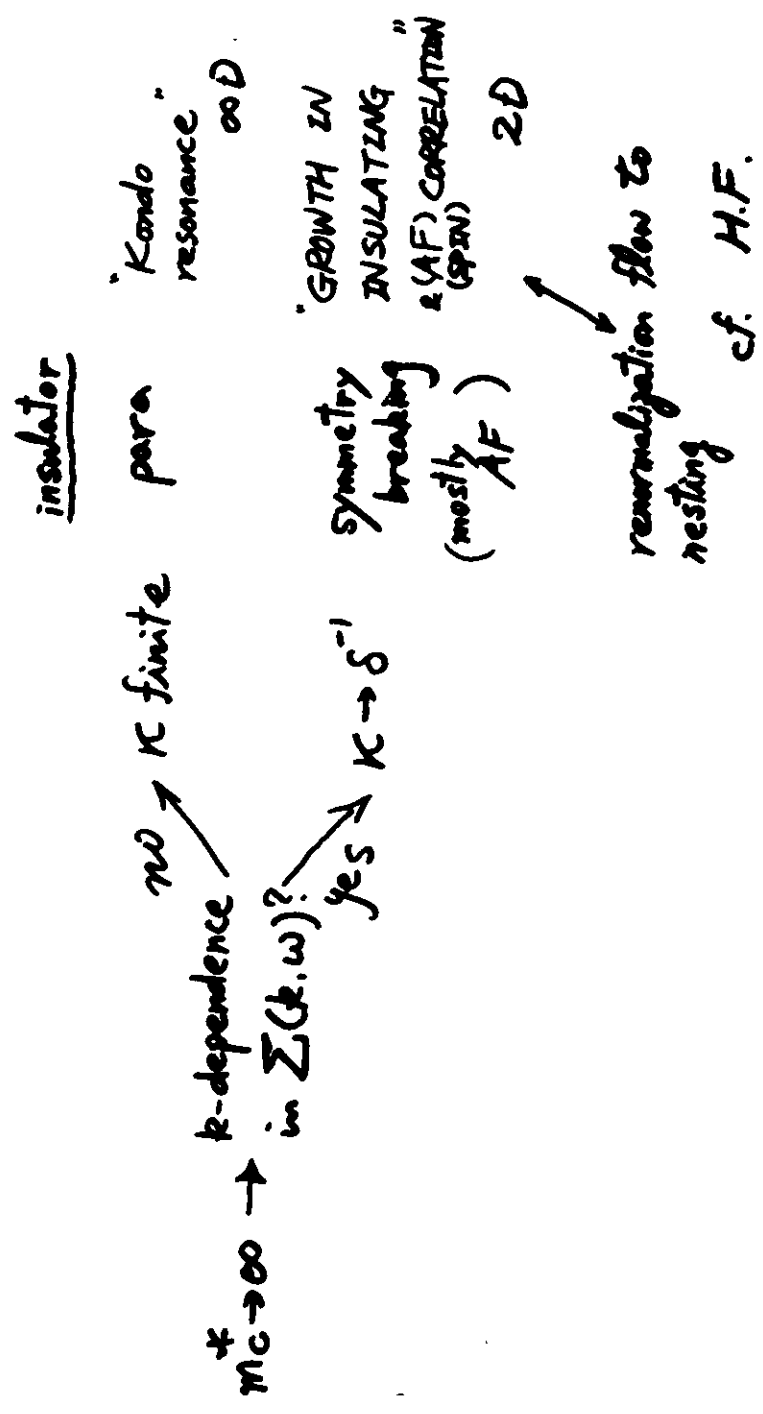
the kind of ordering in insulator

↓

mass enhancement in metal

"Kondo resonance"

THE MECHANISM OF MASS DIVERGENCE



(II) SPIN-CHARGE SEPARATION

spin gap $\neq$ charge gap

$\leftrightarrow$ band picture

spin response $\neq$ charge response

TYPE 1

$$\begin{cases} \chi_c \rightarrow \delta^{-1} \\ \chi_s \rightarrow \text{finite} \end{cases}$$

TYPE 2

metal, superconducting

$$\begin{cases} \chi_c \text{ finite} \\ \chi_s = 0 \end{cases}$$

$\rightarrow 1D$

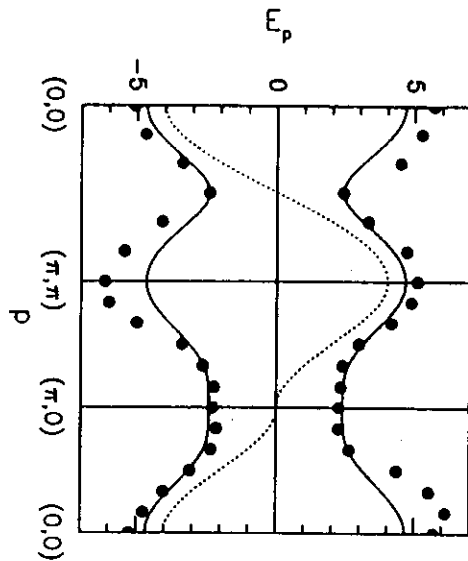


Fig. 4

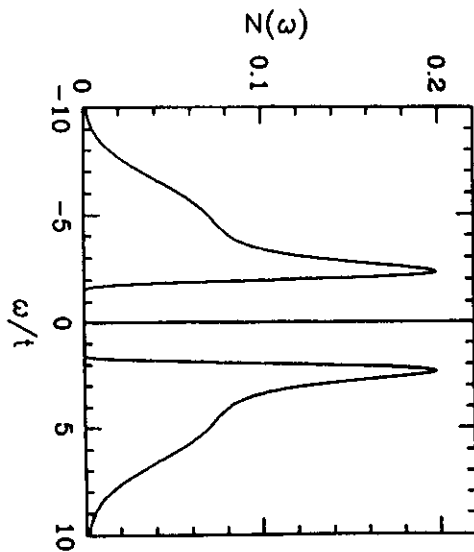
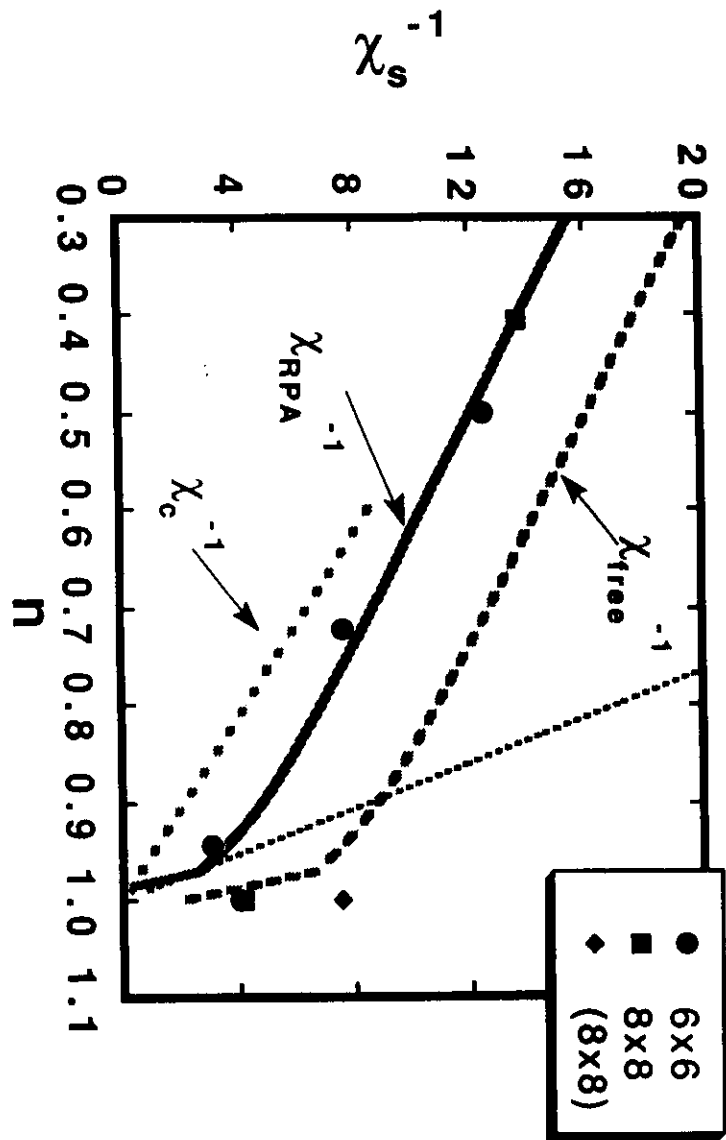


Fig. 5

GENERAL THEORY

1 D case

- fermion Hubbard
- bosons



hard-core boson

$$\mathcal{H} = -t \sum_{\langle ij \rangle} (b_i^\dagger b_j + \text{H.c.})$$

$$\begin{aligned} \mathcal{H} = & -t \sum_{\langle ij \rangle} (b_{is}^\dagger b_{js} + \text{H.c.}) \\ & + J_{xy} \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y) \\ & + J_z \sum_{\langle ij \rangle} (S_i^z S_j^z + S_i^z S_j^z) \end{aligned}$$

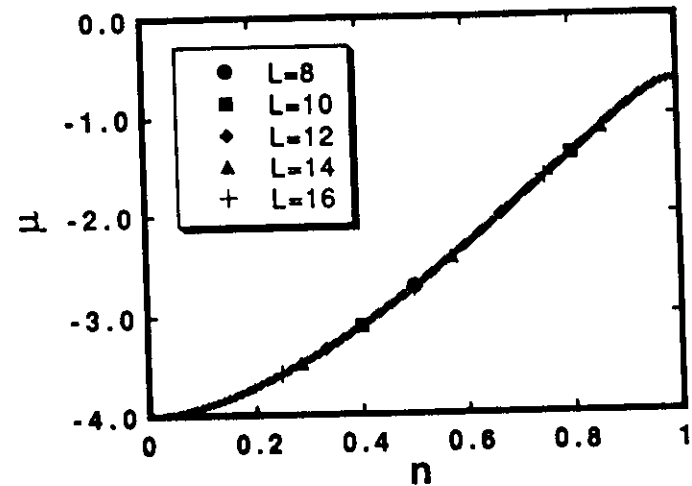
2-component hard-core boson $J_{xy} = J_z = 0$
(color flavor)

boson t - J $J_{xy} = J_z > 0$

modified boson t - J $-J_{xy} = J_z > 0$

boson t - J_z $J_{xy} = 0, J_z > 0$
(Ising boson t - J)

1D Hubbard



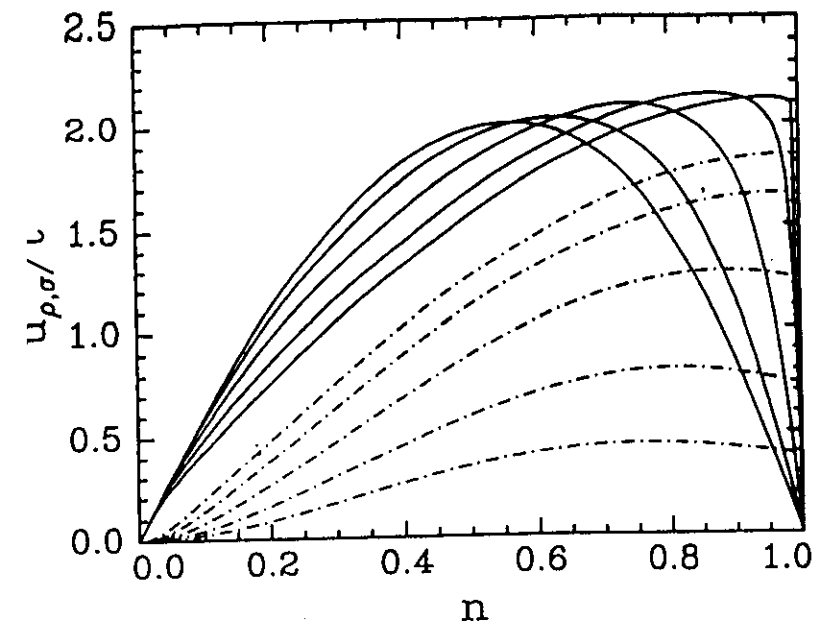


Figure 1: The charge and spin velocities u_p (full line) and u_s (dash-dotted line) for the Hubbard model, as a function of the band filling for different values of U/t : for u_p , $U/t = 1, 2, 4, 8, 16$ from top to bottom, for u_s , $U/t = 16, 8, 4, 2, 1$ from top to bottom in the left part of the figure.

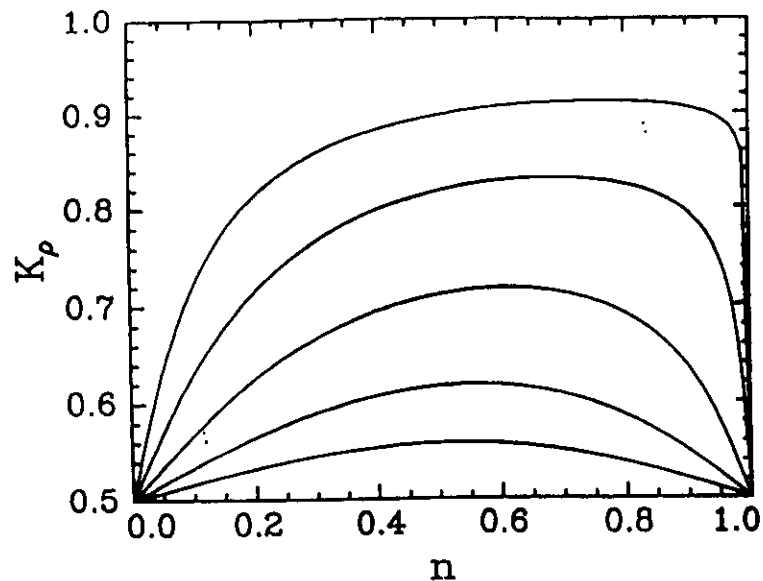


Figure 3: The correlation exponent K_p as a function of the bandfilling n for different U/t values (1, 2, 4, 8, 16 for the top to bottom curves). Note the rapid variation

fermion Hubbard χ_c γ χ_s
 $t-J$ δ^{-1} δ^{-1} const

$\delta \rightarrow 0$ $v_c \rightarrow 0$
 $v_s \rightarrow \text{finite}$

hard core boson

$$\mathcal{H} = -t \sum_{\langle ij \rangle} b_i^\dagger b_j$$

$$\left[\begin{array}{l} \longleftrightarrow XY \text{ model} \\ \text{Katsura} \quad m = \frac{1}{\pi} \sin^{-1} \left(\frac{H}{J} \right) \end{array} \right.$$

$$\begin{cases} S^z = 1/2 \rightarrow n=1 \\ S^z = -1/2 \rightarrow n=0 \end{cases} \quad J S_i^+ S_j^- \rightarrow t c_i^\dagger c_j$$

$$\chi_c = \frac{2}{\pi} \frac{1}{\sqrt{t^2 - \mu^2}}$$

$$\chi_c \propto \delta^{-1}$$

$$\gamma \propto \delta^{-1}$$

hard core boson $C \propto T \leftarrow E \propto \varrho$
 free boson $C \propto T^{1/2} \leftarrow E \propto \varrho^2$
 mapping between $\delta \longleftrightarrow 1-\delta$

1D

All the Mott transitions have the same feature

$$\begin{cases} \chi_c \propto \delta^{-1} \\ \gamma \propto \delta^{-1} \\ \chi_s \sim \text{const.} \end{cases}$$

① Mott transition $\longleftrightarrow$ band insulator transition
 $\chi_c \propto \delta^{-1}$ • band insulator transition
 $\gamma \propto \delta^{-1}$ • interacting bosons
 $n \rightarrow 0$

② "spin-charge separation"
 $\chi_c \leftrightarrow \chi_s$

fermion Hubbard.
 $t - J$

hard-core boson

"two-color" hard-core boson

boson $t - J$

§ 2.2 2D

fermion	χ_c	γ	χ_s
Hubbard	δ^{-1}	(δ^{-1})	const

hard core boson $\chi_c^* \propto \delta^{-1}$

$\chi_c \sim \text{const.}$ $C \propto T^2$ $\leftarrow E \propto g$
 p. h. transformation $C \propto T$ $\leftarrow E \propto g^2$
 $\delta \leftrightarrow 1 - \delta$ at $n=1$

(color) $n \rightarrow 0$ c.f. spinless fermion
 "two flavor" hard core boson

the same as "one flavor" boson

same ground state distinguishable
 $\downarrow$
 boson

boson $t - J$

• "antiferromagnetic" order at $n=1$

• $\chi_c \rightarrow \infty$

• $\chi_s \sim \text{finite}$

"flavor"-charge separation

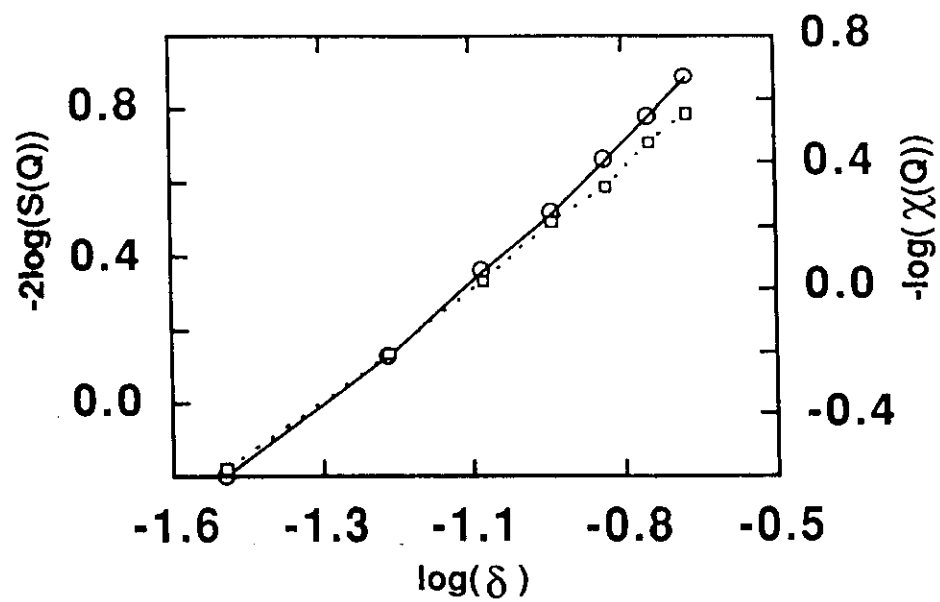
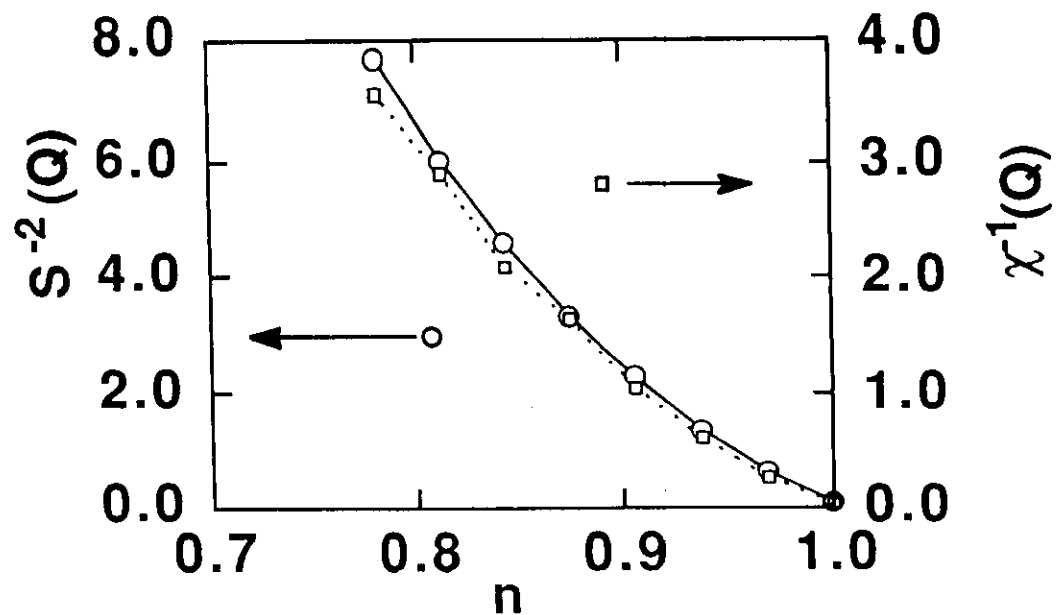
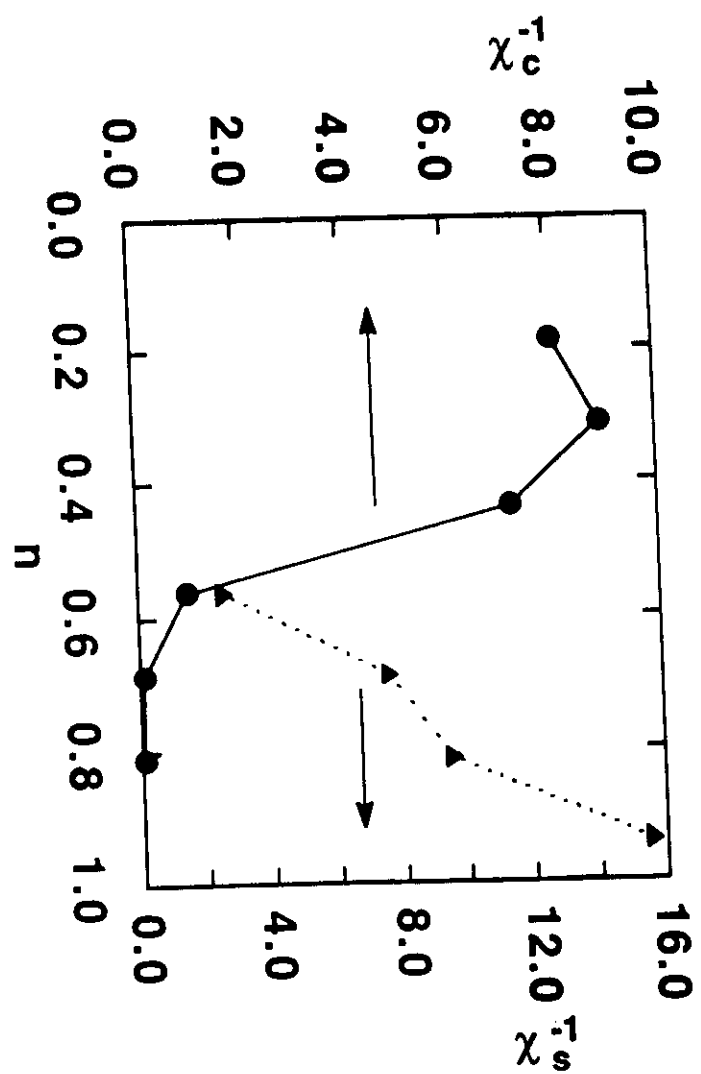
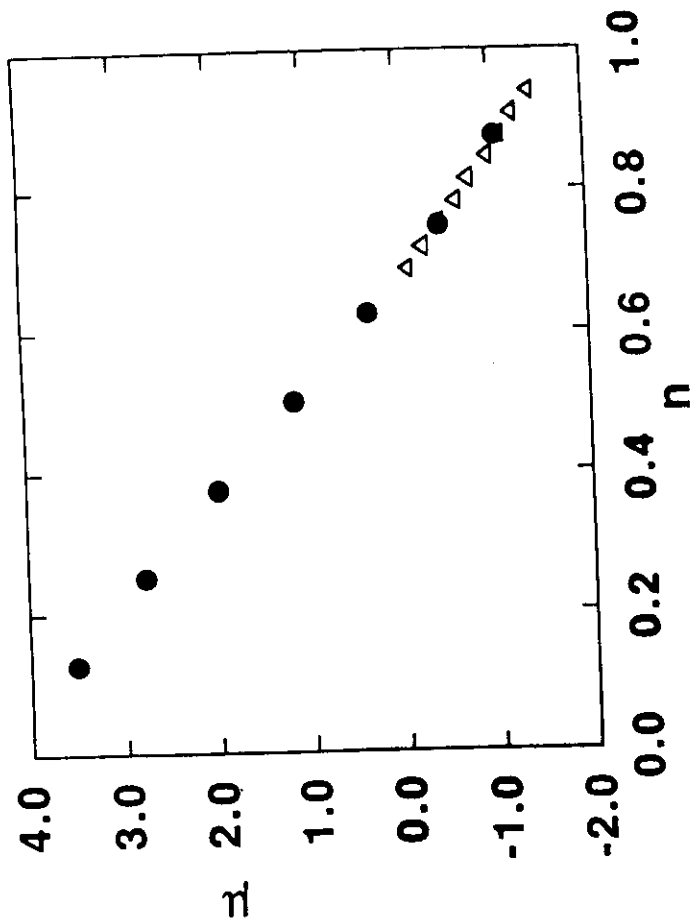


Fig. 52



M. Z. M. Z. (2)

Fig. 6

General theory of Mott transitions

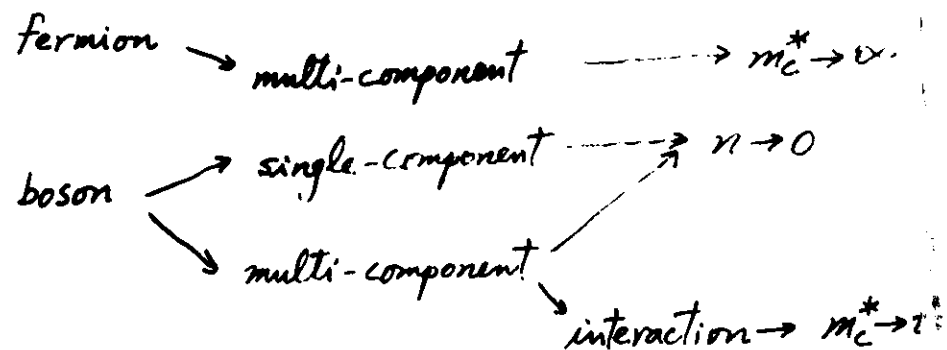
statistics
components
dimensionality
interaction

at least useful classification

$$\begin{cases} m_c^* \rightarrow \infty \\ n_c \rightarrow 0 \end{cases}$$

1D universal. χ_c γ D
 χ_s

2D variety



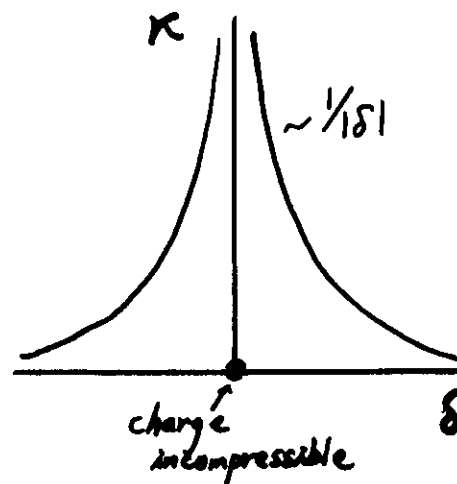
Mott insulator	M-I transition	metal	more generally
spin gapless AF insulator	TYPE 1 $m_C^* \rightarrow \infty$	spin gapless metal eg. fermi liquid Tomonaga-Luttinger liq.	multi-component quantum fluid
spin gap - valence band insulator strongly hybridized insulator	TYPE 2 $n_c \rightarrow 0$	spin gap metal $\Rightarrow$ super cond. at $T=0$	1-component quantum fluid superconductivity or superfluid

CHARGE COMPRESSIBILITY

$$\kappa = \frac{1}{V} \frac{\partial V}{\partial P} = \frac{1}{n^2} \chi_c$$

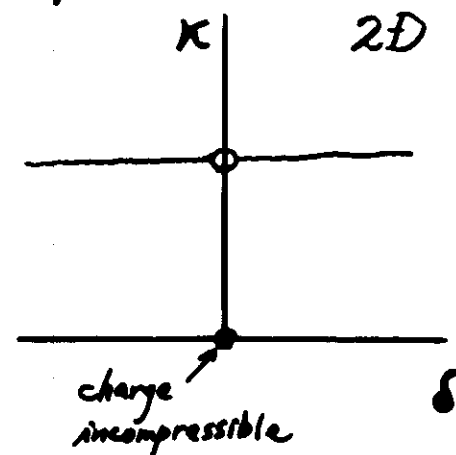
$$\chi_c = \frac{\partial n}{\partial \mu}$$

$\kappa = 0$ at $\delta = 0$: insulator incompressible



TYPE 1

$$\text{if } \kappa \rightarrow \delta^{-1} \Rightarrow m_C^* \rightarrow \infty$$

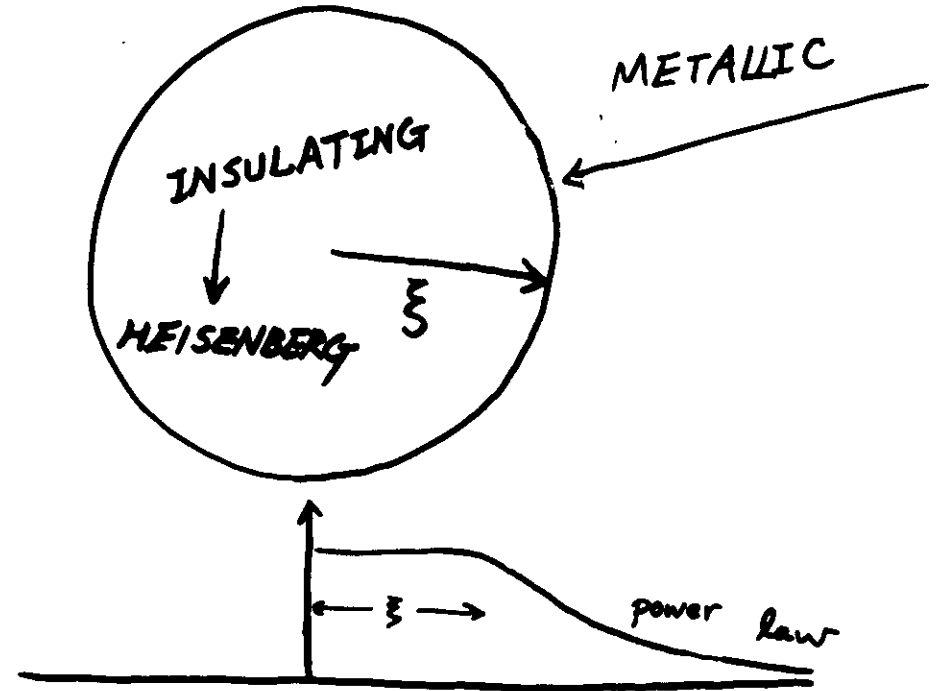


TYPE 2

	κ	χ_s	γ	z	δ_c	'fermi surface'	$D(E)$	AF
ubband III	const (2D) δ (3D)	const (2D) δ (3D)	const (2D) δ (3D)	1	0	small		x
intgriller	const (2D) δ (3D)	δ^{-1}	δ^{-1}	δ	0	large		x
IF-RPA	const	const	const	const ~ 1	large (AF)	large		o
oD para	$(\underline{\delta})$	$\sim 1/\gamma$	δ^{-1}	δ	0	large		x
low-boson -gauge-field (2D)	const (log)	const	const	/	?			?
D Hubbard M.C.	δ^{-1}	const $\sim \gamma^{-1}$	(δ^{-1})	/	~ 0	large		o

III) GROWTH OF CORRELATION LENGTH

— CRITICALITY —



transition is always driven by the shorter length scale ξ

§3. Antiferromagnetic Transition

§3.1. 1D Hubbard model

$$S(Q) = \int dr \langle S(0) \cdot S(r) \rangle e^{-iQr}$$

Q : incommensurate wave vector

$$Q = \pi(1 - \delta)$$

$$S(Q) \propto -\ln \delta$$

$$\langle S(0) \cdot S(r) \rangle \propto \begin{cases} \frac{1}{r} e^{-iQr} & r < \xi \\ \frac{1}{r^2} e^{-iQr} & r > \xi \end{cases}$$

$$\xi = \delta^{-1}$$

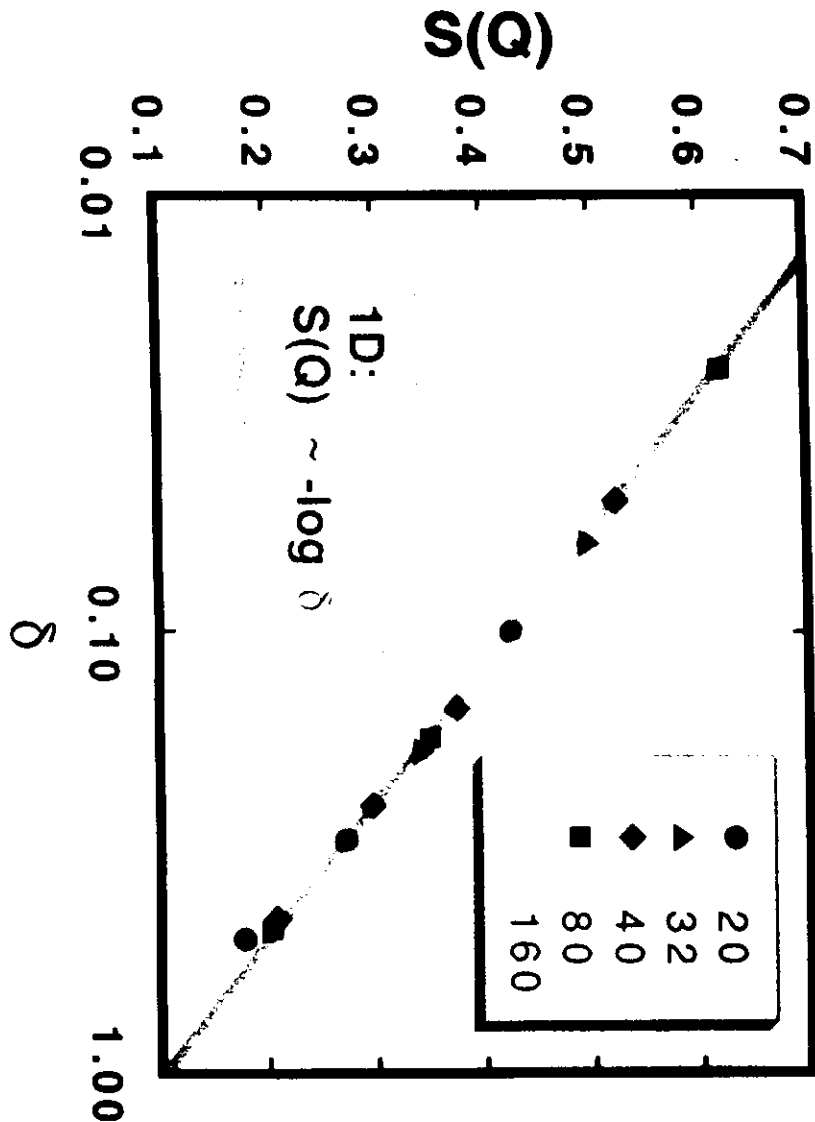
crossover length

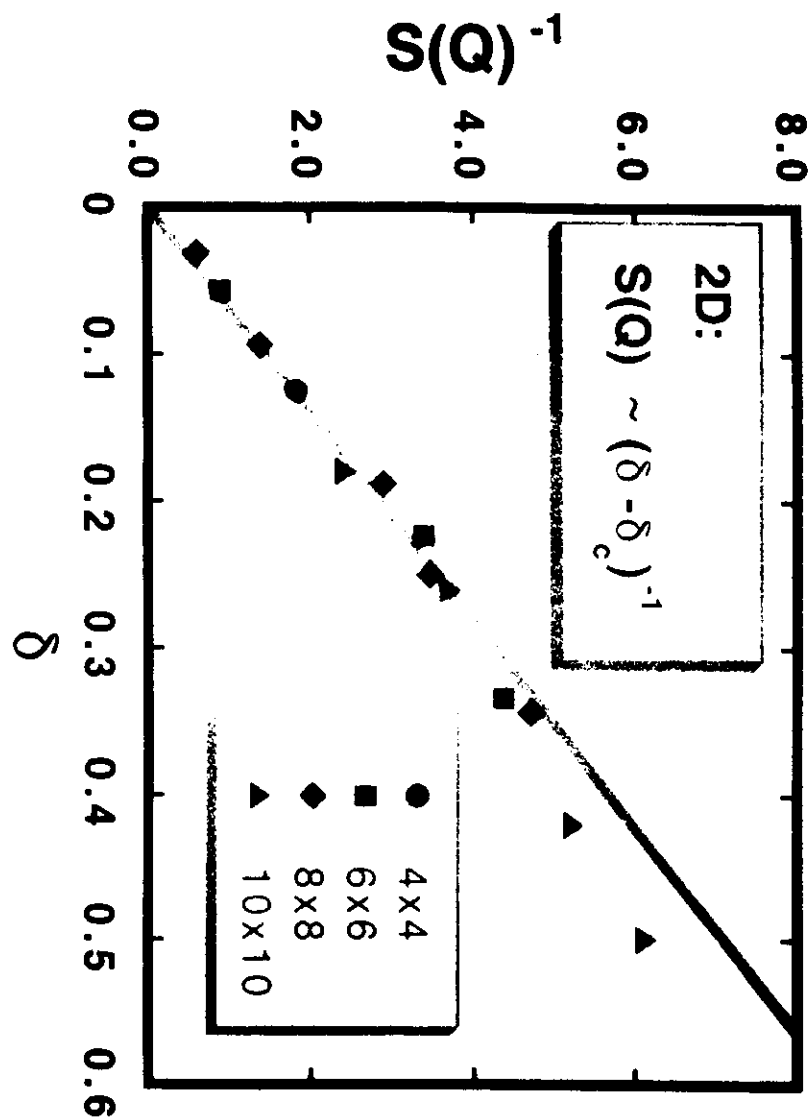
$$U = \infty \text{ Hubbard } f = \tilde{Z}\Phi$$

$$\langle S(0) \cdot S(r) \rangle \propto \frac{1}{r} \exp \left[-\frac{1}{2} \{ \ln(\delta r) - c i(2\pi\delta r) - \cos(2\pi\delta r) - 2\pi\delta r \operatorname{Si}(2\pi\delta r) \} \right]$$

$$\sim \begin{cases} e^{-iQr} / \delta^{1/2} r^{3/2} & \delta r \gg 1 \\ e^{-iQr} e^{-\pi^2 \delta r / 2} / r & \delta r \ll 1 \\ & r \gg 1 \end{cases}$$

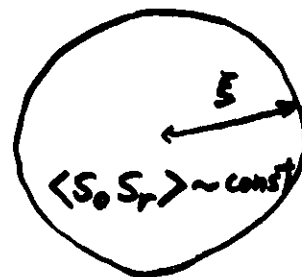
$$Q = \pi(1 - \delta) \quad \xi = \delta^{-1}$$





A. F. ordering of 2D & 1D Fermions
 different from the usual critical phenomena

2D



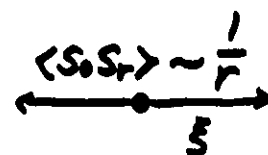
$$\langle S_0 S_r \rangle \sim \frac{1}{r^\nu}$$

$$2 < \nu \leq 3$$

$$S(Q) \propto \delta^{-1}$$

$$\nu = 0.25$$

1D



$$\langle S_0 S_r \rangle \sim \frac{1}{r^\nu} \quad 1 < \nu \leq 2$$

$$S(Q) \propto \ln \delta$$

$$\xi \rightarrow \infty \quad \text{as} \quad \xi \propto \delta^{-1/d}$$

{ ξ well defined
 power law asymptotically

M.C. Results on A.F. Correlation.

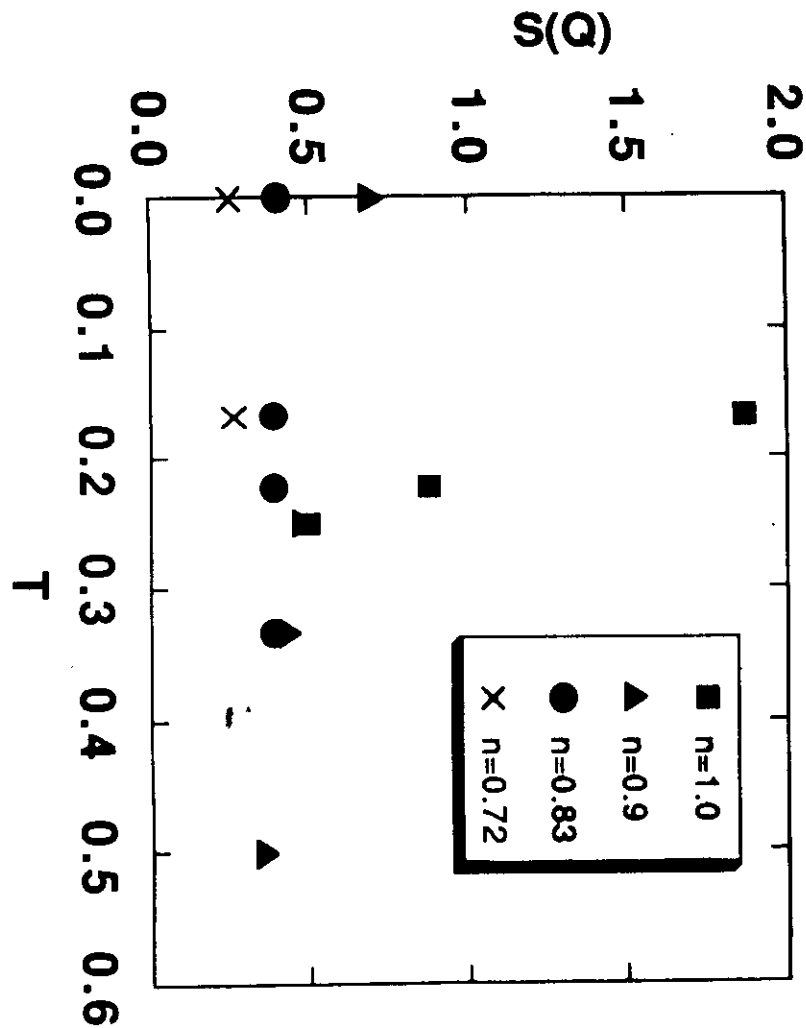
① $T=0$
 $\int S(Q, \omega) d\omega \propto \delta^{-1}$

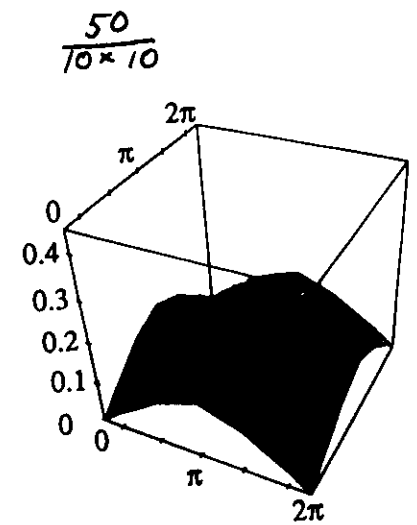
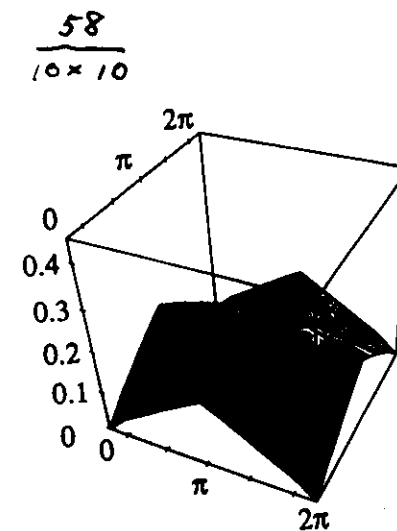
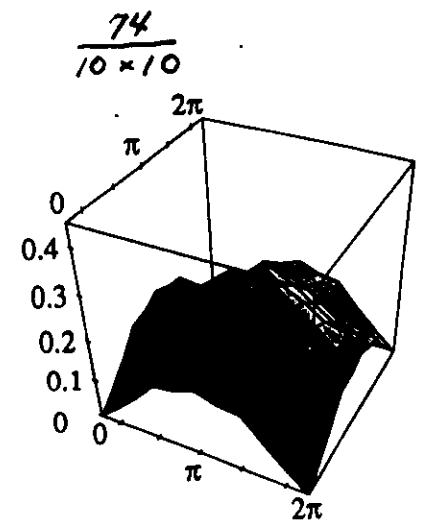
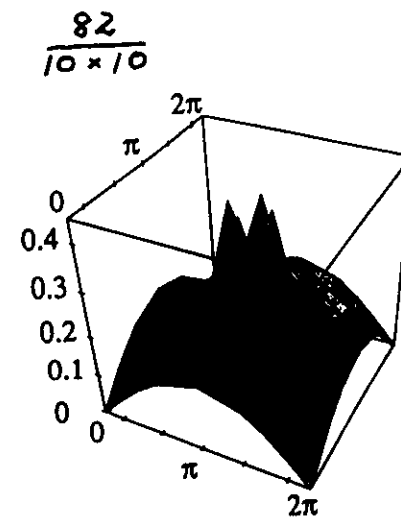
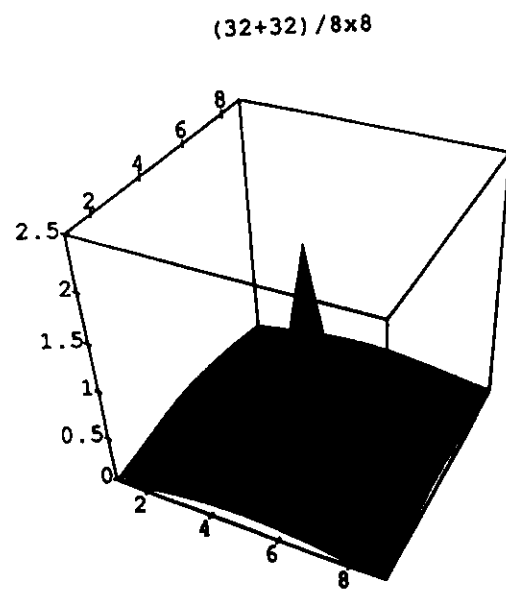
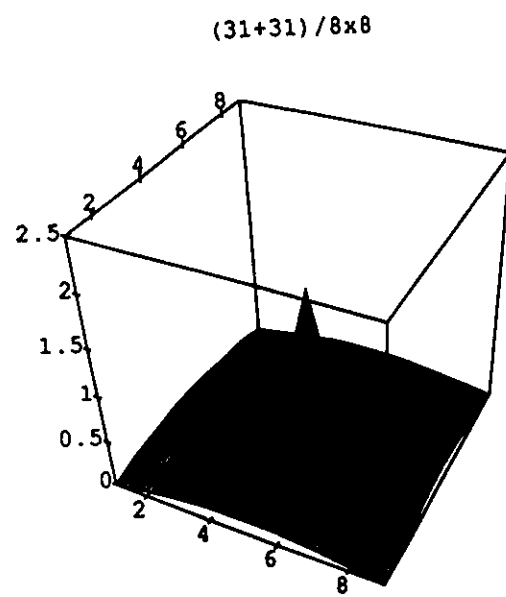
$\updownarrow$
 $\xi_0 \approx a \delta^{-1/2}$

② $r > \xi_0$ metallic
 $r < \xi_0$ insulating
 CROSSOVER

③ $\int S(Q, \omega) d\omega, \xi$
 weak T -dependence at low T

④ no evidence for spin gap in canonical models





RPA does not reproduce the correct critical behavior

$$\chi_{RPA} = \frac{\chi_0}{1 - U\chi_0}$$

$$\text{Im } \chi_{RPA} = \frac{\text{Im } \chi_0}{(1 - U\text{Re } \chi_0)^2 + (U\text{Im } \chi_0)^2}$$

$$= \frac{c\omega + \dots}{a(\delta - \delta_c)^2 + b\omega^2 + \dots}$$

$$S(Q, \omega) = \frac{1}{1 - e^{-\beta\omega}} \frac{c\omega + \dots}{a(\delta - \delta_c)^2 + b\omega^2 + \dots}$$

$T = 0$

$$S(Q, t=0) = \int_{-\infty}^{\infty} S(Q, \omega) d\omega \approx \frac{c}{2b} \ln \frac{b}{a(\delta - \delta_c)^2}$$

$$S(Q, t=0) \sim \ln(\delta - \delta_c)$$

cf. $t-J$ $U \rightarrow J_2$

T_{scr1}

CROSSOVER OF ξ

ξ_0 : intrinsic correlation length at $T=0$

$$\xi_0 \approx a\delta^{-1/2}$$

$r < \xi_0$: Heisenberg-like $\langle S(0)S(r) \rangle \sim e^{iQr} \times \text{const.}$

$r > \xi_0$: metallic $\langle S(0)S(r) \rangle \sim e^{iQr} r^{-\eta}$
 $\eta > 2$

ξ_T : thermal correlation length

$r < \xi_T$: $\langle S(0)S(r) \rangle \sim \begin{cases} e^{iQr} \text{ const} \\ r^{-\eta} e^{iQr} \end{cases}$

$r > \xi_T$: $\langle S(0)S(r) \rangle \sim e^{-r/\xi_T}$

$$\xi_T \rightarrow \infty \quad \text{as } T \rightarrow 0$$

ξ : $\text{Min}(\xi_0, \xi_T)$

effective correlation length

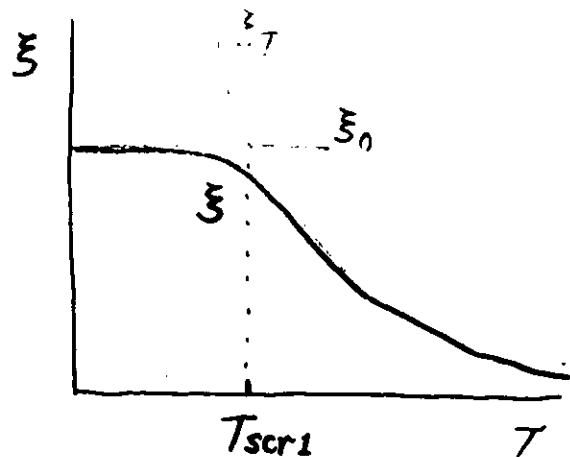
$r < \xi$: $\langle S(0)S(r) \rangle \sim e^{iQr}$

$r > \xi$: $\langle S(0)S(r) \rangle \sim \begin{cases} e^{-r/\xi} \\ r^{-\eta} \end{cases} \quad \eta > 2$

C-H-N

Makić - Ding

$$\xi_T \sim b \exp[cJ/T]$$



$$T_{scr1} \approx -2cJ \frac{1}{\ln[(\frac{a}{b})^2 \delta]}$$

$$J \sim 1500 \text{ K}$$

$$a/b \sim 0.4$$

$$c \sim 1.2$$

 $f(q, \omega, \kappa)$: metallic regime

$$\frac{f(q, \omega, \kappa)}{\omega}$$

- peak at $q = \omega = 0$
 $\uparrow$ short-ranged A.F. correlation
- T-independent

c.f. RPA

$$f(q, \omega, \kappa) = \frac{\tilde{C} \omega}{\tilde{D}^2 (\kappa^2 + q^2)^2 + \omega^2}$$

 $\tilde{C}, \tilde{D}, \kappa$: T-independent

- incommensurability
- $2k_F$ singularity

disordered insulating regime

 hydrodynamic approach Kawasaki
 mode-mode coupling

$$\int dr e^{i\mathbf{q} \cdot \mathbf{r}} \langle S(\mathbf{r}, t) S(0, 0) \rangle \propto e^{-t/\tau(q)}$$

T_{scr2}

CROSSOVER BETWEEN METALLIC
AND INSULATING

for $\omega > 0$

$$\text{Im } \chi(\mathbf{q}, \omega) \sim \begin{cases} f(\mathbf{q}, \omega, \kappa) & \text{Max}(T, \omega) < T_{scr2} \\ \frac{C(1 - e^{-\beta\omega})}{\omega^2 + D^2(\kappa^2 + \mathbf{q}^2)^2} & \text{Max}(T, \omega) > T_{scr2} \end{cases}$$

$\kappa \propto \xi^{-1}$ disordered hydrodynamic region

$$T_{scr2} \sim \tau^{-1} \equiv D(\kappa^2 + \mathbf{q}^2)$$

$$\text{for } \omega < 0 \leftarrow \text{Im } \chi(\mathbf{q}, -\omega) = -\text{Im } \chi(\mathbf{q}, \omega)$$

$\mathbf{q} \equiv \mathbf{k} - \mathbf{Q}$ hydrodynamic region

$\text{Max}(T, \omega) < T_{scr2}$: metallic

$\text{Max}(T, \omega) > T_{scr2}$: insulating

κ : always T -independent

$$\uparrow \\ \therefore T_{scr2} < T_{scr1}$$

EXPERIMENTAL INDICATIONS OF A.F. CORRELATION IN CUPRATES

- Overall width of the peak of $\text{Im } \chi(\mathbf{q}, \omega)$ around $\mathbf{q} \sim \mathbf{Q}$
 $\rightarrow T$ insensitive
- "Fermi surface effect" (k_F -effect")
or $\text{Im } \chi(\mathbf{q} \sim \mathbf{Q}, \omega)$
(fine structure) $\rightarrow T$ dependent
- $\begin{cases} \frac{1}{T_1} \sim \text{const.} & \text{at high temp.} \\ \frac{1}{T_1} \sim T & \text{at low temp.} \end{cases} \rightarrow \text{CROSSOVER}$
- $\int S(\mathbf{Q}, \omega) d\omega \sim \delta^{-1}$
 $\mathbf{Q}$: coarse grained
- $\mathbf{q}$ -integrated intensity around $\mathbf{q} \sim \mathbf{Q}$
 $\int d\mathbf{q} \text{Im } \chi(\mathbf{q}, \omega) \rightarrow \begin{cases} \text{single parameter scaling by } \omega/T \\ \text{no} \end{cases}$
- $\begin{cases} (T_1 T)^{-1} \sim (T_2 \mathbf{q})^{-1} \\ (T_1 T)^{-1} \sim (T_2 \mathbf{q})^{-2} \end{cases}$

$$1. \int S(q=0, \omega) d\omega \propto \delta^{-1} \rightarrow \text{Endoh}_{\text{etal.}}$$

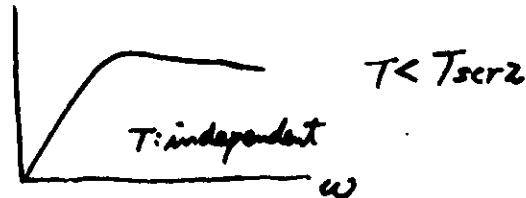
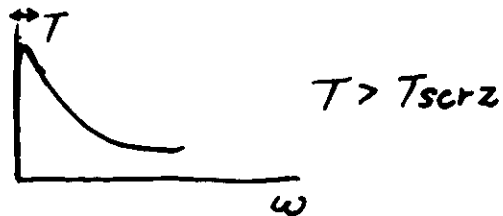
$$2. T_1^{-1} \propto \begin{cases} T & T < T_{\text{scr}2} \\ \text{const} & T > T_{\text{scr}2} \end{cases} \rightarrow \text{Imai}_{\text{etal.}}$$

3. temperature independent ξ at $T < T_{\text{scr}1}$

$$4. Q \equiv \lim_{\omega \rightarrow 0} \text{Im} \chi(q, \omega) / \omega$$

$$Q \propto \begin{cases} \text{const} & T < T_{\text{scr}2} \\ T^{-1} & T > T_{\text{scr}2} \end{cases}$$

$$5. \int \text{Im} \chi d\mathbf{q}$$



6. universal scaling of $\int \text{Im} \chi(T) d\mathbf{q} / \int \text{Im} \chi(T_{\text{scr}0}) d\mathbf{q}$
by a single parameter ω/T

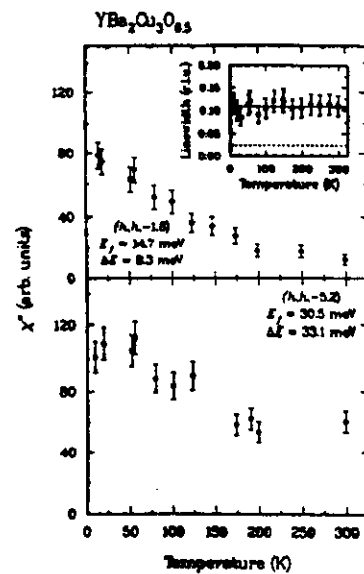


FIG. 4. Temperature dependence of $\chi''(\omega)$ at 8.3 and 33.1 meV. Points represent the peak intensity of constant- E scans determined after fitting to a Gaussian line shape and correcting for background. Data have been corrected by the Bose factor. The temperature dependence of the linewidth (full width at half maximum), measured in reciprocal lattice units (r.l.u.), is shown in the inset.

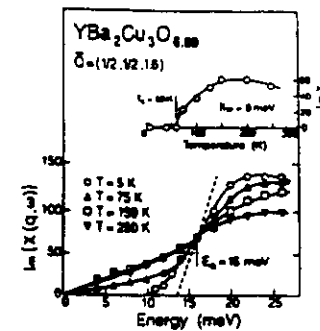


FIGURE 7. $\text{Im} [\chi(\alpha)]$ (in arbitrary unit) as a function of the energy for $\text{YBa}_2\text{Cu}_3\text{O}_{8.99}$ below ($T = 5$ K) and above ($T = 75, 150, 250$ K) the superconducting transition ($T_c = 99$ K). An energy gap ($E_g = 16$ meV) in the spin excitation spectrum clearly appears above T_c .

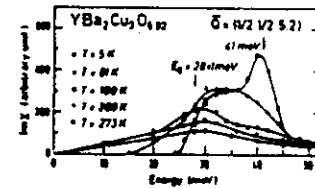


FIGURE 11. $\text{Im} [\chi(\alpha)]$ (in arbitrary unit) as a function of the energy for $\text{YBa}_2\text{Cu}_3\text{O}_{8.92}$ for increasing temperatures below and above $T_c = 91$ K. An energy gap ($E_g = 28$ meV) is clearly seen in the spin excitation spectrum.

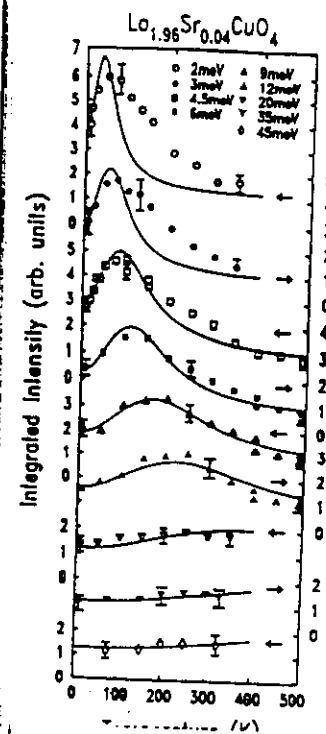
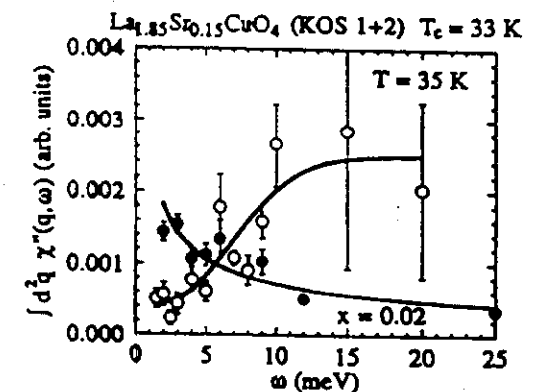
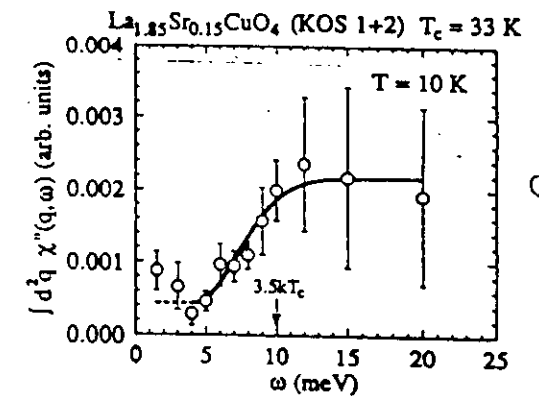
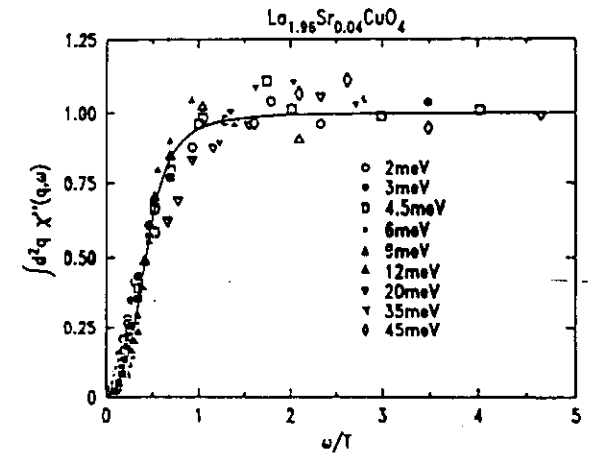
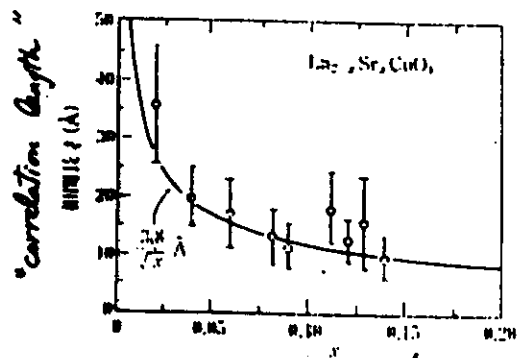


FIG. 2. Temperature dependence of $1/T_1$ measured by NQR for $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ($\bullet$, $x=0$; $\circ$, $x=0.04$; $\square$, $x=0.075$; $\blacksquare$, $x=0.15$). The data below 100 K for $x=0.15$ are from Yoshimura *et al.* in Ref. [5]. Inset: The semilogarithmic plot of $1/T_1 T^{3/2}$ (in units of $\text{sec}^{-1} \text{K}^{-1.5}$) vs $1000/T$ for the clean sample of $\text{La}_2\text{CuO}_{4.00}$ and for $\text{La}_2\text{CuO}_{4.00+x}$. The solid curve is the best fit by Eq. (2).



Neutron Scattering Data



第5圖 $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ の二次元スピン相関長のチャージ濃度変化。

CONCLUSION

- Most of A.F. correlations are accounted for by the present theory in δ , T , and ω planes. ... of "spin gaps" ... seemingly controversial data. ... neutron scattering are ... conclusions.

RECOMMENDATIONS

- ... for further experiments

APPENDIX

APPENDIX A

$$\text{Re } \chi(\mathbf{q}, \omega)$$

$$\text{Im } \chi(\mathbf{q}, \omega)$$

$$S(\mathbf{q}, \omega)$$

- Microscopic theory with quantitative analysis

Hints for MI transition in cuprates

1. 2D Hubbard QMC data

$$\chi_c \propto \delta^{-1}$$

$$\frac{m_c^*}{m_e} \rightarrow \infty$$



2. Experiments in cuprates

- specific heat γ
- Hall coefficient R_H

3. Comprehensive theory of Mott transitions

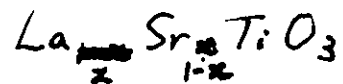
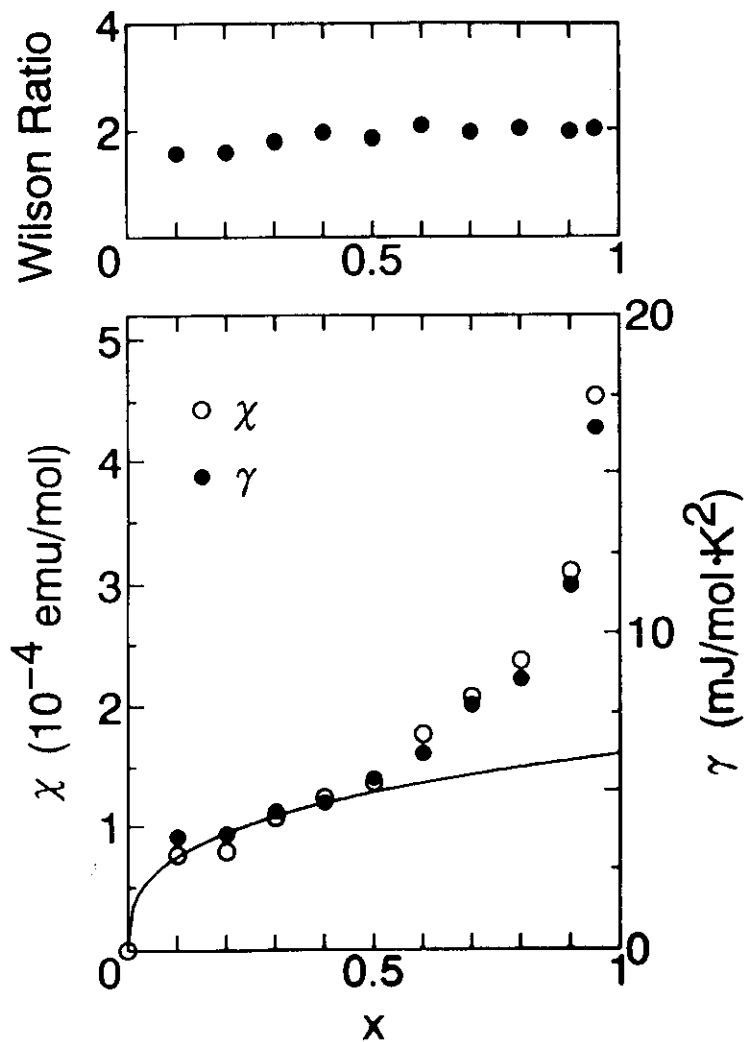
Two types of Mott transitions $\left\{ \begin{array}{l} m_c^* \rightarrow \infty \\ n_c \rightarrow 0 \end{array} \right.$

single-component vs. multi-component



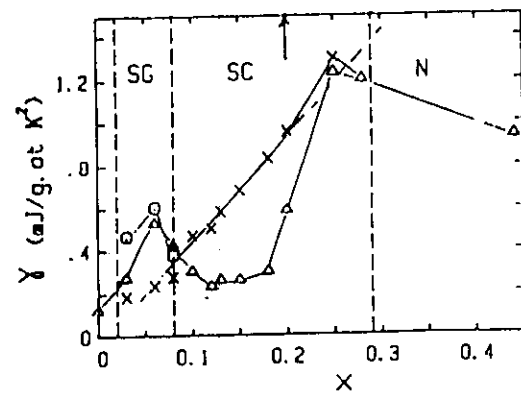
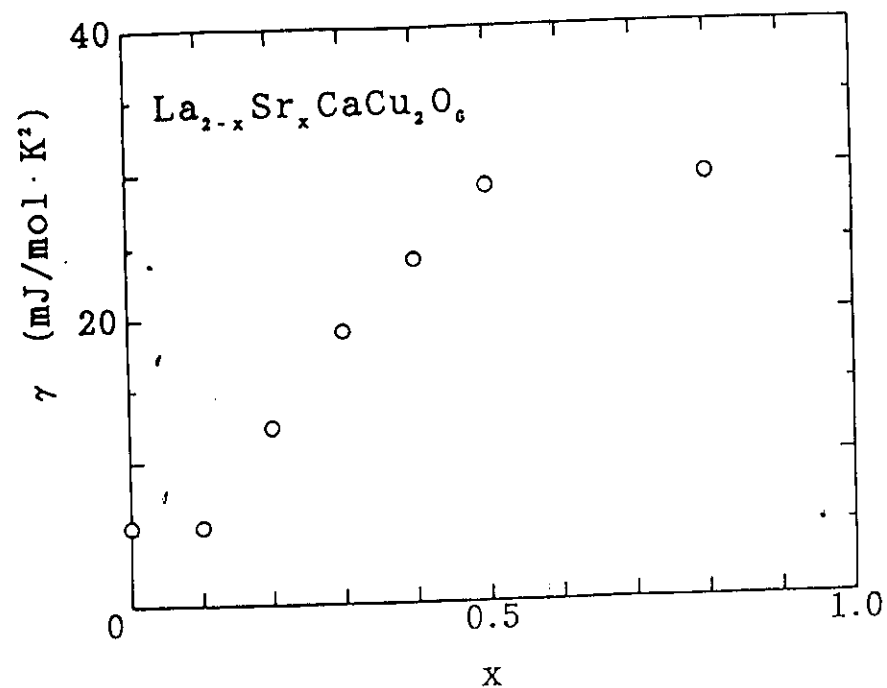
CROSSOVER BETWEEN SINGLE-COMPONENT AND MULTI-COMPONENT

DUALITY OF MOTT TRANSITION IN CUPRATES



Tokura et al.

Fig. 3 Tokura et al.



J. IV. Loran et al.

Physica C 162-164 (1989) 498-499

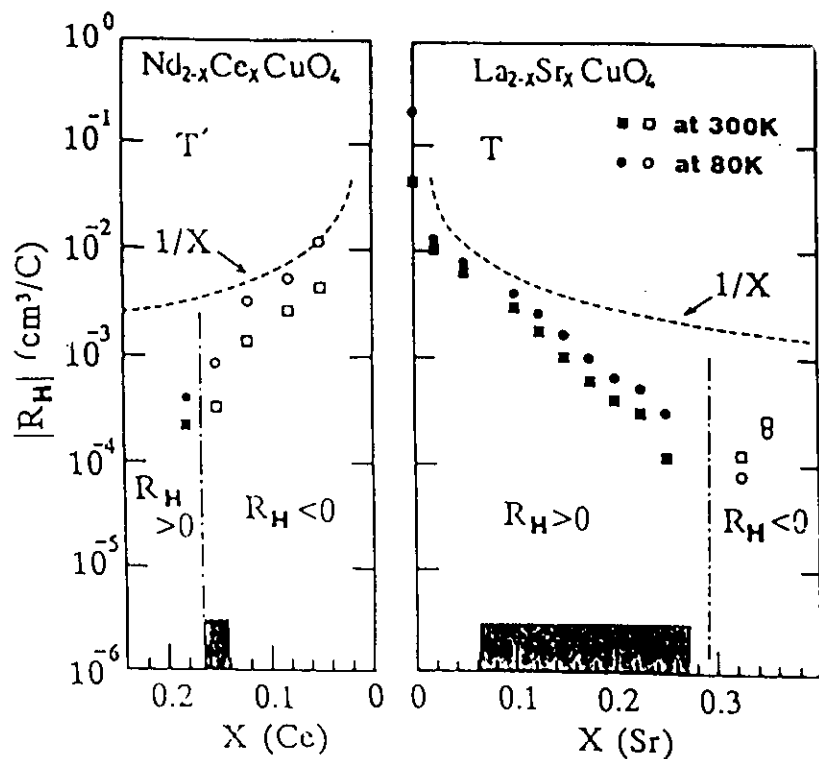
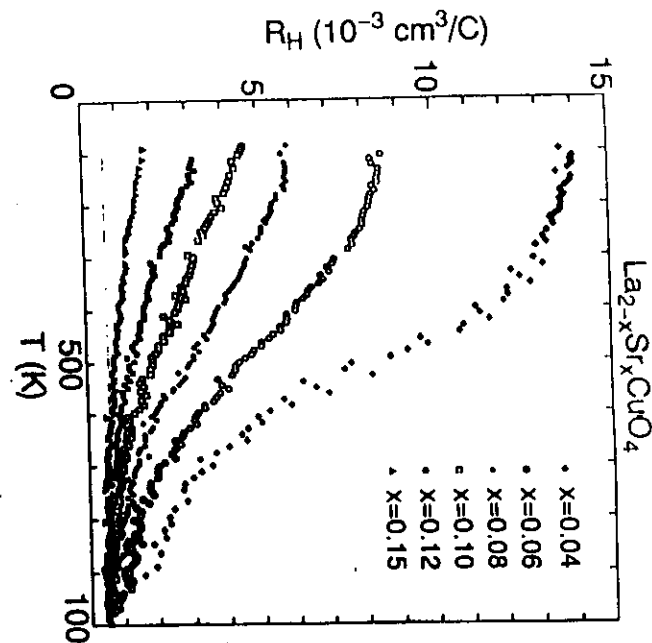
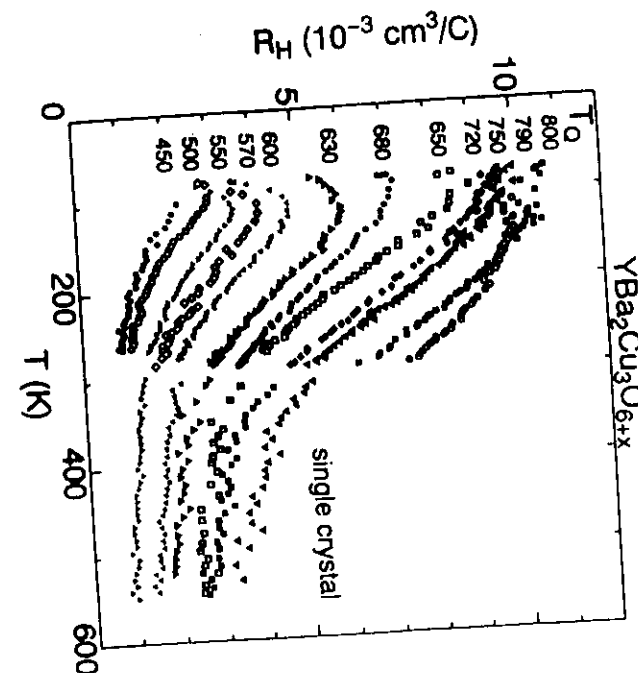


Fig. 8 The absolute value of the Hall coefficient as a function of Ce composition for reduced $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_{4-y}$. The same plotted for $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ are shown for composition. The shaded area indicates composition region where superconductivity is observed.

S. Uchida et al.



Nishikawa, Takeda, Sato

Duality in cuprates

— ONE SCENARIO —

LOW TEMP.

HIGH TEMP

$n \rightarrow 0$ $\xleftrightarrow[\sim 500\text{ K}]{\text{CROSSOVER}}$ $m^* \rightarrow \infty$

↓
"in gap"

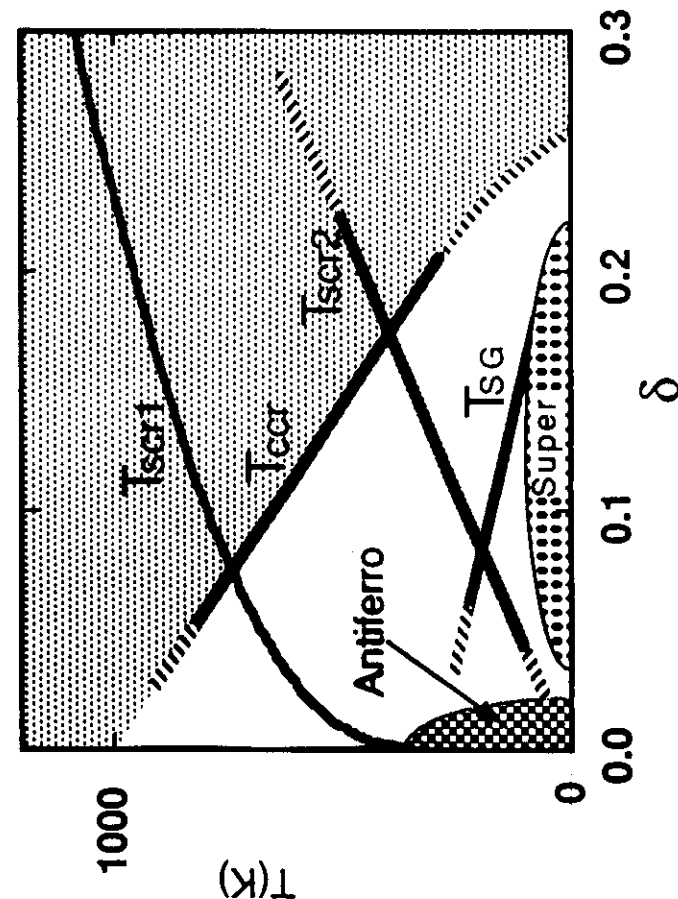
↓
2D Hubbard

single-component

bi-component

Hall coeff.
plasma freq.
specific heat

charge compressibility



summary

New Field of Quantum Phase Transitions

- Metal-Insulator (Mott) Transition
- Antiferromagnetic Transition

CRITICALITY $\longleftrightarrow$ CROSSOVER

metal $\longleftrightarrow$ insulator

classification of transition $\left\{ \begin{array}{ll} m_c^* \rightarrow \infty & \text{multi-component } Z=4 \\ n_c \rightarrow 0 & \text{single-component } Z=2 \end{array} \right.$

1D, 2D spin-charge separation

metal $\longleftrightarrow$ metal

single-component $\longleftrightarrow$ multi-component

$\rightarrow$ cuprates
duality

4.1: $\leftarrow$ param.

difference from $T \neq 0$ critical phenomena
unified picture of spin correlation in cuprates
phase diagram of cuprates in (δ, T) plane