



INTERNATIONAL ATOMIC ENERGY AGENCY  
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION  
**INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS**  
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**SMR. 767 - 8**

**MINIWORKSHOP ON STRONG CORRELATIONS  
AND QUANTUM CRITICAL PHENOMENA  
(4 - 22 July 1994)**

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**DOPED SPIN-GAP INSULATORS**

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These are preliminary lecture notes, intended only for distribution to participants.

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# Doped Spin-gap Insulators:

## Pairing and Excitation Spectrum in doped $t$ - $J$ Ladders

Hirokazu Tsunetsugu (ETH)

collaboration with Matthias Troyer (ETH)

T. Maurice Rice (ETH)

J. Stüritz (ETH)

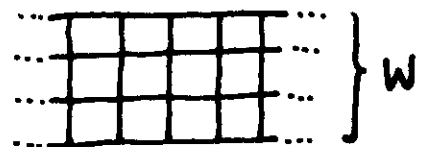
S. Faillblanc (Toulouse)

### QUESTION

What happens if holes are doped  
into a spin-gap insulator?

Nice example:

- Heisenberg Spin Ladder



$$W: \text{even} \Rightarrow \Delta_{\text{spin}} > 0$$

$$W: \text{odd} \Rightarrow \Delta_{\text{spin}} = 0$$

# OUTLINE

## (1) 2-chain Spin Ladder Compounds

$(VO)_2P_2O_7$   
 $Sr_2Cu_4O_6$  } insulators ( $S=1/2$ )

## (2) Heisenberg Ladders

excitations, thermodynamics

strong coupling fixed point

spin liquid ( $\Delta_s > 0, \xi < 0$ ) — short range RVB

## (3) Doped $t$ - $J$ Ladders

exact diagonalization (Lanczos, up to  $2 \times 10$  sites)

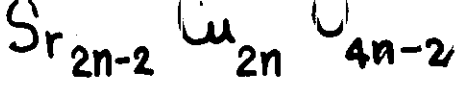
strong coupling limit  $\leftarrow$  simple limit

- a) hole binding
- b) spin excitations
- c) 1-particle excitations

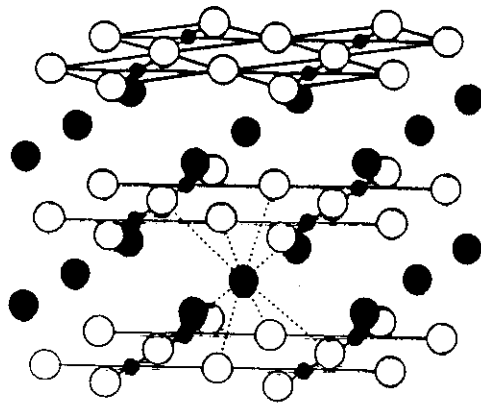
## (4) Application to a 2D system

a possible alternative scenario

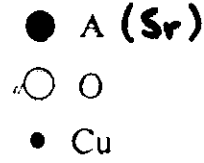
— singlet stripe phases



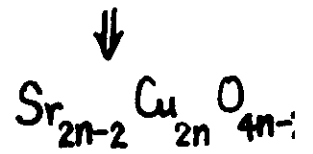
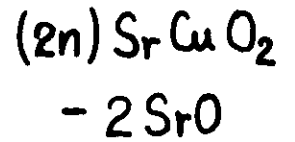
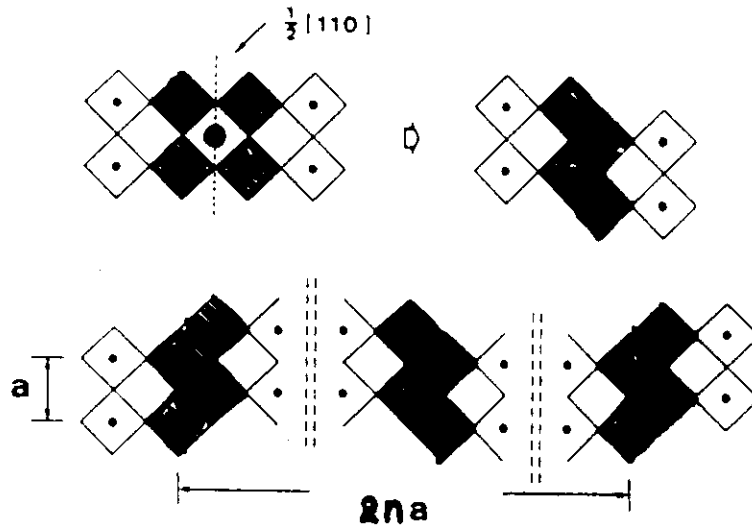
Parent Compound



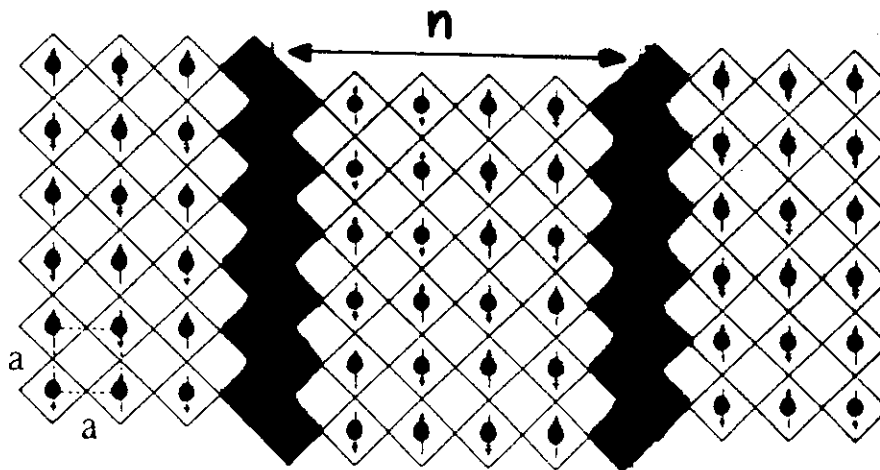
$\text{SrCuO}_2$   
Infinite Layer System



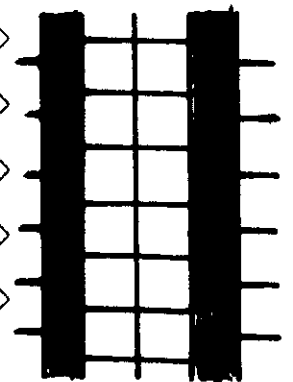
Shear Process



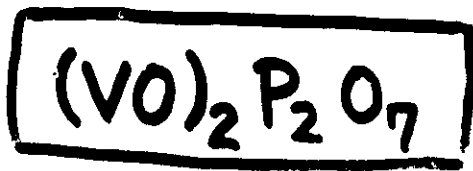
- |   |   |                |                          |
|---|---|----------------|--------------------------|
| $\text{Sr}_2 \text{Cu}_4 \text{O}_6$    | : | 2 chain ladder | $\Delta_S = 420\text{K}$ |
| $\text{Sr}_4 \text{Cu}_6 \text{O}_{10}$ | : | 3              | $\Delta_S = 0$           |
| $\text{Sr}_6 \text{Cu}_8 \text{O}_{14}$ | : | 4              |                          |



Cu-Network

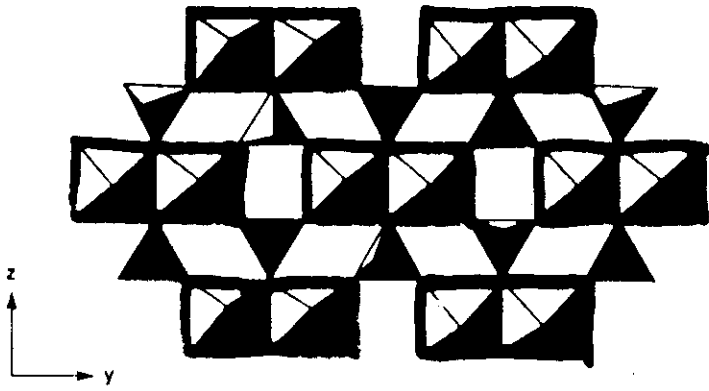


--- : Ferro bond

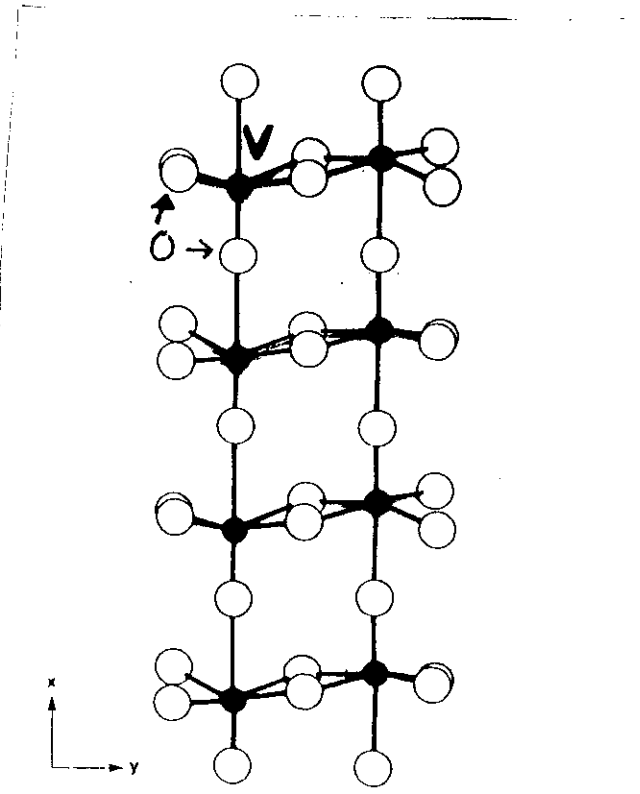


V<sup>4+</sup> : (3d)<sup>1</sup>      S = 1/2

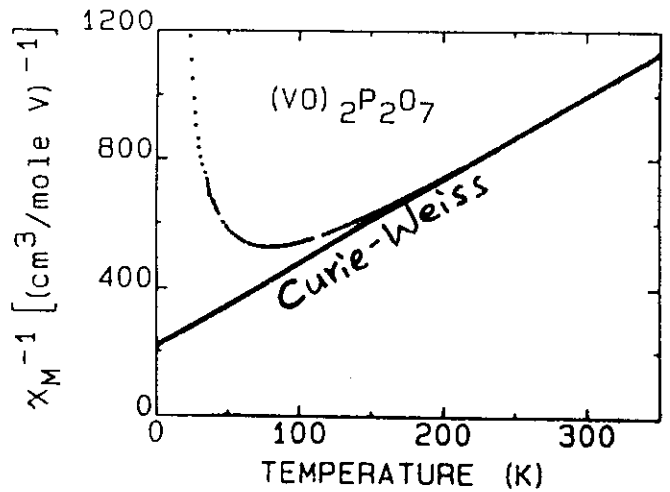
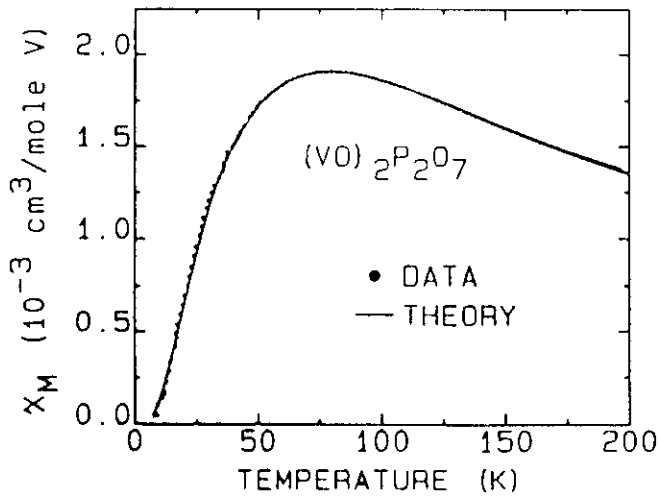
D.C. Johnston et al.  
(PRB, '87)



{ octahedra : V  
 { tetrahedra : P  
 { vertices : O



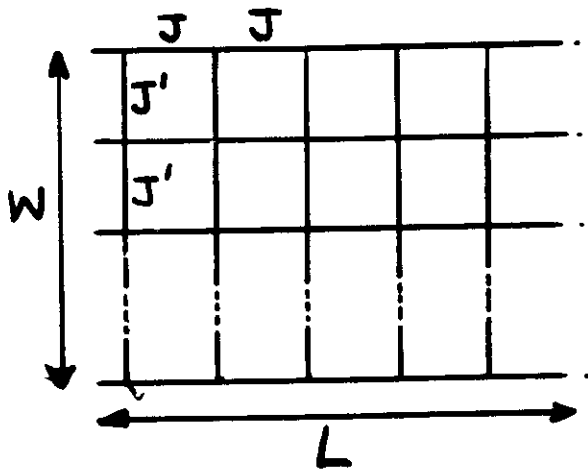
SUSCEPTIBILITY



$\chi \sim C / (T - \Theta)$  (high T)

$\left\{ \begin{array}{l} C = 0.386 \text{ cm}^3 \cdot \text{K} / \text{mole} \\ \quad \sim 0.375 \text{ (for } S=1/2, g=2) \\ \Theta = -84.1 \text{ K} \end{array} \right.$

# Heisenberg Ladder (= multi-chain)



$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j \quad (S=1/2)$$

$$J_{ij} = \begin{cases} J & (\text{intra-chain}) \\ J' & (\text{inter-chain}) \end{cases}$$

$$J, J' > 0 \quad (\text{AF})$$

$$\text{Spin gap} : \Delta_S \equiv \lim_{L \rightarrow \infty} [E_{GS}(s=1) - E_{GS}(s=0)]$$

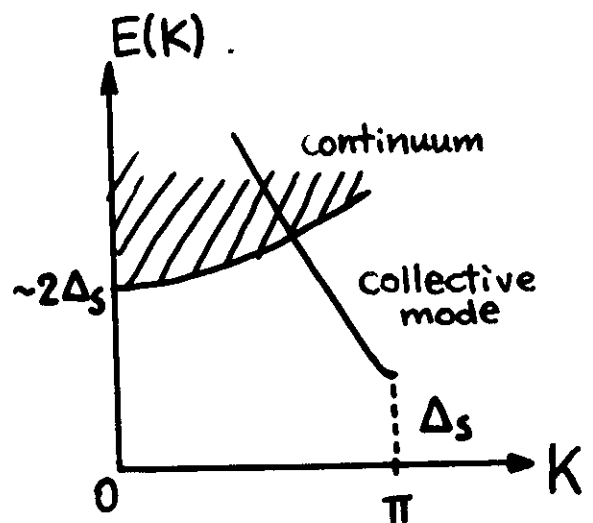
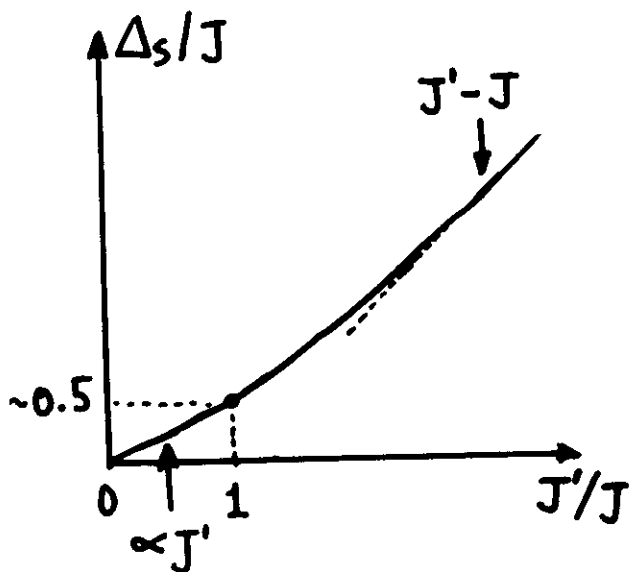
$W$ : odd  $\Rightarrow \Delta_S = 0$  for any  $J'$  Lieb-Shultz-Mattis

$W$ : even  $\Rightarrow \Delta_S > 0$  (at least for large  $J'$ )

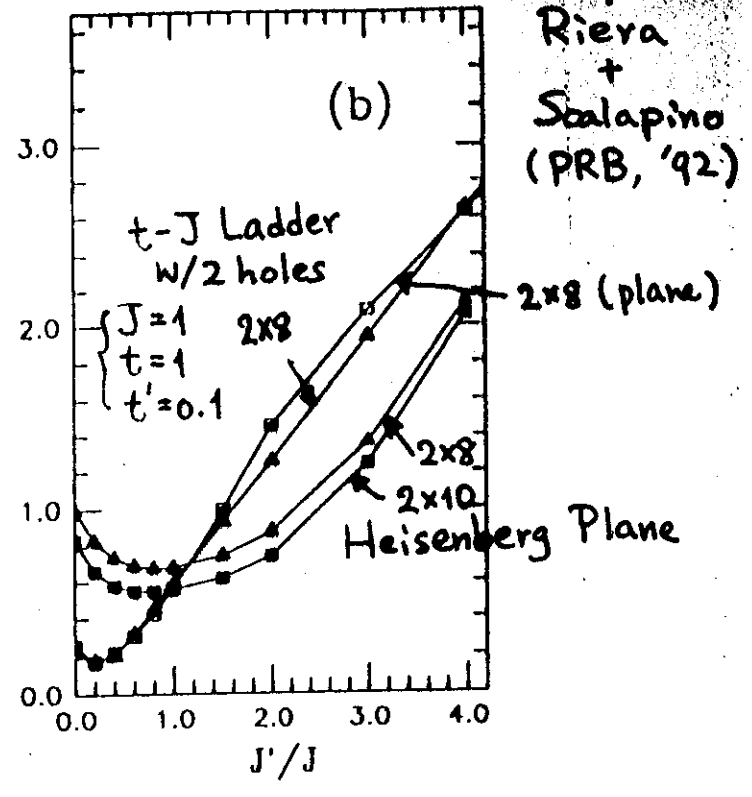
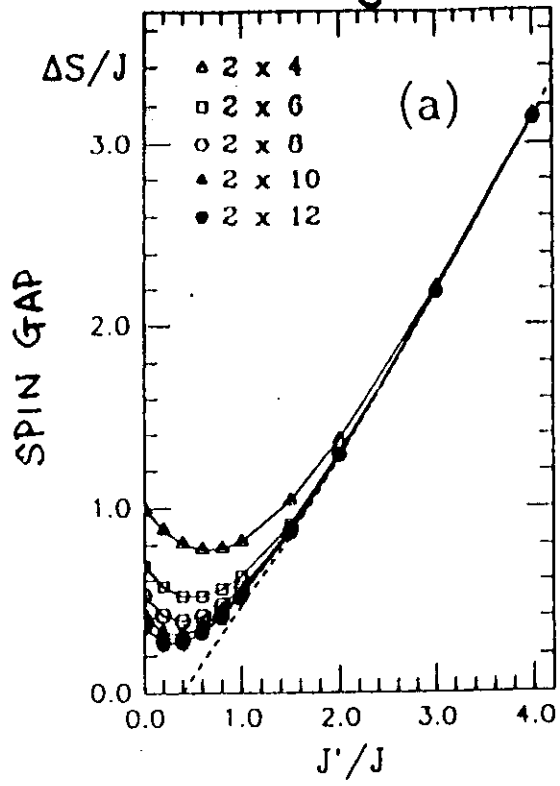
scales to the strong coupling limit  $J'/J \rightarrow \infty$

$\Rightarrow$  1-rung G.S.  $\left( \begin{array}{l} W:\text{odd} \rightarrow S=1/2 \\ W:\text{even} \rightarrow S=0 \end{array} \right.$

$W=2$  (double chain)



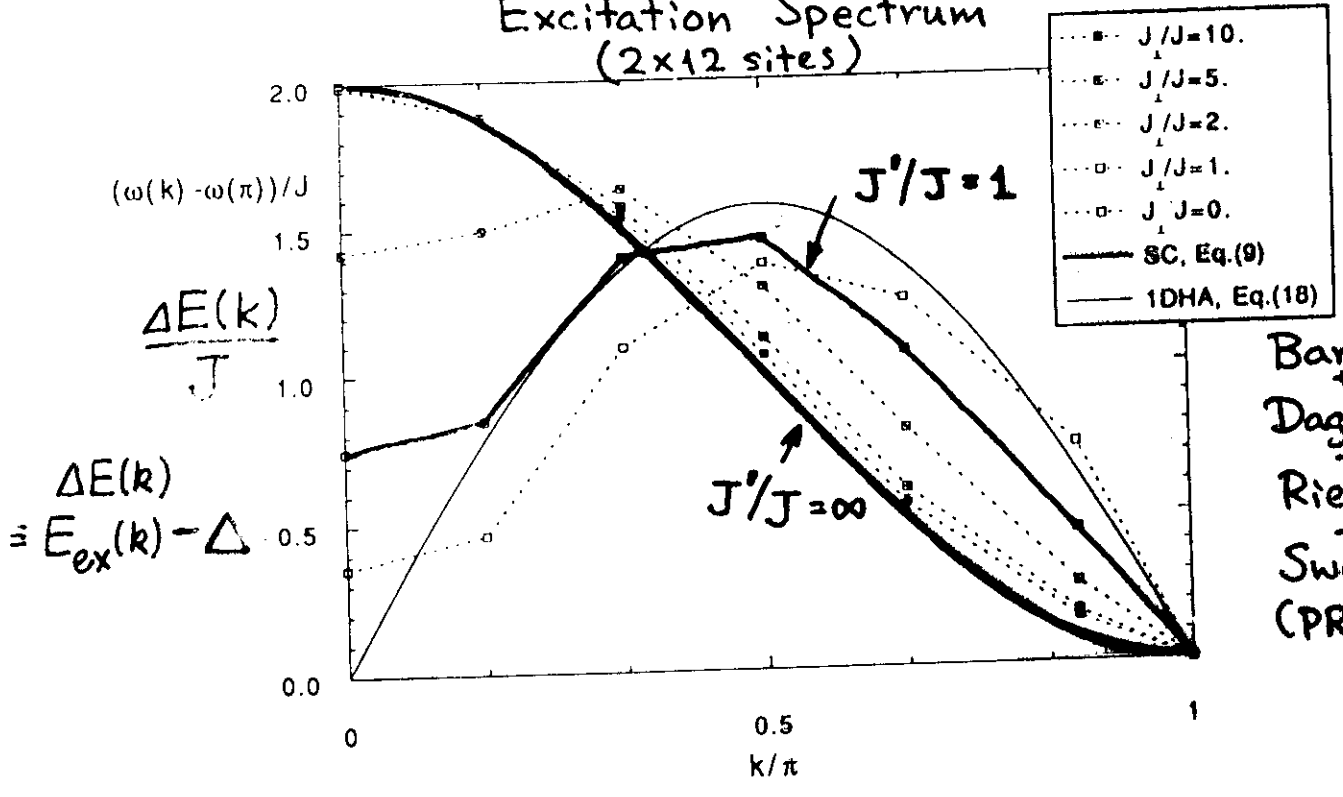
Heisenberg Ladder



Riera + Scalapino (PRB, '92)

(Heisenberg Ladder)

Excitation Spectrum (2x12 sites)



Barnes + Dagotto + Riera + Swanson (PRB, '93)

Simple Limit ( $J' \gg t, J$ )

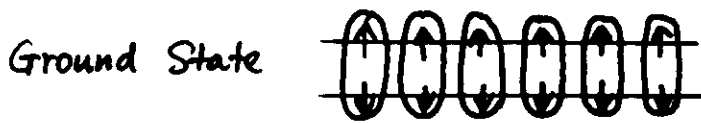
easy to understand

→ trace back to the isotropic case  $J' = J$   
continuity argument

solve the single rung problem

→ make an effective model in the low energy sector

[A] Half Filling (= Heisenberg Ladder)

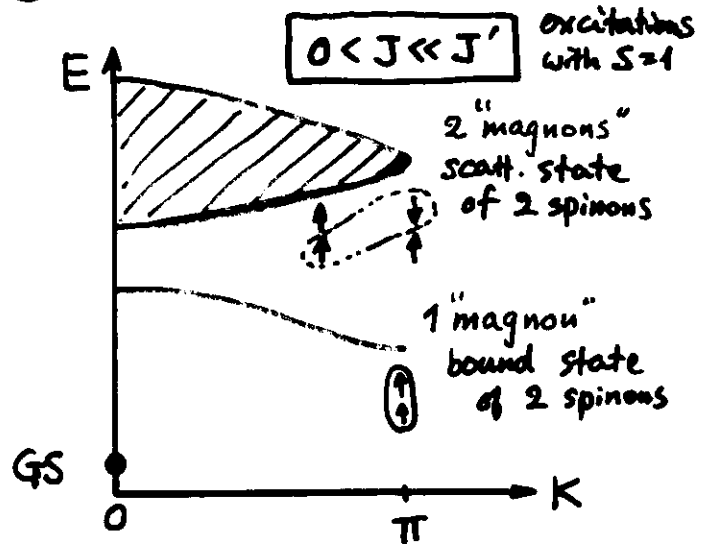
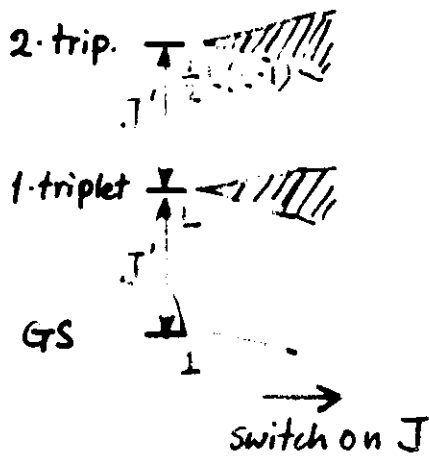


spin charge

$$E_{GS} = L \left( -\frac{3}{4} J' - \frac{1}{4} J \right) = -L \cdot J'$$



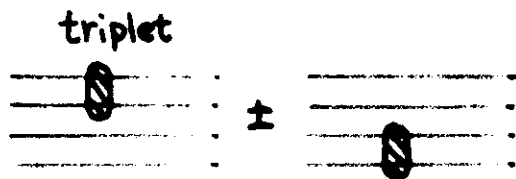
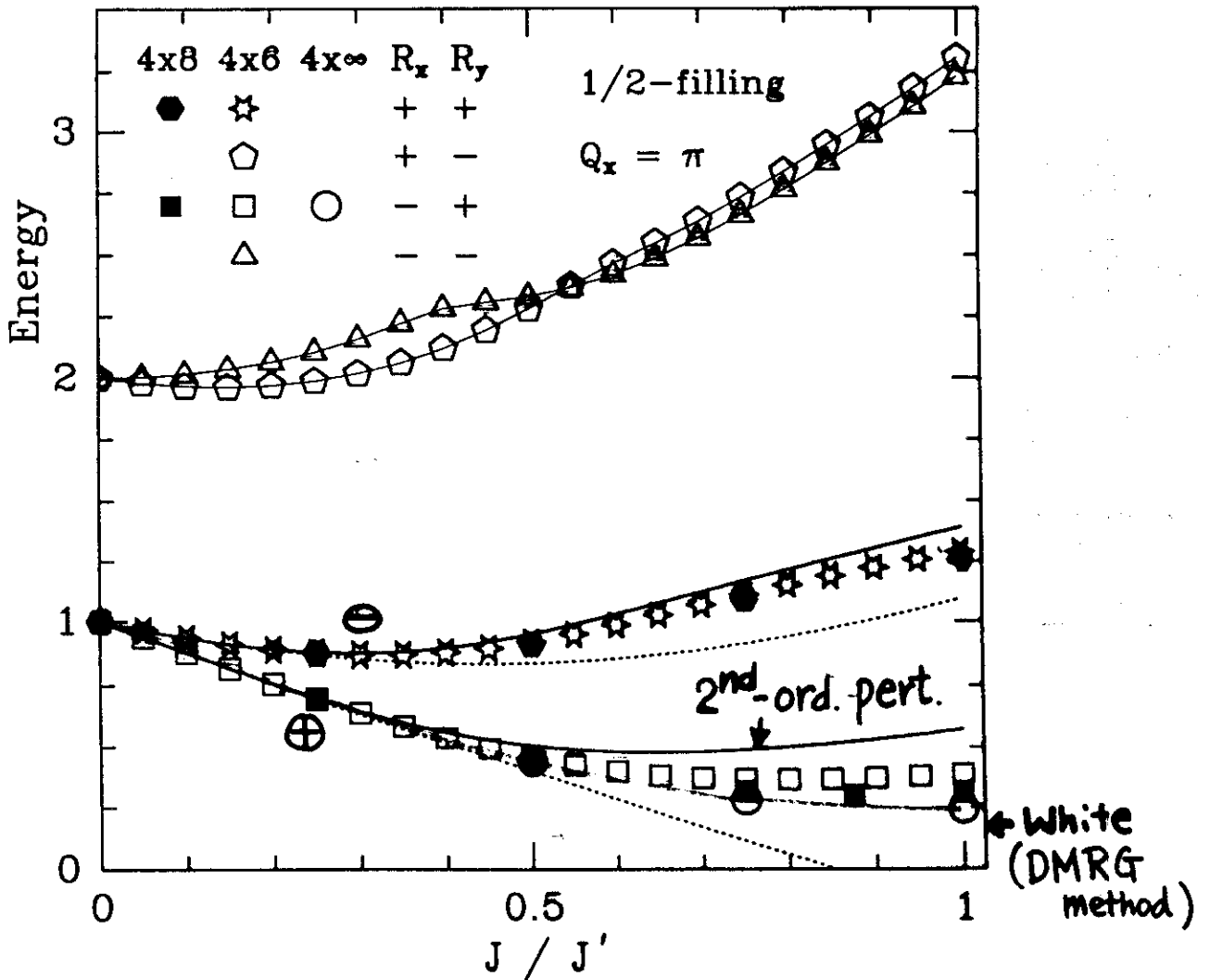
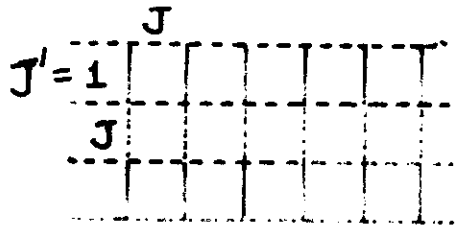
$$E_{exc} = J' \cdot (\# \text{ of triplets})$$





# 4-chain Heisenberg Ladder

Poilblanc+H.T.+Rice  
(PRB, '94)



# Thermodynamics of 2-chain Heisenberg Ladders

## [A] Quantum Transfer Matrix Method

map to (1+1)-dim classical system

Transfer matrix operating in the spatial direction:  $\lambda_{\max} \Rightarrow F$

1st principle calculation

$L \rightarrow \infty$  limit is taken automatically

## [B] A Simple Analytic Approximation

Basic Assumptions

- all the excitations are multi-"magnon" excitations
- neglect magnon-magnon interactions
- restrict # of magnons

simple reweighting of the Boltzmann weights

so as to give the correct entropy in  $T \rightarrow \infty$  limit

$\Rightarrow$  Fits very well to the QTM results

$$\text{free energy} : \tilde{f} = -\frac{1}{2\beta} \log [1 + (1 + 2 \cosh \beta h) Z(\beta)]$$

$$\text{susceptibility} : \tilde{\chi} = \beta \cdot \frac{Z(\beta)}{1 + 3Z(\beta)}$$

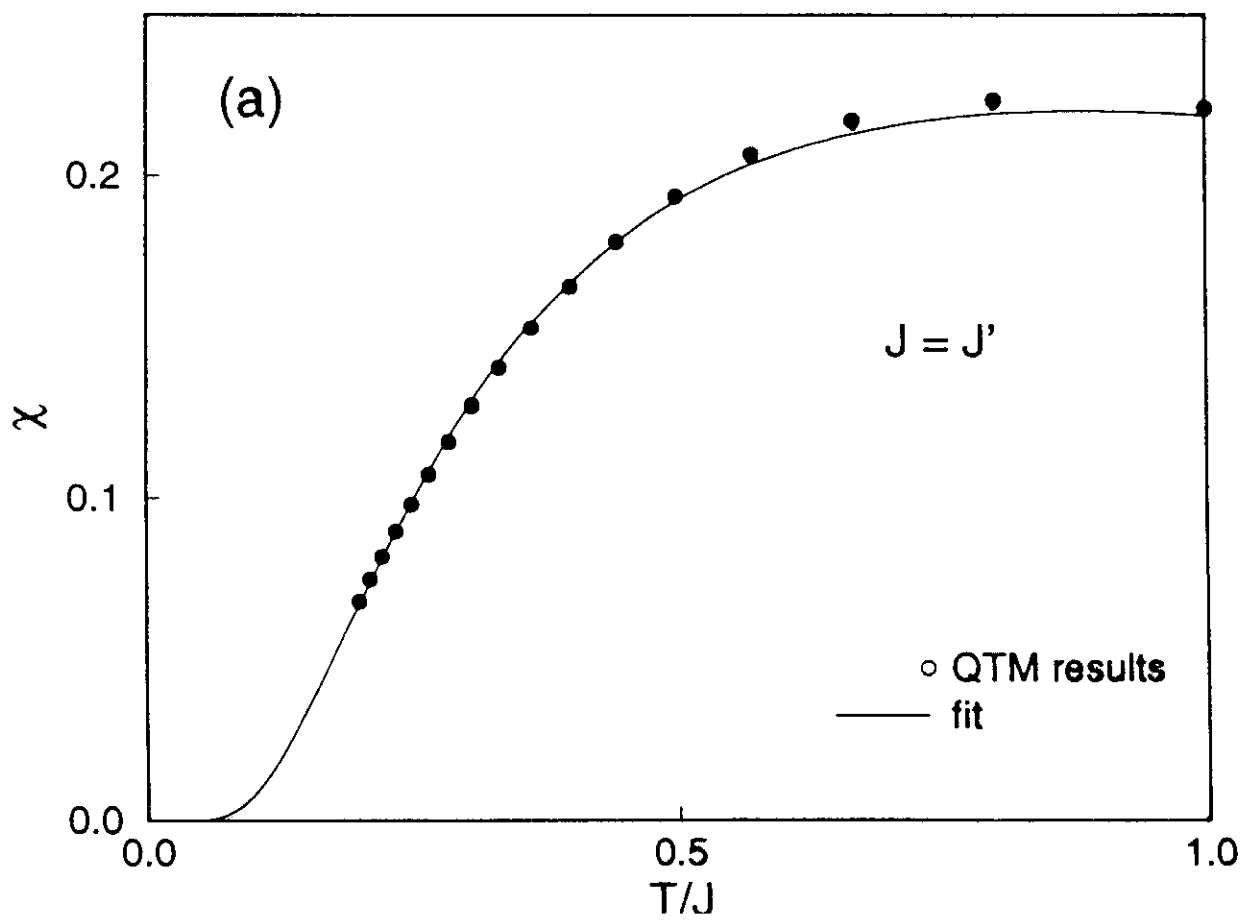
$$Z(\beta) = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk \exp[-\beta \underbrace{\epsilon_1(k)}_{\uparrow \text{1-magnon energy}}]$$

$$\tilde{\chi} \begin{cases} \rightarrow \frac{1}{4T} & (T \rightarrow \infty) \\ \rightarrow \beta^n e^{-\beta \Delta} & (T \rightarrow 0) \end{cases} \left. \vphantom{\begin{matrix} \rightarrow \frac{1}{4T} \\ \rightarrow \beta^n e^{-\beta \Delta} \end{matrix}} \right\} \begin{array}{l} \text{correct} \\ \text{in both limits} \end{array}$$

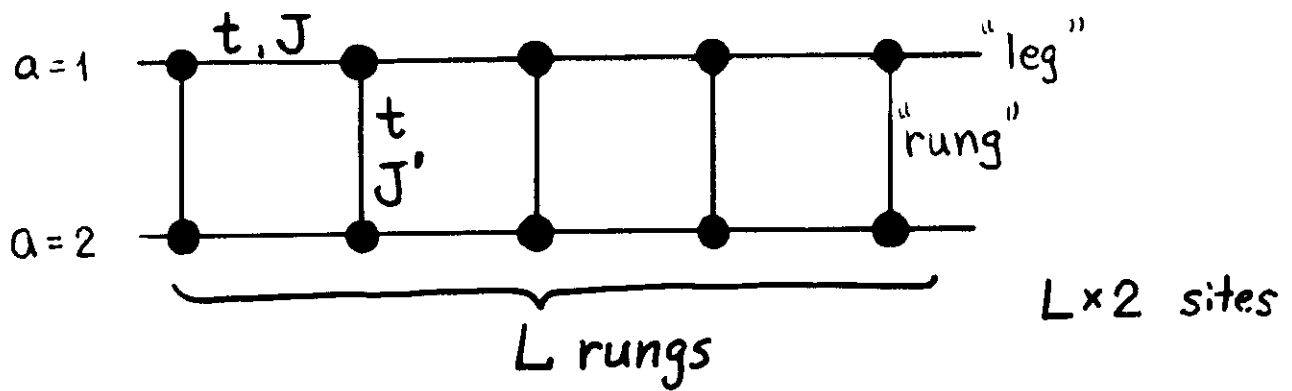
$$n = \frac{-1}{\alpha} + 1$$

$\uparrow$   
dispersion

(Troyer, Tsunetsugu  
Würtz, '94



# Model $t$ - $J$ Ladder (double chain)



Hamiltonian

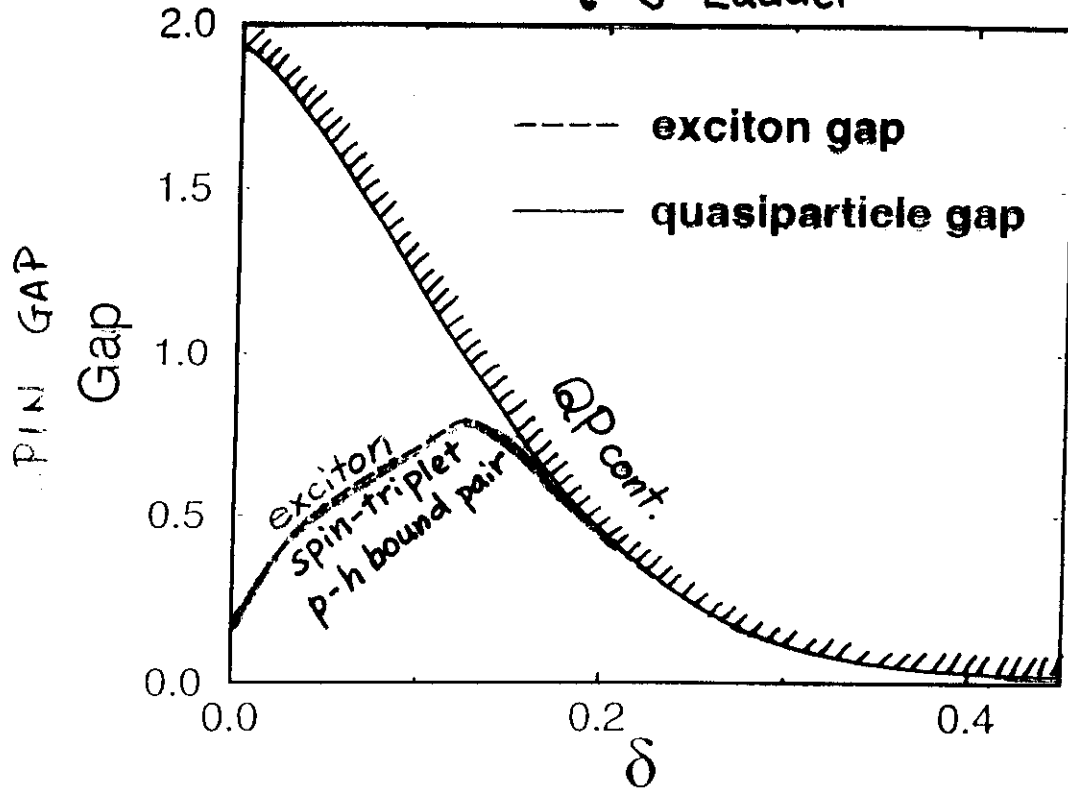
$$\begin{aligned}
 |d\rangle = & -t \sum_{j\sigma} \sum_{a \leftarrow \text{chain index}} C_{a\sigma}^\dagger(j) C_{a\sigma}(j+1) + \text{H.c.} && \text{cuprates} \\
 & -t \sum_{j\sigma} C_{1\sigma}^\dagger(j) C_{2\sigma}(j) + \text{H.c.} && \begin{matrix} \uparrow \\ J/t = 0.3 \end{matrix} \\
 & + J \sum_{j,a} \vec{S}_a(j) \cdot \vec{S}_a(j+1) - \frac{1}{4} n_a(j) n_a(j+1) && \\
 & + \textcircled{J'} \sum_j \vec{S}_1(j) \cdot \vec{S}_2(j) - \frac{1}{4} n_1(j) n_2(j) && (J'/t = 0.3)
 \end{aligned}$$

Local constraint:  $n_{a\uparrow}(j) n_{a\downarrow}(j) = 0$  for  $\forall j, a$

$J' \rightarrow \infty$  Simple Limit (decoupled rungs)  $\Rightarrow$  Good Starting Point

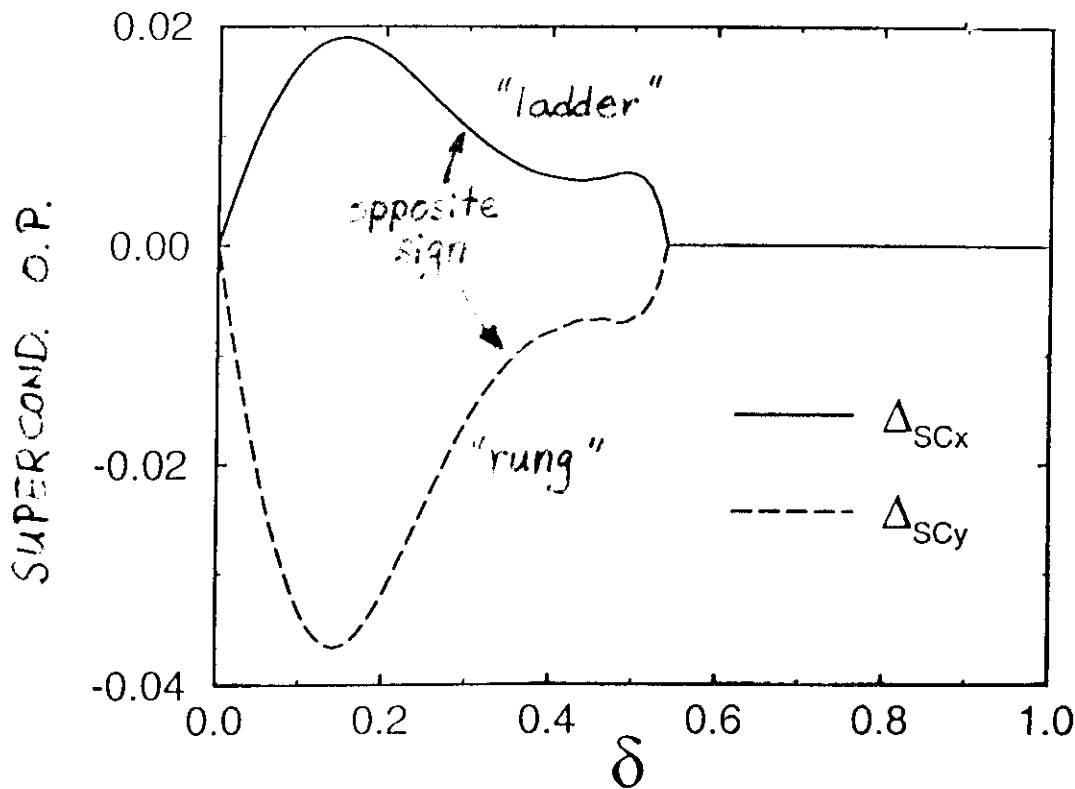
$$\text{Def. } \left\{ \begin{aligned}
 n_{a\sigma}(j) & \equiv C_{a\sigma}^\dagger(j) C_{a\sigma}(j) \\
 n_a(j) & \equiv \sum_{\sigma} n_{a\sigma}(j) \\
 \vec{S}_a(j) & \equiv \sum_{\alpha\beta} C_{a\alpha}^\dagger(j) \left( \frac{1}{2} \vec{\sigma} \right)_{\alpha\beta} C_{a\beta}(j)
 \end{aligned} \right.$$

t-J Ladder



$J/t = 0.3$   
 $J'/t = 0.3$

Fig. 2, Sigrist et al.



$J/t = 0.3$   
 $J'/t = 0.3$

Fig. 3, Sigrist et al.

# What we have done

Exact diagonalization (Lanczos)

$8 \times 2$  sites with 0, 1, 2, 3, 4 holes  
( $10 \times 2$ )

① Binding of 2 holes in Ground State

- $E_B$
- $\xi \leftarrow \langle n_h(r) n_h(0) \rangle$

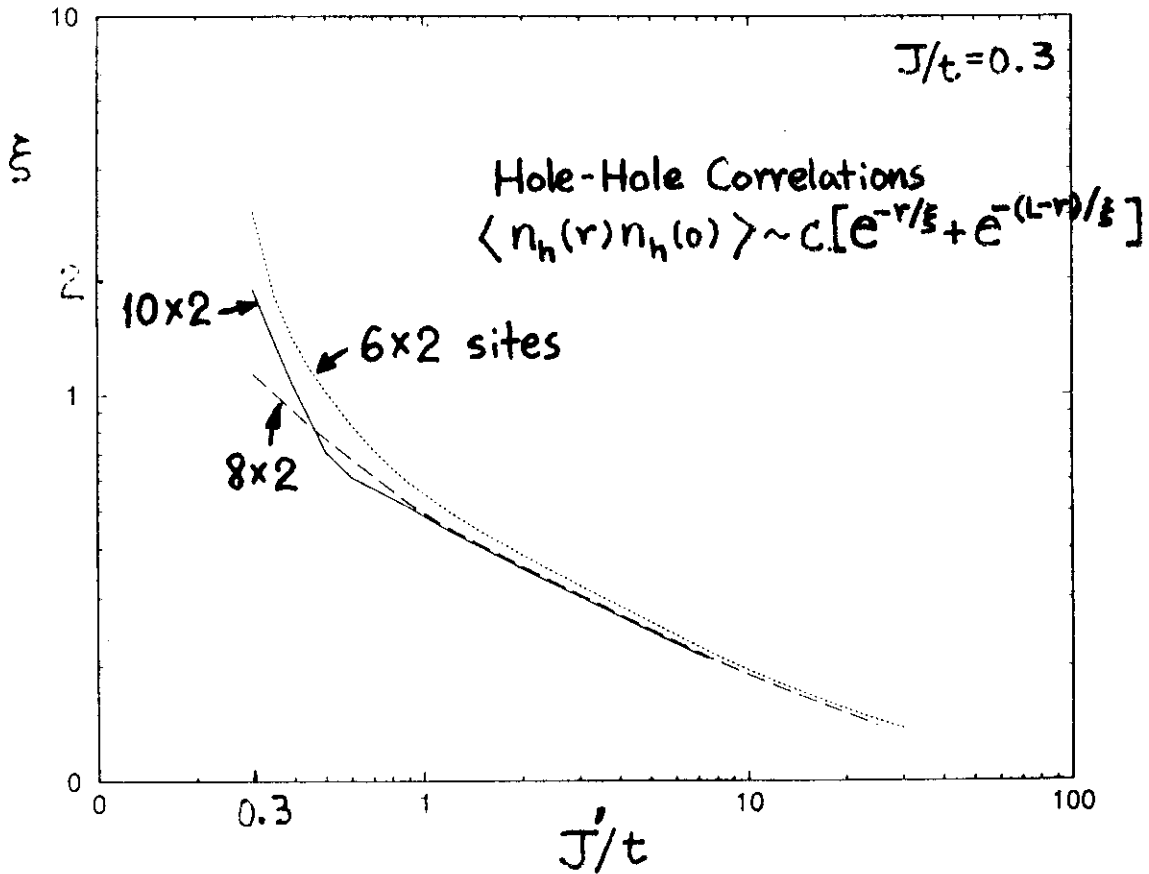
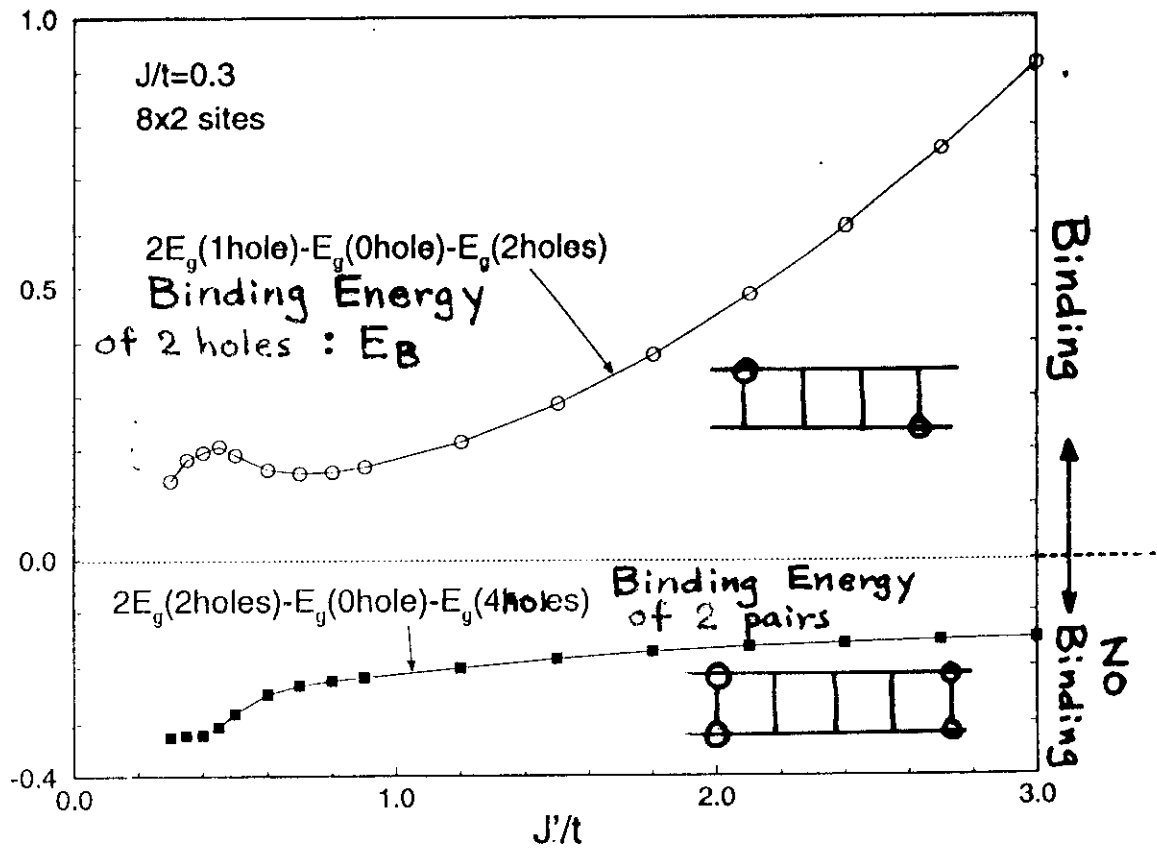
② Spin excitations

- $\Delta_S$
- $\langle n_h(r) n_h(0) \rangle, \langle n_h(r) S^z(0) \rangle$
- $S(k, \omega)$

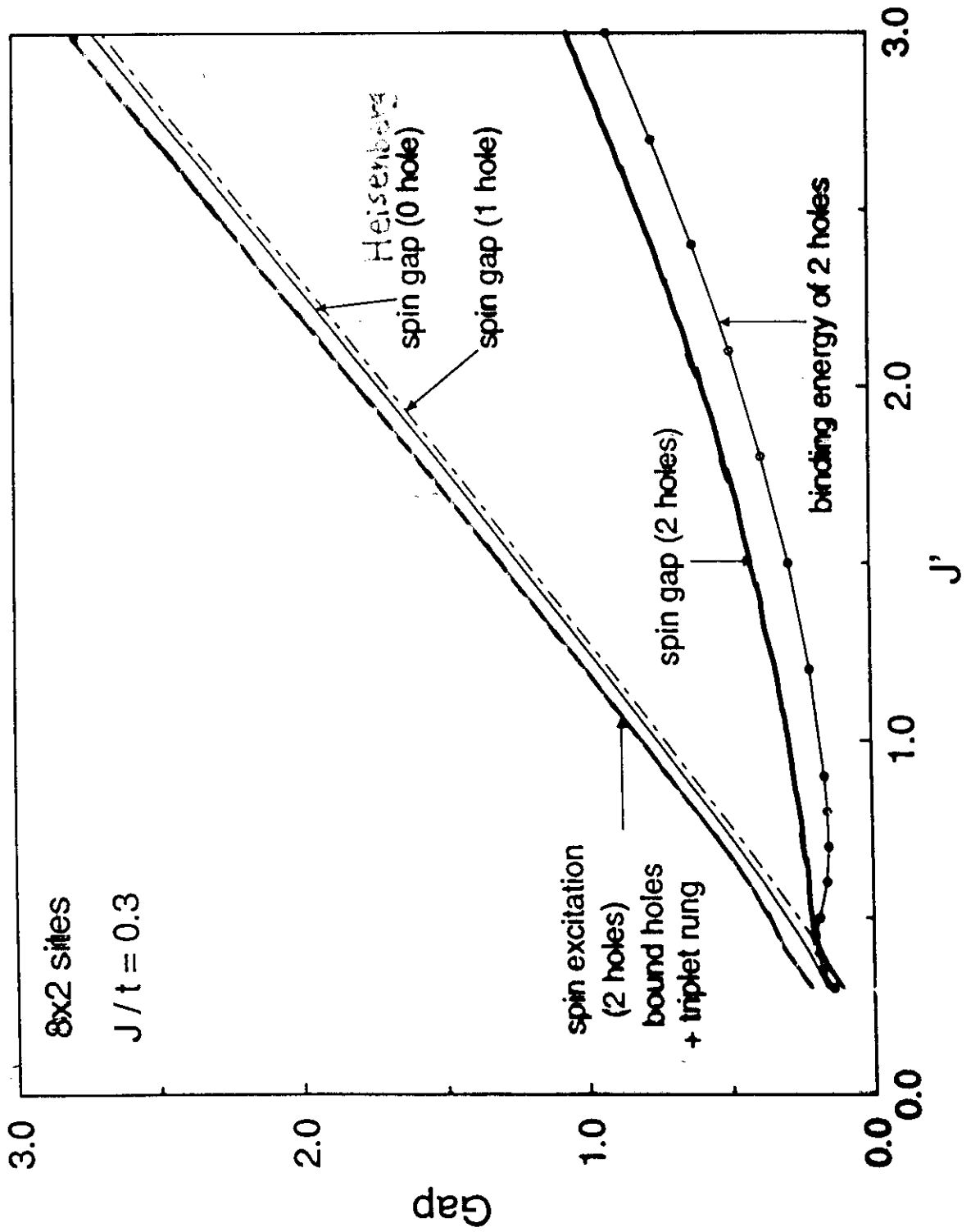
③ charge excitations / superconductivity

- energy spectrum (for 1, 2, 3 holes)
- spectral fn. of 1-particle Green's fn.  
(for 2 holes)

# Binding of 2 holes in the Ground State [t-J Ladder]



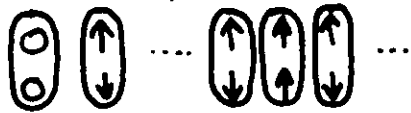
# Spin Gap in $8 \times 8$ Ladder





## 2 Types of Spin Excitations upon doping — ( $J' \gg t, J$ )

[1] Triplet away from the bound hole pair



2 singlets are broken

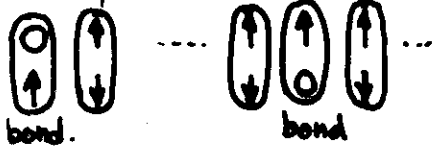
$$t_{\text{eff}} \begin{pmatrix} 0 \\ 0 \end{pmatrix} \sim \frac{t^2}{J' - \frac{4t^2}{J'}}$$

kin. energy. (present in the G.S.)

$$t_{\text{eff}} \begin{pmatrix} \uparrow \\ \uparrow \end{pmatrix} \sim \frac{J}{2}$$

extra kin. energy gain

[2] Holog-Spinon Bound Pair



2 singlets are broken

$$E \begin{pmatrix} 0 \\ \uparrow \end{pmatrix}_{\text{bond}} \sim -t$$

chemical pot.

$$t_{\text{eff}} \begin{pmatrix} 0 \\ \uparrow \end{pmatrix}_{\text{bond}} \sim \frac{t}{2}$$

extra kin. energy gain

[ENERGY]

$$E_{\text{exc}}^{[1]} \sim J' - J$$

$$E_{\text{exc}}^{[2]} \sim J' - 2t - 2t + 2 \frac{t^2}{J' - \frac{4t^2}{J'}}$$

$$\left. \begin{array}{l} E_{\text{exc}}^{[2]} < E_{\text{exc}}^{[1]} \end{array} \right\}$$

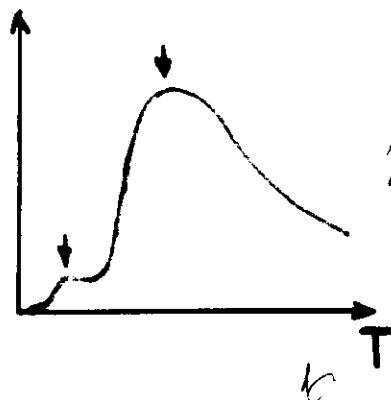
[# of allowed excitations]

$$[1] \propto 1 - \delta$$

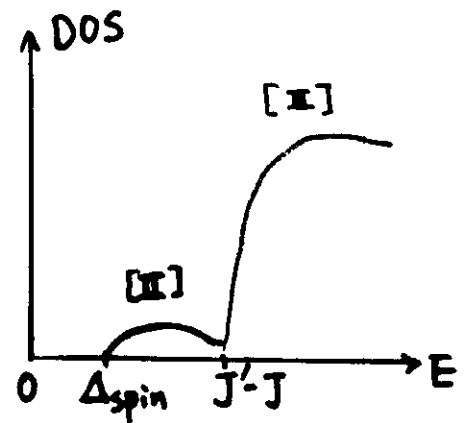
$$[2] \propto \delta$$

small doping

$$\# [1] \gg \# [2]$$



$\chi(T) \Leftarrow$



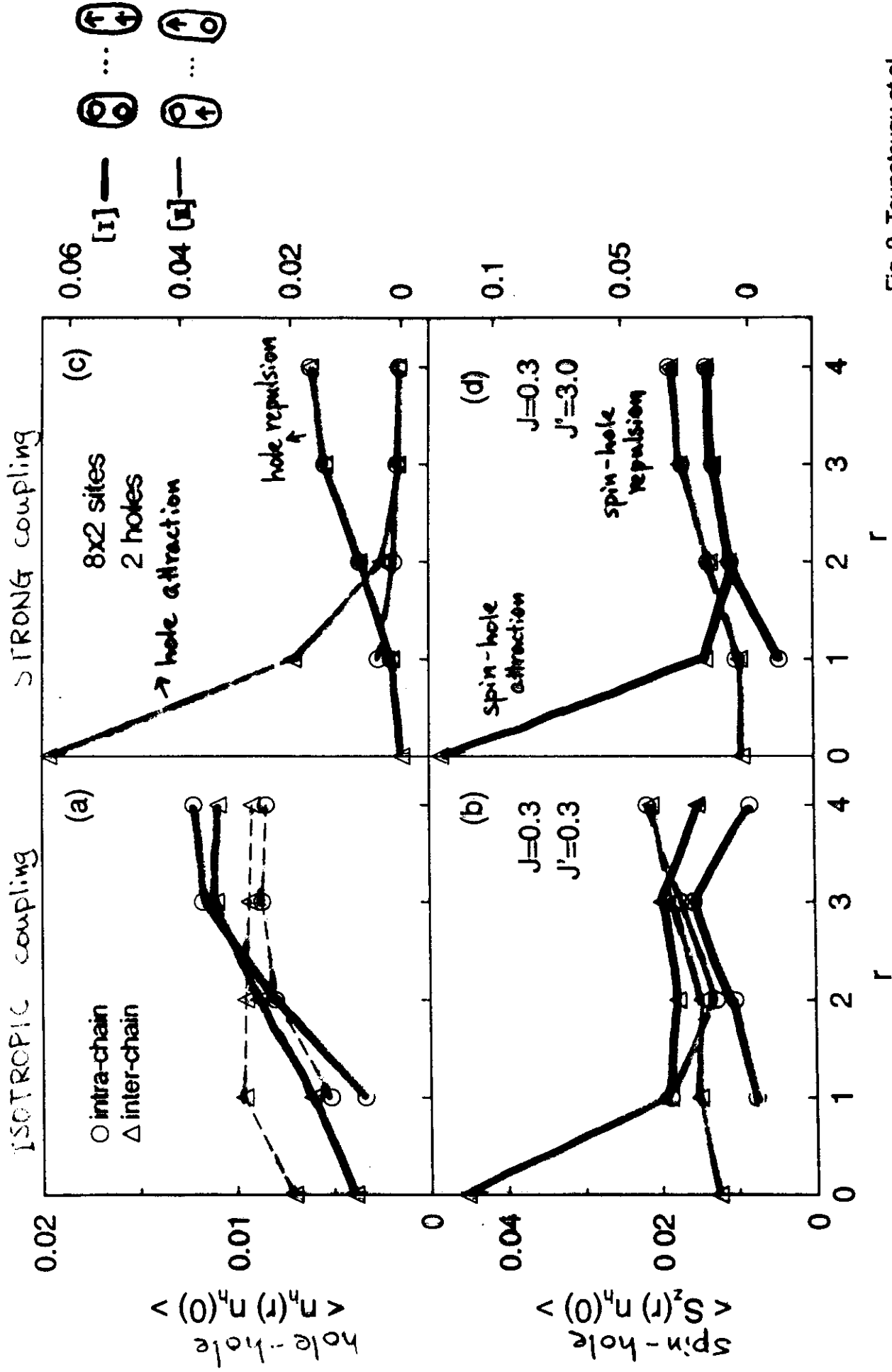
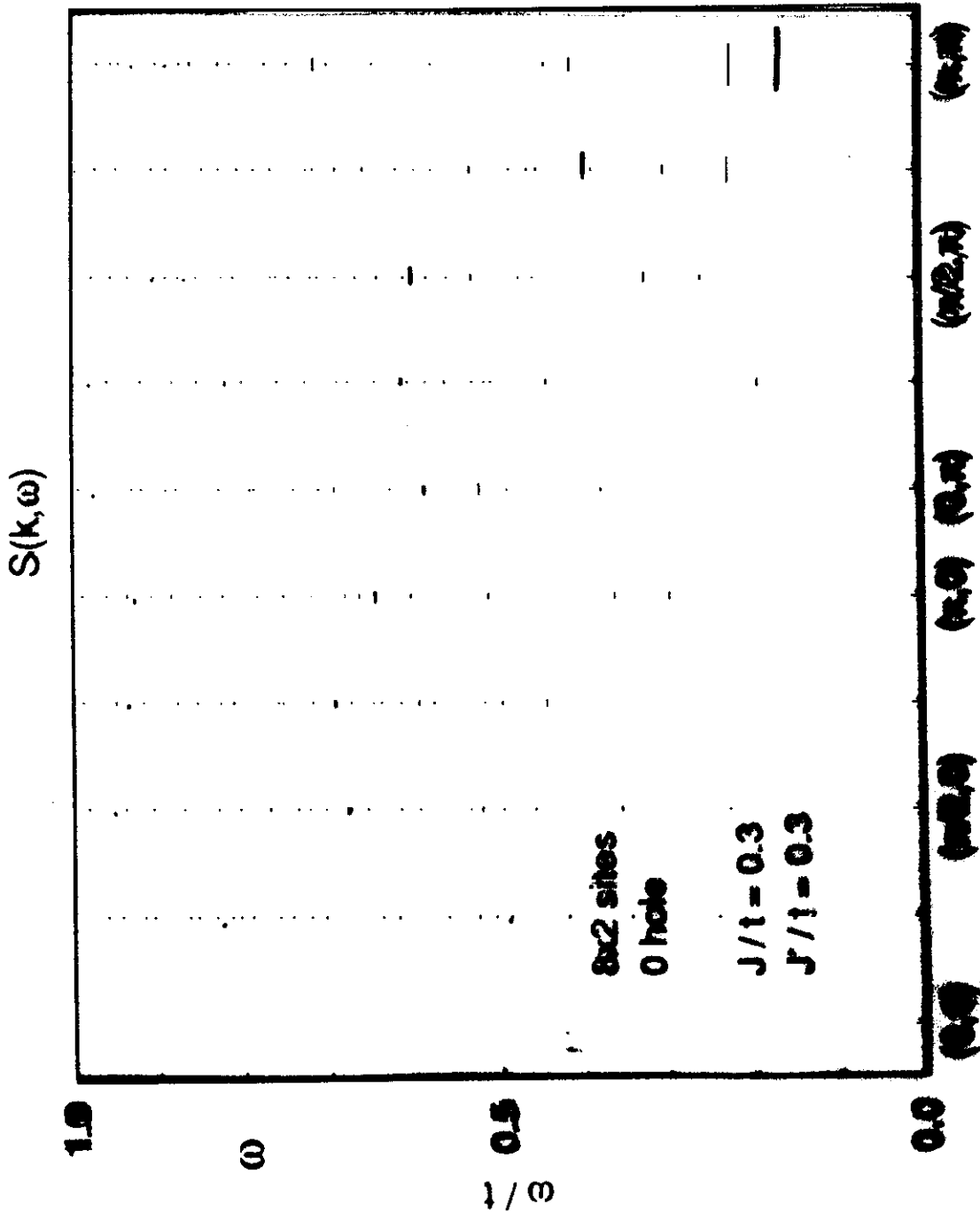


Fig. 2 Tsunetsugu et al.

# Dynamical Spin Structure Factor

Fig. 3 Tsumetsugu et al.



2 holes /  $8 \times 2$  sites

APBC

$J/t = 0.3$

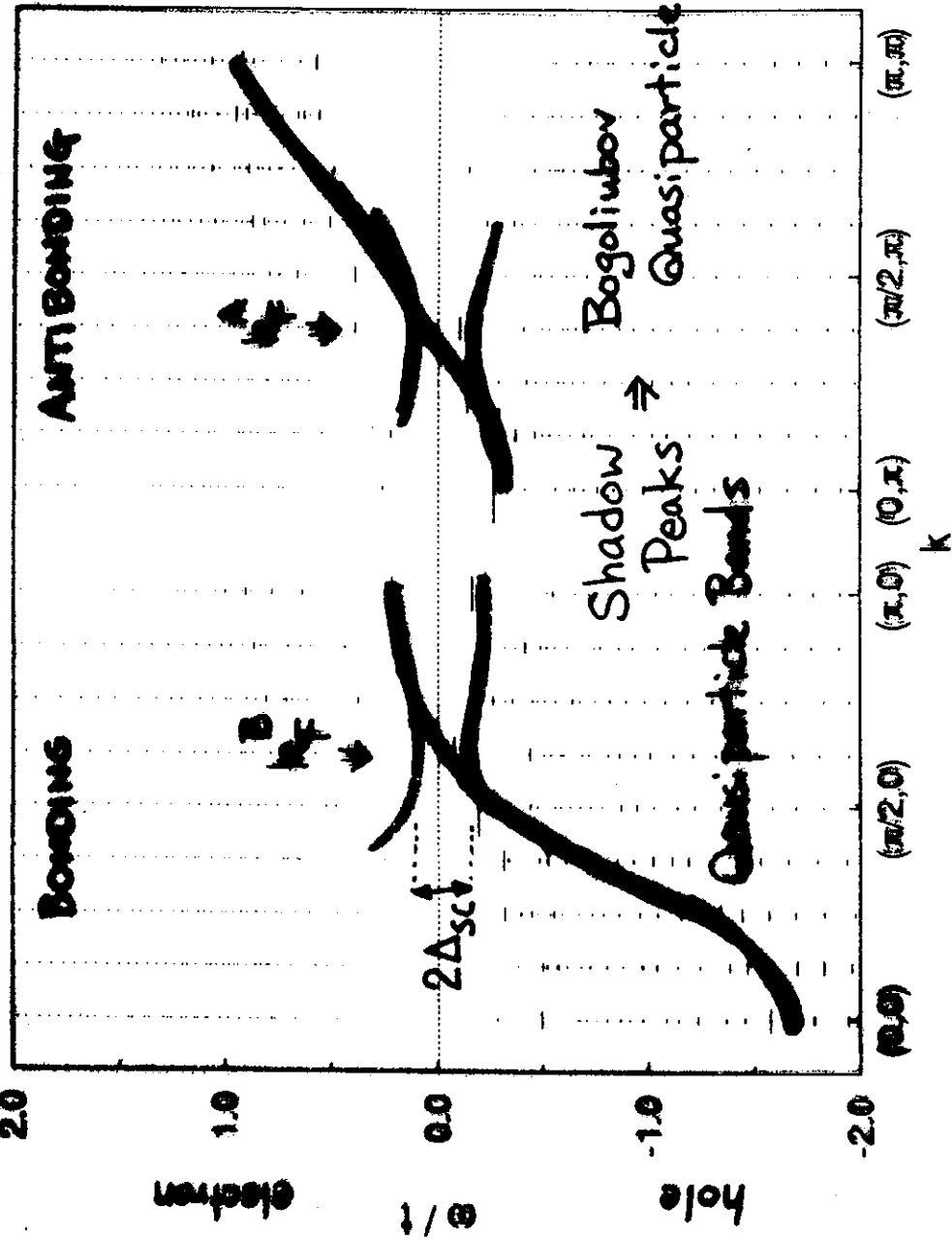
$J'/t = 0.3$

$$S(k, \omega) = \sum_n |\langle n | S_k^\dagger | G_S \rangle|^2 \delta(E - E_n + E_{G_S})$$

# t-J Ladder

$A(k, \omega)$ : Spectral fn. of the 4-particle Green fn.

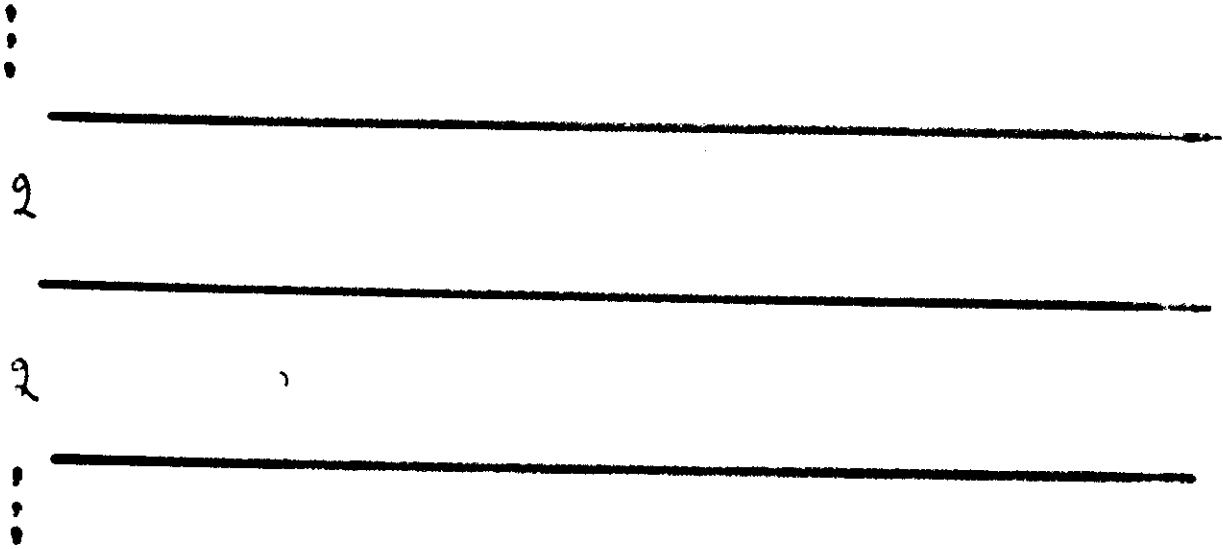
2 holes  
 $8 \times 2$  sites  
 $J = J' = 0.3t$

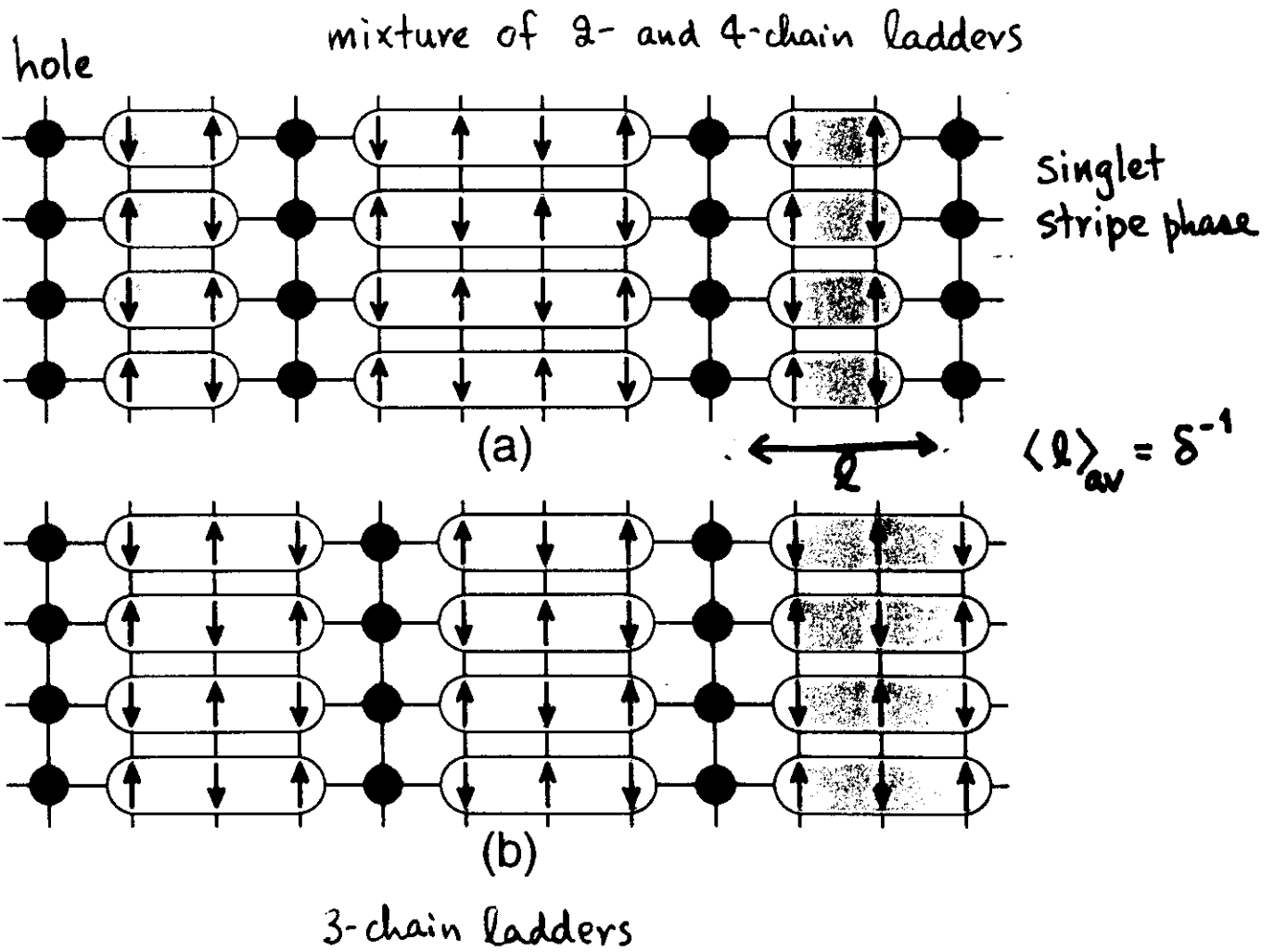


Split of the 2 bands  
 $\rightarrow$  CONSERVED interaction hopping

$k_F^2 = k_F^2 \text{ or } \pi(1-\delta)$   
 Luttinger's sum rule  
 $\rightarrow$  LARGE Fermi surface

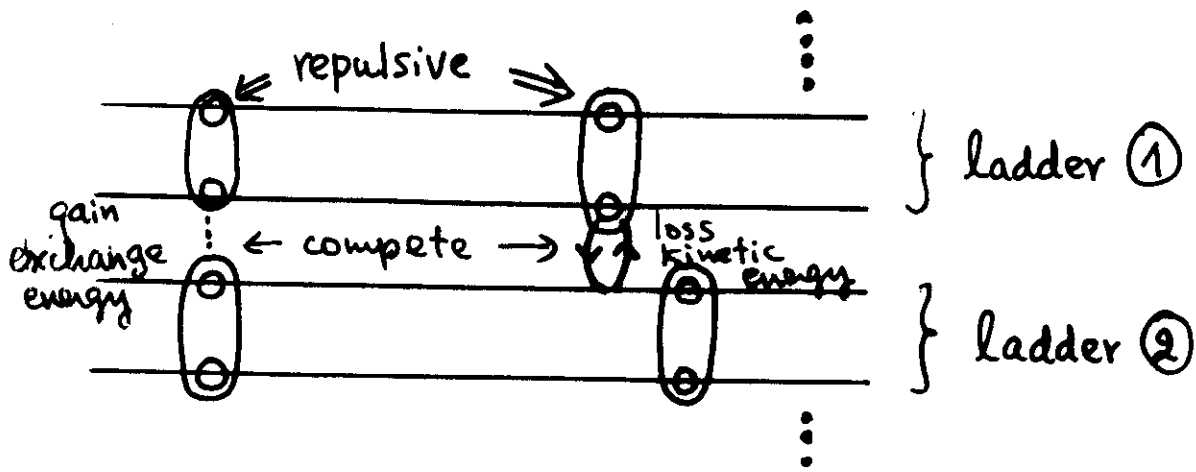
# coupled ladders





$$E_G(3\text{-chain}) > \left[ \frac{1}{3} E_G(2\text{-chain}) + \frac{2}{3} E_G(4\text{chain}) \right]$$

# coupled ladders



singlet stripe phases may appear

- if
- ① intra-ladder repulsion is so large that CDW correlations are dominant ( $K_F < 1$ )
  - ② inter-ladder interaction is attractive

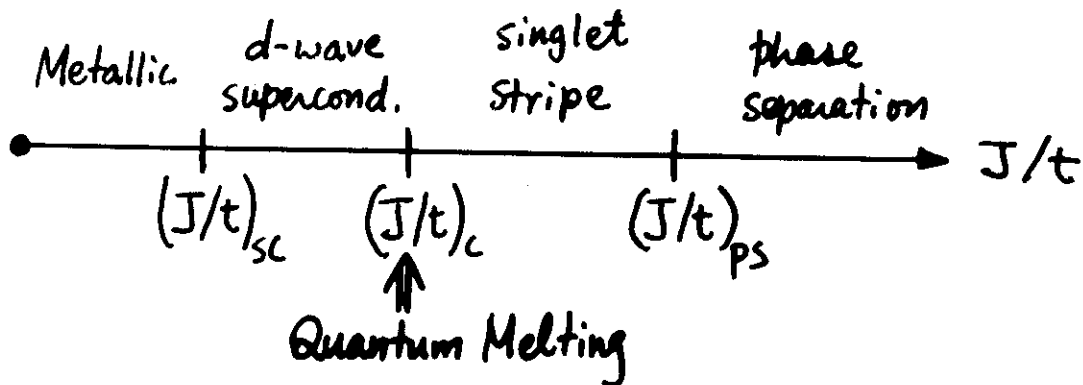
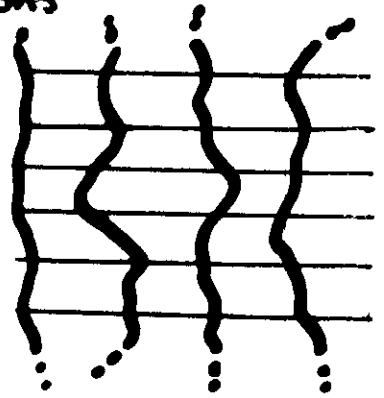
these conditions would be satisfied near the phase separation boundary

with decreasing  $J/t$ , inter-ladder interactions become repulsive

↓  
instability of singlet stripe phases

|||  
Quantum Melting of hole lines

↓  
Bose condensation of hole pairs



# CONCLUSIONS

## (1) Heisenberg Ladders (2-chain)

"magnon" excitation = bound state of spinons

multi-"magnon" excitations  $\rightarrow$  describe thermodynamics very well

## (2) Doped t-J Ladders

$\approx$  low density tightly bound pairs (cf. negative-U Hubbard)

But the Fermi surface is large  $\propto n_e$

2 types of spin excitations

{ HIGH energy part — continuous evolution from  $S=0$   
non-FL like  
LOW energy part — appear only upon doping  
QP like (charge +1e, spin  $1/2$ )  
= spinon + holon bound pair

"Quasiparticle" spectra à la Bogoliubov

$$2\Delta_{QP} \approx \Delta_S \approx E_B$$

## (3) 2D t-J model

singlet stripe phases — "CDW" stabilized <sup>by</sup> quantum spin fluctuations

$\Downarrow$  Quantum Melting Transition

superconducting ( $d_{x^2-y^2}$  symmetry)