



INTERNATIONAL ATOMIC ENERGY AGENCY
UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
I.C.T.P., P.O. BOX 586, 34100 TRIESTE, ITALY, CABLE: CENTRATOM TRIESTE



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**WORKSHOP ON
"NON-LINEAR ELECTROMAGNETIC INTERACTIONS
IN SEMICONDUCTORS"**

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"Strongly correlated electrons in a quantum zing"

A.V. CHAPLIK
Academy of Sciences
Institute of Semiconductor Physics
Pr. Lavrenteva 13
630090 Novosibirsk
Russia

These are preliminary lecture notes, intended only for distribution to participants

MAIN BUILDING STRADA COSTIERA, 11 TEL. 22401 TELEFAX 224163 TELEX 460392 ADRIATICO GUEST HOUSE VIA GRIGNANO, 9 TEL. 224241 TELEFAX 224531 TELEX 460449
MICROPROCESSOR LAB. VIA BEBUT, 31 TEL. 224471 TELEFAX 224600 TELEX 460392 GALILEO GUEST HOUSE VIA BEBUT, 7 TEL. 22401 TELEFAX 2240310 TELEX 460392

Strongly correlated electrons in a quantum ring.

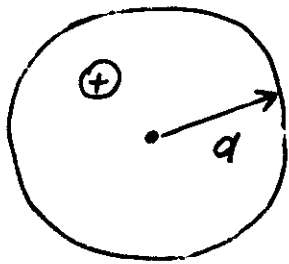
A.V. Chaplik, A.O. Govorov (Novosibirsk)

V.M. Fomin and L. Wendler (Halle)

1. Free electrons
2. Coulomb int. and adiabatic approximations
3. Selection rules for 1D Wigner molecule
4. Persistent current
5. Importance of the finite width of QR
6. Optical properties of QR (FIR absorption)
7. Scattering by impurities

Minky, Chapelier, Benoit
(1993)

Model of free electrons



$$E_m = B_0 (m - \Phi/\Phi_0)^2$$

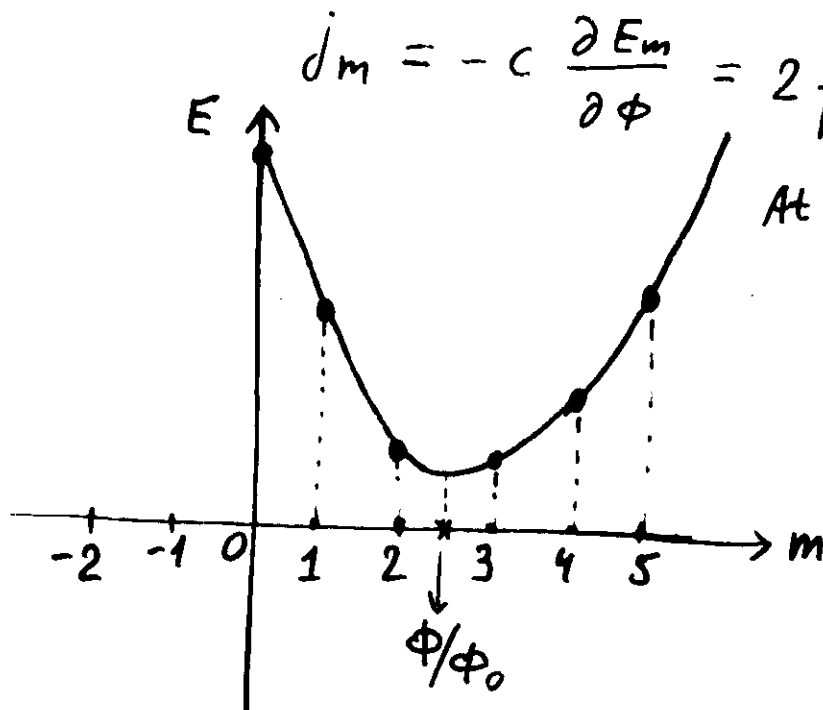
$$B_0 = \frac{\hbar^2}{2m^*a^2}$$

$$\psi_m = \frac{1}{\sqrt{2\pi}} e^{im\phi}$$

$$\Phi_0 = hc/e$$

$$m = 0, \pm 1, \pm 2$$

$$j_m = -c \frac{\partial E_m}{\partial \Phi} = 2 \frac{e}{h} B_0 (m - \Phi/\Phi_0)$$



At $T=0$ the net current is determined by the "last" electron.

In a ballistic system oscillations of the total current $I = \sum_m j_m$ are due to a redistribution of electrons between energy levels which are shifted by the varying magnetic field.

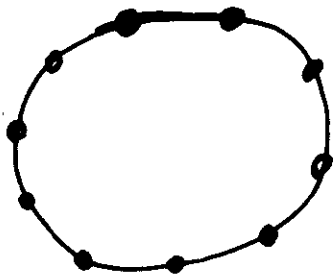
$$T=0, \quad I = (-1)^N \frac{2eB_0N}{h} \left[\frac{\Phi}{\Phi_0} - \text{Int} \left(\frac{\Phi}{\Phi_0} + \frac{1}{2} \right) \right]$$

Sawtooth like curve, the period = Φ_0

The importance of the Coulomb int. depends on the parameter

$$\frac{\text{kin. energy}}{\text{Coulomb en.}} \sim \frac{B_0 m^2}{N \tilde{e}^2 / a} = \frac{\hbar^2 m^2}{2 m^* \tilde{e}^2 a} \sim \frac{\alpha_B^*}{N a} \left(\frac{N}{4}\right)^2$$

For a few electron ring e-e int. predominates, if $T \leq \tilde{e}^2 / a \sim 1 \text{ K}$ for $a \sim 1 \mu$ in GaAs.



1D ring-shaped molecule, strong corr.

$$E_{\text{Fermi}} \lesssim \frac{\tilde{e}^2}{a}$$

(Krope et al (1993) - 1D Wigner crystal, continual model, described by the Hamiltonian of a string).

In the molecule the vibr. frequency

$$\omega_v^2 \sim \tilde{e}^2 / m a^3$$

$$\omega_{\text{rot}} / \omega_{\text{vib}} \sim B_0 / \hbar \omega_v \sim \left(\alpha_B^* / a \right)^{1/2} \ll 1$$

For $N \gg 1$ $\omega_{\text{rot}} \sim 1/N$, $\omega_v \sim \sqrt{N \epsilon_u N}$

and adiabatic criterion $\omega_{\text{rot}} \ll \omega_{\text{vib}}$ is satisfied still better.

Separation of rotation and vibrations

$$\varphi_0 = \frac{1}{\sqrt{N}} \sum_{k=1}^N \varphi_k, \quad \theta_1 = \frac{\varphi_1 - \varphi_2}{\sqrt{1 \cdot 2}}, \quad \theta_2 = \frac{\varphi_1 + \varphi_2 - 2\varphi_3}{\sqrt{2 \cdot 3}} \dots$$

$$\Psi = \exp i \left(\frac{\phi}{\phi_0} \sqrt{N} \varphi_0 \right) \cdot \chi(\varphi_0; \theta_k)$$

$$\boxed{A\varphi = \phi/2\pi q} \quad -B_0 \left(\frac{\partial^2}{2\varphi_0^2} + \sum_{k=1}^{N-1} \frac{\partial^2}{2\theta_k^2} \right) \chi +$$

$$+ W_{int}(\theta_k) \chi = E \chi$$

$$E = B_0 \chi^2 + E_{int} \quad (B_0 \chi^2 = E_{rot})$$

The periodicity cond. for rigid rotation $\varphi_k \rightarrow \varphi_k + 2\pi$

$$\frac{2\pi N}{\sqrt{N}} \left(\frac{\phi}{\phi_0} \sqrt{N} + \chi \right) = 2\pi J, \quad J = 0, \pm 1, \pm 2$$

$$E_{rot} = B_0 \chi^2 = \frac{B_0}{N} \left(J - N\phi/\phi_0 \right)^2$$

AND E_{int} DOES NOT DEPEND ON ϕ ,
HENCE, HAS NO EFFECT ON THE PERS. CURRENT.

Formally E_{rot} corresponds to the rotator with the mass Nm^* and the charge Ne . This would give the pers. current oscillating with the period ϕ_0/N had we not a deal with fermions.

But the Pauli exclusion principle selects allowed quantum numbers J .

For 2 electrons: ortho- and parastates

$$S=0 \quad J=\text{even}; \quad S=1 \quad J=\text{odd}$$

For 3 electrons:

$$S=3/2 \quad \text{Term } A_2 \quad J=0, \pm 3, \pm 6 \dots$$

$$S=1/2 \quad \text{Term } E \quad J=\pm 1, \pm 2; \pm 4, \pm 5; \dots$$

N electrons in superortho state $S=N/2$

Rotation by the angle $\frac{2\pi}{N}$ = cyclic perm. of N fermions

$$e^{i \frac{2\pi J}{N}} = (-1)^{N-1} = e^{i\pi [(N-1) + 2u]}$$

$$u = \text{integer}$$

For odd N : $J = N \times \text{integer}$

For even N : $J = N \times \text{half-integer}$

Other values of " J " are possible for $S < \frac{N}{2}$.

Persistent current

Rotational part of the stat. sum

$$N = \text{odd} \quad Z_N = \sum_{k=-\infty}^{+\infty} \exp[-\beta N B_0 (k \cdot \phi/\phi_0)^2] \quad \beta = \frac{1}{T}$$

$$N = \text{even} \quad \dots \quad \phi/\phi_0 \rightarrow \phi/\phi_0 + 1/2$$

Fourier expansion for Z_N :

$$Z_N = \sqrt{\pi} \sum_{z=-\infty}^{+\infty} \left\{ \frac{1}{(-1)^z} \right\} \frac{\exp(-\pi^2 z^2 / N \beta B)}{\sqrt{N \beta B}} \cos 2\pi z \frac{\phi}{\phi_0}$$

For $T=0$ only one term "survives" in Z_N

$$J = (-1)^N \frac{2eNB}{h} \left[\frac{\phi}{\phi_0} - \text{Int}\left(\frac{\phi}{\phi_0} + \frac{1}{2}\right) \right]$$

This coincide with cont. model of Wigner crystal and with free electron model.

But both for spinless fermions ($S = N/2$)

For $T \gtrsim NB$

$$J = (-1)^N \frac{4\pi T e}{\phi_0} e^{-\pi^2 T / NB} \sin 2\pi \phi / \phi_0$$

Coins. with cont. model of the Wigner crystal but differs from f.e.m. (Kulik 1970)

$$J = -\frac{4\pi e T}{\phi_0} N e^{-\pi^2 T / B} \sin 2\pi \phi / \phi_0$$

QR of a finite width

The number of the vibz. degrees of freedom $= 2N-1$

New effects: nonhardness of the rotator +
interaction of vibrations and rotation

Parabolic radial confinement $U = \frac{m^* \Omega^2}{2} (p-a)^2$

1) AB-situation (electrons outside the magn. field)

a) renormalization of B_0 of the order of $B_0 / \hbar \Omega \ll 1$

b) contribution to $E_{rot} \propto (J - N\hbar)^2$, what gives
an addition to the temp. dependence of pers cur.

$$\Delta J / J \sim T^3 / B_0^2 m \Omega^2 a^2 \ll 1$$

SAME FLUX DEPENDENCE $\sin 2\pi \Phi / \Phi_0$

2) Magn. field everywhere ($A_\varphi = B\rho/2$)

Violation of the periodic dependence $J(\Phi)$

$$J = J_{\text{ideal ring}}(\tilde{\Phi}_0, \tilde{B}_0) - \text{const} \frac{c B_0}{\pi \Omega} \cdot \frac{\Phi}{\Phi_0^2}$$

$\tilde{\Phi}_0$ and $\tilde{B}_0$ are renormalized

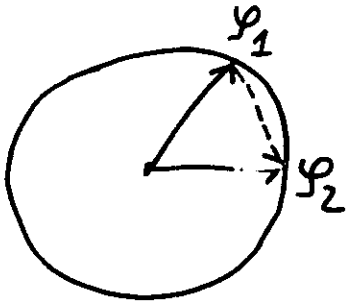
values of Φ_0 and B_0

The period of oscillations is now

(slightly!) different from Φ_0 .

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Optics of 2-electron QR (ring shaped "helium")

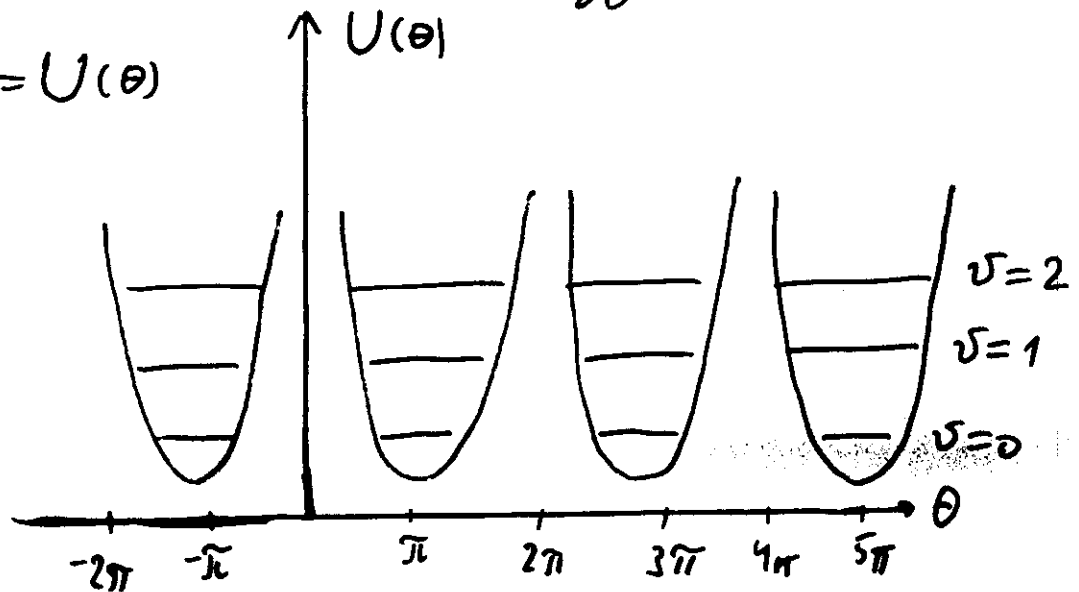


$$U_{int} = \frac{\tilde{e}^2}{2a \left| \sin \frac{\varphi_1 - \varphi_2}{2} \right|}$$

$$\varphi = \frac{\varphi_1 + \varphi_2}{2}, \quad \theta = \varphi_1 - \varphi_2$$

$$\hat{H} = \frac{B}{2} \left(i \frac{\partial}{\partial \varphi} + 2 \frac{\phi}{\Phi_0} \right)^2 - 2B \frac{\partial^2}{\partial \theta^2} + U_{int}(\theta)$$

$$U(\theta + 2\pi) = U(\theta)$$



The total wave function

$$\Psi(\varphi, \theta) = e^{im\varphi} f(\theta)$$

where $f(\theta)$ has the Bloch form

$$f(\theta) = e^{ip\theta} u(\theta)$$

$$-\frac{1}{2} < p \leq \frac{1}{2}$$

$$u(\theta + 2\pi) = u(\theta)$$

Shift of φ_1 and φ_2 INDEPENDENTLY by 2π
must retain Ψ unchanged.

$$e^{im\pi \pm ip2\pi} = 1$$

a) $m = 2k \rightarrow p = 0$ (center of k_2 zone)

b) $m = 2k+1 \rightarrow p = \pm \frac{1}{2}$ (edge of k_2 zone)

$$f(\theta) = \sum_{z=-\infty}^{+\infty} \Psi_{osc} [\theta - (2z+1)\pi] e^{i\pi p(2z+1)}$$

$\Psi_{osc.}$ is even or odd with resp. to $\delta_z = \theta - \pi(2z+1)$

Pauli principle

$$\varphi_1 \rightleftharpoons \varphi_2 = \begin{cases} \varphi \rightarrow \varphi \\ \theta \rightarrow -\theta \end{cases}$$

Selection rules for the energy terms

$\uparrow\uparrow$ $S=1$ $p=0$ $m = \text{even}$ $\nu = \text{odd}$

$\uparrow\uparrow$ $S=1$ $p=\frac{1}{2}$ $m = \text{odd}$ $\nu = \text{even}$

For $\uparrow\downarrow$ $S=0$ - vice versa

Dipole transitions.

$$V_{int} = -eF(\cos\varphi_1 + \cos\varphi_2) = \\ = -2eF\cos\varphi \cdot \cos\frac{\theta}{2}$$

Only vicinities around the points $\theta = \pm\pi, \pm3\pi \dots$ are essential; $V_{int} \sim (\theta - \pi) \dots$

$$\langle \cos\varphi \rangle_{mm'} \neq 0 \quad \text{for } \Delta m = \pm 1$$

$$\langle \cos\frac{\theta}{2} \rangle_{\nu\nu'} = \langle \sin\frac{\delta}{2} \rangle_{\nu\nu'} \neq 0 \quad \text{for } \nu, \nu' \text{ of different parities.}$$

Only combined vibrational-rotational transitions are possible (non-spin-flip!)

For small oscillations: $\Delta\nu = \pm 1$

$$\omega_{FIR} = \omega_\nu \pm mB + B/2$$

If δ is not small, the ν -selection rule is $\Delta\nu = \text{odd}$

$$\omega_{FIR} = 3\omega_\nu, 5\omega_\nu \dots \pm mB + B/2$$

Scat. by Impurities

$$V_{sc.} = \sum_K V_{im.}(\varphi_K) = \sum_K V_{im.}(\varphi_0; \text{lin. comb. } \theta_K)$$

For 2 electrons

$$V_{sc.} = V_{im.}(\varphi_0 + \theta/2) + V_{im.}(\varphi_0 - \theta/2)$$

Thus, impurities can be treated as a mechanism of interaction between rotation and vibrations in Wigner molecule.

Adiabatic potential is $V_{sc.}$ averaged over "fast" variables θ_K . For 2 electrons, zero point oscillations and δ -like V_{imp} :

$$V_{ad.}(\varphi_0) = \frac{V_0}{\sqrt{\pi\delta}} e^{-\frac{(\varphi_0 - \pi/2)^2}{\delta}} + \frac{V_0}{\sqrt{\pi\delta}} e^{-\frac{(\varphi_0 + \pi/2)^2}{\delta}}$$
$$\delta = \langle \delta^2 \rangle$$

This is effective potential energy for a plane rotator; φ_0 = "slow" variable.

