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Geometric invariant theory (II)

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These are preliminary lecture notes, intended only for distribution to participants

Chap. II - GIT over an arbitrary base

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Here we follow the treatment in []. It turns out that there are no essential difficulties in carrying out GIT over an arbitrary base. However, ^{the point is to find} the basic definitions, hypotheses and formulae in this task, ~~are not~~ In this exposition we will insert on these rather than detailed proofs.

§ 1. Preliminaries:

For simplicity we work over a base scheme S which is affine, $S = \text{Spec } R$ (R noetherian ring with 1).

Let $G = \text{Spec } R[G]$ be an affine group scheme over S . Let V be an R -module. Then V is said to be a G - S module (or G - R module or shortly G -module) if for every R -algebra A , we are given a homomorphism (of groups)

$$\varphi_A : G(A) \rightarrow \text{Aut}_{A\text{-mod}}(V \otimes_R A)$$

which is functorial in A . When $g \in G(A)$ and $v \in V \otimes_R A$, we often simply write gv instead of $\varphi_A(g)v$. It is easily seen that V is a G -module if and only if we are given an R -linear map

$$V \rightarrow V \otimes_R R[G]$$

which makes V into a comodule under the coalgebra (or bialgebra) $R[G]$ over R .

The R -algebra $R[G]$, considered as an R -module, has two natural G -module structures called the left regular and right regular representations respectively. ~~then~~ These

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can be seen as follows: To define $G(A)$ module structures on the A -module $R[G] \otimes_R A (= A[G \otimes_R A])$. An element $F \in R[G] \otimes_R A$ is simply giving maps:

$$F_{A'} : G(A') \rightarrow A', \quad A' \text{ an } A\text{-algebra}$$

which are functorial in A' . We have canonical homomorphisms

$$p_{A'} : G(A) \rightarrow G(A')$$

Then if $s \in G(A)$, it is clear that the left and right regular representations are defined respectively, as follows: Let $g \in G(A')$.

$$(i) \quad L_s F_{A'}(g) = F_{A'}(p_{A'}(s^{-1})g) \text{ or simply } F_{A'}(s^{-1}g)$$

$$(ii) \quad R_s F_{A'}(g) = F_{A'}(gs).$$

Let V_1, V_2 be two G - R modules. We have the notion of a G -homomorphism $\varphi : V_1 \rightarrow V_2$, namely φ is R -linear and $\varphi \otimes_A R$ is a $G(A)$ -homomorphism for every R -alg A (functorial in A).

~~If V_1 is an R -module of~~ If V_1, V_2 are two G - R modules and V_1 is an R -submodule of V_2 such that the inclusion is a G -homomorphism, we say that V_1 is a G -submodule

of V_2 . If, moreover, the canonical homomorphism is

injective $\forall$ R -algebra A , we say that V_1 is a pure

G -submodule of V_2 . If V_1 is a direct summand of V_2 (as an R -module) and V_1 is a G -submodule of V_2 , then

V_1 is a pure G -submodule of V_2 . Any R -module has a trivial G -module structure, namely we define

$gv = v$, if $g \in G(A)$ and $v \in V \otimes_R A$. The structure morphism $G \rightarrow S$ is given by $R \rightarrow R[G] (= R \otimes_R R[G])$. It is easy to see that this gives the trivial G -module structure on R (constants).

An element $v \in V$, where V is a G -module, is called G -invariant if $\forall R$ -algebra $G(A) v = v$ (to be strict v denotes the element $v \otimes 1$ in $V \otimes_R A$), or equivalently, the R -homomorphism $R \rightarrow V$, defined by $1 \mapsto v$, is a G -homomorphism, or ~~under~~ equivalently under the comodule structure $V \rightarrow V \otimes_R R[G]$, $v \mapsto v \otimes 1$. The set of G -invariants is an R -submodule of V , denoted as V^G . In fact V^G is a G -submodule of V (V^G endowed with the trivial G -module structure). An element $v \in V \otimes_R A$ (A R -algebra, which is $G \otimes_R A$ invariant is sometimes called for shortness, a G -invariant element of $V \otimes_R A$).

Let V be a G -module. Then $\forall R$ -algebra A , the A -module $\text{Hom}_A(V \otimes_R A, A)$ has a canonical $G(A)$ module structure (contragredient to the $G(A)$ action on $V \otimes_R A$). However, these data need not define a G -module on $V^* = \text{Hom}_R(V, R)$. Suppose that V is free of finite rank over R . Then we get a canonical G -module structure on V^* , for we have

$$V^* \otimes_R A \cong \text{Hom}_A(V \otimes_R A, A)$$

Let $\langle, \rangle$ denote the canonical pairing ~~between~~ between $V \otimes_R A$ and $V^* \otimes_R A$. Then we have

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$$\langle v, v^* \rangle = \langle gv, gv^* \rangle; \quad v \in V \otimes_R A, \quad v^* \in V^* \otimes_R A, \\ g \in G(A).$$

Given $v \in V$ and $v^* \in V^*$, we can define the "matrix coefficient function" u_{v, v^*} (as in Remark 3, Chap. I), namely define

$$u_{v, v^*, A} : G(A) \rightarrow A, \quad A \text{ an } R\text{-algebra}$$

$$u_{v, v^*, A}(g) = \langle v, gv^* \rangle, \quad g \in G(A)$$

We check that this is functorial in A so that these data define an element $u_{v, v^*} \in R[G]$. Fixing v^* , we get an R -linear map

$$\delta_{v^*} : V \rightarrow R[G]$$

which is checked to be a G -homomorphism for the left regular representation of G on $R[G]$. If $V \rightarrow V \otimes_R R[G]$ is the comodule structure, we check that δ_{v^*} is obtained by contracting this with respect to v^* .

Let X be an S -scheme. An action (or operation) of G on X (say on the left) is to give an action of $G(A)$ on $X(A)$ ($\forall$ R -algebra A) which is functorial in A . The action of G on X can be equivalently defined by a morphism

$$G \times_S X \rightarrow X$$

satisfying the usual axioms. Let X, Y be S -schemes on which G operates. Then an S -morphism $\varphi : X \rightarrow Y$ is called a G -morphism if $\forall$ R -algebra, $\varphi_A : X(A) \rightarrow Y(A)$ is $G(A)$ (i.e. $G(A)$ equivariant map). We say that φ is a G -immersion (resp. a closed G -immersion) if φ is an immersion (resp. a closed immersion) and a G -morphism. We then refer to the subscheme (resp. closed subscheme)

$\varphi(X)$ of Y as a G -stable subscheme (resp. closed subscheme) of Y . Let X_1, X_2 be two S -schemes on each of which G operates.

Then we get a canonical action of $G \times_S G$ on $X_1 \times_S X_2$ and restricting this to the diagonal of $G \times_S G$ which is identified with G , we get an action of G on $X_1 \times_S X_2$, called the diagonal action of G .

Let B be an R -algebra. We call B a G - R algebra (or simply a G -algebra) if B is a G -module for the underlying R -module structure and $\forall$ R -algebra A , the elements of $G(A)$ induce $G(A)$ -algebra automorphisms of $B \otimes_R A$ (functorial in A). Equivalently, this means that we are given an action of ~~of~~ G on $X = \text{Spec } B$. We see also that a G - R algebra structure on B is equivalently given by an R -algebra homomorphism

$$B \rightarrow B \otimes_R R[G]$$

making B into a comodule under the coalgebra $R[G]$. We ~~denote by B^G~~ see that B^G (the G -invariant submodule of B , ^{as} defined above) is indeed an R -subalgebra of B .

If V_1, V_2 are two G - R modules, then on $V_1 \oplus V_2$ and $V_1 \otimes_R V_2$, we get canonical structures of G - R modules. If V is a G -module, we get a canonical structure of a graded G - R algebra (defined in the obvious way) on the symmetric algebra $S(V)$ of V .

Let V be a free module of finite rank endowed with a G -module structure. Let $X = \text{Spec } S(V^*)$. Then since

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 $X(A) \simeq V \otimes_R A$ (A an R -algebra), we get an action of G on the affine scheme $X = \mathbb{A}_S^r$ ($r = \text{rk } V$). We call such an action of G on $\mathbb{A}_S^r$ a linear action.

Proposition 1: Let V be a G - R module, free of finite rank over R . Then we can find a G -homomorphism

$$\varphi: V \rightarrow \bigoplus_{1 \leq i \leq r} R(G) \quad (r\text{-fold direct sum})$$

such that φ identifies V as a pure submodule of $\bigoplus_{1 \leq i \leq r} R(G)$.

In particular, if $\theta: R \rightarrow R'$ is a k -algebra homomorphism and $v \in V$ is such that $\theta(v_0)$ is the canonical image of v in $V \otimes_R R'$, $v_0 \neq 0$

then there is a G -homomorphism

$$\psi: V \rightarrow R[G]$$

such that $(\psi \otimes_R R')(v_0) \neq 0$.

Proof: Let $\{v_i\}$, $1 \leq i \leq r$, be a basis of V over R and $\{v_i^*\}$ be the dual basis. If we set $\varphi = \bigoplus \delta_{v_i, v}$

$\varphi = \bigoplus \delta_{v_i^*}$ (with notations as above), it is clear that

φ is injective, as well as that $\delta \varphi \otimes_R A$ is injective (A an R -algebra).

The last assertion is immediate.

In the sequel, we assume the following (consequence of a result, Raynaud).
Lemma 1: Let G be smooth over S with connected geometric fibres. Then $R[G]$ is projective over R (as an R -module).

Let $X = \text{Spec } B$ be an affine S -scheme on which G acts.

We say that a B -module M is a G - B module (or a G -quasi-coherent $\mathcal{O}_X$ -module) if the G -module on the

underlying R -module $^{\text{of } M}$ we are given a G -module structure,

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Compatible with the action of G on X i.e. $\forall R$ -algebra A , we have

$$g(a \cdot m) = g(a) g(m); \quad a \in B \otimes_A R, \quad m \in M \otimes_R A.$$

If M is coherent over B and B Noetherian, we also call M a coherent G - $\mathcal{O}_X$ -module. If M_1, M_2 are two G - B modules, we see easily that $M_1 \oplus M_2$, $M_1 \otimes M_2$ and $S(M_1)$ ~~have~~ ^{have} canonical G - B module structures.

The following proposition shows that we have to make some hypotheses to operate as freely as we do over a base field.

Proposition 2: Let $X = \text{Spec } B$ with a G - S (i.e. G - R) action.

Then we have:

(i) Suppose that G is flat over S . Then the category of G - R modules (resp. G - B modules) is A -bimod

(ii) Suppose that G is flat over S . Then if $f: W \rightarrow W$ is a G -homomorphism and W_1 is a G -submodule of W such that $f(W) \subset W_1$, then $f: W \rightarrow W_1$ is a G -homomorphism.

(iii) Suppose that $R[G]$ is R -projective (in particular, G smooth over S with connected geometric fibres). Let M be a G - B module and $I = \text{Ann } M$ (annihilator of M considered as a B -module). Then I is a G -stable ideal in B .

(iv) Suppose that G is smooth over S with connected geometric fibres and B an integral domain. Then the torsion submodule $T(M)$ of M (considered as a B -module) is a G - B submodule of M .

Proof: The proofs are not difficult and we refer to [J]. For example, if $f: V \rightarrow W$ is a G -homomorphism of G - R modules and $V_1 = \text{Ker } f$ (as an R -module), it is not clear that we would have a canonical homomorphism

$$\text{Ker } f \rightarrow \text{Ker } f \otimes_R R[G]$$

defining a comodule (or $\otimes G$ -module) structure on $\text{Ker } f$.

However, if $R[G]$ is flat over R , we have:

$$\begin{array}{ccccccc} 0 \rightarrow & \text{Ker } f & \rightarrow & V & \rightarrow & \text{Im } f & \rightarrow 0 \quad \text{exact} \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 \rightarrow & \text{Ker } f \otimes_R R[G] & \rightarrow & V \otimes_R R[G] & \rightarrow & \text{Im } f \otimes_R R[G] & \rightarrow 0 \quad \text{exact} \end{array}$$

and we see that the canonical homomorphism $V \rightarrow V \otimes_R R[G]$ factors through $\text{Ker } f \rightarrow \text{Ker } f \otimes_R R[G]$. It is not difficult to see that this gives a comodule structure on $\text{Ker } f$, etc.

Corollary: Let G be flat over S , and G act on $X = \text{Spec } B$ (B an R -algebra). Let $Y = \text{Spec } C$ be a closed G -stable subscheme of X i.e. the canonical homomorphism $B \rightarrow C$ is a G - R algebra homomorphism. This is equivalent to saying that $I = \text{Ker}(B \rightarrow C)$ is a G -stable ideal in B . Further, all the powers I^m ~~also acquire canonical~~ are also canonically G -stable ideals in B .

Proof: The first assertion follows from Prop 2, (i). As for the second consider, for example, I^2 . Now ~~by~~ for the diagonal

action of G on $B \otimes_R B$, the R -algebra homomorphism

$f: B \otimes_R B \rightarrow B$, $f(b_1 \otimes b_2) = b_1 b_2$ (diagonal morphism) is a homomorphism of G - R -algebras. The canonical inclusion

$I \hookrightarrow B$ induces a G - B homomorphism $I \otimes_R I \xrightarrow{i} B \otimes_R B$.

Then $(f \circ i)$ is a G - B homomorphism $\text{Im}(f \circ i) = I^2$. Again

by Prop. 2, we see that I^2 is a G -stable ideal in B .

Proposition 3: Suppose that $R[G]$ is R -projective (in particular G smooth over S with connected geometric fibres). Let V be a G - R module. Then every finitely generated R -submodule of V is contained in a G -stable submodule of V , finitely generated over R .

Proof: The proof runs on the same lines as in Mumford's book [8, Chap. 1, Sect. 1] or see Prop. 3, [1].

Corollary 1: Let G be as in Prop. 3 above. Then we have an increasing filtration

$$\bigcup_i P_i = R[G]; \quad P_i \subset P_{i+1}$$

of G -submodules of $R[G]$ (say for the left regular representation) such that P_i is an R -module of finite type.

Corollary 2: Let H be a $\mathbb{Z}$ -group scheme such that $\mathbb{Z}[H]$

is free over $\mathbb{Z}$ (in particular H is smooth over $\mathbb{Z}$ with connected geometric fibres) and $G = H \times_{\text{Spec } \mathbb{Z}} S$. Then we

have an increasing filtration

$$\bigcup_i P_i = R[G], \quad P_i \subset P_{i+1}$$

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such that P_i is ~~free over~~ a G -submodule of $R[G]$ and free and finitely generated over R . Further, we could also

Proof! ~~The first Corollary is immediate. & As~~
suppose that P_i is a pure submodule of $R[G]$.

Proof! ~~The~~ Now Cor. 1 is immediate. As for the Cor. 2, because of base change, it suffices to prove it when $R = \mathbb{Z}$. Then since $\mathbb{Z}[G]$ is free over $\mathbb{Z}$, P_i is a finitely generated and torsion free over $\mathbb{Z}$ and hence free over $\mathbb{Z}$. To prove the last assertion, consider $G \otimes_{\mathbb{Z}} \mathbb{Q}$ and a filtration

$$\bigcup_i Q_i = \mathbb{Q}[G], \quad Q_i \subset Q_{i+1}$$

where Q_i are $G \otimes_{\mathbb{Z}} \mathbb{Q}$ modules, free of finite rank over $\mathbb{Q}$.

Now define

$$P_i = Q_i \cap R[G]$$

Then we see that P_i is a $\mathbb{Z}$ -direct summand in $R[G]$ and acquires a canonical G -submodule structure of $R[G]$.

§ 2 Geometric reductivity

Definition 1: An (affine) group scheme G over S ($S = \text{Spec } R$, R noetherian) is said to be reductive if (i) $G \rightarrow S$ is smooth (in particular $G \rightarrow S$ is flat and of finite type) (ii) the geometric fibres of $G \rightarrow S$ are connected and are reductive algebraic groups (see Def. 1, Chap. I). It is said to be moreover split

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if we have a maximal torus ~~sub~~ subgroup scheme of G which is split. A torus group scheme is said to be split if

$$T = \prod_{n\text{-fold}} G_{m,R}, \quad G_{m,R} = \text{Spec } R[X, X^{-1}].$$

The basic results that we assume are

(i) if $G \rightarrow S$ is split, reductive, then it is obtained as base change of a split, reductive group scheme over $\mathbb{Z}$.

(ii) if $G \rightarrow S$ is reductive, then given $s \in S$, there is a neighbourhood U of s and an étale surjective map $U' \rightarrow U$ such that the base change $G \times_S U'$ is split, reductive over U' . In particular, we note that if R is a local ring, then we can find a morphism $S' \rightarrow S$ ($S = \text{Spec } R$) which is (faithfully) flat and finite type, such that $G \times_S S'$ is split over S' .

Proposition 4: Let G be a split reductive group scheme over S . Then we have

$$(i) \quad \bigcup_i P_i = R[G], \quad P_i \subset P_{i+1}$$

where P_i is a G -submodule of $R[G]$, free of finite rank over R .

(ii) Take a representation $G \curvearrowright H \times_{\text{Spec } \mathbb{Z}} S$, where

H is split, reductive over $\mathbb{Z}$. Then given a G - R module V ,

free of finite rank over R and $v_0 \in V \otimes_R k, v_0 \neq 0$

(through an R -algebra homomorphism $R \rightarrow k, k$ a field),

$\exists$ a homomorphism φ of G -modules

$$\varphi: V \longrightarrow P$$

such that $(\varphi \otimes k)(v_0) \neq 0$ and P is the base change of an ~~H -sub~~ H -submodule Q of $\mathbb{Z}[H]$ such that Q is free of finite rank over $\mathbb{Z}$.

Proof! The first assertion follows from Cor. 1 of Prop. 3

We have a representation $G = H \ltimes X_{\text{Spec } \mathbb{Z}}^S$, as in (i) above.

Then (i) follows from Cor. 2 of Prop. 3. Now by Prop. 1,

$\exists$ a G -homomorphism $\psi: V \rightarrow R[G]$ such that

$(\psi \otimes k)(v_0) \neq 0$. Again by Prop. 3, Cor. 2, it follows

we have a factorisation

$$V \xrightarrow{\psi} P \xleftarrow{i} R[G], \quad \psi = i \circ \varphi$$

φ G -homomorphism

(here we use (ii) of Prop. 2)

where P is the base change of an H -^{sub}module Q of

$\mathbb{Z}[H]$, free of finite rank over $\mathbb{Z}$. It follows that

~~φ~~ $(\varphi \otimes k)(v_0) \neq 0$, q. e. d.

Let T be a split torus group scheme. Then we have

$$T = \text{Spec } R[X_1, \dots, X_n; X_1^{-1}, X_2^{-1}, \dots, X_n^{-1}]$$

$$(= \text{Spec } R[T]).$$

Then we see that $R[T]$ is a free module and that

$$R[T] = \bigoplus_{m=(m_1, \dots, m_n)} \psi_m \quad m_c \in \mathbb{Z}$$

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where Ψ_m is ~~the~~ T -module such that the underlying R -module is free of rank one, associated to character $\chi_m \in \text{Hom}(T, \mathbb{G}_m)$,

χ being the character canonically associated to $m = (m_1, \dots, m_n), m_i \in \mathbb{Z}$

We get a canonical T -projection $R[T] \rightarrow \Psi_m$, in particular

a canonical T -projection $R[T] \rightarrow R[T]^T \approx \Psi_0 \approx R$. If

$R[T]^{(k)}$ denotes the k -fold direct of $R[T]$, then we have

$$R[T]^{(k)} = \bigoplus_m \Psi_m^{(k)}, \quad m = (m_1, \dots, m_n), m_i \in \mathbb{Z}.$$

Note that for distinct m , $\Psi_m^{(k)}$ are non-isomorphic

T -modules.

Let us now assume for simplicity that $R = \mathbb{Z}$ is a

discrete valuation ring. This suffices for our purpose. Then note that if W is a T -submodule of $R[T]^{(k)}$, we have

$$W = \bigoplus_m (W \cap \Psi_m^{(k)})$$

In particular, we have a canonical T -projection

$$W \rightarrow W^T \quad \text{and } W^T \text{ is free over } R$$

Proposition 5: Let T be a split torus group scheme over

R with $R = \mathbb{Z}$ or a discrete valuation ring. Let V be a

T -module, which is free over R . Then we have a canonical

T -projection $V \rightarrow V^T$.

Proof: By Prop. 3, V is the union of finitely generated

T -modules and since R is as above, these submodules

can also be supposed to be free over R . Hence it suffices to prove the proposition when V is also supposed to be free over R and finitely generated over R . Then by Prop. 1, we can find a (pure) G - T -embedding

$$V \hookrightarrow \bigoplus_k R[T] = R[T]^{(k)} \text{ (k fold direct sum)}$$

Then by the preceding remarks, we get a canonical T -projection $V \rightarrow V^T$, q.e.d.

The following lemma says that taking invariants, commutes with flat change and is crucial for our purpose.

Lemma 1: Let V be a G - R module (G any affine group scheme over R) and $R \rightarrow R'$ be a flat extension. Then we have

$$V^G \otimes_R R' = (V \otimes_R R')^{G \otimes_R R'}$$

Proof: Let $\varphi: V \rightarrow V \otimes_R R[G]$ be the comodule structure on V . We have seen that

$$0 \rightarrow V^G \rightarrow V \xrightarrow{\ker(\varphi - I)} V \otimes_R R[G], \text{ exact sequence of } R\text{-modules}$$

where I is the R -linear map

$$I: V \rightarrow V \otimes_R R[G], v \mapsto v \otimes 1.$$

We have only to tensor the above sequence by R' , q.e.d.

Theorem 1: Let G be a reductive group scheme over $S (= \text{Spec } R)$ and V a G - R module which is a free finitely generated R -module of rank n . Take the canonical (linear) action of G on

$$X = \operatorname{Spec} S(V^*) = \mathbb{A}_S^n$$

Suppose that $x_0 \in X(k)$ [$X(k)$ (k -valued points of X)
 $= V \otimes k$, through an R -algebra homomorphism $R \rightarrow k$, k being
 a field] is a non-zero $(G \otimes_R k)$ invariant point. Then

$\exists$ a homogeneous G -invariant element F of $S(V^*)$
 of degree > 0 such that $F(x_0) \neq 0$.

Proof: By Lemma 1, we see that we can suppose that
 R is local, since $R \rightarrow R \rightarrow k$ ~~factor~~ factors through a
 local R' . Now if R is local, by the structure of reductive
 group schemes, we can ~~suppose that~~ find $R \rightarrow R'$ (faith-
 fully) flat such that $G \otimes_R R'$ is split over R' . We can
 then find a commutative diagram

$$\begin{array}{ccc} R & \longrightarrow & R' \\ \downarrow & \swarrow & \downarrow \\ R & \longrightarrow & k' \end{array} \quad k' \text{ a field}$$

Now replacing R and k by R' and k by k' , we can suppose
 that G is further split over R (again applying Lemma 1).

Now if G is split over S , we have

$$G = H \times_{\mathbb{Z}} S, \text{ where } H \text{ is split reductive over } \mathbb{Z}. \text{ Then}$$

by Prop. 4, we can find a G -homomorphism

$$\varphi: V \rightarrow P$$

where P is the base change by S of an H - $\mathbb{Z}$ module

Q , free of finite rank over $\mathbb{Z}$ and such that

$$(\varphi \otimes k)(x_0) \neq 0 \quad (x_0 \in X(k) = V \otimes k)$$

~~Now~~ Now we have $(\mathbb{Z} \rightarrow R \rightarrow k)$

$$P \otimes_R k = (Q \otimes_{\mathbb{Z}} R) \otimes_R k = Q \otimes_{\mathbb{Z}} k$$

so that $(\varphi \otimes k)(x_0)$ can be identified with y_0 , a non-zero $H \otimes k$ invariant point of $Q \otimes k$. Obviously, it suffices to find $F_1 \in S(Q^*)^H$ homogeneous of $\deg > 0$ such that $F_1(y_0) \neq 0$, for the base change of F_1 by R achieves the purpose.

Thus we can suppose that $R = \mathbb{Z}$ and G is split reductive over $\mathbb{Z}$. Then $\mathbb{Z} \rightarrow k$ factors through a discrete valuation ring $A \rightarrow k$ and by the usual arguments, by taking a suitable $\mathbb{Z} \rightarrow A$ (flat over $\mathbb{Z}$), we can reduce to the ~~reduction~~ situation where A is a discrete valuation with an algebraically closed residue ~~field~~ field. Thus we can assume that G is reductive and split over R where R is a discrete valuation ring with an algebraically closed residue field.

Now by Prop. 5, we have a canonical T projection

$$p: R[G] \rightarrow R[G]^T$$

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where we take the action of T to be the restriction^{to T} of the right regular G action on $R[G]$. We have $R[G]^T = R[G/T]$ (where G/T is the quotient space of G modulo T , this ~~is~~ ^{also} can be constructed by using the considerations of Prop. 5 etc.) and p becomes a G -morphism for the canonical left action α of G on G/T and the left regular representation on $R[G]$. Then composing with p , we get a G -homomorphism

$$\psi: V \rightarrow R[G/T]$$

such that $(\psi \otimes k)(x_0)$ is a $(G \otimes k)$ invariant point of $R[G/T]$ and $(\psi \otimes k)(x_0) \neq 0$. Then the proof goes along the same lines as in Chap. I (proof of Theorem 1) and we get a G -homomorphism

$$\varphi: V \rightarrow W_{mp} \otimes W_{mp} \quad (m \gg 0),$$

(W_{mp} - sections of the line bundle on G/B associated to mp) such that $(\varphi \otimes k)(x_0) \neq 0$. ~~If $\text{char } k = 0$~~ , From the fact that W_{mp}

$$(W_{mp} \otimes k) \xrightarrow{(G \otimes k) \text{ isom}} (W_{mp}^* \otimes k)$$

(m arbitrary if $\text{char } k = 0$ and $m = p^\alpha - 1$ if $\text{char } k = p$),

we deduce that

$$W_{mp} \xrightarrow[G \text{-isom}]{\sim} W_{mp}^*,$$

A being a discrete valuation with residue field k . Then

as in Chap. I, the "det" function does the required job, q.e.d.

§3. Quotient spaces

Let there be given a linear action of a reductive group G over $S = \text{Spec } R$ on the affine space A_S^n and let X be a closed G -stable subscheme of A_S^n . Let $X = \text{Spec } B$.

Definition 2: A geometric point $x \in X(k)$ (k algebraically closed)

is semi-stable if the closure (in $X \otimes k$) of the $G \otimes k$ orbit through x does not contain (0) . The geometric point

x is stable if the $G \otimes k$ orbit through x is closed and its dimension = $\dim(G \otimes k)$ (note that x stable $\Rightarrow x$ semi-stable if $\dim(G \otimes k) \geq 1$).

Proposition 6: (1) Let X, G be as in Def. 2 and $x \in X(k)$ a semi-stable point with ~~X~~ $A_S^n = \text{Spec } S(V^*)$, V^* being a G module, free of finite rank over R . Then $\exists F \in S(V^*)^G$ homogeneous of $\deg > 0$ such that $F(x) \neq 0$.

(2) $\exists$ a well-determined open G -stable subscheme X^{ss} of X whose geometric points are precisely the semi-stable points of X . In fact, $X - X^{ss}$ is defined by the ideal in B generated by the homogeneous elements of $\deg > 0$ in B^G (in fact, the ideal in B generated by the image of

the canonical homomorphism $S(V^*)_+^G \rightarrow B_+^G (\hookrightarrow B^G)$, defines $X = X^{\text{ss}}$ set theoretically. Here $S(V^*)_+$ denotes the ideal in $S(V^*)$ generated by homogeneous elements of degree > 0 .

Proof: ~~It suffices to prove~~

(3) Let $x_1, x_2 \in X(k)$ such that if $O(x_i)$ denote the $G \otimes k$ orbits in $X \otimes k$ through x_i , we have

$$\overline{O(x_1)} \cap \overline{O(x_2)} = \emptyset$$

Then $\exists F \in S(V^*)^G$ such that $F(x_1) = 1$ and $F(x_2) = 0$.

Proof: It suffices to prove (3). The proof proceeds along the same lines as in Remark 1(a), Chap. I (except there is a minor technical point). Of course we can take $X = \text{Spec } S(V^*)$

for proving (3). Consider the morphism $f: X \rightarrow Y$ with

$$Y = \text{Spec } S(W), \quad S(W) \hookrightarrow S(V^*) \text{ as in Remark 1(a).}$$

However, we cannot say that Y is again an affine space. To get over this difficulty, we can assume that G is split over R (by the techniques already employed) and in fact that G is split and $R = \mathbb{Z}$ (using Prop. 4 etc.). Then in this case, W is in fact free (and finitely ~~over~~ generated over $\mathbb{Z}$) so that we can write $W = W_1^*$, W_1 dual of W . Then we see Y is again an affine space, the geometric point x_1 ~~maps~~ maps to (0) in Y (by f) and x_2 maps to a

non-zero invariant in Y and by Th. 1, we are through, q.e.d.

Let now V be a ~~free~~ G - R module (G reductive over R) such that V is free of rank $(n+1)$ over R . We take the canonical ~~(linear)~~ action of G on $TP(V) = \text{Proj } S(V^*)$ (projective space with the usual notation, not G -extensions), called linear action of G on $TP(V)$. Let X be a closed G -stable subscheme of TP^n and say $X = \text{Proj } B$, where B is a graded R -algebra and quotient of $S(V^*)$. We denote by $\hat{X}$ the cone ^{over} ~~of~~ X i.e. $\hat{X} = \text{Spec } B$. We have a canonical action of G on X . As in Def. 8 (Chap I), we could refer to this as a linear action of G on $(X, TP(V))$ and consider it more intrinsically as an action of G which on X , ~~which~~ which lifts to an action α on the very ample line bundle on ~~$TP(V)$~~ X coming the ample tautological line bundle on $TP(V)$.

Definition 3: With the notations, as above, a geometric point $x \in X(k)$ is semi-stable (resp. stable) if for some $\hat{x}$ over $\hat{X}(k) - (0)$ ((0) -vertex of $\hat{X}$), $\hat{x}$ is semi-stable (resp. stable) for the action of G on the affine scheme $\hat{X}$. (One sees that this definition is independent of the choice of $\hat{x}$ over x).

Proposition 7: With the notations as in Def. 3 above, we have the following:

(1) $\exists$ a well-determined G -stable open subscheme X^{ss} of X such that the geometric points of X^{ss} are precisely the semi-stable points of X . Besides, if $T \rightarrow S$ is a morphism, we have

$$(X \times_S T)^{ss} = X^{ss} \times_S T$$

where the LHS denotes the subscheme of semi-stable points for the action $G \times_S T$

(2) Given a finite number x_i of semi-stable points of X , $\exists$ a homogeneous $F \in S(V^*)^G$ of $\deg > 0$ such that $F(x_i) \neq 0 \quad \forall i$.

(3) Given $x_1, x_2 \in X^{ss}(k)$ (k algebraically closed), the following are equivalent:

(a) $\overline{O(x_1)} \cap \overline{O(x_2)} = \emptyset$ (orbit closures in $X^{ss} \otimes k$)

(b) $\exists F \in S(V^*)^G$ homogeneous of degree > 0

such that $F(x_1) \neq 0$ and $F(x_2) = 0$ (note that this

property is equivalent to saying that if $\hat{x}_1, \hat{x}_2 \in \hat{X}(k)$ lie over x_1, x_2 (respectively), then $O(\hat{x}_1) \cap O(\hat{x}_2) = \emptyset$ (orbit closures taken in $\hat{X} \otimes k$).

(c) Let $f \in S(V^*)^G$ be homogeneous of $\deg > 0$ such that $f(x_1) \neq 0$ and $f(x_2) = 0$ and X_f the G -stable affine open subscheme of X of points x such that $f(x) \neq 0$. Then $\overline{O(x_1)} \cap \overline{O(x_2)} = \emptyset$, orbit closures taken in $X_f \otimes k$.

Proof: The proof follows on the same lines as in Chap. I. and we leave them.

Thus we define stability and semi-stability for geometric points. Now to carry over the results on quotient spaces, the crucial thing that one has to prove is that

if $Y = \text{Proj } S(V^*)^G$ or $\text{Proj } B^G$ (or $X = \text{Spec } B^G$),

then Y is of finite type over R i.e. B^G is a finitely generated R -algebra. ~~Also we have to show (for this~~

Also we have to show that $Y(k)$ identifies with $X^B(k)$

modulo "the orbit closure equivalence relation" (this is not difficult, as we shall see below). To prove the finite generation of the ring of invariants, ~~we shall outline a method~~

generation of the ring of invariants, which in the case of a base field ~~seems~~ seems different from the one given by Nagata.

Our method shows that even if we work over a p base field, it is good to use "base change" i.e. work over a more general base. Our methods seem more natural.

Remark 1: We have been so far working with affine base schemes for the sake of simplicity. If the base scheme S is not necessarily affine, we ~~so~~ should work with ~~G - $\mathcal{O}_S$ schemes~~ a reductive group scheme over S and ~~a G - $\mathcal{O}_S$ module~~ with G - $\mathcal{O}_S$ modules V which are locally free of finite rank over $\mathcal{O}_S$. We take the canonical action of G on the projective bundle $\mathbb{P}(V)$ and define semi-stable and stable points for geometric points etc.

Now the crucial property is the following:

Proposition 8: We take the notations as in Def. 3 above. ^(rather preceding it) Then

" $X^{ss} \text{ mod } G$ is proper" i.e. if

$$f: X^{ss} \longrightarrow Z \quad (Z \text{ separated of finite type over } S = \text{Spec } R)$$

is a dominant, G -invariant S -morphism (G -invariance

means that f is a G -morphism for the trivial action of G on Z), then f is surjective (it follows that if Z is

quasi-projective or can be embedded in something proper, then

Z is projective or proper over S).

Remark (Note that the above property should be *a posteriori*

true if we repeat the results of Chap. I to carry through,

for if $R = K$ (field), then f factors through $Y = \text{Proj } B^G$

since $X^{ss} \rightarrow Y$ is a categorical quotient and Y being projective, it follows that f is surjective).

Proof We shall now outline the proof which is quite simple intuitively. The first ideal is that if we base change by $Z \rightarrow S$, we are reduced to the case where of proving the proposition where $Z = S$ i.e. when f is the structure morphism. Let us first assume that this reduction. Then surjectivity means that if the generic fibre of $X \xrightarrow{ss} S$ (say S is irreducible) is non-empty, then the closed fibre is also non-empty. Now to prove this assertion, by the usual techniques we can also suppose that R is a discrete valuation ring. Let K be the quotient field of R and k the residue field. Since $X^{ss}(\bar{K}) \neq \emptyset$ ($\bar{K}$ algebraic closure of K), we see (by Th. 1) that $\exists F \in (B \otimes_R K)^{G \otimes K}$ homogeneous of $\deg > 0$ such that $X^{ss}(\bar{K}) \neq \emptyset$ ($\bar{K}$ algebraic closure of K), we see that $\exists F \in (B \otimes_R K)^{G \otimes K}$ such that $F \neq 0$ and F is homogeneous of $\deg > 0$. Now if π is the local uniformiser of R , multiplying F by a power of π , we can suppose that $F \in B^G$. Now $F \in B_d^G \hookrightarrow B_d$ (elements of $\deg d$ of the graded ring B , $d > 0$). Now B_d is a free R -module of finite rank over R . Let $\bar{F}$ denote the canonical image of F in

$$\overline{B} = B \otimes_R k = \bigoplus (B_d \otimes_R k) = \bigoplus \overline{B}_d \quad (25)$$

$$\overline{B}_d = B_d \otimes k.$$

Again multiplying $\overline{F}$ by a suitable power of π , we can suppose that $\overline{F} \neq 0$ i.e. we have produced a non-trivial invariant $(\overline{F} \in \overline{B}_d^G, d > 0)$ in $\overline{B}$ so that $X^{ss}(\overline{k}) \neq \emptyset$.

Thus it remains only to prove the assertion that we can reduce to the case $Z = S$. For this consider the graph morphism of f

$$\Gamma_f : X^{ss} \longrightarrow X^{ss} \times_S Z$$

Let H be the group scheme $\text{over } Z$, ~~action~~

$$H = G \times_S Z$$

acting on $X^{ss} \times_S Z$ by base change of the action $^{\text{of } G}$ on X^{ss} . We claim that we have a canonical action of H on X^{ss} (considered as a scheme over Z by f)

such that Γ_f is an H -morphism. This is intuitively obvious and easily proved. It is in fact a general assertion regarding G -invariant morphisms. We have this.

We have then canonical morphisms

$$X^{ss} \xrightarrow{\Gamma_f} X^{ss} \times_S Z \xrightarrow{i} X \times_S Z \xrightarrow{j} \mathbb{P}_S^n \times_S Z$$

where Γ_f and j are closed immersions. We have

$$(X \times_S Z)^{ss} = (X^{ss} \times_S Z). \quad (\text{by Prop. 7, (1)}) \quad (26)$$

Let W be the closure of X^{ss} in $X \times_S Z$ (closed subcheme structure extending Γ_f). Suppose that the action of G on X^{ss} extends to W so that W is a closed G -stable subcheme of $X \times_S Z$. Then we see easily that

$$W^{ss} = X^{ss}$$

~~$$(\text{for } W^{ss} = W \cap (X^{ss} \times_S Z))$$~~

This reduces ^{to} the case $Z = S$. Now extension of the action of G to W can be achieved, if necessary, by going W_{red} , q.e.d.

Proposition 9: With the notations as preceding Def. 3, we have the following: We can find a filtration P_i of B^G by graded subalgebras of finite type over R

$$\bigcup_i P_i = B^G, \quad P_i \subset P_{i+1}$$

such that if $Y_i = \text{Proj } P_i$ and

$$\psi_i': X \rightarrow Y_i, \quad \psi_i: Y_i \rightarrow Y_{i+1}, \quad \psi_i: Y_{i+1} \rightarrow Y_i$$

the canonical rational morphisms induced, respectively, by the inclusions (of graded algebras)

$$P_i \subset B, \quad P_i \subset P_{i+1}, \quad \text{we have}$$

(a) $\forall i$, ψ_i' is a morphism in X^{ss} , set $\psi_i = \psi_i' / X^{ss}$.

Further all O_i are morphisms

(b) $\forall i$, γ_i induces a bijection of $Y_i(k)$ (k algebraically closed) with $X^{ss}(k)$ modulo the equivalence relation

$$x_1 \sim x_2 \iff \overline{O(x_1)} \cap \overline{O(x_2)} \neq \emptyset$$

(orbit closures in $X^{ss} \otimes k$)

(c) B^G is integral over $P_i(\forall i)$; in fact P_{i+1} is integral over $P_i(\forall i)$.

(d) If Z is a closed G -stable subscheme of Z^{ss} , $\gamma_i(Z)$ is closed in Y_i ; further, if Z_1, Z_2 are disjoint closed G -stable subschemes of X^{ss} , we have

$$\gamma_i(Z_1) \cap \gamma_i(Z_2) = \emptyset, \forall i.$$

Proof: The first point is to note that $\exists$ a finite number, $F_1, \dots, F_r \in B_+^G$ and homogeneous such that given any $x \in X^{ss}(k)$ (k -algebraically closed) $\exists$ some F_i such that $F_i(x) \neq 0$; besides given any $x_1, x_2 \in X^{ss}(k)$ which are not equivalent under the relation in (b), $\exists$ some F_j such that $F_j(x_1) \neq 0$ and $F_j(x_2) = 0$. To see this, let I be the graded ideal in $B \otimes_R B$ generated by elements of the form

$$(f \otimes 1 - 1 \otimes f), \quad f \in B_+^G, \text{ homogeneous.}$$

and Γ the closed subscheme of $X \times_S X$ defined by I . Then by Prop. 7, we see that $(x_1, x_2) \in (X \times_S X)(k)$ is not in $\Gamma(k)$ if and only if

(i) either one of them, say $x_1 \in X^{\text{ss}}(k)$ and $x_2 \in (X - X^{\text{ss}})(k)$; or

(ii) both $x_1, x_2 \in X^{\text{ss}}(k)$, but

$$\overline{O(x_1)} \cap \overline{O(x_2)} = \emptyset \text{ (orbit closures in } X^{\text{ss}} \otimes k)$$

Since $B \otimes_R B$ is Noetherian (B an algebra of finite type over R , R Noetherian), $\exists F_i \in B_+^G$, homogeneous ($1 \leq i \leq r$) such that $(F_i \otimes 1 - 1 \otimes F_i)$ generate the ideal I . It follows then that if (x_1, x_2) satisfying (i) or (ii) above, $\exists$ some F_i such that

$$F_i(x_1) \neq 0, F_i(x_2) = 0$$

Thus we have found the required $F_1, \dots, F_r$.

Let P_1 be the graded subalgebra of B^G generated by $F_1, \dots, F_r$. Choose now any filtration of B^G by finitely generated R -algebras P_i , all containing P_1

$$\bigcup_{i \geq 1} P_i = B^G; \quad P_1 \subset P_2 \subset P_3 \subset \dots \subset P_i \subset P_{i+1}$$

Let $Y_i = \text{Proj. } P_i$, and ~~Y_i, Y_i~~ Then Y_i' is the

canonical rational morphism $\psi_i': X \rightarrow Y_i$, we see that

ψ_i' is a morphism in X^{23} . Let $\psi_i = \psi_i' / X^{23}$. Then by Prop. 8, ψ_i is surjective [ψ_i being dominant and G -invariant]. It is now clear that the property (b) of the Proposition follows, as well as: ~~that~~

$$\begin{array}{ccc} X^{23} & & \\ \psi_i \downarrow & \swarrow \psi_{i+1} & \\ Y_i & \xleftarrow{\theta_i} & Y_{i+1} \end{array}$$

θ_i is a bijective morphism

Since Y_i are projective, the bijective morphism θ_i is in fact a finite morphism. It follows that P_{i+1} is integral over P_i etc. q.e.d.

Corollary 1: Assume that R is ^{of finite type over} universally Japanese, and B is an integral domain. Then B^G is an R -algebra of finite type. (A ring A is said to be universally Japanese, if it is a Noetherian domain such that if A' is any domain which is an A -algebra of finite type, the integral closure of A' in a finite extension of the quotient field of A' is an A' -module of finite type). In particular $B^G = \sum_{i=0}^{\infty} P_i$ ($Y = \text{Proj } R$).

Proof: Now P_i is a domain and being of finite type over R is also universally Japanese. Let L_1 be the quotient field of P_i , L_2 that of B^G and L_3 that of $B(L_1 \subset L_2 \subset L_3)$.

(30)

Since B is of finite type over R , it follows that L_3 is of finite type over L_1 , and hence L_2 is of finite type over L_1 . Since B^G is integral over P_1 , it follows that L_2 is algebraic over L_1 , so that it follows that L_2 is a finite extension of L_1 . Hence the integral closure Q of P_1 in $B^G_{L_2}$ is a P_1 module of finite type and since $B^G \subset Q$, B^G is a P_1 -module of finite type. This implies that B^G is an R -algebra of finite type.

Corollary 2': Let $f \in B^G$ be homogeneous of $\deg > 0$. and B an integral domain with R of finite type over a universally ring. Then the canonical morphism (of affine schemes)

$$\gamma_f: X_f \rightarrow Y_f \quad (Y = \text{Proj } B^G)$$

is surjective, Y_f is of finite type over $\mathbb{P}^1_S$ and γ_f has properties (b) and (d) of the Proposition.

Proof: This is an immediate consequence of Cor 1.

Corollary 3: Let G act on $A^n_S = \text{Spec } S(V^*)$, where V is a G - R module, free of rank n over R . Let X_1 be a closed G -stable subscheme of A^n_S with $X_1 = \text{Spec } B_1$ (B_1 not necessarily graded). Suppose that B_1 is a domain and R is of ~~finite~~ finite type over a

(31)

a universally Japanese ring, then B_1^G is an R -algebra of finite type and the canonical morphism $\gamma_1: X_1 \rightarrow Y_1 (= \text{Spec } B_1^G)$ is surjective and it has the properties (b) and (c) of the Proposition.

Proof: Now consider the G -module $W = V \oplus R$, where G acts trivially on R . Let f be the element in W^* such that f given by $f: W \rightarrow R$ such that $f(V) = 0$ and $f|_R = \text{Identity}$. Then $f \in (W^*)^G$ and we have

$$\text{TP}(W)_f = \mathbb{A}_S^n = \text{Spec } S(V^*)$$

and then we reduce easily to Cor. 2, q.e.d.

Theorem 2: Let G be a reductive group scheme over $S = \text{Spec } R$, R being of finite type over a universally Japanese ring. We are given a linear action of G on $\mathbb{A}_S^n$ and a closed G -stable subscheme $X = \text{Spec } B$ of $\mathbb{A}_S^n$. Let M be a G - B module of finite type over B (i.e. a coherent G - $\mathcal{O}_X$ module). Then we have

- (i) $Y = \text{Spec } B^G$ is of finite type over S i.e. B^G is an R -algebra of finite type
- (ii) M^G is a B^G module of finite type
- (iii) the canonical morphism $\varphi: X \rightarrow Y$

induced by $B^G \hookrightarrow B$ is surjective and it has the properties (b) and (d) of Prop. 9.

Proof: We have only to prove (i) and (ii). ~~For this~~ Since we can consider M canonically as an $S(V^*)$ module, it suffices to consider the case $B = S(V^*)$. In this case (i.e. $B = S(V^*)$) we see that proving (i) and (ii) are equivalent to proving that M^G is a Noetherian B^G module, for in particular, B^G is a Noetherian module over itself and being graded, it follows that it is of finite type over R .

The proof is by a "devissage" argument (or Noetherian induction). We have seen (Cor. 3, Prop. 9) that if C is a quotient of $B = S(V^*)$ and is a domain C^G is an R -algebra of finite type.

Let $\mathcal{M}$ be the category of G - B modules M of finite type over B such that M^G is Noetherian over B^G . Then we see easily the following:

- (i) $M \in \mathcal{M}$ and N a G - B submodule of M , then $N \in \mathcal{M}$.
- (ii) if $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$ is an exact sequence of G - B , then we have

$$M_1, M_3 \in \mathcal{M} \Rightarrow M_2 \in \mathcal{M}$$

(for $0 \rightarrow M_1^G \rightarrow M_2^G \rightarrow M_3^G \rightarrow 0$ is exact).

We have only to show that

$\mathcal{M} =$ category of all G - B modules of finite type over B .

Let then M be a G - B module of finite type over B .

For proving the above equality, we can assume the (Noetherian) inductive hypothesis, that if N is any G - B module of finite type ~~or~~ over B such that

$$\text{Supp } N (\text{Support of } N) \subsetneq \text{Supp } M$$

(support in the sense of B modules)

then $N \in \mathcal{M}$. Let $I = \text{Ann } M$ (as a B -module).

Then I is a G -stable ideal in B and $C = B/I$ is a G - R algebra and M is canonically a G - C module (Cor., Prop. 2)

We show now that $M \in \mathcal{M}$ if C is a domain.

If $T(M)$ is the torsion submodule of M , we have

$$0 \rightarrow T(M) \rightarrow M \rightarrow M/T(M) \rightarrow 0, \text{ exact}$$

Now by the induction hypothesis $T(M) \in \mathcal{M}$; hence it suffices ~~to~~ to show that the torsion free C -module

~~is in $\mathcal{M}$ is in $\mathcal{M}$~~ , $M/T(M)$ is in $\mathcal{M}$. Suppose then

M is $\neq$ torsion free. If $M^G = 0$, there is nothing to prove.

Suppose then $M^G \neq 0$. Then the map

$$C \rightarrow M, x \mapsto x \cdot m, m \in M^G, m \neq 0$$

is an injective G - B homomorphism. Then

Consider

$$0 \rightarrow C \rightarrow M \rightarrow M/C \rightarrow 0$$

Now the rank or the support support of M/C drops and $C \in \mathcal{M}$. Hence by our induction hypothesis $M \in \mathcal{M}$.

Then by a simple argument, we show that $M \in \mathcal{M}$ if C is reduced. For the general case, let

$$J = \ker; C \rightarrow C_{\text{red}}$$

~~We have $J^s = 0$ and we have a filtration~~

We have seen J^m are G -stable ideals in C (Cor., Prop. 2). This implies that $J^m M$ are G - C submodules of M , since $J^m M$ is the image under the G - R homomorphism (Prop. 2)

$$J^m \otimes_R M \rightarrow M$$

We have then a filtration of G - C modules:

$$M \supset J M \supset J^2 M \supset \dots \supset J^s M \quad (J^s M = 0 \text{ for some } s).$$

Now M/JM and JM/J^2M are modules over

$C/J = C_{\text{red}}$ and hence they are in $\mathcal{M}$. Then it follows easily that $M \in \mathcal{M}$, q.e.d.

Theorem 3: Let G be a reductive group scheme acting over $S = \text{Spec } R$ with R of finite type over a universally Japanese ring. Let V be a G - R module, free of finite rank over R . Let X be a

closed G -stable subscheme of $\mathbb{P}_S^n = \text{Proj } S(V^*)$, with $X = \text{Proj } B$ (B graded quotient of $S(V^*)$). Let $Y = \text{Proj } B^G$ and $\varphi': X \rightarrow Y$ the canonical rational morphism induced by $B^G \hookrightarrow B$. Then we have

(i) φ' is a morphism in X^{ss} , denote $\varphi = \varphi'$.

(ii) φ is surjective

(iii) Y is of finite type over S .

(iv) the morphism $\varphi: X^{\text{ss}} \rightarrow Y$ satisfies the properties (b) and (d) of Prop. 9.

Proof! By Th. 2, Y is of finite type over S . The other properties now follow by our now familiar arguments.

Remark 2! It can be shown easily that the morphism φ in Th. 2 and Th. 3 are categorical quotients. However it need not be a universal categorical quotient

i.e. say ^{for} the morphism $\varphi: X \rightarrow Y$, & base change $Y' \rightarrow Y$, $X \times_Y Y' \rightarrow Y'$ need not be a categorical quotient. If

R contains a field of characteristic zero, φ is a universal categorical quotient, however even if $R = \text{field of char } > 0$, φ need not be a universal categorical quotient.