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SUMMER SCHOOL IN HIGH ENERGY PHYSICS AND COSMOLOGY

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GAUGE YUKAWA UNIFICATION AND THE MASS OF THE TOP QUARK

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Please note: These are preliminary notes intended for internal distribution only.

Gauge - Yukawa Unification

Standard Model

very successful $\rightarrow$ highly non-trivial
part of a (more
fundamental
theory of E.P.T.

BUT

all of free parameters (~ 20)

Renormalization $\rightarrow$ free parameter.

Traditional way of reducing
number of parameters

SYMMETRY

Celebrated example: GUTs

c.g. minimal $SU_5 \rightarrow$ testable $\sin^2 \theta$

LEP Data $\rightarrow N=1$ SU_5

However more SYMMETRY
(e.g. SO_{10}, E_6, E_7, E_8) does not
necessarily lead to more prediction:
for the S.M. parameters.

due to new degrees of freedom,
various ways and channels of
breaking e.t.c)

Extreme case: Superstring Ths

GUTs can also relate Yukawa couplings among themselves and might lead to predictions
e.g. in $SU_5 \rightarrow$ successful m_T/m_b

In SO_{10} all elementary particles of each family (both chiralities + ν_R) are in a common rep 16-plet.

Natural gradual extension:
attempt to relate the couplings of the two sectors

$\rightarrow$ Gauge-Yukawa Unification

Searching for a symmetry is needed one that relates fields with different spins

$\rightarrow$ Supersymmetry
BUT $N=2$

Fayet

14 $SU(5)$

Yukawa couplings

$$f_1 10_f 10_f 5_H + f_2 10_f \bar{5}_f \bar{5}_H$$

$$\langle 5_H \rangle = (0, 0, 0, 0, v)$$

$$\rightarrow v f_1 \bar{u} u + v f_2 (\bar{d} d + \bar{e} e)$$

i.e. $"m_e" = "m_d"$ Boundary condition
 $\begin{matrix} \mu \\ T \end{matrix}$ $\begin{matrix} s \\ b \end{matrix}$ at the unification
scale.

The $SU(5)$ symmetric relations are
obtaining significant renormalization
corrections.

$$m(\mu) = m - m g_\gamma^2 b_m^{(\gamma)} \ln\left(\frac{1}{\mu}\right) \Leftrightarrow$$


$$\Rightarrow \frac{d \ln m(\mu)}{d \ln \mu} = b_m^{(\gamma)} g_\gamma^2(\mu)$$

where g_n^2 solutions of

$$\frac{dg_n^2(\mu)}{d \ln \mu} = -2b_n g_n^4(\mu)$$

with $b_n = (11n - 2N_F)/48\pi^2$, $n \geq 2$

$$b_1 = -N_F/24\pi^2$$

and $b_n^{(1)} = -\frac{3}{8\pi^2} \sum_a \underbrace{(T^a T^a)_{ij}}_{C_2(R)}$

$$C_2(R) = \frac{\dim(\text{adj})}{\dim(R)} \ell(R_i) \quad \text{for } SU(n), n \geq 2$$

$$\left(\frac{T^0}{1}\right)^2 = \frac{3}{5} \left(\frac{Y}{2}\right)^2$$

$$\frac{m(\mu)}{m(\mu_0)} = \left[\frac{g_n(\mu)}{g_n(\mu_0)} \right]^{-\frac{b_n^{(1)}}{b_n}}$$

$$\rightarrow \frac{m_b}{m_\tau} \simeq 3 \quad \text{at } \mu = \mu_{th} \sim 10 \text{ GeV}$$

also $\frac{m_\mu}{m_e} = \frac{m_s}{m_d}$
 $\quad \quad \quad \underset{200}{\parallel} \quad \quad \quad \underset{20}{\parallel}$

GYU-functional relationship
derived on some principle.

- Superstrings
- Composite models

In principle such relations exist.
In practice both have more problems
than the S.M.

Attempts to relate gauge and Yukawa
couplings:

- Requiring absence of quadratic
divergencies (Decker-Pestieau, Veltman)

$$\begin{aligned} \Rightarrow m_e^2 + m_\mu^2 + m_\tau^2 \\ + 3(m_u^2 + m_d^2 + m_c^2 + m_s^2 + m_t^2 + m_b^2) \\ = \frac{3}{2} m_W^2 + \frac{3}{4} m_Z^2 + \frac{3}{4} m_H^2 \end{aligned}$$

- Spontaneous breaking of susy
via F-terms

$$\sum_J (-1)^{2J} (2J+1) m_J^2 = 0$$

Veltman '81

Requiring absence of quadratic divergences found:

$$m_e^2 + m_\mu^2 + m_\tau^2 + 3(m_u^2 + m_d^2 + m_c^2 + m_s^2 + m_t^2 + m_b^2) = \frac{3}{2} m_W^2 + \frac{3}{4} m_Z^2 + \frac{3}{4} m_H^2$$

For $m_H^2 \ll m_W^2 \quad \Rightarrow m_t = 69 \text{ GeV}$

" $m_H^2 = m_W^2 \quad \Rightarrow m_t = 77.5 \text{ GeV}$

" $\vdots$
" $m_H^2 = (300 \text{ GeV})^2 \Rightarrow m_t = 180 \text{ GeV}$

Ferrara, Girardello and Palumbo
considering the spont. breaking of a
susy theory found

$$\sum_J (-1)^{2J} (2J+1) m_J^2 = 0$$

Veltman

Dim. reg. : quadratic div. manifest themselves as pole singularities in $d=2$.

To make them vanish one impose the condition:

$$\frac{1}{4} f(d) \left[m_e^2 + m_\mu^2 + m_\tau^2 + 3(m_u^2 + m_d^2 + m_c^2 + m_s^2 + m_t^2 + m_b^2) \right]$$
$$= \frac{d-1}{2} m_W^2 + \frac{d-1}{4} m_Z^2 + \frac{3}{4} m_H^2$$

where $f(d) = \text{Tr}[1]$

Veltman chose $f(d) = 4$ and using susy arguments he put $d=4$

Osland + Wu using point splitting reg. found same relation

Figure 1

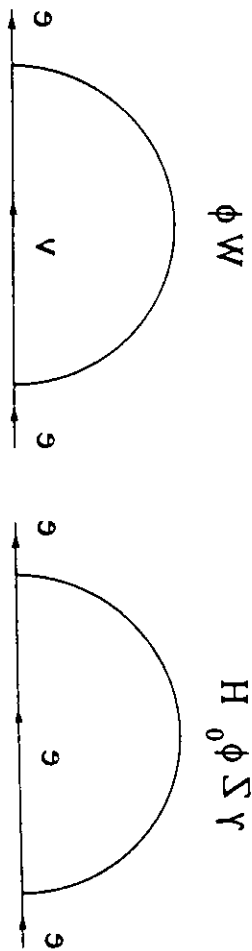
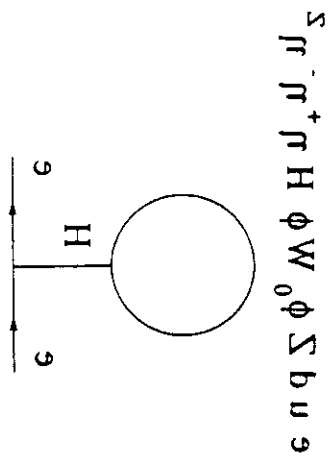
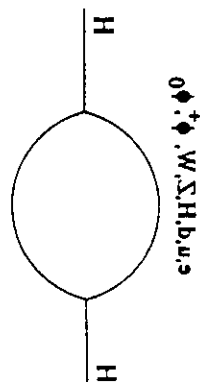
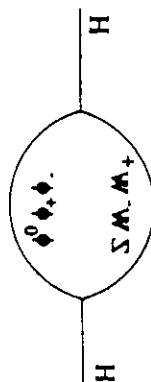
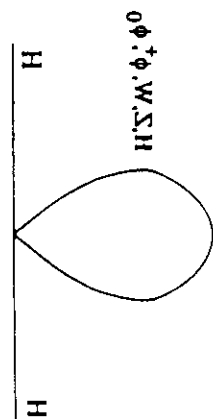
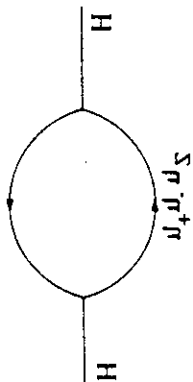


Figure 3



3 q19d19ma

2 q19d19ma

3 q19d19ma

8 q19d19ma

- Pendleton - Ross infrared fixed point

$$\frac{d}{dt} \left(Y_t / \alpha_3 \right) = 0 \Rightarrow m_t \sim 100 \text{ GeV}$$

(Divergent! in 2-loops, Zimmermann)

- Infrared quasi-fixed point (Hill)

$$\frac{d}{dt} (Y_t) = 0 \Rightarrow m_t \sim 280 \text{ GeV}$$

$$Y_t \equiv \frac{h_t^2}{4\pi}$$

- Susy Pendleton - Ross

$$\begin{aligned} \Rightarrow m_t &\simeq 140 \text{ GeV} \cdot \sin \theta \\ \tan \theta &= \frac{V_u}{V_d} \end{aligned}$$

- Susy quasi-fixed point
(Barger et al., arena et al.)

$$\Rightarrow m_t \simeq 200 \text{ GeV} \cdot \sin \theta$$

Standard Model

- Pendleton - Ross infrared fixed point:

For strong α_3 , i.e. $\alpha_1 = \alpha_2 = 0$

$$\frac{d\alpha_3}{dt} = -7\alpha_3^2$$

$$\frac{dY_t}{dt} = -\frac{Y_t}{4\pi} \left(8\alpha_3 - \frac{9}{2} Y_t \right) ; Y_t = \frac{4t^2}{4\pi}$$

$$P-R : \frac{d}{dt} (Y_t/\alpha_3) = 0 \rightarrow Y_t = \frac{2}{9} \alpha_3$$

$$\rightarrow M_E^{P-R} = \sqrt{\frac{8\pi}{9} \alpha_3} \cdot v \sim 100 \text{ GeV}$$

In the reduction scheme same result is obtained from the requirement that the system is described by a single coupling g_1 with a renormalized power series expansion in α_3^2 .

•• Infrared Quasi-fixed point:

Vanishing β -function for Y_t

$$\Rightarrow \frac{9}{2} Y_t^{Q-f} = 8 \alpha_3$$

$$\Rightarrow m_t^{Q-f} = \sqrt{8} m_t^{P-R} \sim 280 \text{ GeV}$$

* Quasi-fixed point would also become an exact fixed point if $b_3 = 0$.

Susy S. M.

• Pendleton - Ross:

$$\frac{d\alpha_3}{dt} = -3\alpha_3^2$$

$$\frac{dY_t}{dt} = Y_t \left(\frac{16}{3} \frac{\alpha_3}{4\pi} - 6Y_t \right)$$

$$\frac{d}{dt} (Y_t / \alpha_3) = 0 \leadsto Y_t^{\text{susy P-R}} = \frac{7}{18} \alpha_3$$

$$\begin{aligned} \leadsto M_t^{\text{susy P-R}} &= \sqrt{\frac{7}{18} 4\pi \alpha_3 \cdot V \cdot \sin \theta} \\ &\sim 140 \text{ GeV} \cdot \sin \theta \end{aligned}$$

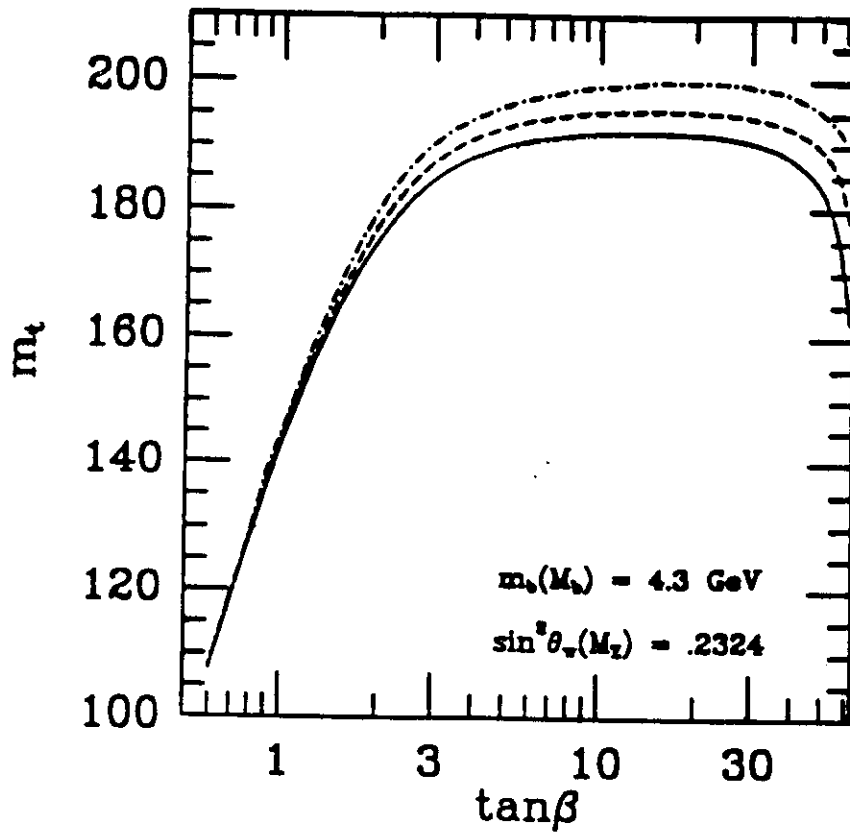
$$\tan \theta = \frac{V_u}{V_d}$$

•• Quasi-fixed point:

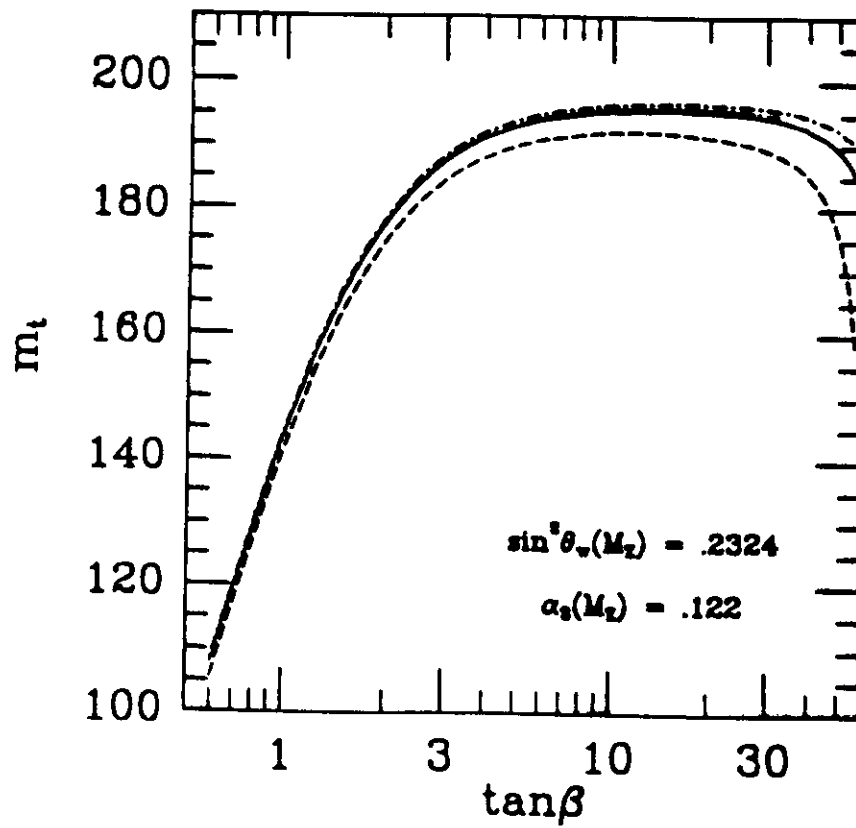
$$Y_t^{\text{susy Q-f}} = \frac{16}{18} \frac{\alpha_3}{4\pi}$$

$$\leadsto M_t^{\text{susy Q-f}} \sim 200 \text{ GeV} \cdot \sin \theta$$

Quasi-fixed point is reached if h_t becomes strong at scales $\mu = 10^{14} - 10^{19} \text{ GeV}$



Carena
 Pokorski
 Wagner



Search for renormalization group invariant relations among Gauge and Yukawa couplings in Unification schemes before symmetry breaking.

- Finite SU_5

Kapetanakis, Mondragon, Z '92
 " " " '93

- Minimal Super SU_5

Kubo, Mondragon, Z '94

- Minimal Super $SU_4 \times SU_{2L} \times SU_{2R}$

$SU_4 \times SU_{2L} \times SU_{2R}$

Kubo, Mondragon, Tracas, Z '94

- G-Y Unif. and t - b hierarchy '95

Kubo, Mondragon, Olechowski, Z

in a GUT with

g - gauge coupling

g_i - other couplings (Yukawas, self-couplings)

Any R.G.I. relation among the couplings can be expressed as

$$\Phi(g, g_1, \dots) = \text{const}$$

$$\Rightarrow \frac{d}{dt} \Phi = 0, \quad t = \ln \mu$$

$$\frac{d\Phi}{dt} = \frac{\partial \Phi}{\partial g} \underbrace{\frac{dg}{dt}}_{b_g} + \sum_i \frac{\partial \Phi}{\partial g_i} \underbrace{\frac{dg_i}{dt}}_{b_i} = 0$$

This is math. equivalent to

$$\frac{dg}{b_g} = \frac{dg_1}{b_1} = \frac{dg_2}{b_2} = \dots \quad \text{characteristic system}$$

$$\Rightarrow b_g \frac{dg_i}{dg} = b_i \quad // \quad \text{reduction eqs}$$

The strongest requirement is to demand the formal power series solutions to the REs

$$g_i = \sum_{n=0}^{\infty} p_i^{(n+1)} g^{2n+1}$$

Consequently, uniqueness of these solutions can be decided already at 1-loop!

Assume

$$b_i = \frac{1}{16\pi^2} \left[\sum_{j,k,l \neq g} b_i^{(1)} g_j g_k g_l + \sum_{j \neq g} b_i^{(1)j} g_j g^2 \right] + \dots$$

$$b_g = \frac{1}{16\pi^2} b_g^{(1)} g^3 + \dots$$

Assume $p_i^{(n)}$, $n \leq r$ have been uniquely determined

To obtain $p_i^{(r+1)}$ insert g_i in REs and collect terms of $O(g^{2r+3})$

$$\leadsto \sum_{l \neq g} M(r)_i^l p_l^{(r+1)} = \text{lower order terms known by assumption}$$

$$M(r)_i^l = 3 \sum_{j, k \neq g} \theta_i^{(r)} p_j^{(r)} p_k^{(r)} + \theta_i^{(r)l} - (2r+1) \theta_g^{(r)} \delta_i^l$$

$$0 = \sum_{j, k, l \neq g} \theta_i^{(r)} p_j^{(r)} p_k^{(r)} p_l^{(r)} + \sum_{l \neq g} \theta_i^{(r)l} p_l^{(r)} - \theta_g^{(r)} p_i^{(r)}$$

$\leadsto p_i^{(n)}$ for all $n > 1$ for a given set of $p_i^{(1)}$ can be uniquely determined if

$$\det M(n)_i^l \neq 0 \text{ for all } n \geq 0$$

$N=1$ gauge theories

Consider a chiral, anomaly free, $N=1$ globally supersymmetric gauge th. based on a group G with gauge coupling g .

Superpotential

$$W = \frac{1}{2} m_{ij} \phi^i \phi^j + \frac{1}{6} C_{ijk} \phi^i \phi^j \phi^k$$

m_{ij}, C_{ijk} gauge invariant tensors
 ϕ^i - matter fields transforming as an
ir. rep. R_i of G .

Renormalization constants associated with W

$$\phi^{0i} = (Z_j^i)^{1/2} \phi^j, \quad m_{ij}^0 = Z_{ij}^{i'j'} m_{i'j'}, \quad C_{ijk}^0 = Z_{ijk}^{i'j'k'} C_{i'j'k'}$$

$N=1$ non-renormalization thm ensures absence of mass and cubic-int-term infinities

$$Z_{i'j'k'}^{ijk} Z_{i''}^{1/2 i'} Z_{j''}^{1/2 j'} Z_{k''}^{1/2 k'} = \delta_{(i''}^i \delta_{j''}^j \delta_{k''}^k)$$

$$Z_{i'j'}^{ij} Z_{i''}^{1/2 i'} Z_{j''}^{1/2 j'} = \delta_{(i''}^i \delta_{j''}^j)$$

↳ the background field method)

$$Z_g Z_v^{1/2} = 1$$

⇒ Only surviving infinities are Z_j^i (Z_v , i.e. one infinity for each field.

The 1-loop β -function of the gauge coupling is

$$\beta_g^{(1)} = \frac{dg}{dt} = \frac{g^3}{16\pi^2} \left[\sum_i \ell(R_i) - 3C_2(G) \right]$$

$\ell(R_i)$ - Dynkin index of R_i

$C_2(G)$ - quadratic Casimir of the adjoint rep

β -functions of C_{ijk} , by virtue of the renormalization theorem, are related with the anomalous dim matrix γ_{ij} of ϕ^i

$$\beta_{ijk} = \frac{dC_{ijk}}{dt} = C_{ije} \gamma_k^e + C_{ike} \gamma_j^e + C_{jke} \gamma_i^e$$

$$\gamma_i^j = Z^{-\frac{1}{2}k} \frac{d}{dt} Z^{\frac{1}{2}j}_k$$

$$= \frac{1}{32\pi^2} \left[C^{jke} C_{ike} - 2g^2 C_2(R_i) \delta_i^j \right]$$

$C_2(R_i)$ - quadratic Casimir of R_i

$$C^{ijk} = C^{*ijk}$$

Finite Unification

Old days...

... divergences are "hidden under the carpet" (Dirac, Lects on Q.F.T., '64,

Recent years ...

... divergences reflect existence of a higher scale where new degrees of freedom are excited.

Not just artifacts of pert. th.

However the presence of quadratic divergences means that physics at one scale are very sensitive to unknown physics at higher scales.

$\leadsto$ SUSY ths which are free of quadratic divergences in spite of any experimental evidence...

$\leadsto$ Natural to expect that beyond unification scale the theory should be completely finite.

- $N=4 \leadsto$ finite to all orders in pert.
- $N=2 \leadsto$ only 1-loop contributions to β -function. Possible to arrange the spectrum so that theory is finite.

Multiplicities for massless irreducible reps with maximal helicity 1

$N \backslash S_{\text{spin}}$	1	1	2	2	4
1	—	1	—	1	1
$\frac{1}{2}$	1	1	2	2	4
0	2	—	4	2	6

$$N=2 : \mathcal{G}(g) = \frac{2g^3}{(4\pi)^2} \left(\sum_i T(R_i) - C_2(G) \right)$$

e.g. $SU(N)$ with $2N$ fundamental
reps $\leadsto \mathcal{G}(g) = 0$

$$SU(5) : p(5 + \bar{5}) ; q(10 + \bar{10}) ; r(15 + \bar{15})$$

with $p + 3q + 7r = 10$

$$SO(10) : p(10 + \bar{10}) ; q(16 + \bar{16})$$

with $p + 2q = 8$

$$E_6 : 4(27 + \bar{27})$$

Finite Unified Theories

$N=1$

- Complete classification of chiral $N=1$ susy models with a simple gauge group satisfying 1-loop finiteness has been done.

Holmudi, Patera, Schwarz; Jiang, Zhou

- 1-loop finiteness
 $\leadsto$ 2-loop finiteness

Parke, West,
Jones,
Mezincescu

- • • There exist simple criteria that guarantee all loop finiteness in the sense of $\beta^n = 0$

Lucchesi, Piquet
Sibold
Kazakov
Ermushen
Tarasov

- We examined promising
1(2) loop models;
make them all-loop finite;
 $\Rightarrow$ top quark mass $\sim 189 \text{ GeV}$

Kapetanakis, Mondragon, Z '92

Chiral, anomaly free, globally
 $N=1$ SUSY gauge theory with
 group G has superpotential:

$$W = \alpha_i \phi_i + \frac{1}{2} m_{ij} \phi_i \phi_j + \frac{1}{6} C_{ijk} \phi_i \phi_j \phi_k$$

$\left. \begin{matrix} \alpha_i \\ m_{ij} \\ C_{ijk} \end{matrix} \right\}$ gauge inv
 tensors
 $\rightarrow$ Yukawa couplings

ϕ_i - matter fields
 in irreducible
 reps of G .

Necessary and sufficient conditions
 for $N=1$ 1-loop finiteness:

- Vanishing of β_g implies:

$$\sum_i \ell(R_i) = 3 C_2(G) \quad ||$$

$\ell(R_i)$: Dynkin index of irrep R_i

$C_2(G)$: Quadratic Casimir of G (adjoint)

$\Rightarrow$ Selection of the field content
 (representations) of the theory. |||

CHIRAL TWO-LOOP-FINITE SUPERSYMMETRIC THEORIES *

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Any globally supersymmetric theory in four dimensions that is one-loop finite is automatically (at least) two-loop finite. We classify all such theories that are chiral and have a simple gauge group.

One of the less satisfying aspects of GUTs ("grand-unified theories") and super GUTs is that they contain many arbitrary parameters. One principle that could serve to limit the number of parameters is the requirement of finiteness. This possibility has been raised with the discovery that there are large classes of supersymmetric gauge theories that are free from ultraviolet divergences at all orders of perturbation theory ^{†1}. The theories for which this has been established are all $N = 4$ and some [2] $N = 2$ super Yang-Mills theories. Finiteness allows some parameters to be introduced via mass and soft supersymmetry-breaking terms, but it relates all the dimensionless couplings. Unfortunately, all $N = 2$ or $N = 4$ theories are nonchiral ("vector-like") and do not appear suited to the construction of a realistic model. Recently, the possibility has been raised that certain $N = 1$ theories could also be finite [3,4]. A theory containing Yang-Mills and chiral superfields is ultraviolet finite at loop provided that certain conditions (described below) restricting the representations and couplings of the chiral superfields are satisfied. It has been proved by direct calculation [3] and by considerations involving the chiral anomaly [4] that these conditions ensure two-loop finiteness as well, without any additional restrictions. It is an open question

whether any of the $N = 1$ theories of this class are finite beyond two loops. The purpose of this letter is to list all chiral solutions of the one-loop conditions that are based on a simple gauge group.

Consider a globally supersymmetric $N = 1$ theory in four dimensions with a simple Yang-Mills group G . In addition to the gauge superfield, it can contain chiral superfields in an arbitrary representation R of G with irreducible components R_i :

$$R = \bigoplus_i R_i \quad (1)$$

Our task is to find the possible choices of R and associated couplings that ensure one-loop finiteness. We only consider chiral theories ($R \neq \bar{R}$). This restricts G to those groups that have complex representations, namely $SU(n)$ with $n \geq 3$, $SO(4k+2)$ with $k \geq 2$, and E_6 . Cancellation of the gauge-current anomaly

$$A(R) = \sum_i A(R_i) = 0 \quad (2)$$

is also imposed, since it is a necessary requirement for a consistent quantum theory. The anomaly condition is nontrivial only for $SU(n)$.

There are two additional conditions required by one-loop finiteness [3,4]. The first is the one-loop finiteness of the gauge-field self energy. The condition is

$$I(R) = \sum_i I(R_i) = 3C_2(G), \quad (3)$$

where $I(R_i)$ is the "index" of R_i [5] and $C_2(G)$ is

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¹ On leave from Centre de recherches de mathématiques appliquées, Université de Montréal, Montréal, Québec, Canada.

[†] For a review see ref. [1].

the eigenvalue of the second-order Casimir operator (which coincides with the index of the adjoint representation). Since indices are always positive (except for singlets, which are excluded), eq. (3) already limits R to a finite number of possibilities for a given group G .

The second condition is the one-loop finiteness of the chiral superfield self-energy. In terms of coefficients d describing the cubic self-coupling of the chiral superfields in the superpotential, the condition is

$$\sum_{\substack{b,c \\ j,k}} d_{abc}^{ijk} \bar{d}_{a'bc}^{i'jk} = 2g^2 \delta_{aa'} \delta_{ii'} C_2(R_i). \quad (4)$$

The subscripts a, b, c label components of the representations R_i, R_j, R_k .

The only irreducible representations that can occur

in R are ones whose indices do not exceed $3C_2(G)$. Singlets (with nonzero couplings) are excluded by (4). All relevant representations of the groups with complex representations are listed in table 1. It also gives the indices and anomalies, normalized to be unity for the fundamental representation. Complex-conjugate representations, which have the same index and opposite anomaly, are not shown.

In seeking solutions to eqs. (2)–(4) it is convenient to consider first the trace of (4) given by summing over $a = a'$ and the m_a values of $i = i'$ for which $R_i = R_a$. This results in conditions of the form

$$\sum_{\beta\gamma} |C_{\alpha\beta\gamma}|^2 = m_\alpha I(R_\alpha). \quad (5)$$

Eq. (5) is weaker than (4), but it is useful for quickly eliminating many candidates from the list of admissible R 's. Detailed examination of (4) then eliminates

Table 1
Properties of relevant irreducible representations

SU(n)						
Representation						
Dimension	n	$n(n-1)/2$	$n(n+1)/2$	n^2-1	$n(n-1)(n-2)/6$	$n(n-1)(n-2)(n-3)/24$
Index	1	$n-2$	$n+2$	$2n$	$(n-2)(n-3)/2$	$(n-2)(n-3)(n-4)/6$
Anomaly	1	$n-4$	$n+4$	0	$(n-3)(n-6)/2$	$(n-3)(n-4)(n-8)/6$
O(4k+2)						
Representation						
Dimension	$4k+2$	$2(k+1)(4k+1)$	$(4k+2)(4k+1)/2$	2^{2k}	27	78
Index	1	$4k+4$	$4k$	2^{2k-3}	1	4
E(6)						
Representation						
Dimension	$4k+2$	$2(k+1)(4k+1)$	$(4k+2)(4k+1)/2$	2^{2k}	27	78
Index	1	$4k+4$	$4k$	2^{2k-3}	1	4

Table 2
Multiplicities m_α of the irreducible components R_α of R for all the solutions.

Irrep	27	$\bar{27}$	Comments		
E(6)	n	$12 - n$	$7 \leq n \leq 12$		

Irrep	10	54	45	16	$\bar{16}$	Comments
SO(10)	8	0	0	n	$8 - n$	$5 \leq n \leq 8$
	2	1	1	1	0	
	$12 - 2m$	1	0	n	m	$n + m \leq 4$, $n > m$
	$-2n$					

Irrep	$\square$	$\bar{\square}$	$\square$	$\bar{\square}$	$\square$	$\bar{\square}$	Adj
SU(n)	$2n - 4$	$2n + 4$	0	1	1	0	0
$n \geq 7$	$n - 4$	$n + 4$	0	1	1	0	1

Irrep	8	$\bar{8}$	28	70	63
SU(8)	1	5	1	1	1

Irrep	3	$\bar{3}$	6	8
SU(3)	3	10	1	0
	0	7	1	1

Irrep	4	$\bar{4}$	15	6	10
SU(4)	0	8	1	1	1
	4	12	0	1	1

Irrep	5	$\bar{5}$	10	$\bar{10}$	15	$\bar{15}$	24
SU(5)	3	14	2	0	1	0	0
	6	14	0	1	1	0	0
	5	7	4	2	0	0	0
	5	10	5	0	0	0	0
I $\rightarrow$	6	9	4	1	0	0	0
	7	8	3	2	0	0	0
	8	10	3	1	0	0	0
	1	2	1	0	1	1	1
	1	9	0	1	1	0	1
	2	3	3	2	0	0	1
II $\rightarrow$	3	5	3	1	0	0	1
	4	7	3	0	0	0	1
	5	6	2	1	0	0	1
	6	8	2	0	0	0	1
	8	9	1	0	0	0	1
	3	4	1	0	0	0	2

Irrep	6	$\bar{6}$	15	$\bar{15}$	21	$\bar{21}$	20	35
SU(6)	0	16	3	0	1	0	0	0
	8	16	0	1	1	0	0	0
	0	4	5	3	0	0	0	0
	3	5	4	3	0	0	0	0
	0	12	6	0	0	0	0	0
	2	10	5	1	0	0	0	0
	4	8	4	2	0	0	0	0
	8	12	3	1	0	0	0	0
	0	2	1	0	0	0	3	1
	0	4	2	0	0	0	2	1
	3	5	1	0	0	0	2	1
	0	6	3	0	0	0	1	1
	2	4	2	1	0	0	1	1
	3	7	2	0	0	0	1	1
	6	8	1	0	0	0	1	1
	1	3	1	0	1	1	0	1
	2	10	0	1	1	0	0	1
	1	3	3	2	0	0	0	1
	0	8	4	0	0	0	0	1
	2	6	3	1	0	0	0	1
	3	9	3	0	0	0	0	1
	5	7	2	1	0	0	0	1
	6	10	2	0	0	0	0	1
	9	11	1	0	0	0	0	1
	0	4	2	0	0	0	0	2
	3	5	1	0	0	0	0	2
	0	2	1	0	0	0	1	2

Sib. $\rightarrow$
cl. al.

some [1 for SU(5) and 11 for SU(6)] of the class allowed by (2), (3), and (5). The complete list of complex representations satisfying (2), (3) and (4) is given in table 2. The conjugate representations, which are also solutions, are not tabulated. In most cases the

couplings are uniquely determined (up to a change of basis), but in a few cases there are free parameters or discrete alternatives. Note that there are no solutions for SO($4k + 2$) with $k > 2$.

Scanning the tables for potentially realistic schemes,

- • Vanishing of $\delta_j^{(1)i}$ implies:

$$C^{ike} C_{jke} = 2 \delta_j^i g^2 C_2(R_i) \quad ||$$

g : gauge coupling constant

$C_2(R_i)$: quadratic Casimir of R_i

$$C^{ijk} = (C_{ijk})^*$$

→ Yukawa couplings are related to the gauge coupling const.

- 1-loop finiteness condts do not restrict the form of a_i, m_{ij}
- • Existence of a $U(1)$ is incompatible with the first condit.
- • The second condit forbids the presence of singlets with nonzero coupling

$$\ell(R_i) \delta_{ab} = \text{Tr} (T_a T_b)$$

$$C_2(R_i) \delta_{ij} = \sum_a (T^a T^a)_{ij}$$

where $T_a [a=1, \dots, \dim(\text{adj})]$ is the a -th generator in the R_i rep.

$$C_2(R_i) = \frac{\dim(\text{adj})}{\dim(R_i)} \ell(R_i) \quad //$$

e.g. in $SU(N)$

$$N \quad \text{has} \quad \ell = 1 \quad \leadsto \quad C_2(N) = \frac{N^2 - 1}{N}$$

$$N^2 - 1 \quad \text{"} \quad \ell = 2N \quad \leadsto \quad C_2(N^2 - 1) = 2N$$

$\Rightarrow$ SUSY can be broken by
 adding G-invariant soft terms
 (subject to mild constraints)

* 1-loop finiteness condt's are necessary and sufficient to guarantee 2-loop finiteness.

}	Parkes
	West
	Jones
	Mezincesu
	Machace
	Vaughn

$$\beta(g) = \mu \frac{\partial}{\partial \mu} g = \frac{g^3}{16\pi^2} \left[\sum_i \ell(R_i) - 3 C_2(G) \right]$$

$$\beta_{ijk}^{(1)} = \mu \frac{\partial}{\partial \mu} C_{ijk} = \gamma_{(i}^{(1)} C_{jk)} \quad \text{due to non-renormalization chm}$$

$$\gamma_j^{(1)i} = \frac{1}{16\pi^2} \frac{1}{2} \left[C^{imn} C_{jmn} - 2 \delta_j^i g^2 C_2(R_i) \right]$$

$$\beta^{(2)} = \frac{1}{(16\pi^2)^2} 2g^5 \left[\sum_i \ell(R_i) - 3 C_2(G) \right]$$

$$- \frac{1}{(16\pi^2)^2} \frac{g^3}{r} C_2(R_i) \left[C^{imn} C_{jmn} - 2 \delta_j^i g^2 C_2(R_i) \right]$$

$$r: \text{tr } \gamma^{ab}$$

$$\gamma_i^{(2)i} = \frac{1}{(16\pi^2)^2} 2g^4 C_2(R_i) \left[\sum_i C(R_i) - 3C_2(G) \right] \\ - \frac{1}{(16\pi^2)^2} \frac{1}{2} \left[C^{ikl} C_{jkm} + 2g^2 (R^a)^i_m (R^a)^l_j \right] \\ \cdot \left[C^{mpq} C_{lpq} - 2\delta^m_l g^2 C_2(R_i) \right]$$

- 1-loop finiteness conditions ensure that $B(g^{(3)})$ in 3-loops vanishes but in general the chiral superfield $\gamma^{(3)}$ does not
(Grisaru, Milewski, Zanon; Parkes, West)

What happens in higher loops?
So far 1-loop finiteness conditions (on γ s) are telling us:

$$C_{ijk} = C_{ijk}(g)$$

$$B_{ijk}^{(1)} = 0$$

** Necessary + sufficient condt's
for $B(g)$ and B_{ijk} to vanish to
all orders:

1. $B^{(1)}(g) = 0$

Lucchini
Piquet
Sibold

2. $\gamma_j^{(1)i} = 0$

3. $B_{ijk} = B(g) \frac{dC_{ijk}}{dg}$ admit a

power series solution which in lowest
order is a solution of cond't 2.

3'. There exist solutions to $\gamma^{(1)} = 0$
of the form

$$C_{ijk} = P_{ijk} g, \quad P_{ijk} - \text{complex}$$

4. These solutions are isolated
and non-degenerate

→ last cond't introduces
new constraints

Conds ① + ② $\leadsto$ 2-loop finiteness

3. All-loops finiteness:

Find a unique solution of the system of eqs!

Recall

- a_i, m_{ij} (linear, mass) terms unaffected
 - breaking of SUSY
 - ~~*~~ since singlets are excluded ($\gamma''=0$)
 - ~~*~~ " $U(1)$ " ($\theta''=0$)
- $\leadsto$ soft terms + more conds

Model I

$G = SU(5)$; 3 fermion families;
no Higgs in the adjoint

Content:

fermion super-mults	scalar super-mults
$3(\bar{5} + 10)$ $\bar{5}_i \quad 10_i$	$6(5 + \bar{5}) + (10 + \bar{10})$ $H_a \quad \bar{H}_a \quad N$

Finiteness wrts:

1. $\beta(g)^{(2)} = 0$ is automatically satisfied

2. Consider most general $SU(5)$ -inv,
 $N=1$ -inv, cubic superpotential:

$$\begin{aligned}
 W = & \frac{1}{2} g_{ija} 10_i 10_j H_a + g_{ia} 10_i N H_a + \bar{g}_{ija} 10_i \bar{5}_j \bar{H}_a \\
 & + \frac{1}{2} g'_{ijk} 10_i \bar{5}_j \bar{5}_k + \frac{1}{2} f_{ab} N \bar{H}_a \bar{H}_b + \frac{1}{2} f_{ab} \bar{N} H_a H_b \\
 & + \frac{1}{2} h_a N N H_a + \frac{1}{2} \bar{h}_a \bar{N} \bar{N} \bar{H}_a + \frac{1}{2} q_{iab} 10_i \bar{H}_a \bar{H}_b \\
 & + p_{ia} N \bar{5}_i \bar{H}_a + \frac{1}{2} \epsilon_{ij} N \bar{5}_i \bar{5}_j
 \end{aligned}$$

$i, j, k = 1, 2, 3$; $a, b = 1, \dots, 6$

Cond't (2) i.e. $\gamma^{(2)} = 0$ impose relations among Yukawa and gauge cpls

$$H : 3 g^{ija} g_{ijb} + 6 g^{ia} g_{ib} + 4 f^{ca} f_{cb} + 3 h^a h_b = \delta_b^a \frac{24}{5} g^2$$

$$\bar{5} : 4 \bar{g}^{ila} \bar{g}_{ima} + 4 g'^{ik} g'_{imk} + 4 t^{li} t_{mj} + p^la p_{ma} = \delta_m^l \frac{24}{5} g^2$$

$$\bar{H} : 4 \bar{g}^{ija} \bar{g}_{ijb} + 4 f^{ca} f_{cb} + 3 \bar{h}^a \bar{h}_b + 4 g^{ica} g_{icb} + 4 p^{ia} p_{ib} = \delta_b^a \frac{24}{5} g^2$$

$$N : 3 g^{ia} g_{ia} + f^{cb} f_{cb} + 3 h^a h_a + 2 p^{ia} p_{ia} + t^{ij} t_{ij} = \frac{36}{5} g^2$$

$$\bar{N} : \bar{f}^{ab} \bar{f}_{ab} + 3 \bar{h}^a \bar{h}_a = \frac{36}{5} g^2$$

$$10 : 3 g^{lki} g_{mki} + 2 \bar{g}^{lki} \bar{g}_{mki} + 3 g^{la} g_{ma} + g'^{ljk} g'_{mjk} + g^{lab} g_{mab} = \delta_m^l \frac{36}{5} g^2 \quad 16$$

— To find unique solution
impose additional discrete syms.

Q-parity: $10_i, \bar{5}_i$ odd
all the rest even

and a $\mathbb{Z}_3 \times \mathbb{Z}_7$

	10_1	10_2	10_3	$\bar{5}_1$	$\bar{5}_2$	$\bar{5}_3$	N
$\mathbb{Z}_7$	1	2	4	4	1	2	0
$\mathbb{Z}_3$	1	2	0	0	0	0	0

	H_1	H_2	H_3	H_4	H_5	H_6
$\mathbb{Z}_7$	5	3	6	0	0	0
$\mathbb{Z}_3$	1	2	0	0	1	2

Then only few terms survive
leading to the unique solution:

$$f_{44}^2 = \bar{f}_{44}^2 = 0 \quad h_4^2 = \bar{h}_4^2 = \frac{8}{5} g^2$$

$$f_{56}^2 = f_{65}^2 = \bar{f}_{56}^2 = \bar{f}_{65}^2 = \frac{6}{5} g^2$$

$$g_{iii}^2 = \frac{8}{5} g^2$$

$$\overline{g}_{iii} = \frac{6}{5} g^2$$

⇒ All-loop finite

- This model could result from a string theory:

CY manifold with
non standard embedding

$$E_8 \times E_8' \rightarrow SU(5) \times E_8'$$

Witten
Diestler
Greene
Kirlin
Miron

$$\text{Content: } m(10) + n(\overline{5}) + \delta(10 + \overline{10}) + \epsilon(5 + \overline{5})$$

m, n, δ, ϵ topological #s of the manifold

In our case: $m = n = 3$

$$\delta = 1$$

$$\epsilon = 6$$

Then break gauge symmetry via
Hosotani (Wilson flux mechanism):

CY should admit freely acting
discrete group F

Model II

$G = SU(5)$; 3 fermion families
and 24

Hamidi, Schwarz
Jones, Raby
Leon et al.
Kazakov

Content

$$3(\bar{5} + 10) + 4 \left(\overset{H_a}{\underset{\uparrow}{5}} + \overset{\bar{H}_a}{\underset{\uparrow}{\bar{5}}} \right) + 24$$

$\uparrow$ ferm. super-mults $\nwarrow \nearrow$ scalar super-mults

Finiteness condts

1. $G^{(1)}(g) = 0$ is automatically satisfied

2. Consider most general $SU(5)$ -inv,
 $N=1$, cubic superpotential:

$$W = \frac{1}{2} g_{ija} 10_i 10_j H_a + \bar{g}_{ija} 10_i \bar{5}_j H_a + \frac{1}{2} g'_{ijk} 10_i \bar{5}_j \bar{5}_k \\ + \frac{1}{2} g_{iab} 10_i \bar{H}_a \bar{H}_b + f_{ab} \bar{H}_a 24 H_b + P(24)^3 + h_{ia} \bar{5}^{24}_i$$

$$i, j, k = 1, 2, 3 \quad ; \quad a, b = 1, \dots, 4$$

$\delta^{(1)} = 0 \leadsto$ relations among Yukawa and gauge couplings.

$$\bar{H}: 4 \bar{g}_{ija} \bar{g}^{ibb} + \frac{24}{5} f_{ac} f^{bc} + 4 g_{iac} g^{ibc} = \frac{24}{5} g^2 \delta_a^b$$

$$H: 3 g_{ija} g^{ijb} + \frac{24}{5} f_{ca} f^{cb} + \frac{24}{5} h_{ia} h^{ib} = \frac{24}{5} g^2 \delta_a^b$$

$$\bar{H}': 4 \bar{g}_{kia} \bar{g}^{kja} + \frac{24}{5} h_{ia} h^{ja} + 4 g'_{ike} g'^{ike} = \frac{24}{5} g^2 \delta_i^j$$

$$10: 2 \bar{g}_{ika} \bar{g}^{jka} + 3 g_{ika} g^{jka} + g_{iab} g^{iab} + g_{kei} g'^{klij} = \frac{36}{5} g^2 \delta_i^j$$

$$24: f_{ab} f^{ab} + \frac{21}{5} p p^* + h_{ia} h^{ia} = 10 g^2$$

- Imposing the same discrete symmetries as before we find the unique solution:

$$g_{iii}^2 = \frac{8}{5} g^2, \quad \bar{g}_{iii}^2 = \frac{6}{5} g^2$$

$$f_{ii}^2 = 0, \quad f_{44}^2 = g^2, \quad p^2 = \frac{15}{7} g^2$$

All loop finite model

- $SU(5)$ breaks down to the standard model due to $\langle 24 \rangle$
- Use the freedom in mass parameters to obtain only a pair of Higgs fields light, acquiring v.e.v.
- Get rid of unwanted triplets rotating the Higgs sector (after a fine tuning)
see Leon et. al.
- Adding soft terms we can achieve SUSY breaking.

- Using R.G.Es (gauge couplings,

$$\sin^2 \theta_w(M_Z) = 0.233$$

$$M_x = 2 \cdot 10^{16}$$

$$\alpha_{em}^{-1}(M_Z) = 127.9$$

$$\alpha_s(M_Z) = 0.120$$

$$\alpha_x = 0.0425$$

Then using above and initial values at M_x :

$$g_\epsilon^2 = \frac{8}{5} (4\pi\alpha_x) \quad ||$$

$$\bar{g}_b^2 = \bar{g}_T^2 = \frac{6}{5} (4\pi\alpha_x) \quad ||$$

we obtain

$$m_b \simeq 3.5 \text{ GeV} \quad (3.1 - 4.1 \text{ GeV})$$

$$m_T \simeq 1.78 \text{ GeV}$$

$$m_\epsilon \simeq 189 \text{ GeV}$$

1) Gauge Couplings Unification
 $\sin^2 \theta_w, \alpha_{em} \rightarrow \alpha_3(M_Z)$ Amaldi et. al.

2) Bottom - Tau Yukawa Unif.
SU₅-type
 $\Rightarrow m_t \sim 100 - 200 \text{ GeV}$ Barger et. al.
Larena et. al.

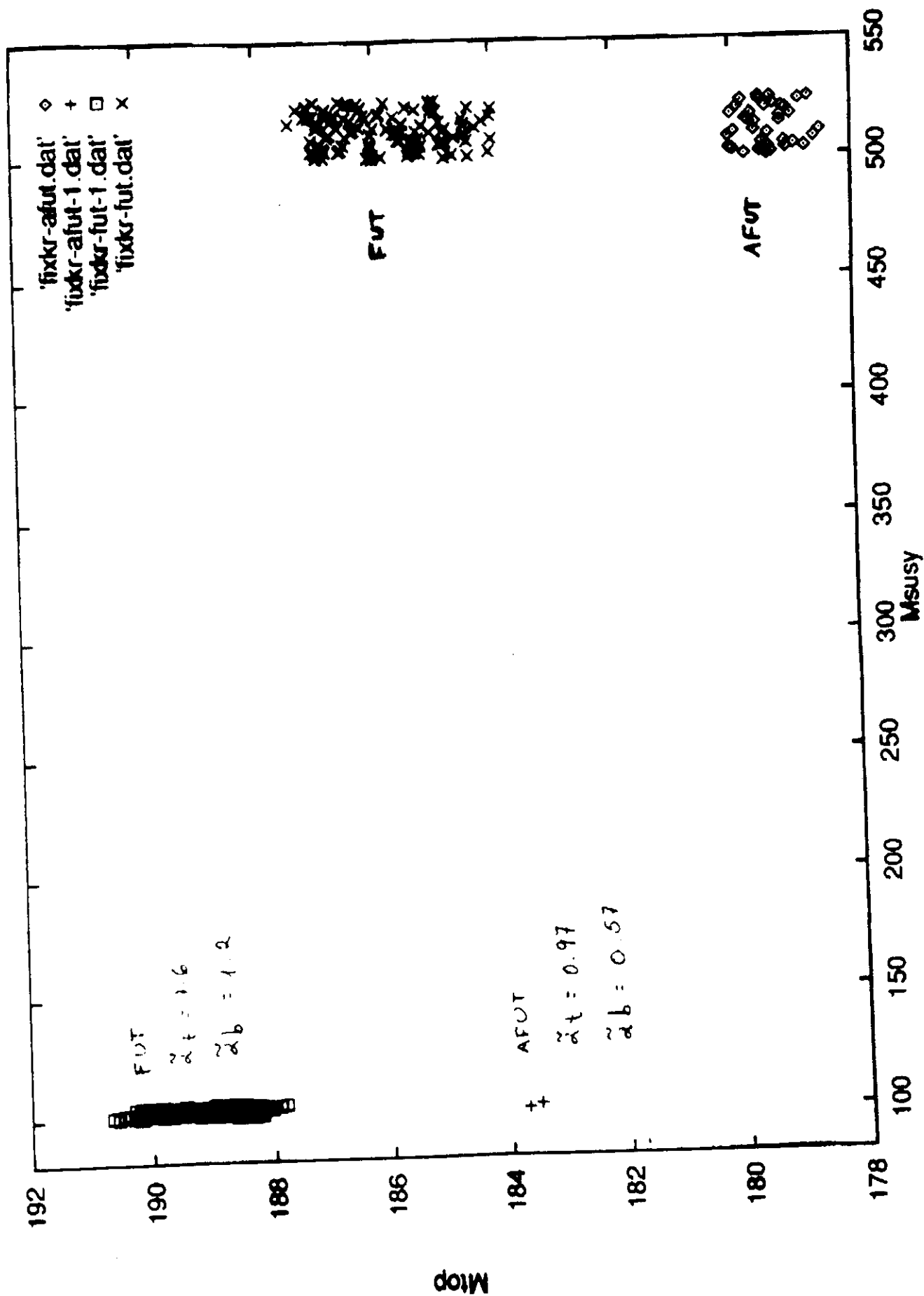
*3) Top - Bottom - Tau Yuk. Uni.
$$h_t^2 = \frac{4}{3} h_{b,\tau}^2 \quad \text{in } SU_5 - FUT$$

Similar to SO₁₀ Shafi et. al.
Barger et. al.
 $\Rightarrow m_t \sim 160 - 200 \text{ GeV}$ Larena et. al.

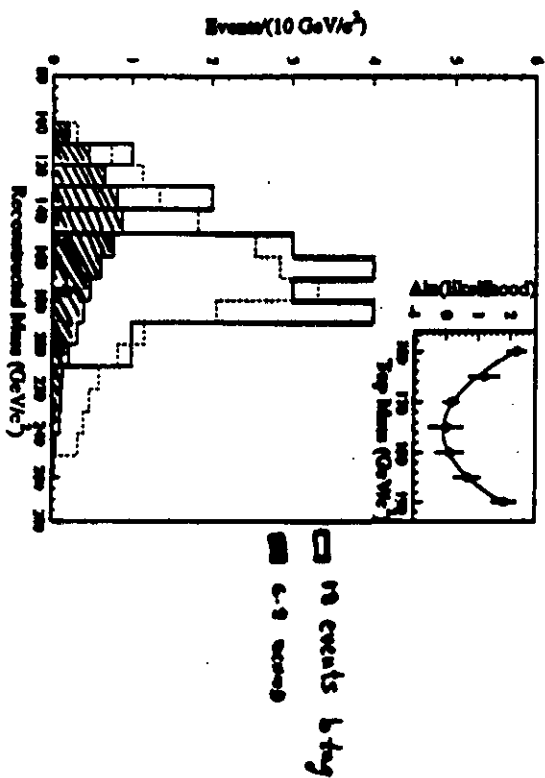
*4) Gauge - Top - Bottom - Tau Uni.
FUT SU₅ : $h_t^2 = \frac{8}{5} g_u^2$; $h_b^2 = \frac{6}{5} g_u^2$
Min. Susy SU₅ : $h_t^2 = 0.97 g_u^2$; $h_b^2 = .57 g_u^2$

Conclusions

- Examined 3-families, $SU(5)$, $N=1$ which are 1(2)-loop finite and can be made all-loop finite imposing discrete symmetries.
- The values of the Yukawas at the unification point are determined by the theory.
- High value of the top mass:
185 - 200 GeV
- Consistent gauge - Yukawa Un. in minimal $N=1$, SU_5 :
180 - 190 GeV
- Consistent gauge - Yukawa Un. in minimal $N=1$, $SU_4 \times SU_{2L} \times SU_2$
195 - 240 GeV



Mass Fit to the Tagged Events



$$M_t = 176 \pm 8 \pm 10 \text{ GeV}$$

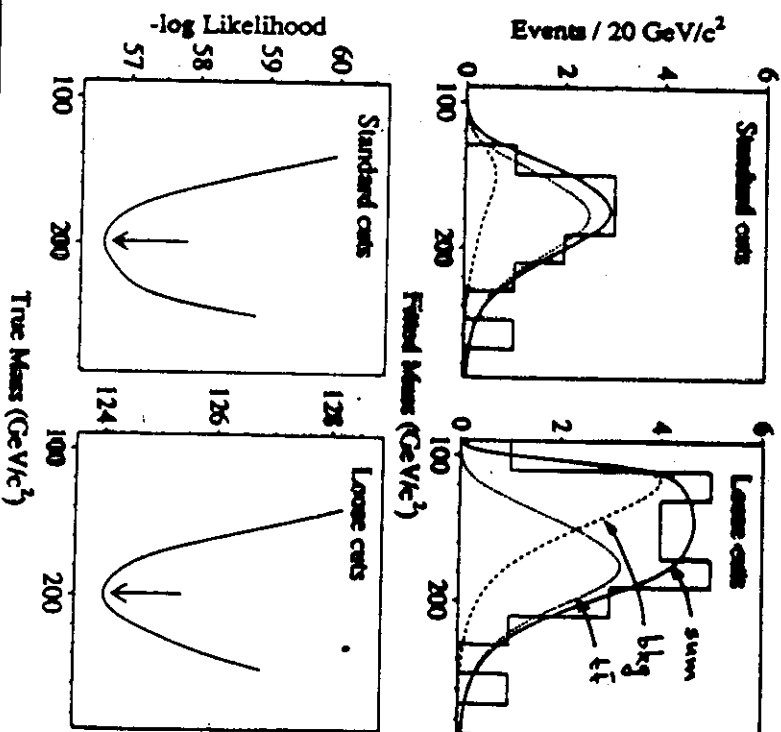
Significance of mass peak (KS test): 0.02 conservative

Slide No. 49

Mass Analysis Results

- Standard cuts - 11 of 14 events fitted
- Loose cuts - 24 of 27 events fitted

* Results insensitive to constraining the background normalization



$$M_t = 199^{+19}_{-21} \pm 22 \text{ GeV}$$

