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AUTUMN COURSE  
ON  
VARIATIONAL METHODS IN ANALYSIS AND MATHEMATICAL PHYSICS

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THE LUSTERNIK-SCHNIEBELMAN CATEGORY

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# § 7. The Lusternik-Schnirelman category.

Here we discuss the main topological tool for what follows.

Let  $M, N$  be topological spaces. Two mappings  $\varphi_0, \varphi_1 \in C(M, N)$  are homotopic ( $\varphi_0 \sim \varphi_1$ ) if there exists  $\mathcal{H} \in C([0, 1] \times M, N)$  such that

$$\mathcal{H}(0, \cdot) = \varphi_0 \quad \mathcal{H}(1, \cdot) = \varphi_1$$

Let  $A$  be a subset of  $M$ .  $A$  is said contractible in  $M$  if the inclusion  $i: A \rightarrow M$  is homotopic to a constant mapping. In other words,  $A$  is contractible if there is  $\mathcal{H}(t, x) \in C([0, 1] \times A, M)$  such that  $\forall x \in A$

$$\mathcal{H}(0, x) = x \quad \mathcal{H}(1, x) = p$$

for some  $p \in X$ .

~~Then~~  
 7.1. Definition. We define  $\text{cat}(A, M)$  (the L-S category of  $A$  with respect to  $M$ ) as the least integer  $k$  such that  $A \subset A_1 \cup A_2 \cup \dots \cup A_k$ , each  $A_i$  being closed in  $M$  and contractible in  $M$ .

For example, any finite-dimensional sphere  $S^n$  has category 2, while for  $S = \{u \in E, \|u\| = 1\}$ , with  $E$  infinite dimensional separable Hilbert space, it results  $\text{cat}(S, S) = 1$ .  
 If  $T$  is a two-dimensional torus, then  $\text{cat}(T, T) = 3$ .

7.2 Proposition. Let  $A, A_1, A_2 \subset M$ .

- (1)  $A_1 \subseteq A_2$  implies  $\text{cat}(A_1, M) \leq \text{cat}(A_2, M)$
- (2)  $A = A_1 \cup A_2$  implies  $\text{cat}(A, M) \leq \text{cat}(A_1, M) + \text{cat}(A_2, M)$
- (3) if  $A$  is closed in  $M$  and  $\alpha \in C(A, M)$ , ~~then~~ is homotopic to the inclusion  $i: A \rightarrow M$ , then  $\text{cat}(A, M) \leq \text{cat}(\alpha(A), M)$

We will use the notation  $\text{cat}(M)$  for  $\text{cat}(M, M)$ .

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Proof. We prove only (3); (1) and (2) follow directly from the definition. Let

$$\text{cat}(A, M) = k$$

so that  $A \subset V_1 \cup V_2 \cup \dots \cup V_k$ ,  $V_i$  closed and contractible in  $M$ . Setting

$$A_i = \{x \in A : x \in V_i\},$$

each  $A_i$  is closed in  $A$  and hence in  $M$ , because  $A$  is closed. Moreover each  $A_i$  is contractible because  $V_i$  do. Hence  $\text{cat}(A, M) \leq k$ , as required. ■

Before to state next ~~the~~ result, we recall that a ~~topological~~ <sup>metric</sup> space  $M$  is a ANR if: for every  $T$  metric space, for every ~~and~~ closed subset  $S \subset T$  and every  $\varphi \in C(S, M)$  there exists a neighborhood  $U$  of  $S$  and  $\tilde{\varphi} \in C(U, M)$  which extends  $\varphi$  (namely  $\tilde{\varphi}(x) = \varphi(x) \forall x \in S$ ).

7.3. Proposition. Let  $M$  be a ANR and  $A \subset M$  be compact. Then there exists a neighborhood  $U$  of  $A$  such that  $\text{cat}(U, M) = \text{cat}(A, M)$ .

Proof. As in the proof of Proposition 7.2, it is enough to give prove ~~the~~  $\&$  in the case  $\text{cat}(A, M) = 1$ . Since  $A$  is contractible, there exists  $\mathcal{H} \in C([0, 1] \times A, M)$  such that

$$\mathcal{H}(0, x) = x \quad \mathcal{H}(1, x) = p \quad \forall x \in A.$$

■ In the definition of ANR, we take  $T = [0, 1] \times M$

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and  $S = ([0, 1] \times A) \cup (\{0\} \times M) \cup (\{1\} \times M)$ , and

$$\varphi: S \rightarrow M$$

defined by:

$$\begin{aligned} \varphi(t, x) &= \mathcal{H}(t, x) & \text{for } x \in A \\ \varphi(0, x) &= x & \text{for } x \in M \\ \varphi(1, x) &= p & \text{for } x \in M \end{aligned}$$

Remark that  $\varphi \in C(S, M)$ . Hence  $\varphi$  has an extension  $\tilde{\varphi}$  defined in a neighborhood  $V$  of  $S$ . Since  $A$  is compact, we can take a neighborhood  $U$  of  $A$  such that  $[0, 1] \times U \subset V$ . Then  $\tilde{\varphi} \in C([0, 1] \times U, M)$  ~~extends~~ <sup>the inclusion</sup> ~~is a homeomorphism~~  $\tilde{\varphi}|_{[0, 1] \times U}$  is a homeomorphism from  $[0, 1] \times U$  to  $M$  and the constant mapping  $p$ . Hence  $\text{cat}(U, M) = 1$ , as required. ■

It is possible to show that a Hilbert (or Banach) submanifold of the type described in § 5 are ANR (cf. [Pa 2]).

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## §8. Existence of critical points.

In this section we will expose, following J.T. Schwartz [1] a mini-max procedure to find critical points of a functional on a manifold.

We will always assume  $M = h^{-1}(0)$  where  $h \in C^{1,1}(E, \mathbb{R})$ ,  $E$  Hilbert space, and (h1) holds (see §5).

Let  $f \in C^{1,1}(E, \mathbb{R})$ .

Define

$$A_n = \{A \subset M : \text{cat}(A, M) \geq n, A \text{ compact}\}.$$

We shall assume that for  $n \leq \text{cat}(M)$ ,  $A_n \neq \emptyset$ , this will be the case in all our applications. Let

$$c_n = \inf_{A \in A_n} \max \{f(x) : x \in A\}$$

Let us remark that, since  $A$  is compact, then  $c_n < \infty$ . This is the only point where we use this fact. Indeed, we could avoid the assumption  $A_n \neq \emptyset$ , dropping the compactness requirement in the definition of  $A_n$ . A bit more careful analysis would give the same results.

Since  $A_n \supset A_{n+1}$ , it follows

$$c_1 \leq c_2 \leq c_3 \leq \dots \leq c_n \leq c_{n+1} \leq \dots$$

We will use the notations introduced in §5.

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8.1 Theorem. Suppose  $(f, M)$  satisfies (PS). Then

- (i) ~~for every~~ for every  $c_n > -\infty$ ,  $K_{c_n} \neq \emptyset$
- (ii) if  $c_1 = c_2 = c_3 = \dots = c_{n+1} \neq -\infty$ , then  $\text{cat}(K_{c_1}, M) \geq n+1$
- (iii) if  $f$  is bounded from below on  $M$ , then  $f$  has at least  $\text{cat}(M)$  critical points on  $M$ .

~~This rather long proof of the theorem will be a deformation argument. For this, we will follow~~

For the proof of the Theorem, we will need deformations. We will follow the arguments used in Lemma 2.4 and in §5. If  $\varphi$  denotes the function introduced in Lemma 2.4, we define  $F$  by setting

$$F(u) = -\varphi(\|f'_M(u)\|) f'_M(u)$$

and  $\alpha(t, x)$  by

$$\begin{cases} \frac{d\alpha}{dt} = F(\alpha) \\ \alpha(0, x) = x \end{cases}$$

As in §5, we show that  $\alpha(\cdot, x)$  is defined for every  $t \in \mathbb{R}$  (remark that  $F$  is bounded),  $\alpha(t, x) \in M$  and  $t \mapsto f(\alpha(t, x))$  is non-increasing. Moreover, we recall that (cf. formula (5.5))

$$(8.1) \quad f(\alpha(t_0, x)) - f(\alpha(t_1, x)) = \int_{t_0}^{t_1} \varphi(\|f'_M(\alpha)\|) \|f'(\alpha)\|^2 dt$$

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In order to prove theorem 8.1 we need:

8.2 Lemma. For every  $\varepsilon > 0$ , rel, for a given  $c$ :

$$W_\varepsilon = \{x \in \mathbb{R}^{-1}[c-\varepsilon, c+\varepsilon] : \|\mathbb{F}_M^1(\alpha(t, x))\| < \varepsilon \text{ for some } t \in [0, 1]\}.$$

Then given any neighborhood  $U$  of  $K_c$ , there exists  $\bar{\varepsilon} > 0$  such that  $\forall \varepsilon \leq \bar{\varepsilon}$  it is  $W_\varepsilon \subset U$ .

Proof. By contradiction, let  $U$  be a neighborhood of  $K_c$  and  $x_n \in M$ ,  $t_n \in [0, 1]$ , such that

$$(8.2) \quad x_n \notin U$$

$$(8.3) \quad c - \frac{1}{n} \leq f(x_n) \leq c + \frac{1}{n}$$

$$(8.4) \quad \mathbb{F}_M^1(y_n) \rightarrow 0 \quad \text{where } y_n := \alpha(t_n, x_n)$$

We can also assume  $t \rightarrow t^* \in [0, 1]$ . Since  $s^2 \varphi(s) \leq 1$  by (8.1) it follows:

$$|f(x) - f(\alpha(t, x))| \leq |t|$$

Thus, for the sequence  $x_n$ , we have that  $f(x_n) \rightarrow c$  (cf. (8.3)) and  $t_n \rightarrow t^*$  imply  $f(y_n)$  is bounded.

~~By (8.4) it follows~~

Then, by (P-5) it follows  $y_n \rightarrow \bar{y}$ , and  $\mathbb{F}_M^1(\bar{y}) = 0$ .

Moreover, the continuity of  $\alpha(\cdot, \cdot)$  gives:

$$x_n := \alpha(t_n, y_n) \rightarrow \alpha(t^*, \bar{y})$$

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Since  $\bar{y} \in K$ , then  $F(\bar{y}) = 0$  and  $\alpha(t, \bar{y}) = \bar{y}$  for all  $t$ . Thus we have  $x_n \rightarrow \bar{y} \in K$  with  $f(x_n) \rightarrow c$  and  $x_n \in U$ , a contradiction. ■

Proof of Theorem 8.1. Let  $c := c_n = \dots = c_{n+r} \neq -\infty$   $r > 0$  and suppose

$$\text{cat}(K_c, M) \leq r.$$

Remark that, by (P-5),  $K_c$  is compact.

We take, according to Prop. 7.3, a neighborhood  $U$  of  $K_c$  such that

$$\text{cat}(U, M) = \text{cat}(K_c, M) \leq r.$$

Using Lemma 8.2 we find  $\varepsilon$ ,  $0 < \varepsilon < 1$ , such that  $W_\varepsilon \subset U$ . Let  $\alpha(x) := \alpha(1, x)$ . We claim that

$$(8.5) \quad \alpha(M_{c+\varepsilon^{3/2}} \setminus U) \subset M_{c-\varepsilon^{3/2}}$$

In fact, let  $x \in M_{c+\varepsilon^{3/2}} \setminus U$ . Since  $f(\alpha(\cdot, x))$  is non-increasing, if  $x \in M_{c-\varepsilon^{3/2}}$  there is nothing to prove. Hence, we can assume

$$x \in (M_{c+\varepsilon^{3/2}} \setminus M_{c-\varepsilon^{3/2}}) \setminus U \subset (M_{c+\varepsilon} \setminus M_{c-\varepsilon}) \setminus U.$$

Since  $W_\varepsilon \subset U$ , it follows

$$\|\mathbb{F}_M^1(\alpha(t, x))\| \geq \varepsilon \quad \forall t \in [0, 1]$$

By (8.1) one has

$$f(x) - f(\alpha(x)) = \int_0^1 \varphi(\|f'_M(\alpha)\|) \|f'(\alpha)\|^2$$

Since  $s^2\varphi(s)$  is increasing, we obtain

$$f(x) - f(\alpha(x)) \geq \varepsilon^2$$

Hence:

$$f(\alpha(x)) \leq f(x) - \varepsilon^2 \leq c - \varepsilon^2/2$$

proving (8.5).

Now, by the definition of  $c = c_{n+r}$ , given  $\varepsilon$  as before,

there exists  $A \in A_{n+r}$ , with  $A \subset M_{c+\varepsilon/2}$ .

Let  $B := A \setminus U$ . From Prop. 7.2-(2), it follows:

$$\text{cat}(B, M) \geq \text{cat}(A, M) - \text{cat}(U, M) \geq n+r-r = n.$$

From Prop. 7.2-(3), we deduce

$$\text{cat}(\alpha(B), M) \geq \text{cat}(B, M) \geq n.$$

Hence  $\alpha(B) \in A_n$ . But (8.5) implies  $\max f(x) \leq c - \varepsilon^2/2$

a contradiction ~~with~~ with the definition of  $c = c_n$ .  $\blacksquare$

This proves (ii). Similar arguments permit to prove (i).

Lastly, if  $f$  is bounded from below on  $M$ , then every  $c_n \neq -\infty$  and (iii) follows from (i) and (ii).  $\blacksquare$

Remark that in the case (ii),  $f$  has infinitely many

critical points at level  $c$ . In fact a finite number of points would have category 1 with respect to  $M$  (which is connected).

For another approach to find critical points using L-S category, see [Bro].

## § 2. The case of $\mathbb{Z}_2$ symmetry.

By Theorem 8.1 the number of critical points of a functional on a manifold  $M$  is bounded from below by  $\text{cat}(M)$ .

~~Proposition~~ Now, if we take  $M = S = \{x \in E : \|x\| = 1\}$  as in the example in § 1, we have  $\text{cat}(S) = 1$ , ~~and~~ that result is not useful. On the other hand, we know that in the linear case, namely if  $f(u) = (Lu, u)$  has on  $S$  infinitely many critical points: the eigenfunctions of the linear problem  $Lu = \lambda u$ .

We will show, here, that this is true, more in general, for any functional and ~~to~~ manifold which have a notable symmetry.

More precisely we will deal below with the case in which the group  $\mathbb{Z}_2$  acts on the Hilbert space  $E$  ~~with~~ through linear isometries  $T_i \in \mathcal{L}(E, E)$   $i=0,1$   
 $T_0 = \text{Id}_E$  and  $T_1(u) = -u$ .

Let  $G$  be a group acting on  $E$  through  $T_g \in \mathcal{L}(E)$   $g \in G$ .  
 A set  $S$  is  $G$ -invariant if  $T_g x \in S$  for all  $x \in S, g \in G$ .  
 $T_g$  is free on  $S$  if  $T_g x \neq x$   $\forall g \in G, g \neq 0, \forall x \in S$ . A mapping  $\varphi: E \rightarrow E$  is  $G$ -equivariant if  $\varphi \circ T_g = T_g \circ \varphi, \forall g \in G$ . Denoted by  $\Sigma$  the class of all (closed) subsets of  $E \setminus \{0\}$  which are  $G$ -invariant and such that  $T_g$  is free, we can define  $\chi: \Sigma \rightarrow \text{Nuf}(\text{index or } G\text{-genus})$  satisfying the following:

- (1)  $S \subset S'$  implies  $\chi(S) \leq \chi(S')$
- (2)  $\chi(S \cup S') \leq \chi(S) + \chi(S')$
- (3) if  $\varphi \in C(E, E)$  is  $G$ -equivariant, then  $\chi(\varphi(S)) \geq \chi(S)$
- (4) if  $S$  is compact, then  $\chi(S) < \infty$  and there exists

a neighborhood  $U$  of  $S$  such that  $U \in \Sigma$  and  $\chi(U) = \chi(S)$ .

We will consider here the case in which  $G = \mathbb{Z}_2 = \{0, 1\}$  and  $T_g$  are:  $T_0 = \text{Id}$ ,  $T_1 = -\text{Id}$ .

~~Now~~ Now  $S$  is  $\mathbb{Z}_2$ -invariant provided it is symmetric with respect to  $0 \in E$ , i.e.  $-x \in S \forall x \in S$ ;  $\varphi$  is equivariant if  $\varphi(-x) = -\varphi(x)$ , i.e. if  $\varphi$  is odd; The action is free on  $S$  provided  $0 \notin S$  and  $\Sigma$  is the class of all closed subsets  $S \subset E \setminus \{0\}$ , symmetric with respect to  $0$ .  
 When we will deal with periodic solvability of autonomous systems, we shall use the mapping  $\chi$  (we will not write the subscript  $\mathbb{Z}_2$  in this case) is defined in the following way:

**Definition:** Let  $S \in \Sigma$ ;  $\chi(S)$  is the least integer  $n$  such that  $\exists \varphi \in C(S, \mathbb{R}^n)$ ,  $\varphi$  odd,  $\varphi(x) \neq 0 \forall x \in S$ .  
 We define  $\chi(\emptyset) = 0$  and  $\chi(S) = +\infty$  if there are no integers with the above property.

In the definition we could take  $\varphi \in C(E, \mathbb{R}^n)$ ,  $\varphi$  odd and  $\varphi(x) \neq 0 \forall x \in S$ . In fact every  $\varphi \in C(S, \mathbb{R}^n)$  can be extended (by the Tietz theorem) to a  $\tilde{\varphi} \in C(E, \mathbb{R}^n)$  and then  $\frac{1}{2}(\tilde{\varphi}(x) - \tilde{\varphi}(-x))$  is odd and  $\neq 0 \forall x \in S$ .

For example, if  $S = A \cup (-A)$ , with  $A \subset E \setminus \{0\}$ , closed and  $A \cap (-A) = \emptyset$ , then  $\chi(S) = 1$ . In fact, we can define  $\varphi: S \rightarrow \mathbb{R} \setminus \{0\}$  by setting  $\varphi(x) = a \neq 0$  for  $x \in A$  and  $\varphi(-x) = -a$ .

The following is less trivial:



9.1. Proposition. Let  $E = \mathbb{R}^n$  and  $A = \partial\Omega$ , where  $\Omega$  is an open <sup>bounded</sup> symmetric neighborhood of  $0 \in \mathbb{R}^n$ . Then  $\gamma(A) = n$ .

Proof. Evidently  $\gamma(A) \leq n$ , because the identity is admissible for the definition of genus. Suppose, by contradiction, that  $\gamma(A) = n - k < n$ . Then ~~there~~, in view of the definition and the subsequent remark, we can assume  $\exists \varphi \in C(\mathbb{R}^n, \mathbb{R}^{n-k})$ ,  $\varphi$  odd and  $\varphi(x) \neq 0 \forall x \in A$ . ~~There~~ therefore the ~~degree~~ to topological degree  $d(\varphi, \Omega, 0)$  is well defined. Moreover, since  $\varphi$  is odd and  $\Omega$  is symmetric with respect to the origin, then the Brouwer-Lefschetz theorem (cf., e.g., [Schw. 3, Chap. 3]) implies  $\deg(\varphi, \Omega, 0) = \text{odd integer} \neq 0$ . Since  $\deg(\varphi, \Omega, \cdot)$  is continuous, there also  $\deg(\varphi, \Omega, \frac{1}{2}) \neq 0$  for  $\frac{1}{2} \in$  in some ball  $B_\varepsilon$  around the origin in  $\mathbb{R}^n$ . But then a well known property of the topological degree implies  $\varphi(\Omega) \supset B_\varepsilon$ , in contradiction with the fact that  $\varphi(\Omega) \subset \mathbb{R}^{n-k}$ . ■

As consequence, we have

9.2. Corollary. If  $E$  is a separable infinite-dimensional Hilbert space, then ~~the~~ and  $S = \{x \in E : \|x\| = 1\}$ , then  $\gamma(S) = +\infty$ .

We now prove now the properties (H4) of  $\gamma$ .

9.3. ~~Proposition~~

(1) trivial.

(2) Let  $\gamma(S) = n$  and  $\gamma(S') = m$  (otherwise it is trivial). Then  $\exists \varphi \in C(E, \mathbb{R}^n)$  (resp.  $\psi \in C(E, \mathbb{R}^m)$ ) both odd and such that  $\varphi(x) \neq 0$  (resp.  $\psi(x) \neq 0$ ) for all  $x \in S$  ( $\forall x \in S'$ ).

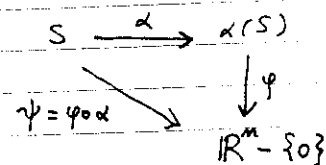
Define:

$$\chi(x) = (\varphi(x), \psi(x)) \in \mathbb{R}^{n+m}$$

Evidently  $\chi \in C(E, \mathbb{R}^{n+m})$ ,  $\chi$  is odd and  $\chi(x) \neq 0 \forall x \in S \cup S'$  because at least one of between  $\varphi(x)$  and  $\psi(x)$  are  $\neq 0$ .

(3) For all  $x \in S$ , we take  $\varepsilon > 0$  such that  $B_\varepsilon(x) \cap B_\varepsilon(-x) \neq \emptyset$ , where  $B_\varepsilon(x) = \{u \in E : \|u - x\| \leq \varepsilon\}$ . We know that  $\gamma(B_\varepsilon(x) \cup B_\varepsilon(-x)) \geq 1$ . Since  $S$  is compact, it can be covered by a finite number of such balls, and by (2) we then deduce  $\gamma(S) < \infty$ . Let  $\gamma(S) = n$ . Then  $\exists \varphi \in C(E, \mathbb{R}^n)$ ,  $\varphi$  odd and  $\varphi(x) \neq 0 \forall x \in S$ . ~~Define~~ For all  $x \in S$ , let  $\delta > 0$  be such that  $\varphi(y) \neq 0 \forall y \in B_\delta(x)$ . Since  $S$  is compact  $\exists \bar{\delta} > 0$  such that for every  $y \in N_{\bar{\delta}}(S) = \{y \in E : \text{distance of } y \text{ to } S \text{ is } \leq \bar{\delta}\}$   $\varphi(y) \neq 0$ . Thus, ~~then~~ taking  $U = N_{\bar{\delta}}(S)$  we have  $\gamma(U) \leq n$ . Since  $U \supset S$  we can conclude that  $\gamma(U) = \gamma(S) = n$ .

(4) Let  $\alpha$  be a continuous odd map and  $\gamma(\alpha(S)) = n$  (otherwise it is trivial). There is  $\varphi \in C(E, \mathbb{R}^n)$ , odd  $\varphi(\alpha(x)) \neq 0 \forall x \in \alpha(S)$ . Define  $\psi = \varphi \circ \alpha$ .



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The mapping  $\varphi \in C(E, \mathbb{R}^n)$  is odd and  $\forall x \in S$  one has  $\varphi(x) = \varphi(\alpha(x)) \neq 0$ , in view of the property of  $\varphi$ . Hence  $\varphi$  is admissible for the definition of  $\gamma$  and it follows:  $\gamma(S) \leq n$ .

This relationship between the genus and the L-S category is the following

To sketch the relationships between genus and L-S category we consider, for example, the unit sphere  $S$  in an infinite-dimensional separable Hilbert space  $E$ . The projective space  $\mathbb{P}^\infty$  is defined as the space of pairs  $(u, -u)$   $u \in S$ . For  $A \subset S$ ,  $A \in \Sigma$ , we set  $A^* = \{(u, -u); u \in A\}$ . Then it is possible to show that

$$\gamma(A) = \text{cat}(A^*; \mathbb{P}^\infty)$$

We can use the genus to obtain existence of critical points in presence of symmetries: for example, theorem 8.1 ~~can now be stated~~ in the following way: let  $\varphi$  ~~be odd and~~  $M \in \Sigma$

• (9.1)  $\varphi$  is even and  $M \in \Sigma$

For every  $n \leq \gamma(M)$ , set

$$A_n^* = \{A \subset M, A \text{ compact}, A \in \Sigma, \gamma(A) \geq n\}$$

$$c_n^* = \inf_{A \in A_n^*} \max_{x \in A} \varphi(x)$$

and suppose  $A_n^* \neq \emptyset$ .

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9.3 Theorem. Suppose (9.1) holds and  $(\varphi, M)$  satisfies (P.S.). Then every  $c_n^* \neq -\infty$  is a critical level and if  $c^* = c_1^* = \dots = c_{n-1}^* \neq -\infty$  then  $\gamma(K_{c^*}) \geq n+1$ . If  $\varphi$  is bounded from below on  $M$ , then  $\varphi$  has on  $M$  at least  $\gamma(M)$  pairs of critical points.

Proof. The argument is the same used in Theorem 8.1 taking into account that, since  $\varphi$  and  $h$  are even, then  $F(u) = -\varphi(\|f'_\varphi(u)\|) f'_\varphi(u)$  is odd, ~~and~~ there  $\alpha(t, \cdot)$  is odd and property 4 of  $\gamma$  is applicable.

The analogous of Theorem 5.5 is:

9.4 Theorem. Let  $\varphi \in C^{1,1}(E, \mathbb{R})$  be even and satisfy (5.1-5.2). Then the eigenvalue problem

$$(9.2) \quad \varphi'(u) = \lambda u \quad \|u\| = 1$$

has infinitely-many solutions  $(\lambda, u)$ . Moreover there exists a sequence  $\lambda_n$  of such  $\lambda$  such that  $\lambda_n^{-1} \rightarrow 0$ .

Proof. We apply theorem 9.3, with  $M = S = \{x \in E : \|x\| = 1\}$ . Recall that, as in the proof of theorem 5.5, the (P.S.) condition is satisfied in  $S_a = \{x \in S : \varphi(x) \leq a\}$  for any  $a < 0$ . However it is clear that  $c_n^* < 0 \forall n$ , and hence this is enough. Since  $\gamma(S) = +\infty$  (cf. Corollary

9.2, we deduced  $\lambda \leq \lambda_1$  (9.2) has infinitely many solutions  $(\lambda_n, u_n)$ . It remains to prove that there exists a sequence of critical points  $u_n$  such that for the corresponding  $\lambda_n$

$$\lambda_n = (f'(u_n), u_n)$$

one has  $\lambda_n \rightarrow 0$ . Now, for any  $a < 0$ ,  $f$  is bounded on  $S_a$  and satisfies (P-S) there (cf. remark before). Then it is known that  $\gamma(S_a) < +\infty$ . Hence, if we take  $n > \gamma(S_a)$ , for the corresponding  $c_n^*$  we must have  $c_n^* \geq a$ . Hence  $\exists u_n \in K_{c_n^*}$  and i.e. with  $f(u_n) \rightarrow 0$ . We can also assume that  $u_n \rightarrow \bar{u}$  and one has  $f(\bar{u}) = 0$  namely  $\bar{u} = 0$ . Hence  $\lambda_n = (f'(u_n), u_n) \rightarrow (f'(\bar{u}), \bar{u}) = 0$ , as required.  $\square$

Before we have used a result we state explicitly:

9.5 Theorem. If  $(f, M)$  satisfies (P-S) and  $f$  is bounded from below on  $M$ , then  $\text{cat}(M) < \infty$ . If  $f$  is even and  $M \in \Sigma$ , then  $\gamma(M) < \infty$ .

As for theorem 5.7, we have here:

9.6 Theorem. Suppose (9.5.1-2) hold and  $g(x, -t) = -g(x, t)$ . Then the eigenvalue problem

$$\begin{cases} -\Delta u = \lambda g(x, u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega \end{cases}$$

has infinitely many solutions satisfying  $\|u\|_2 = 1$ . Moreover there exists a sequence  $(\lambda_n, u_n)$  of such solutions with  $\lambda_n \rightarrow \infty$ .

Proof. The oddness of  $g(x, \cdot)$  implies  $f(u) = \int G(x, u)$  is even. The remainder is the same. Remark that actually  $\lambda_n = 1/(f(u_n), u_n)$  and hence  $\lambda_n \rightarrow \infty$ .  $\square$

