



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL ATOMIC ENERGY AGENCY
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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SMR.940 - 18

**THIRD AUTUMN WORKSHOP
ON MATHEMATICAL ECOLOGY**

(14 October - 1 November 1996)

Outline of Lectures:
**“I. Environmental Water Quality and Assessment
II. Fate and Effects of Toxicants in Aquatic Ecosystems”**

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These are preliminary lecture notes, intended only for distribution to participants.

AUTUMN COURSE IN MATHEMATICAL ECOLOGY

ROBERT V. THOMANN

OUTLINE OF LECTURES

I. ENVIRONMENTAL WATER QUALITY AND ASSESSMENT

INTRODUCTION

BASIC MANAGEMENT ISSUES

NEED FOR PREDICTIVE FRAMEWORKS

GENERAL STRUCTURE OF WATER QUALITY MODELS

WATER QUALITY PROBLEM APPLICATIONS

WATER BORNE DISEASE ORGANISMS

CHEMICALS

DISSOLVED OXYGEN

NUTRIENTS

EXAMPLE CASE STUDIES

II. FATE AND EFFECTS OF TOXICANTS IN AQUATIC ECOSYSTEMS

INTRODUCTION: UNIQUENESS OF TOXICS IN AQUATIC ECOSYSTEMS

MODELS FOR PHYSICO-CHEMICAL TRANSPORT AND KINETICS

MODELS FOR BIOACCUMULATION

APPLICATION TO:

ORGANIC CHEMICALS (PCBs, PAHs)

METALS

EXAMPLE CASE STUDIES

THREE PRINCIPAL MANAGEMENT REQUIREMENTS

- QUANTITATIVE DIAGNOSIS OF WHO IS RESPONSIBLE FOR PERCEIVED "PROBLEM"
 - REGULATOR: RANKING OF INPUT CONTRIBUTIONS
 - REGULATED: "HOW MUCH AM I CONTRIBUTING TO THE PROBLEM?"
- QUANTITATIVE PREDICTIONS
 - RANGE OF FEASIBLE REDUCTIONS OF INPUT NUTRIENT LOADS
 - EXPECTED WATER QUALITY OUTCOMES OF NUTRIENT CONTROL PROGRAMS
- UNDERSTANDING OF BEHAVIOR OF WATER BODY
 - REDUCE "RESPONSE SURPRISES"

FOUR "FEARS OF ENVIRONMENTAL MANAGERS

- REDUCING INPUTS TO THE ENVIRONMENT WITH LITTLE OR NO SUBSEQUENT IMPROVEMENT IN ENVIRONMENTAL QUALITY**
- CONTINUED ENFORCEMENT OF COSTLY CONTROL POLICIES WITH NO CHANGE IN ENVIRONMENTAL BENEFITS**
- UNFORESEEN NEGATIVE ENVIRONMENTAL RESPONSE FROM A CONTROL PROGRAM SEEN AS BENEFICIAL**
- LITIGATION**

RATIONALE FOR MODELS

- QUESTIONS ARE MORE COMPLEX
 - NOT SUFFICIENT TO REDUCE NUTRIENT LOAD AND "SEE WHAT HAPPENS"
- ECONOMIC AND POLICY IMPLICATIONS OF DECISIONS ARE MORE SIGNIFICANT AND WIDESPREAD
 - e.g., WATERSHED & AIRSHED NUTRIENT INPUTS
- ENFORCEMENT OF REGULATIONS ALWAYS SUBJECT TO VARYING INTERPRETATIONS & POSITIONS
 - SCIENTIFIC CREDIBILITY INCREASINGLY MORE ESSENTIAL

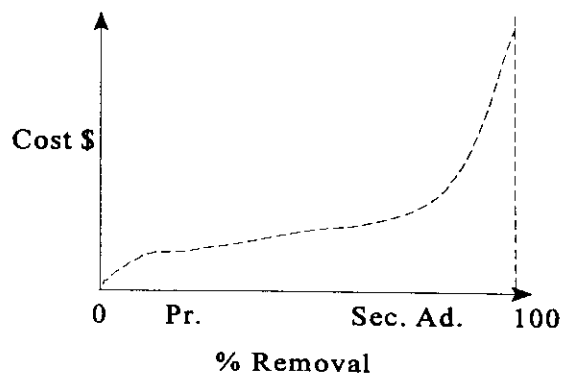
LEVELS OF TREATMENT
OF
MUNICIPAL AND INDUSTRIAL
WASTE

1. Screens, Removal of Solids (Floatables)
"Preliminary"

2. Primary Treatment
Solids Removal - Settling
Organic Matter Removal - 30%

3. Secondary Treatment
Primary & Biological Treatment

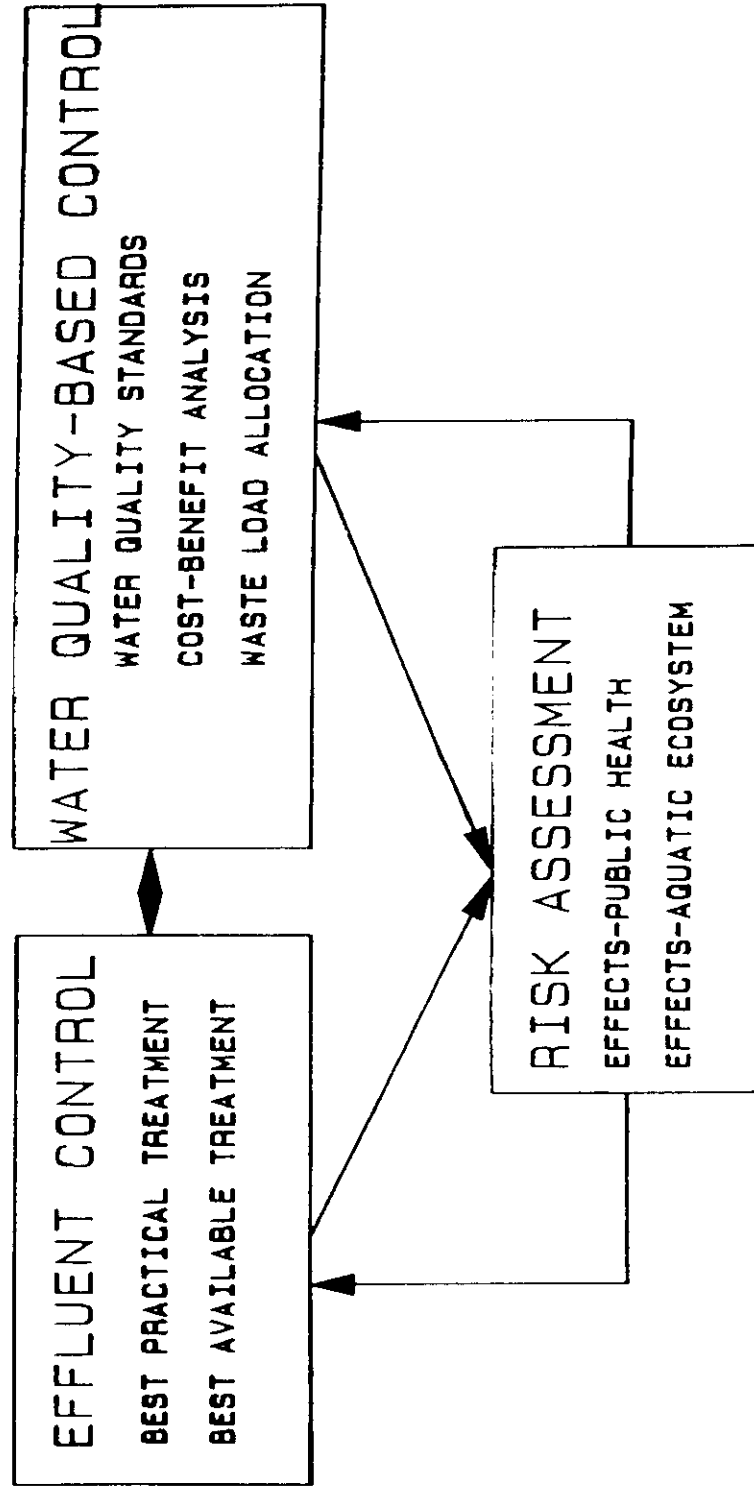
4. Advanced Treatment
Nutrient Removal
Additional Organic Matter Removal



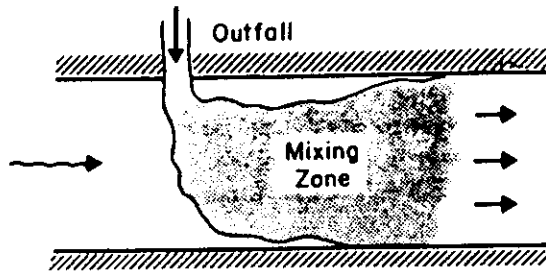
FOUR "FEARS" OF ENVIRONMENTAL MODELERS

- BUILDING MODELS THAT PROVIDE LITTLE INSIGHT OR PREDICTIVE POWER
- DISCOVERING A "FATAL FLAW" IN A MODEL THAT IS BEING WIDELY USED
- CONTINUING TO DEVELOP MODELS THAT NO ONE IS USING
- BUILDING A MODEL THAT COLLAPSES OF IT'S OWN WEIGHT OF COMPLEXITY

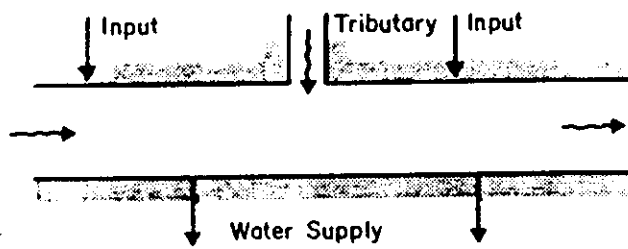
PRINCIPAL ALTERNATIVE CONTROL OPTIONS



LOCAL SCALE : 0.1 to 1-5 km.



REGIONAL SCALE : 1-5 to 10 - 50 km.



BASIN SCALE : > 50 km.

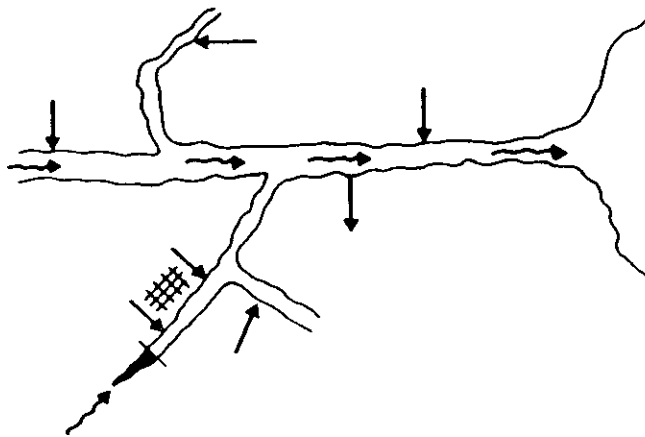
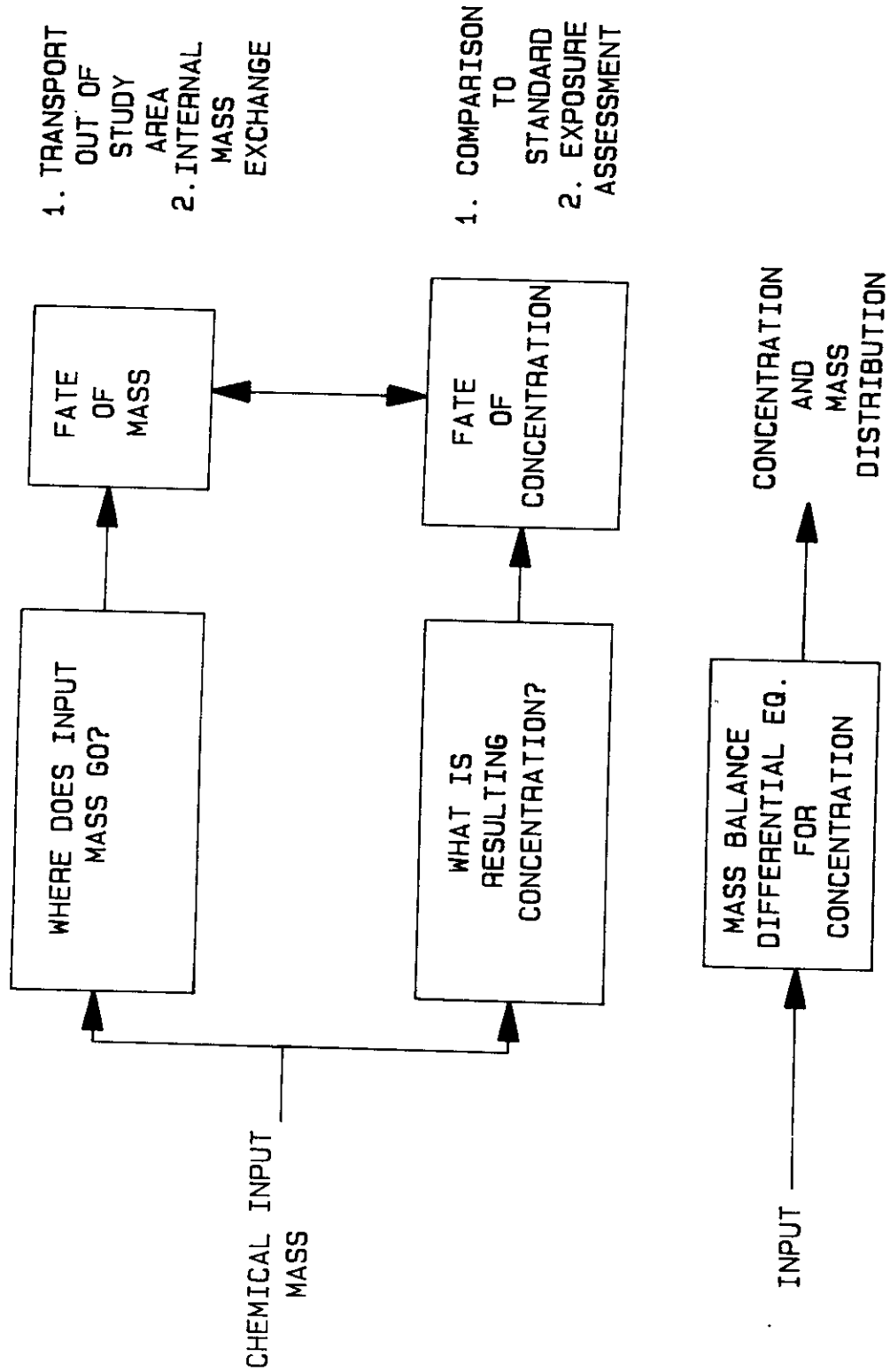


Fig. 3-1: SPACE SCALES FROM LOCAL TO BASIN WIDE THAT MAY HAVE TO BE CONSIDERED IN TOXIC SUBSTANCES EVALUATIONS



FUNDAMENTAL PRINCIPLE OF ALL WATER QUALITY MODELS

MASS BALANCE

DIFFERENTIAL EQUATION FORM:
 (FOR CONTROL VOLUME, V_i)

$$V_i \frac{dc_i}{dt} = \text{INPUT} +/\text{- TRANSPORT} \& \text{ DISPERSION}$$

+/- ATMOS. EXCHANGE
 +/- SEDIMENT EXCHANGE
 - DECAY PROCESSES

SOLUTION: CONCENTRATION @ LOCATION i , AND TIME, $t = c(i, t)$

MASS BALANCE OVER A REGION:
 AT TIME t

$$\sum_i^R V_i c(i, t)$$

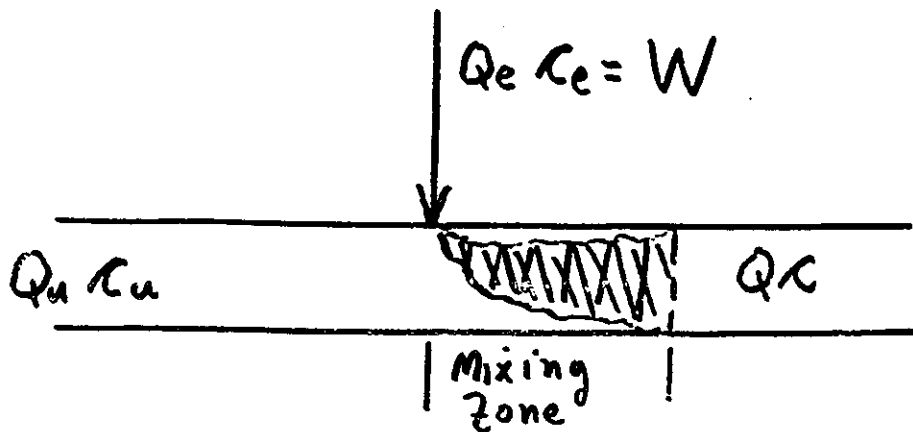
CUMULATIVE MASS BALANCE OVER TIME:

$$\sum_0^T \sum_i^R V_i c(i, t) = \sum_0^T \sum_i^R \{ \text{INPUT} +/\text{- TRANSPORT} \dots \} = \sum_i^R M(t)$$

ANNUAL MASS FLUX:

$$\sum_i^R [\sum_i^R M(t + 1 \text{ YEAR}) - \sum_i^R M(t)] / 1 \text{ YEAR}$$

Principle: For single source,
maximum concentration in
river occurs at outfall.



Concentration after complete mixing:

$$c = \frac{Q_u c_u + Q_e c_e}{Q}$$

$$= c_u(1-\phi) + c_e \phi$$

where:

$$\phi = \frac{Q_e}{Q} = \frac{\text{Effluent Flow}}{\text{Total River Flow}}$$

For $c_u = 0$:

$$c = \frac{W}{Q}$$

Then allowable discharge:

$$\underline{(W)_{\text{allow}} \leq c_r Q - c_u Q_u}$$

where c_r = water quality standard
⇒ Risk Level

Allowable effluent concentration:

$$(c_u = 0)$$

$$\underline{(c_c)_{\text{allow}} \leq c_r / \phi}$$

Questions:

- 1) What c_r should be used?
- 2) What river flow should be used?

Fig. 3-2: DISTANCE TO 95% REDUCTION OF MAXIMUM CONCENTRATION AT OUTFALL (NO DILUTION AND NO TRIBUTARY INFLOWS)

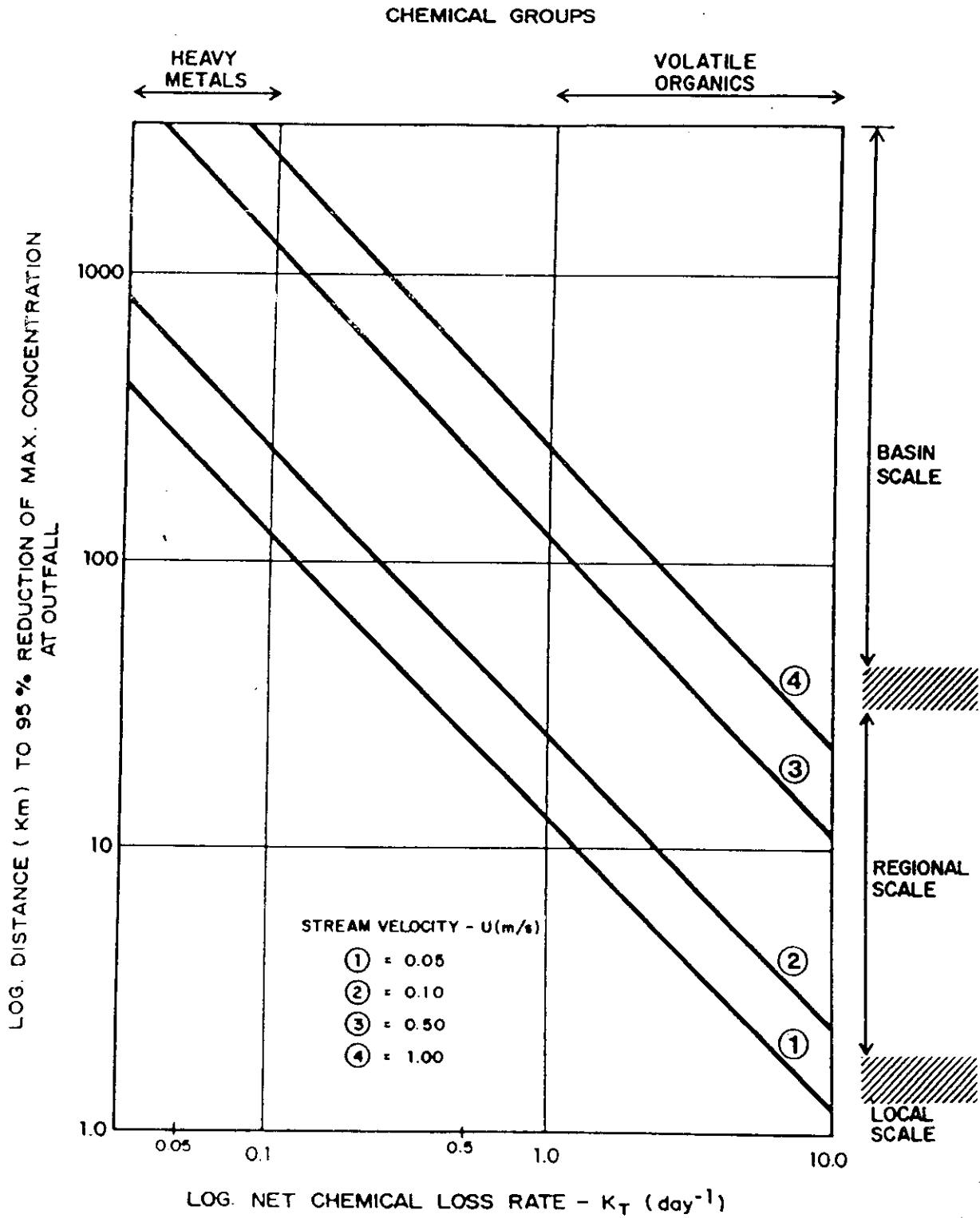


Fig. 3-4: MASS BALANCE AT OUTFALL

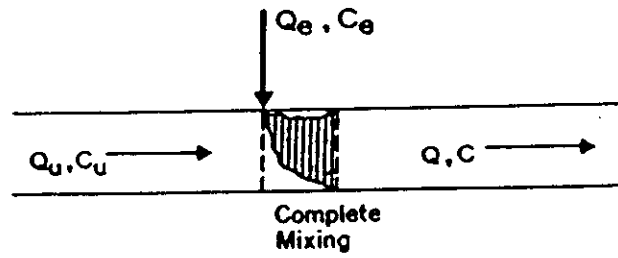


Fig. 3-5: EFFECT OF DILUTION ON IN-STREAM WATER QUALITY STANDARD AND RESULTING RELATIVE RISK. CARBON TETRACHLORIDE AS EXAMPLE, SEE TABLE 2-1 AND 2-2 FOR CRITERION.

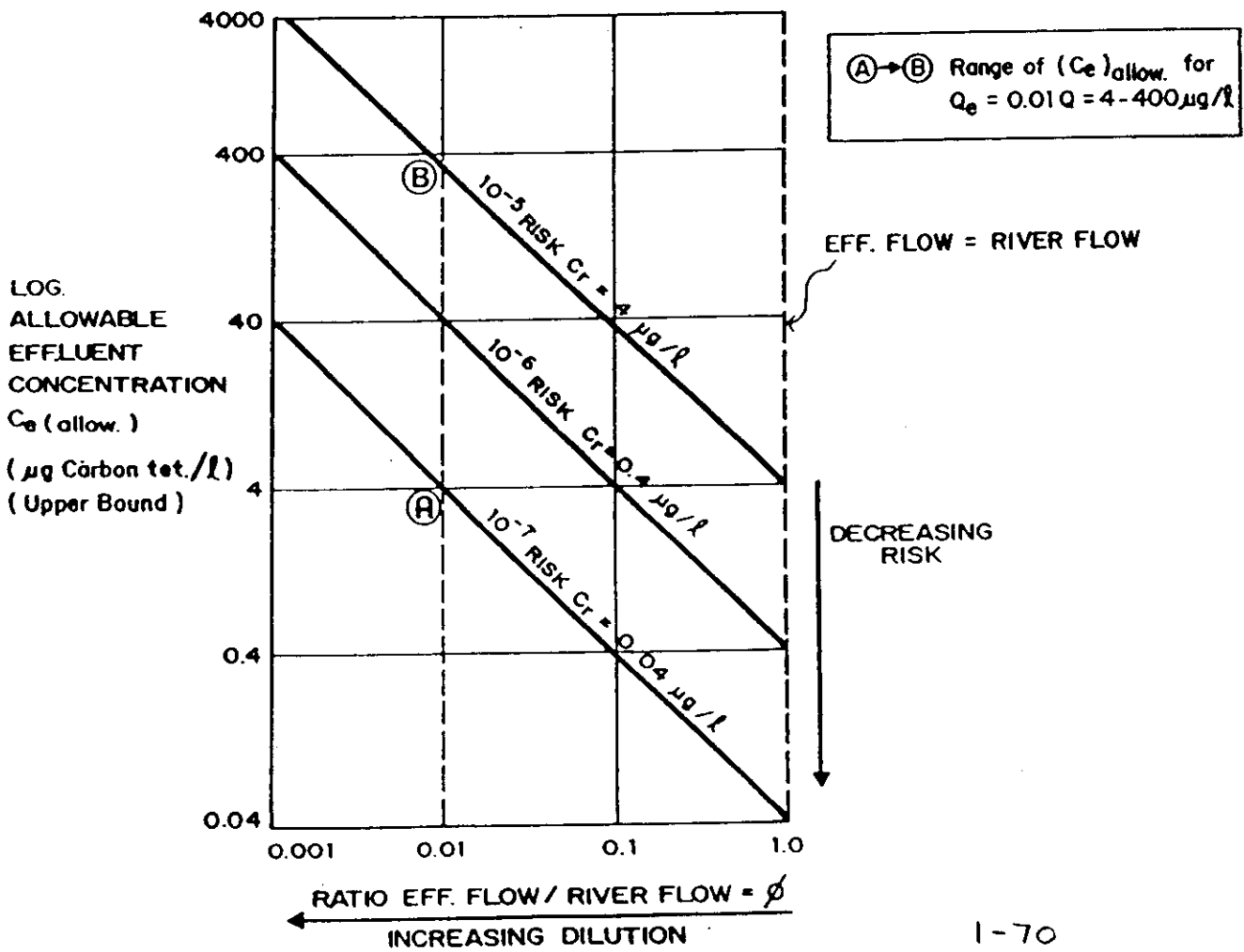


Fig. 3-7: ILLUSTRATION OF POSSIBLE DOWNSTREAM FATE OF CHEMICALS OR TOXICITY

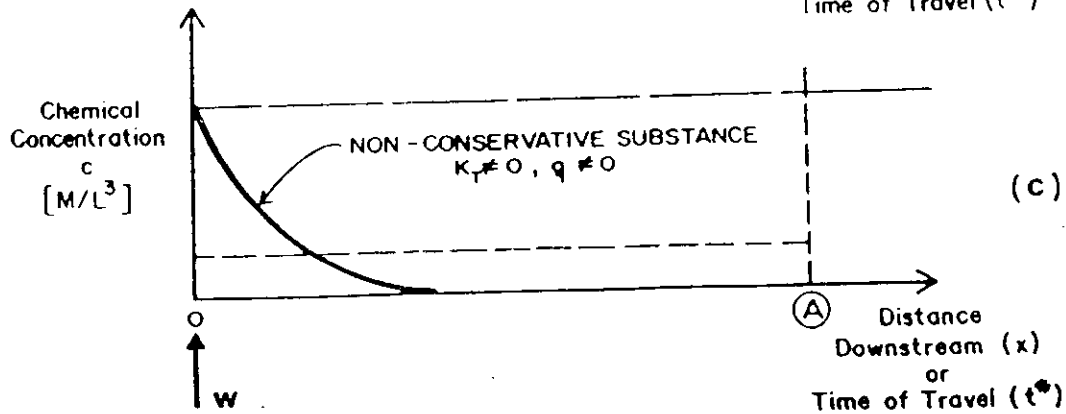
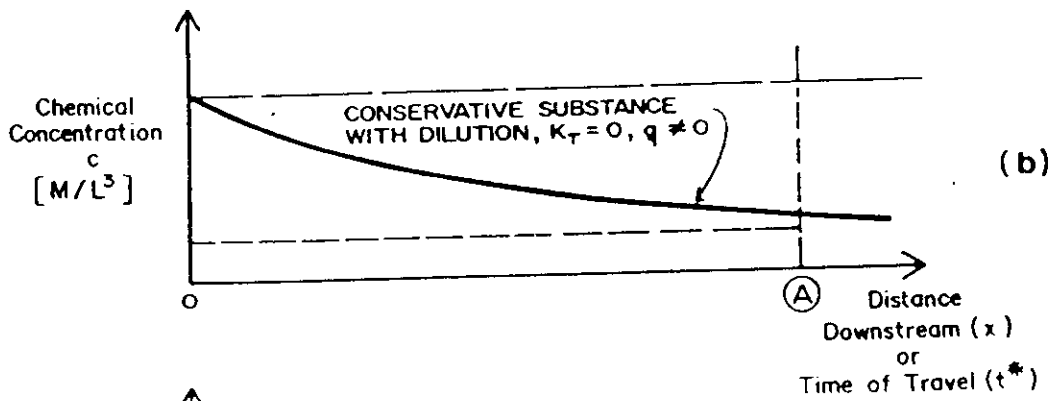
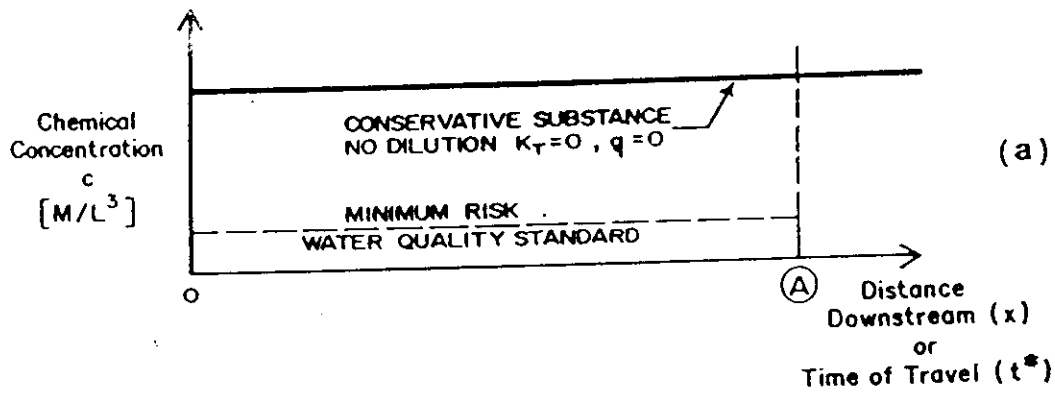
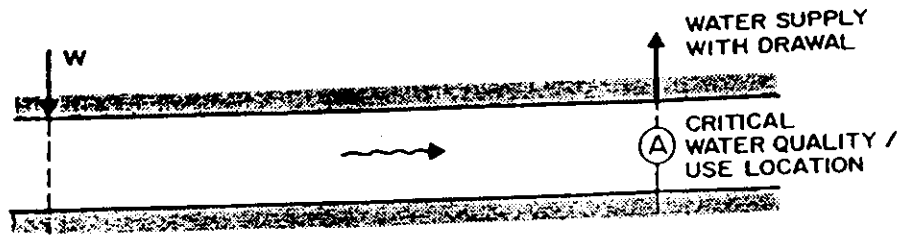
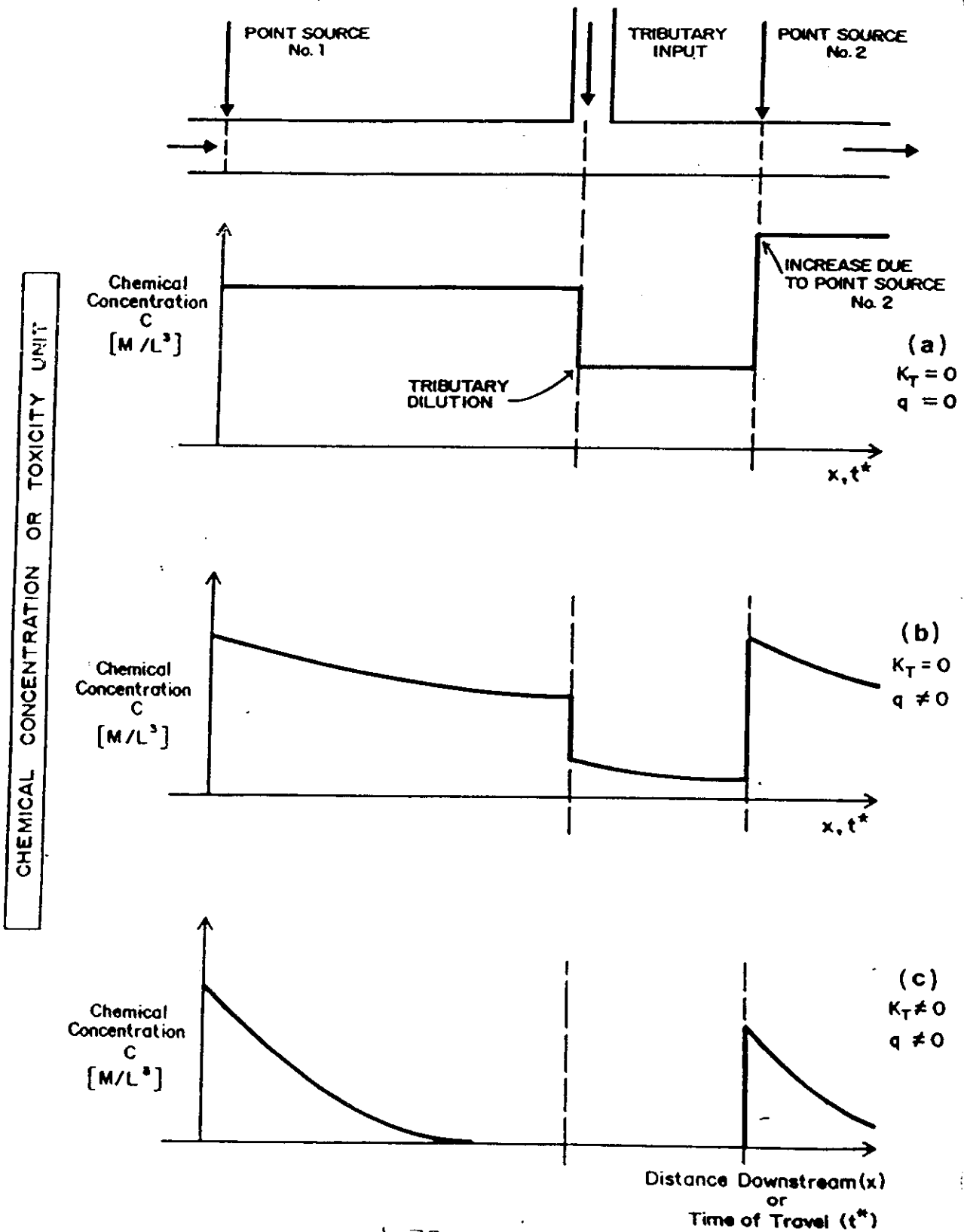


Fig. 3-8 : SCHEMATIC OF IMPACT OF INCREASING TURBIDITY ON ADDITIONAL
DOWNSTREAM POINT SOURCE INPUT



THE WATER BORNE DISEASE ISSUE

I. Background

1. **Problem:** In Latin America:
gastroenteritis and diarrheal diseases \approx
200,000 deaths/yr or risk of almost 1/1000
cholera: 500,000 ill, 5000 deaths

Water Uses: Water Supply
Shellfish & Fish
Water Contact Recreation

2. **Inputs:**
Raw Sewage
Point Municipal, w/wo chlorination
Tributary Runoff
3. **Water Quality Responses:**
Steady State
Storm Related Transients

Indicator Bacteria, Pathogens & Viruses

Indicator Bacteria

Total Coliform

Fecal Coliform $K \approx 1-3/\text{day}$

Fecal Streptococci

Pathogens

Cholerae - cholera

Salmonellae - typhoid

Shigella - dysentery

Viruses

Enteroviruses - polio

$K \approx 0.1-0.3/\text{day}$

Reoviruses

•

•

- Hepatitis

•

Pathogenic Protozoan

Giardia lamblia

Entamoeba Hystolytica

III. KINETIC COMPONENTS FOR BACTERIA MODEL

1. <u>Mortality & Losses</u>	<u>Gains</u>
Sunlight	Aftergrowth
Temperature	Sediment
Salinity	Resuspension
Settling	
Predation	
Chlorination	
Toxics	

2. Let $K_B =$ net decay rate of bacteria
(Mortality & Losses - Gains)

$$= K_{B1} + K_{BI} + K_{Bs}$$

where

K_{B1} = base death rate = $f(T, \text{sal.})$

K_{BI} = death rate due to sunlight

K_{Bs} = net loss (gain) due to settling
(resuspension) and/or after growth

3. Order of K_B for Total/Fecal Coliform Bacteria:

0.5-3.5/day Freshwater, 20°C
2-7/day Sea water, 20°C

T_{90} (Time to 90% mortality):
0.7-4.6 Days Freshwater
0.3-1.0 Days Sea water

4. Effect of Sunlight:

English Studies (Gameson & Gould, 1974)

% Freq of Occurrence of Decay Rate (\geq)	K_B (1/day)	T_{90} (hours)
5	80	0.7
50	18	3.1
95	9	6.1

5. Two Model Levels

A. Simple First Order Loss Rate (function of temperature)

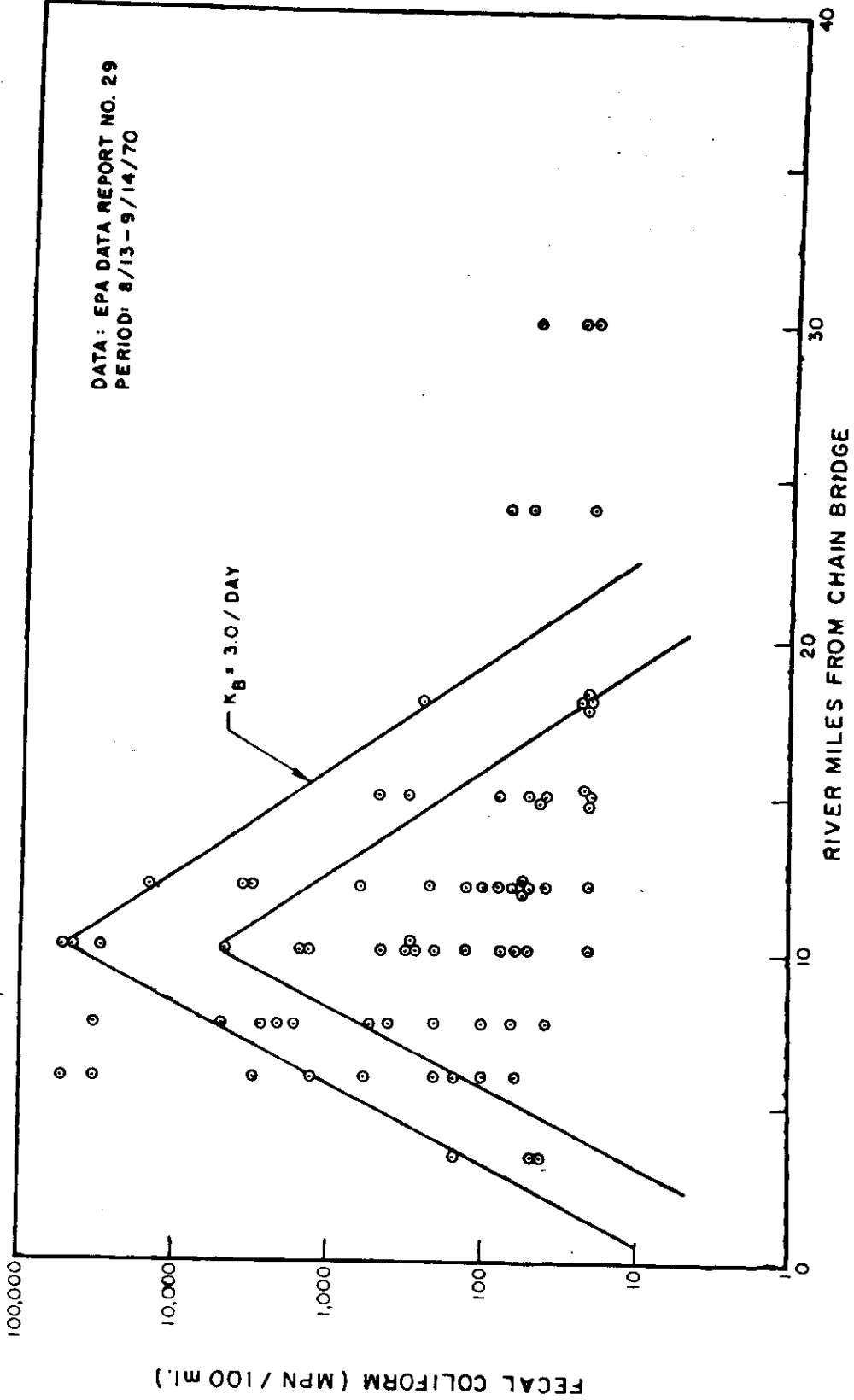
$$\frac{dc_B}{dt} = \text{Inputs} + \text{Transport} - K_B(T) c_B$$

B. $K_B = f(T, \text{sal.}, I, v_s)$ averaged over depth

where

$$K_B = \left[\left[0.8 + 0.006(\% \text{ seawater}) \right] \cdot 1.07^{T-20} \right] + \frac{I_o(t)}{K_e H} (1 - e^{-K_e H}) + v_s / H$$

DATA: EPA DATA REPORT NO. 29
PERIOD: 8/13 - 9/14/70



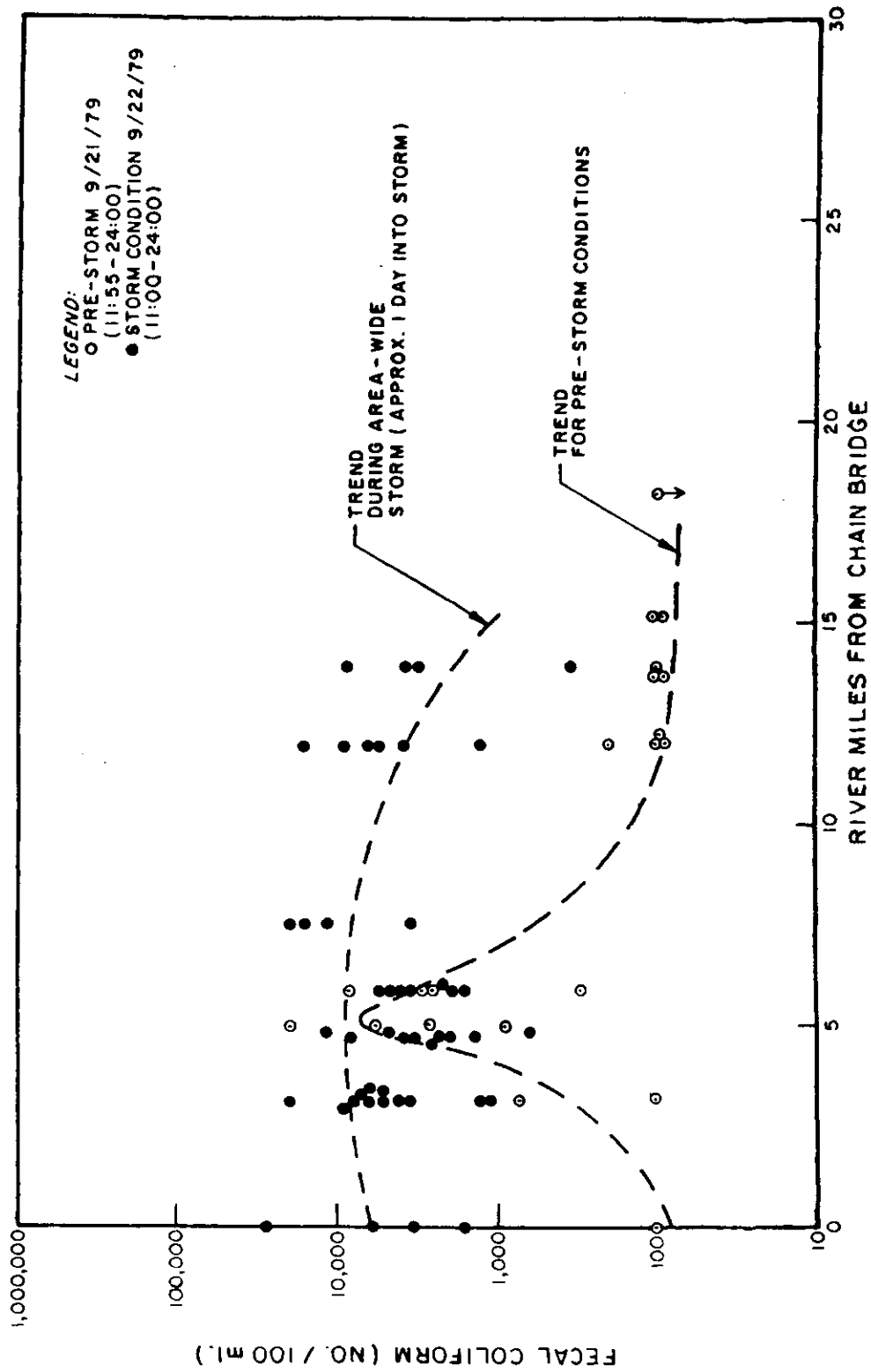
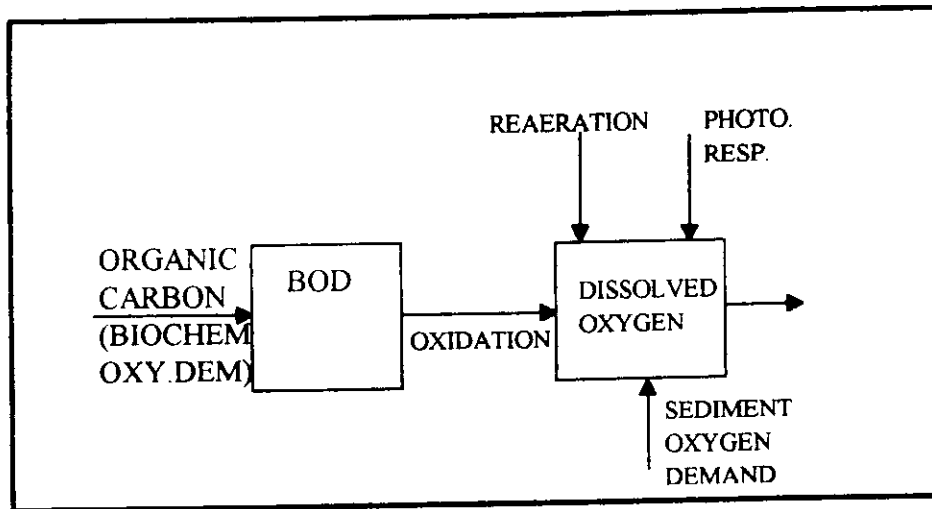


FIGURE
TRENDS IN FECAL COLIFORM CONCENTRATIONS PRIOR TO AND DURING STORM OF 9/22-25/79
 (DATA FROM O'BRIAN & GERE ENG. INC. & LIMNO-TECH. INC.)

THE DISSOLVED OXYGEN PROBLEM

BASIC COMPONENTS:



MASS BALANCE FOR DISSOLVED OXYGEN CONCENTRATION
(c) OVER A CONTROL VOLUME (V):

$V \frac{dc}{dt} =$ - OXIDATION OF BOD CARBON & NITROGEN

- SEDIMENT OXYGEN DEMAND

+ REAERATION

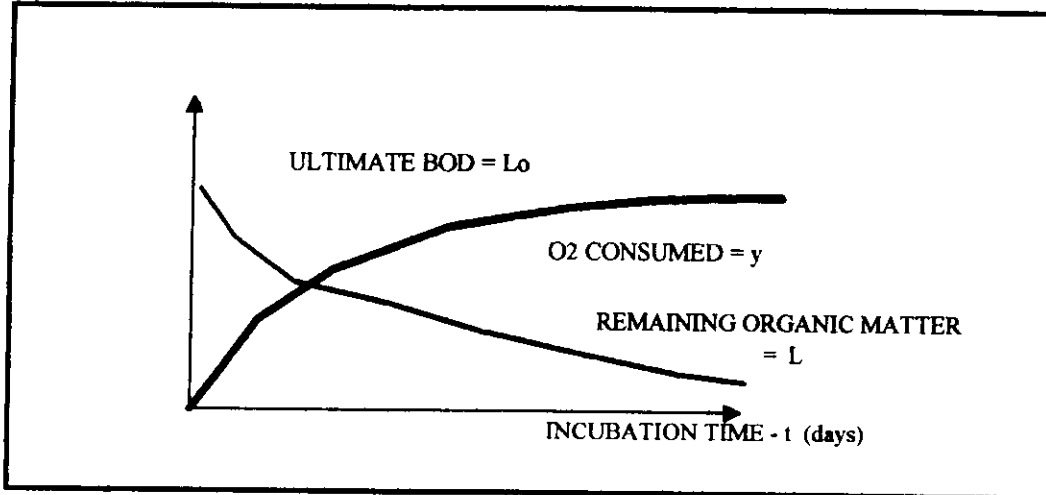
+ PHOTOSYNTHESIS - RESPIRATION

+/- TRANSPORT & DISPERSION

BIOCHEMICAL OXYGEN DEMAND

THE OXYGEN EQUIVALENT OF THE ORGANIC CARBON CAPABLE OF UTILIZING OXYGEN FOR OXIDATION OF ORGANIC CARBON TO CO_2

MEASUREMENT: UPTAKE OF DO BY WATER SAMPLE IN BOD BOTTLE



BOD BOTTLE EQUATION:

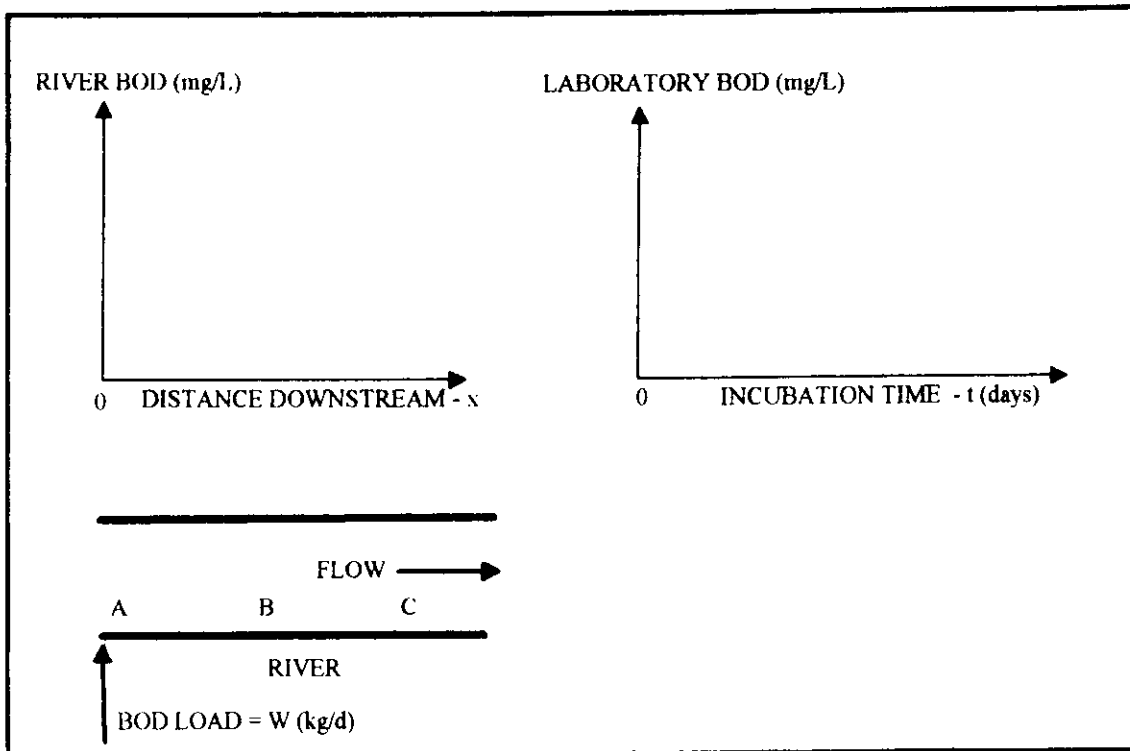
$$dL/dt = -K_1L; \text{ SOL'N: } L = L_0 \exp(-K_1t)$$

$$y = L_0 - L$$

THEREFORE: $y = L_0(1 - \exp(-K_1t))$

USUALLY MEASURE $y_5 = 5$ - day BOD

BOD OXIDATION IN RIVERS & STREAMS



U = RIVER VELOCITY (km/d)

K_r = RIVER BOD LOSS RATE (1/day)

$$U \frac{dL}{dx} = -K_r L$$

$$L = (L_0)_A \exp(-K_r x/U)$$

WHERE

$$(L_0)_A = [(L_0 Q)_{up} + (L_0 Q)_{eff}] / (Q_{up} + Q_{eff})$$

SIMPLIFIED DISSOLVED OXYGEN MODEL FOR RIVERS

@ STEADY STATE:

$$U \frac{dc}{dx} = K_a (c_s - c) - K_d L(x) + P - r - SOD$$

CONSIDERING ONLY BOD (= L(x))

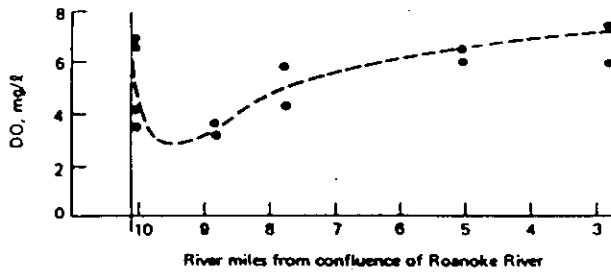
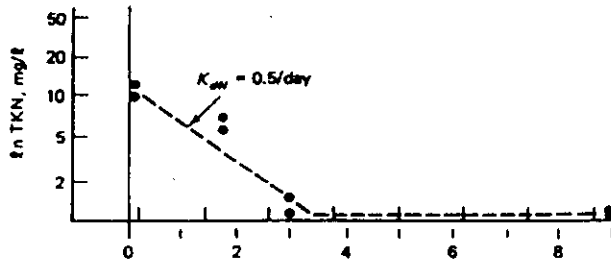
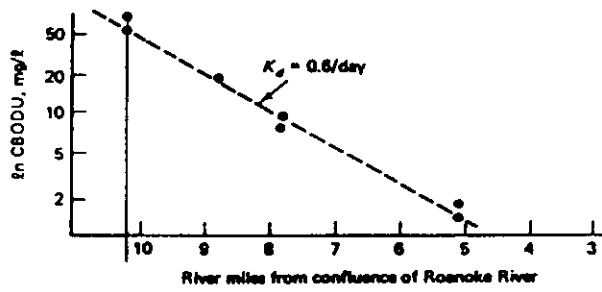
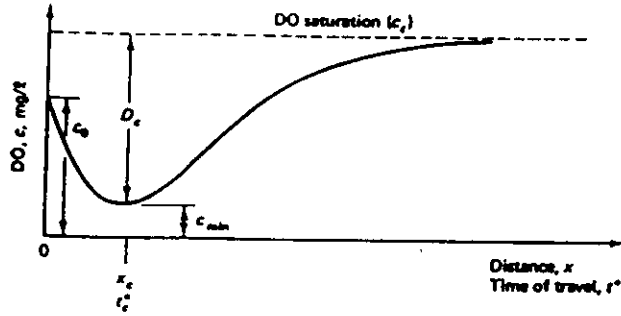
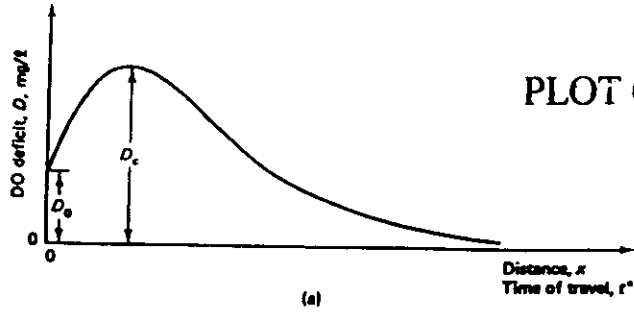
$$U \frac{dc}{dx} = K_a (c_s - c) - K_d L_0 \exp(-K_r x/U)$$

DEFINE D = DO DEFICIT = $c_s - c$

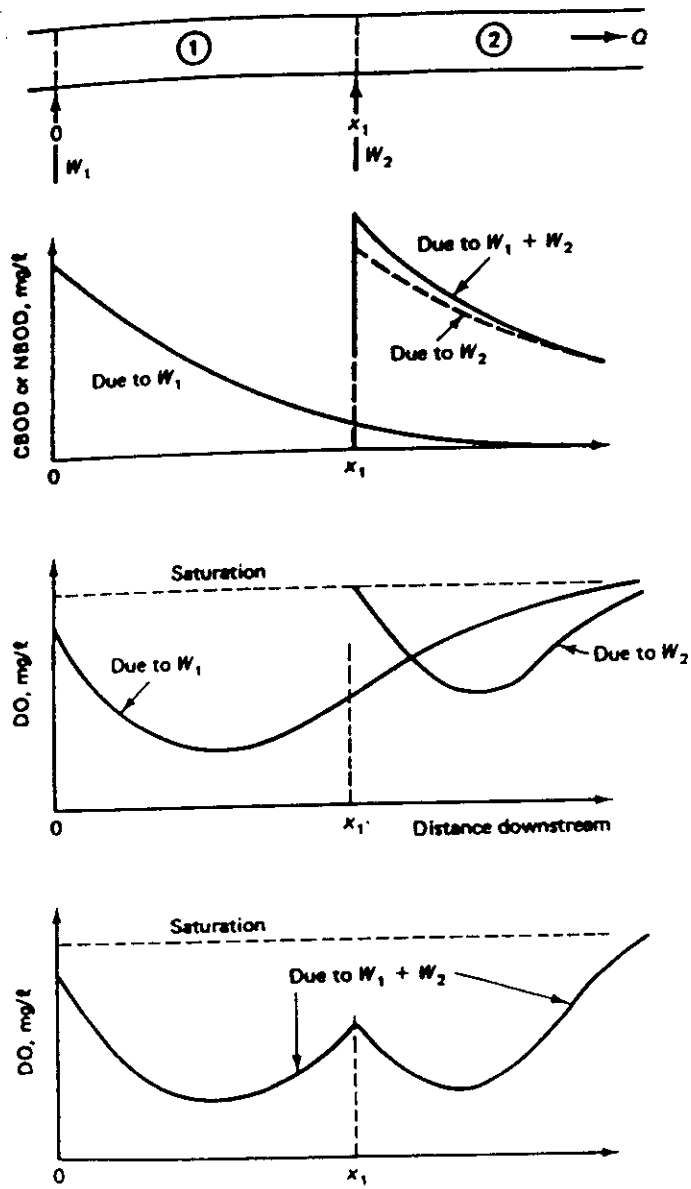
THEN SOLUTION IS:

$$D = \frac{K_d(L_0)A}{K_a - K_r} \{ e^{-K_r x/U} - e^{-K_a x/U} \} + D_0 e^{-K_a x/U}$$

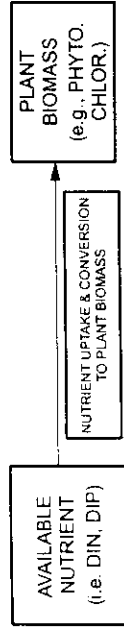
PLOT OF DO PROFILE & EXAMPLE



MULTIPLE INPUT CASE



INTERPRETATION OF N/p RATIO
BASIC PRINCIPLE:
PLANT BIOMASS CONTROLLED BY
NUTRIENT AT LOWEST
CONCENTRATION



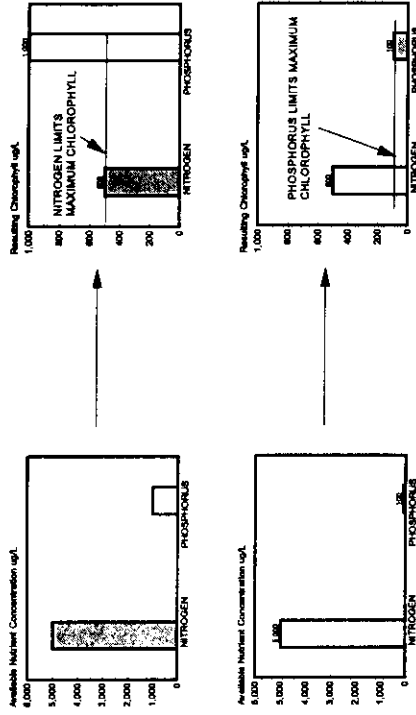
LET:

- N = available nitrogen (DIN)
- p = available phosphorus (DIP)
- a_N = nitrogen/chlorophyll ratio
- a_P = phosphorus/chlorophyll ratio
- P = phytoplankton chlorophyll

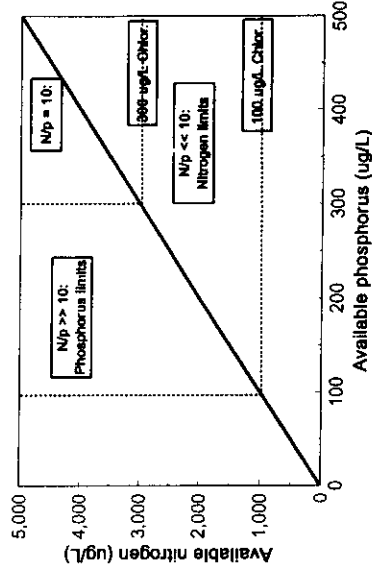
THEN, THE MAXIMUM BIOMASS IS GIVEN BY

$$P = \min\{N/a_N; p/a_P\}$$

- ASSUME: (1) NUTRIENT IN SHORTEST SUPPLY LIMITS MAXIMUM BIOMASS
 (2) COMPLETE CONVERSION OF NUTRIENT TO BIOMASS
 (3) NIT/CHLOR = 10 & PHOS/CHLOR = 1



NITROGEN & PHOSPHORUS LIMITATION



(From Thomann and Mueller, 1987)

ALGAL CELL STOICHIOMETRY

GLOBAL MEAN: "REDFIELD RATIOS" (Redfield et al, 1966)

ALGAL BIOMASS COMPOSITION: $C_{106}H_{263}O_{110}N_{16}P$



STOICHIOMETRY USING "REDFIELD" RATIOS					
C/N		C/p		N/p	
mole Basis	Weight Basis	mole Basis	Weight Basis	mole Basis	Weight Basis
6.63	5.68	106	41	16	7.22
C: 12 g/mole; N: 14 g/mole; P: 31g/mole					

BUT NOTE THAT ALGAL STOICHIOMETRY VARIES AS FUNCTION OF AVAILABLE NUTRIENT:

e.g. CHESAPEAKE BAY (Cercio and Cole, 1994): C/N: 4.5 - 6 C/p: 30 - 75
N/p: 5 - 15

CARBON/CHLOROPHYLL: 30 - 140

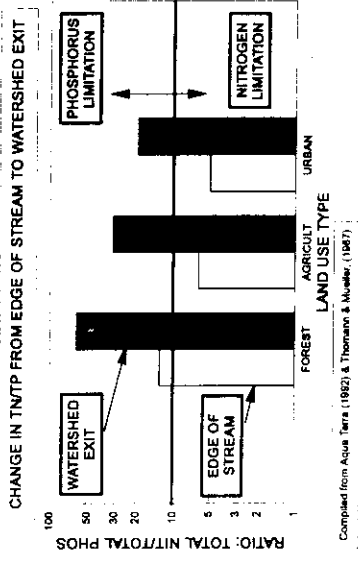
For C/CHLOR = 50; C/N = 5 & C/p = 50

THEN N/p = 10; AND N/CHLOR = 10 & p/CHLOR = 1

SOME TN/TP RATIOS FOR INPUT NUTRIENT LOADS AND MARINE WATERS

- **POINT SOURCES:**
 - w/o phos. removal = 3
 - with phos. removal = 30
- **NONPOINT SOURCES:**
 - Fertilizer & manure: 3
 - @edge of stream = 6
 - @watershed exit = 30
- **MARINE WATERS (DIN/DIP) = 2**

(Adapted from Thomann and Mueller, 1987 and Puckert, 1995)



LIMITING NUTRIENTS FOR VARIOUS WATER BODIES

- **RIVERS & STREAMS:**
 - PS dominated
 - w/o phos. removal: N/p << 10; N limited
 - with phos. rem.: N/p >> 10; p limited
 - NPS dominated: N/p >> 10; p limited
- **ESTUARIES:**
 - Fresh Water region
 - PS dominated: N/p << 10; N limited
 - NPS dominated: N/p >> 10; p limited
 - Saline region: N/p << 10; N limited
 - Transition region, Brackish: N or p limited
- **LAKES:**
 - NPS dominated: N/p >> 10; p limited
 - PS dominated: N/p << 10; N limited

(From: Thomann and Mueller, 1987)

SIMPLE LAKE ANALYSIS: VOLLENWEIDER MODEL

- **ASSUMPTIONS:**
 - COMPLETELY MIXED LAKE
 - STEADY STATE
 - PHOSPHORUS LIMITATION
 - TOTAL PHOS. = MEASURE OF TROPHIC STATE
- **BASIC EQUATION:**

$$V \frac{dp}{dt} = W - vAp - Qp = 0$$

INPUT MASS NET MASS LOSS FROM WATER COLUMN MASS OUTFLOW

NORMALIZING BY WATER SURFACE AREA:

$$p = (W^2VA)/(q + v)$$

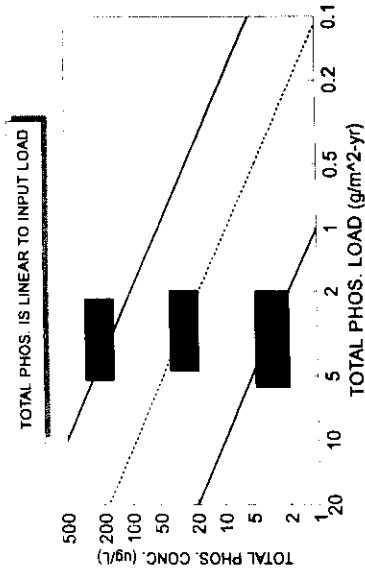
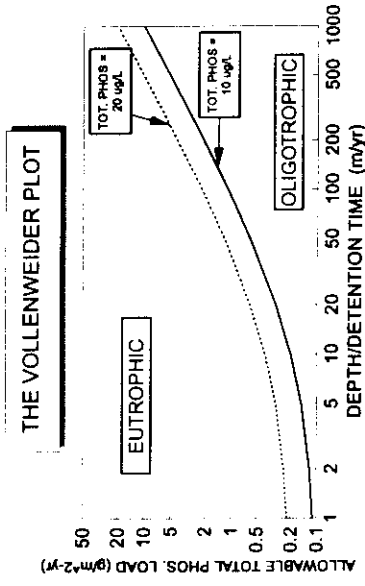
where $q = Q/A =$ hydraulic overflow rate (m/yr)
 $=$ depth/detention time

$v =$ net effective loss velocity of total phosphorus (m/yr)

From data on North temperate Lakes:

VOLLENWEIDER: $v = 10$ m/yr (approx. aver.)

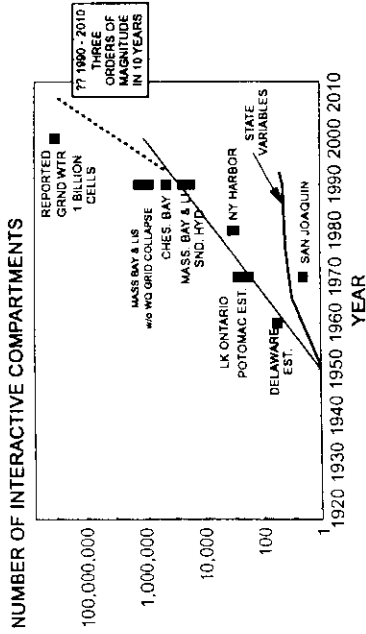
$$\text{Allow. TP Areal Load (g/m}^2\text{-yr)} = (0.01)^*(10 + H/\text{det t})$$



ISSUES

- TIME VARIABLE BEHAVIOR
 - VARIABLE HYDROLOGY & HYDRODYNAMICS
 - SPRING INPUT OF NPS LOADS
 - RESPONSE TIME FOR WATER BODY TO REACH WQ OBJECTIVE
- SPATIALLY VARIABLE BEHAVIOR (1 - 3 DIMENSIONS)
 - COVES, EMBAYMENTS, BAYS
 - ESTUARY/COASTAL OCEAN
- PLANT/NUTRIENT
 - NITROGEN/PHOS. LIMITATION
 - PLANT GROWTH/DEATH KINETICS
- SEDIMENT
 - NUTRIENT FLUXES
 - SEDIMENT OXYGEN DEMAND

INCREASE IN SIZE OF WATER QUALITY MODELS

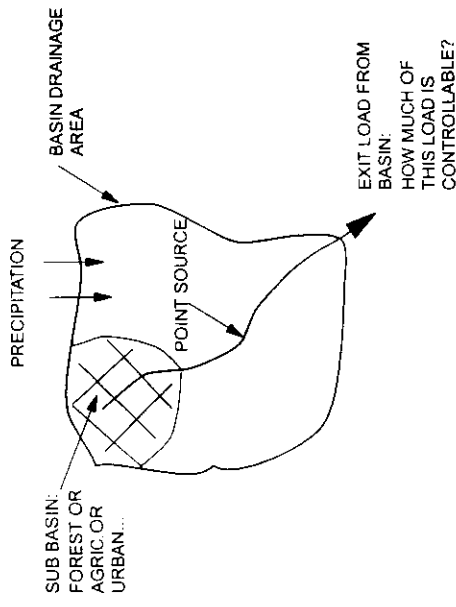


1950 - 1990 ORDER OF MAGNITUDE INCREASE EVERY DECADE

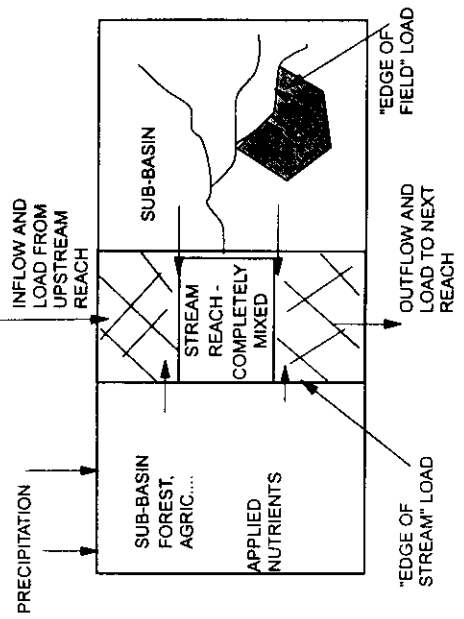
CHESAPEAKE BAY WATER QUALITY MODEL 22 STATE VARIABLES

- PHYTOPLANKTON (3)
 - DIATOMS, CYANOBACTERIA, OTHERS
- CARBON (3)
 - DISSOLVED ORGANIC CARBON
 - LABILE PARTICULATE ORGANIC CARBON
 - REFRACTORY PARTICULATE ORGANIC C.
- NITROGEN (5)
 - AMMONIUM, NITRATE
 - DISSOLVED ORG. N, LABILE PART. ORG. N & REFRACTORY PART. ORG. N.
 - PHOSPHORUS (5)
 - TOTAL PHOSPHATE, ACTIVE METAL
 - DISS. ORG. P, LABILE PART. ORG. P & REFRACTORY PART. ORG. P.
 - SILICA: DISS. & PART. (2)
 - DISSOLVED OXYGEN, CHEMICAL OXYGEN DEMAND (2)
 - SALINITY & TEMPERATURE (2)

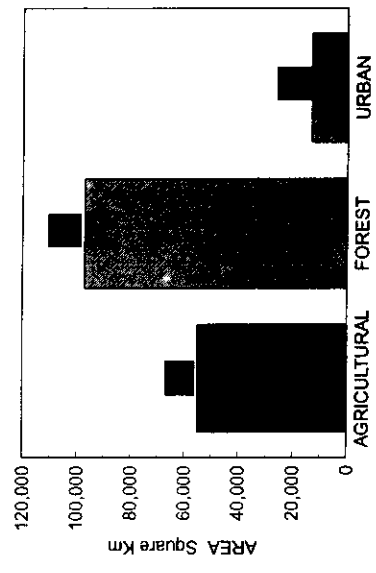
MOTIVATION FOR WATERSHED MODELING



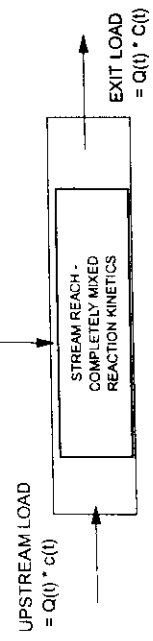
BASIC COMPONENTS OF WATERSHED MODEL



DISTRIBUTION OF LAND USES IN CHESAPEAKE BAY WATERSHED

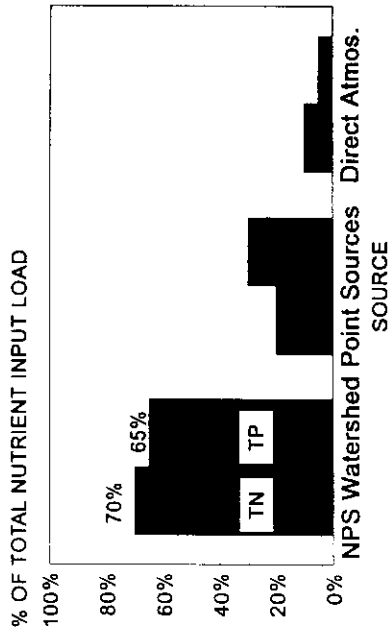


$W(t)$ = CALCULATED/CALIBRATED INPUT "EDGE OF STREAM" LOAD = $F(t, \text{LAND USE, PRECIP...})$

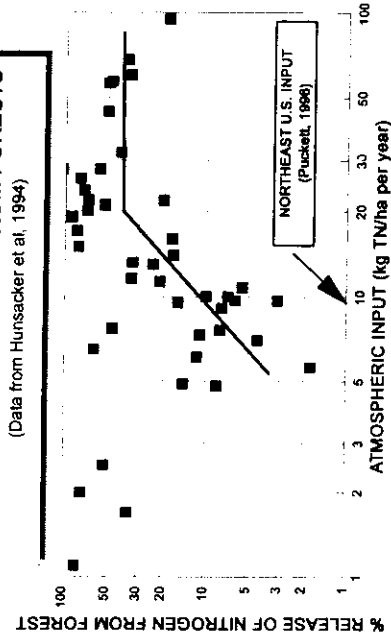


$$\frac{dc(t)(t)/dt = (Q(t) * c(t))_{up} + W(t) - (Q(t) * c(t))_{down} - R(t)$$

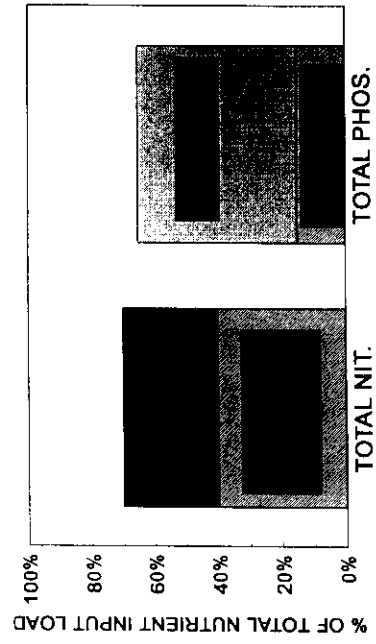
CONTRIBUTION OF PRINCIPAL SOURCES TO TOTAL NITROGEN & PHOSPHORUS LOAD



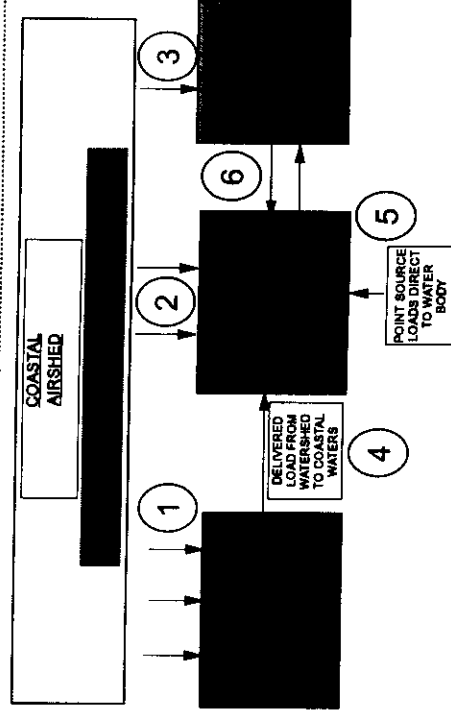
% RELEASE OF NITROGEN FROM FORESTS



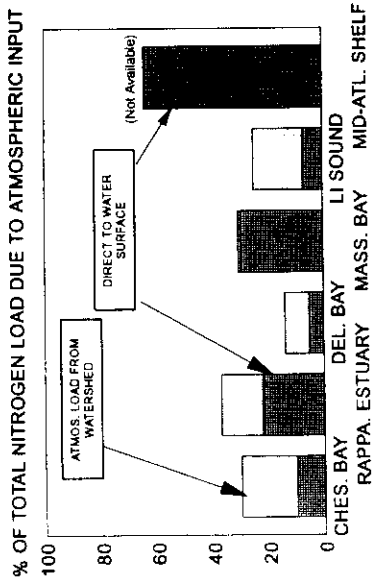
CONTROLLABILITY OF TOTAL NITROGEN & PHOSPHORUS LOADS IN NPS WATERSHEDS



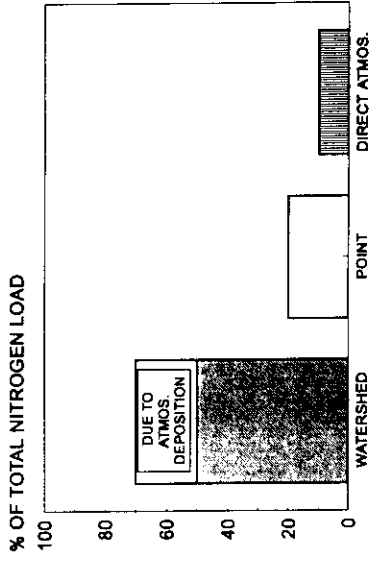
COMPONENTS OF NITROGEN LOADING TO COASTAL WATERS



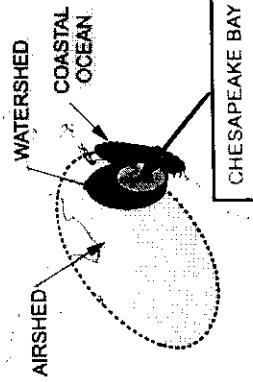
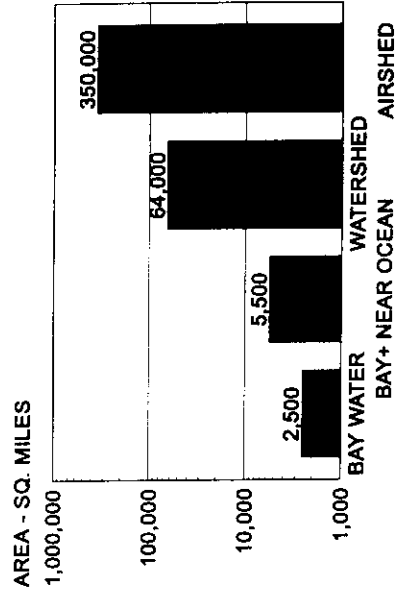
**CONTRIBUTION OF ATMOS. NITROGEN DEPOSITION
DELIVERED THROUGH WATERSHED**



**CONTRIBUTION OF ATMOSPHERIC DEPOSITION
TO WATERSHED DELIVERED LOAD
CHESAPEAKE BAY**



**INCREASE IN AREA OF INTEREST FOR
WATER QUALITY OF CHESAPEAKE BAY**



THE INCREASING SCALE OF MODEL/MANAGEMENT DOMAIN

