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**SMR.959 - 29**

**MINIWORKSHOP ON STRONG ELECTRON CORRELATIONS  
"Disorder and Interaction in Quantum Systems  
and Their Classical Analogs"**

**(1 - 19 July 1996)**

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**"Quantum Phase Transitions in Boson and Spin Systems"**

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***These are preliminary lecture notes, intended only for distribution to participants.***

# QUANTUM PHASE TRANSITIONS IN BOSON AND SPIN SYSTEMS

Ferenc PAZMANDI , Richard SCALETTAR

- 1) The localization transition for  
hard core bosons
- 2) Quantum spin glasses

DISORDERED  
BOSONS

Bosons infield ~ Quantum vortex  
Vortices ~ Boson path



Hard core boson ~  $S = 1/2 \times 2$

VORTICES  
WITH  
DISORDER

Inhomogeneous flux ~ Frustration

QUANTUM  
SPIN  
GLASSES



Disordered bosons are relevant for  $\left\{ \begin{array}{l} {}^4\text{He in Vycor} \\ \text{disordered superconductor} \end{array} \right.$

Fisher, Weichman, Grinstein, Fisher:

Strong enough disorder localizes bosons.

At  $T=0$ , instead of superfluid phase, Bose Glass.

Scaling in 1d: Giamarchi & Schulz

Numerical studies: Wallin, Sorensen, Girvin & Young  
Krauth, Makivic & Trivedi  
Scalettar, Batrouni & GTZ

Approximate analytic  
+ numerical approaches: D. Lee & Gunn  
K. Singh & Rokhsar  
L. Zhang & M. Ma

Recent work  
(large  $N + \epsilon$  expansion): X. Wen ; P. Weichman

Hard to describe critical behavior in higher dimension.

Lack of field theory, grasping the localization/Bose Glass transition on mean field level, and allowing for a systematic expansion around this solution.

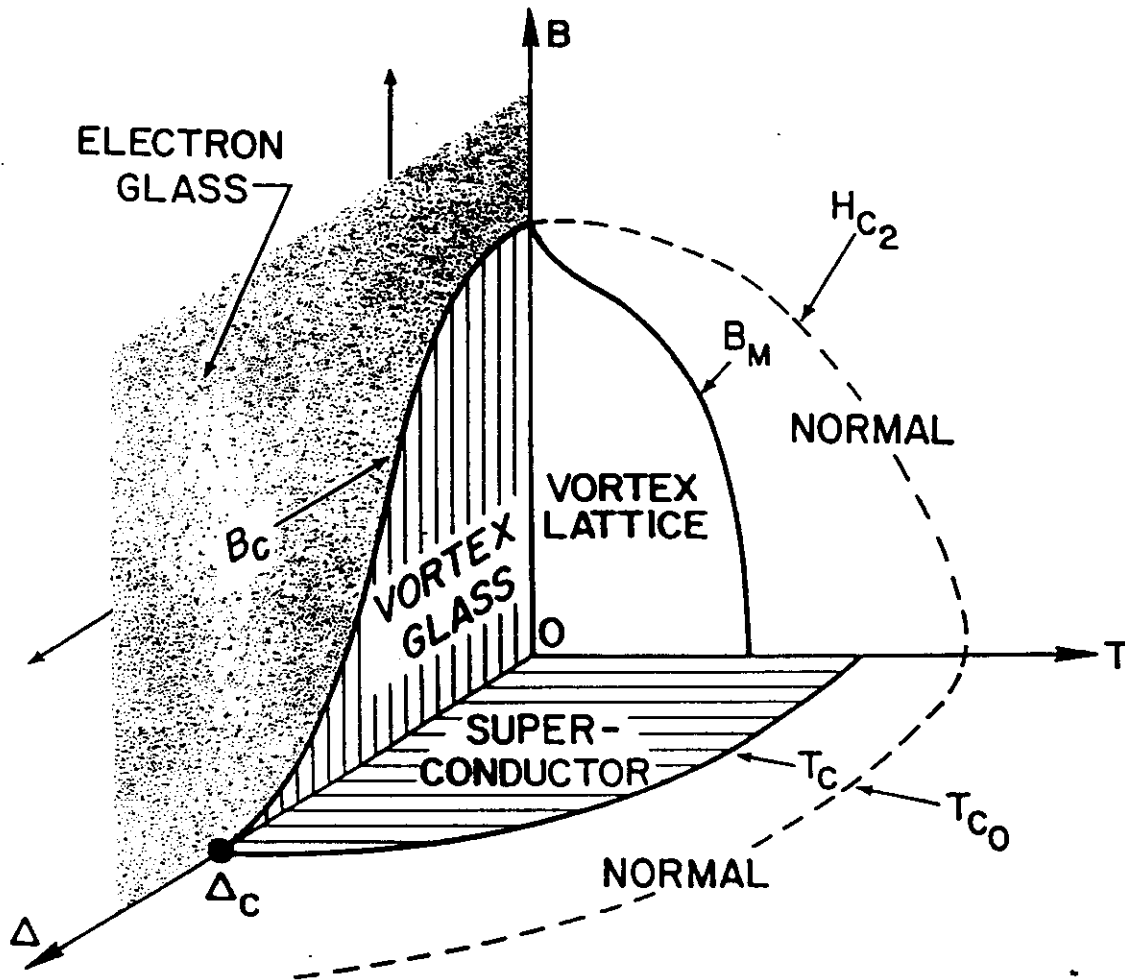


FIG. 1. Schematic phase diagram for disordered superconducting films. *Distinct  $T=0$  superconductor-insulator transitions occur at both critical disorder  $\Delta_c$  and critical magnetic field  $B_c$ .*

# THE LOCALIZATION TRANSITION FOR

DIRTY HARD CORE BOSONS

PRL, 75, 1356, (1995)

$$\mathcal{H} = -\frac{J_0}{N} \sum_{i \neq j} a_i^\dagger a_j - \sum_i (\mu + \epsilon_i) a_i^\dagger a_i$$

\* infinite range hopping

\* hard core interaction

\*  $\langle \epsilon_i \rangle = 0$ ,  $\langle \epsilon_i^2 \rangle = \Delta$

magnetic equivalent:

$$\mathcal{H} = -\frac{J_0}{N} \sum_{i \neq j} (S_i^+ S_j^- + S_j^+ S_i^-) - \sum_i (H + h_i) S_i^z$$

\* infinite range XY model

\* random transverse field

What phases to expect?

BOSONS

**MOTT**

large  $\mu, H$



1 particle per site

**SUPERFLUID**

small disorder

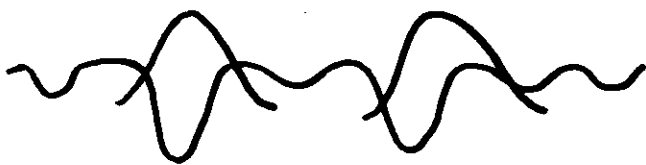


extended wave f.c.

small  $\mu, H$

**BOSE GLASS**

large disorder



localized particles

MAGNET

**QUANTUM PARAMAGNET**



$\langle S^z \rangle \neq 0, \langle S^x \rangle = 0$

**XY FERROMAGNET**



$\langle S^x \rangle \neq 0$

**QUANTUM GRIFFITH**



$\langle S^x \rangle = 0$

localized spin excitations  
large TEMPORAL correlations

Hard core: well defined ground state: 1 particle/site

Lower  $\mu$ : low concentration of holes: one-particle approach

$$\sum_j \left( \frac{J_0}{N} + (\mu + \epsilon_i) \delta_{ij} \right) x_j = \omega x_i$$

The eigenvalue  $\omega$  determined by self-consistency

$$1 = \frac{1}{N} \sum_i \frac{J_0}{\omega - (\mu + \epsilon_i)}$$

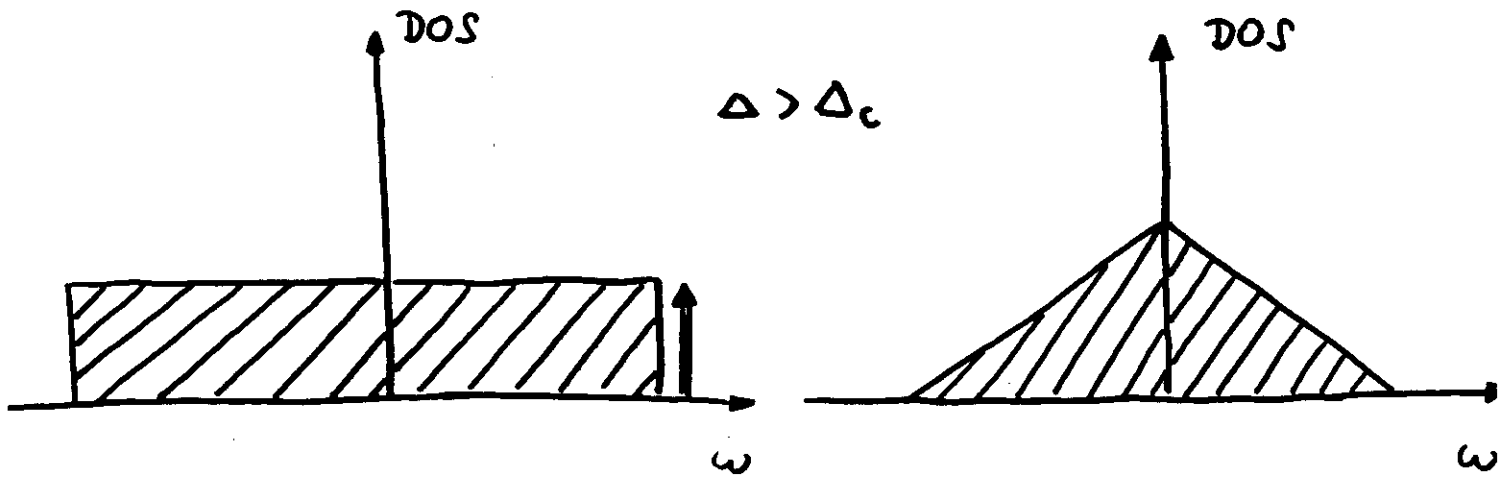
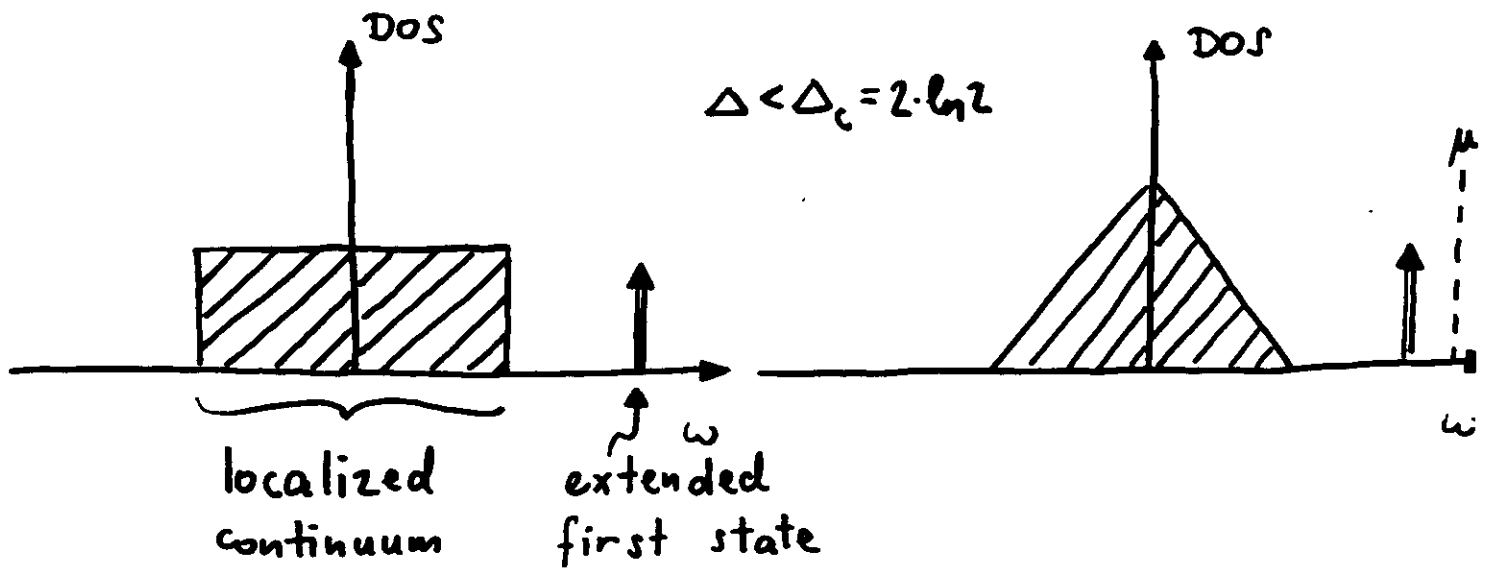
\* Solutions in the range  $\omega \in [-\Delta, \Delta]$   
always exist,  $\omega - \epsilon_i \sim 1/N$

\* additional solution from

$$1 = J_0 \int_{-\Delta}^{\Delta} d\epsilon \frac{P(\epsilon)}{(\omega - \mu) - \epsilon}$$

DEPENDS ON ASYMPTOTICS OF  $P(\epsilon)$ .

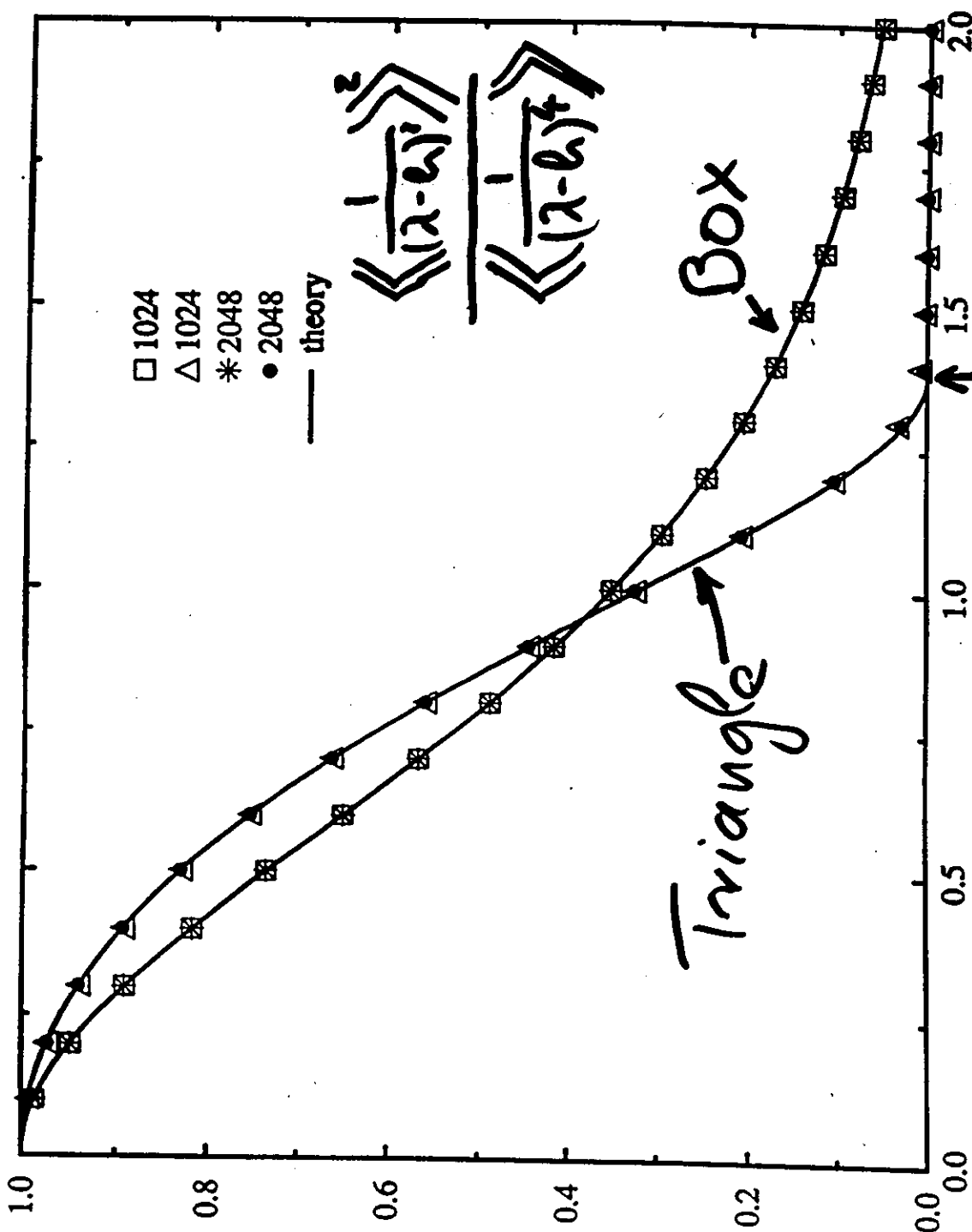
# DENSITY OF STATES



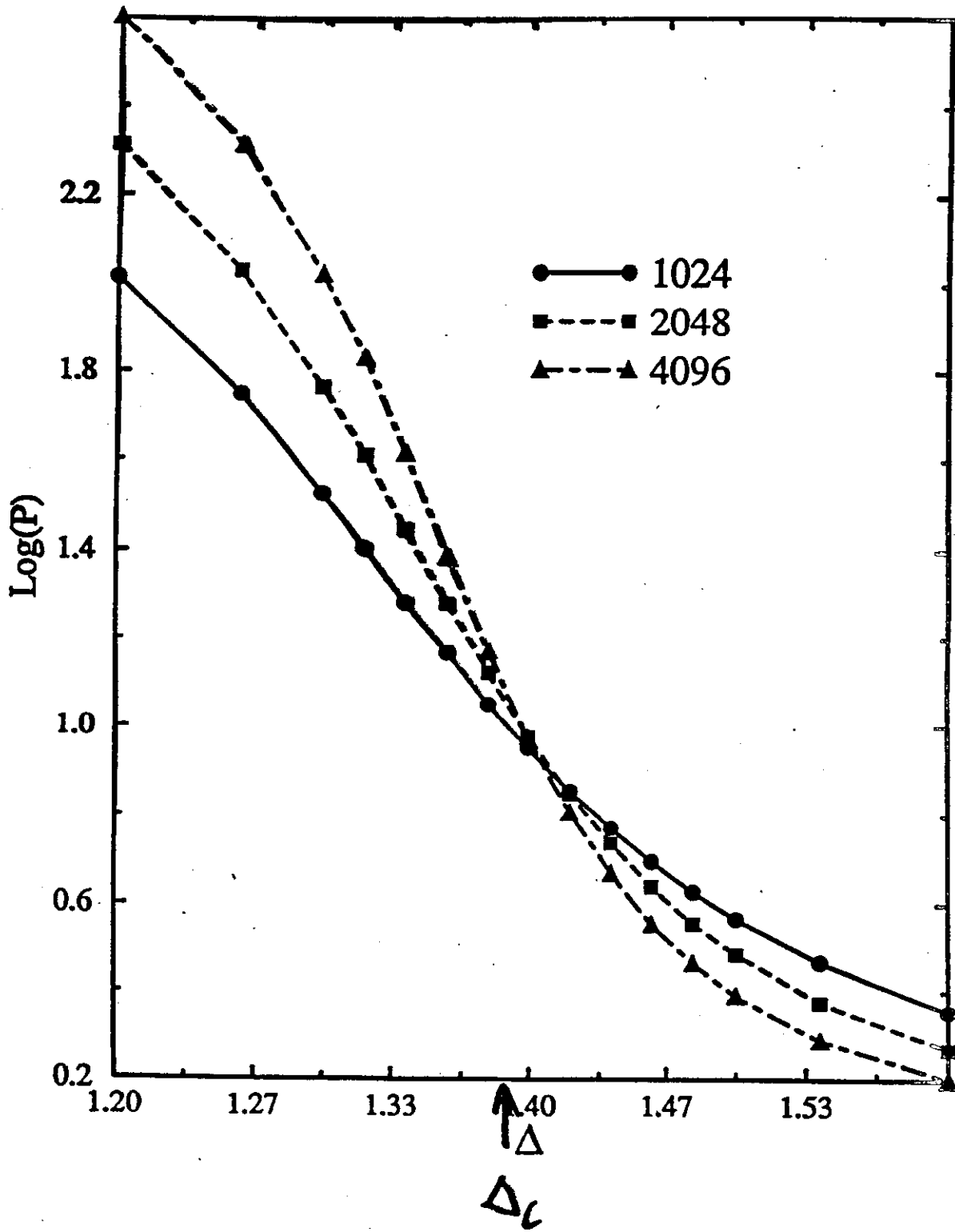
$\Delta < \Delta_c$  holes extended  $\Rightarrow$  form a superfluid

$\Delta > \Delta_c$  holes localized  $\Rightarrow$  form a glass

# Participation ratio / N

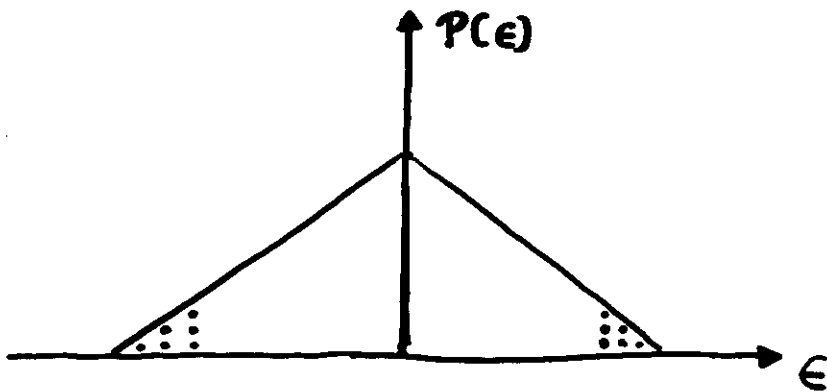


# Triangular



## Physics 2

$$P(\epsilon) \sim (\Delta - |\epsilon|)^\alpha$$



Density of suitable low energy sites suppressed.

$$\text{gain in kinetic energy} \sim \frac{1}{N}$$

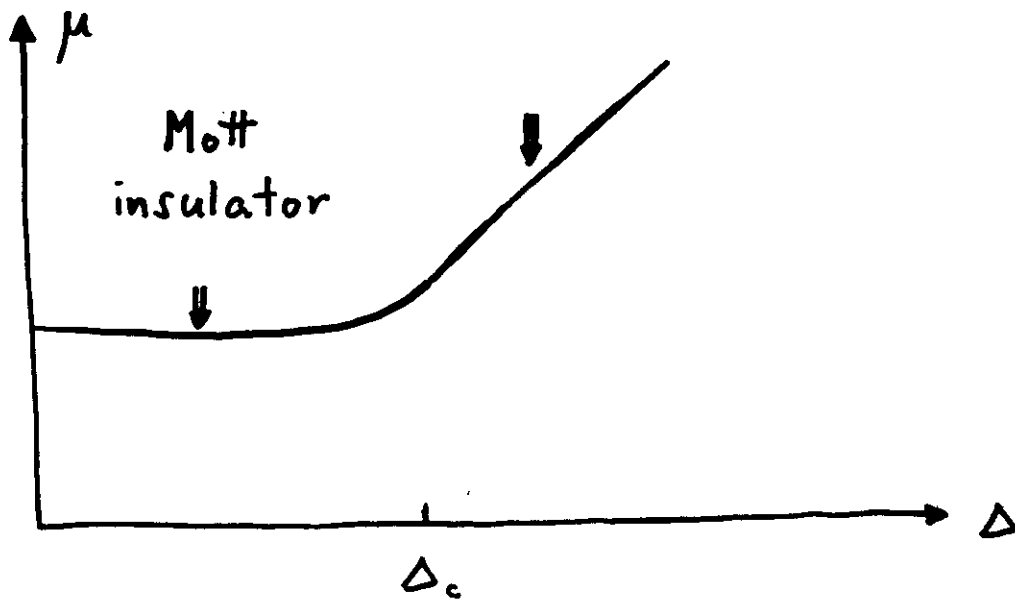
$$\text{spacing to next localized level} \sim \frac{1}{N^{1/(\alpha+1)}}$$

FOR  $\alpha > 0$ , STATES GAIN BY STAYING LOCALIZED.

TO CAPTURE THE LOCALIZATION TRANSITION,  
THE DISTRIBUTION OF THE DISORDER ALSO  
HAS TO BE PROPERLY SCALED WITH  $N$ .

# CRITICAL BEHAVIOR

	WEAK DISORDER	STRONG DISORDER
XY SUSCEPTIBILITY	$\sim (\mu - \mu_c)^{-1}$	$\sim \text{const.}$
GREEN FC. AT CRITICALITY	$\sim \text{const.}$	$\sim \tau^{-(\alpha+1)}$



## Role of $\alpha$

\* Participation ratio AT CRITICALITY

$$P_c \sim \mathcal{O}(1) \quad 0 < \alpha < 1$$

$$P_c \sim \ln^2 N \quad \alpha = 1$$

$$P \sim N^{2 \frac{\alpha-1}{\alpha+1}} \quad \alpha > 1$$

\* Scaling of gap, between superfluid and continuum

$$\omega \sim (\Delta_c - \Delta)^\Theta \quad \Theta = \frac{1}{\alpha} \quad 0 < \alpha < 1$$

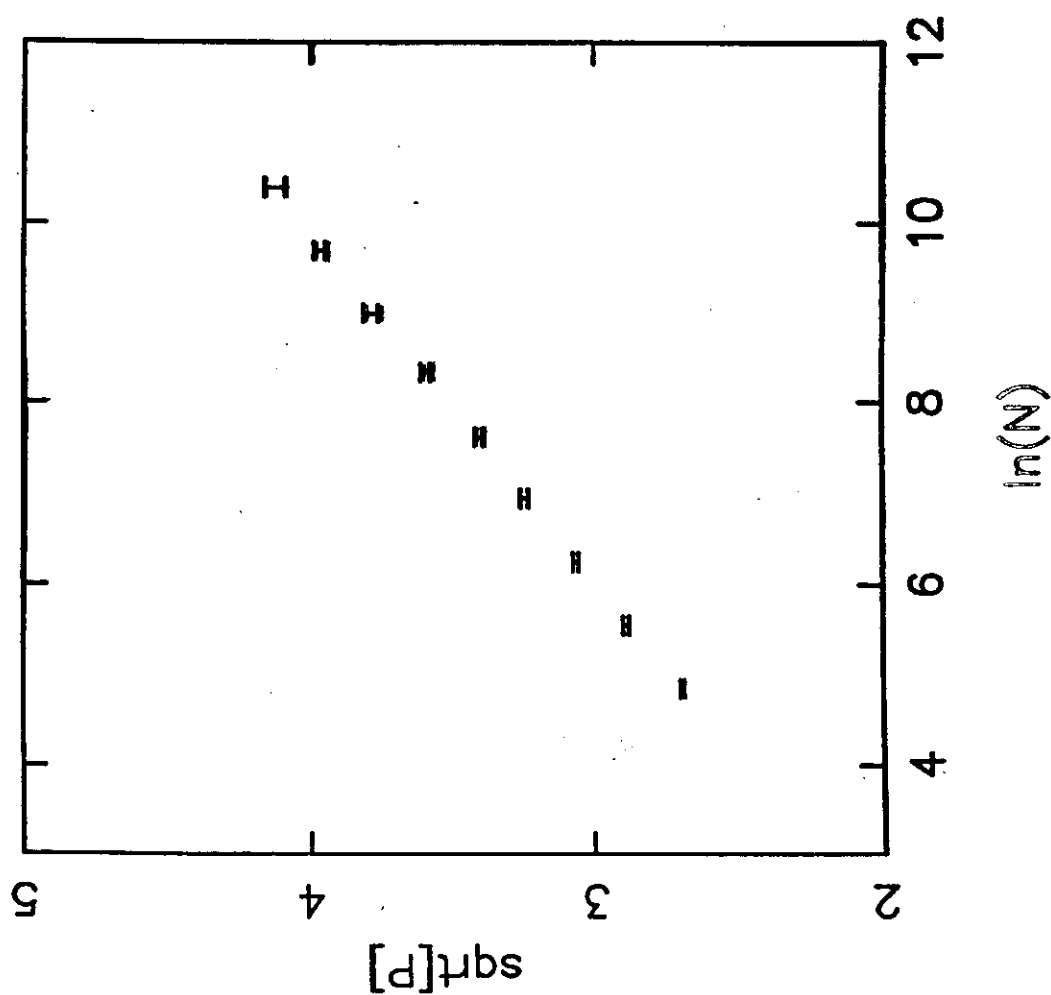
$$\Theta = 1 \quad \alpha > 1$$

$\alpha = 0$  "lower critical dimension"

$\alpha = 1$  "upper critical dimension"

logarithmic corrections

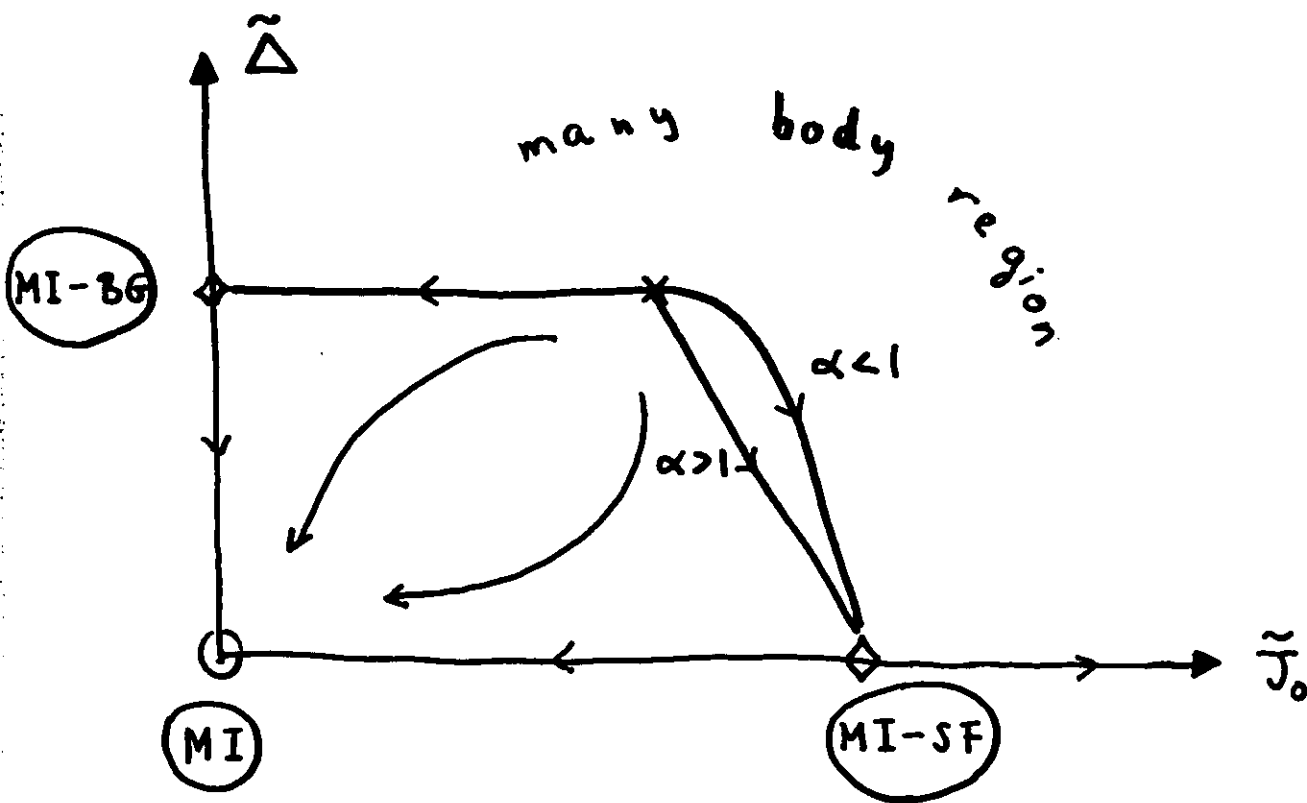
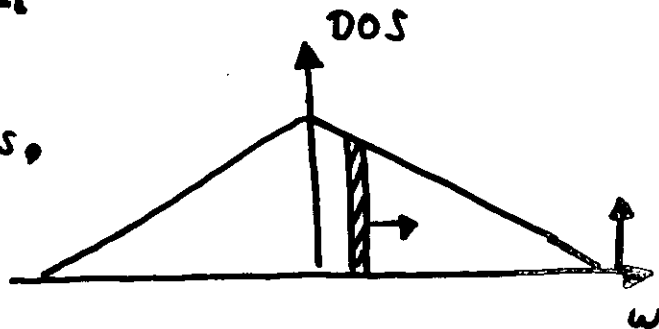
$\alpha = 1$   
 $d = \infty$  dis=tri  $\Delta = \Delta_c$



# RENORMALIZATION GROUP

$$I = \frac{1}{N} \sum_i \frac{J_0}{\omega - \mu - \epsilon_i}$$

Eliminate high energy states,  
Adjust parameters.



dynamical crit. exp.  $z = \tilde{\Delta}$

## MANY BODY APPROACH

Sites decoupled by Hubbard - Stratonovich transformation.

$$S(\Psi) = \int_0^\beta d\tau |\Psi(\tau)|^2 - \sum_i \ln \text{Tr} \exp[\beta(\mu + \epsilon_i) a_i^\dagger a_i] \cdot T_\tau \exp\left[\sqrt{\frac{J}{N}} \int_0^\beta d\tau (\Psi^*(\tau) a_i(\tau) + \Psi(\tau) a_i^\dagger(\tau))\right]$$

AT the saddle point for strong disorder

$$S(\Psi) = \left[ c + \delta \cdot \ln\left(\frac{\Psi^2}{\delta}\right) \right] \Psi^2 + u \Psi^4$$

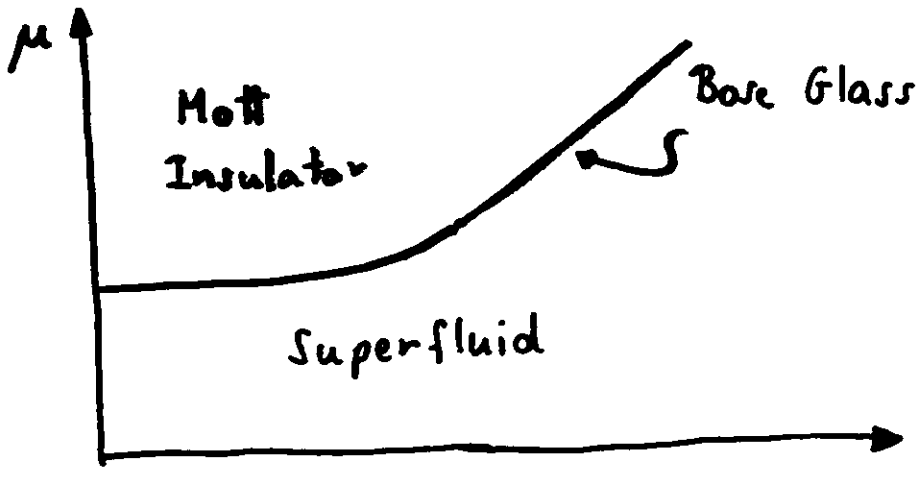
$$\delta \equiv (\mu_c - \mu)^\alpha$$

AT SMALL  $\Psi$  THE GINZBURG-LANDAU ACTION IS NONANALYTIC

$$\Psi \sim \sqrt{\delta} \exp\left[-\frac{a}{2\delta}\right]$$

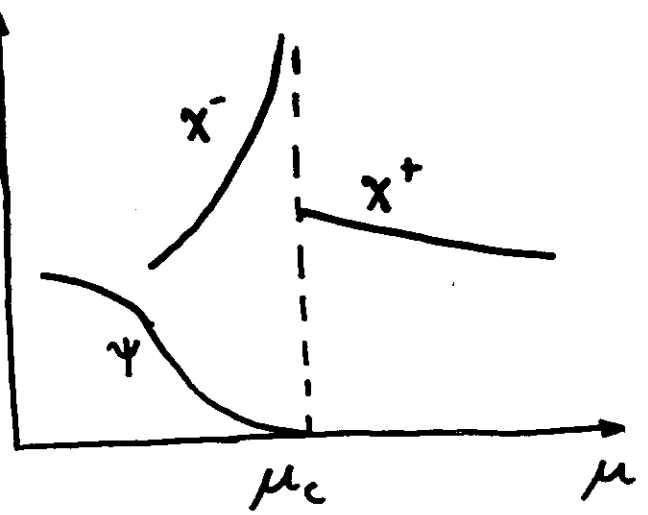
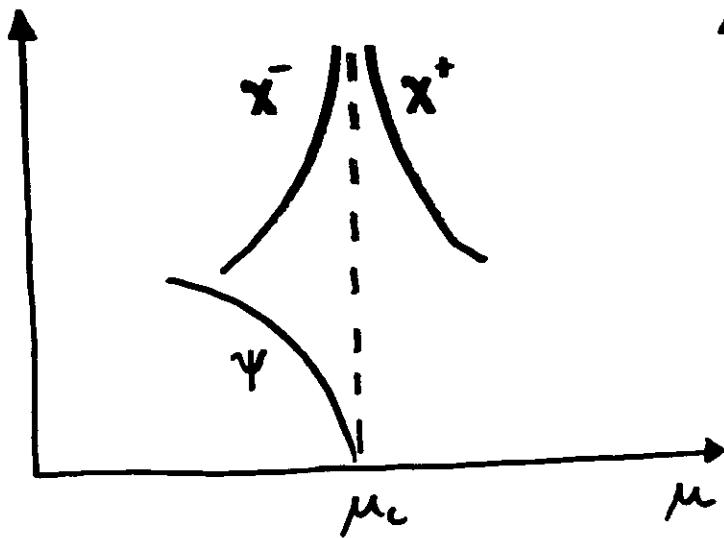
$$\chi \sim \delta^{-1}$$

# PHASE DIAGRAM



WEAK DIS.

STRONG DIS.



$$\chi^{\pm} \sim |\mu - \mu_c|^{-1}$$

$$\Psi \sim (\mu_c - \mu)^{1/2}$$

$$\chi^+ \sim \text{const.}$$

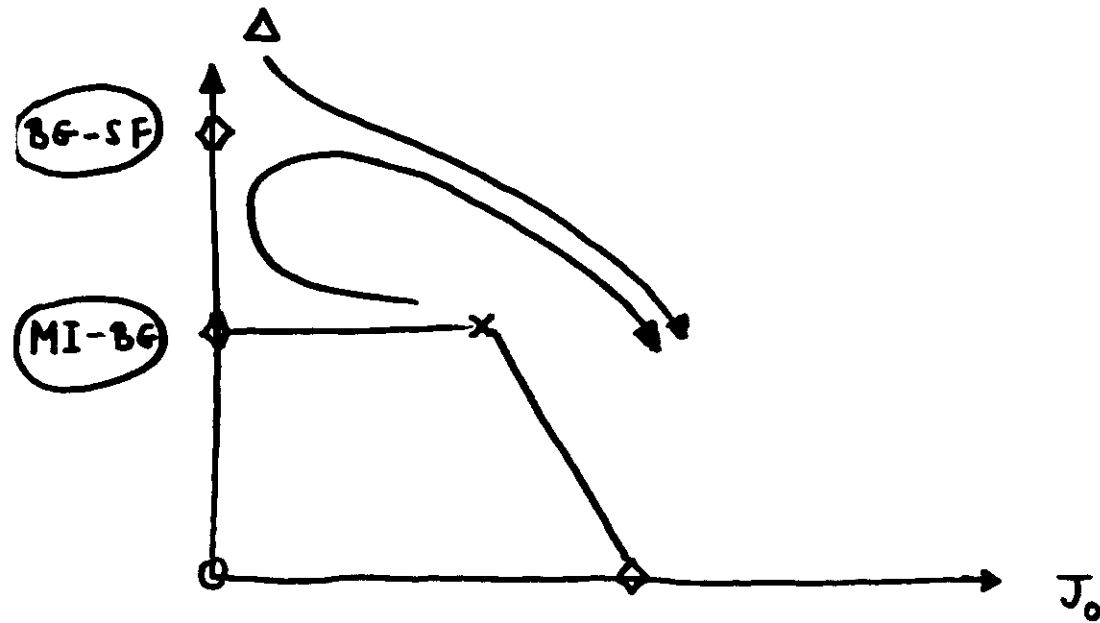
$$\chi^- \sim |\mu - \mu_c|^{-1}$$

$$\Psi_a \sim \exp\left[-\frac{a}{\mu_c - \mu}\right]$$

$$\Psi_{\text{crossover}} \sim (\mu_c - \mu)^{1/2}$$

Very asymmetric critical behavior. Reason?  
CROSSOVER ENERGY SCALE FOR LARGE  $\Delta$ .

Guess



Using the Ginzburg-Landau action, one can extend the model for finite range interaction/hopping.

## 2) QUANTUM SPIN GLASSES

Classical spin glasses:

- \* Extensive frustration in the system gives rise to a rugged energy landscape in configuration space.
- \* Upon cooling system freezes into a LOCAL minimum.

Question:

- \* If quantum dynamics is introduced for the spins, will the quantum fluctuations / tunneling through barriers "melt" the glass?
- \* What is the nature, AT  $T=0$ , the quantum spin-glass — quantum paramagnet transition?

Simplest system: Ising model in transverse field

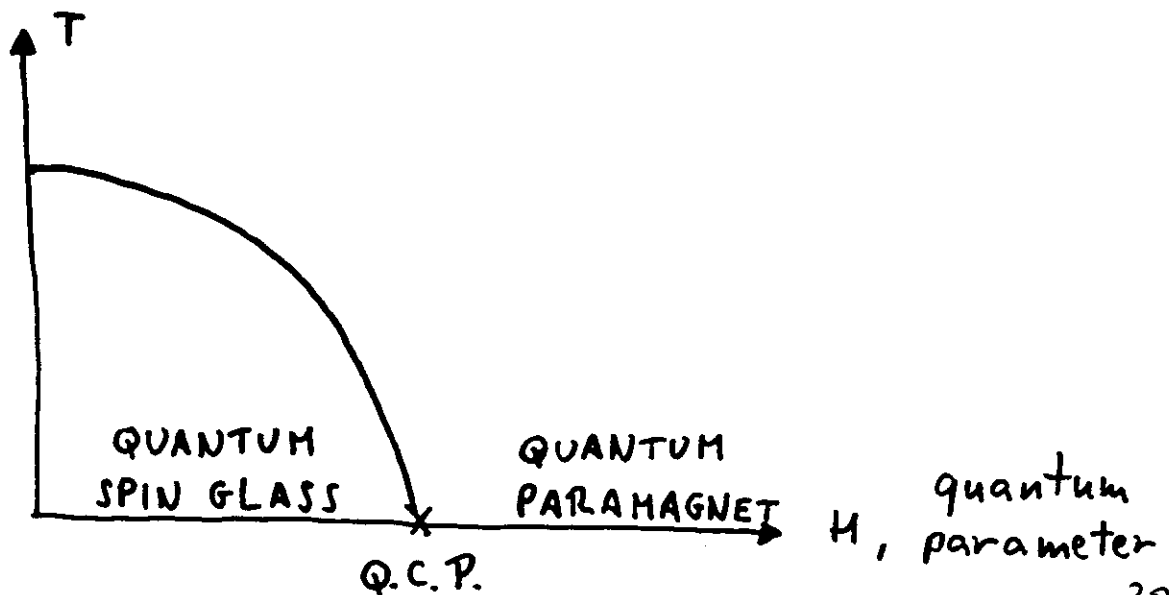
$$\mathcal{H} = \sum_{ij} J_{ij} S_i^z S_j^z - H \sum_i S_i^x$$

Real space renormalization : D. Fisher  
in 1d

Numerical studies in 1d : P. Young

Infinite range models, : Bray & Moore  
 $\tau$  independent kernel approx. Goldschmidt & Lai

Infinite range models, : Ye, Sachdev & Read  
proper treatment of kernel Miller & Huse



## Recent excitement:

In  $\text{LiHo}_{0.167}\text{Y}_{0.833}\text{F}_4$ , which is a diluted dipolar ferromagnet, indeed a similar phase diagram has been observed on the  $(T, H)$  plane.

Wu, Bitko, Rosenbaum & Aepli

They measure  $\left\{ \begin{array}{l} \text{nonlinear susceptibility} \\ \text{noise spectrum} \end{array} \right\}$ .

\* PHASE DIAGRAM: AS EXPECTED

\* PROBLEM: AT LOW  $T$ ,

AT THE TRANSITION

$\chi_{\text{non-linear}}$  DOES NOT DIVERGE

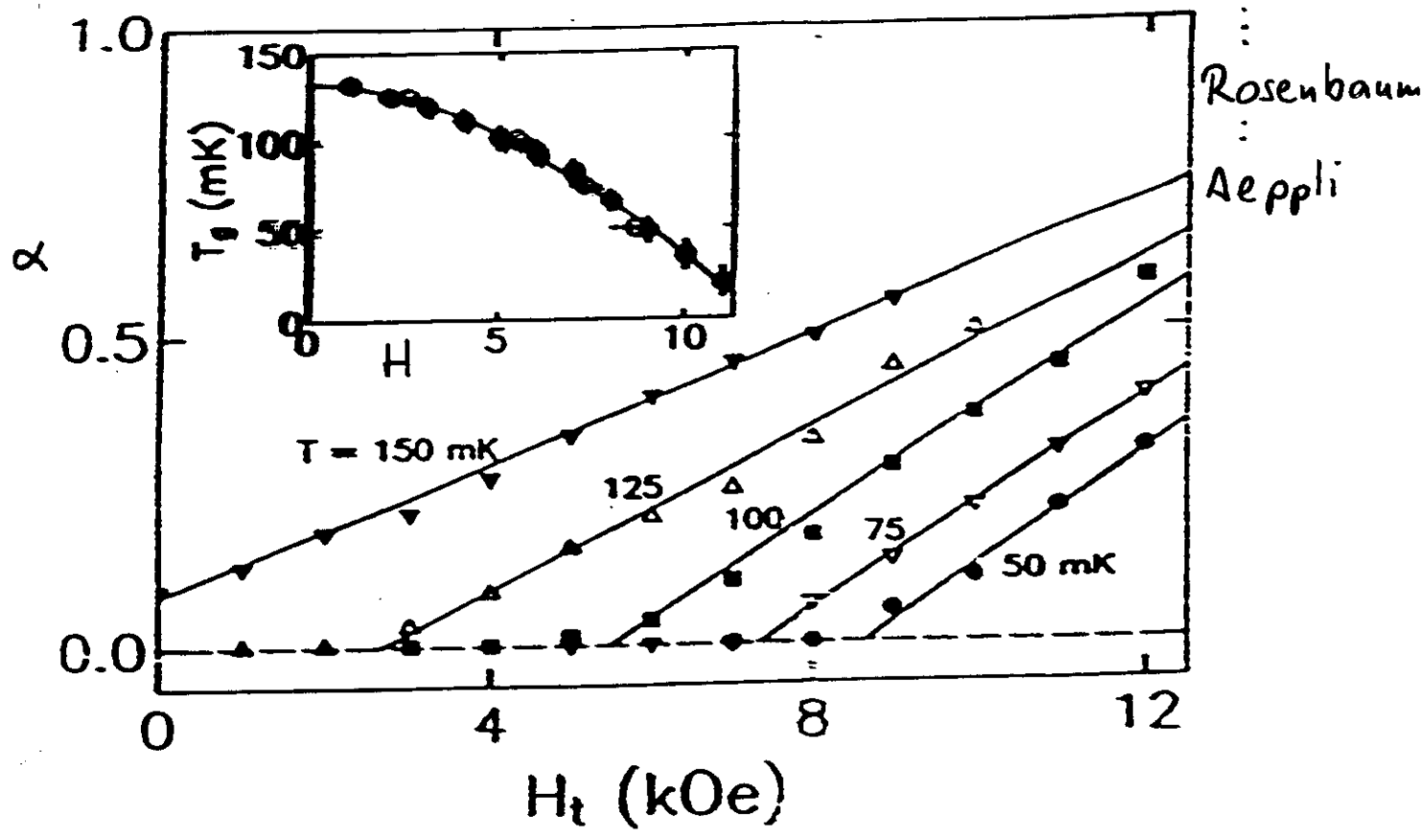
Numerical studies : Guo, Bhatt & Huse      CAN NOT  
Rieger & Young      ACCOUNT

Field theory, renormalization

group for rotor models : Ye, Sachdev & Read

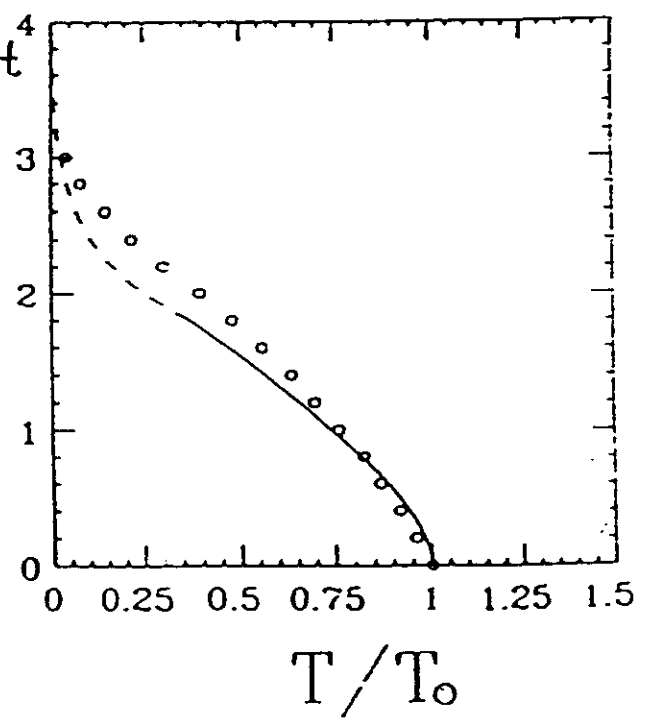
An undetermined exponent has to assume a quite large value.

$$\text{Im } \chi(\omega) \sim \omega^{-\alpha}$$



Goldschmidt

$H/J$



- S.K. type model
- time independent kernel" approximation

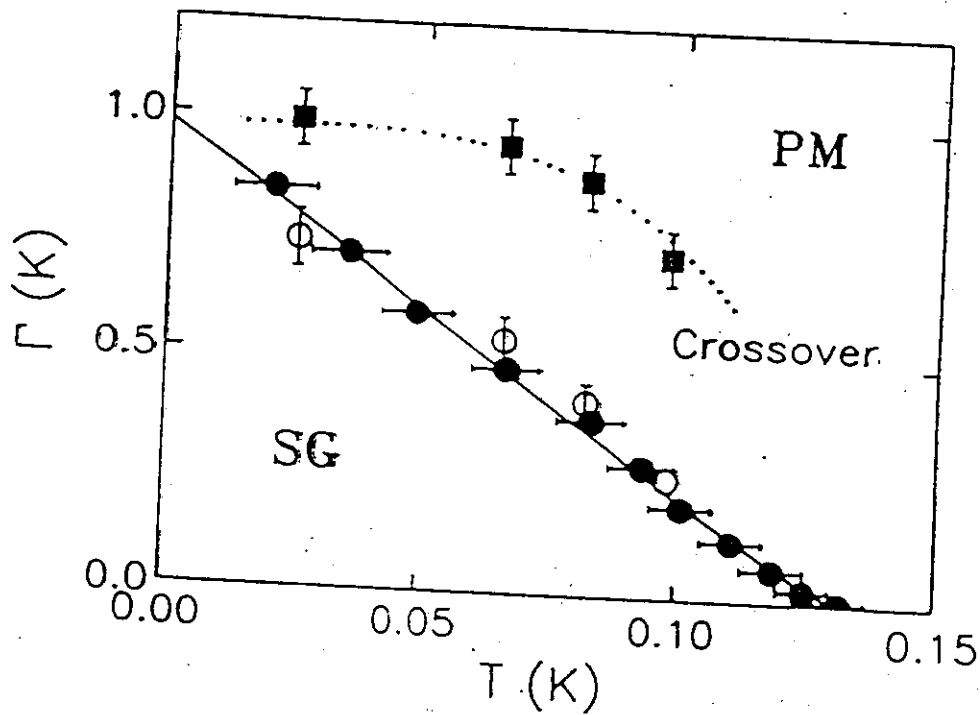


FIG. 1. Phase diagram of the diluted dipolar-coupled Ising spin glass  $\text{LiHo}_{0.167}\text{Y}_{0.833}\text{F}_4$  in the transverse field ( $\Gamma$ )—temperature ( $T$ ) plane. SG: spin glass; PM: paramagnet. Filled circles follow from dynamical measurements, open circles from the nonlinear susceptibility, and squares indicate where  $\chi''$  ( $f = 1.5$  Hz) begins to rise (see Fig. 3). The dotted line is the mean-field phase boundary associated with an ordered magnet whose critical temperature and field are the same as  $T_g(\Gamma = 0)$  and  $\Gamma_g(T = 0)$ , respectively.

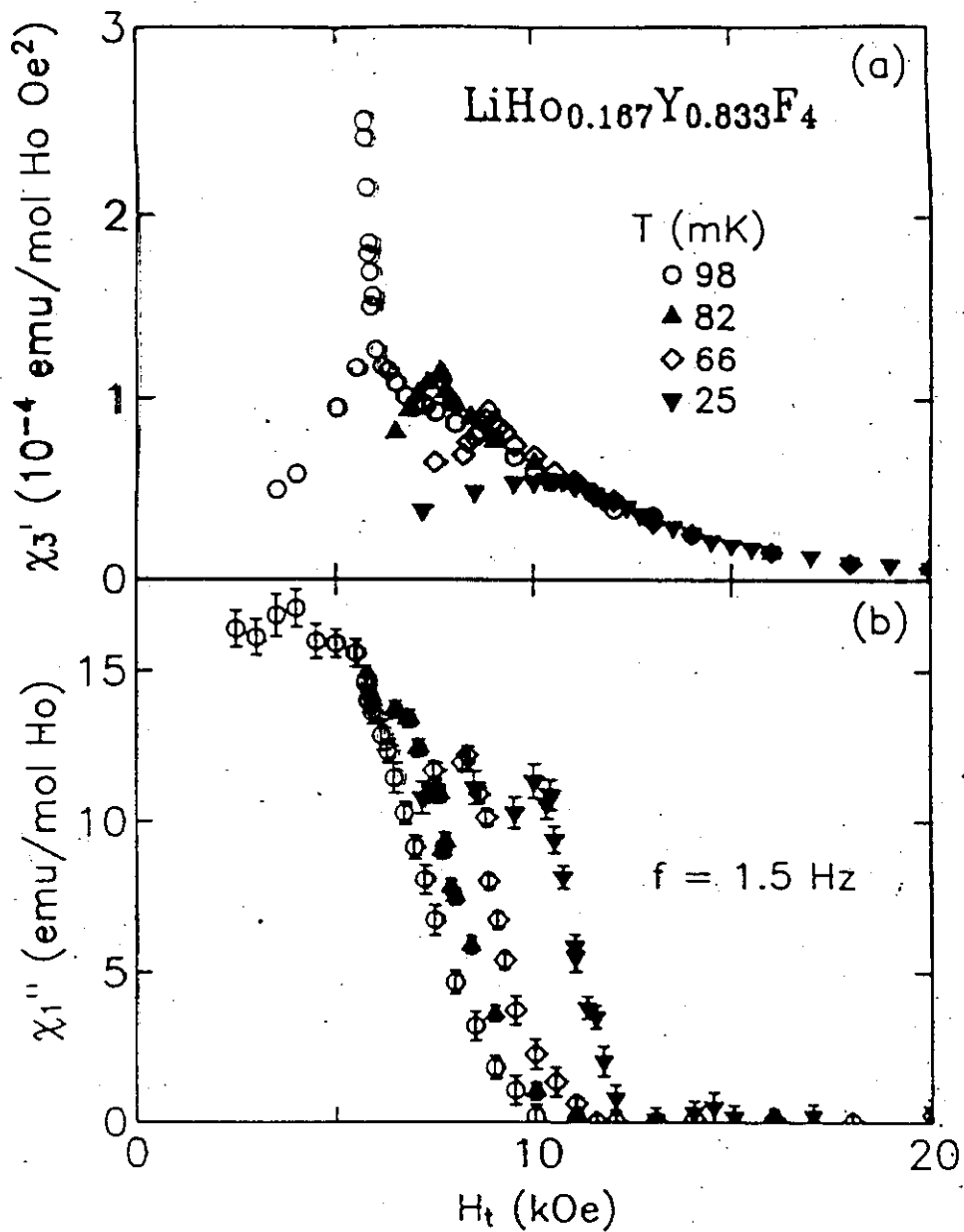


FIG. 3. (a) The nonlinear susceptibility as a function of transverse magnetic field at four temperatures. The clear divergence of  $\chi_3'$  at the spin glass transition in the high  $T$ , small  $H_t$  (small  $\Gamma$ ) classical limit becomes merely a small flat maximum in the low  $T$ , large  $H_t$  (large  $\Gamma$ ) quantum limit. (b) Corresponding behavior of the imaginary part of the linear susceptibility. Here, there is a clear signature of the spin glass transition in both the classical and quantum regimes.

## Motivation of model

1.) Li Ho YF has dipolar, i.e. long range interactions.

⇒ A Sherrington-Kirkpatrick model might be a reasonable approximation.

Indeed, the clean system exhibits a ferromagnetic transition with the mean-field value 1 for  $\gamma$ .

2.) The dipolar interaction has two terms:

$$\frac{(\vec{S}_i \cdot \vec{S}_j)}{|\vec{r}_i - \vec{r}_j|^3} - 3 \frac{(\vec{S}_i \cdot \vec{r}_i)(\vec{S}_j \cdot \vec{r}_j)}{|\vec{r}_i - \vec{r}_j|^5}$$

In the experiment  $\vec{H} \parallel \hat{x}$ , so  $\langle S^x \rangle \neq 0$ .

The second term then introduces a RANDOM TRANSVERSE FIELD, through  $S_i^y \cdot \langle S^x \rangle$ .

We consider

$$\mathcal{H} = - \sum_{ij} (J_{ij} S_i^+ S_j^- + \text{h.c.}) - \sum_i (H + h_i) S_i^z$$

$$\langle J_{ij} \rangle = \frac{J_0}{N}$$

$$\langle |J_{ij}|^2 \rangle = \frac{J}{N} \quad \text{random (complex) number,} \\ \text{with Gaussian distribution}$$

$h_i$  randomly distributed over  $[-\Delta, \Delta]$ .  $P(h) \sim (\Delta - |h|)^{\alpha}$

Also describes bosons propagating in random, quenched flux: QUANTUM GAUGE GLASS.

\* At large  $H$  we have a Quantum Paramagnet  
( $\sim$  Mott insulator)

\* Lowering  $H$  can give rise to an

$\rightarrow$  XY ferromagnet  
or a

$\rightarrow$  glass

\* For  $\alpha > 1$  we find TWO GLASSES,  $\left\{ \begin{array}{l} \text{weak} \\ \text{strong} \end{array} \right\}$

==

Again, we use one particle methods first.

We treat the site disorder exactly,

for large  $N$  exact for bonds, too.

Edwards method } gives same  
Cavity method }

# ONE PARTICLE SPECTRUM

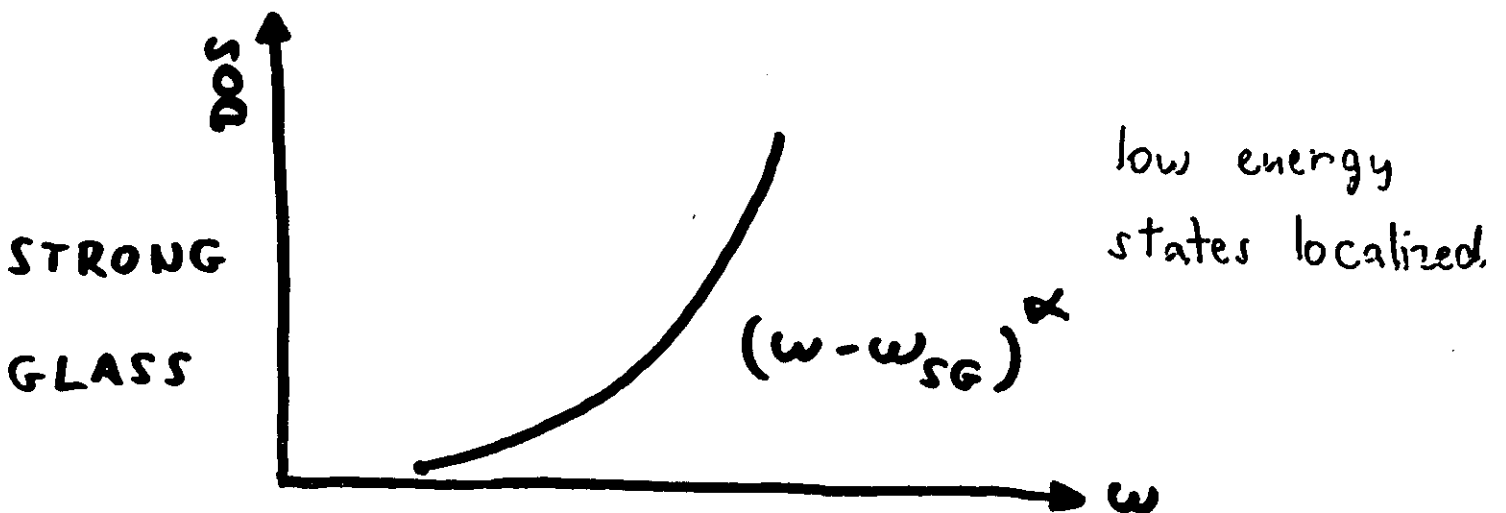
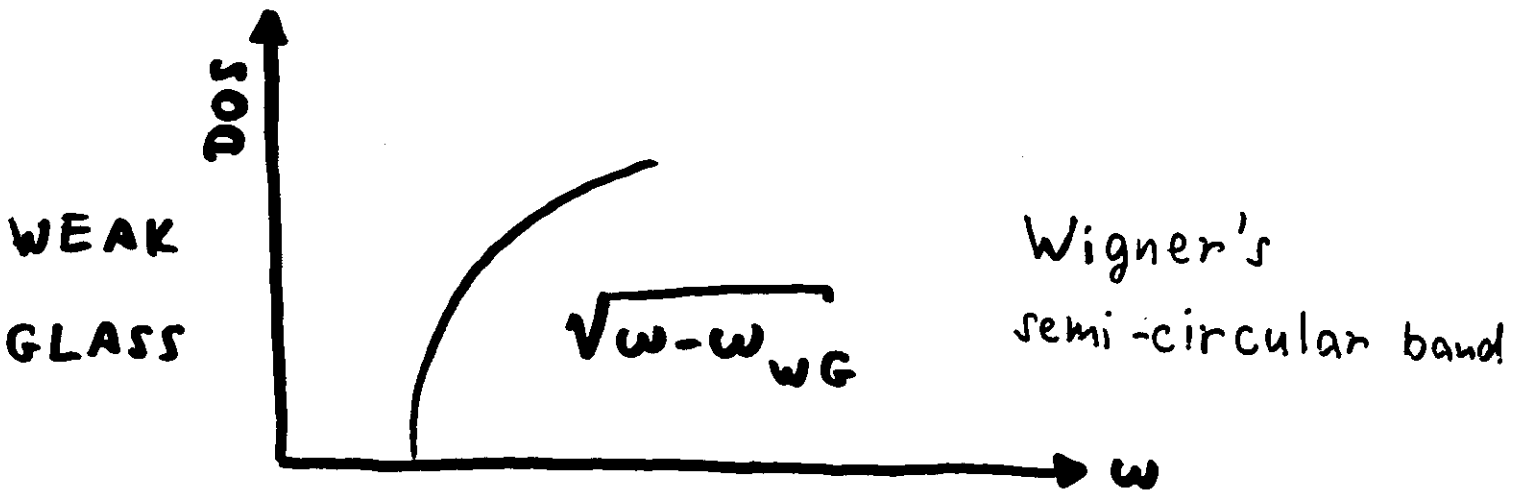
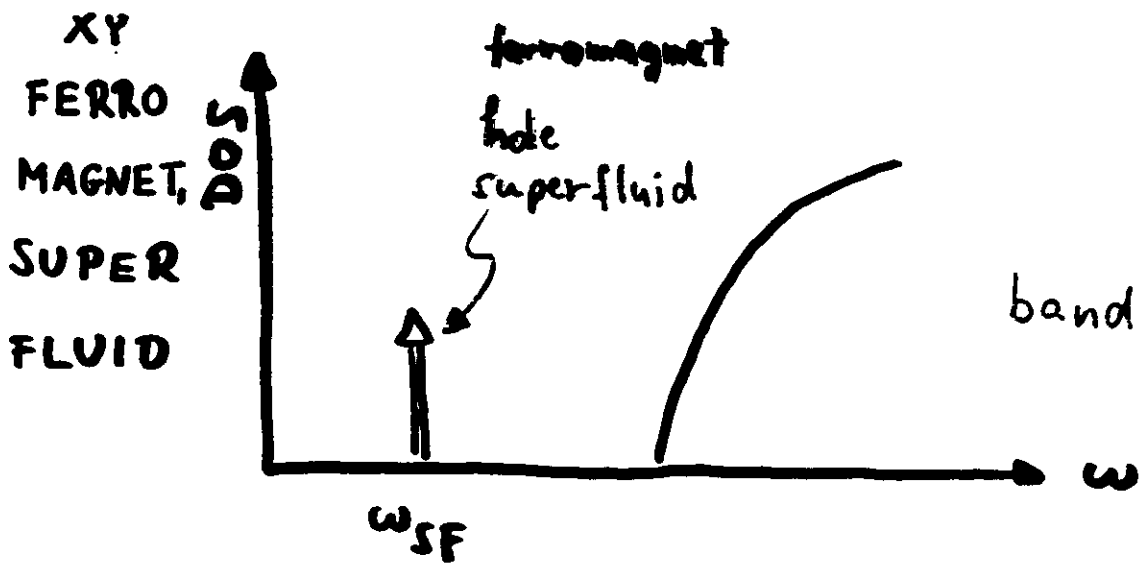
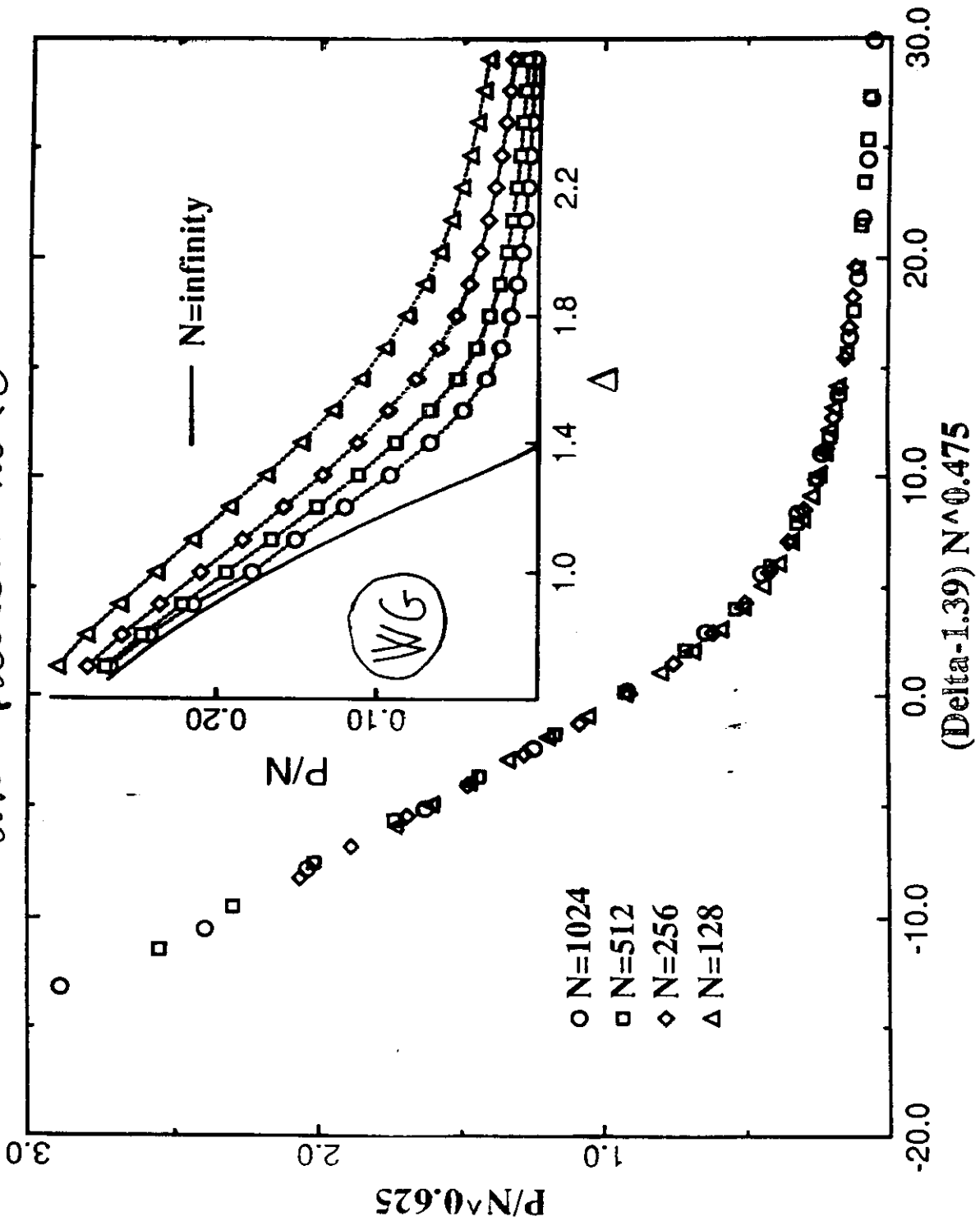


Figure 2.

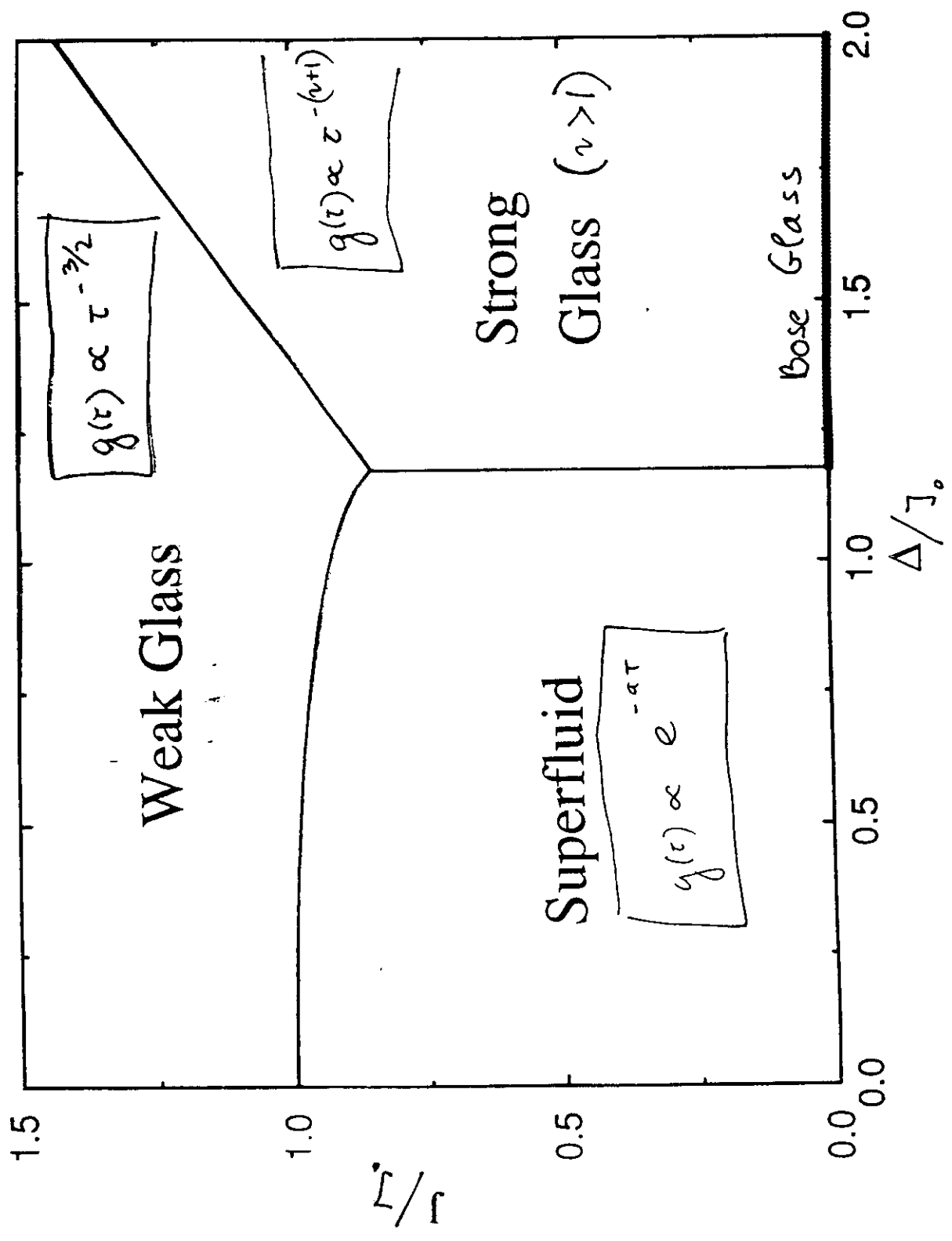
Participation ratio





$$g(\tau) = \langle T_{\tau} \alpha^{\dagger}(\tau) \alpha(0) \rangle \quad g(\tau) \propto \int d\omega e^{-\omega\tau} \xi(\omega)$$

Figure 1



# MANY BODY ANALYSIS

\* replica trick

\* H-S decoupling:  $Q_{\alpha\beta}(\tau, \tau') = \frac{1}{N} \sum_i \langle T_{\tau} a_{\alpha}^{\dagger}(\tau) a_{\beta}(\tau') \rangle$   
frustration

\* ADDITIONAL H-S

decoupling :  $\varphi_{\alpha}(\tau) = \frac{1}{N} \sum_i \langle a_i(\tau) \rangle$

local field, from other spins

\* Saddle point for  $Q$

\* G-L theory for  $\varphi$

\* work in self-consistent Hartree-Fock for  $\varphi$ .

$$Q_{\alpha\beta}(i\omega_n) = \delta_{\alpha\beta} G(i\omega_n) + \delta(i\omega_n) \beta q$$

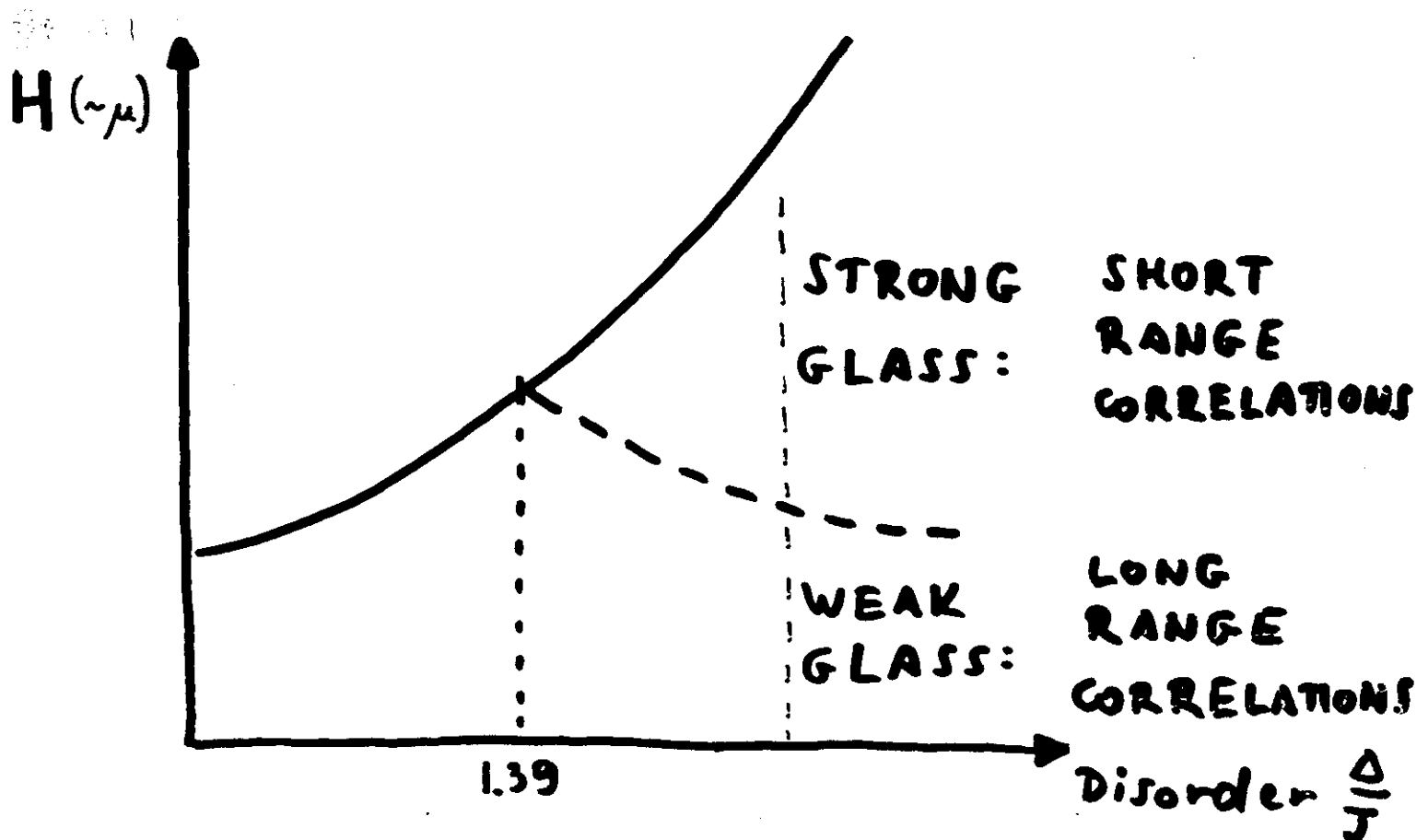
$q$  : Edwards-Anderson order parameter

$\text{Im } G(\omega)$  : Density of states

# RESULTS

1.) At Strong Glass transition the non-linear susceptibility does NOT diverge.  $\Rightarrow$  spin glass experiments

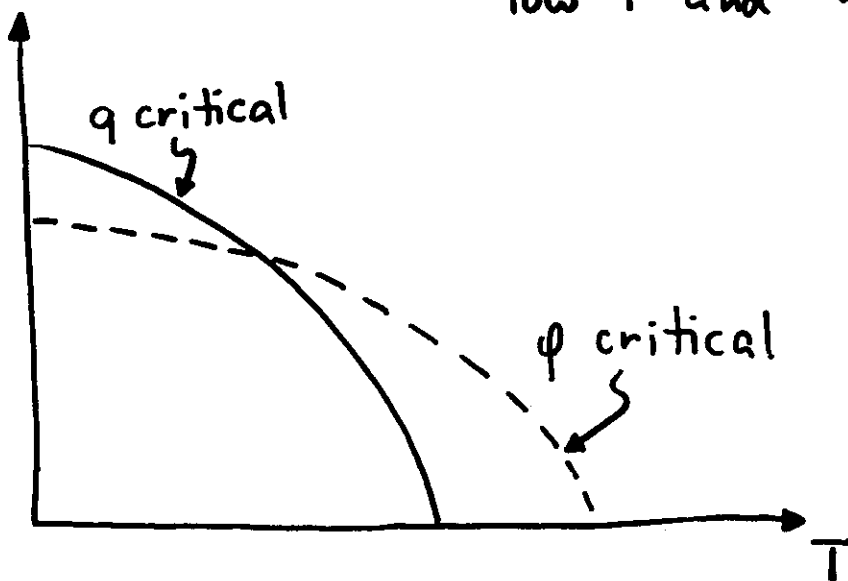
## 2. Phase diagram



3, critical exponents are different

	Weak glass	Strong glass
DOS: $\omega^{\textcircled{2}}$	$1/2$	2
$q : (\mu - \mu_c)^{\textcircled{3}}$	1	4
$g(\tau) : \tau^{-\textcircled{4}}$	2	3

4, finite T : different transitions at low T and high T

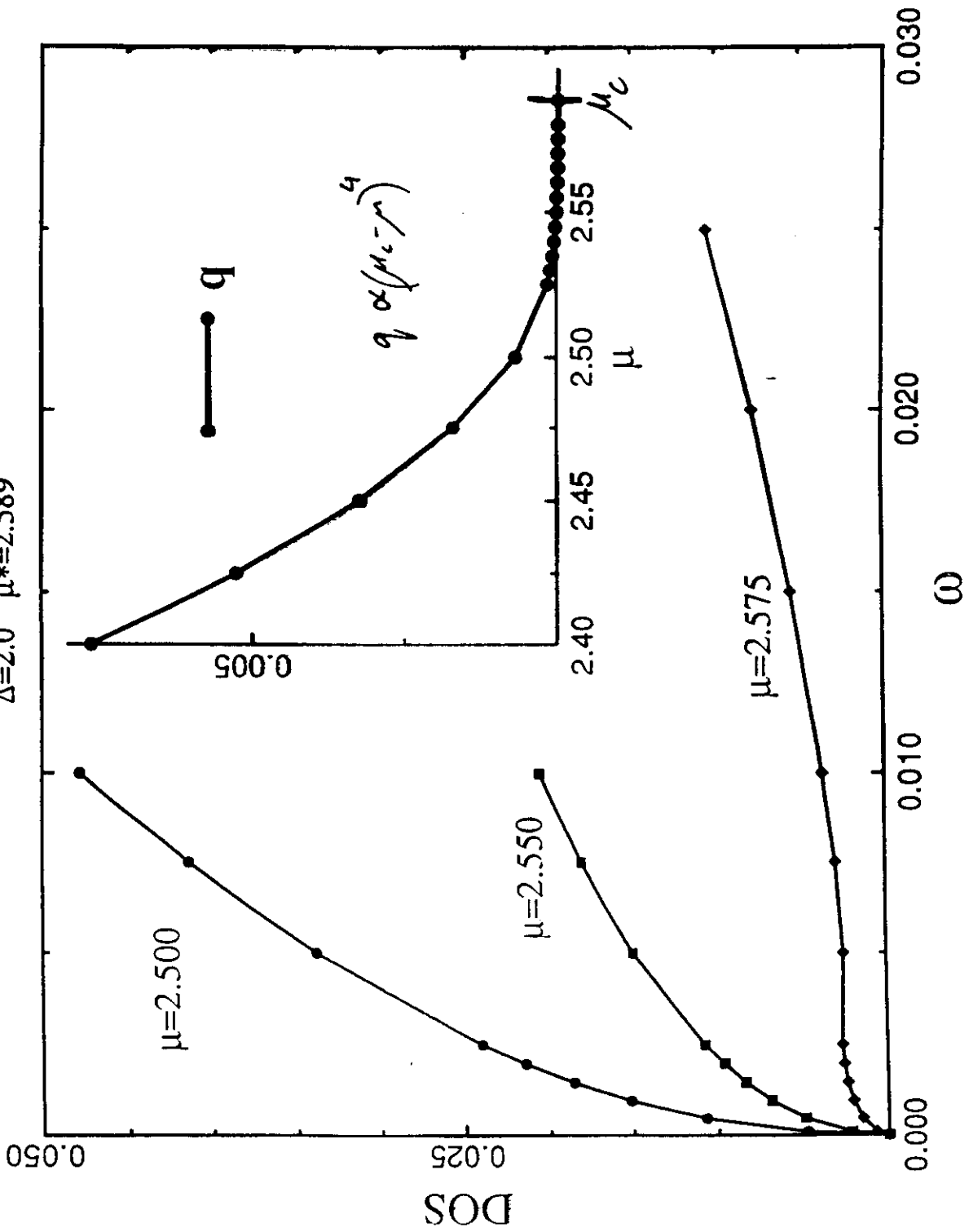


**STRONG**

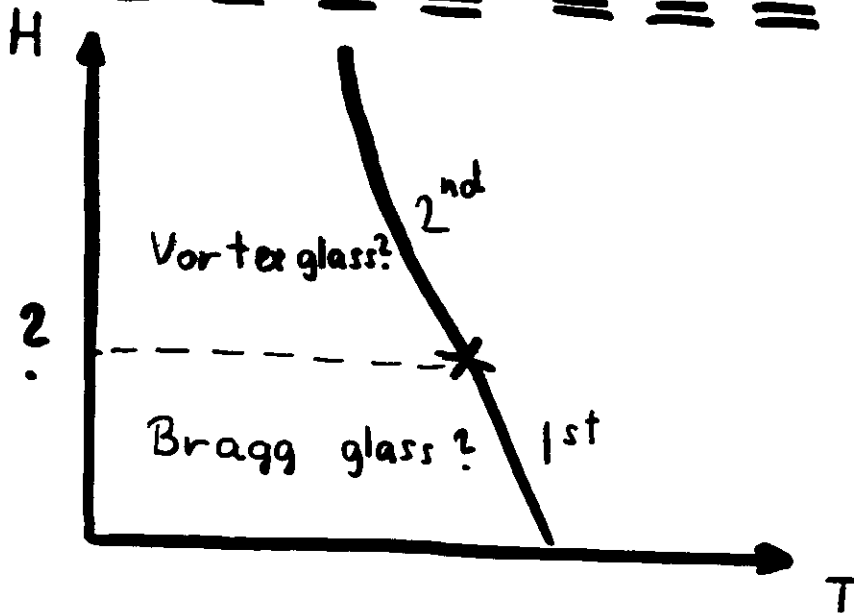
**GLASS**

Figure 3.

$\Delta=2.0$   $\mu^*=2.589$



# I. QUANTUM GAUGE GLASS



classical gauge glass:

$$H = -J \sum_{\langle ij \rangle} \cos(\varphi_i - \varphi_j - A_{ij})$$

quantum gauge glass:

$$H = - \sum_{\langle ij \rangle} (J_{ij} e^{iA_{ij}} a_i^\dagger a_j + \text{h.c.}) - \underbrace{\sum_i \mu_i a_i^\dagger a_i}_{\text{additional physics: site disorder}}$$

\* proper symmetry

\* frustration

additional physics:  
site disorder

## SUMMARY

### 1.) The localization/Bose Glass transition

For the infinite range model, using

- \* one particle approach
- \* numerical methods
- \* renormalization group
- \* non-analytic field theory

determined

- \* PHASE DIAGRAM

- \* CRITICAL BEHAVIOR  $(\psi, \chi_\psi, G(\tau), \alpha)$

### 2.) Quantum spin glasses in transverse random field

- \* same methods

determined

- \* PHASE DIAGRAM : TWO GLASS PHASES

CRITICAL BEHAVIOR:  $\chi_{nl}$  is NON-DIVERGENT

FOR QUANTUM-PARAMAGNET-TO-  
-STRONG GLASS TRANSITION

