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SMR.961 - 11

**WORKSHOP ON:
PROTEINS, MEMBRANES and their INTERACTIONS**

22 JULY - 2 AUGUST 1996

***"Microscopic determination of the elastic
constants of amphiphilic layers"***

PART III

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These are preliminary lecture notes, intended only for distribution to participants.

Molecular Theory of Curvature

Elasticity in Surfactant Films

Theory of chain packing.

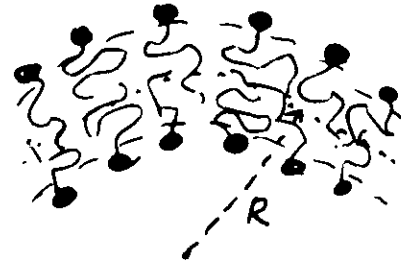
Derivation of elastic constants expressions from theory of chain packing.

Typical results: variation of elastic constants with chain length, average area per molecule and composition (in mixed aggregates). Stability of lamellar bilayers in mixed and pure aggregates.

Helfrich's free energy:

$$\frac{f}{a} = \frac{1}{2} k (c_1 + c_2 - c_0)^2 + \frac{1}{2} c_1 c_2 ; c_1 = \frac{1}{R_1}, c_2 = \frac{1}{R_2}$$

constant area!! 2-) Hamiltonian



Layers are not 2-) so define area at surface of inextension!!

Is it possible to keep a constant?

More general approach:

Expand the free energy per molecule and find a that minimizes the free energy.

Choice of reference state: free energy per molecule

(i) Spontaneous state of the film: expand free energy around a_e and c_e .

$$f(a, c) = f(a_e, c_e) + \frac{1}{2} \lambda_e (a - a_e)^2 + \frac{1}{2} k_e (c - c_e)^2 + w_e (a - a_e)(c - c_e)$$



λ_e, k_e, w_e measure deviations from equilibrium state.

$$a_e \Rightarrow \frac{\partial f}{\partial a} = 0, \quad c_e \Rightarrow \frac{\partial f}{\partial c} = 0$$

From stability $\Rightarrow \lambda_e k_e > w_e^2$

area and curvature can be measured anywhere.

we can eliminate the cross term by imposing

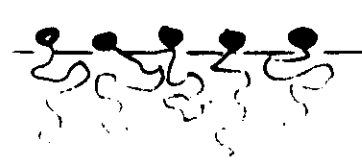
$$\left(\frac{\partial^2 f}{\partial a \partial c} \right)_{a=c_e, c=c_e} = 0$$

and this will define the 'surface of inextension'; then

a and c have to be measured there

(ii) Expansion for a tensionless flat surface.

$$\left(\frac{\partial f}{\partial a} \right)_{c=0} = 0; \quad \left(\frac{\partial f}{\partial c} \right)_{c=0} = -k_f c_f \neq 0$$



$$f(a, c) = f(a_f, 0) - k_f c_f c + \frac{1}{2} \lambda_f (a - a_f)^2 + \frac{1}{2} k_f c^2 + w_f (a - a_f) c$$

Comparing $f(a_e)$ from (i) and (ii) and equating coefficients we get:

$$\lambda_f = \lambda_e \equiv \lambda; \quad k_f = k_e \equiv k; \quad w_f = w_e \equiv w$$

$$a_f = a_e + \frac{w c_e}{\lambda}, \quad c_f = c_e \left(1 - \frac{w^2}{k \lambda} \right)$$

$a_f > a_e$ if $w c_e > 0$; c_f and c_e same sign ($k \lambda > w^2$)

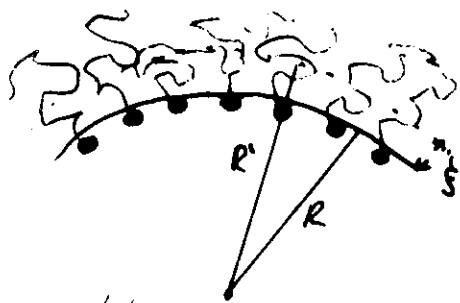
There are other choices for reference state

(i) tensionless at optimal curvature

(ii) flat with $\frac{\partial f}{\partial c} \neq 0, \frac{\partial f}{\partial a} \neq 0$

up to quadratic order there are relations among the parameters.

The surface of inextension or neutral surface:



free energy for a flat surface with area per molecule a_0 ; $\frac{\partial f}{\partial c} = -k_0 c_0$

$$\frac{\partial f}{\partial a} = \gamma_0$$

$$f(a, c) = f(a_0, 0) + \gamma_0(a - a_0) + \frac{1}{2} \lambda_0 (a - a_0)^2 - k_0 a c + \frac{1}{2} k_0 c^2 + \omega_0 (a - a_0) c$$

in f a and c are measured from the interface.

for a cylindrical deformation (around $c=0$)

$$c' = \frac{1}{R'} = \frac{1}{R + \delta} = \frac{1}{R} \left(\frac{1}{1 + \frac{\delta}{R}} \right) = c(1 - c\delta)$$

$$a' = a \left(1 + \frac{\delta}{R} \right) \Rightarrow a = a'(1 - c'\delta); a' = a(1 + c\delta)$$

replacing c and a in f we get:

$$f(a, c) = f(a_0, 0) + \gamma_0(a' - a_0) + \frac{1}{2} \lambda_0 (a' - a_0)^2 - (k_0 c_0 + \delta_0 a_0 \delta) c' + \left(\frac{1}{2} k_0 - k_0 c_0 \delta + \frac{1}{2} \lambda_0 a_0^2 \delta^2 - \omega_0 a_0 \delta \right) c'^2 + (\omega_0 - \gamma_0 \delta - \lambda_0 a_0 \delta) (a' - a_0)$$

if we choose $\delta = \frac{\omega_0}{\gamma_0 + \lambda_0 a_0}$ the cross term vanishes. δ determines the distance of the neutral surface from the interface.

"renormalized constants":

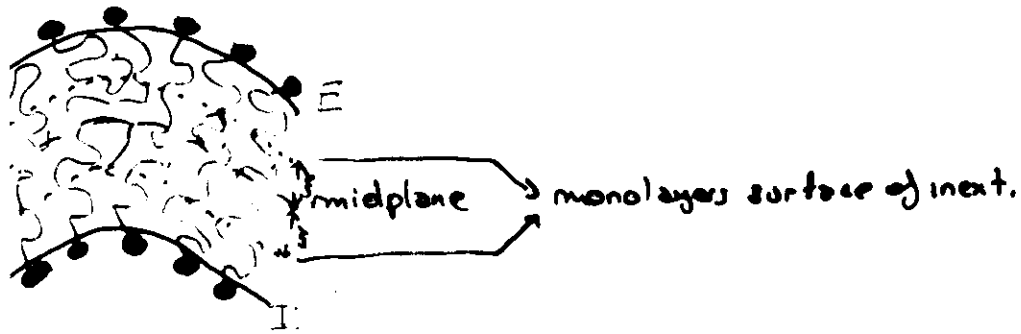
$$f(a, c) = f(a_0, 0) + \gamma_0(a' - a_0) + \frac{1}{2} \lambda (a' - a_0)^2 - \left(k_0 c_0 + \frac{\gamma_0 a_0 \omega_0}{\gamma_0 + \lambda_0 a_0} \right) c'$$

$$+ \left(\frac{1}{2} k_0 + \frac{1}{2} \lambda_0 a_0^2 \frac{\omega_0^2}{(\gamma_0 + \lambda_0 a_0)^2} - \frac{\omega_0 (k_0 c_0 + \omega_0 a_0)}{\gamma_0 + \lambda_0 a_0} \right) c'^2$$

effective bending constant!!

What about bilayers?

Let's consider the bilayer's free energy in terms of the monolayer's free energy:



Monolayer's free energy (c, a from neutral surface)

$$f_{\text{I}}(c_1, c_2, a) = f(a_0, a_0) + \gamma(a_{\text{I}} - a_0) + \frac{\lambda}{2}(a_{\text{I}} - a_0)^2 - 4k h_0 h_{\text{I}} + 2k h_{\text{I}}^2 + \frac{1}{2} \tau g_{\text{I}}$$

$h = \frac{c_1 + c_2}{2}$ "mean curvature" $g = g_0$ "Gaussian curvature"

$$h = h' - \frac{\gamma}{2} h'^2 + \gamma g' \quad \text{'measured at midplane'}$$

$$a = a' [1 + \gamma h' + \gamma^2 g']$$

$$f(h', g', a') = f(a_0, a_0) + \gamma(a' - a_0) + \frac{\lambda}{2}(a' - a_0)^2 + 2\omega(a' - a_0)h' - 4k h_0 h' + 2k h'^2 + \frac{1}{2} \tau g'$$

$$\tau' = \tau - 4k h_0 \gamma + \tau a_0 \gamma^2$$

Bilayer's free energy: (symmetric)

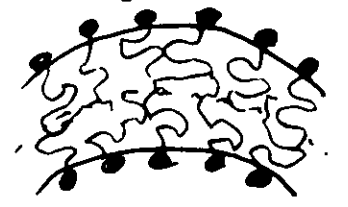
$$f_{\text{total}} = \frac{a_{\text{E}}}{a_{\text{E}} + a_{\text{I}}} f_{\text{E}} + \frac{a_{\text{I}}}{a_{\text{E}} + a_{\text{I}}} f_{\text{I}}$$

$x_{\text{E}} \qquad x_{\text{I}} = 1 - x_{\text{E}}$

Minimize free energy wrt area subject to constraint $\mu_{\text{E}} = \mu_{\text{I}}$ at all curvatures

$$f_{\text{min}} = f_0 - \frac{\gamma^2}{2\lambda} + \frac{1}{2} K_{\text{eff}} h^2 + K_{\text{eff}} g$$

(exactly as Helfrich's expression!!)



$$K_{\text{eff}} = 2(k + k h_0 \gamma + \lambda a_0^2 \gamma^2) -$$

$$- \frac{2\lambda [(\gamma + \lambda a_0) a_0 \gamma + 2(h_0 - \frac{\gamma a_0 \gamma}{2k}) (k + k h_0 \gamma + \lambda a_0^2 \gamma^2)]^2}{(\lambda a_0 - \gamma)^2}$$

reduces the effective bending from $2k h_0$ (flip-flop!!)

$$K_{\text{eff}} = \bar{\kappa} - 4k h_0 \gamma + \gamma a_0^2 \gamma^2$$

Optimal area per molecule of the "monolayers"

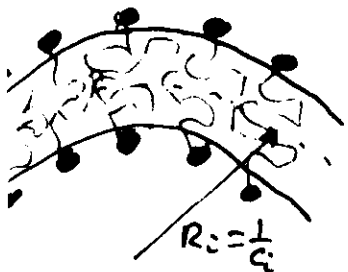
$$a_{\text{E}} = a_0 - \frac{\gamma}{\lambda} + \frac{2h [(\gamma + \lambda a_0) a_0 \gamma + 2(h_0 - \frac{\gamma a_0 \gamma}{2k}) (k + k h_0 \gamma + \lambda a_0^2 \gamma^2)]}{\lambda a_0 - \gamma}$$

Looking at bilayers:

Reference state: planar film ($H=G=0$);

tensionless ($\frac{\partial f}{\partial a}=0$) and symmetric ($x_E = x_I = \frac{1}{2}$)

New variable $\chi = x_{\bullet} - \frac{1}{2} = \frac{1}{2} - x_I$ (flip-flop)



$$\frac{\delta f}{A_0} = \frac{1}{2\sigma} \Lambda (a - a_0)^2 + 2KH^2 + \bar{K}G + \frac{1}{2} \sigma \chi^2 + 2\alpha \chi H$$

$$H = \frac{c_1 + c_2}{2} = \frac{c_+}{2} \quad G = c_1 c_2 = \frac{c_+^2 - c_-^2}{4} \quad c_- = c_1 - c_2$$

symmetric bilayer: midplane = surface of zero tension

and no terms linear in c_- [$f(c_-) = f(-c_-)$]; c_+

due to symmetry [$f(c_+) = f(-c_+)$]

Modes of deformation:

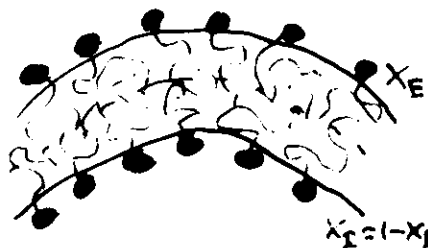
$\chi = 0 \Rightarrow$ blocked exchange

$\frac{\partial \delta f}{\partial \chi} = 0 \Rightarrow$ free exchange ($\mu_E = \mu_I$ for all c)

To keep the expansion to second order in c :

$$\chi = \frac{\partial \chi}{\partial c_+} c_+ = \frac{\partial x_E}{\partial c_+} c_+ = \eta c_+$$

$\eta =$ "relaxation variable"



$$\frac{\delta f}{A_0} = \frac{1}{2} \Lambda (a - a_0)^2 + \frac{1}{2} K(\eta) c_+^2 + \frac{1}{4} \bar{K} c_-^2$$

$K(\eta) = K_b + K_c \eta + K_s \eta^2$
 "block exchange" \swarrow \searrow "stretching"
 "composition-curvature coupling"

$$\Lambda = \frac{1}{a_0} \left(\frac{\partial^2 f}{\partial a^2} \right)_{c_+ = c_- = 0, \eta = 0}$$

$$\bar{K} = -\frac{2}{a_0} \left(\frac{\partial^2 f}{\partial c_-^2} \right)_{c_+ = c_- = 0, \eta = 0, a = a_0}$$

$$K_b = \frac{1}{a_0} \left[\left(\frac{\partial^2 f}{\partial c_+^2} \right)_{c_+ = c_- = 0, \eta = 0, a = a_0} + \left(\frac{\partial^2 f}{\partial c_-^2} \right)_{c_+ = c_- = 0, \eta = 0, a = a_0} \right]$$

$$K_c = \frac{2}{a_0} \left(\frac{\partial^2 f}{\partial x_E \partial c_+} \right)_{c_+ = c_- = 0, \eta = 0, a = a_0} ; K_s = \frac{1}{a_0} \left(\frac{\partial^2 f}{\partial x_E^2} \right)_{c_+ = c_- = 0, \eta = 0, a = a_0}$$

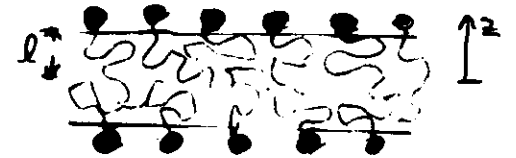
Microscopic evaluation of the elastic constants

Bilayer's free energy: (packing)

$$3f = -x_E \ln q_E - (1-x_E) \ln q_I - \int \pi(z) a(z) dz$$

$$q_{\pm} = \sum_{\alpha_{\pm}} e^{-\beta E_{int}(\alpha_{\pm}) - \int \pi(z) \eta_{\pm}(z; \alpha_{\pm}) dz}$$

$$\Lambda = \frac{1}{a_0} \int \left(\frac{\partial \Pi(z)}{\partial a} \right)_{c_{\pm}, c = 0; \eta = 0} dz$$



$$K = - \int \pi(z) z^2 dz$$

$$K_b = - \int \left(\frac{\partial \Pi(z)}{\partial c_{\pm}} \right) z dz$$

$$\frac{f}{a_0} = \frac{1}{2} \Lambda (a-a_0)^2 + \frac{1}{2} K(\eta) c^2 + \frac{1}{2} K_c (c-c_0)^2$$

$$K(\eta) = K_b + \eta K_c + \eta^2 K_s$$

$$K_c = - l \int \left(\frac{\partial \Pi(z)}{\partial x_E} \right) z dz$$

$$K_s = \frac{l^2}{4a_0} \int \left(\frac{\partial \Pi(z)}{\partial x_E} \right) [\langle n_E(z) \rangle - \langle n_z(z) \rangle] dz$$

Methods of calculation:

1) Direct evaluation.

(i) cylindrical deformation, i.e. $c_1 = c, c_2 = 0$

$$\frac{f}{a} = \frac{1}{2} k (c-c_0)^2$$

(ii) saddle deformation, i.e. $c_1 = c, c_2 = c$

$$\frac{f}{a} = -\pi c^2 + \frac{1}{2} k c^2$$

(Relaxation of the molecules?)

Everything evaluated at the planar film!

How do we find the derivatives of Π ?

differentiate the packing constraint:

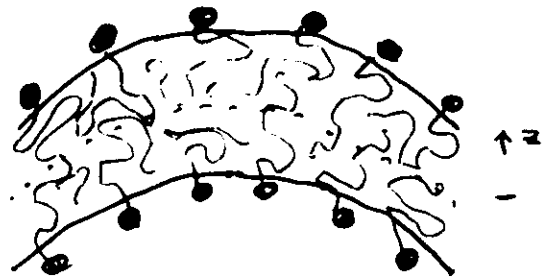
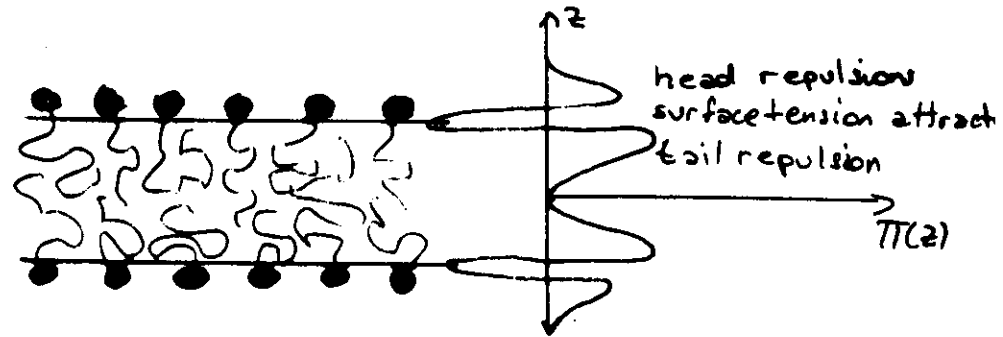
$$\frac{\partial}{\partial c_+} \left[\sum_x \frac{e^{-\beta \epsilon_{int}(x) - \int \Pi(z) n(z, x) dz}}{q} \right] n(z, x) a_s = a(z)$$

$$a(z) = a(0) \left[1 + z c_+ + \frac{z^2}{2} (c_+^2 - c_-^2) \right]$$

$$\int \left(\frac{\partial \Pi(z)}{\partial c_+} \right)_{c_+ = c_- = 0} \left[\langle n(z') \rangle \langle n(z) \rangle - \langle n(z') n(z) \rangle \right] dz'$$

$$= a(0) z$$

$\langle n(z') n(z) \rangle - \langle n(z') \rangle \langle n(z) \rangle$ intramolecular
"density-density" correlation function.



$$\frac{\partial \Pi(z)}{\partial c_+} < 0 \text{ for } z > 0$$

$$\left(\frac{\partial \Pi(z)}{\partial c_+} \right) > 0 \text{ for } z < 0$$

$$K_b = - \int \frac{\partial \Pi(z)}{\partial c_+} z dz > 0 \text{ tails and head repulsions}$$

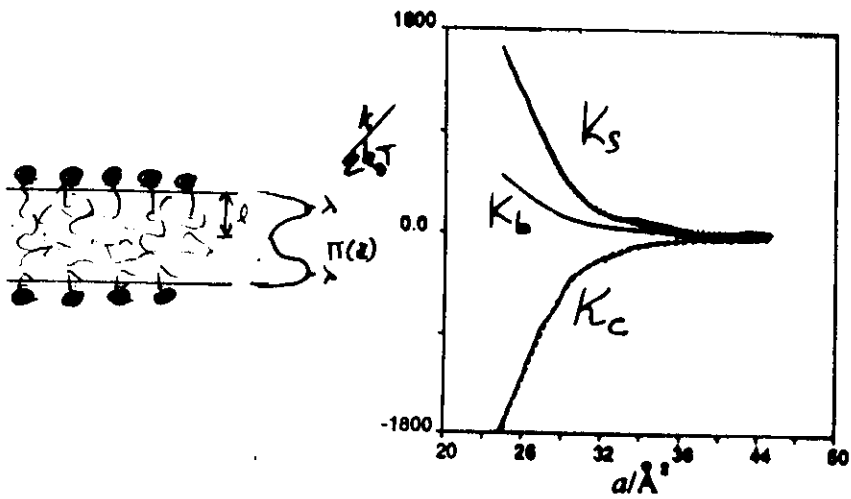
$$\left(\frac{\partial \Pi(z)}{\partial c_+} \right)_{c_+ = c_- = 0} > 0 \text{ for } z > 0 ; < 0 \text{ for } z < 0$$

$$K_c = - l \int \left(\frac{\partial \Pi(z)}{\partial c_+} \right)_{c_+ = c_- = 0} z dz < 0 \text{ (relaxation)}$$

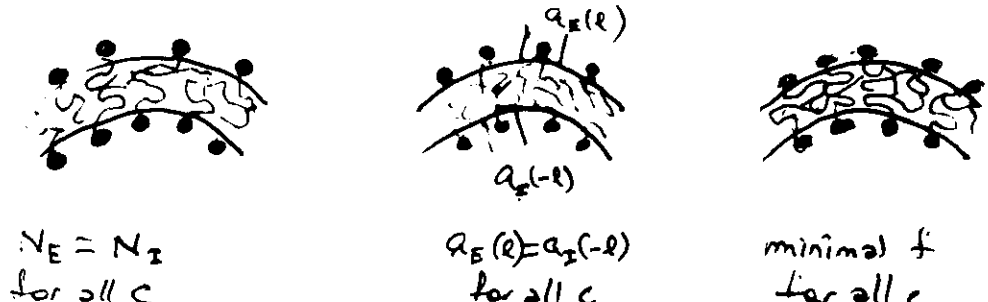
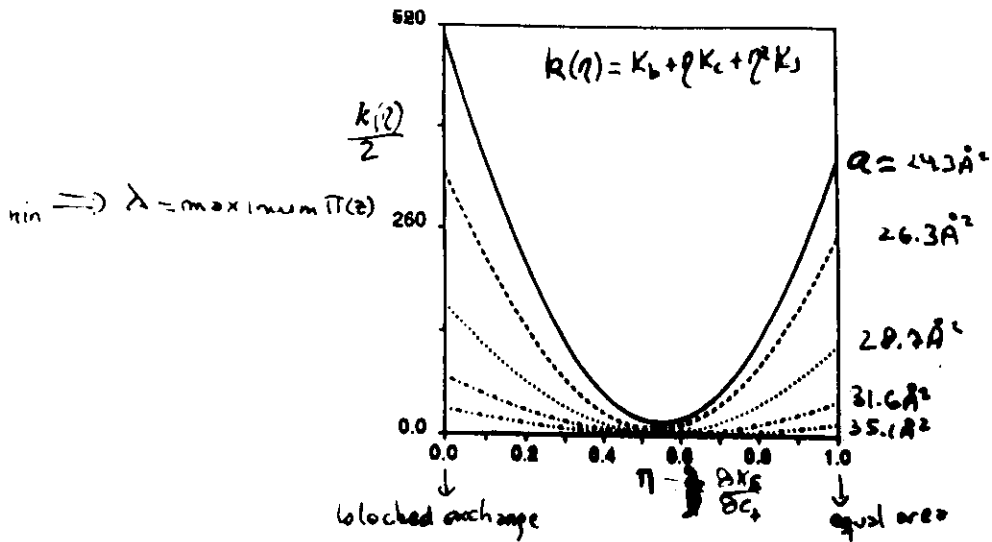
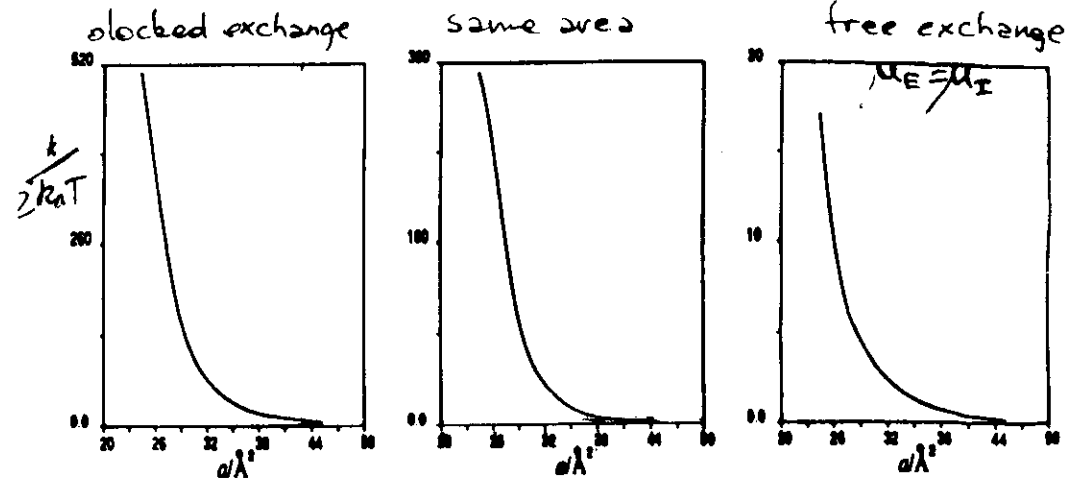
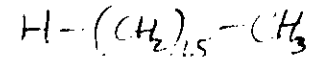
$$\Pi(z) \text{ tails + heads} \Rightarrow \bar{K} = - \int \Pi(z) z^2 dz < 0$$

surface tension $\bar{K} > 0$

Tail's contribution: Bilayer $H-(CH_2)_{15}-CH_3$



Bilayer bending constant for different mode of deformation (tail's contribution).

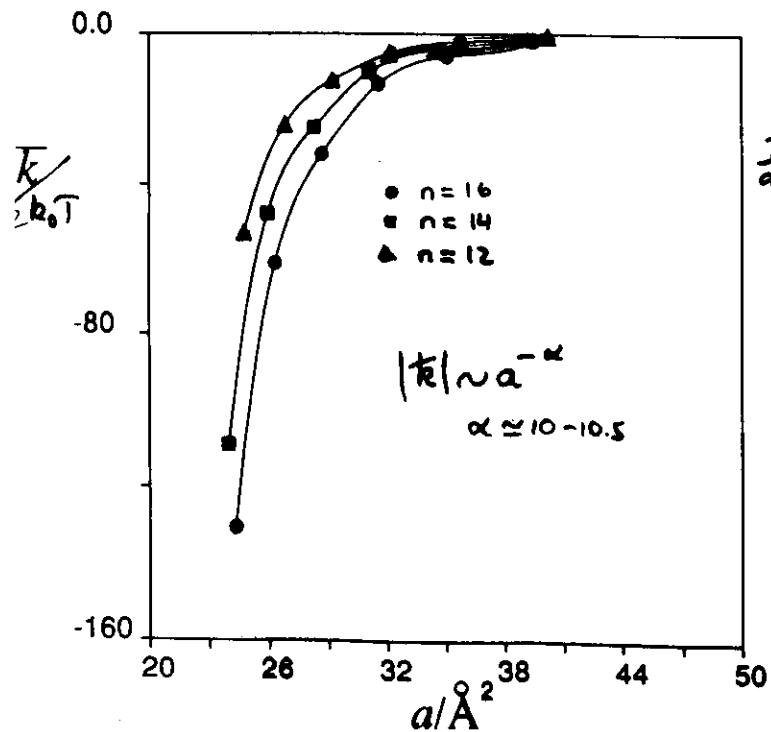


$k \propto n^2 a^{-7.5}$

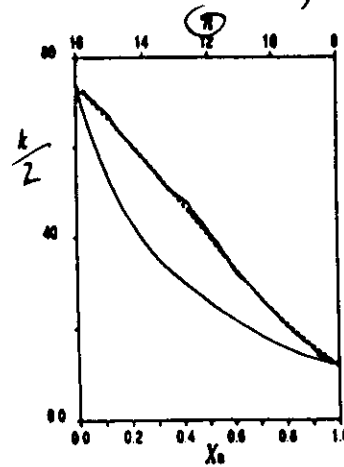
Bending constants in mixed bilayers

$$\alpha = 31.6 \text{ \AA}^2$$

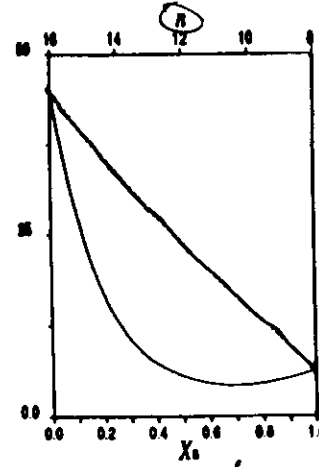
Bilayer $k = - \int \pi(z) z^2 dz$



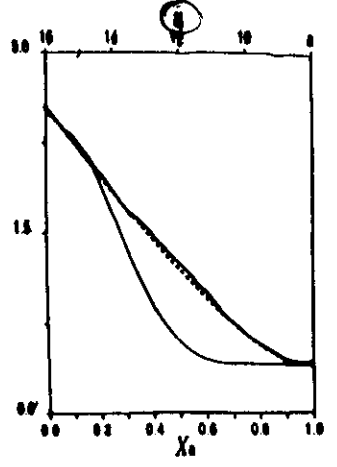
Blocked exchange



same area



free exchange

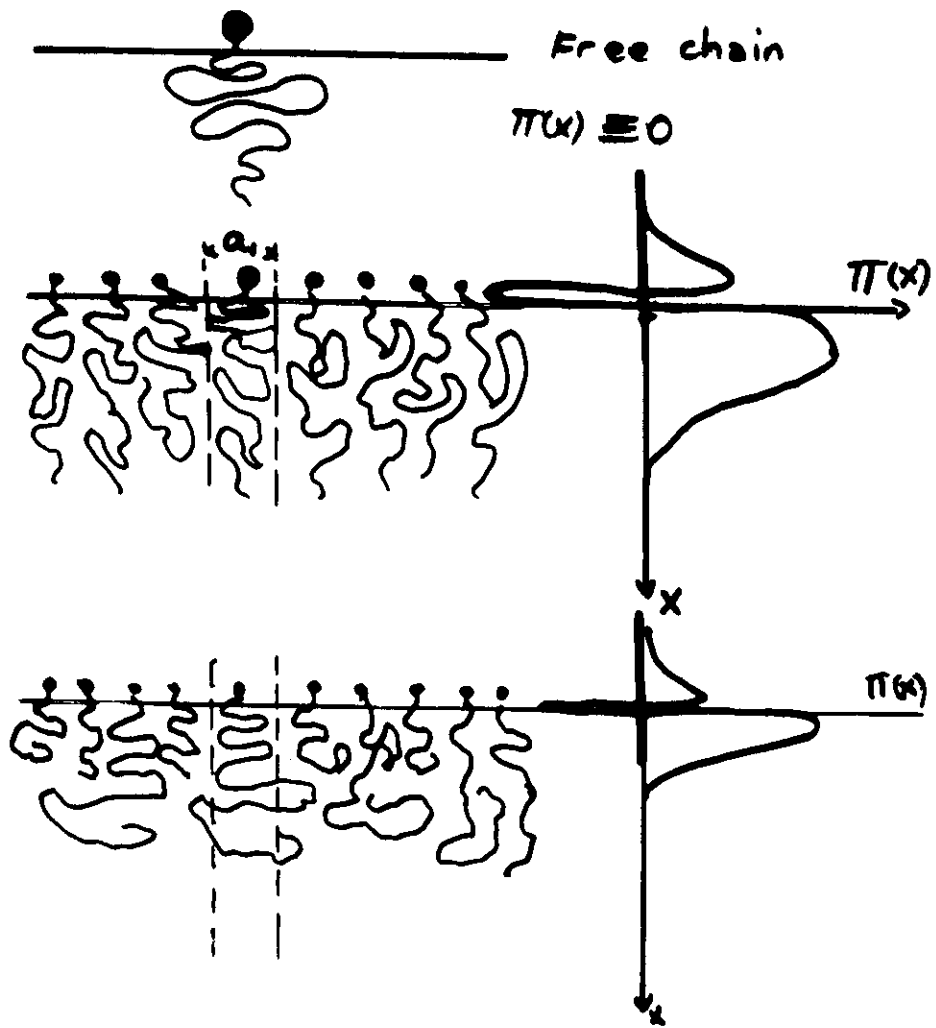


c_{16}/c_8

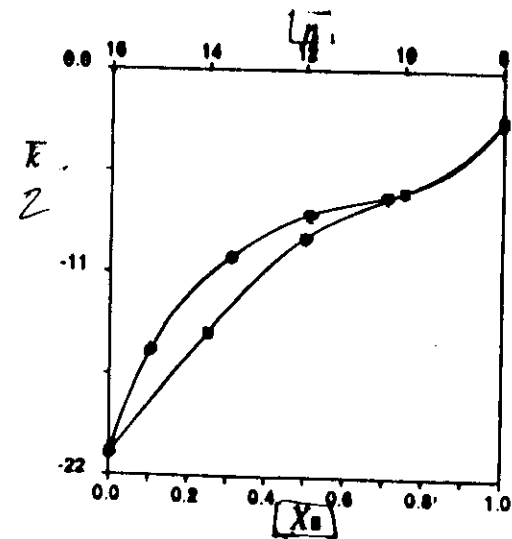
$$\frac{f}{a} = \frac{1}{2} k(c_1, c_2) + k c_1 c_2$$

$$c_1 = -c_2 \equiv c$$

$$\frac{f}{a} = -k c^2$$



Saddle-Splay constant $a = 31.6 \text{ \AA}^2$

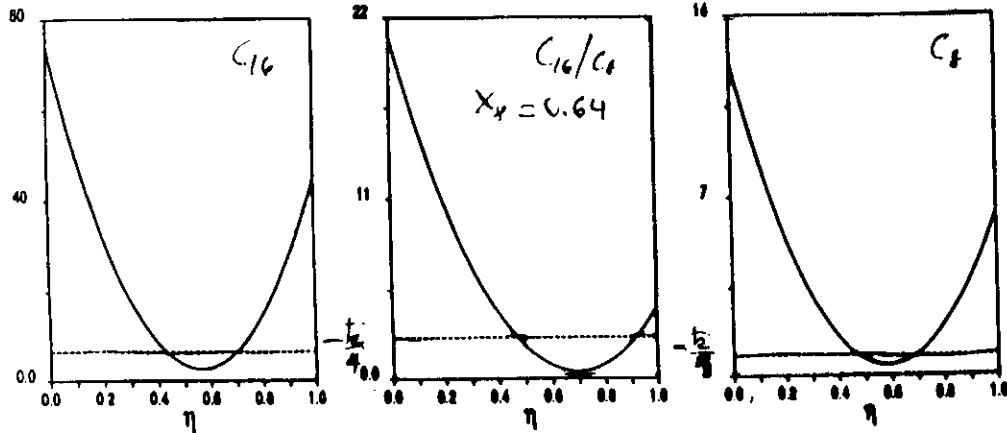


- 1) Magnitude of $\pi(x)$
- 2) Place of maximal pressure

Planar bilayer stability (+tails)

$$\frac{f}{a} = \frac{1}{2} k c_+^2 + \frac{\sqrt{a}}{4} (c_+^2 - c_-^2) \quad a = 31.6 \text{ \AA}^2$$

$$k > -\frac{1}{2} > 0$$



What do the head-groups and surface tension contribute?

(iii) Electrostatic Contribution:

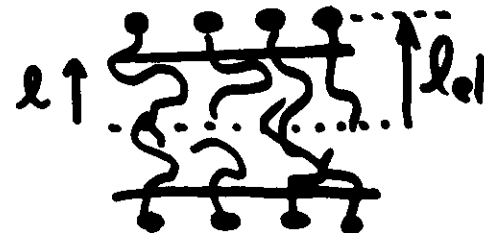
Solve Poisson-Boltzmann equation:

(Leiberman, Mitchell and Ninham)

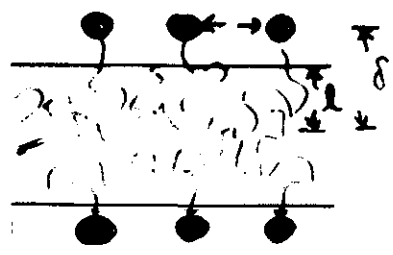
$$f_{E,Z}^{el} = \left(\frac{kT}{e} \right)^2 \epsilon_r \epsilon_0 \kappa \left[\left(q_{E,Z} \ln(q_{E,Z} + q_{E,Z} - 2q_{E,Z} + 1) \right) + \frac{q}{\kappa} \ln \left(\frac{q_{E,Z} + 1}{2} \right) c + \frac{4}{\kappa^2} \left\{ \frac{(q_{E,Z} - 1)(q_{E,Z} + 2)}{2q_{E,Z}(q_{E,Z} + 1)} - \int_{z=1}^{z=1+2q_{E,Z}} \frac{\ln z}{z-1} dz \right\} c^2 \right]$$

$$P_{E,Z} = \frac{2\pi Q}{\kappa a_{E,Z}^{(2)}} ; \quad q_{E,Z} = \sqrt{P_{E,Z}^2 + 1}$$

$$a_{E,Z}(c) = \frac{a(0)}{2\kappa_{E,Z}} [1 \pm 2\ell_{01}c + \rho_{01}^2 c^2]$$



opposing forces (Tanford, Israelachvili, Ninham, Mitchell)



$\gamma a(l)$ surface tension
 $\frac{c}{a(l)}$ repulsion

planar film $\rightarrow f = \gamma a + \frac{c}{a} \Rightarrow a_0 = \sqrt{\frac{c}{\gamma}}$

$$f_{bil} = x_E f_E + (1-x_E) f_I$$

$$f_E = \gamma a_E(l) + \frac{\gamma a_0^2}{a_E(l)} ; f_I = \gamma a_I(l) + \frac{\gamma a_0^2}{a_I(l)}$$

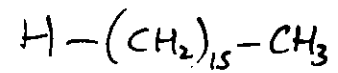
$$f_E = \frac{a(0)}{2x_E} \left(1 + l c_+ + \frac{l^2}{4} (c_+^2 - c_-^2) \right); a_I(l) = \frac{a(0)}{2(1-x_E)} \left(1 - l c_+ + \frac{l^2}{4} (c_+^2 - c_-^2) \right)$$

$$b_1 = \gamma \delta^2 \left(\frac{a_1}{a(0)} \right)^2 > 0$$

$$b_2 = -2\gamma \left(\frac{a_1}{a(0)} \right)^2 \delta l < 0$$

$$b_3 = \gamma l^2 \left(\frac{a_1}{a(0)} \right)^4 > 0$$

$$b_4 = \frac{\gamma}{2} l^2 \left(1 - \left(\frac{a_1}{a(0)} \frac{\delta}{l} \right)^2 \right) > 0$$

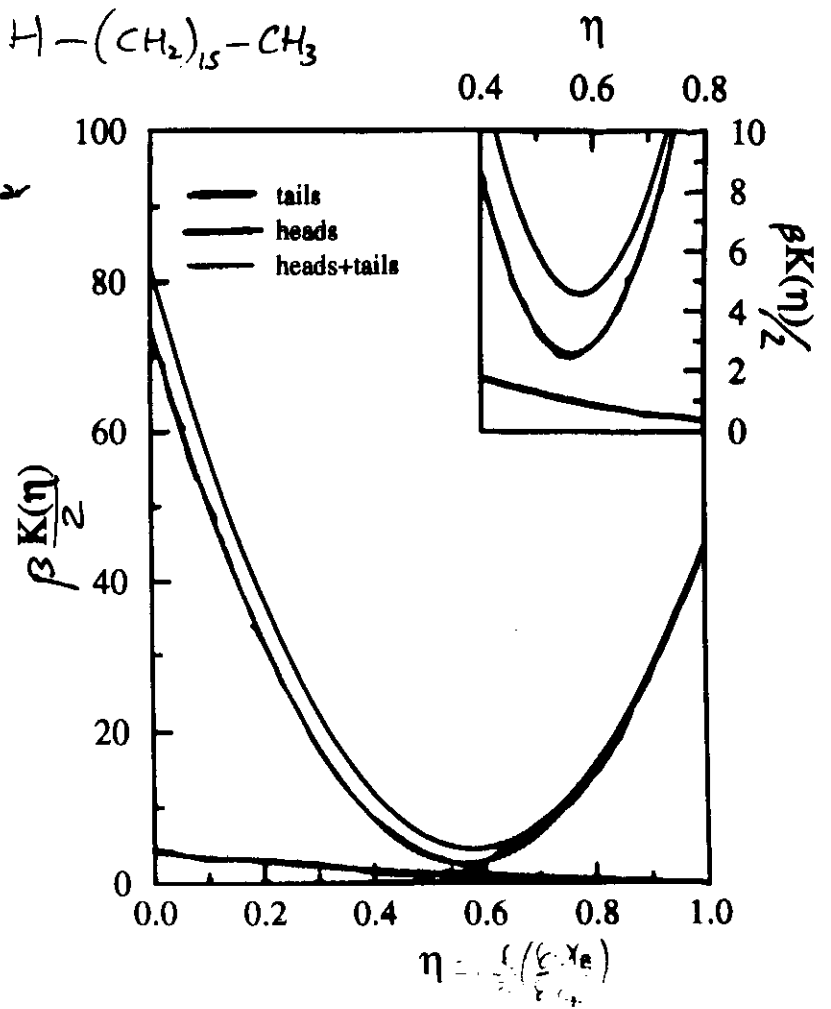


minimal free energy for planar film w.r.t a

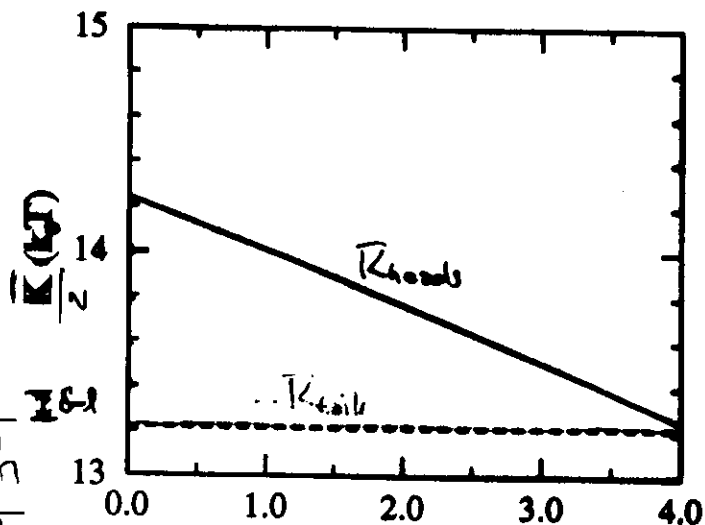
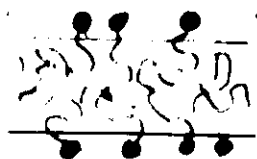
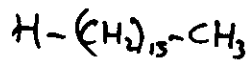
$$c = 0.15 \frac{k_B T}{\delta l}$$

$$a_0 = 16 \text{ \AA}^2$$

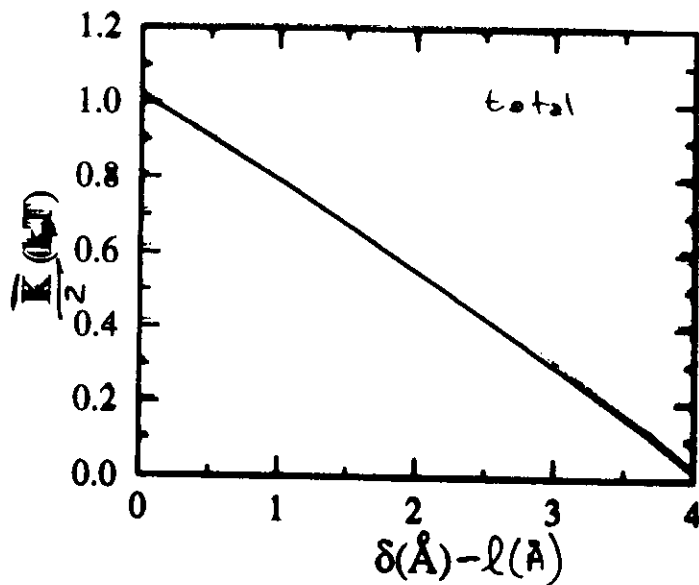
$$a(0) = 31.6 \text{ \AA}^2 = l + 2A$$



only for free exchange head contributions are important in determining $K(\eta)$

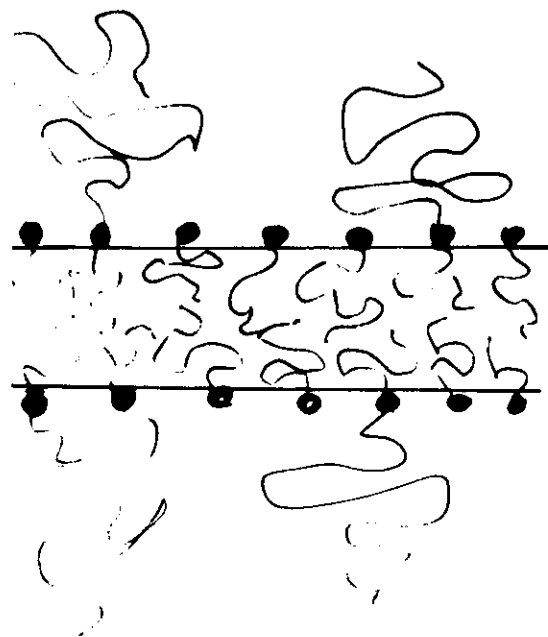


$l = 16.8 \text{ \AA}$
 $l = 12 \text{ \AA}$
 $l = 31.6 \text{ \AA}$



$K > 0$ spontaneous(?) saddle deformation (cubic phase)

Polymer decorated bilayers:



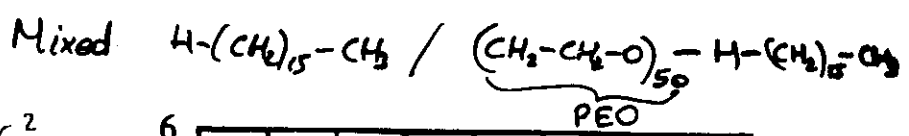
Two independent
 composition variables
 $\rho_{tailhead}$ ρ_{poly}

$$K(\rho_{tailhead}, \rho_{poly}) = K_{t, tailhead} + \rho_{tailhead} K_{s, tailhead} + \rho_{tailhead}^2 K_{s, t, h} + K_{b, poly} + \rho_{poly} K_{c, poly} + \rho_{poly}^2 K_{s, poly}$$

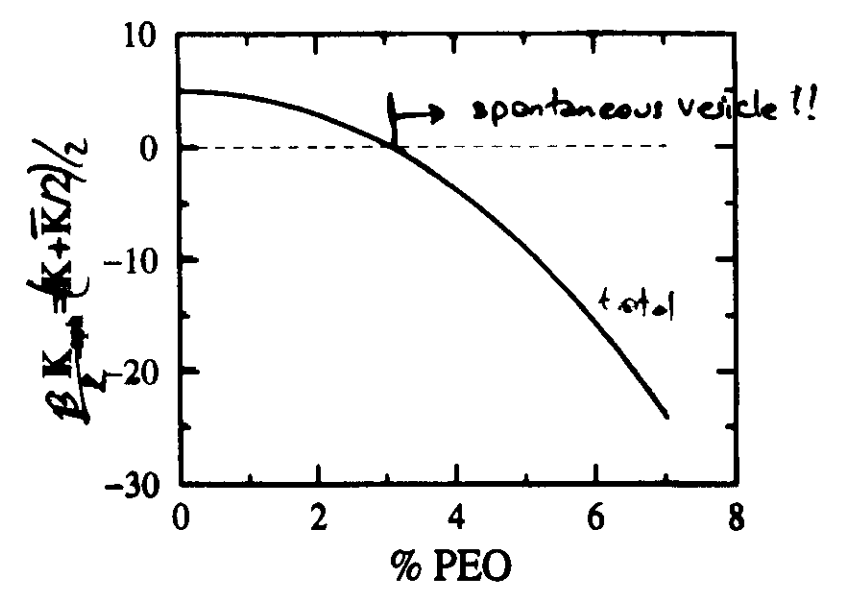
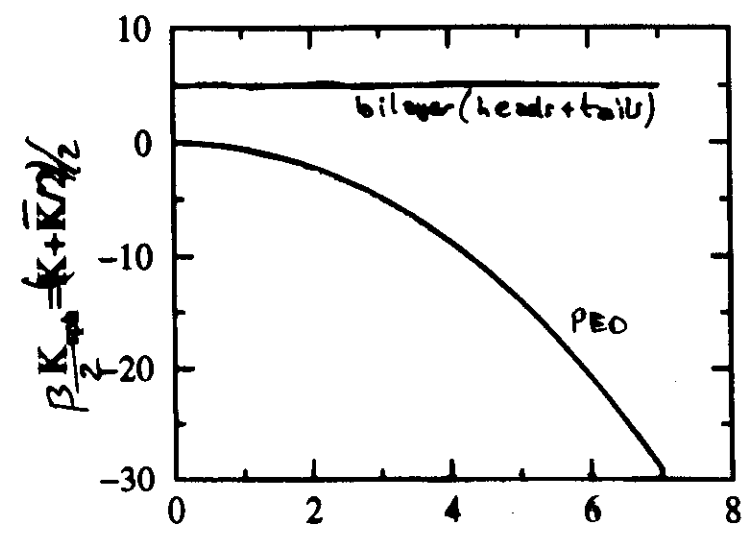
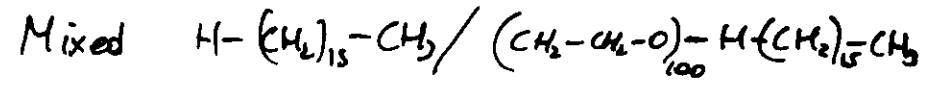
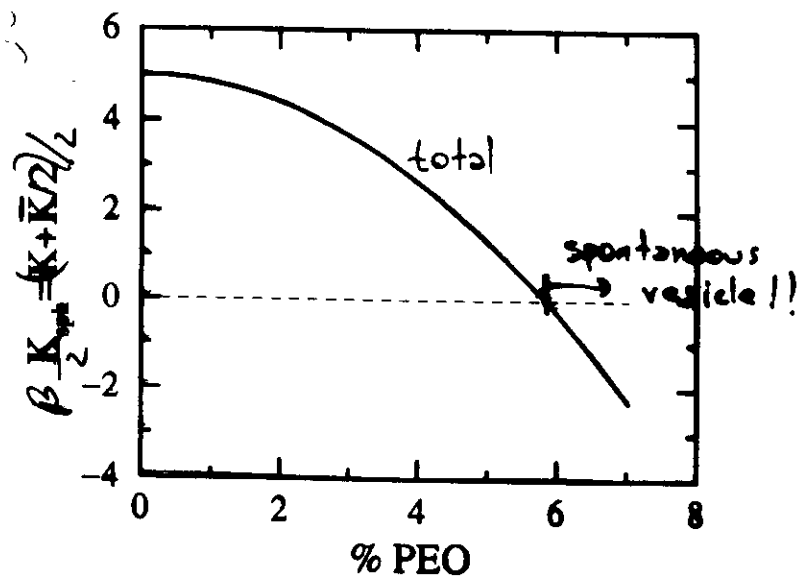
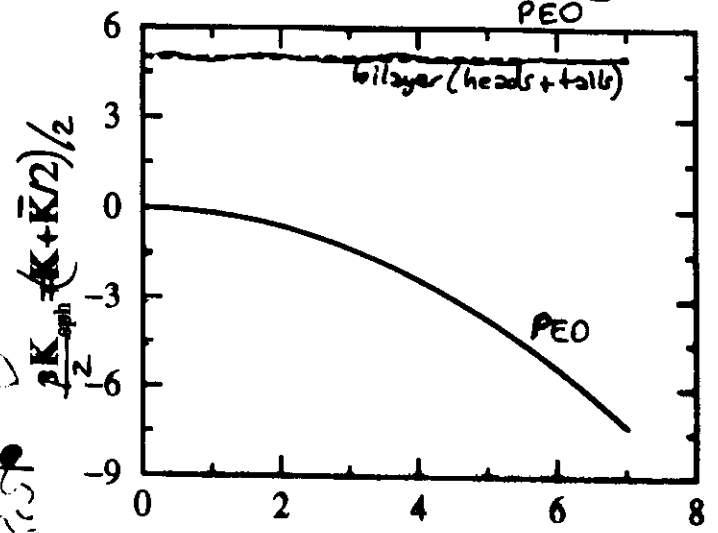
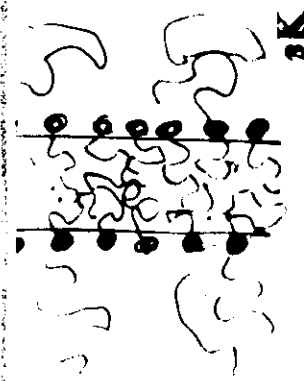
$$K_{total} = K_{t, h} + K_{poly}$$

Possible spontaneous vesicle formation:

$$K_{sph} = K(\rho_{tailhead}, \rho_{poly}) + \frac{K_{total}}{2} < 0$$

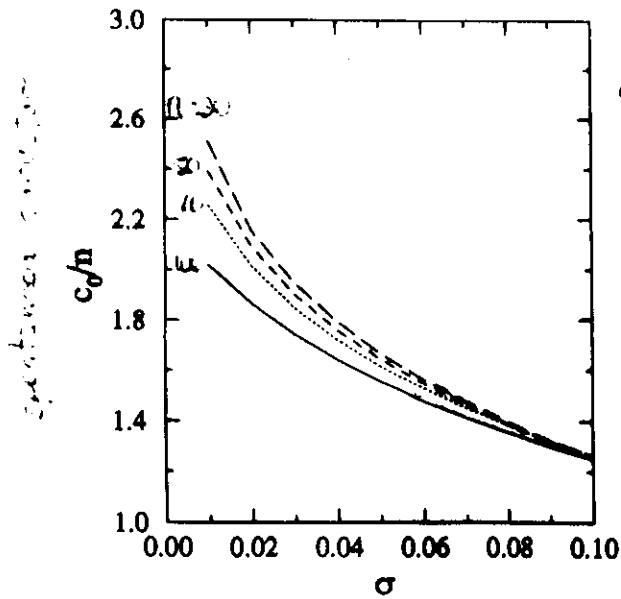
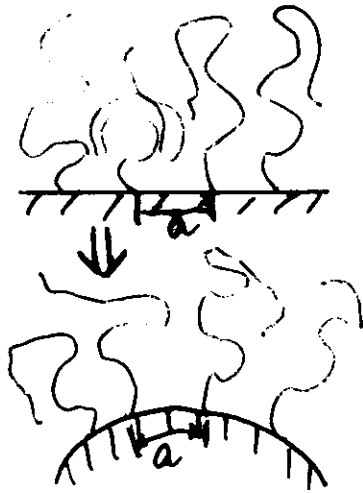
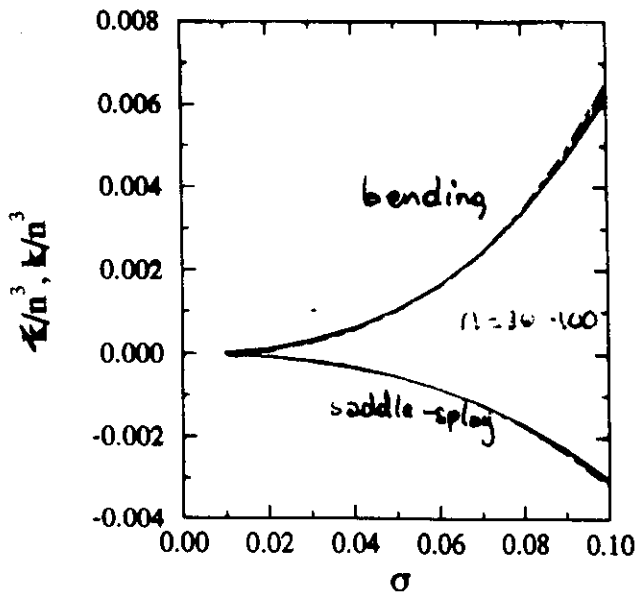


$\beta = \frac{1}{2} K_{sph} c^2$
if K_{sph} is
notable planar



Larger n lower % needed

Elastic Constants (for fixed area at surface)



$$\frac{\delta f}{\delta a} = -k_0 c_0 + \frac{1}{2} (k_0 + \frac{1}{2} c_0^2) c_0^2$$

$$\downarrow$$

$$-\frac{1}{4} c_0^2$$

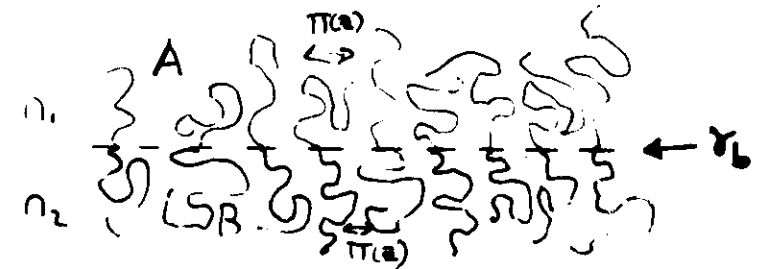
dominant term for fixed area.

Diblock Copolymers at Liquid-Liquid Interface

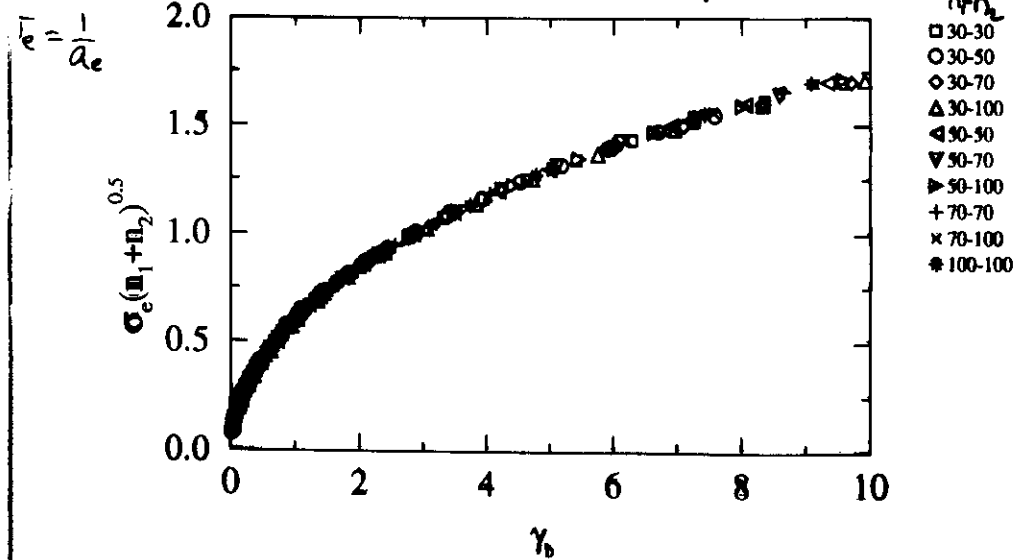
γ_b is the bare liquid-liquid surface tension.

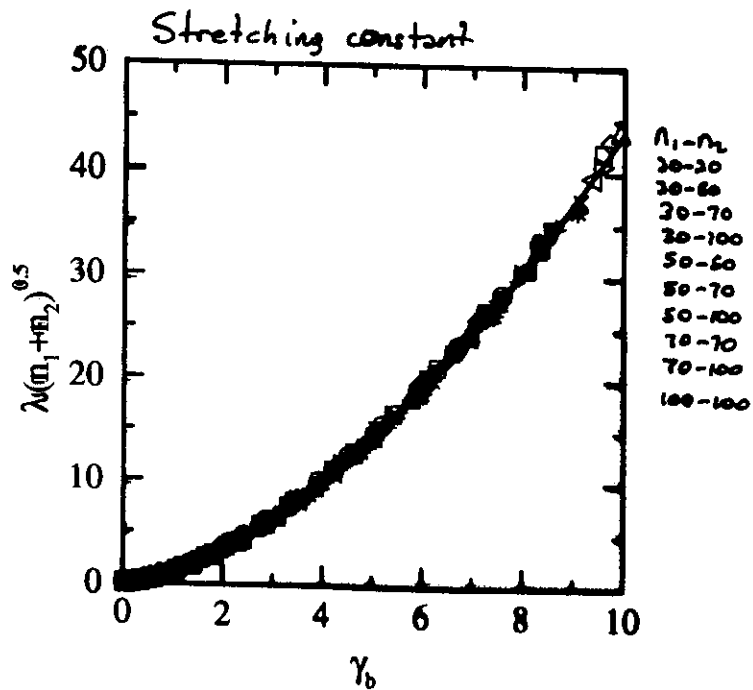
We assume that all the diblock is at the interface and minimize the surface free energy.

\Rightarrow equilibrium surface coverage as a function of γ_b (corresponds to zero surface tension)



Universal surface coverage

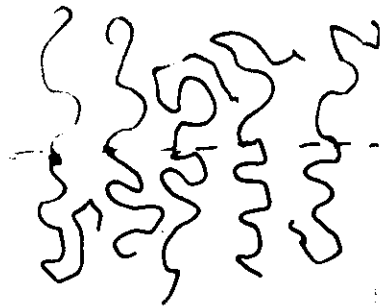




$$\lambda = - \int \left(\frac{\partial \Pi(z)}{\partial z} \right) dz$$

$$\lambda (n_1+n_2)^{1/2} \propto \gamma_b^{1.6}$$

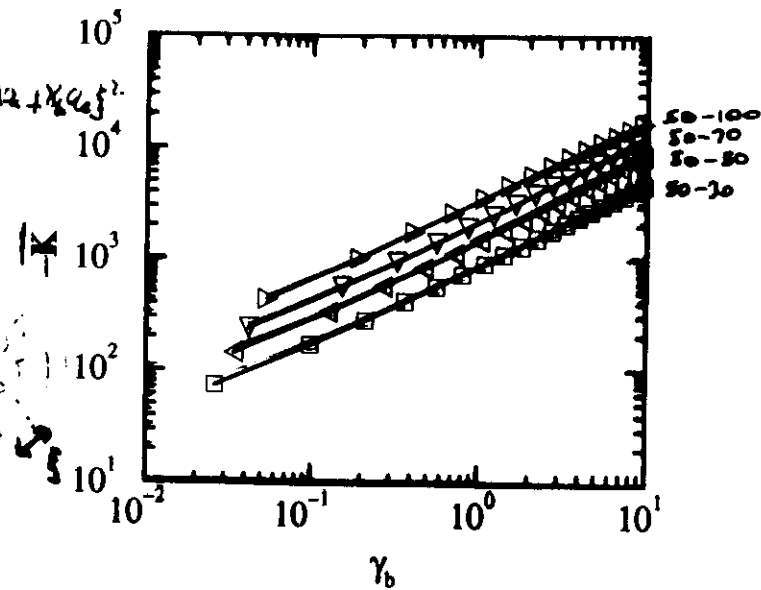
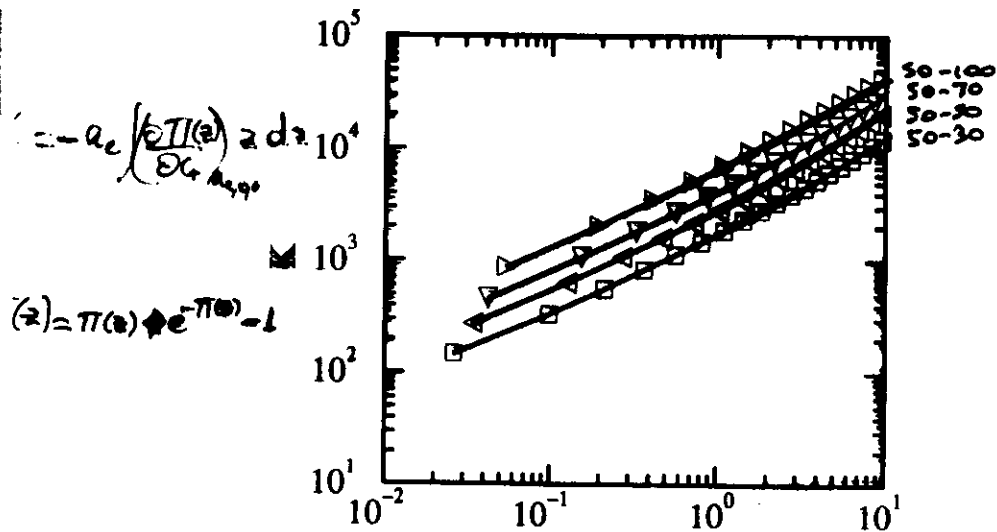
$$\Pi(z) = \pi(z) + e^{-\pi(z)} - 1$$



$$\lambda = -a_c \int \Pi(z) z dz + \chi_c a_c^2$$

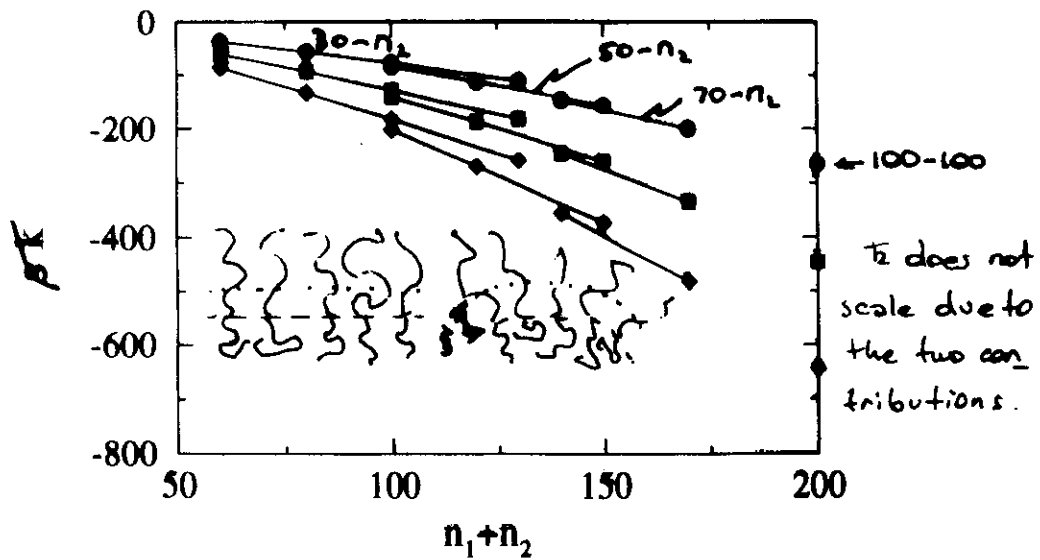
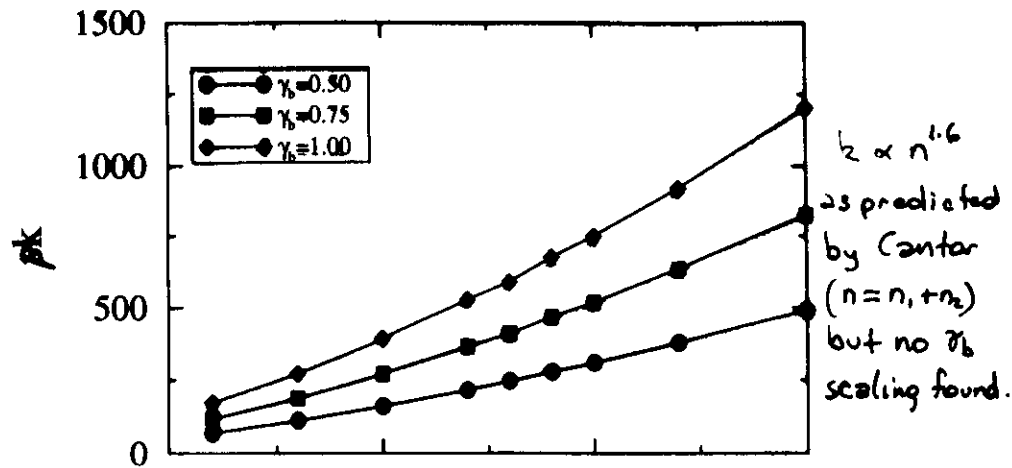


Bending and saddle-splay constants diblock copolymers



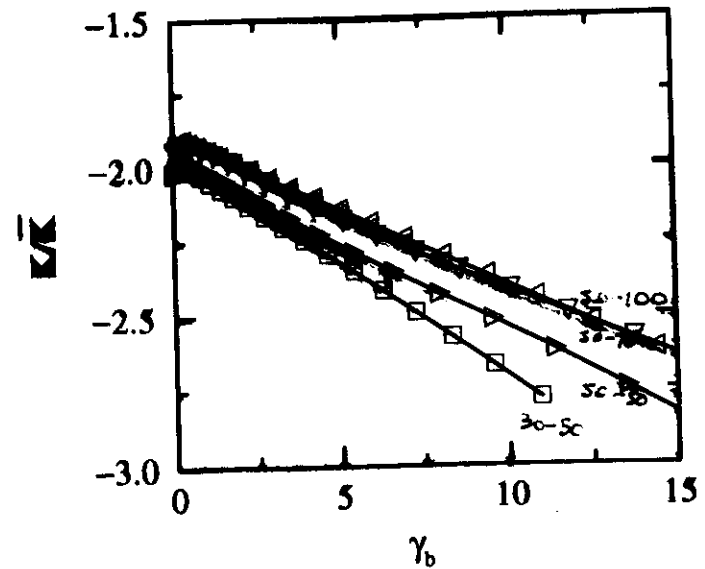
Elastic Constants

$$k = -\int_{-\infty}^{\infty} \frac{\partial \Pi(z)}{\partial z} z dz \quad \bar{k} = -\int_{-\infty}^{\infty} \Pi(z) z^2 dz + \gamma_b \delta^2$$



δ = distance between surface of inextension and interface.

Diblock copolymers

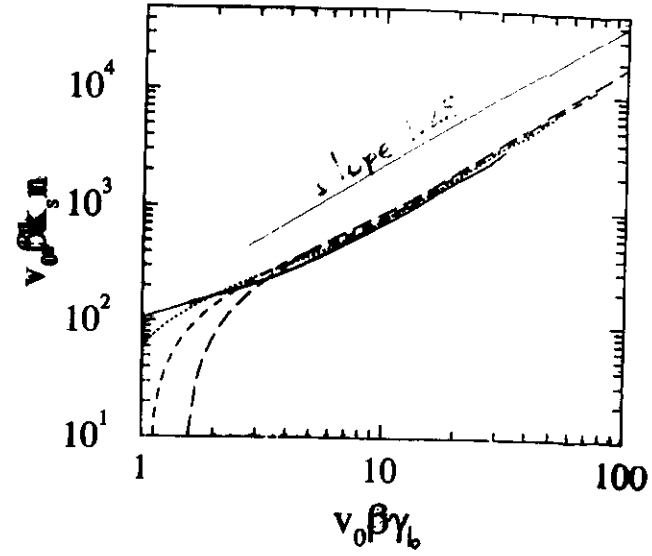
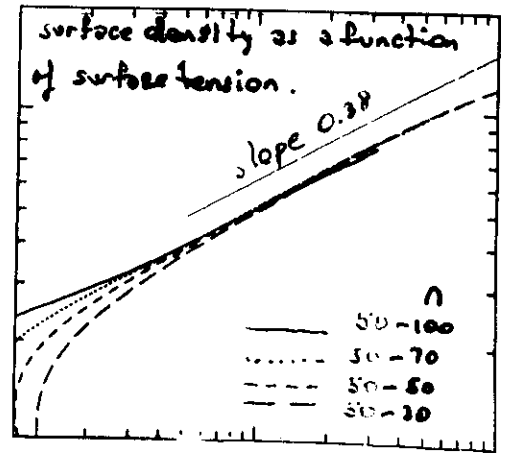
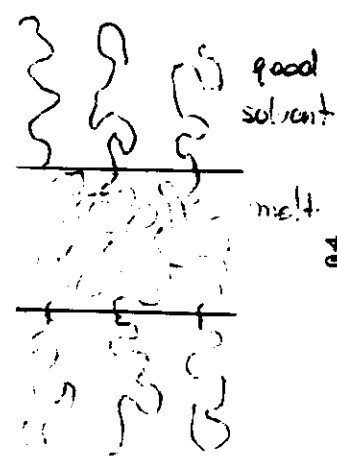
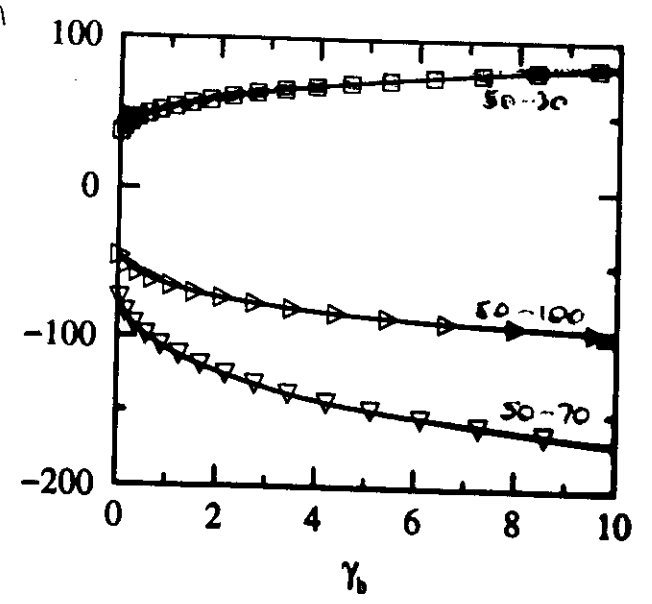
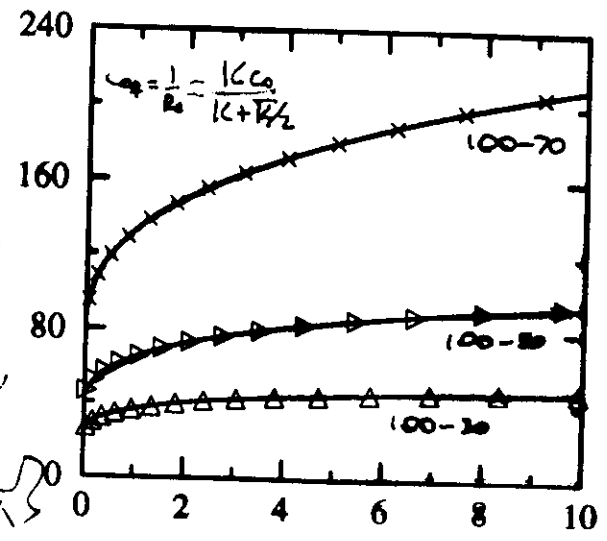
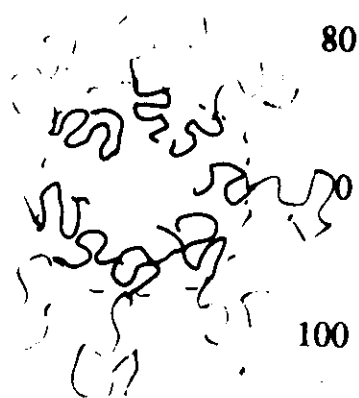


Ratio is strongly dependent on $\gamma_b \leftrightarrow$ effect on phase behavior

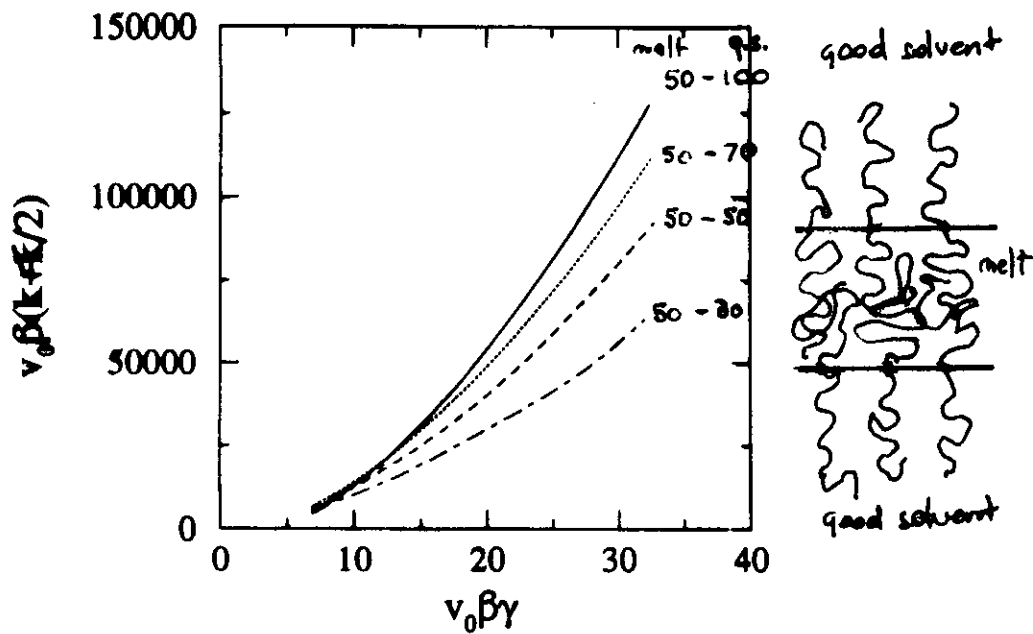
Spontaneous radii in diblock copolymers

$$\langle C_0 \rangle = A_0 \int_0^{\infty} \Pi(z) dz$$

$$\Pi(z) = \pi(z) + e^{-\pi(z)} - 1$$

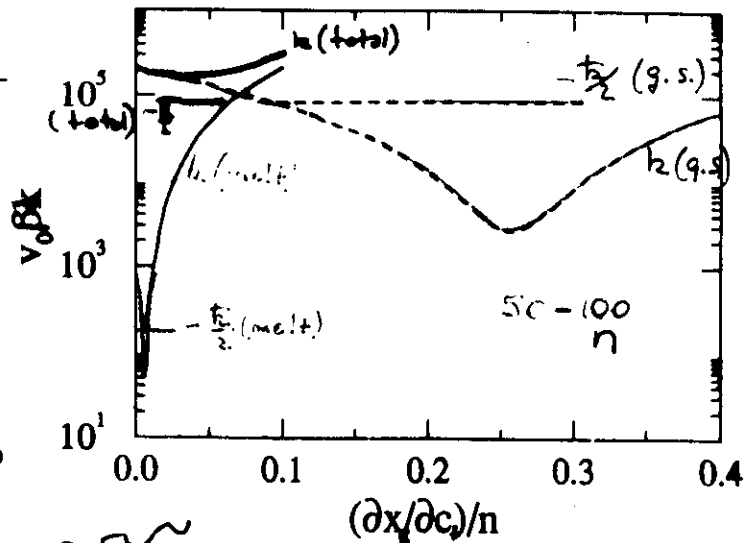
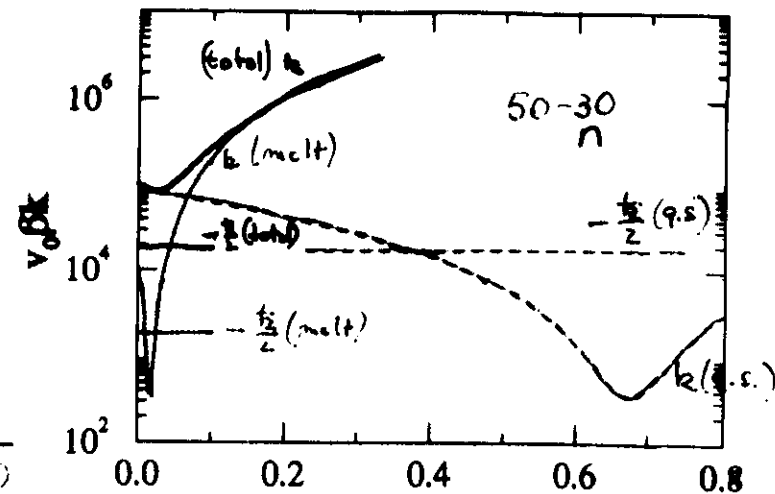


$k_s \propto \left(\frac{\partial^4}{\partial a^2 \partial s^2} \right)$
stretching constant



$$\frac{d}{dn} = \frac{1}{2} \left(k + \frac{k}{2} \right) C^2 \quad (\text{spherical deformation})$$

$k + \frac{k}{2} > 0$ due to strong q.s. chain repulsions upon deformation.



strong repulsions released by large $\frac{dx}{dc}$