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INTERNATIONAL ATOMIC ENERGY AGENCY
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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SMR.961 - 7

**WORKSHOP ON:
PROTEINS, MEMBRANES and their INTERACTIONS**

22 JULY - 2 AUGUST 1996

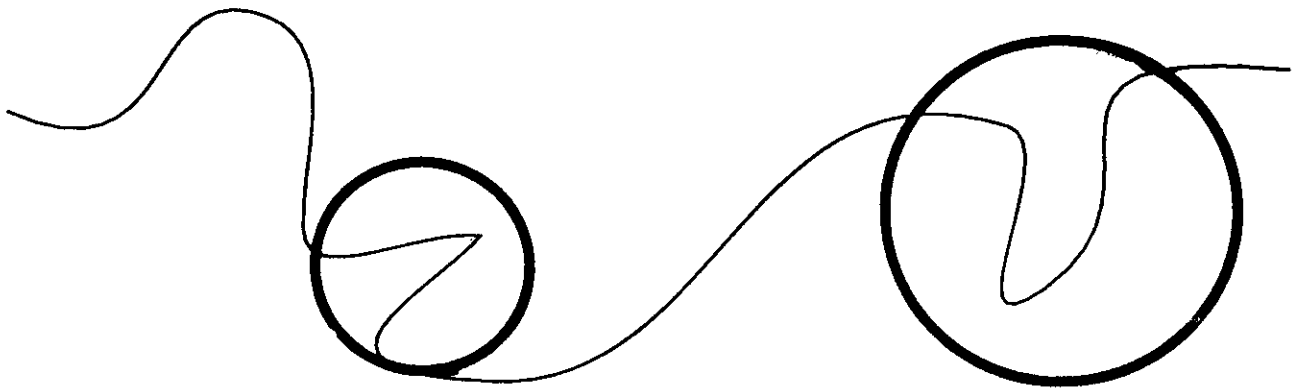
***"Molecular dynamics studies of
thermodynamics and kinetics"***

PART II

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ISRAEL**

These are preliminary lecture notes, intended only for distribution to participants.

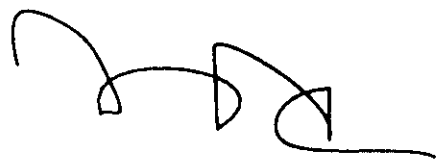
EARLY events in protein folding (nucleation sites)



(a) What are the nucleation sites?

Secondary structure elements?

helices?



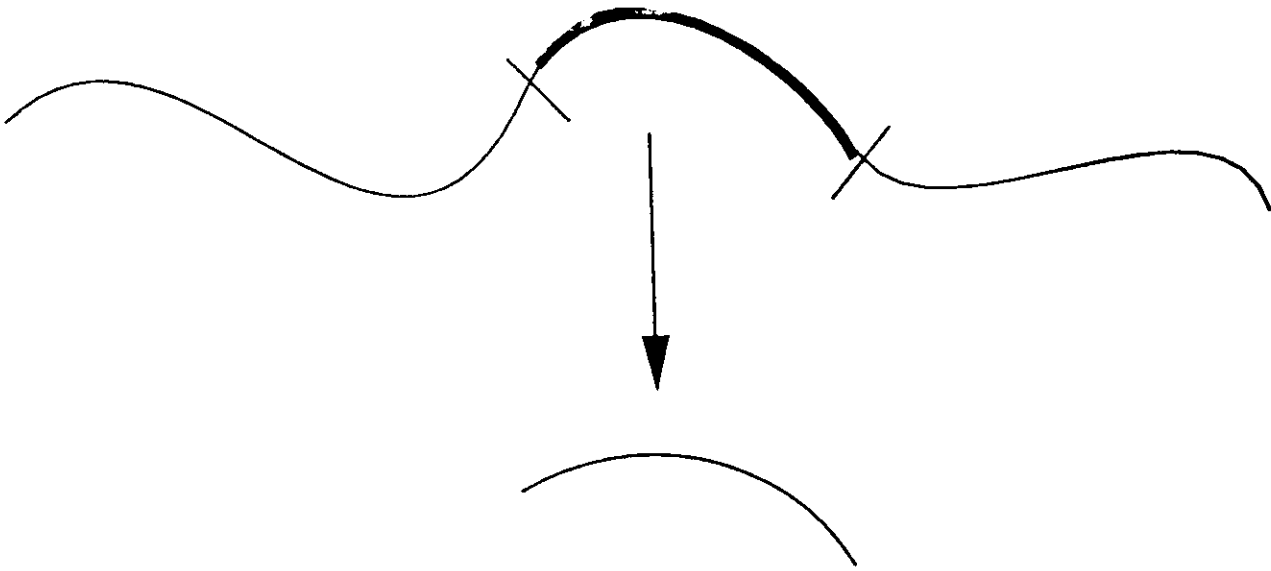
β turns?



Hydrophobic clusters?



Take a protein fragment
in water



Does it fold?

Does it have some tendency or preference to a given structure?

Role of hydrogen bonding,
hydrophobic forces, structured
waters as a driving force to structure

... and the ...

Initiation of folding

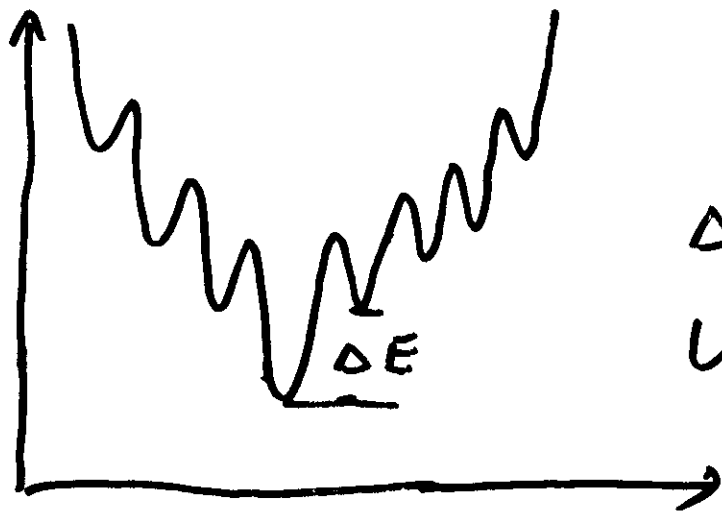
* What are the forces at the beginning?

* Hydrogen bonds? Hydrophobicity?

* Structured water molecules around the peptide?

* Can we suggest separation of time scales between solvent/side chains/back bone dynamics?

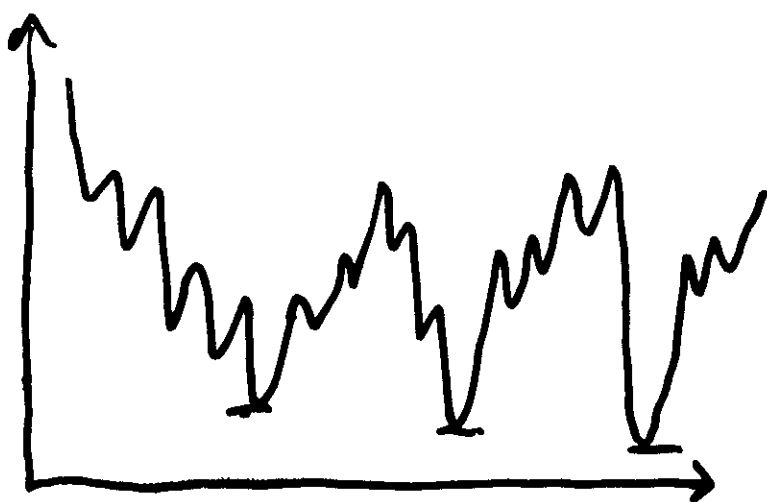
Possible shapes of the energy surface



$\Delta E > k_B T$
unique structure



$\Delta E < k_B T$
many structures



a few structures

Examples: Four peptides

CAAAAC – reference

CHDLFC – analog to peptides
that block channels

RVEW – Experiment suggests
some structure – Bundi et al.,
Eur. J. Biochem. 91,201(78)

SYPDFDV – Experiment by J.
Yao et al. **JMB 243,736(1994)**
– D. Mohanty

(*) Roitberg and Elber - LES

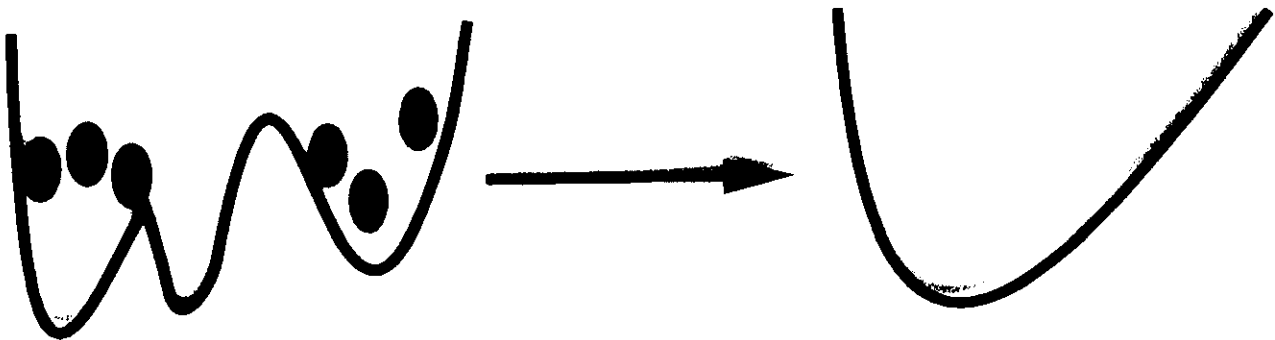
Use a mean field approx. for the density, represent the density by a sum trajectories, e.g.

$$\rho(R_1, R_2) \approx \rho(R_1)\rho(R_2)$$

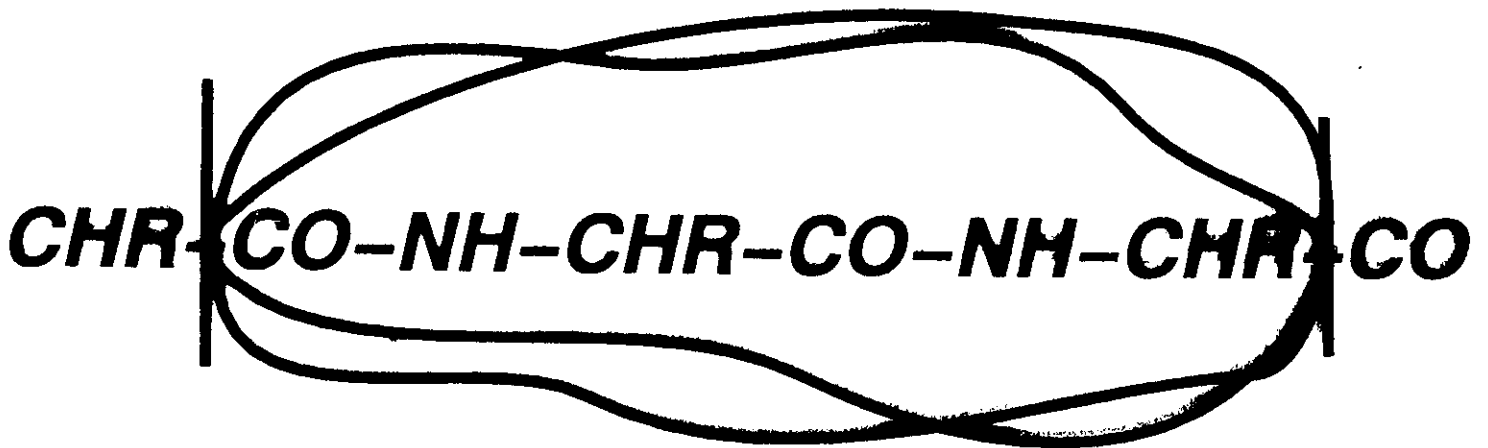
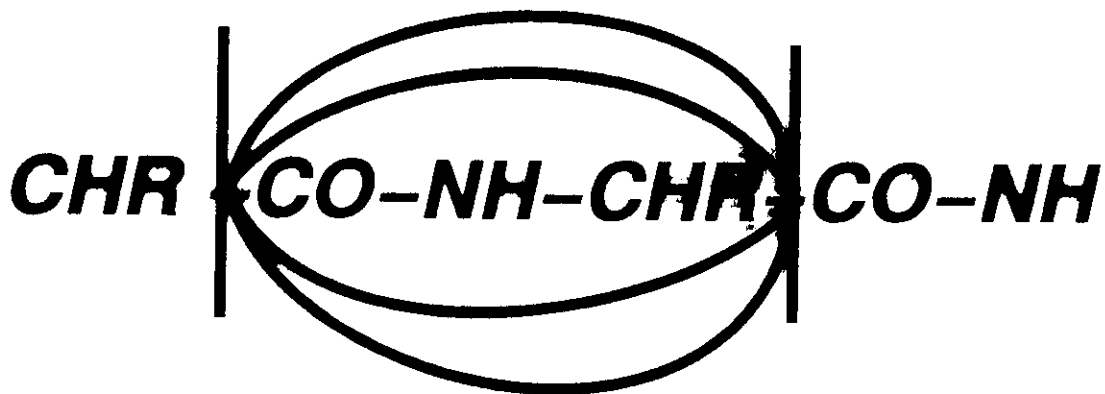
$$\rho(R_i) = \sum w_k \delta(R_i - R_{ik}(t))$$

Perform annealing.

$$M d^2 R_{ik}(t) / dt^2 = \sum w_k dU / dR_{ik}(t)$$



What to "multiply"? (4 copies)



The last option works best

Examples: Four peptides

CAAAAC - reference

CHDLFC - suggested by lattice studies to have a strong tendency to structure (E. Shakhnovich) analog to peptides that block channels

**RVEW - experiment suggests some structure, 1DNMR
Bundi et al.
Eur.J. Biochem 91, 201(78)**

Simmerling & Elber

**SYPFDV - Experiment by the Dyson group: Yao et al,
JMB 243,736**

Mohanty & Elber

CAAAC

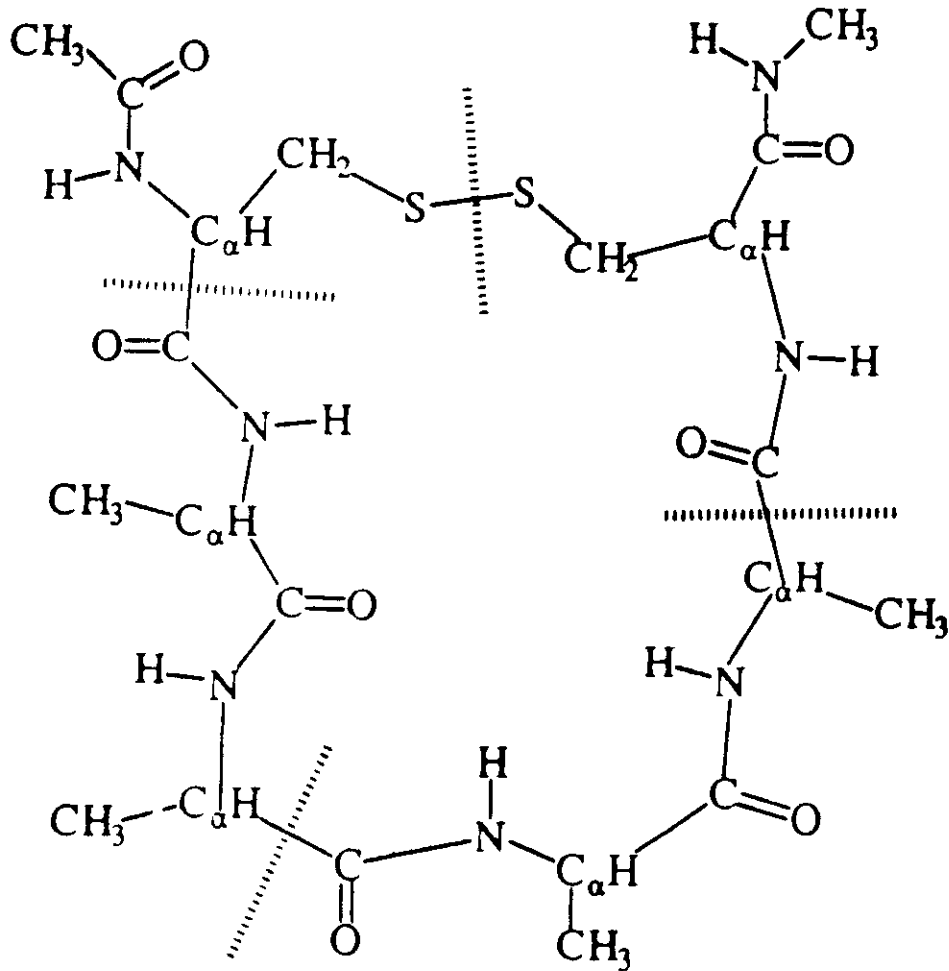


Figure 18. The atomic structure of the peptide CAAAAC. The dashed lines indicate the position of the cutting point between LES fragments.

High temperature structure of C.AAAAC in water



21 Å cubic box, periodic boundary
conditions

fig-16

Annealing history of $C_{4}C$ in water

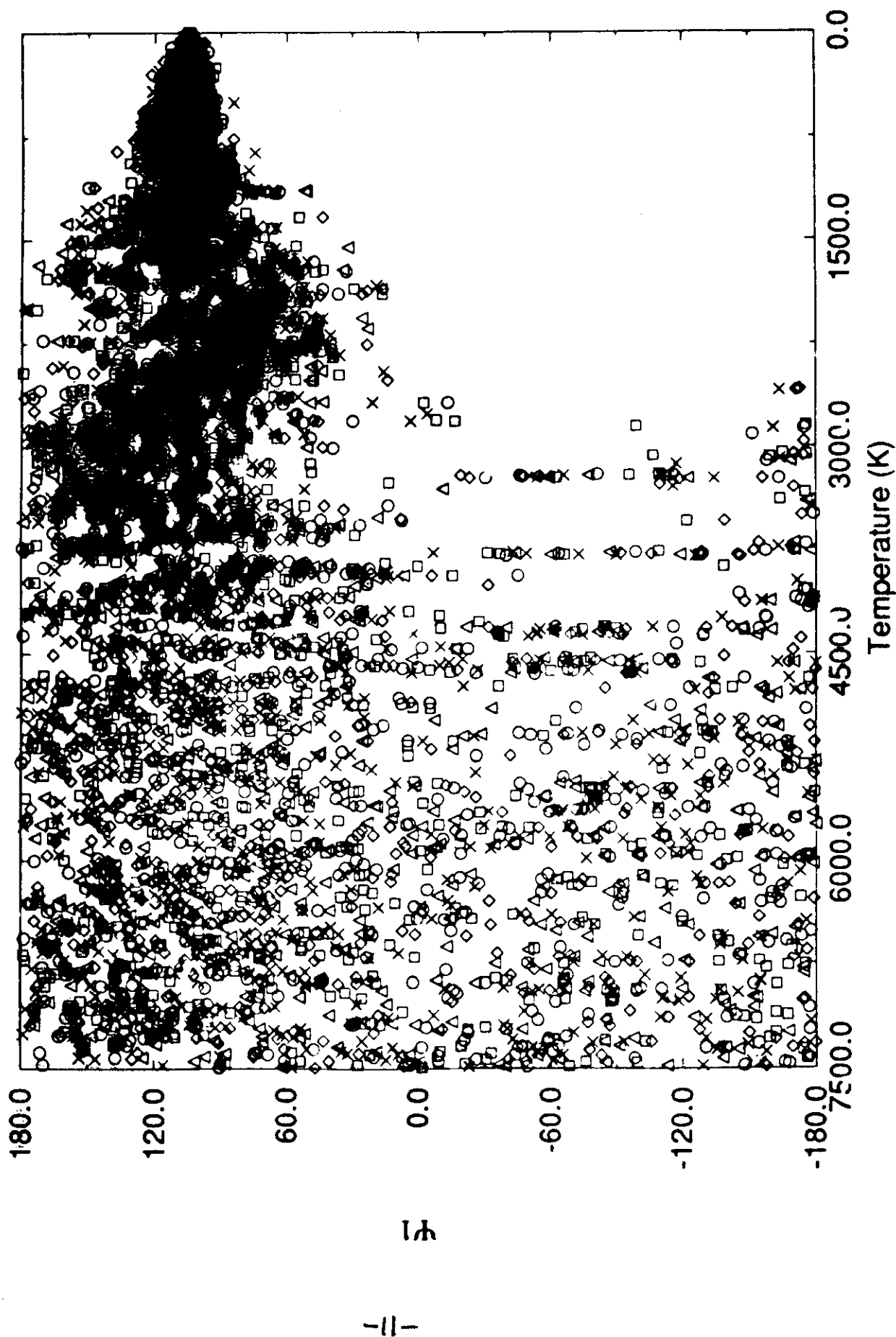
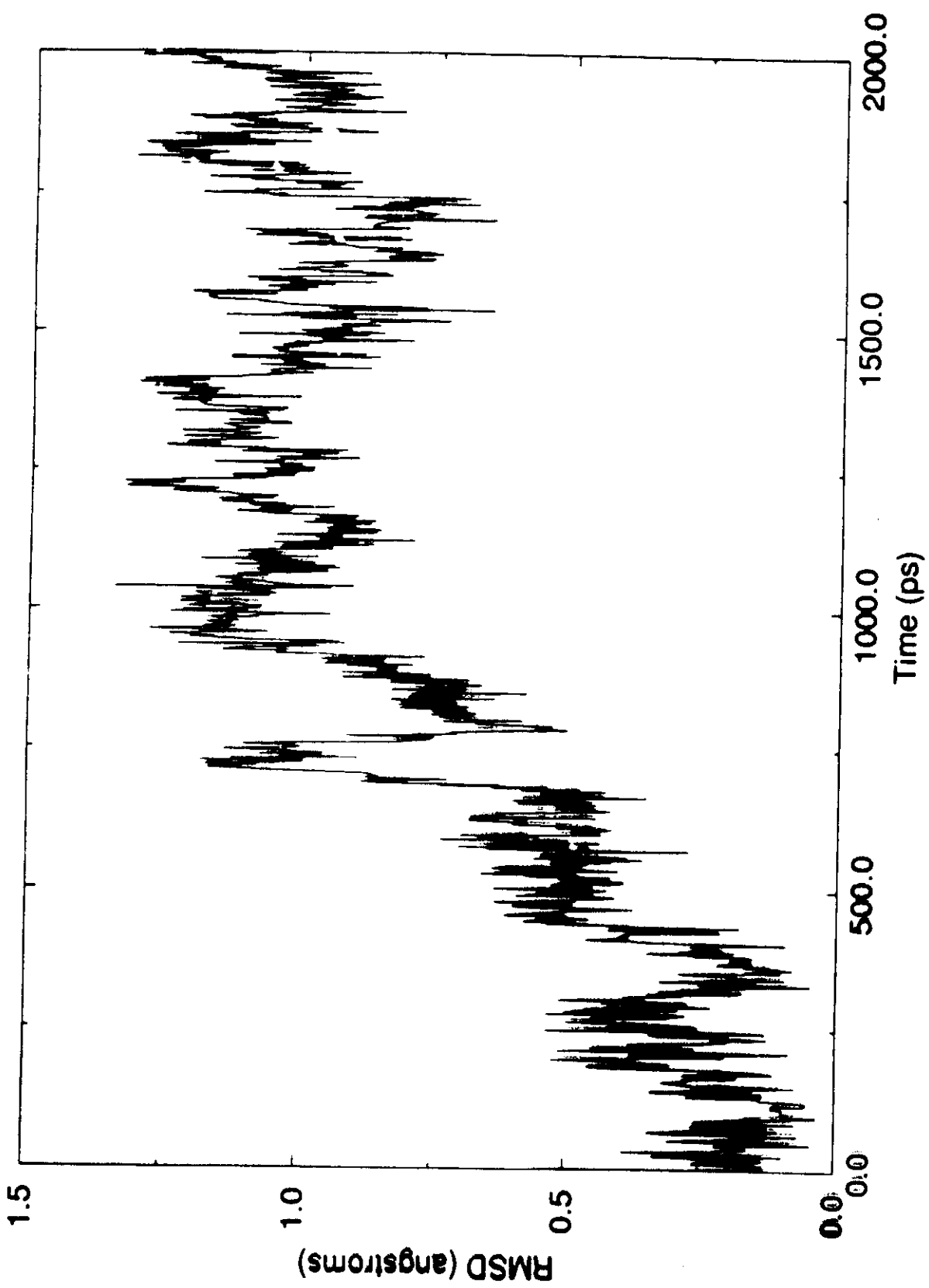


TABLE VI
RESULTS FROM LES ANNEALING
SIMULATIONS OF CAAAAC IN SOLUTION

Simulation Number	Simulation Length (ns)	Initial Temperature	RMSD ^a to Structure #12 (Å)
1	0.25	7500K	1.9
2	0.25	7500K	2.3
3	1.0	7500K	2.0
4	1.0	7500K	2.0
5	1.0	7500K	1.4
6	1.0	7500K	1.1
7	1.0	7500K	1.9
8	1.0	7500K	1.8
9	1.0	7500K	2.2
10	2.0	7500K	0.42
11	2.0	7500K	2.0
12	4.0	7500K	0.0

^a Root Mean Square Deviation of C_α atoms

Root Mean Square Deviation of "C" Atoms



"Melting" of C₄A₂C

131

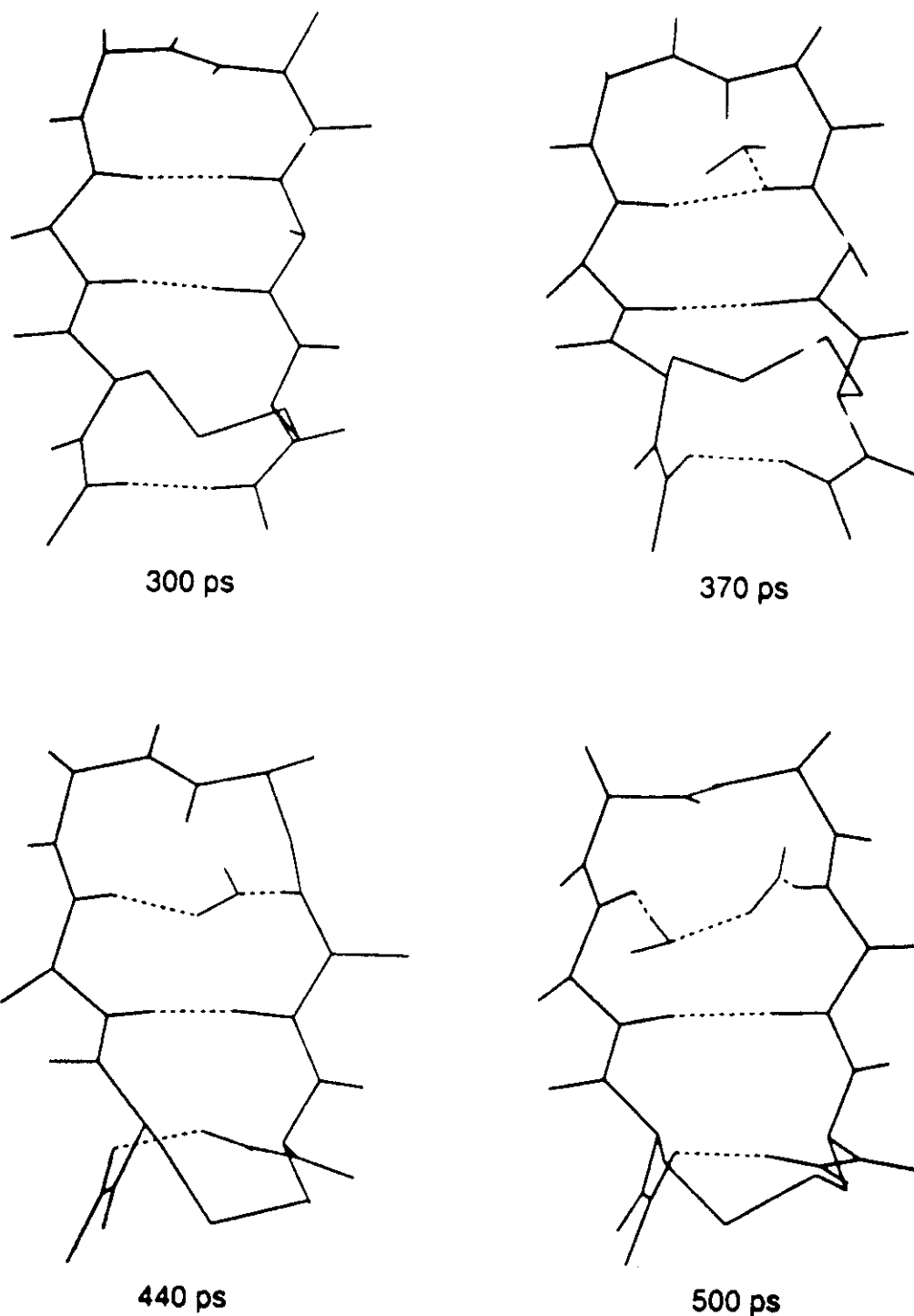


Figure 32. Snapshots in time from a simulation of CAAAAC in solution, with the initial peptide coordinates obtained through annealing in vacuum. Water molecules insert into intra-peptide hydrogen bonds and lead to unfolding of the structure. For clarity, not all water molecules are shown.

SUMMARY OF CAAAAC IN WATER

- *No unique structure

- *Hydrogen bonds replaced by many alternative hydrogen bonds to water molecules

- *No "structured" waters

- *"Unfolding" of vacuum structure by water insertion

Results of 2 annealing runs:

CHDLFC/H₂O

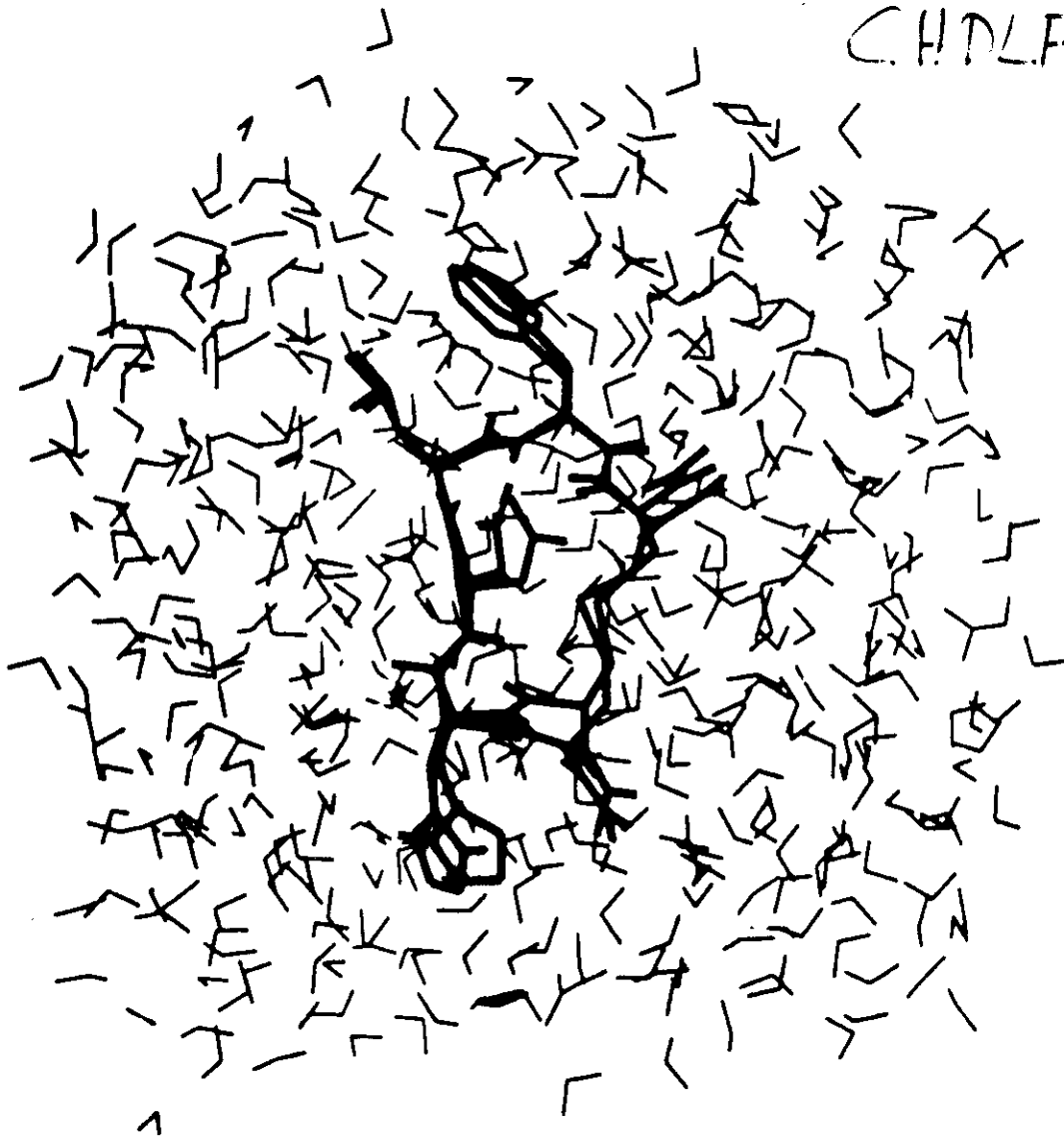


Figure 47. Overlap of two final annealed LES structures for CHDLFC in solution. Only a single set of water molecules is shown. The structures are very similar.

TABLE VIII

RESULTS FROM LES ANNEALING SIMULATIONS OF CHDLFC IN SOLUTION

#	Trajectory Length (ns)	Box Size (Å)	Initial T (K)	Phe-Leu Distance ^a (Å)	RMSD ^b to #15(Å)
1	0.75	26	7500	7.4	0.46
2	0.75	26	7500	7.4	0.16
3	0.75	26	7500	4.4	0.40
4	1.0	26	7500	6.9	0.24
5	1.0	26	7500	4.3	0.28
6	1.0	26	7500	6.7	0.26
7	1.0	21	7500	10.5	0.17
8	1.0	21	7500	9.6	0.27
9 ^c	1.5	26	7500	4.4	0.16
10	1.5	21	7500	4.8	0.13
11	1.5	21	7500	4.3	0.29
12 ^c	2.0	21	7500	5.2	0.40
13 ^c	2.0	21	7500	4.2	0.19
14	2.0	21	7500	4.4	0.25
15	2.0	21	7500	4.6	0.0
16	2.0	22 ^d	7500	4.4	0.30
17 ^c	2.0	22 ^d	6500	4.3	0.12
18 ^c	2.0	22 ^d	7500	4.6	0.30
19	2.8	26	7500	4.5	0.20
20	2.8	26	7500	4.0	0.27
21 ^c	4.0	21	7500	4.2	0.18
22	4.0	21	7500	4.8	0.37

^a Distance between side chains: from the C_γ of Leu to the center of mass of Phe ring

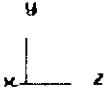
^b Root Mean Square Deviation of C_α atoms in HDLF residues

^c Sodium counterion used

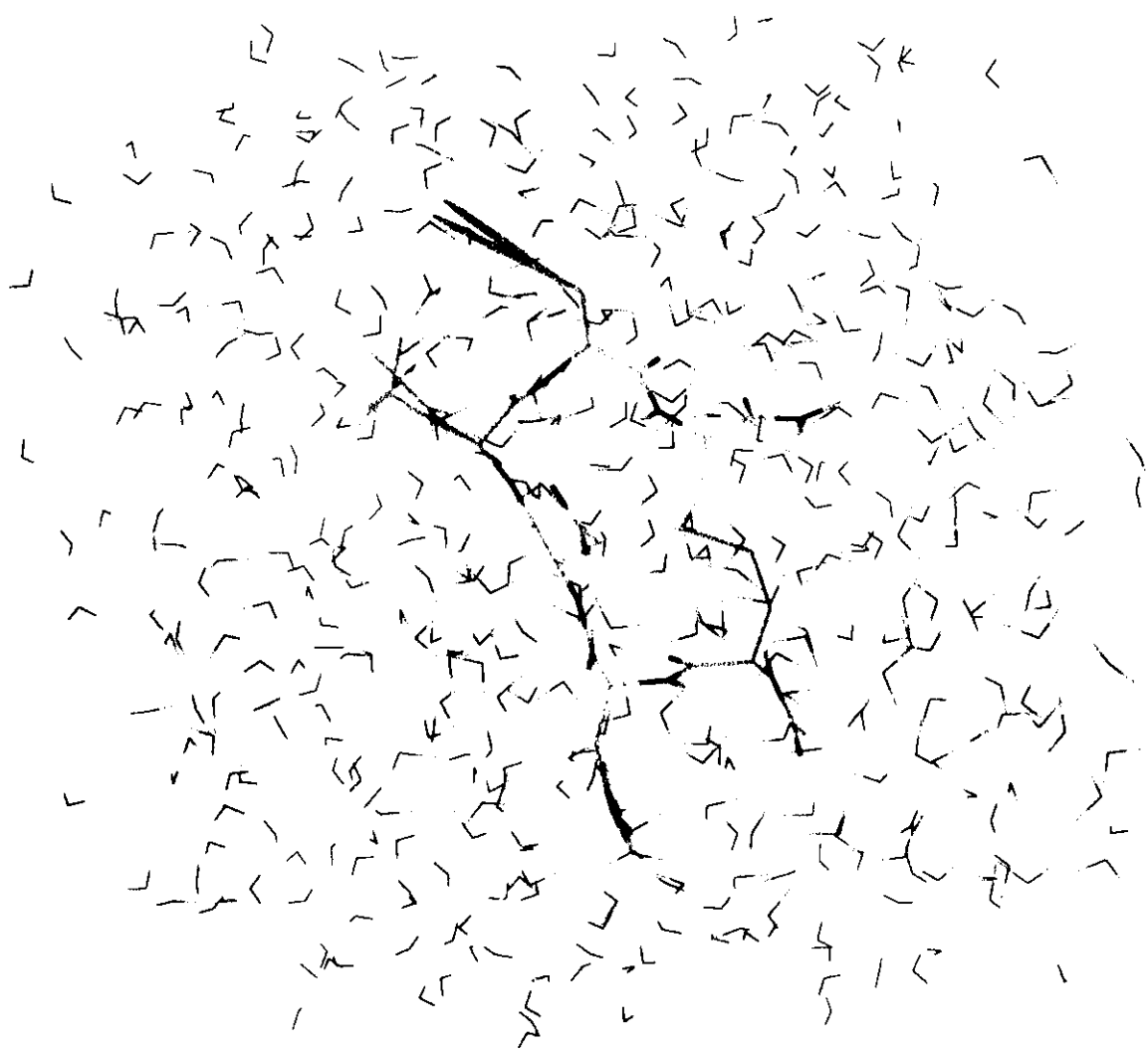
^d Variable box size used, initial size was 25 Å, final size was 22 Å

^e All atom OPLS/AMBER used for His and Phe side chain aromatic rings

Hydrophobic pair



Annealed structure of
CHDLFC



**CHDLFC in solution
5 copies, annealed**

CHDAAC CHDLFC

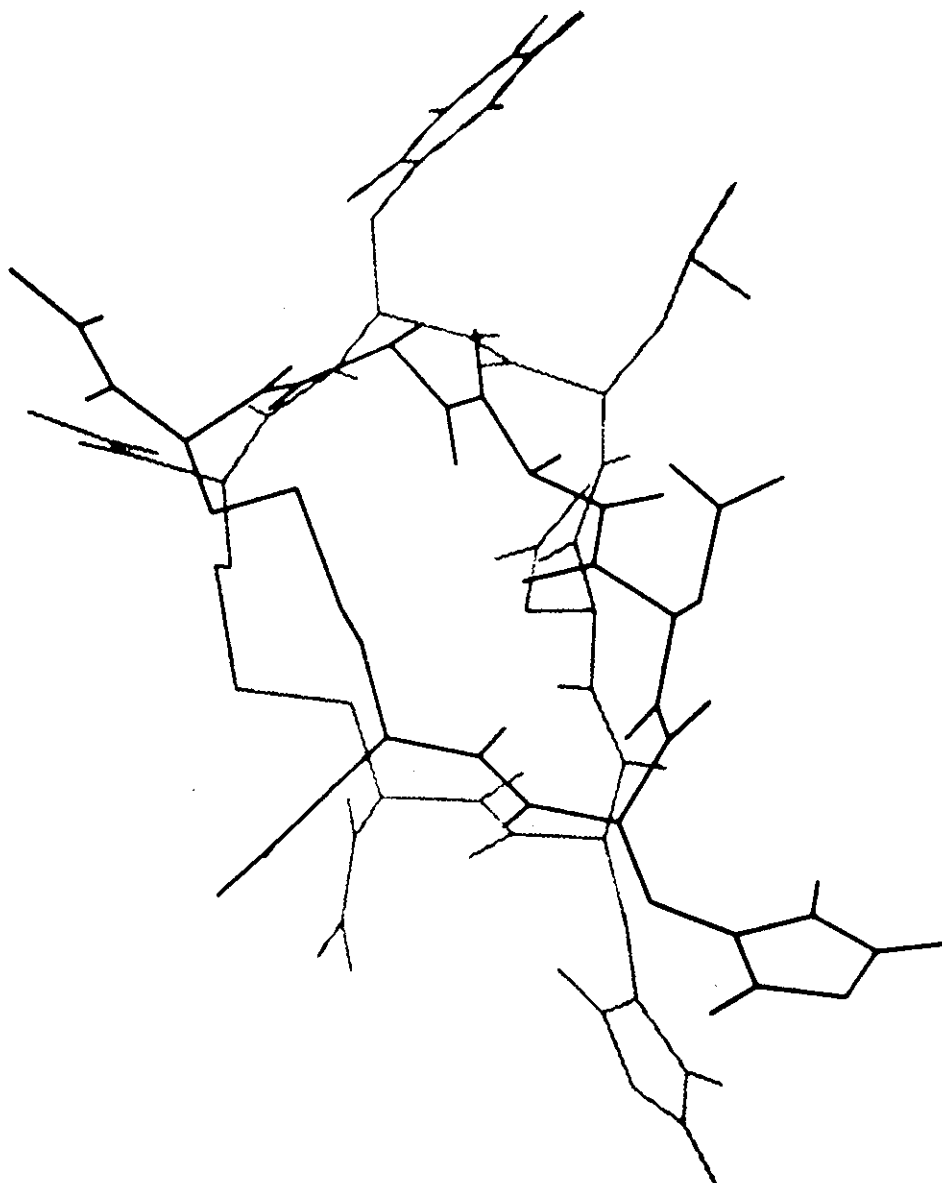
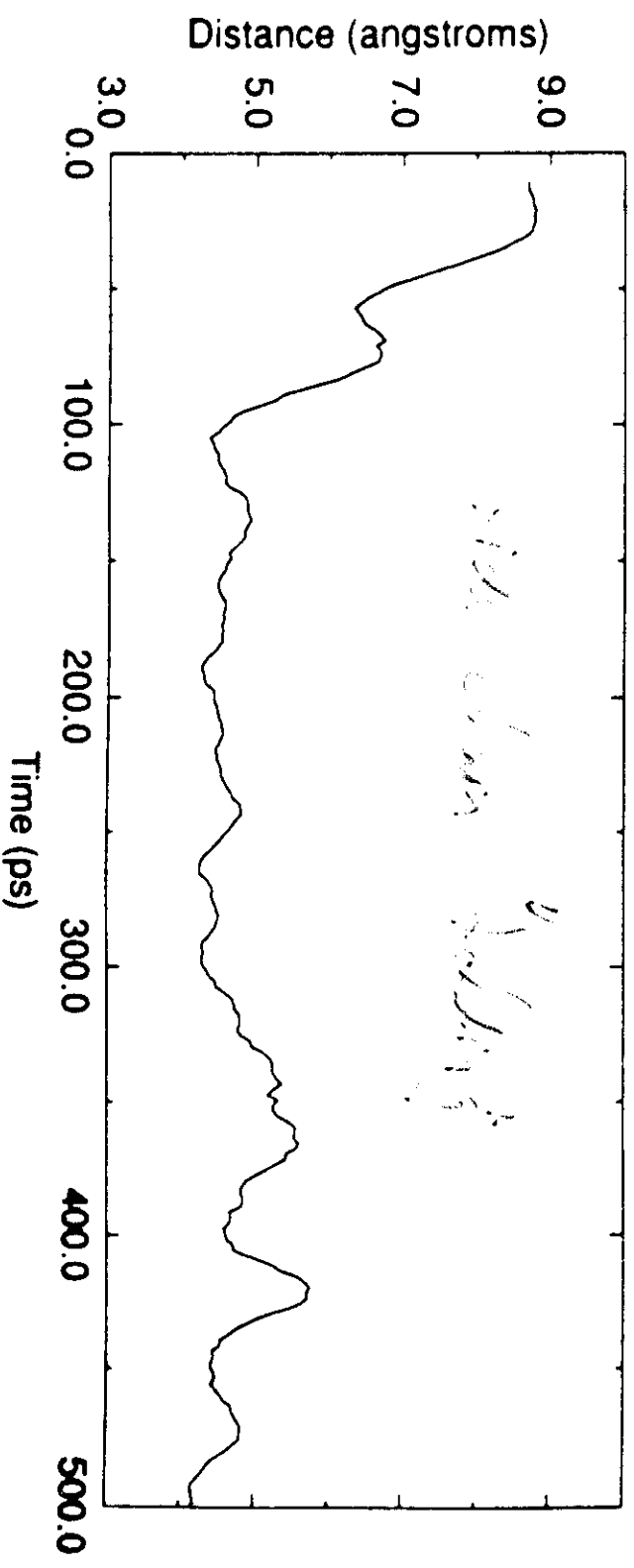
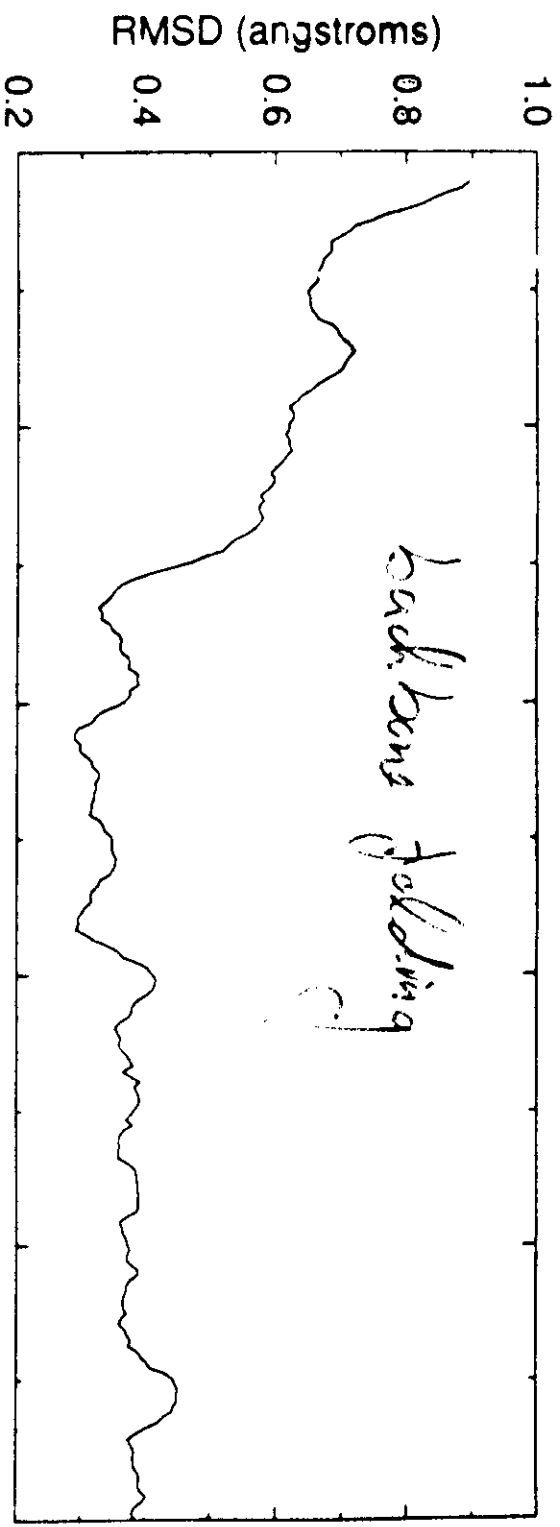


Figure 58. A stick figure showing the best overlap of the optimized structure for CHDAAC in solution, with the solution structure of CHDLFC.

Simulation of peptide folding using mean field (Brock)



SUMMARY OF CHDLFC IN WATER

*Unique structure. Induced by hydrophobic pair: L/F

*No strong hydrogen bonding

*Time scale for side chain motions shorter than backbone

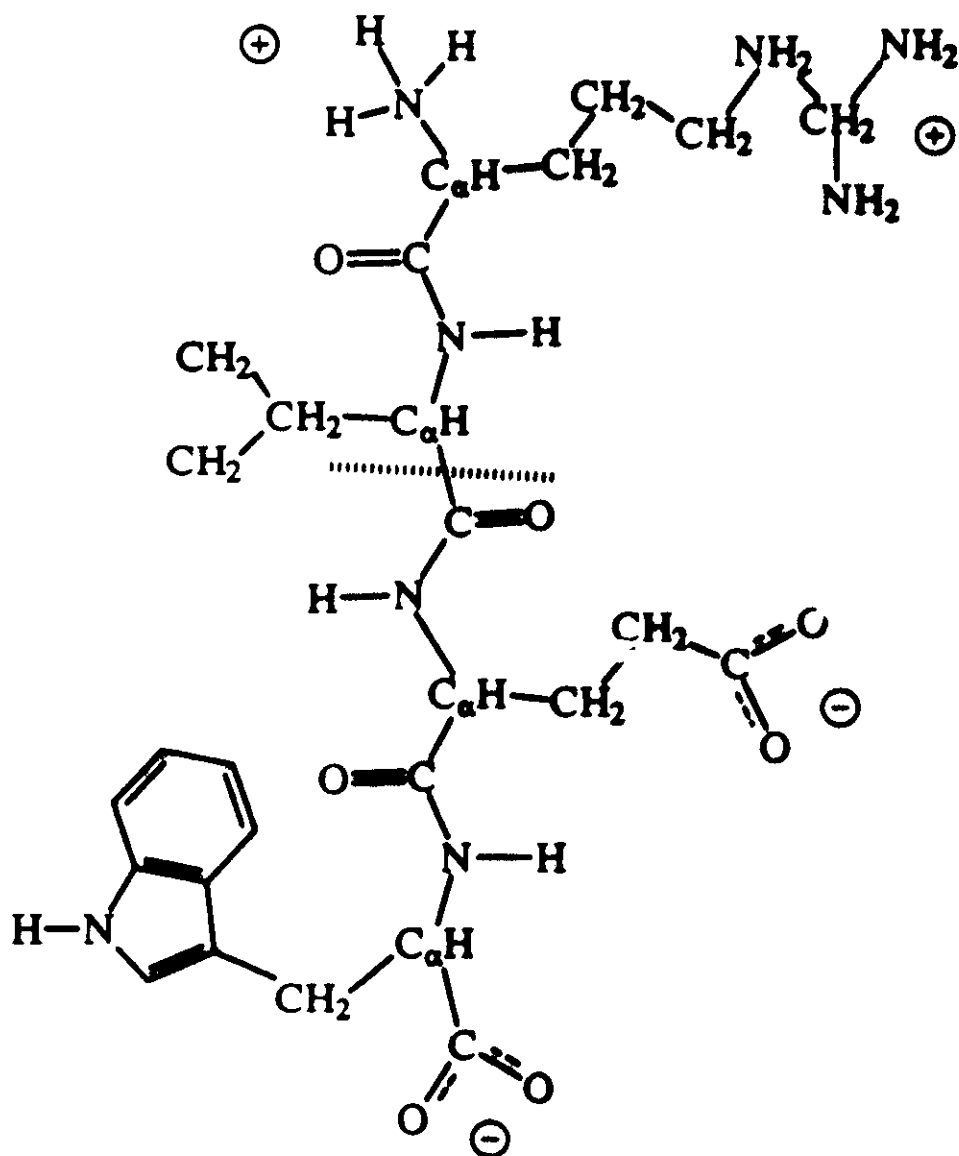
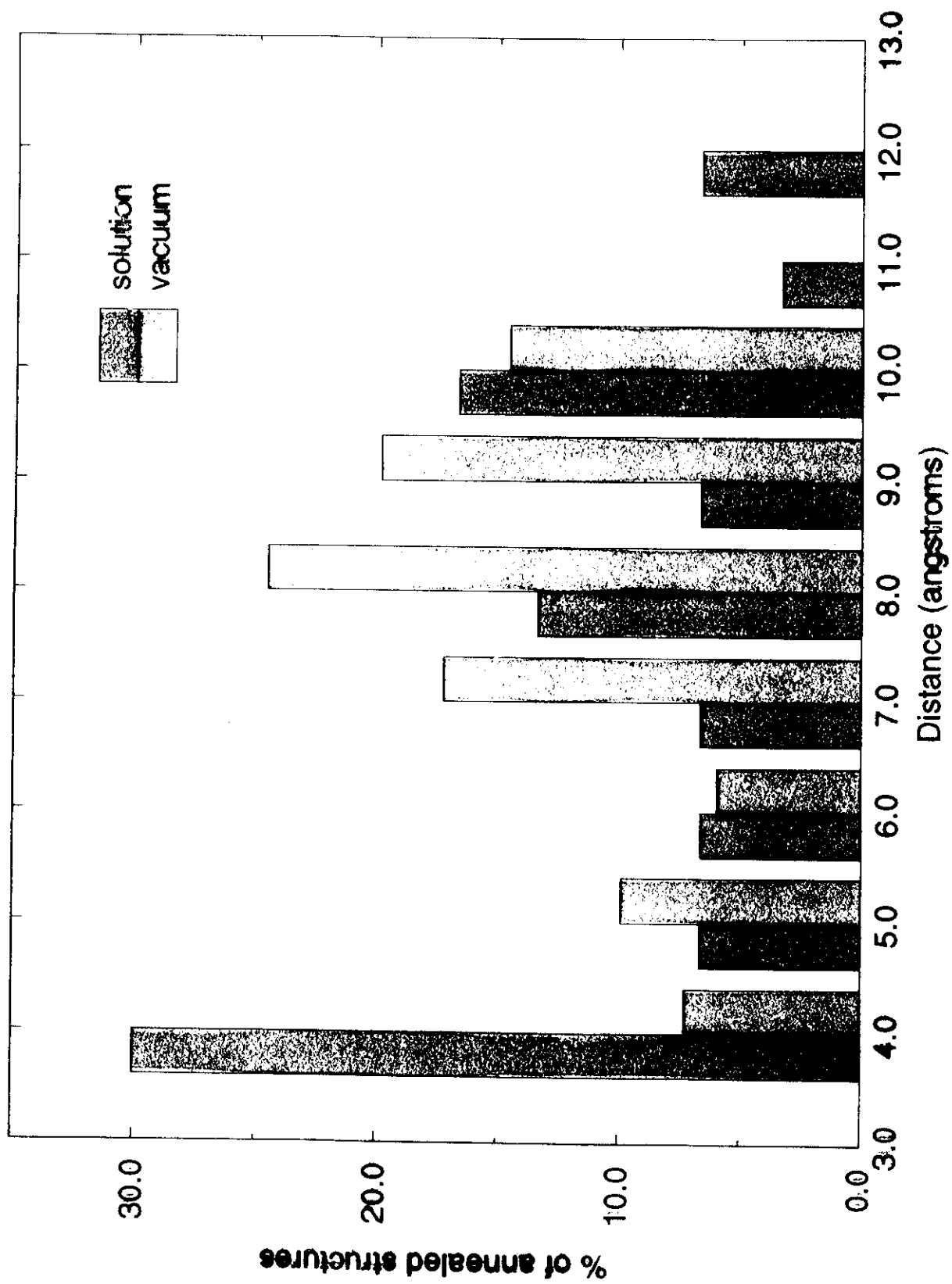


Figure 79. The atomic structure of the peptide RVEW. Dotted lines denote the division between LES fragments.

RVEW

distance between Trp and Val side chains

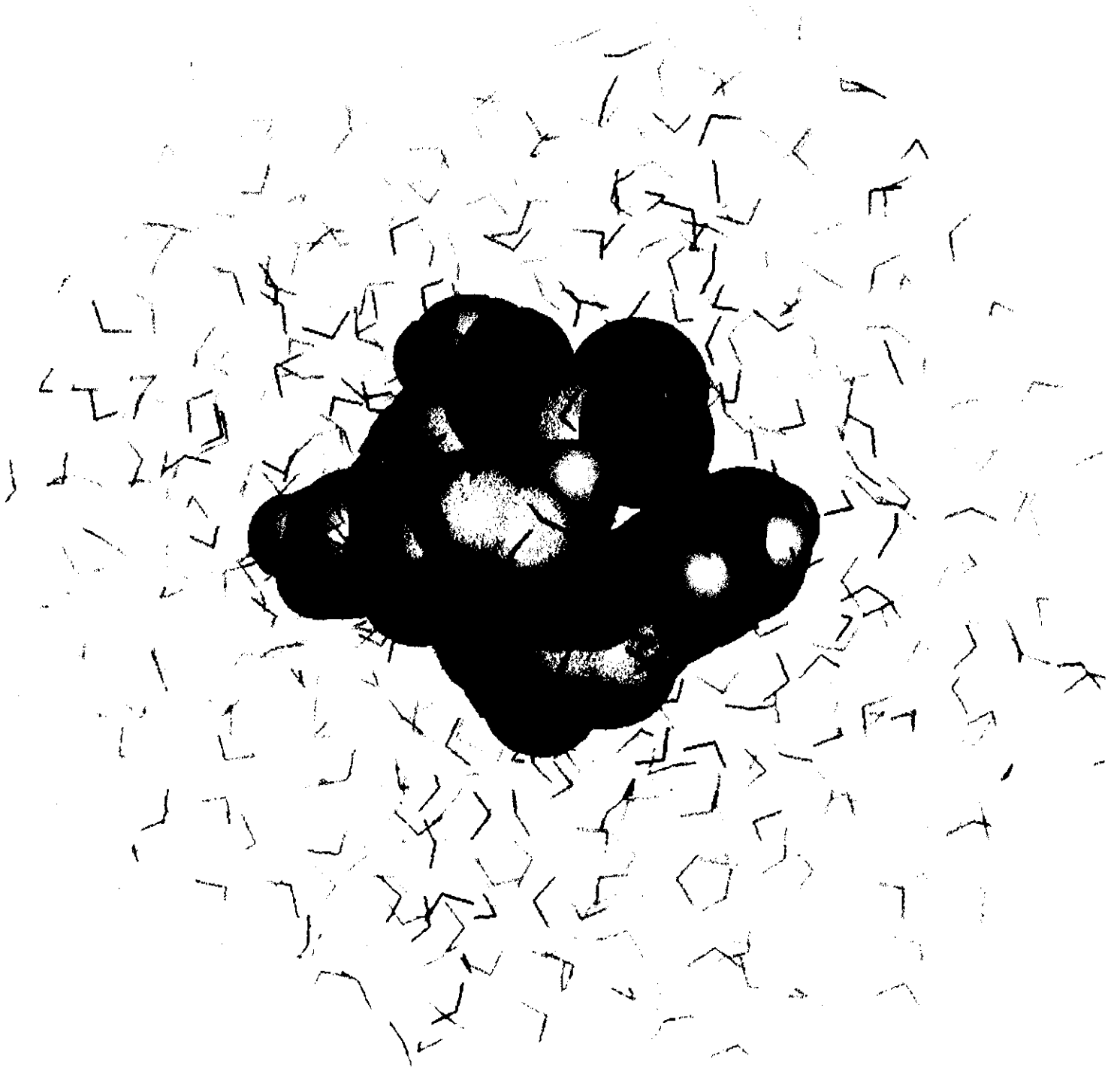


30 percent of the RVEW

structures have a

hydrophobic contact pair:

V/W



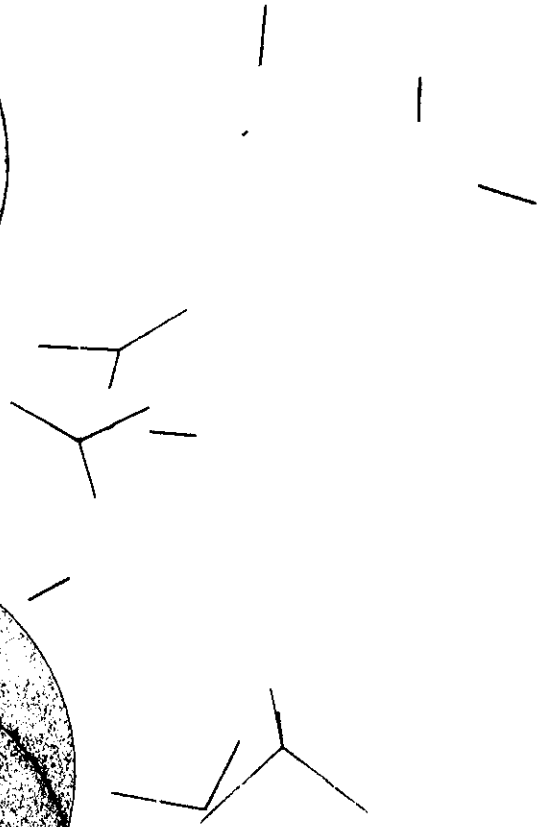
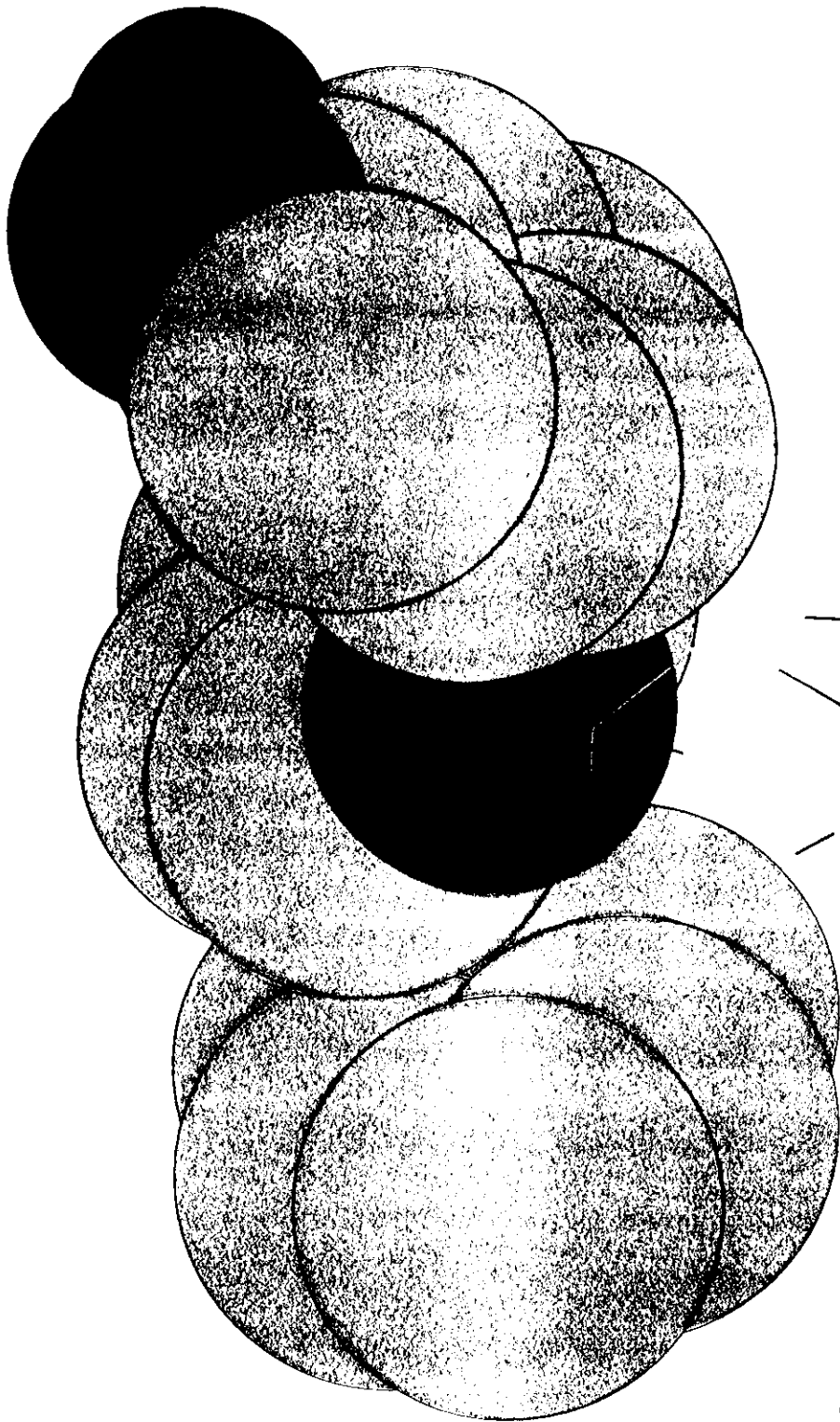
RVEW

**SYPFDV - Type VI turn and a
hydrophobic core
suggested by experiment
(YPF)**

Yao et al. JMB 143,736

**(*) Are hydrophobic forces
drive the structure?**

**(*) If not what drive it to
structure?**

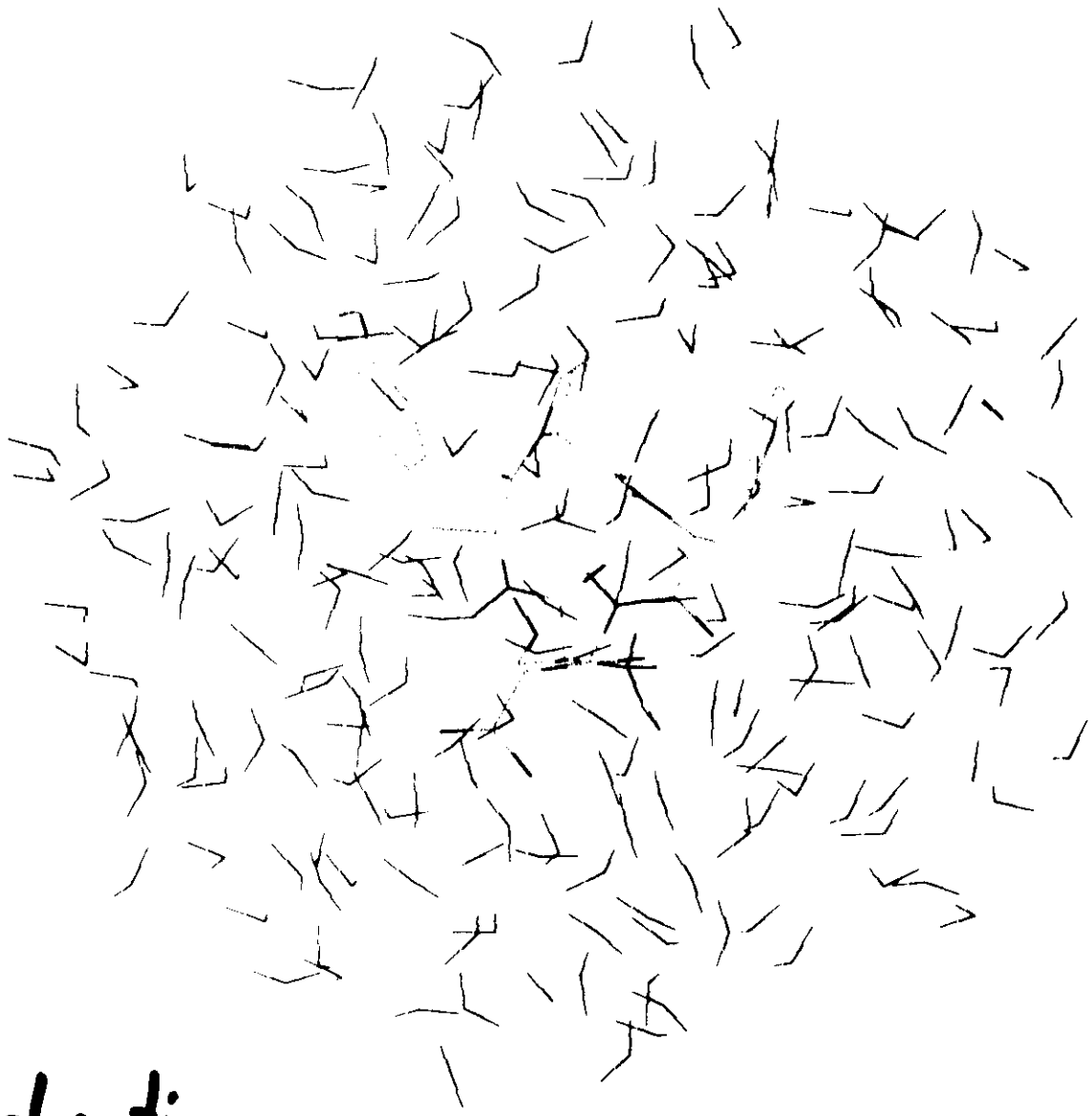
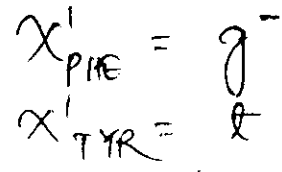


Yao et al.

NMR structure

use now the

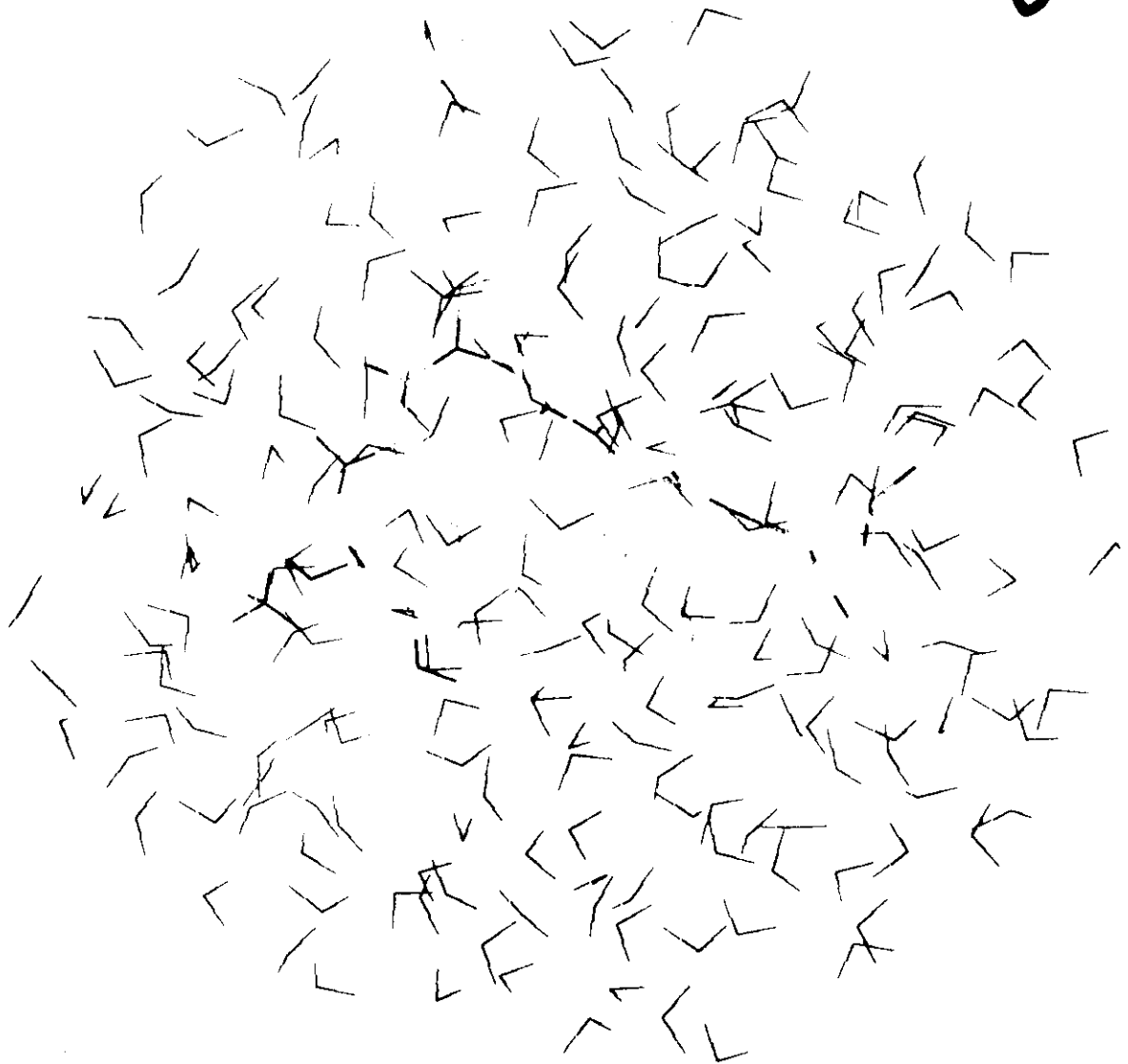
NMR structure



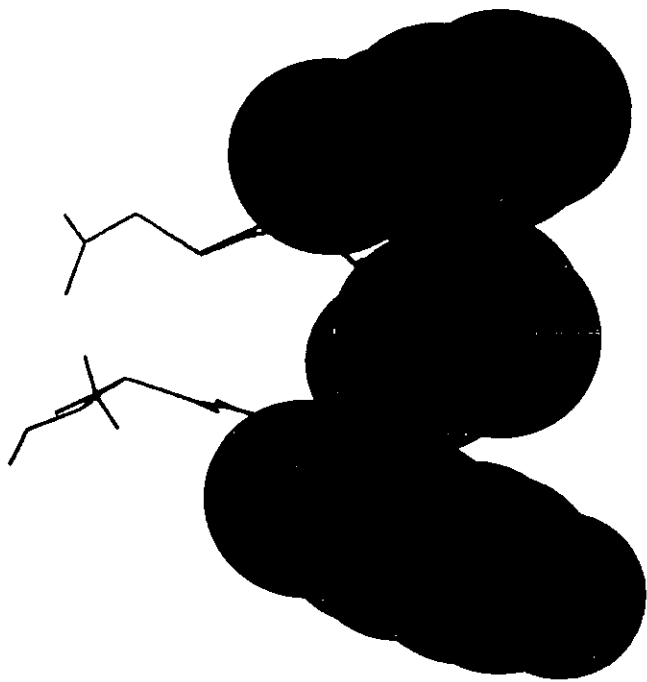
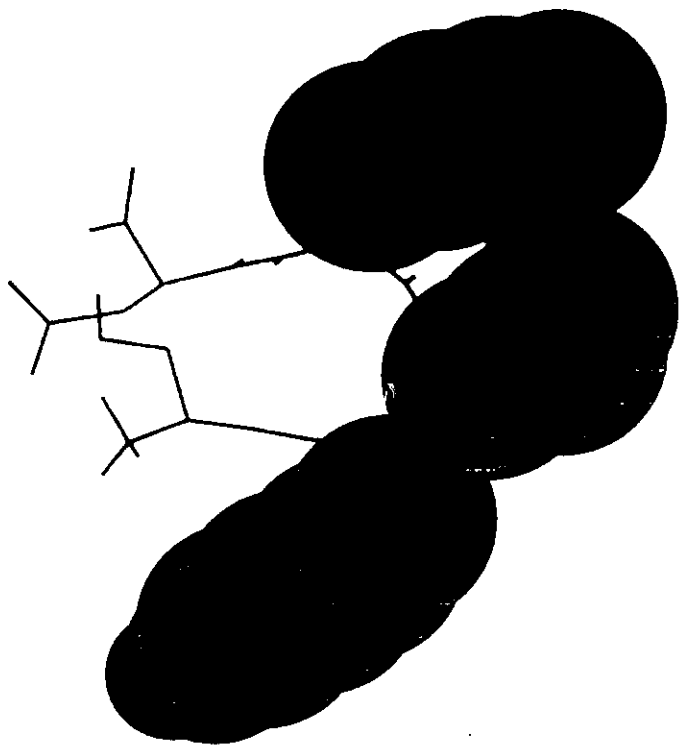
Stochastic
Solvent boundary
(B. Roux)

One of the typical
starting str. for
annealing.

Typical starting structure for LGS annealing



With Dr. D. Mohanty



Apply to polymer:

(37)

1) Can polymer remain aligned at $T > c$?

No!

$$E = K \sum_{i=1}^N (1 - \cos \theta_i) \Rightarrow c \text{ at } T = c$$

Consider single completely misaligned bond Suffices to misalign

$$E^* = 2K \text{ (energy cost)}$$

$$\# \text{ places} = N \Rightarrow g(E^*) = N$$

$$\Rightarrow F = E^* - kT \ln g(E^*) = 2K - kT \ln N$$

always lowers free energy!

2) What is typical misalignment bond?



$$E \sim NK(1 - \cos \theta)$$

$$\int d\Omega = 2\pi \int_0^\theta \sin \theta' d\theta'$$

$$S \sim k_B \left[\int_0^\theta (d\Omega) \right]^N = k_B N \ln [2\pi(1 - \cos \theta)]$$

$$\Rightarrow F(\theta) \sim N \left[K(1 - \cos \theta) - kT \ln 2\pi(1 - \cos \theta) \right]$$

$$\text{Minimize } \frac{\partial F}{\partial \theta} = 0 \Rightarrow \boxed{\frac{kT}{K} = 1 - \cos \theta} \sim \frac{\theta^2}{2} \text{ at low } T$$

Connection to persistence length:

$$\text{Misalignment in one step: } \Delta \theta \sim \sqrt{\frac{kT}{K}}$$

$$\text{Misalignment in } n \text{ steps: } \sqrt{n} \Delta \theta$$

How many steps to lose persistence?

$$\sqrt{n_p} \sqrt{\frac{kT}{K}} \approx 1 \Rightarrow n_p \sim \frac{K}{kT}$$

$$\Rightarrow \boxed{\xi_p \sim a_0 \frac{K}{kT}} \text{ cf. } \dots$$

2. Fluid Membranes: Completely Different:

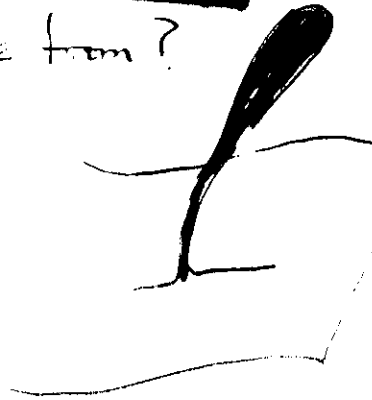
3

1: Fluid membrane.

$$E = \int dS \frac{k_B}{2} (\epsilon_1 + \epsilon_2)^2 \quad (\text{same})$$

is entropy dominated at long wavelength for all $T > 0$.

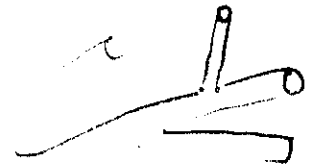
where does extra entropy come from?



cost bending energy (but not stretching)

Fact: Fluid surface always looks like branched polymer at long length scales $R \gg \xi_p$

"The branched polymer instability"

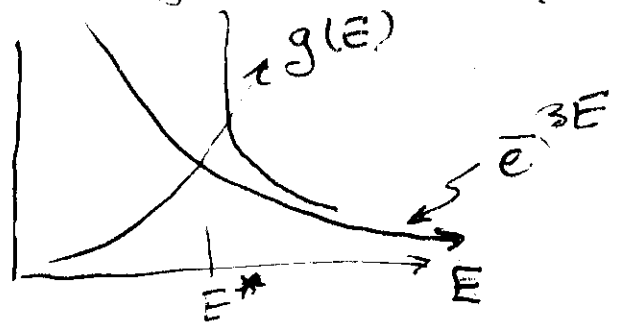


Energy-Entropy Arguments:

$$Z = \int dE e^{-\beta H} \quad (\text{e.g., } \int dk dz \text{ for polymer})$$

$$= \int dE g(E) e^{-\beta E}$$

$$\sim \underbrace{g(E^*)}_{\text{max}} e^{-\beta E^*} \quad (\text{width})$$



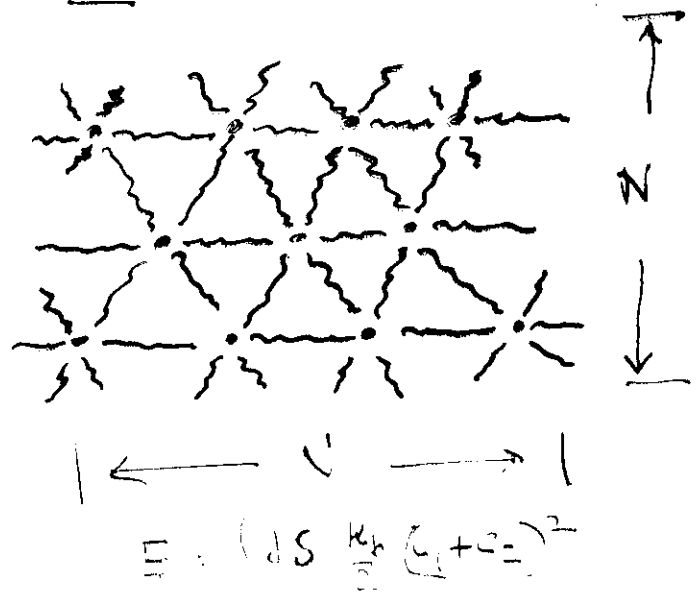
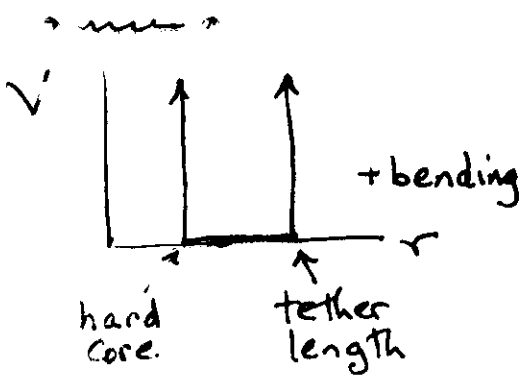
$$\rightarrow F = -kT \ln Z$$

$$= E^* - \underbrace{kT \ln g(E^*)}_{TS}$$

Note E^* minimizes F ! (since it maximizes Z)

Membranes: All membranes are NOT The same.

Tethered Membranes:
(T = c + flat) (hexatic?)



No self avoidance: $\langle R^2 \rangle = a^2 \ln N \ll (N^2)^{2/3}$
... self-avoidance in IPT!

Add Self-Avoidance:

$$R_1 = a_1 N^{1/2}$$

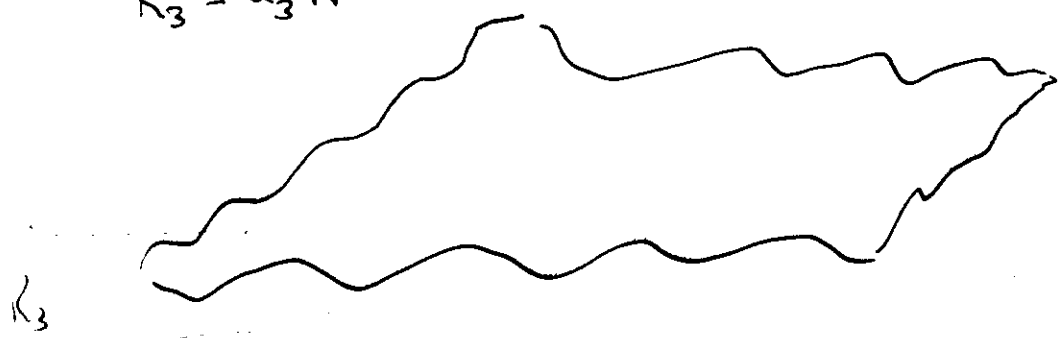
$$R_2 = a_2 N^{1/2}$$

$$R_3 = a_3 N^{2/3}$$

$v_1 = v_2 = 1$

$a_1 > a_2$

$v_3 = 0.8 (?)$



v_3 is "roughness" exponent

tethered membrane is "flat" at long distances
no matter how large the bending rigidity ($T > 0$)
"Energy dominated"

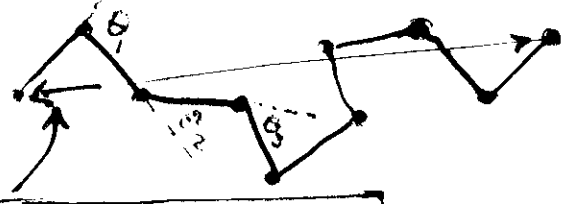
Entropy is "small" for $d=2$ object in $d=3$
his result holds even $\kappa \rightarrow 0$ at low T ($T > 0$).
(c.f., $d=1$ in $d=3$)

$R \gg \xi_p$

crit. polymers

PHENOMENA:

Polymers: All polymers are the same! One "universality class"!



N = number of segments
 a_0 = segment length
 θ_i = angle

$z_c = ?$

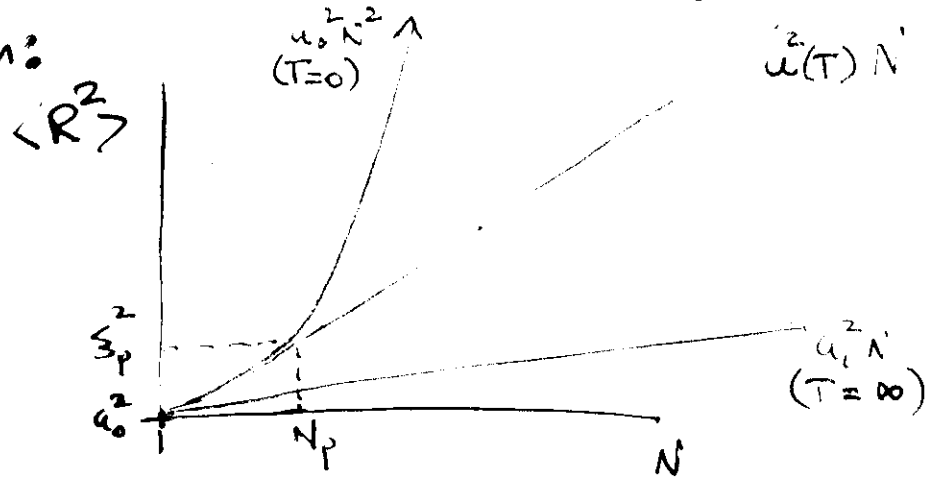
R = end-to-end length

$E = k_b \sum_i (1 - \cos \theta_i)$
 $= \int ds \frac{k}{2} \dot{c}^2$

at $T=0$ ($k=\infty$, stiff rod): $\langle R^2 \rangle = L^2 = a_0^2 N^2$

at $T=\infty$ ($k=0$, random walk): $\langle R^2 \rangle = a_0^2 N$

between:

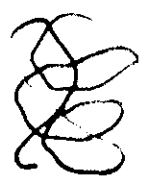


i.e., $(\langle R^2 \rangle)^{1/2} \xrightarrow{N \rightarrow \infty} a(T) N^{\nu_0}$, $\nu_0 = 1/2$ (no self-avoidance)

Now add self avoidance:

$(\langle R^2 \rangle)^{1/2} \xrightarrow{N \rightarrow \infty} b(T) N^{\nu}$, $\nu = 0.588$ (SAW) $d=3$
(small effect) depends on energetics

Random coil:



$R_1 = a_1 N^{\nu_1}$
 $R_2 = a_2 N^{\nu_2}$
 $R_3 = a_3 N^{\nu_3}$
 $a_1 > a_2 > a_3$
 BUT
 $\nu_1 = \nu_2 = \nu_3 = \nu$

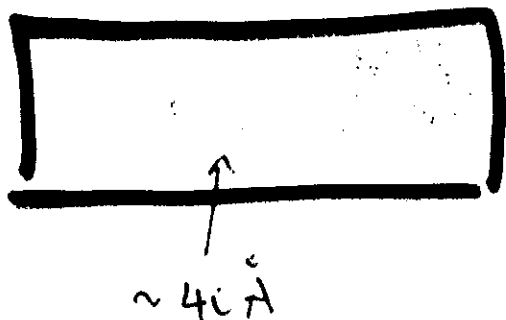
Is it a sphere
 No!

moments of inertia tensors

"UNIVERSALITY"

III. Mechanics/Stat. of Membrane Shapes

(34A)



with $k_b \sim 20 k_B T$
(common phospholipids)

A. $R \gg \xi_p$ Statistical Mechanics
(long-distance behavior)
Gompper

B. $R \ll \xi_p$ Mechanics "(T=C)"
Vesicle Shapes

C. Thermal Fluctuations of Vesicle Shapes
(Stat. Mech., again)

(But, soft modes!)

Phase Diagram for Surface Phase I

The effect of The Gaussian term (TOPOLOGY)

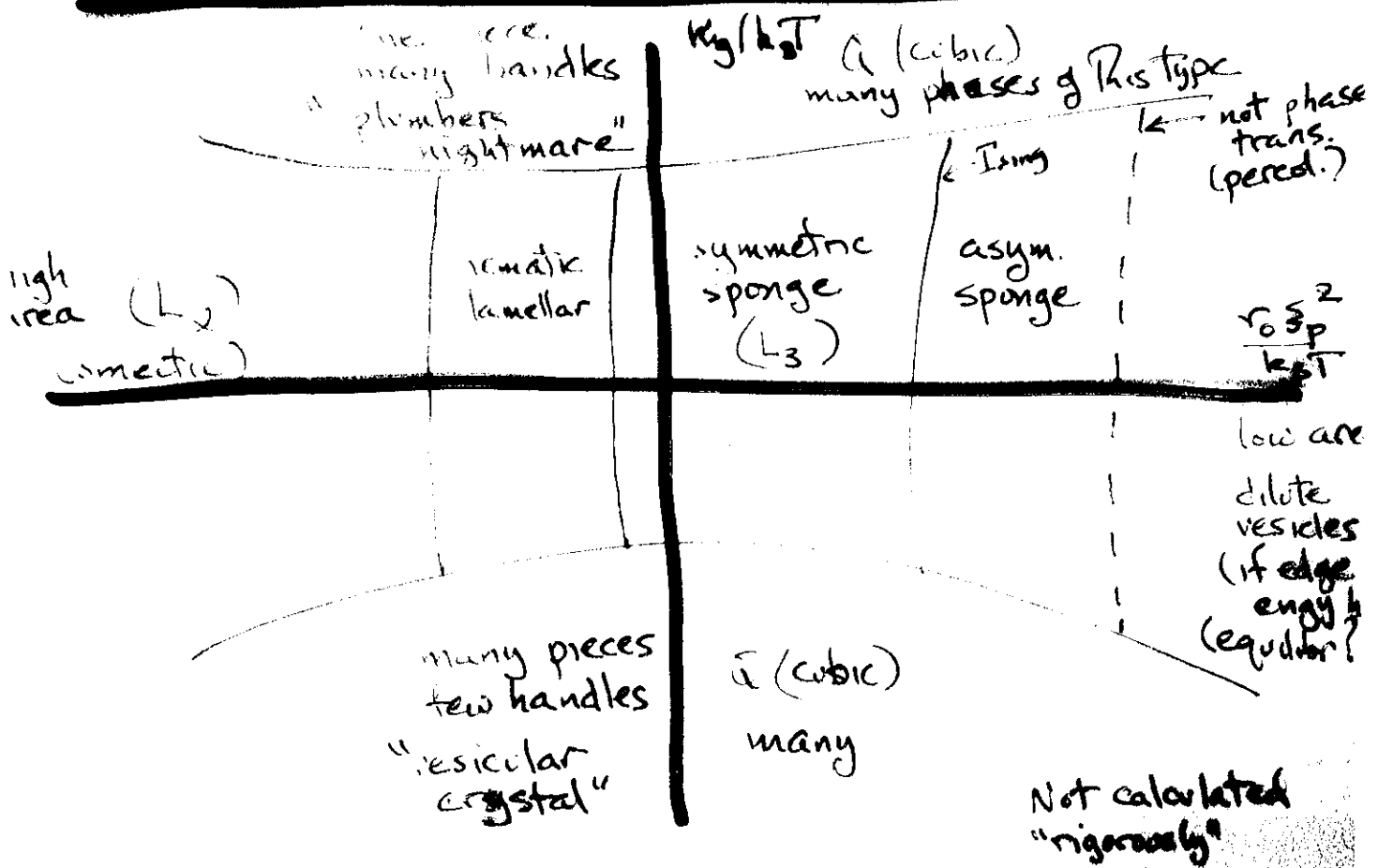
Huse & Leibler, J. de Physique. 49, 605 (1988)

$$\mathcal{K}[S] = r_0 \int dS + \frac{\kappa_b}{2} \int dS (c_1 + c_2)^2 + \frac{\kappa_g}{4\pi \kappa_g (N'_p - N'_h)}$$

Three dimensionless parameters:

$$\frac{\kappa_b}{k_B T} \quad \frac{\kappa_g}{k_B T} \quad \frac{r_0^2}{k_B T} \leftarrow \text{since } [r_0] = \frac{\text{energy}}{\text{area}}$$

To simplify: Assume $\frac{\kappa_b}{k_B T} \approx 1$ otherwise no structured phases



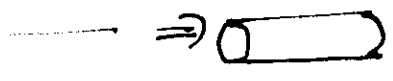
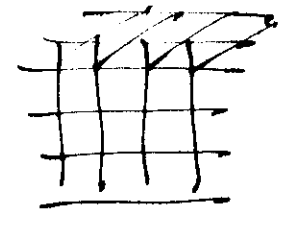
DISCUSSION

handles extensive
pieces extensive

• $\frac{f_{ig}}{k_B T}$ axis

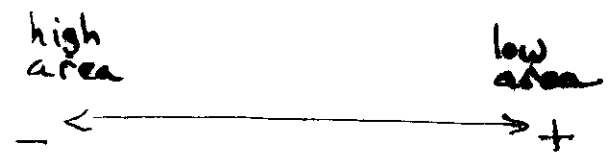
topological axis

• "flumber's nightmare" Imagine lattice



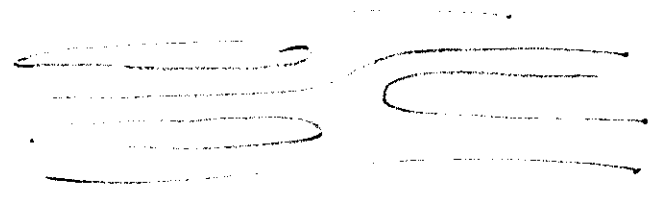
• $\frac{v_3^2}{k_B T}$ axis

Area axis



• Smectic

• Nematic lamellar (holes)



• symmetric sponge (outside/inside equiv.)

this sym. must be broken to get to vesicles!

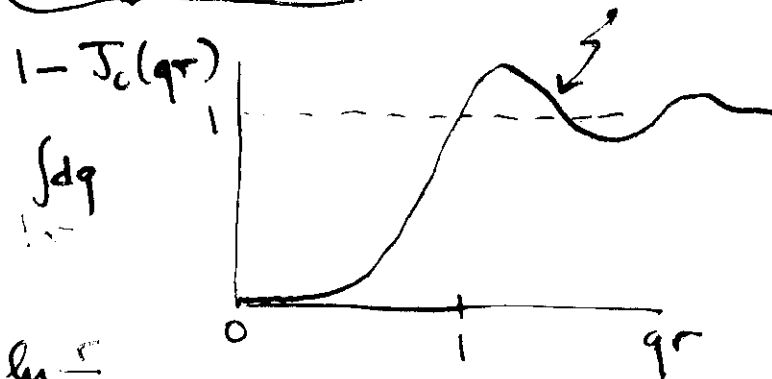
• Vesicular Phase (percolation)

• Metastability

$$\langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{0}) \rangle - 1 \quad \text{cutoff}$$

$$= \frac{k_B T}{2\pi k_b} \int_0^{1/d} \frac{dq}{q} \left(1 - \frac{1}{2\pi} \int_0^{2\pi} d\theta \cos(qr \cos\theta) \right)$$

effect of $(1 - J_0(qr))$
is to cut off low limit $\int dq$



$$\Rightarrow \langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{0}) \rangle \approx 1 - \frac{k_B T}{2\pi k_b} \ln \frac{r}{d}$$

which describes initial fall-off of correl.,
before membe. is too far from flat

Define ξ_p as distance where $\langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{0}) \rangle$
has fallen to $(1 - \alpha)$.

$$\Rightarrow \frac{k_B T}{2\pi k_b} \ln \frac{\xi_p}{d} = \alpha$$

$$\Rightarrow \boxed{\xi_p = d \exp\left(\frac{2\pi \alpha k_b}{k_B T}\right)}$$

- temperature dependent ($\rightarrow \infty$ at $T \rightarrow 0$)
- exponential form (c.f. flexible polymer)
 $\langle \hat{t}(s) \cdot \hat{t}(c) \rangle =$
- very large for phospholipid membrane ($k_b \sim 20 k_B T$)
- Better calculation gives

$$\langle \hat{n}(r) \cdot \hat{n}(0) \rangle - \langle \hat{n} \rangle^2 \sim e^{-r/\xi_p}, \quad \xi_p = d e^{\frac{4\pi k_b}{3k_B T}}$$

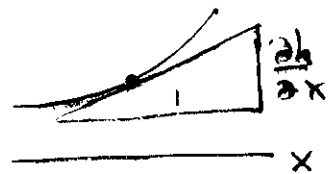
Calculation of the persistence length ξ_p

What is \hat{n} in Monge representation?

$$\hat{n} \perp (0, 0, \frac{\partial h}{\partial x})$$

$$\hat{n} \perp (0, 1, \frac{\partial h}{\partial y})$$

$$\hat{n} \parallel (-\frac{\partial h}{\partial x}, -\frac{\partial h}{\partial y}, 1)$$



normalize: $\hat{n} = \frac{(-\frac{\partial h}{\partial x}, -\frac{\partial h}{\partial y}, 1)}{\sqrt{1 + (\nabla h)^2}}$

expand: $\hat{n} = (-\frac{\partial h}{\partial x}, -\frac{\partial h}{\partial y}, 1 - \frac{1}{2}(\nabla h)^2) + c(l^3)$

$$\begin{aligned} \hat{n}(\vec{0}) \cdot \hat{n}(\vec{r}) &= 1 - \frac{1}{2} [(\nabla h(\vec{0}))^2 + (\nabla h(\vec{r}))^2] + \frac{\partial h(\vec{0})}{\partial x} \frac{\partial h(\vec{r})}{\partial x} + \frac{\partial h(\vec{0})}{\partial y} \frac{\partial h(\vec{r})}{\partial y} + c(l^3) \\ &= 1 - \frac{1}{2} (\nabla h(\vec{r}) - \nabla h(\vec{0}))^2 + c(l^3) \end{aligned}$$

But, $h(\vec{r}) = \int \frac{d^2 q}{(2\pi)^2} e^{i q \cdot r} h(\vec{q}) = \int \frac{d^2 q}{(2\pi)^2} e^{i q \cdot r} l^* (\vec{q})$

$$\Rightarrow \langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{0}) \rangle = 1 - \frac{1}{2} \int \frac{d^2 q}{(2\pi)^2} (e^{i q \cdot r} - 1)(e^{-i q \cdot r} - 1) q^2 \langle |h_q|^2 \rangle$$

$$= \int \frac{d^2 q}{(2\pi)^2} 2 \sin^2(\frac{q \cdot r}{2}) q^2 \frac{k_B T}{\kappa_b q^2 + \kappa_b q^4}$$

So, for tensionless membrane ($\sigma = 0$),

$$\langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{0}) \rangle = 1 - \int \frac{d^2 q}{(2\pi)^2} \frac{k_B T}{\kappa_b} \frac{(1 - \cos(q \cdot r))}{q^2} + \dots$$

colligned (flat) membrane

effect of fluctuations
($\rightarrow 0$ at $\vec{r} = 0$)
estimate

The de Gennes - Taupin "Persistence Length"

for a symmetric ($c_1=c_2$) fluid sheet flat at $T=0$

$$H = \int dS \left[\gamma_0 + \frac{\kappa_b}{2} (c_1 + c_2)^2 \right] h(x,y)$$

$$\frac{d^2 h}{dx^2} + \frac{d^2 h}{dy^2} \left[\gamma_0 + \frac{\kappa_b}{2} \left(\frac{d^2 h}{dx^2} + \frac{d^2 h}{dy^2} \right)^2 \right]$$

(expand)

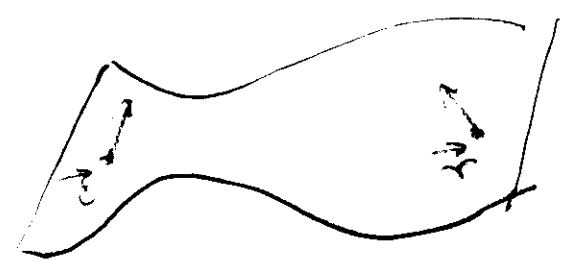
$$= \text{Constant} + \int_S d^2 r \left[\frac{\gamma_0}{2} (\nabla^2 h)^2 + \frac{\kappa_b}{2} (\nabla^2 h)^2 + \text{higher order} \right]$$

$$\rightarrow \text{Constant} + \frac{1}{2} \int \frac{(dq)^2}{(2\pi)^2} \left[\underbrace{\gamma_0 q^2}_{\text{mechanical tension}} + \underbrace{\kappa_b q^4}_{\text{curvature rigidity}} |h_q|^2 + o(h^4) \right]$$

At $T=0$ this membrane is flat (planar) under combined effect of surface tension and bending rigidity.

For $T > 0$, $\langle \hat{n}(\vec{r}) \cdot \hat{n}(\vec{r}') \rangle = 1$

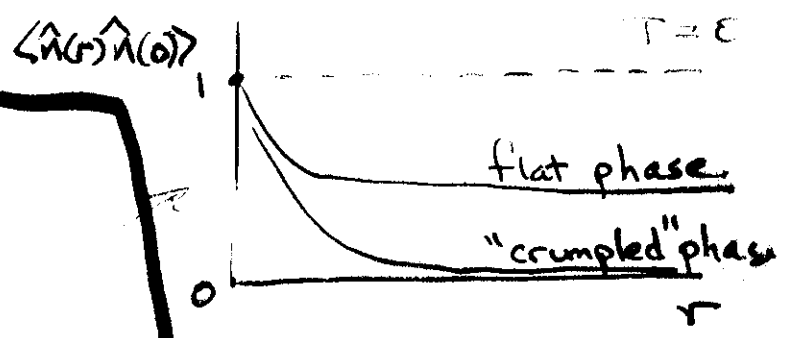
thermally induced undulations occur, so normals $\hat{n}(\vec{r})$ and $\hat{n}(\vec{r}')$ lose correlation when \vec{r}' is far from \vec{r} .



Gaussian fluctuations:

$$\langle |h_q|^2 \rangle = \frac{k_B T}{\gamma_0 q^2 + \kappa_b q^4}$$

use this to calculate initial fall-off (new membr. is not too far from planar).



$$H[S] = \gamma_0 \int dS + \frac{1}{2} \kappa_b \int dS (c_1 + c_2 - c_0)^2 + \kappa_g \int dS c_1 c_2 \quad (28)$$

+ higher order in d/R + λ_{edge} ledge

- When $c_0 \neq 0$ membrane has lowest energy in "bent" state, i.e., with a "spontaneous" curvature.
If the two sides are equivalent, then $c_0 = 0$.
(not true with vesicles!)
- $\int dS c_1 c_2 = 4\pi (N_{pieces} - N_{handles})$ for closed topology
"Gauss-Bonnet Theorem"
Topological invariant.
(= constant for any system with fixed topology)
But crucial if topology is variable!
- $\kappa_g = \kappa d^2$ hard to measure
can be positive or negative!
- γ_0 term measures area constant if area fixed.
But crucial if amphiphile density in solution is important variable!
- For incompressible closed membrane with given (fixed) N_{amphi} and given (fixed) topology

the Helfrich Hamiltonian"

$$H_{Helfrich}[S] = \frac{1}{2} \kappa_b \int dS (c_1 + c_2 - c_0)^2$$

+ one additional contrib.
due to elasticity + bilayer
① ④

Applications

$$\left. \begin{aligned} c_1 &= \frac{1}{R_1} \\ c_2 &= \frac{1}{R_2} \end{aligned} \right\} R_1, R_2 = \text{local principle radii of curvature}$$

Note: $c_1 + c_2 = \text{tr } K = 2H$
 curvature tensor \nearrow mean curvature \uparrow

Local shape invariants:

c_1, c_2 + coefficients of all higher order terms

$c_{1,2} \sim 1/R$ ($1/R^2, 1/R^3, \text{ etc}$)

Concentrate for the moment on c_1, c_2 :

• Any fcn $f(c_1, c_2)$ is a Euclidean invariant

• To satisfy isotropy, we can't distinguish c_1, c_2

what about $c_1 - c_2$?

- linear: $(c_1 + c_2) \sim \text{tr } K \sim 1/R$
- quadratic: $c_1^2 + c_2^2, c_1 c_2 \leftrightarrow (c_1 + c_2)^2, c_1 c_2 \sim 1/R^2$
- cubic: $(c_1^3 + c_2^3), c_1 c_2 (c_1 + c_2) \sim 1/R^3$
-

But, membrane elastic strains $\sim \frac{d}{R}$

\Rightarrow higher powers of $1/R$ always bring 10^{-3} in higher powers of small parameter

$$H[S] = \int_S ds \left[r_0 + \frac{1}{2} K_b (c_1 + c_2 - c_0)^2 + K_g c_1 c_2 + O\left(\frac{d}{R}\right)^3 \right]$$

\nwarrow bending modulus $\quad \nwarrow$ spontaneous curvature $\quad \nwarrow$ Gaussian modulus
 $\sim (Kd^2) \quad \quad \quad \sim (Kd^2)$
 $\sim \left(\frac{d}{R}\right) + \left(\frac{d}{R}\right)^2$ sub-invariant

$\int_S ds$
 controls membrane area

What is energy functional (Hamiltonian) for $d=2$ fluid membrane? incompressible
unfilled
uncharged.

(26)

dynamics: $\{\vec{r}(s_1, s_2)\} = [\text{Shape}]$

Assume Landau theory:

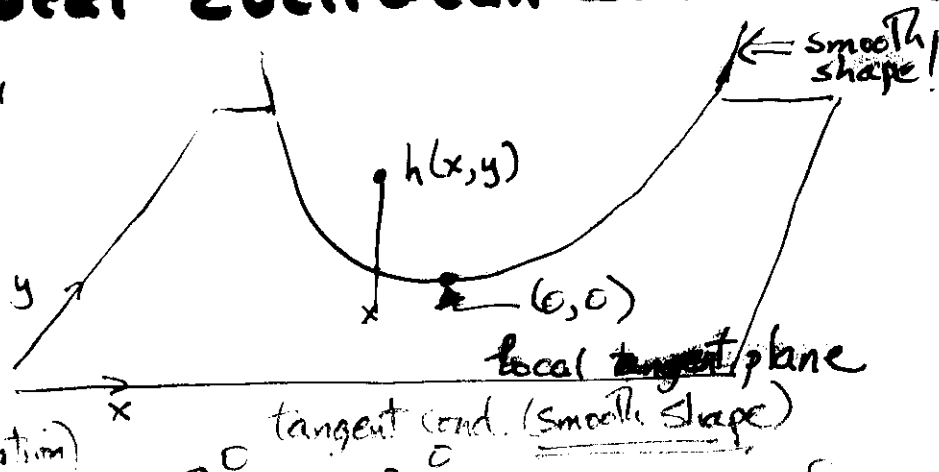
$$\mathcal{E}[S] = \int_S ds f(\vec{r}) \quad \left\{ \begin{array}{l} \text{local elastic energy} \\ \text{deformation} \end{array} \right.$$

- If f depends on "shape", then it must be independent of rotations and translations. (Euclidean transformations)
- In addition, if fluid is isotropic, it cannot distinguish any special direction in the membrane.

Comment: Local Euclidean Invariants

"Monge representation"

local shape is height above local tangent plane.

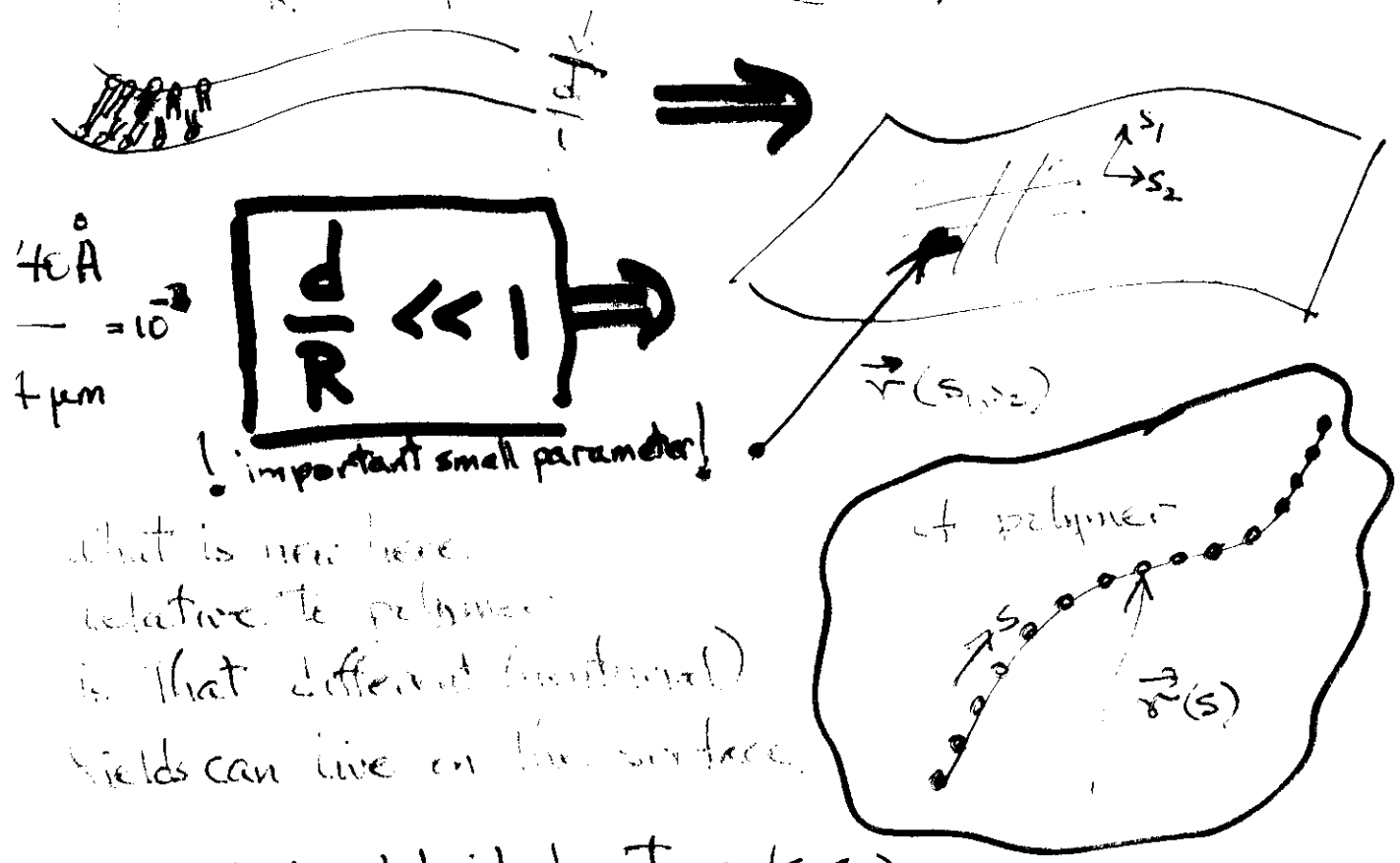


$$h(x, y) = h_0 + x \left. \frac{dh}{dx} \right|_0 + y \left. \frac{dh}{dy} \right|_0 + \frac{1}{2} x^2 \left. \frac{d^2h}{dx^2} \right|_0 + xy \left. \frac{d^2h}{dx dy} \right|_0 + \frac{1}{2} y^2 \left. \frac{d^2h}{dy^2} \right|_0 + \dots$$

c (choice of local axes)

$$\Rightarrow \frac{1}{2} c_1 x^2 + \frac{1}{2} c_2 y^2 + O(x^3, x^2y, xy^2, y^3)$$

Mesoscopic Description [of L_2 Phase (s)] (3)



what is new here relative to polymer is that different functional fields can live on the surface.

- 1. local phospholipid density $n(s_1, s_2)$
 Long equivalently, $a(s_1, s_2) = \text{area/molecule}$
 - 2. tilt fields
 - 3. hexatic fields
- } for tilted or gel phases only
- 4. Plus: these fields can be different for the two leaves of the bilayer

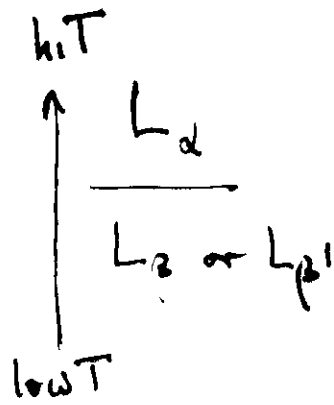
Most of these field theories are incompletely understood.

For simple "incompressible" $d=2$ untilted fluid we can neglect them all

(but, later, we will have to come back and look more closely at points ① + ④)

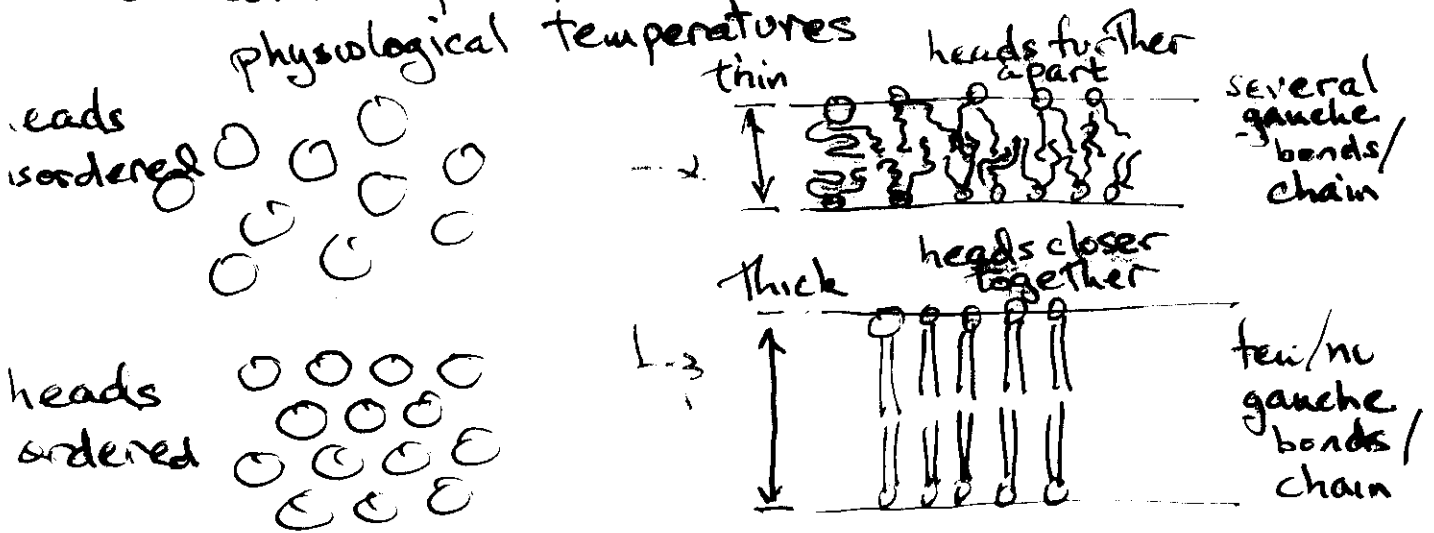
Comments:

Transition:



"Main transition"
(weakly) first order

For common phospholipids takes place near physiological temperatures



- Volume preserved (to good approx) tails = "oil" layer
- Nature uses L_2 phase (needs good mobility?)
- But likes to operate just above main transition for reasons which are not understood.
- Regulation via $\left\{ \begin{array}{l} \text{cis bonds in (one) lipid chain} \\ \text{cholesterol} \end{array} \right.$

Bilayer phases :

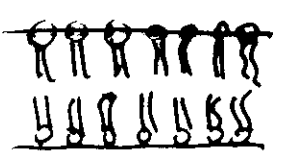
Bilayer structures can exist with many different internal states!

cf: liquid crystals: SmA (no tilt); SmC (tilted) (phases)

<u>d=2 (flat) materials:</u>		fluid	hexatic	quasi-solid
Order	translation	SR	SR	GLR
	orientation	SR	GLR	LR

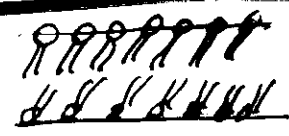
Bilayer fluids:

"liquid crystal"
SmA fluid



No shear rigidity
No average mol. tilt.
Isotropic d=2 fluid
D large

Biology Uses This Phase

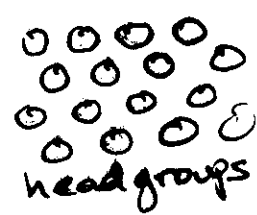


SmC fluid

No shear rigidity
+ molecular tilt
Anisotropic d=2 fluid
D large

Bilayer gel (hexatic)

"SmA" d=2 hexatic gel



headgroups "Solid" or "gel"
(probably hexatic)
"no" diffusion
shear rigidity =?
No average tilt

"SmC" d=2 hexatic gel

DMPC

same with ave tilt
"gel"
"no" diffusion



shear rigids?
periodic tilt

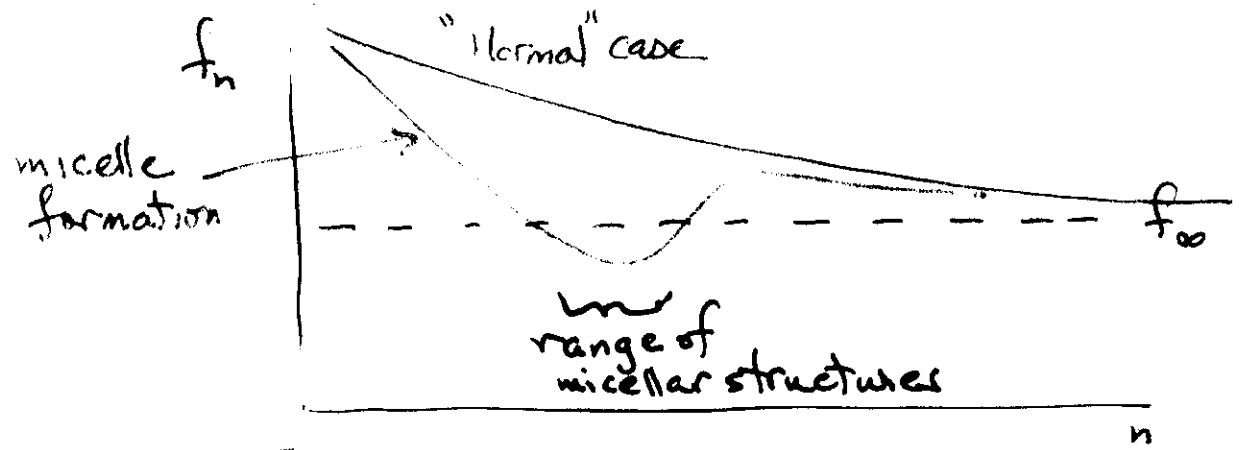
ripple phase

Thus, $\ln \Xi = V \sum_{n=1}^{\infty} \left(\frac{e^{-\beta(E_n - n\mu)}}{n!} = \frac{e^{-\beta n(\epsilon_n - \mu)}}{n!} \right)$

$\langle N_n \rangle = - \frac{\partial}{\partial (\beta E_n)} \ln \Xi = V \frac{e^{-\beta n(\epsilon_n - \mu)}}{n!}$

$\Rightarrow \frac{\langle N_n \rangle}{V} = \frac{e^{-\beta n(\epsilon_n - \mu)}}{n!}, \quad f_n = \epsilon_n + kT \ln n$

What happens as monomer density increases? (i.e., as $\mu \uparrow$) — dominated by $1/n^k$



"Normal case"

$\frac{n \langle N_n \rangle}{V}$

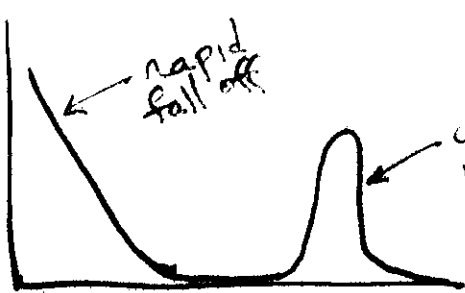


all density remains at small n until infinite aggregate forms

monomeric density increases

$\frac{n \langle N_n \rangle}{V}$

"micellar case"



all extra monomers go into micellar structures.

Total # monomers:

$$N = \sum_{n=1}^{\infty} n N_n$$

$N_1 = \#$ monomers

$N_2 = \#$ pair aggregates
...

So,

$$E_{\text{total}}(\{N_n\}) = \sum_{n=1}^{\infty} (E_n N_n = n \epsilon_n N_n)$$

(neglects inter-aggregate interactions)
OK at low density

Number of configurations:

How many different ways can we put N particles in volume V aggregated according to $\{N_n\}$?

$$g(\{N_n\}) \approx N! \prod_{n=1}^{\infty} \left[\frac{V^{N_n}}{(n!)^{N_n} N_n!} \right]$$

neglects excluded volume (OK low density)

Partition Function:

$$Z_N(T, V) = \sum_{\{N_n\}} g(\{N_n\}) e^{-\beta E_{\text{total}}(\{N_n\})}$$

$N = \sum_n n N_n$ fixed

Grand Canonical

$$\Xi(T, V, \mu) = \text{Tr} e^{-\beta(\mathcal{H} - \mu N)} = \sum_{N=0}^{\infty} \frac{e^{\beta \mu N}}{N!} Z_N(T, V)$$

$$= \prod_{n=1}^{\infty} \left[\sum_{N_n=0}^{\infty} \frac{e^{-\beta N_n (E_n - n \mu)} V^{N_n}}{N_n! (n!)^{N_n}} \right]$$

$$= \prod_{n=1}^{\infty} \exp \left[\frac{V e^{-\beta(E_n - n \mu)}}{n!} \right]$$

A.3 Statistical Mechanics of Aggregation

Small- vs large-scale structures

micelles

hex
lamellae

Note: The CMC is NOT a thermodynamic phase trans!
NOT sharp!

$\phi \ll \text{CMC}$: No micelles present in solution. ^{only monomer}

$\phi \gtrsim \text{CMC}$: Virtually all added amphiphile goes into aggregates

Consider a set of monomers controlled by a single overall chemical potential μ

It costs E_1 to put an isolated monomer into solution

But, if we put in two, then a two-particle aggregate can form with energy $E_2 < 2E_1$

(e.g., because of hydrophobic shielding)

Generally, there can be n -particle aggregates with

$$E_n = n \Sigma_n \quad \leftarrow \begin{array}{l} \text{energy/particle} \\ \text{for particle in } n\text{-aggregate} \end{array}$$

Note: There may several different n -particle aggregates. We ignore this complication!

For a large aggregate (e.g., big bilayer fragment)

we expect $\lim_{n \rightarrow \infty} \Sigma_n \rightarrow \Sigma_\infty$ and for n large

$$E_n = n \Sigma_\infty \left(1 + \frac{\alpha^4}{n^{\times 4}} \right) \quad \begin{array}{l} \text{positive} \\ \text{power} \end{array} \quad \begin{array}{l} \text{due to} \\ \text{edge/surface} \\ \text{effects} \end{array}$$

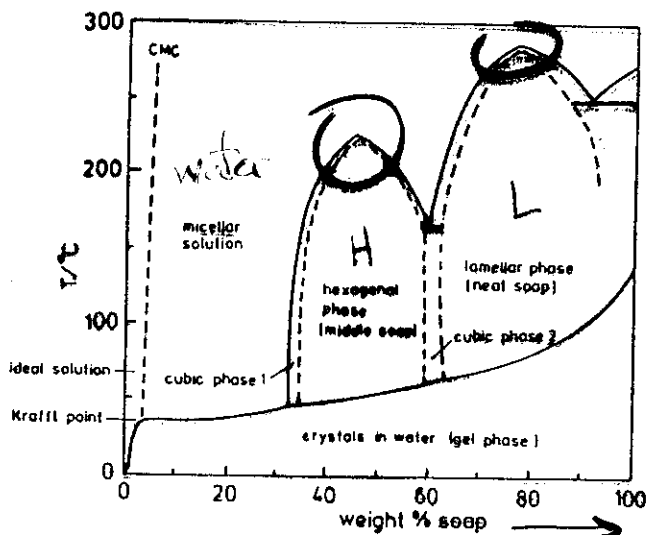


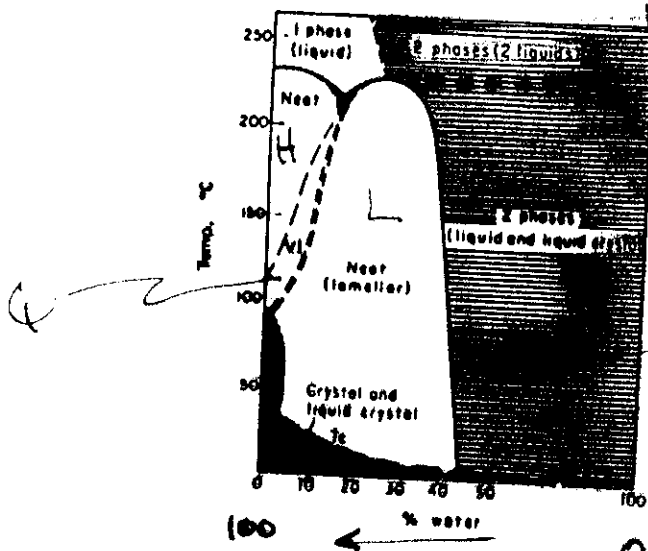
Fig. 4.6. Simplified phase diagram of a typical soap-water system

Vertogen & de Jeu
Thermotropic Liquid Crystals, p. 59

Egg Phosphatidylcholine

CMC structure

Marsh
Handbook of Lipid Bilayers, p. 125



Note inversion of axis

V.L. = viscous isotropic (cubic), neat = (hexagonal), neat (lamellar) = L₁, and I phase (liquid) = isotropic.
 (From Small, D. M., *J. Lipid Res.*, 8, 551, 1967. With permission.)

PLUS

disordered versions of The above

e.g., hex \rightarrow spaghetti

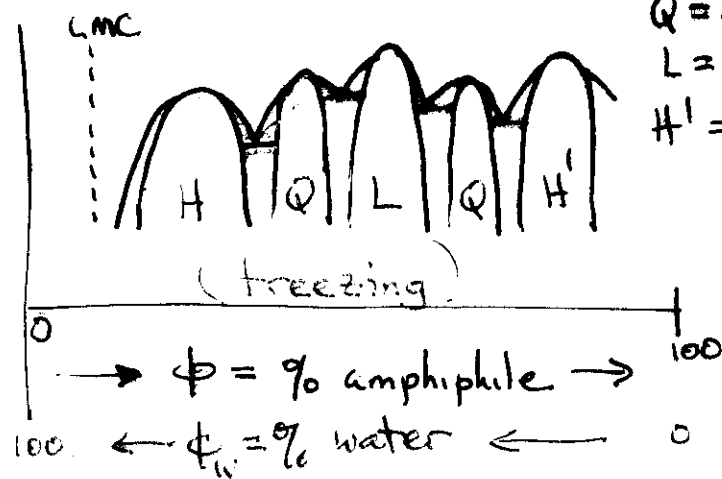
lamellar cubic \rightarrow sponge

inverted versions of The above

e.g., inverted hex (water inside)

A.2. Phase diagrams

Generic
phase
diagram



H = hexagonal
Q = cubic
L = lamellar
H' = inv. hex

- LMC = "critical micelle concentration" where do micelles first form? (not a sharp line) 10^{-10} M for phospholipids.
- Usually first-order coexistence betw. phases
- Bulk amphiphile may be lamellar (so ~~sequence~~ is cut off)
- Phase separation of water-rich regions amphiphile-rich may be large
- Known poorly at best (active area)

A.1 Amphiphile-water structures

This is generic.

Phospholipid phase diagrams remain incompletely known

single molecules



- only at low concentration (10^{-10} M)

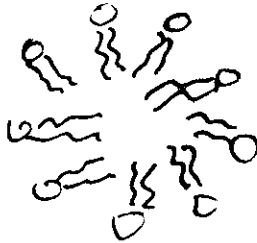
small - n complexes (?)



- anything which shields hydrocarbons!

micelles

(chain packing)

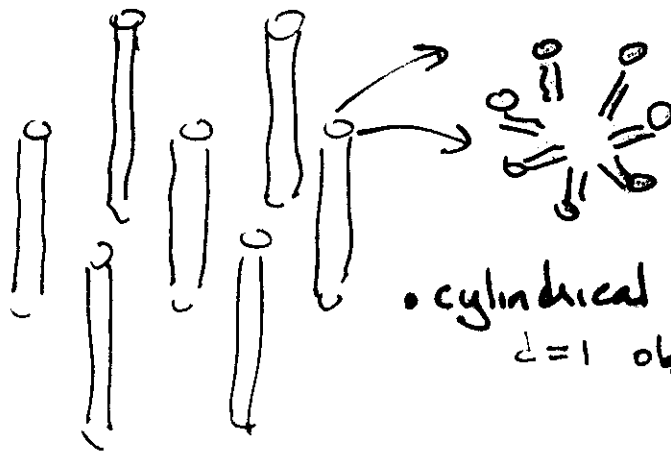


- may or may not be spheres
- relative size of head/tail
- new length scale!

micellar colloidal

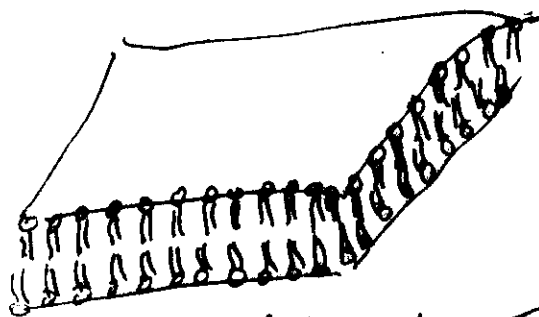
- micellar crystals
- micellar liquid crystals

hexagonal



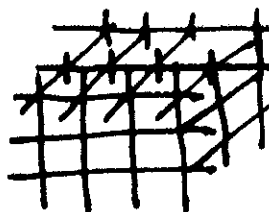
- cylindrical micelles
- $d=1$ objects!

lamellar



$d=2$ objects

cubic (b1ayer)



- $d=3$ crystalline structure
- plumber's nightmare

Aggregation Phenomena

- A. Phases + Phase Diagrams
- B. CMC, etc.
- C. Bilayer Phases + The "Main Transition"

Mesoscopic Shape-Energy Functional

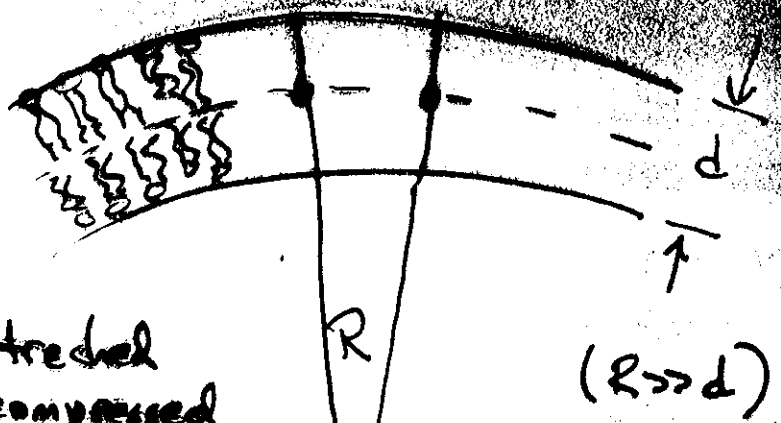
- A. "The Helfrich Hamiltonian"
- B. Lengths: Curvature persistence Length, ξ_p
- C. Helfrich-Hamiltonian Phase Diagram.

Membrane Statistical Mechanics for $R \gg \xi_p$

- A. Tethered Membranes
 - B. Fluid Membranes
- } energy/entropy arguments.

II. Shapes of micron scale fluid vesicles

Bending modulus of bilayer



- When membrane bends,
- outer leaf of bilayer stretched
 - inner leaf of bilayer compressed

$$\text{bending energy per unit area} = \epsilon_b = 2 \times \frac{1}{2} \left(\frac{K}{2} \right) \left(\frac{d/2}{R} \right)^2$$

$$= \frac{1}{2} k_b \frac{1}{R^2}$$

with $k_b = \frac{K d^2}{4} \sim \frac{10^2}{4} (40 \cdot 10^{-8})^2 \approx 4 \times 10^{-12} \text{ erg}$
 too large!
 (where to measure area?)

$k_b \sim 10^{-12} \text{ erg} \sim 25 k_B T$

 typical

Note: k_b is energy

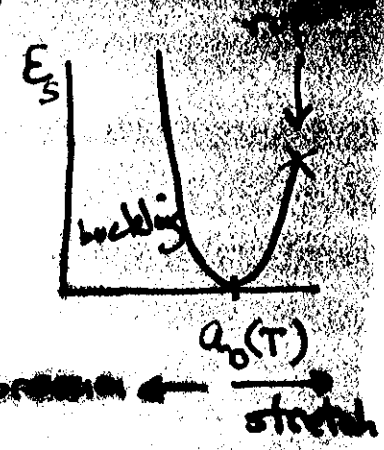
The fact that $k_b \gg k_B T$ will make the vesicle-shape problem a low-temperature problem!

The more important estimates, always

• Area compressibility modulus of bilayer K

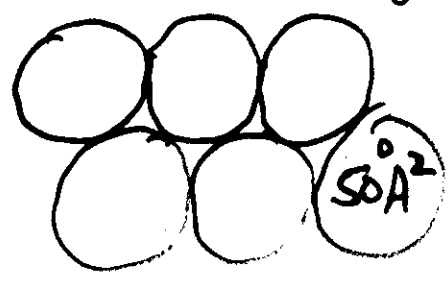
$$\text{energy/area (relative to relaxed self-assembled state)} = \frac{1}{2} K \left(\frac{\Delta A}{A} \right)^2 = \epsilon_s$$

$$\text{i.e. } \tau = K \left(\frac{\Delta A}{A} \right) \text{ (tension)}$$



$a_0(T)$ = relaxed area/headgroup
 $\sim 50 \text{ \AA}^2$

hypothesis: energy cost is due to hydrophobic exposure as head groups are pulled apart.



suppose pulling apart by 1 \AA produces one water mol. contact/head. on each side (1 \AA^2)

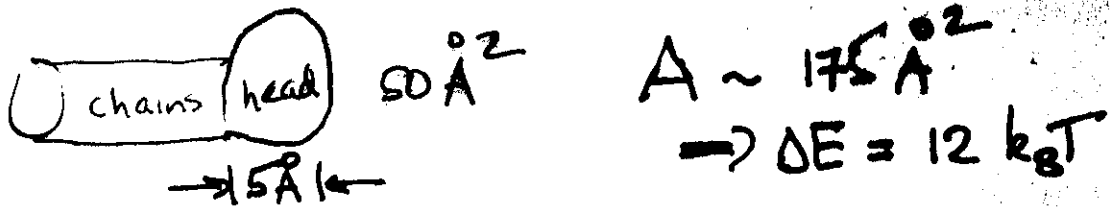
$$\frac{K}{2} \left(\frac{\Delta A}{A} \right)^2 = \frac{K}{2} \left(\frac{1}{50} \right)^2 = \frac{2 \cdot (0.07 k_B T)}{50}$$

$$\Rightarrow K = 0.3 \frac{k_B T}{\text{area/head}} \approx 10^2 \text{ erg/cm}^2 \text{ typical}$$

Note: Fluid membrane rupture under tension typically occurs at $\Delta A/A \sim 1-2\%$

Under tension $\tau_{\text{rupture}} = K \frac{\Delta A}{A} = 10^2 \cdot 10^{-2} \sim 1$

- Note that, once bilayer is formed, it is very difficult (unless there are edges/pores) to switch a phospholipid molecule from one side to the other:



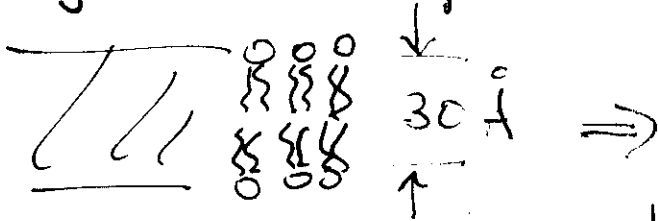
- By contrast the energy cost of putting a single water molecule into the bilayer interior is roughly:

 $4\pi (1 \text{ \AA})^2 \sim 12 \text{ \AA}^2 \Rightarrow \sim k_B T$

 so water passes readily through membrane.

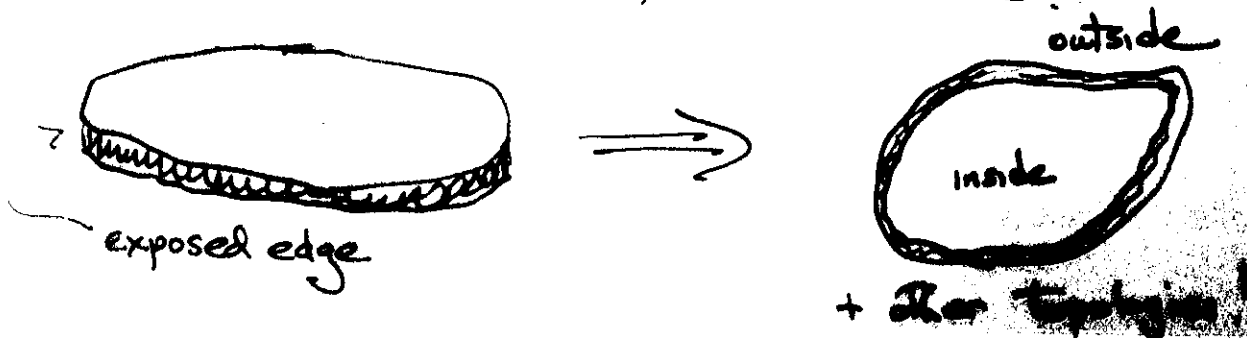
- Edges of bilayer cost hydrophobic energy:

 $\text{EDGE ENERGY/length} = 30(0.07) = 2 \text{ kJ/\AA}$



$$\lambda_{\text{edge}} = 8 \times 10^{-6} \text{ erg/cm}$$

This provides the "force" which acts to force bilayer sheets into closed ("vesicular") structures.



When water sits against another material,
 This local order is reduced

→ less bonding
 ⇒ energy cost/unit area

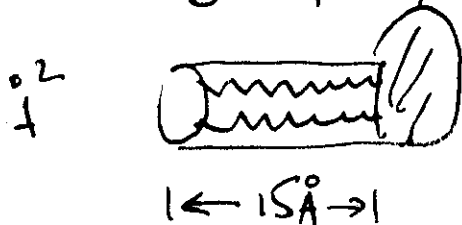
Note: Important estimate, too

$$\sigma_{\text{water/air}} = 70 \text{ erg/cm}^2 \sim 0.2 \text{ } k_B T / \text{\AA}^2$$

(30°C)

$$\sigma_{\text{water/oil}} \sim 30 \text{ erg/cm}^2 \sim 0.07 \text{ } k_B T / \text{\AA}^2$$

● A single phospholipid molecule is very insoluble!



⇒ hydrophobic area/molecule
 = 300\AA^2

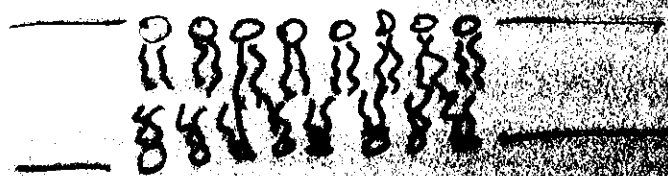
⇒ energy cost $\sim 20 \text{ } k_B T$ molecule

i.e., expect factor $e^{-\Delta E/k_B T}$ is solubility

⇒ monomeric concentrations of order
 $e^{-20} \sim 10^{-10} \text{ Molar}$ typical phospholipid

This forces phospholipids in water to aggregate in such a way as to shield tails from hydrophobic exposure to water.

ONE way to do this is bilayer :



Energetics of phospholipid self-assembly

Spontaneous self-assembly is NOT driven by attraction between phospholipid molecules!

Energy units:

(physicist)

(chemist)

$$k_B T_{\text{room}} = 1.38 \times 10^{-16} (300) = 4 \times 10^{-14} \text{ erg} = 4 \times 10^{-24} \text{ kJ}$$

so,

$$1 \text{ kJ/mole} = 1.04 \times 10^{-2} \text{ eV/part} = 1.6 \times 10^{-14} \text{ erg} = 0.4 k_B T_{\text{room}}$$

In these units: covalent bonds:

C-C	140 $k_B T$
C=C	244 $k_B T$

vdlw' bond:

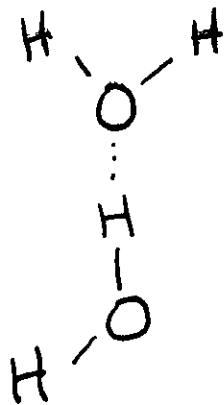
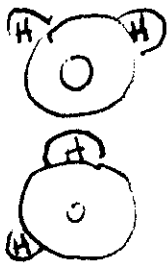
$\begin{array}{c} \\ \text{C} \text{H}_2 \\ \end{array}$	$\begin{array}{c} \\ \text{C} \text{H}_2 \\ \end{array}$	3 $k_B T$
--	--	-----------

trans/gauche 5 $k_B T$

water-water
water-polar head

hydrogen bond 8-11 $k_B T$

(not water-hydrocarbon!)

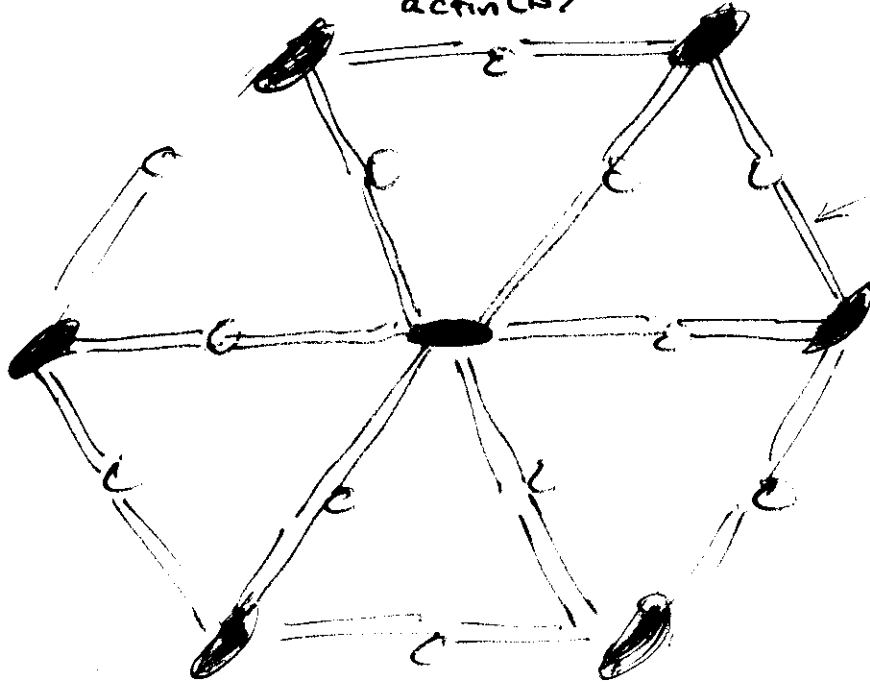
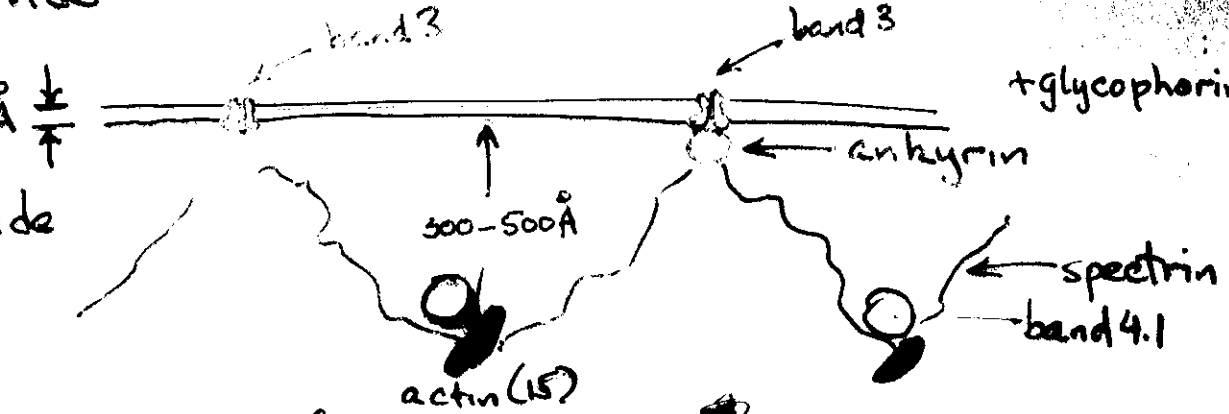


local order in liquid H_2O maximizes these bonding contacts

The Cytoskeleton (rbc only)

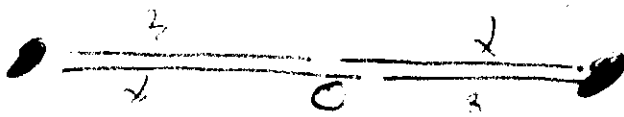
outside

40Å
inside



top view
(stretched)

760Å
(2000Å contour length)



spectrin tetramer (twisted)

2 = 240 KD
3 = 220 KD

CARTOON: Red blood cell membrane

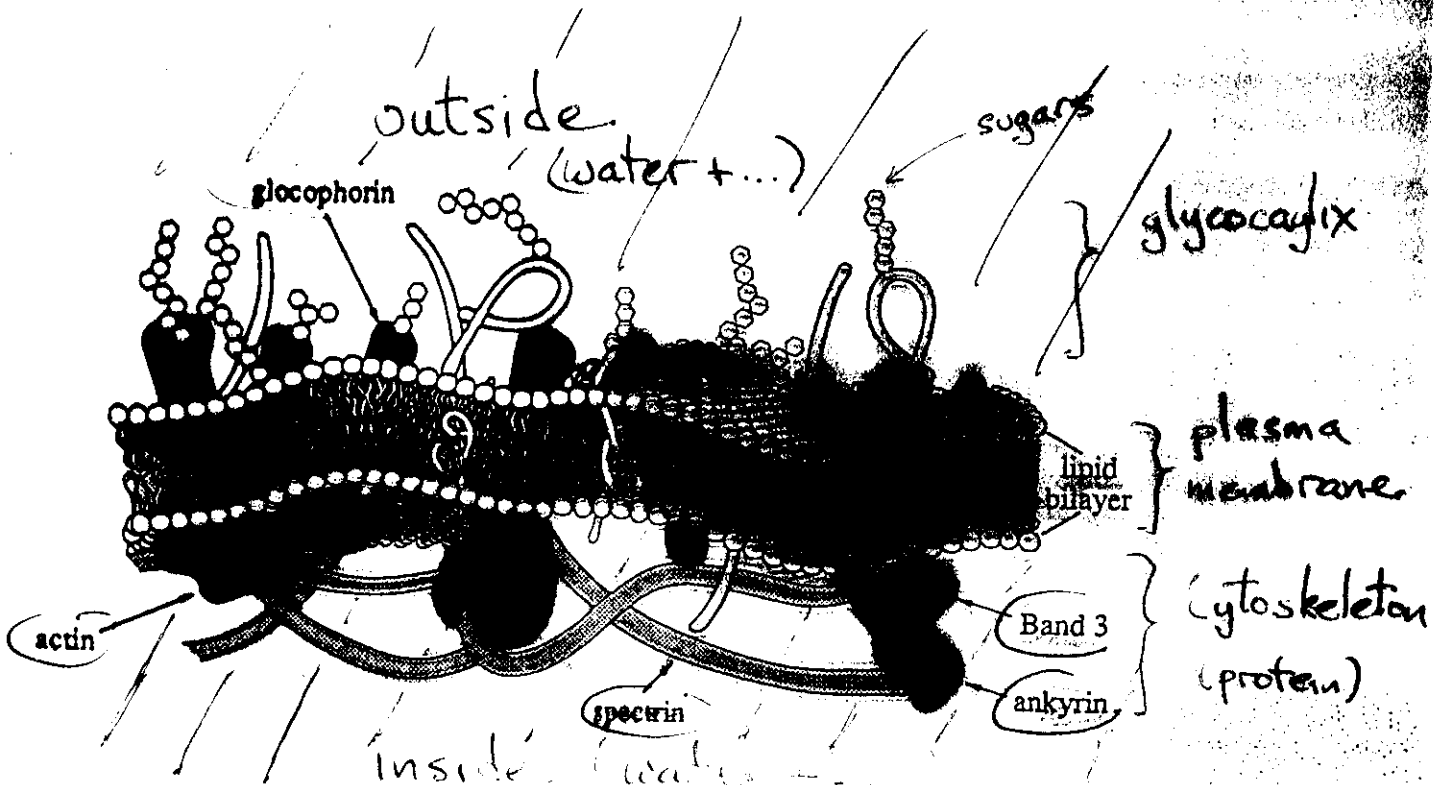
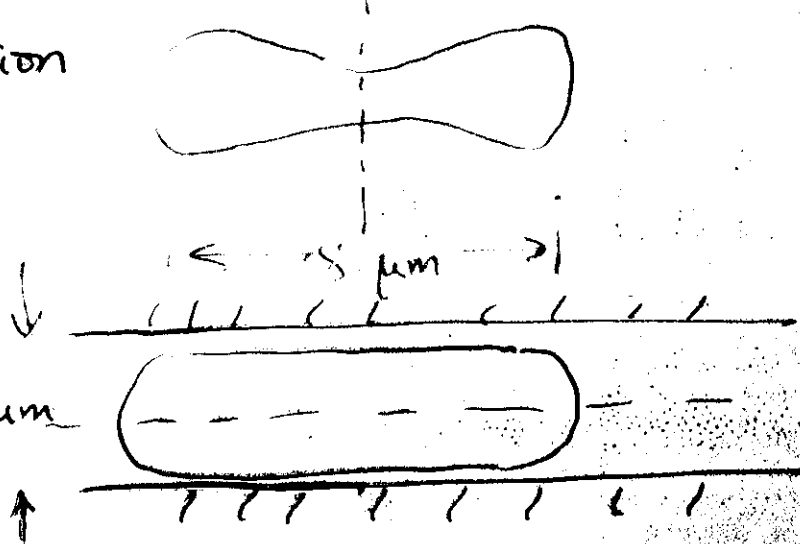


Figure 1.2: Anatomy of a red-cell membrane (Ref.[5]). The lipid bilayer is its main structural component. Band 3 and glycophorin are two types of intramembrane proteins. The cytoskeleton on the cytoplasmic (inner) side of the cell is formed primarily of linear spectrin molecules cross-linked via actin molecules. It is anchored to the lipid bilayer by its association with glycophorin via band 4.1 (protein), and with band 3 via ankyrin (protein).

- Composite material (fluid membrane + network)
 - typical of nature
 - phospholipid bilayer soft fluid sealer (100%)
 - protein network cross linked toughener (10%)
 - 120%

• Shape/function

deforms to sausage to fit thru 2-3 μm capillaries



Biological membranes are not thus simple:

1. Lipid mixture: Up to 10^3 types

e.g. rbc membrane. (human)

PC	PE	PS	Sphinglipid	Cholesterol
25%	22%	10%	18%	25%

2. Additional glycolipids, lipoproteins, etc

3. Cytoskeleton (protein) $\frac{\text{Lipid (wt)}}{\text{Protein}} = 0.75$

spectrin
Band 3
glycophorin
...

(often linked to cell interior)
but not for rbc

4. Functional proteins in membrane
(substrate)

pumps
adhesion molecules
clathrins
....

"BIOLOGY"

DMPC BILAYER

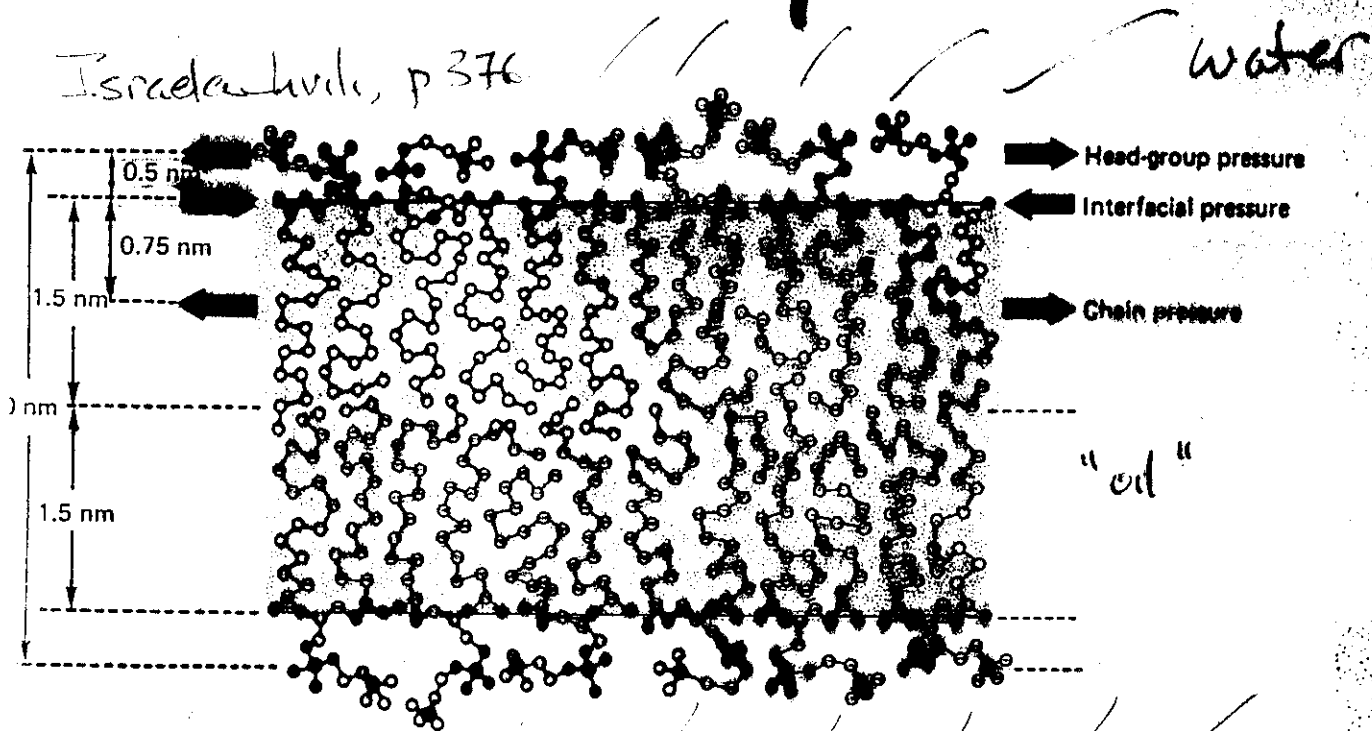


Fig. 17.4. Lecithin bilayer drawn to scale. The lipid bilayer is the basic structure of biological membranes, and most membrane lipids contain two hydrocarbon chains. The lipids diffuse rapidly in the plane of the bilayer, covering a distance of about $1 \mu\text{m}$ in 1 s. They also cross the bilayer from one side to the other ('flip-flop'), as well as exchange with lipids in the solution, but at much slower rates, of the order of hours (cf. the lifetime of single-chained surfactants in micelles of 10^{-5} to 10^{-3} s). (From Israelachvili et al., 1980a.)

In fluid phase, there are several gauche bonds/chain
 In gel phase chains are all-trans.

DMPC MOLECULE

Hennis, p. 38

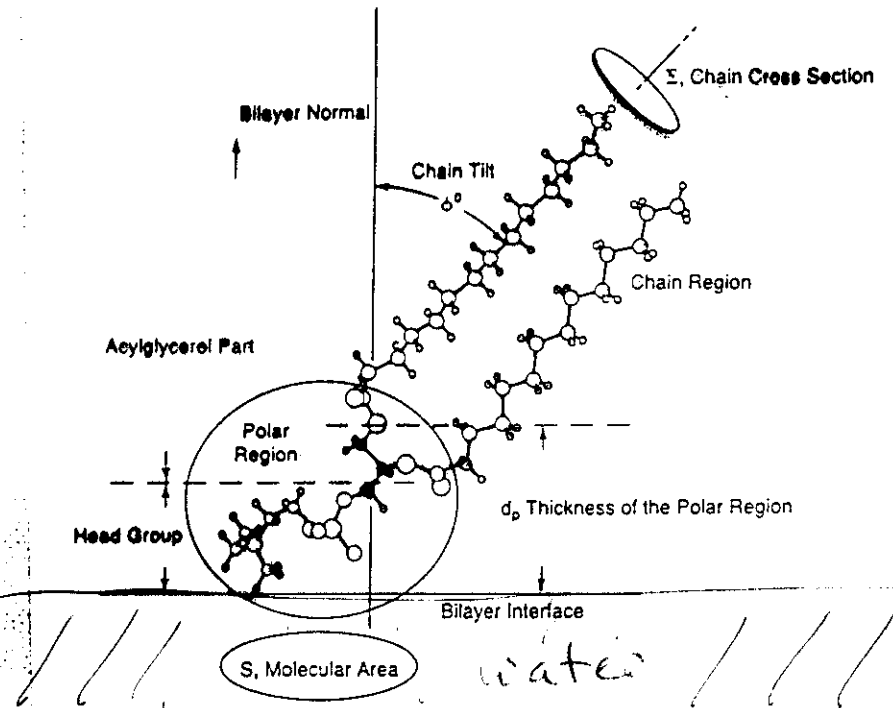


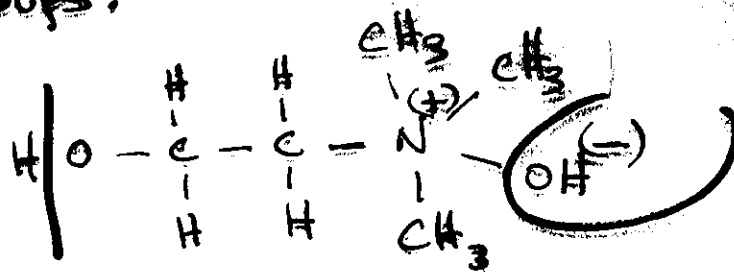
Figure 2.2. Schematic of a phosphatidylcholine molecule showing the structural notation defining various regions. Note that the cross-sectional area of the hydrocarbon chain, Σ , is taken perpendicular to the chain axis. The cross-sectional area parallel to the plane of the membrane will be, in this case, significantly larger due to the tilt of the chains. Adapted from ref. 604.

Chain tilt: (DMPC)

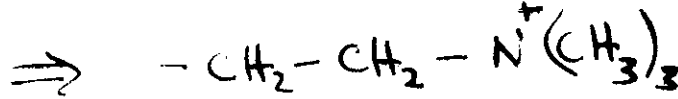
$\phi = 0$ in fluid (L_C, L_α) phase ($S_m A$)
 $\phi = 12^\circ$ in gel phase ($S_m C$)

Common headgroups:

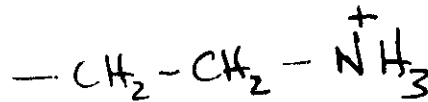
Choline



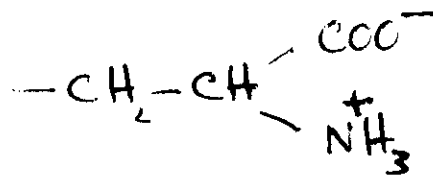
Choline



εthanolamine



Serine



Examples: PC = phosphatidylcholine (lecithins) e.g., egg yolk.

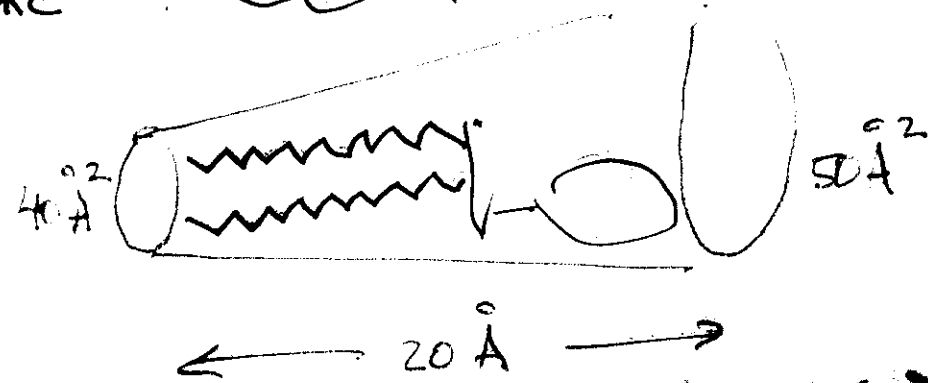
SOPC 1,3-bis(sn)-sn-Glycero-3-Phosphatidylcholine

DMPC 1,3-bis(sn)-sn-Glycero-3-Phosphatidylcholine

- PC zwitterionic
- PE zwitterionic
- PS cationic

hydrocarbon (oily) polar region

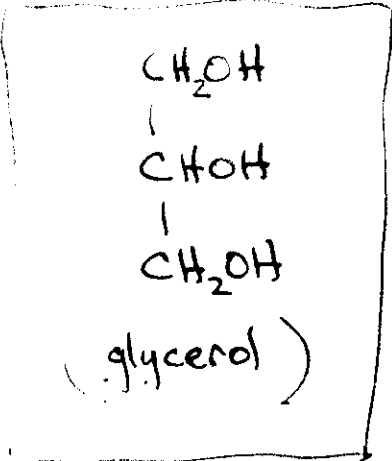
Basic molecule dimensions



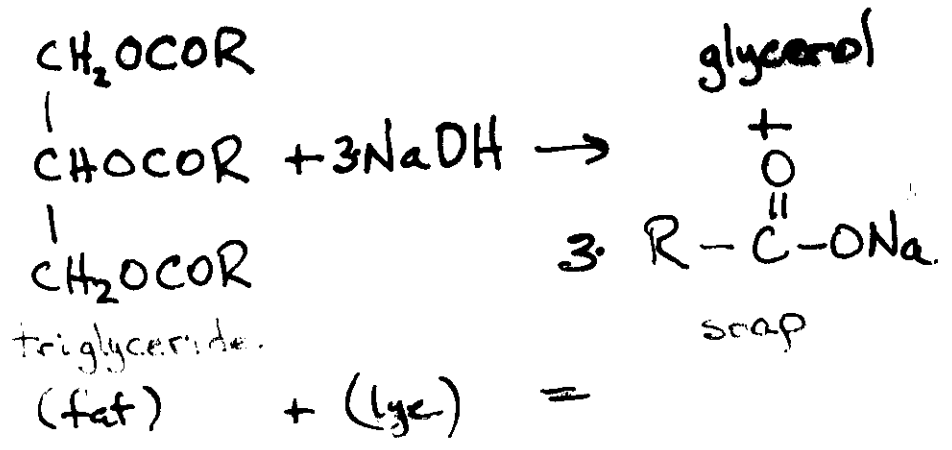
Emphasize importance for chain packing.

"AMPHIPHILIC" (amphipathic)

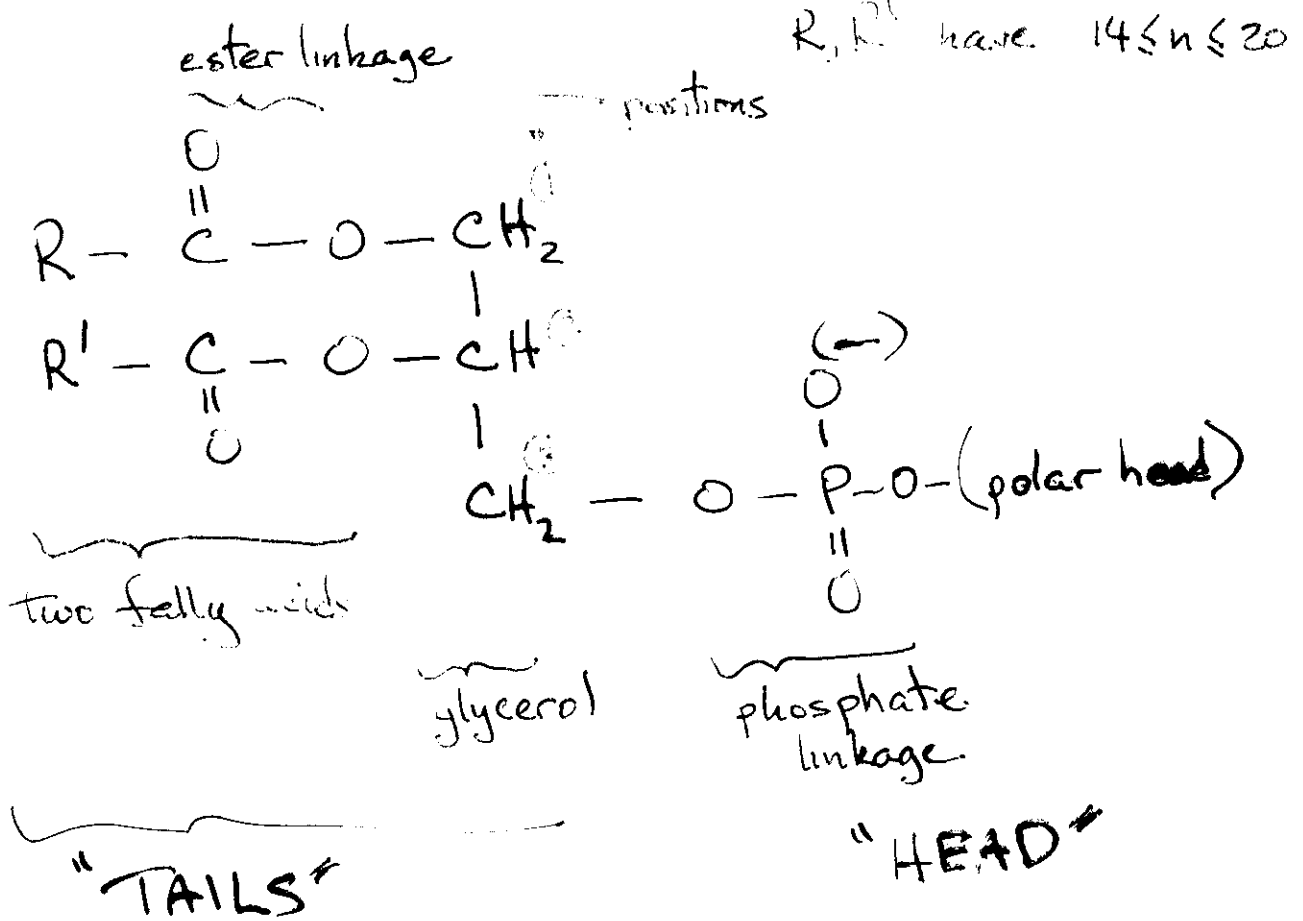
Each phospholipid molecule involves two fatty acids and a "head" group bonded via a glycerol.



Aside: Relation to soaps



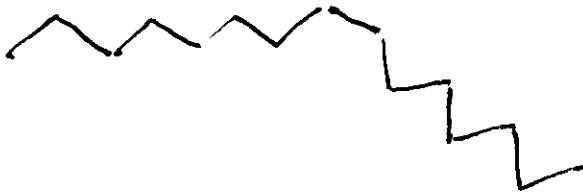
Phospholipid Molecule



Saturated chains are generally ^{almost} all-trans



A single cis double bond puts a "kink" in the direction of the chain.

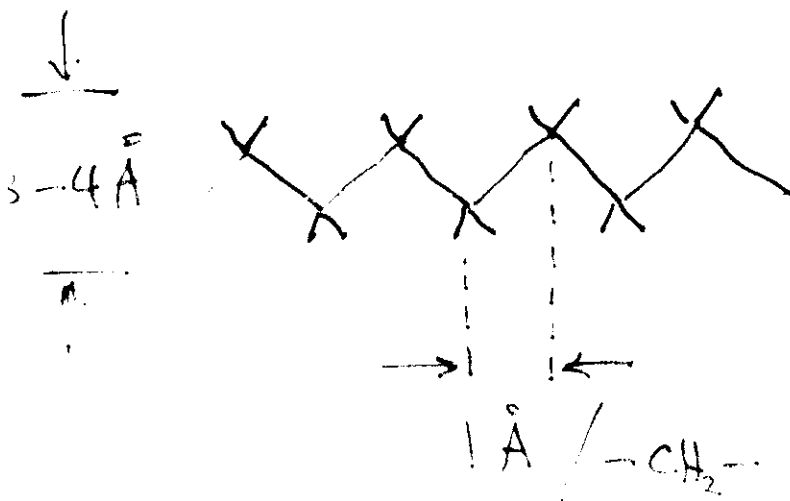


These kinks mean that chains "pack" less well.

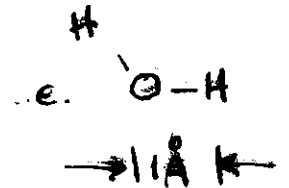
⇒ (e.g.) decreased viscosity

Nature uses this mechanism to adjust membrane fluidity (e.g., adjustment of SAE oil!)

Dimensions of basic saturated all-trans chain:



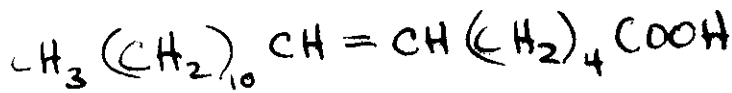
Covalent radii	
-H	0.3 Å
-C	0.77 Å
=C	0.67 Å
-O	0.66 Å



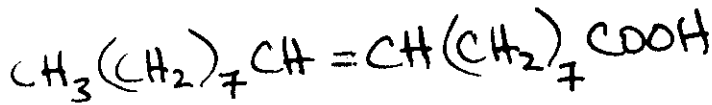
mono-unsaturated

position of double bond counts, e.g.,

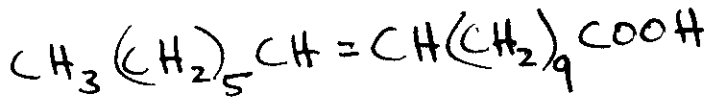
$n=18$



petroselenic
(6-cis)



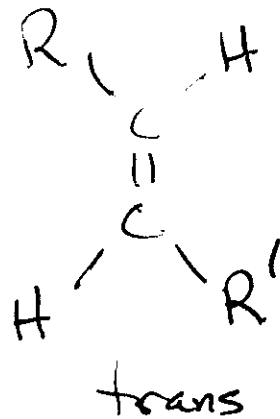
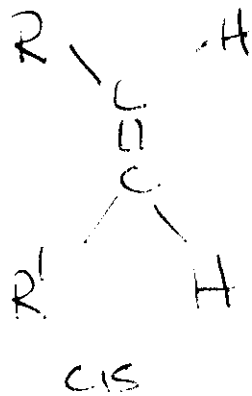
oleic
(9-cis)



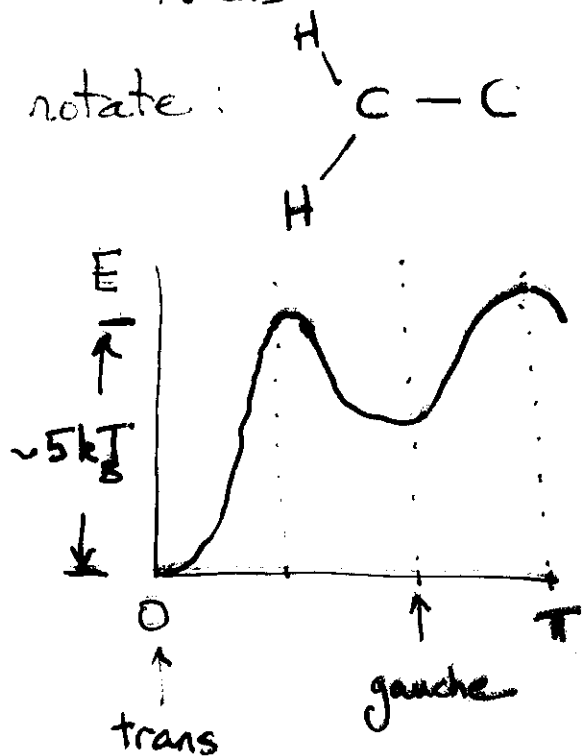
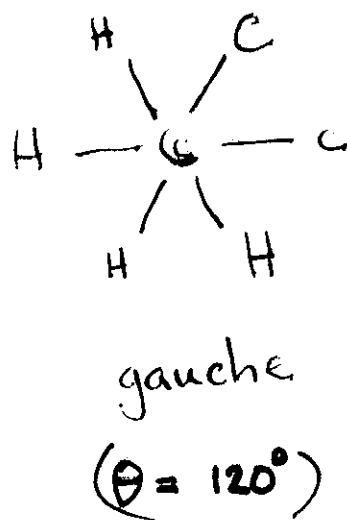
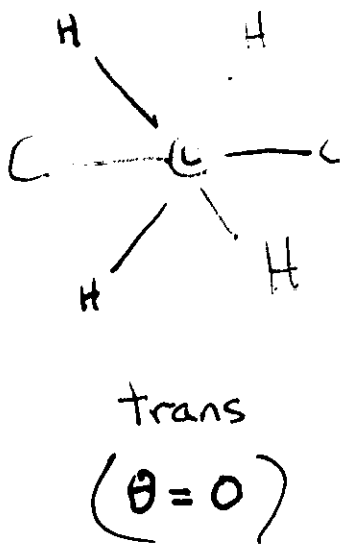
vaccenic
(11-cis or trans)

Numbering counts carbons from acid end.

Note: The double bond does NOT rotate!



R_2 substituents, single bond can rotate:



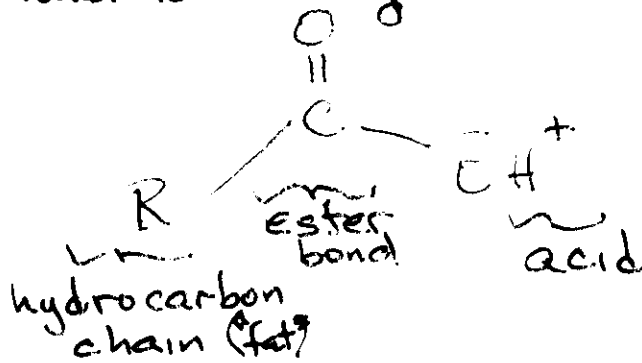
Chemistry

Note: lipids are more complicated than Ar or Al, so you need to know something about them before we get started!

What is a phospholipid?

Chemist: lipid = ester-bonded fatty acid.

What is a fatty acid?



- R. chain usually saturated or nearly saturated.
- n = total # carbon atoms

$n=1$	$\text{H}\cdot\text{COOH}$	"formic acid" (ants?)
$n=2$	CH_3COOH	"acetic" (vinegar)
\vdots		
$n=12$	$\text{CH}_3(\text{CH}_2)_{10}\text{COOH}$	lauric (laurel)
$n=14$	$\text{CH}_3(\text{CH}_2)_{12}\text{COOH}$	myristic (nutmeg)
$n=16$	$\text{CH}_3(\text{CH}_2)_{14}\text{COOH}$	palmitic (palm oil)
$n=18$	$\text{CH}_3(\text{CH}_2)_{16}\text{COOH}$	stearic (animal fat)
$n=20$	$\text{CH}_3(\text{CH}_2)_{18}\text{COOH}$	arachidic

odd- n
chains
exist
(uncommon
in natural
lipids)

human erythrocytes

②



Figure 16-22 A scanning electron micrograph of human red blood cells. The cells have a biconcave shape and lack nuclei. Courtesy of Bernadette Chailley.

from B. Alberts et al.
Molecular Biology of The Cell

"biconcave disc"
(normally!)

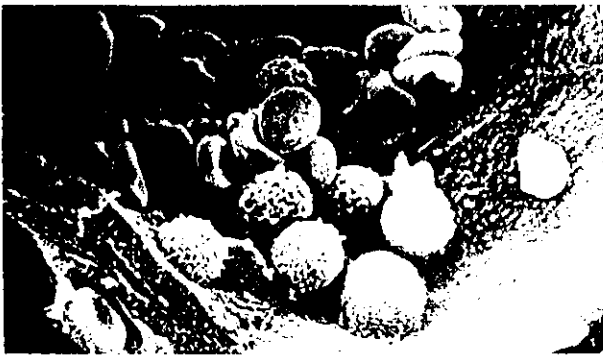
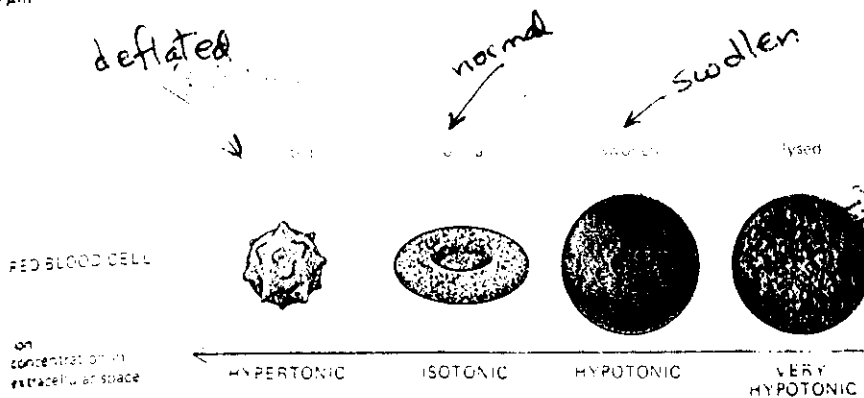


Figure 17-20 Scanning electron micrograph of mammalian blood cells in a small blood vessel. The larger, more spherical cells with a rough surface are white blood cells; the smaller, smoother, flattened cells are red blood cells. (From R.C. Kessel and R.H. Kardon, Tissues and Organs: A Text-Atlas of Scanning Electron Microscopy. San Francisco: Freeman, 1979. © 1979 W.H. Freeman and Company.)

10 μ m



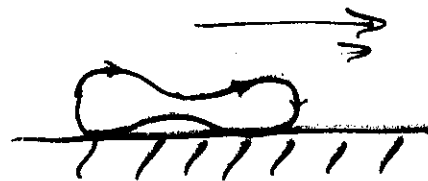
Osmotic effects

well defined shape
very flexible



← 8 μ m →

What causes this shape?
It is NOT a precast mold!



"tank motion"

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