



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL ATOMIC ENERGY AGENCY
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
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SMR.961 - 9

**WORKSHOP ON:
PROTEINS, MEMBRANES and their INTERACTIONS**

22 JULY - 2 AUGUST 1996

***"Single-chain mean-field theories of
chain packing in amphiphilic aggregates"***

PART I

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These are preliminary lecture notes, intended only for distribution to participants.

Theoretical Approaches to Chain Packing in Amphiphilic Aggregates

Single-Chain Mean-Field Theories:

a) Molecular Organization:

Packing in one and two component aggregates

b) Thermodynamic Properties:

Free energy, lateral pressures.

Elastic Constants of Amphiphilic Bilayers:

a) Phenomenological expressions.

b) Microscopic determination of k , t_e and c_0 .

Tethered Polymer Layers:

a) Structural and Thermodynamic Properties

b) Prevention of Protein Adsorption.

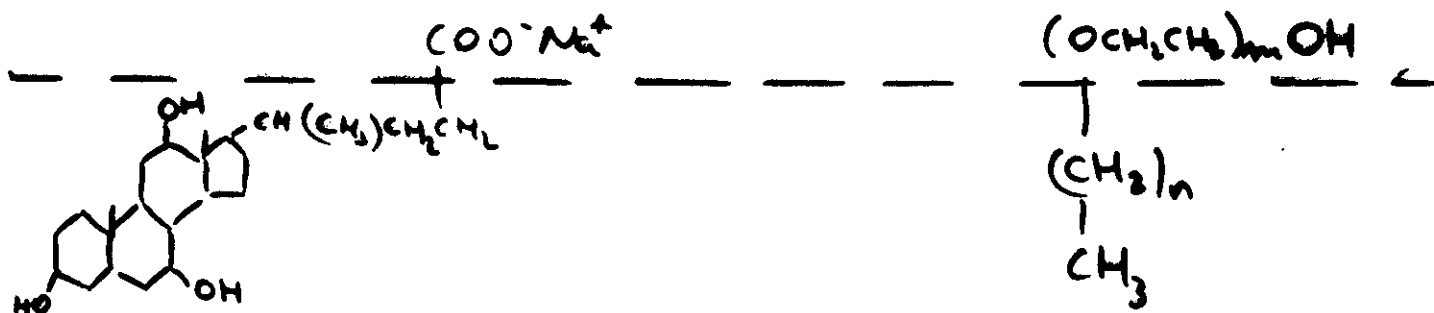
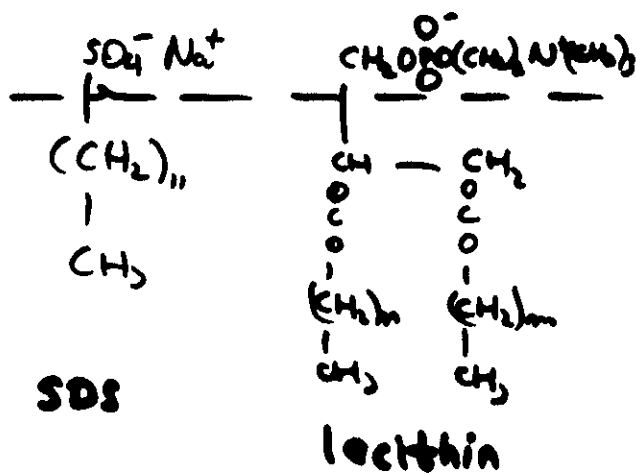
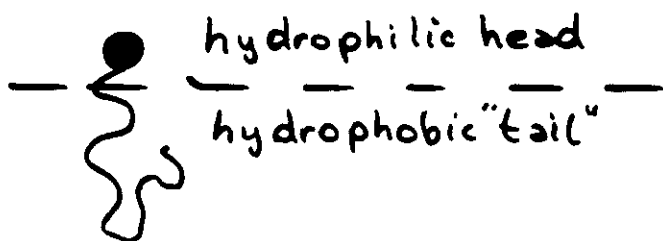
Reviews on theories of chainpacking and elasticity

- 1) Ben-Shaul A, Gelbart W.M.: *Theory of Chain Packing in Amphiphilic Aggregates*. In Ann. Rev. Phys. Chem, 1985. 36:179-211.
- 2) Ben-Shaul A, *Molecular Theory of Chain Packing, Elasticity and Lipid-Protein Interaction in Lipid Bilayers*. In Structure and Dynamics of Membranes. Edited by Lipowsky R, Sackmann E. Elsevier, 1995, 1A:359-401.

Reviews on tethered polymers, structure, thermodynamics and elasticity

- 3) Milner ST: *Polymer brushes*. Science 1991, 251:905-914.
- 4) Halperin A: *On Polymer brushes and blobology. An introduction*. In Soft Order in Physical Systems. Edited by Rabin Y, Bruinsima R. New York: Plenum press; 1994:33-56. [NATO ASI Series B: Physics, vol 323.]
- 5) Grest GS, Murat M: *Computer simulations of tethered chains*. In Monte Carlo and Molecular Dynamics Simulations in Polymer Science. Edited by Binder K. New York: Oxford Press; 1995:476-569.
- 6) Szleifer I, Carignano MA: *Tethered polymer layers*. In Advances in Chemical Physics, vol XCIV. Edited by Prigogine I, Rice SA. New York: John Wiley and Sons; 1996:165-259.
- 7) Szleifer I, *Statistical thermodynamics of polymers near surfaces*. In Current Opinion in Colloid & Interface Science. 1996, 1:416-423.

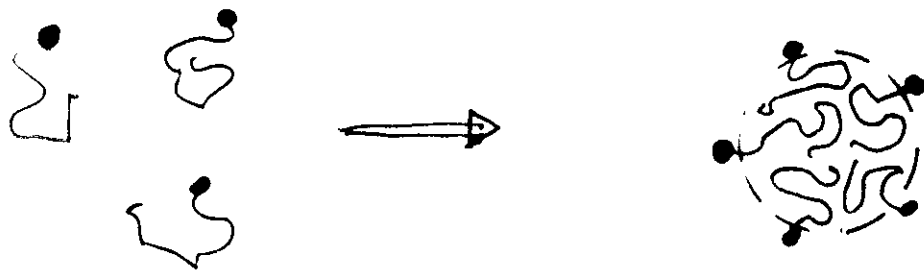
Amphiphilic molecules (surfactants)



sodium cholate (bile salt)

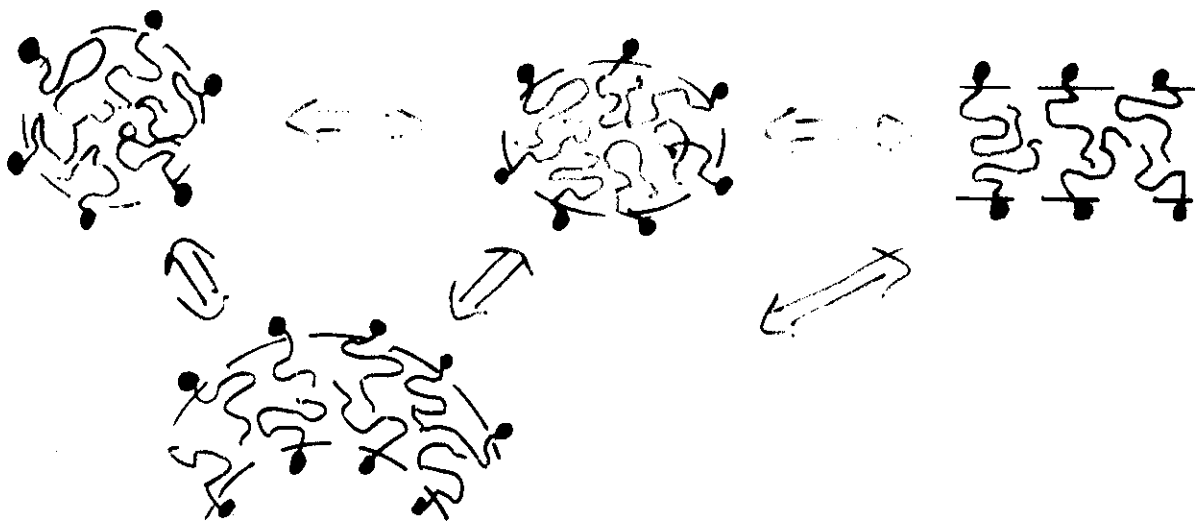
alkylpolyoxyethylene

Hydrophobic effect \rightarrow Aggregation



Free energy change $\sim kT/CH_2$!!

Shape changes



Free energy change $\sim 0.1 - 1.0 kT/\text{molecule}$!!

Mass Action Model:

Equilibrium of aggregates of different sizes
(and shapes) implies:

Equal chemical potentials: in dilute solution,

$$\mu = \mu_n = \mu_n^0 + \frac{kT}{n} \ln \left(\frac{X_n}{n} \right) = \text{const.}$$

$$n = 1, 2, 3, \dots$$

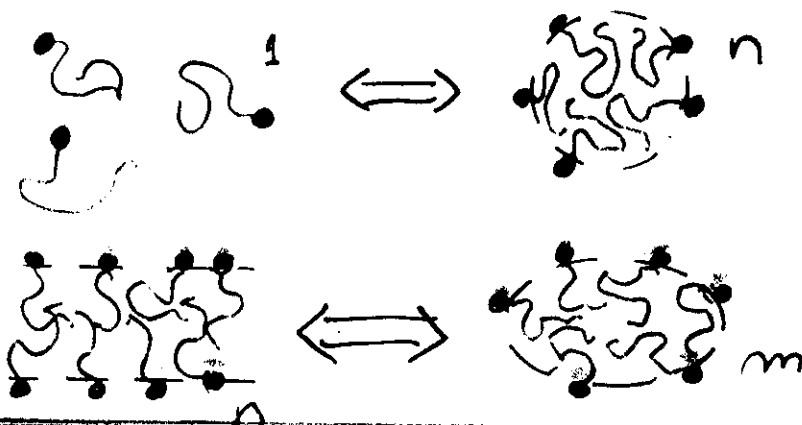
$$X_n = n \left\{ X_1 \exp \left[- \frac{\mu_n^0 - \mu_1^0}{kT} \right] \right\}^n$$

or

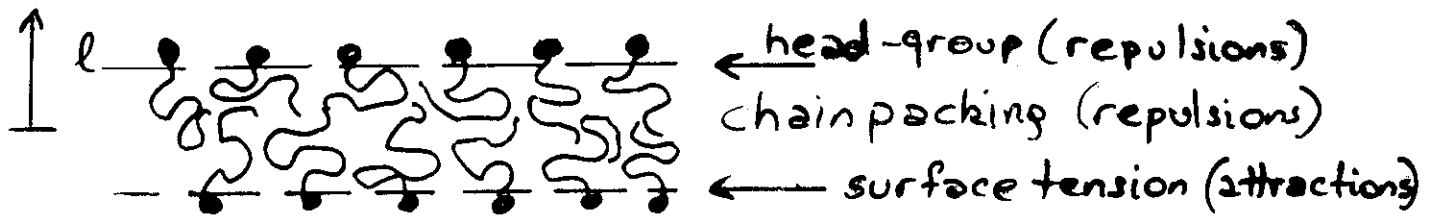
$$X_n = n \left\{ \frac{X_m}{m} \exp \left[- \frac{m(\mu_m^0 - \mu_n^0)}{kT} \right] \right\}^{n/m}$$

$$\mu_n^0 - \mu_1^0 \sim kT / \text{CH}_2 \quad \text{for micellar } n$$

$$\mu_n^0 - \mu_m^0 \sim 0.1 - 1.0 kT / \text{molecule}$$



Free energy contributions:



Surface tension \rightarrow minimize water-hydrocarbon contact: $f_{sr} = \gamma A(l)$; γ = water-oil surface tension

Head-group interactions:

hard-core (2D) repulsions: $f_{hc} = kT \ln(a - a_h)$

a_h = hard-core excluded area (parameter)

Electrostatic contributions:

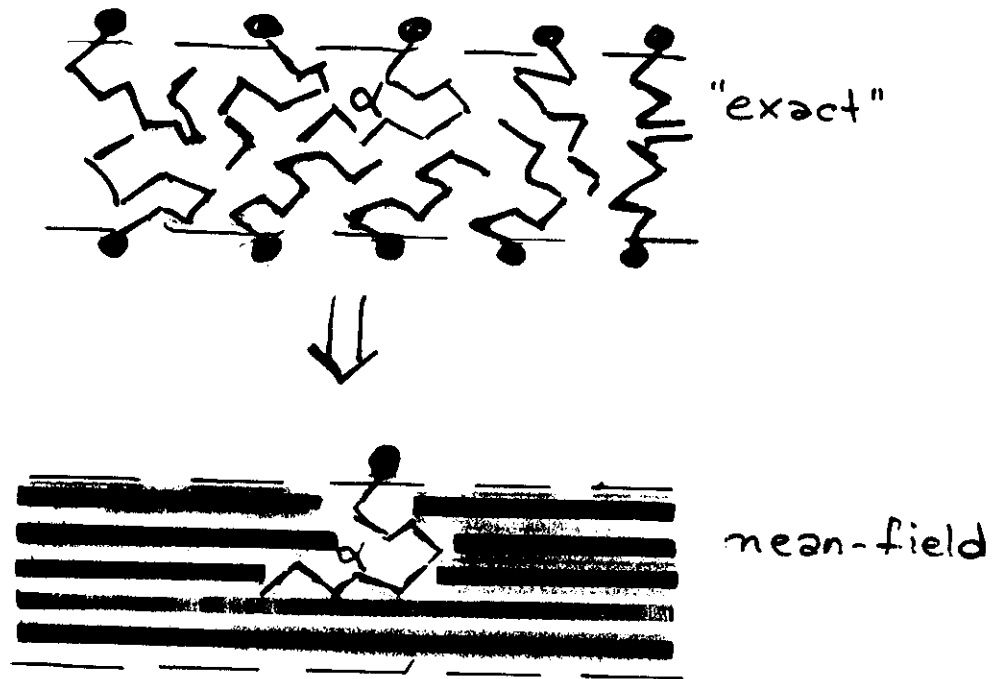
(i) Poisson-Boltzmann equation

(ii) Phenomenological models: e.g. capacitor

model $f_{el} = \frac{c}{a}$; c = phenomenological const.

Chain Packing:

Single-chain Mean-Field Theories:



Find $P(\alpha)$!!

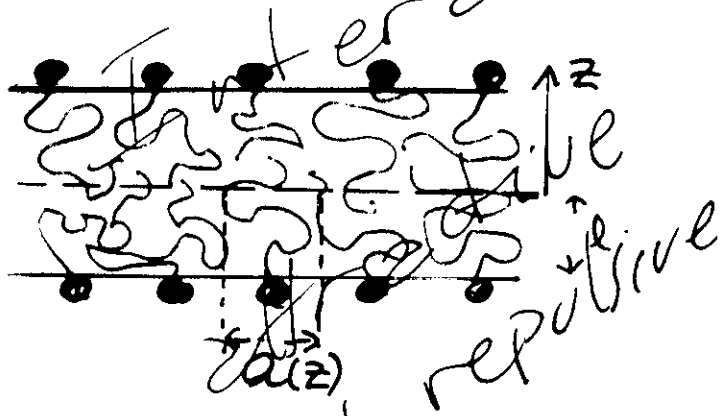
$P(\alpha)$ is a function of area per molecule, geometry of aggregate, type of chain system, etc.

From $P(\alpha)$ any desired average (single-chain) conformational and thermodynamic property can be calculated!

$$\langle A \rangle = \sum_{\alpha} P(\alpha) A(\alpha)$$

Assumption:

(c) Hydrophobic core has uniform (CH_2) segment density. \Rightarrow packing constraints!!



The "volume" occupied (on average) by the tails must be equal to the available volume

$$\int_0^L P(\alpha) \alpha(x, z) dx = a(z) dz \quad \text{for } 0 \leq z \leq L$$

Now how do we find $P(\alpha)$?

1) Minimize tails free energy subject to packing constraints !!

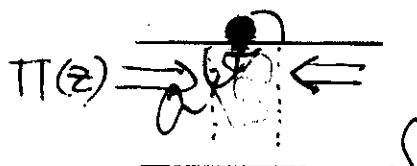
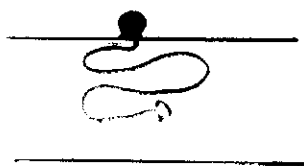
Tail's free energy:

$$\beta f_t = \underbrace{\sum_{\alpha} P(\alpha) \ln P(\alpha)}_{\text{conformational entropy}} + \underbrace{\sum_{\alpha} P(\alpha) E_{\text{int}}(\alpha)}_{\text{internal energy}}$$

Minimizing subject to: $\sum_{\alpha} P(\alpha) n(\alpha, z) N_0 = a(z) dz$

Result (introducing Lagrange multipliers $\beta \pi(z)$):

$$P(\alpha) = \frac{1}{Z} e^{-\beta E_{\text{int}}(\alpha) - \beta \int_{-l}^l \pi(z) n(\alpha; z) dz}$$



$\pi(z)$ are the lateral pressures that "force" the tails to fulfill packing constraints.

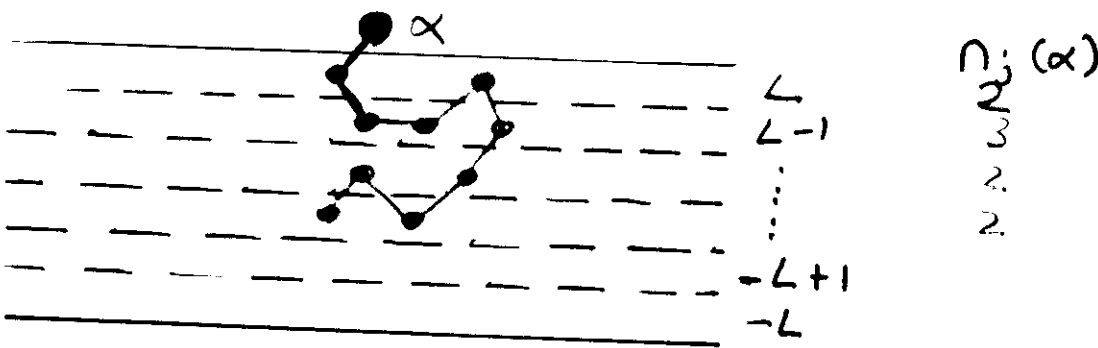
They are the "mean-field" repulsive interactions of the neighboring molecules on the central tail.

How do we get $\pi(z)$?

Replace $\rho(z)$, $P(z)$, into constraint equations

$$\sum_{\alpha} \frac{e^{-\beta E_{int}(\alpha) - \beta \sum_i \pi_i n_i(\alpha)}}{Z} \quad \bar{n}_j(\alpha) = m_j \quad j = -L, -L+1, \dots, L-1, L$$

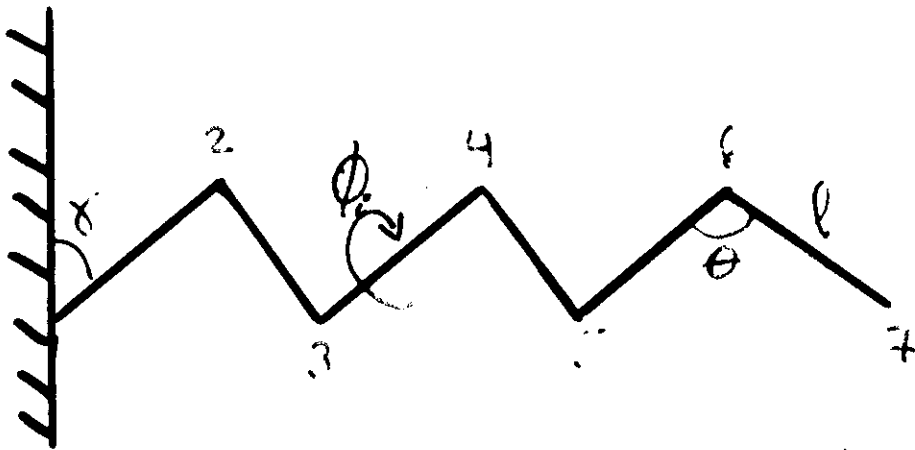
$$Z = \sum_{\alpha} e^{-\beta E_{int}(\alpha) - \beta \sum_i \pi_i n_i(\alpha)}$$



Input: set of single chain conformations (any chain model), average area per molecule at interface and geometry.

Solve set of non-linear equations for π_i .

Rotational isomeric state model:



α is fixed, l is fixed, ϕ_i can be: 0° (trans)
 $+120^\circ$ (gauche+), -120° (gauche-)

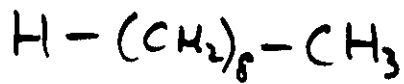
The chains are fully flexible, i.e. we assume that there is no energy difference between the three possible states of each bond.

Total number of configurations is 3^{N-2} , only self avoiding are accepted.

Chains are oriented with respect to the surface.

For $N=50$ we generate 2.95×10^6 single chain configurations (that are self avoiding also with the surface)

Planar Bilayer



$$S_{\text{C-H}} = \frac{3}{2} \langle \cos^2 \theta_i \rangle - \frac{1}{2}$$

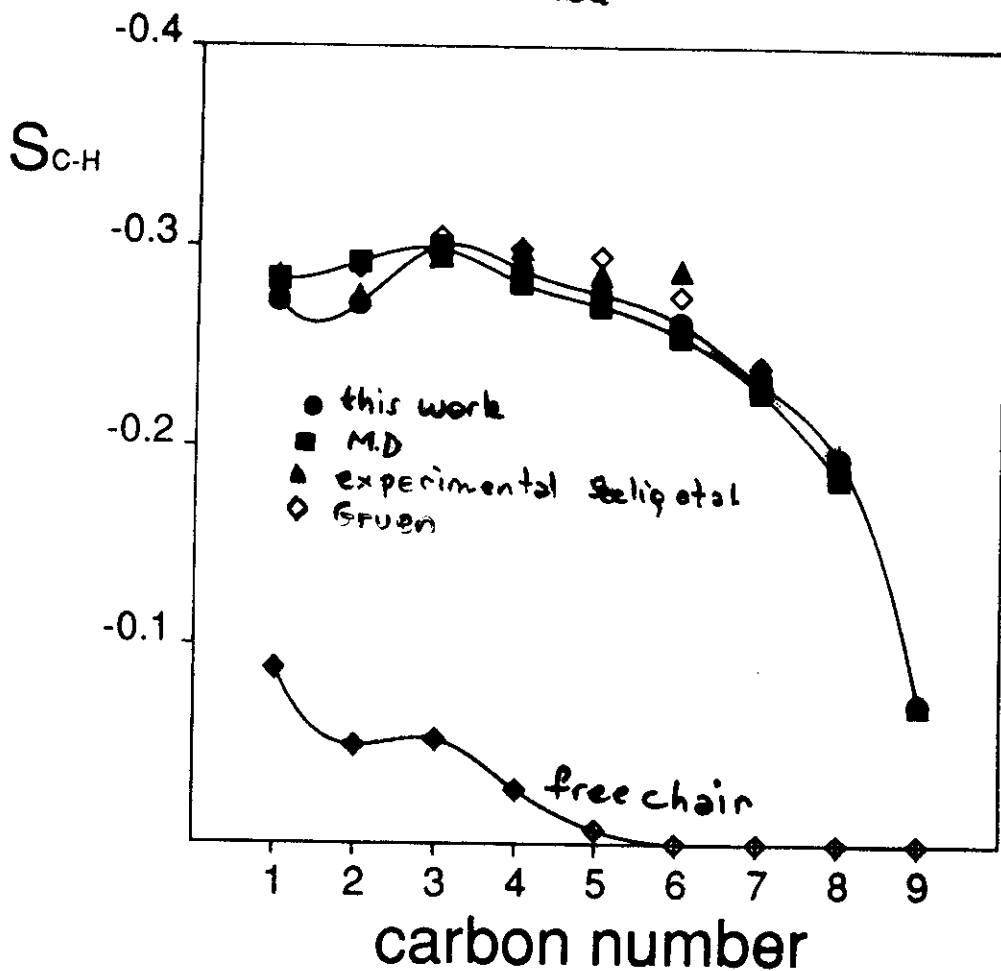
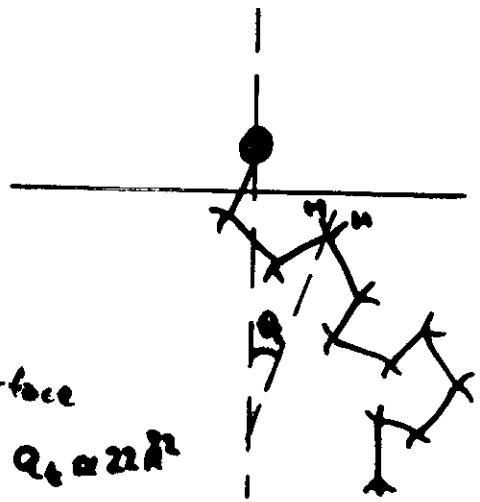
$S_{\text{C-H}} = 0$ random distribution

$S_{\text{C-H}} = -0.5$ bond parallel to the interface

theoretical results for $Q = 25 \text{ \AA}^2$ $Q_c \approx 22 \text{ \AA}^2$

$T = 300 \text{ K}$

$E_g = 700 \frac{\text{cal}}{\text{mole}}$



Thermodynamics:

MD	$f_c = -2.62 k_B T$	$S = 4.37 k$	$\langle \epsilon \rangle = 1.75 k_B T$
This work	$= -2.55 k_B T$	$= 4.23 k$	$= 1.68 k_B T$

Marcelja's theory:

extension of Maier-Saupe theory of liquid crystals.

$$P(x) = \frac{e^{-\beta \epsilon_{int}(x)}}{Z} e^{-\beta v_0 \eta \sum_{i=1}^{n-1} P_2[\omega \theta_i(x)] / (n-1)}$$

$$P_2[\omega \theta_i(x)] = \frac{3}{2} \omega^2 \theta_i(x) - \frac{1}{2} ; v_0 = -700 \frac{\text{cal}}{\text{mol}}$$

$$\eta = \left\langle \sum_{i=1}^{n-1} P_2(\omega \theta_i) \right\rangle / (n-1) \quad \text{self-consistent condition!}$$

- 1) gel-liquid crystal phase transition
- 2) order parameters in bilayers.

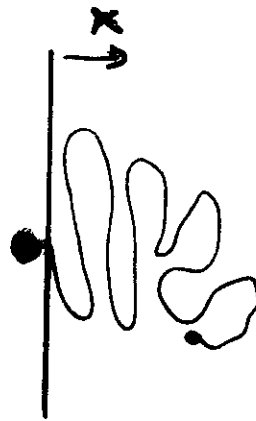
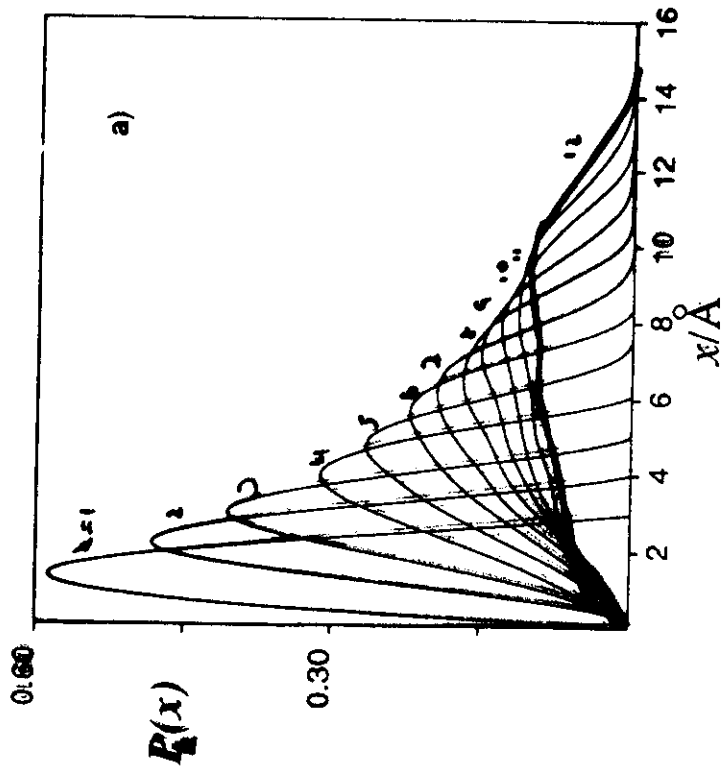
Given: (i) replace η by η_i

(ii) for general geometries postulates

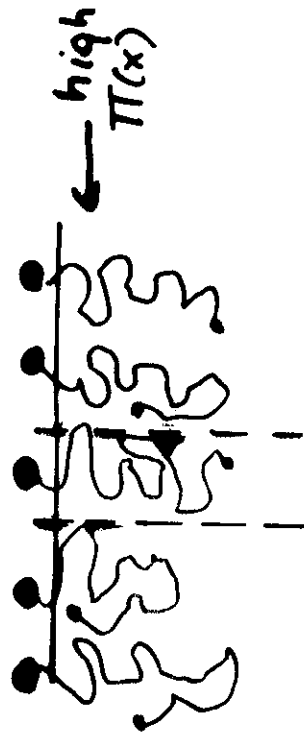
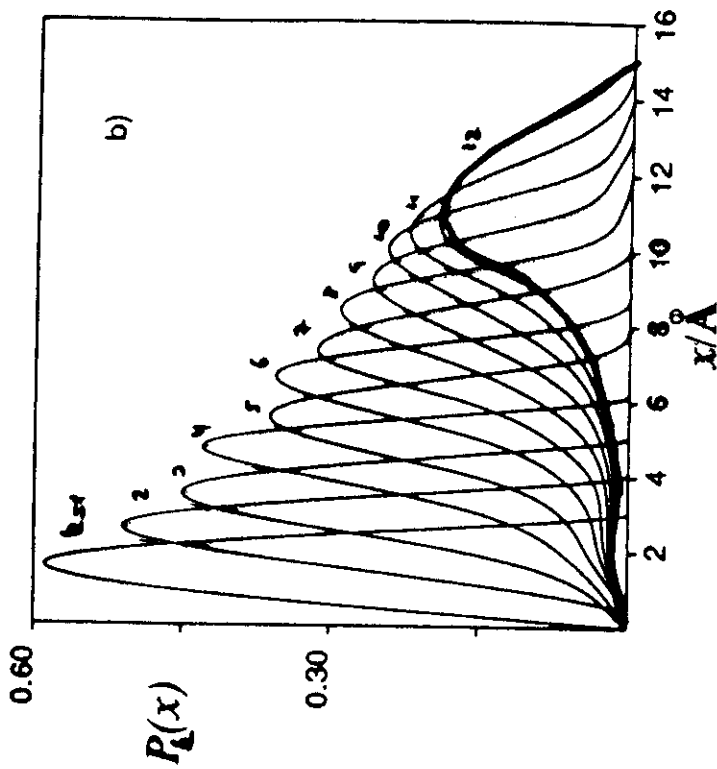
$$P(x) = \frac{e^{-\beta \epsilon_{int}(x)}}{Z} e^{-\beta \int \pi(z) N(z, x) dz}$$



free chain



constrained chain $a=33\text{\AA}$



"Self-consistent field" theory:

Replace $P(\alpha)$ by a random walk in the field $\pi(z)$.

Green's function:

$$G(z', z''; s) = \sum_{\alpha} \delta_{z', z_1(\alpha)} \delta_{z'', z_{s+1}(\alpha)} e^{-\sum_{i=1}^s \pi(z_i(\alpha))}$$

Recurrence relation (for cubic lattice)

$$G(z'; z''; s) = e^{-\pi(z'')} \left[\frac{4}{6} G(z'; z''; s-1) + \frac{1}{6} G(z'; z''+1; s-1) + \frac{1}{6} G(z'; z''-1; s-1) \right]$$

initial condition

$$G(z'; z''; 0) = \delta_{z', z''} e^{-\pi(z'')}$$

Density profiles:

$$\phi(z) = \frac{1}{6} \sum_{s=1}^n \frac{G(1, z; s-1) \sum_{z''} G(z, z''; n-s)}{e^{-\pi(z)}}$$

Normalization:

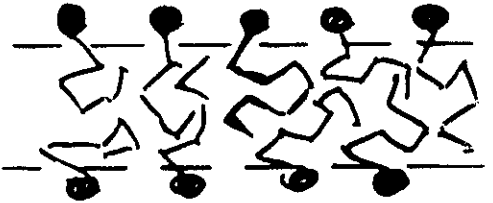
$$\sum_z \phi(z) = \frac{N \epsilon n}{A}$$

Formal derivation of $P(\alpha)$

$$P(\alpha_1, \alpha_2, \dots, \alpha_{N_c}) = \frac{e^{-\beta U(\alpha_1, \alpha_2, \dots, \alpha_{N_c})}}{Q(N_c, V, T)}$$

$$Q(N_c, V, T) = \sum_{\alpha_1} \sum_{\alpha_2} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_1, \alpha_2, \dots, \alpha_{N_c})}$$

$$P(\alpha_1) = \frac{\sum_{\alpha_1} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_1, \alpha_2, \dots, \alpha_{N_c})}}{Q(N_c, V, T)}$$



We separate the interaction of the central chain:

$$U(\alpha_1, \alpha_2, \dots, \alpha_{N_c}) = u(\alpha_1 / \alpha_2, \dots, \alpha_{N_c}) + U(\alpha_2, \dots, \alpha_{N_c})$$

then

$$P(\alpha_1) = \frac{e^{-\beta u(\alpha_1 / \alpha_2, \dots, \alpha_{N_c})} \sum_{\alpha_2} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_2, \dots, \alpha_{N_c})}}{Q(N_c, V, T)}$$

Assume "excl. vol." interactions:

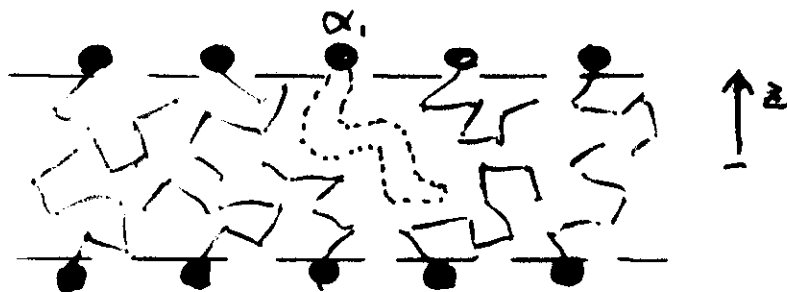
$$u(\alpha_1 / \alpha_2, \dots, \alpha_{N_c}) = \begin{cases} 0 & \text{if no overlap } \alpha_1 \text{ with } \alpha_j \\ \infty & \text{if overlap } \alpha_1 \text{ with } \alpha_j \end{cases}$$

$$P(\alpha) = \frac{e^{-\beta U(\alpha_1/\alpha_2, \dots, \alpha_{N_c})} \sum_{\alpha_2} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_2, \dots, \alpha_{N_c})}}{Q(N_c, V, T)}$$

$$e^{-\beta U(\alpha_i/\alpha_1, \dots, \alpha_{N_c})} = \begin{cases} 1 & \text{no overlap } \alpha_i \text{ with } \alpha_j \\ 0 & \text{overlap } \alpha_i \text{ with } \alpha_j \end{cases}$$

$$P(\alpha) = \frac{\sum_{\alpha_2} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_2, \dots, \alpha_{N_c})}}{Q(N_c, V, T)}$$

$$\sum_{\alpha_2} \dots \sum_{\alpha_{N_c}} e^{-\beta U(\alpha_2, \dots, \alpha_{N_c})} = Q(N_c - 1, \int (A(z) - a(\alpha, z)) dz, T)$$



$$P(\alpha_i) = \frac{Q(N_c - 1, \int (A(z) - a(z, \alpha)) dz, T)}{Q(N_c, V, T)} \Rightarrow \text{expansion of "volume"}$$

$$\ln P(\alpha) = - \underbrace{\left(\frac{\partial \ln Q}{\partial N_c} \right)_{V, T}}_{\mu} - \int \underbrace{\left(\frac{\partial \ln Q}{\partial A(z)} \right)_{A(z), N_c, T}}_{\pi(z)} a(z, \alpha) dz + \dots$$

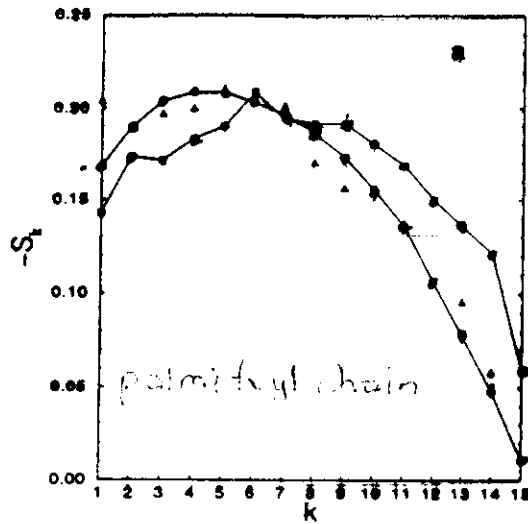
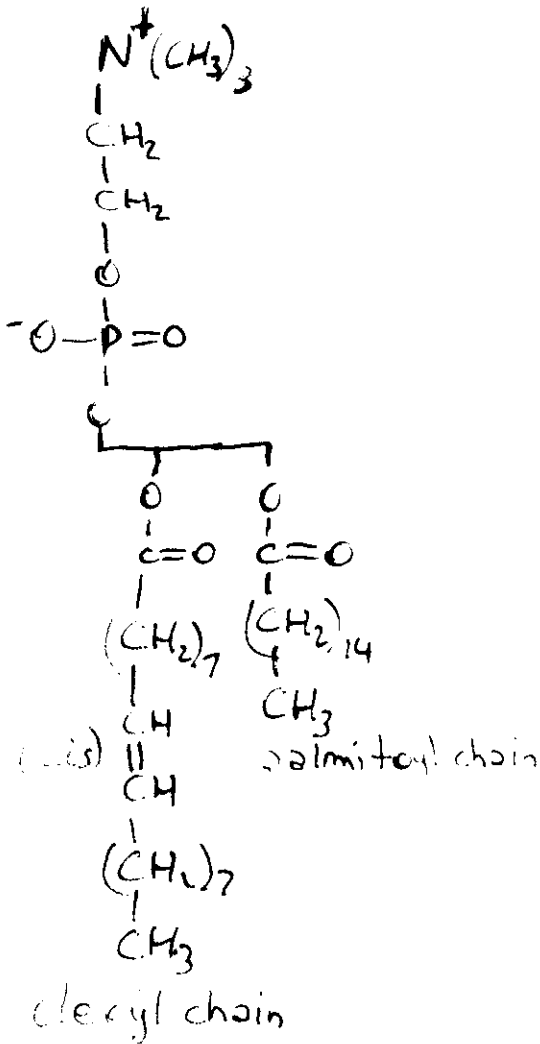
$$P(\alpha_i) \equiv \frac{e^{-\beta \int \pi(z) a(z, \alpha) dz}}{Z}; \quad \beta \mu \equiv -\ln Z$$

Bilayer

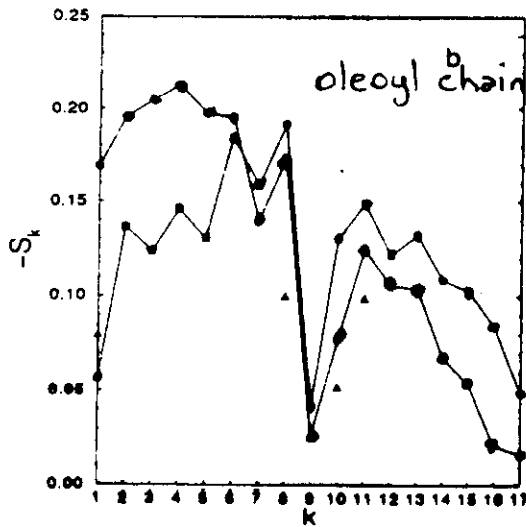
$= 30 \text{ \AA} \quad T = 300 \text{ K}$

$= 60.5 \text{ \AA}^2$

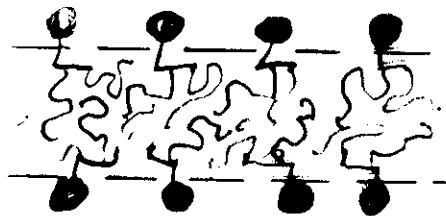
POPC

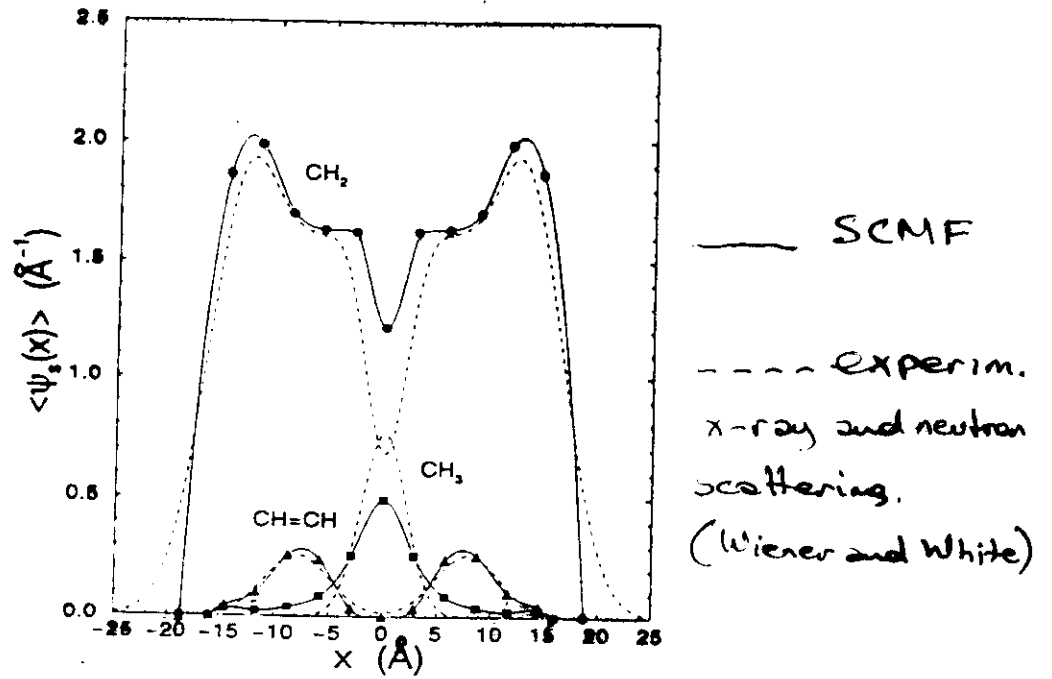


$-S_k = 0$ random
 $= 1$ perp. to surface



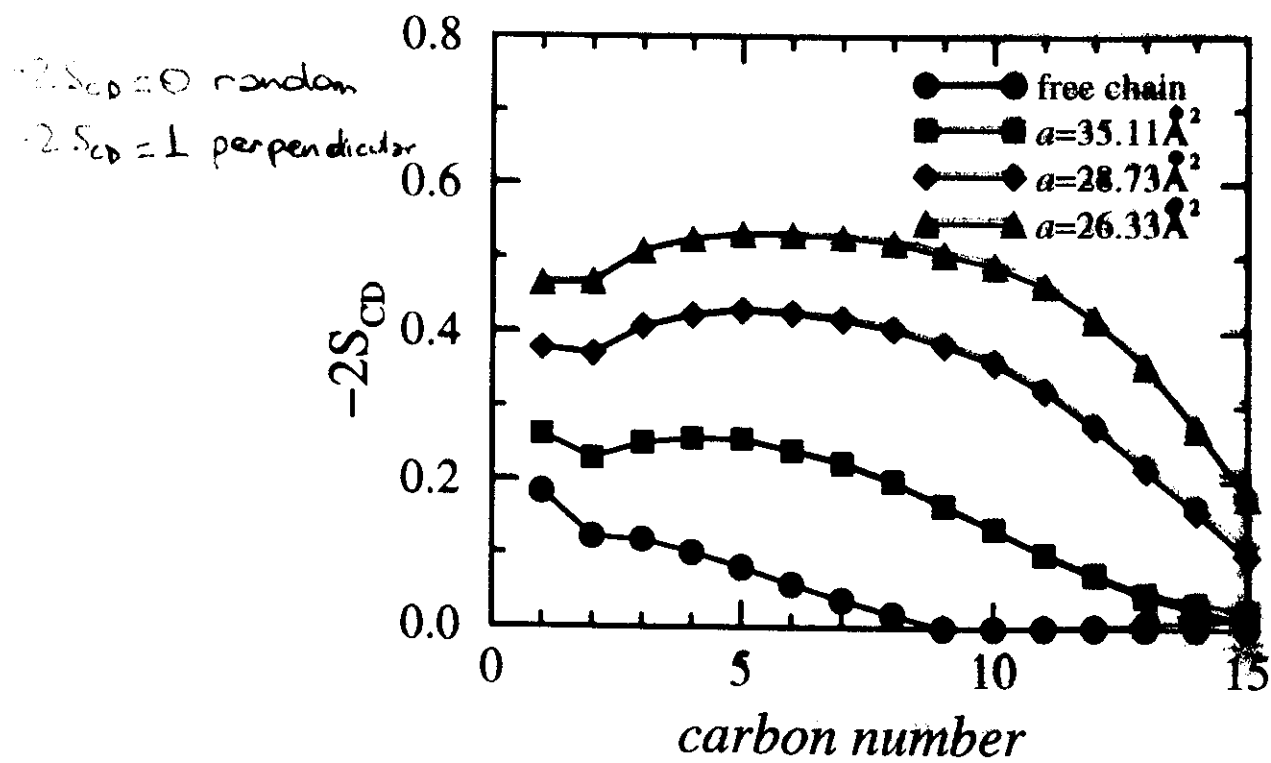
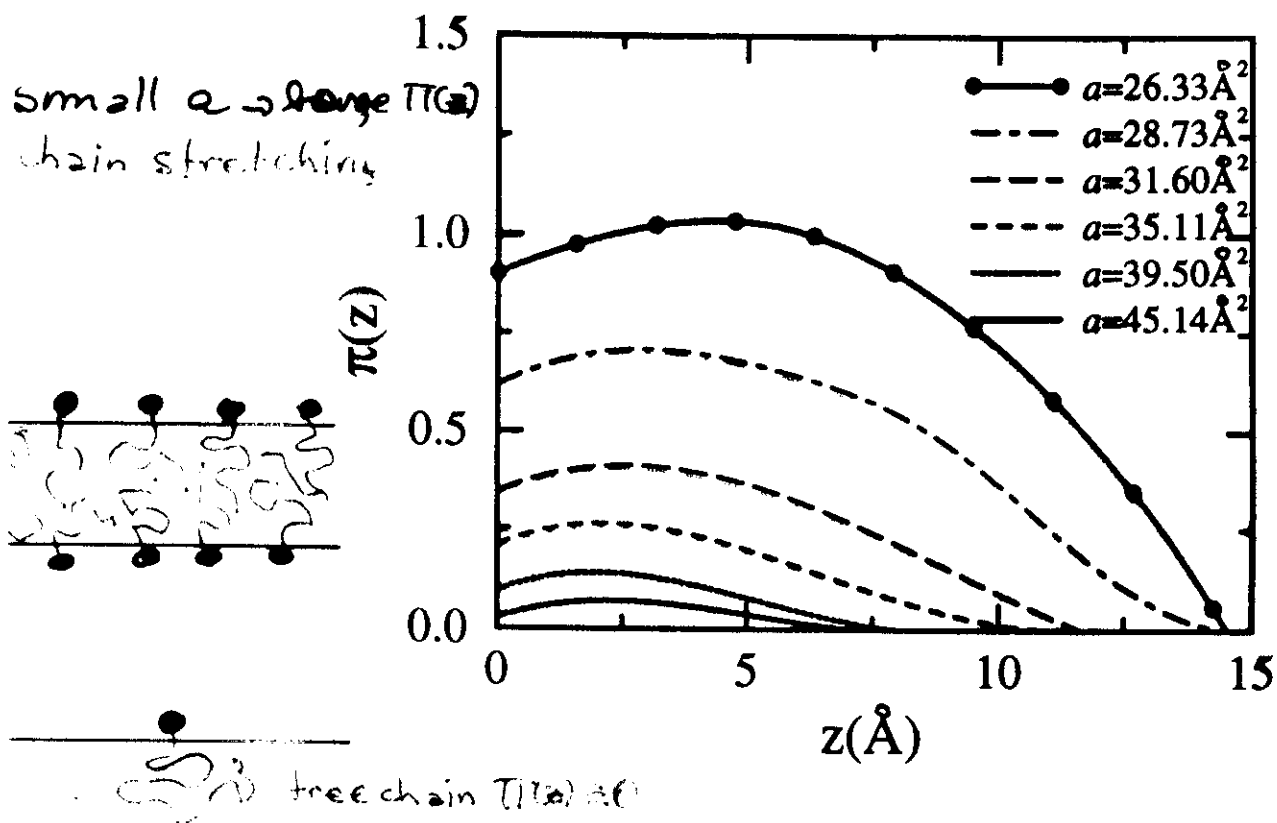
● SCMF
 ■ MD
 (Heller et al.)
 ▲ experiments
 Seelig and Macosko-Sarnecki

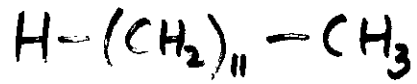




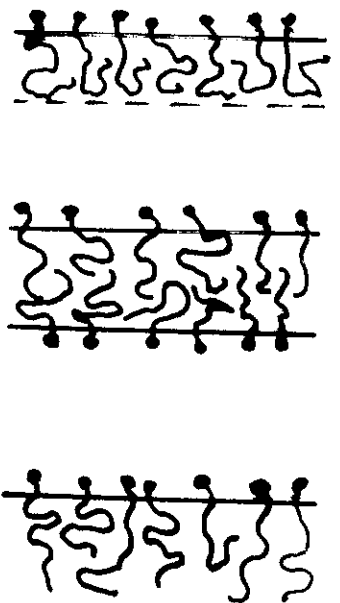
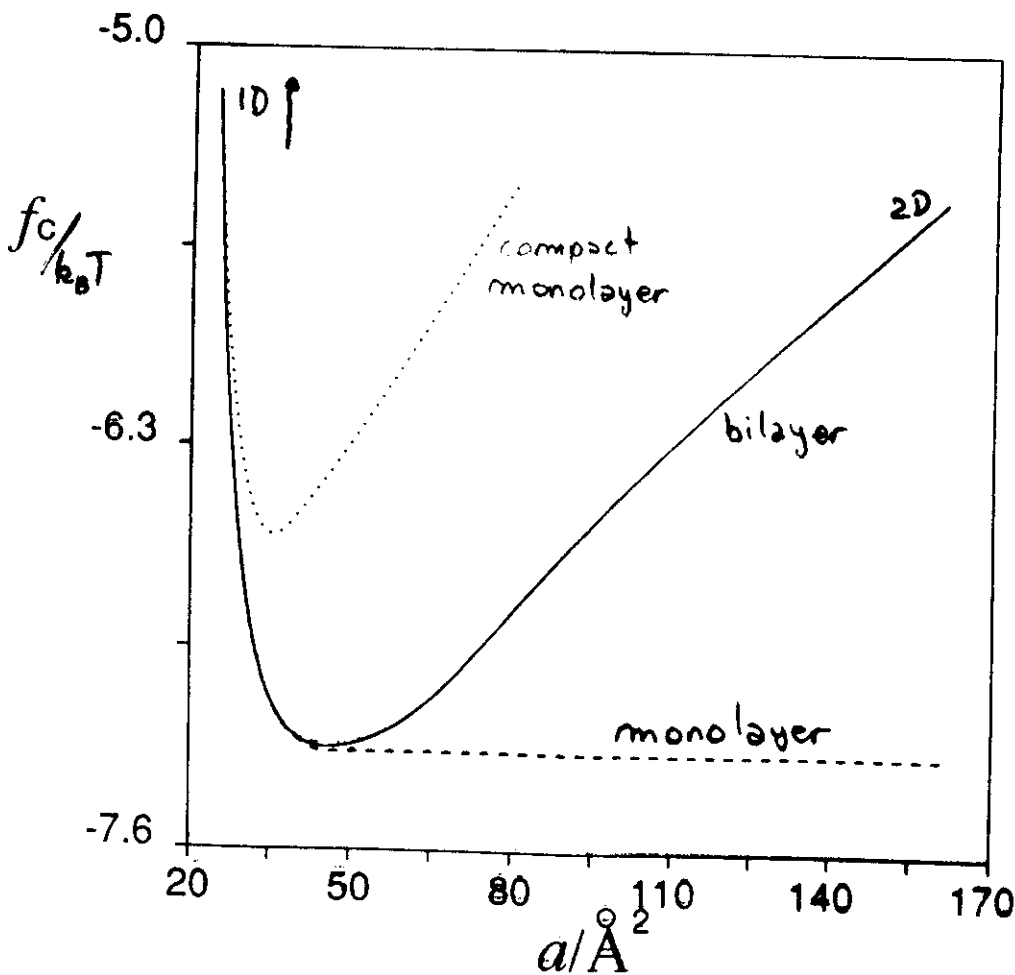
Distribution of segments along the bilayer

Chain Packing in Bilayers $H-(CH_2)_{15}-CH_3$

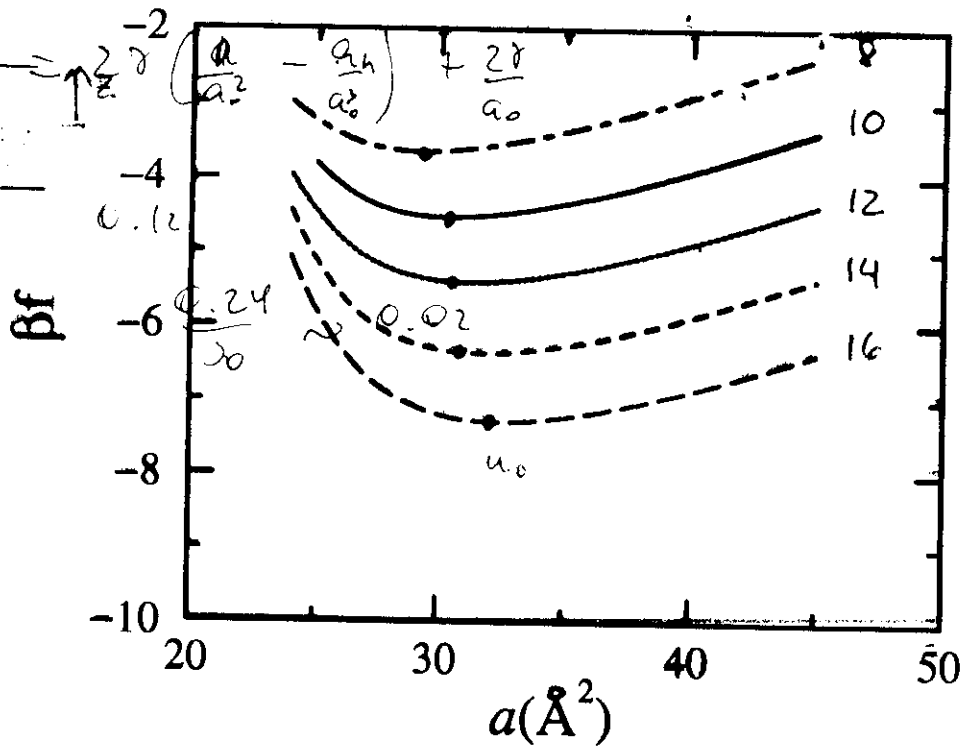
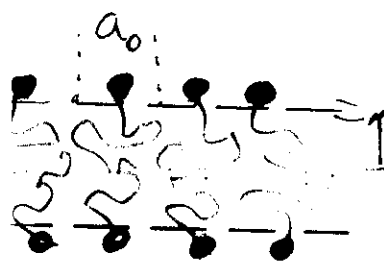
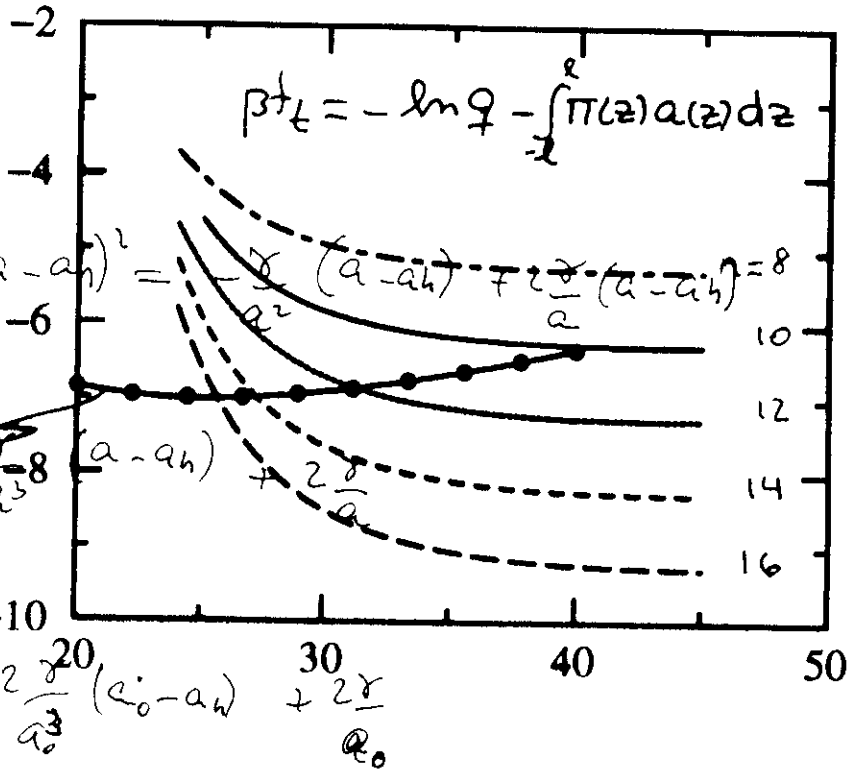




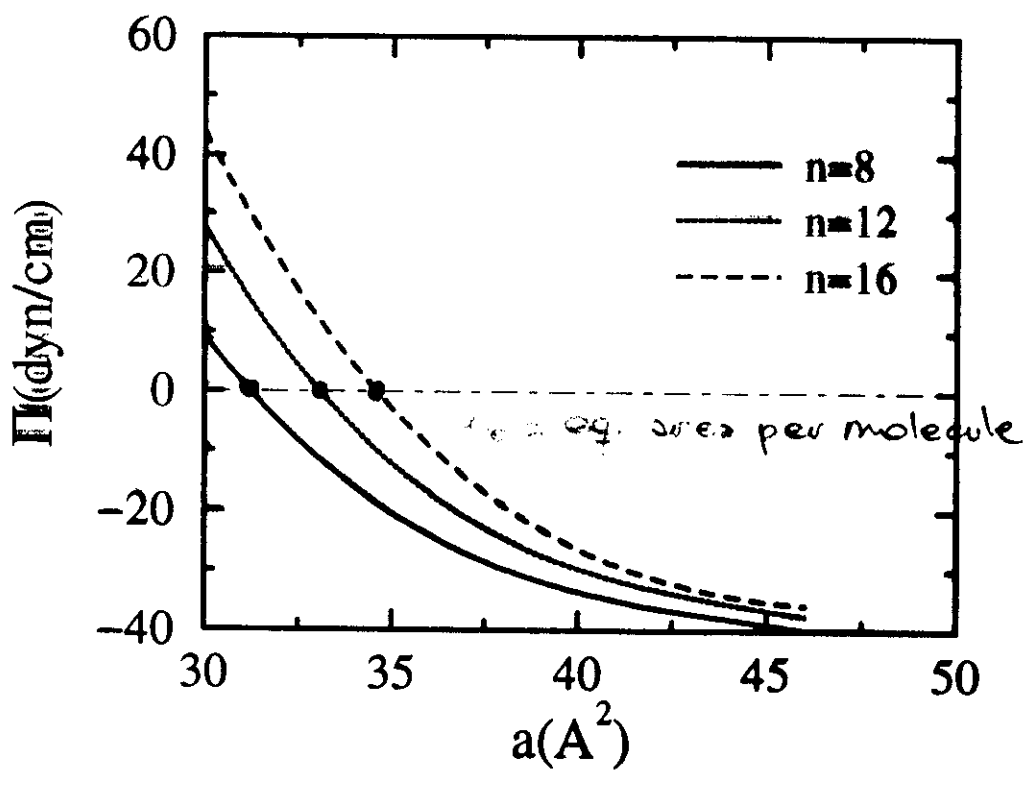
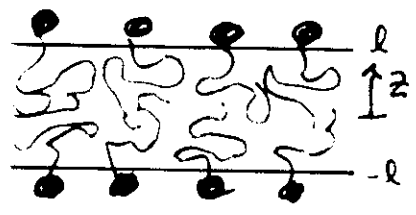
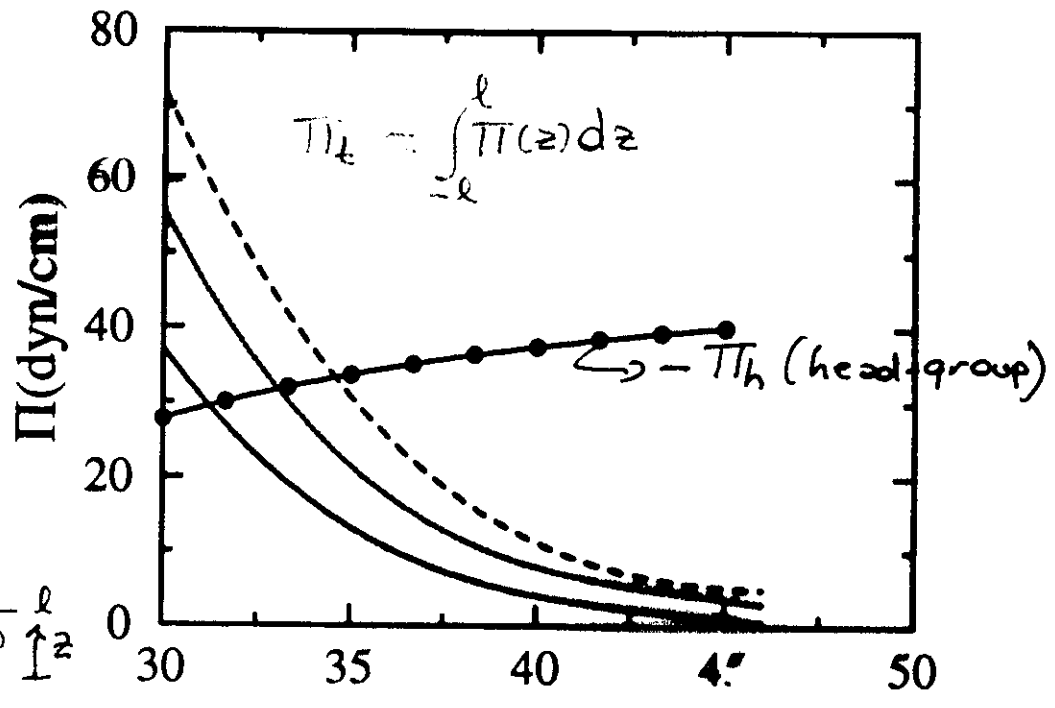
$$f_c = -k_B T \ln 3 - \int \pi(x) a(x) dx$$



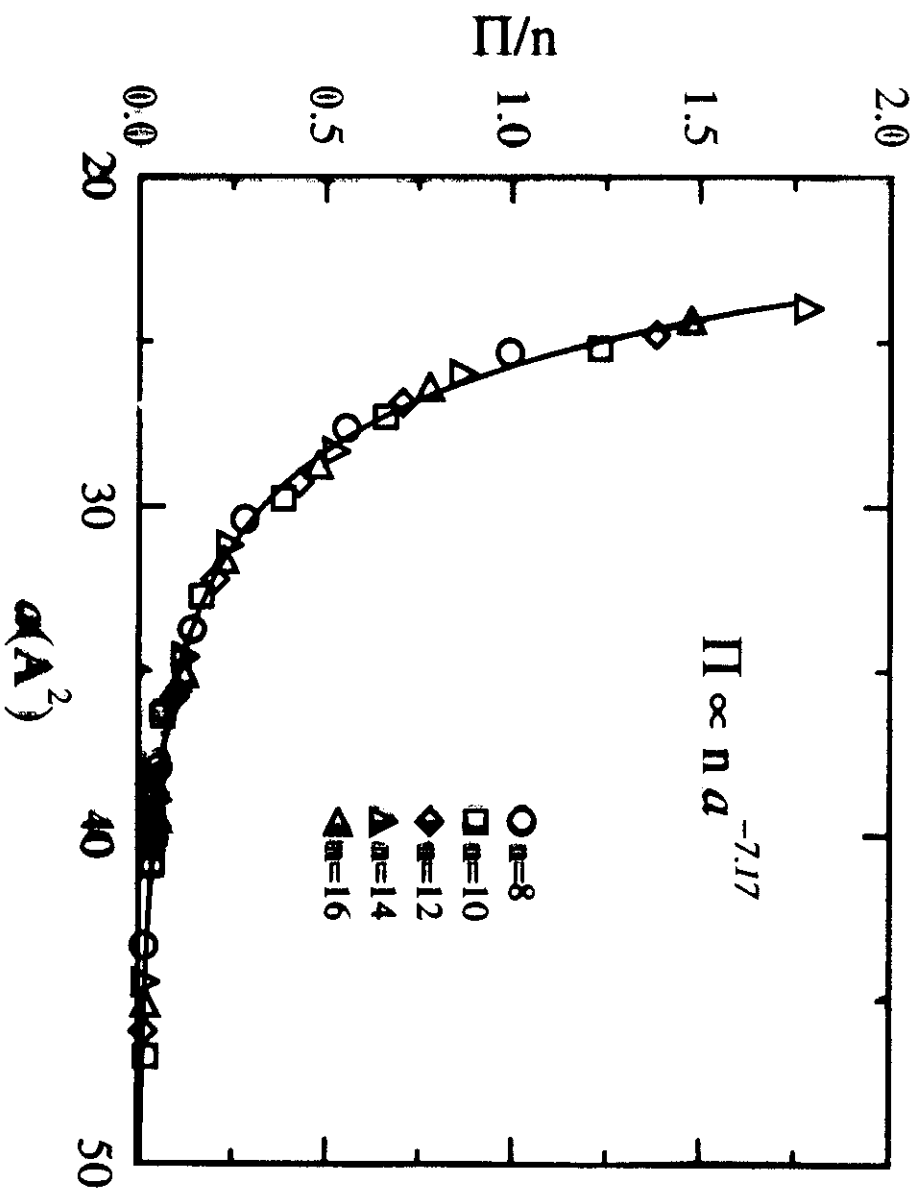
Bilayer's free energy



Pressure-area bilayers: $\Pi = -\frac{\partial f}{\partial a}$



"universal" pressure: only tail contributions

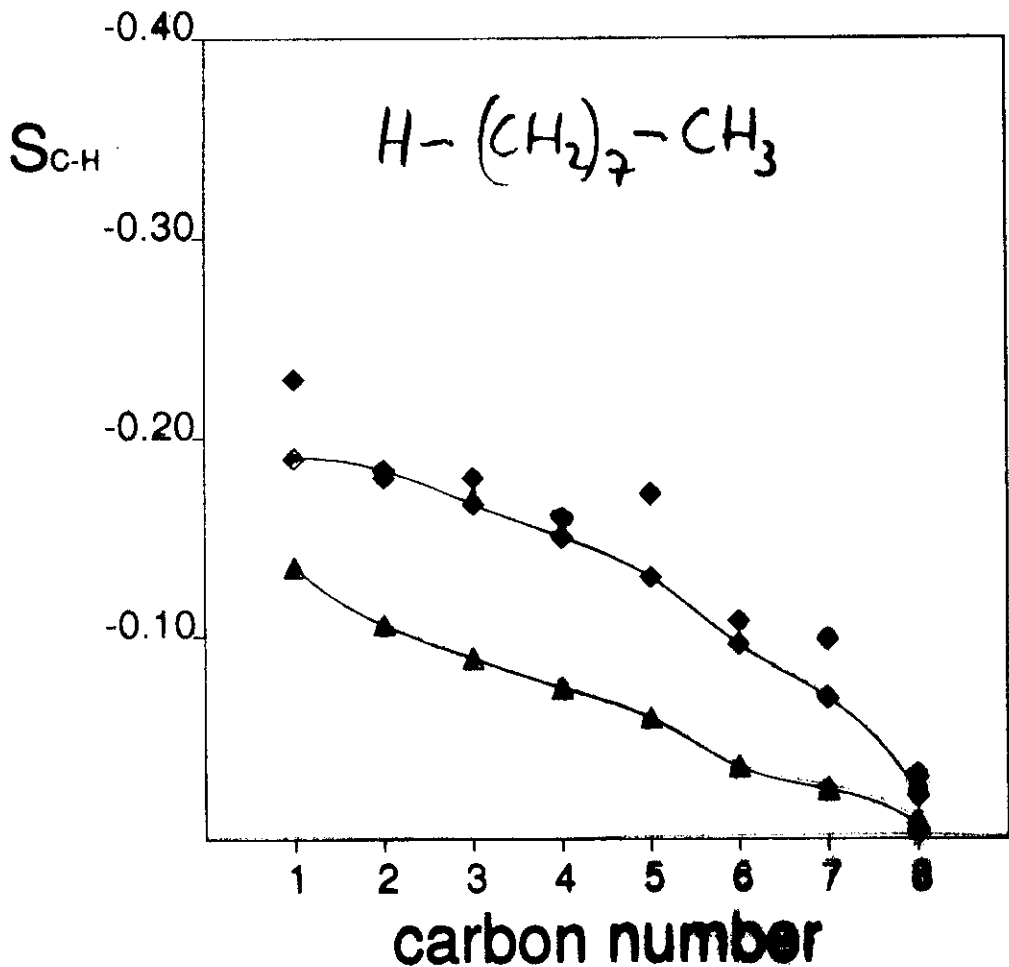
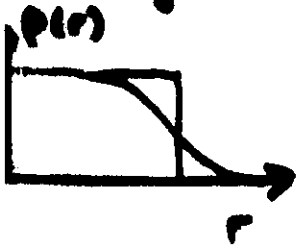


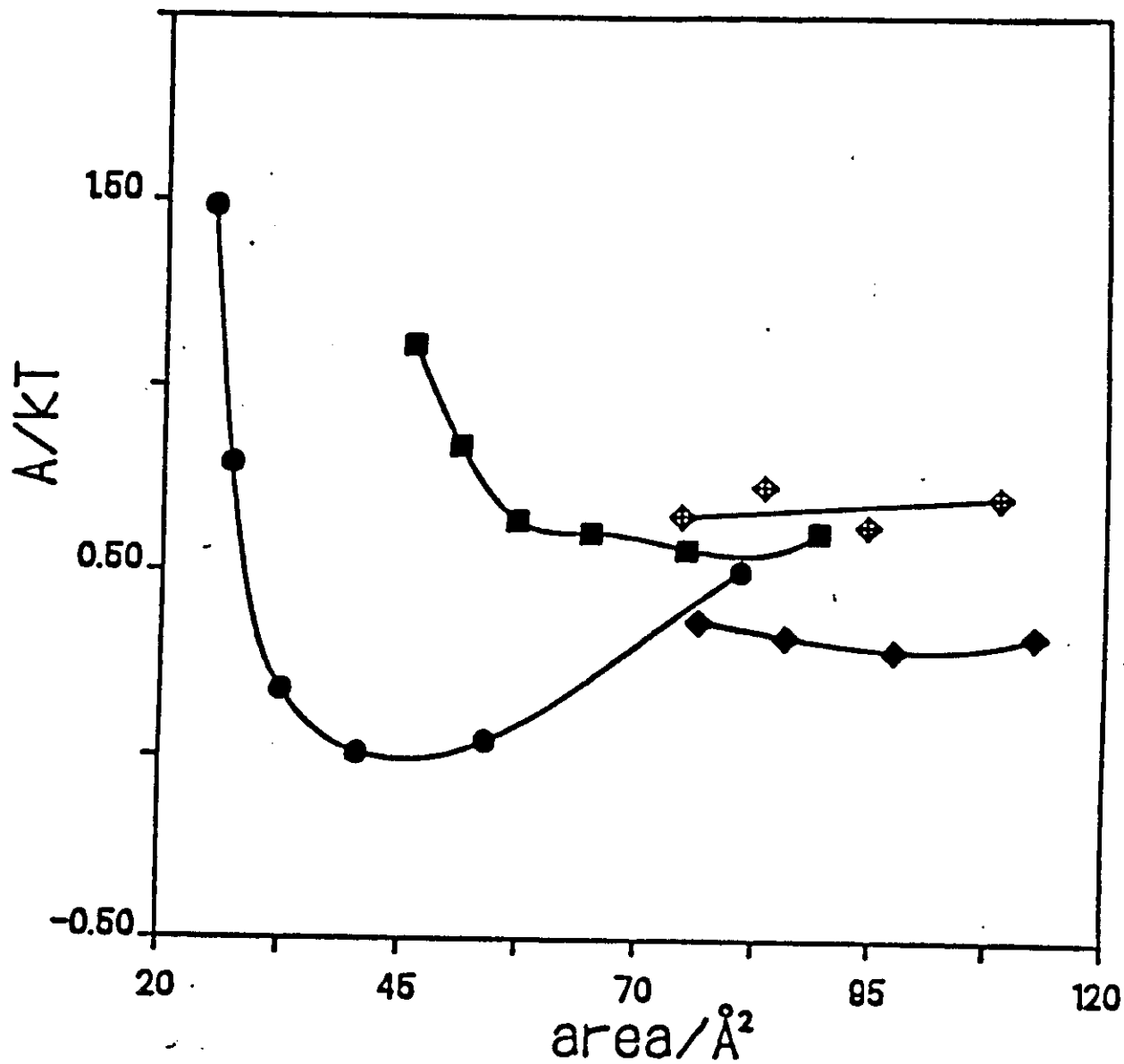
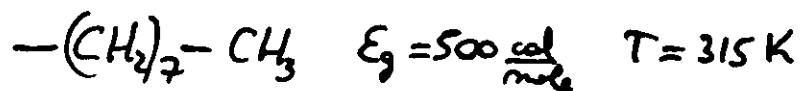
Spherical micelle

• experimental results
(Walderhaug et. al.)

▲ compact micelle

◆ "rough" micelle





- planar bilayer
- cylinder $p_1 = 0.094$ $p_2 = 0.92$ $p_{i23} = 1$
- ◊ 'rough' micelle $p_1 = 0.15$ $p_2 = 0.87$ $p_{i23} = 1$
- ◆ 'rough' micelle $p_1 = 0.30$ $p_2 = 0.70$ $p_{i23} = 1$

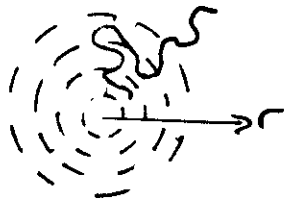
reference state: free chain in bilayer

Self-assembly:

Generalize constraint equations to include all components in mixture:

$$\int N_p(r) \frac{\langle N_p(r) \rangle_r}{V(r)} dr + \overset{\text{solvent}}{\uparrow} f_s(r) = 1 \text{ for all } r.$$

$$\langle N_p(r) \rangle_r = \sum_{\alpha_r} P(\alpha_r) N_p(r, \alpha_r); \sum_{\alpha_r} P(\alpha_r) = 1 \text{ for each } r$$



Generalize free energy to account for "r-translation"

of solvent:

$$\begin{aligned} \beta f = & \underbrace{\int N_p(r) \sum_{\alpha_r} P(\alpha_r) \ln P(\alpha_r) dr}_{\text{conformational entropy (r)}} + \underbrace{\int N_p(r) \ln f_p(r)}_{\text{translational entropy (r)}} \\ & + \frac{1}{2} \underbrace{\iiint N_p(r) N_p(r'') \chi(r-r'') \frac{\langle n(r) \rangle_r \langle n(r'') \rangle_{r''}}{V(r'')}}_{\text{attractive interaction}} dr dr'' \end{aligned}$$

$$+ \underbrace{\int N_s(r) \ln f_s(r) dr}_{\text{solvent translation}} - \int N_p(r) \mu_p dr - \int N_s(r) \mu_s dr$$

Minimization subject to constraint gives:

$$P(\alpha_r) = \frac{1}{Z(\alpha_r)} e^{-\int \Pi(r') V(r'; \alpha_r) dr' - \epsilon(\alpha_r; \alpha_r)}$$

additional equation from thermodynamic stability

$$\beta \mu_p = -\ln Z(\alpha_r) + \ln f_p(\alpha_r)$$

for solvent

$$f_s(\alpha_r) = e^{-\Pi(\alpha_r) + \beta \mu_s}$$

Replacing $P(\alpha_r)$ and $f_p(\alpha_r)$ into constraints gives a set of equations to be solved (now self-consistently because

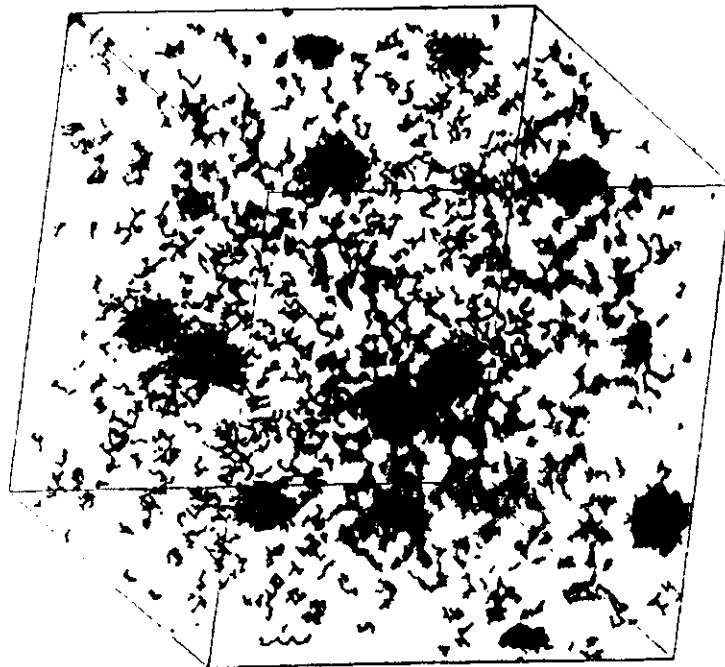
$$\begin{aligned} \epsilon(\alpha_r; \alpha_r) = & \frac{1}{2} \iiint \chi(r''-r''') n(r'', \alpha_r) N_p(r''') \frac{\langle n(r''') \rangle_{\alpha_r}}{V(r''')} dr'' dr''' \\ & + \frac{1}{2} \iiint \chi(r''-r''') \frac{n(r''', \alpha_r)}{V(r''')} N_p(r'') \langle n(r'') \rangle_{\alpha_r} dr'' dr''' \end{aligned}$$

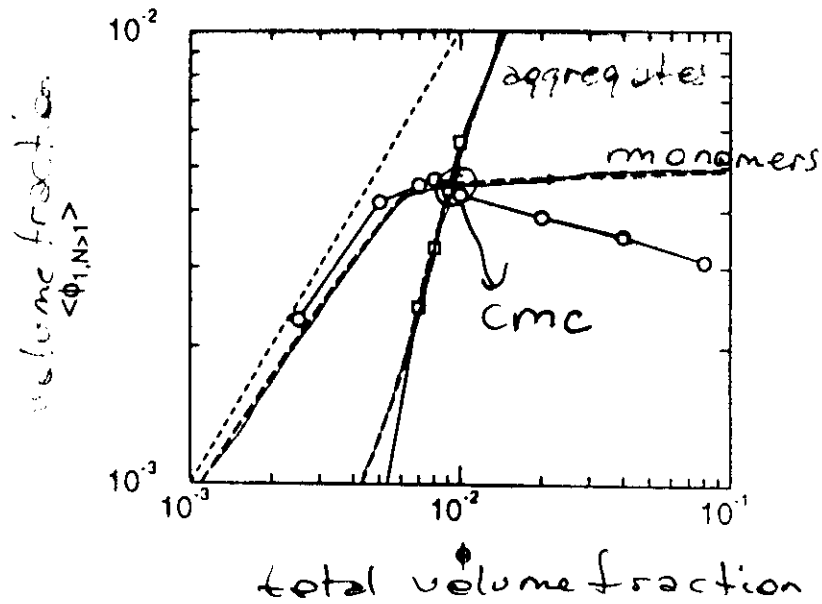
Note that $f_p(\alpha_r)$ and $P(\alpha_r)$ are determined separately!!

Lattice simulations (Mackie and Panagiotopoulos)

$H_{ij} T_{ij}$ T-T attractive; all others repulsive

volume fraction 0.01 segments lattice 120^3 sites

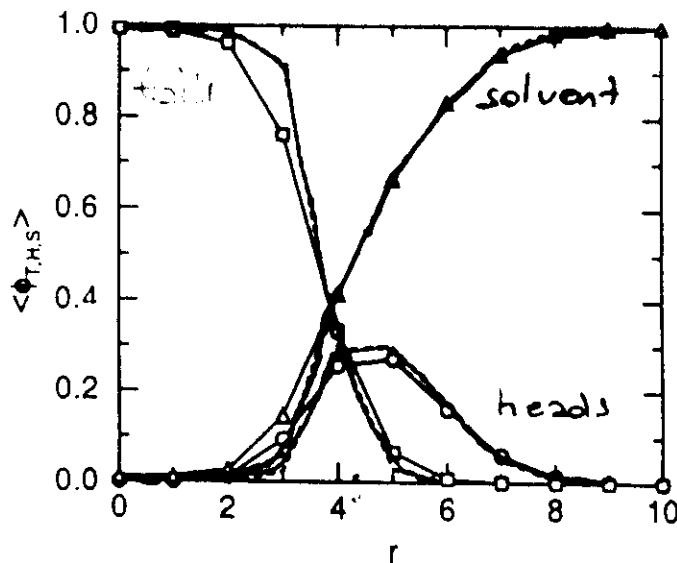




symbols: simulations
 ----- SCMF

simulation results, not fitted with fit molecules

Wijmans and Linse
 2007
 J. Chem. Phys. 127, 124701
 2007



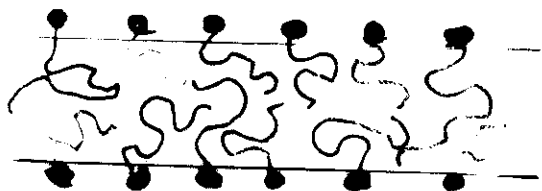
Wijmans and Linse: 2 orders of magnitude difference in cmc between simulations and lattice SCF theory!!

Packing in mixed Aggregates:

'volume sharing' \Rightarrow packing constraints:

$$x_A \langle v_A(z) \rangle + x_B \langle v_B(z) \rangle = a(z) dz, \quad -1 \leq z \leq 1$$

$$\langle v_A(z) \rangle = \sum_{\alpha_A} P(\alpha_A) v_A(z; \alpha_A) \quad ; \quad \langle v_B(z) \rangle = \sum_{\alpha_B} P(\alpha_B) v_B(z; \alpha_B)$$



Free energy:

$$\frac{\beta f}{N_{\text{tot}}} = x_A \sum_{\alpha_A} P(\alpha_A) \ln P(\alpha_A) + x_B \sum_{\alpha_B} P(\alpha_B) \ln P(\alpha_B) + x_A \ln x_A + x_B \ln x_B$$

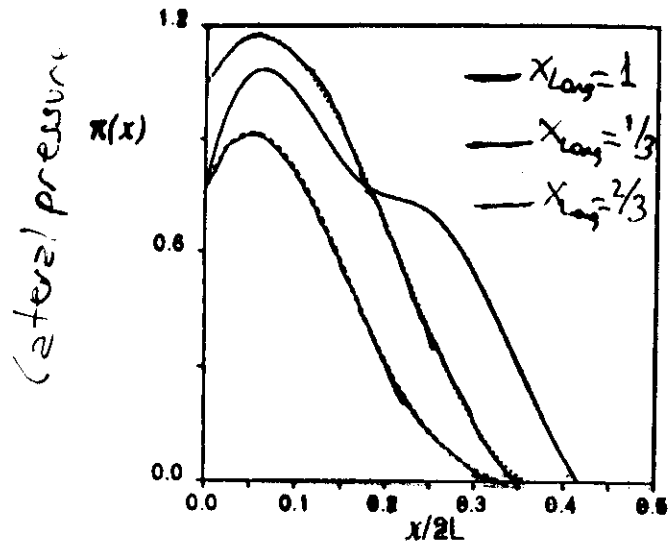
Minimization gives:

$$P(\alpha_A) = \frac{1}{q_A} e^{-\beta \int \pi(z) v_A(z; \alpha_A) dz}$$

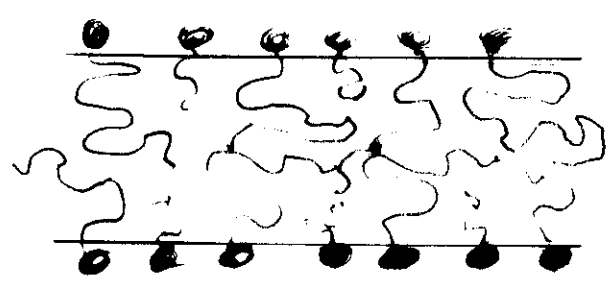
$$P(\alpha_B) = \frac{1}{q_B} e^{-\beta \int \pi(z) v_B(z; \alpha_B) dz}$$

same $\pi(z)$!! found by replacing $P(\alpha_A)$ and $P(\alpha_B)$ in packing constraints.

Mixed Bilayers: $\text{H}-(\text{CH}_2)_{10}-\text{CH}_3 / \text{H}-(\text{CH}_2)_6-\text{CH}_3$

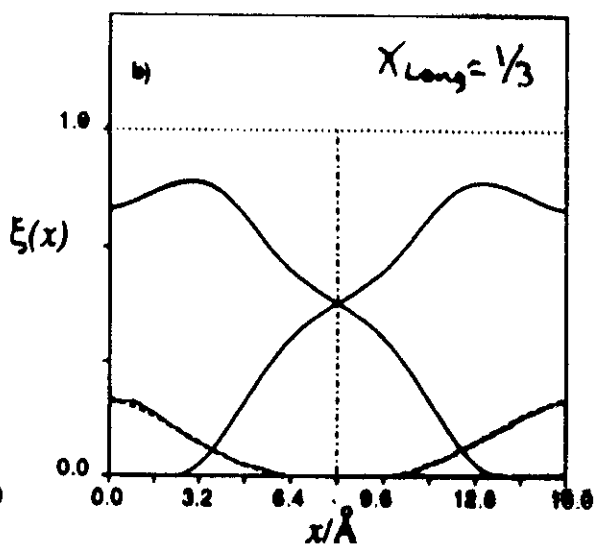
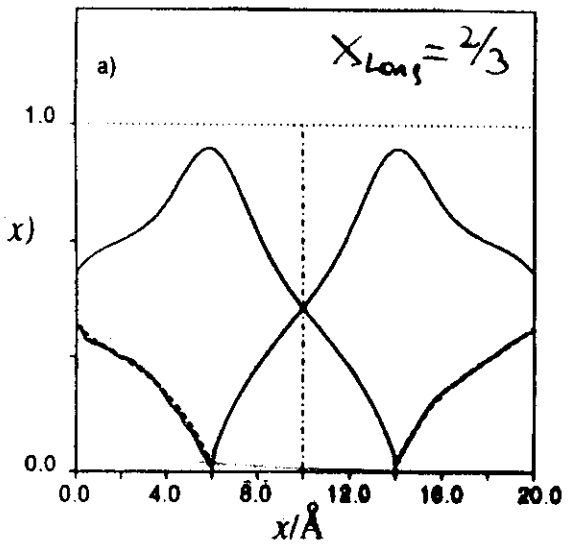


$a = 27 \text{ \AA}^2$



* only long chains but
* very large effective area

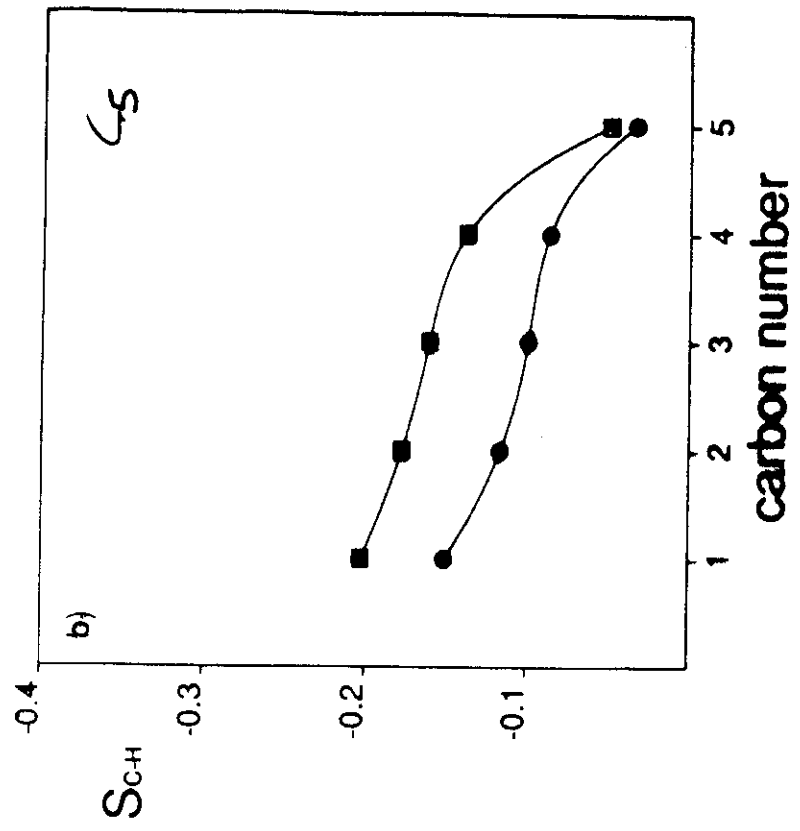
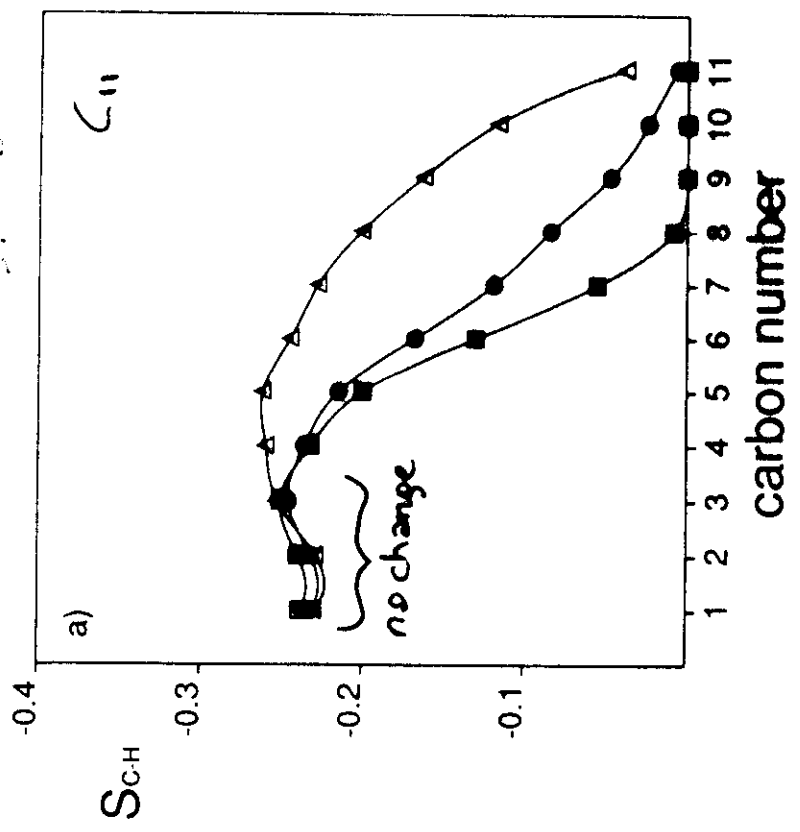
Density Profiles



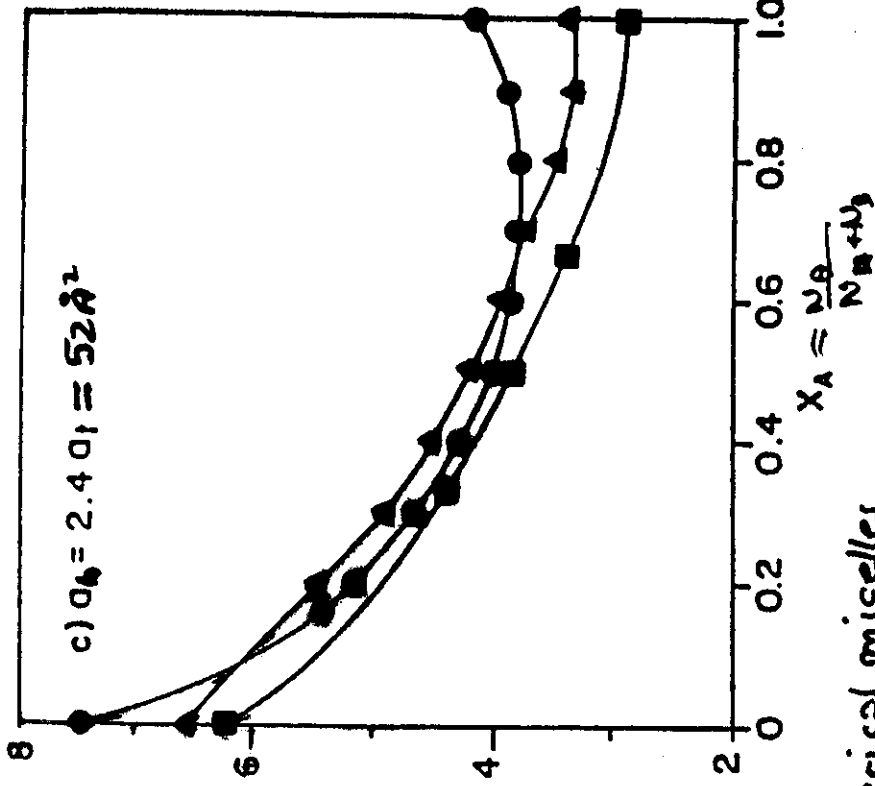
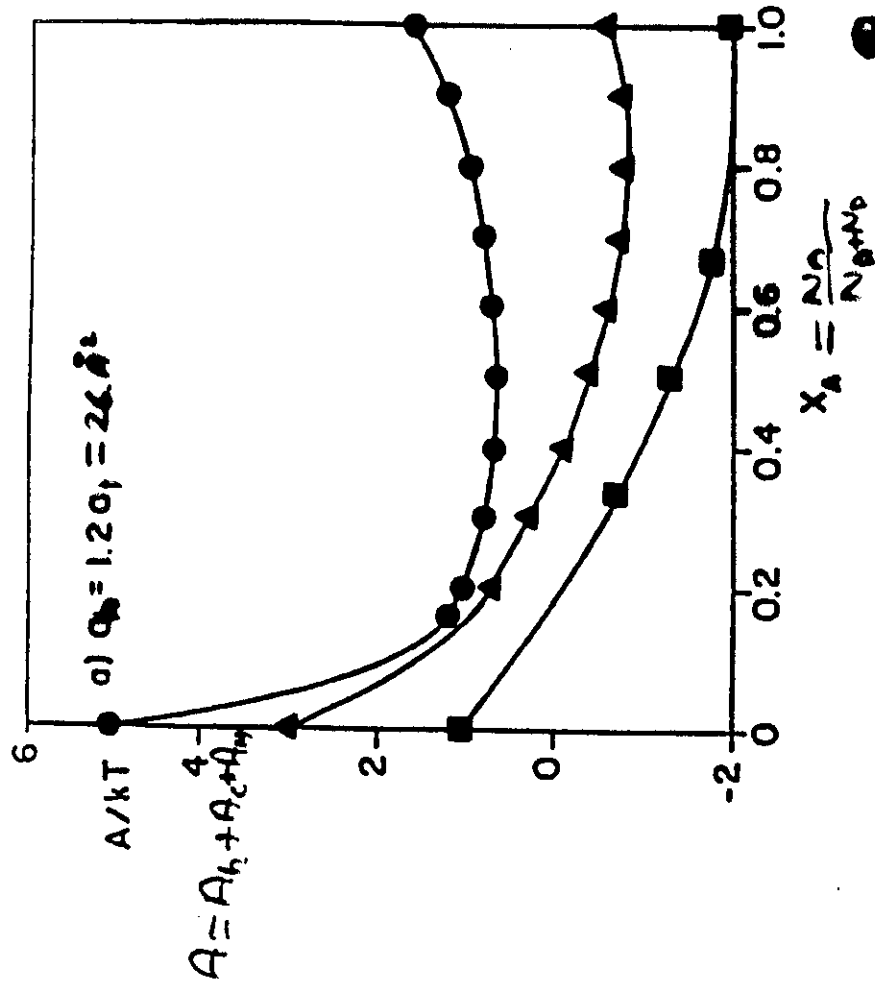
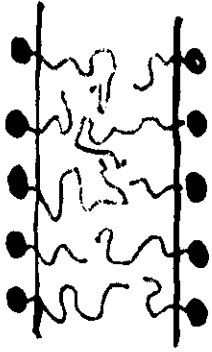
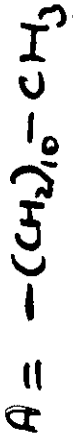
- short chain

Structure in mixed bilayers:
 C_{11}/C_5 $a = 27\text{\AA}^2$

- Δ $X(\text{long}) = 1$
- \bullet $X(\text{long}) = 2/3$
- \blacksquare $X(\text{long}) = 1/3$



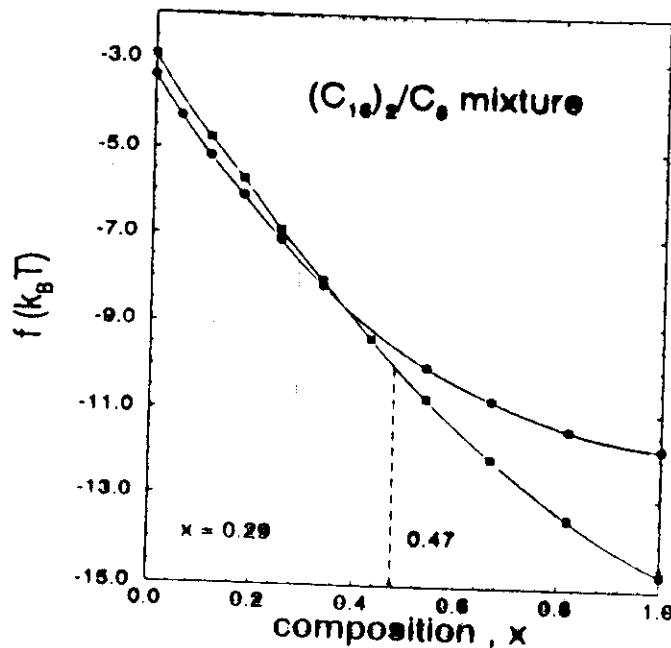
Mixed Aggregates



- spherical micelles
- ▲ cylindrical aggregate
- bilayer

exp. ober Charvolin; Meli
C. 1972

Phase transition (bilayer \rightarrow micelle) in mixed aggregates (Fattal; Andelman; Ben-Shaul).



pure C₈ = cylinder
pure (C₁₆)₂ = bilayer

First order phase transition!! many observations: e.g.

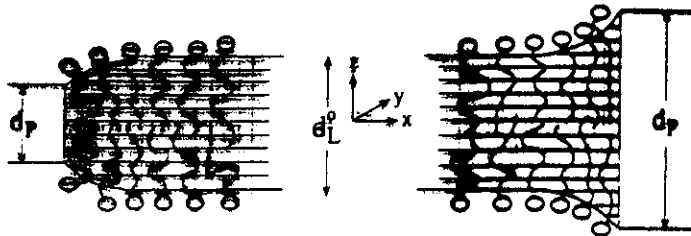
lipid surfactant; lecithin-bile salt

Andelman, Kozlov, Helfrich:

$$\beta f = k(c_1 + c_2 - c_0(x)) + x \ln x + (1-x) \ln(1-x)$$

$k = \text{constant} (?)$

$c_0(x) = \text{linear}$.

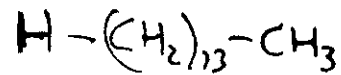
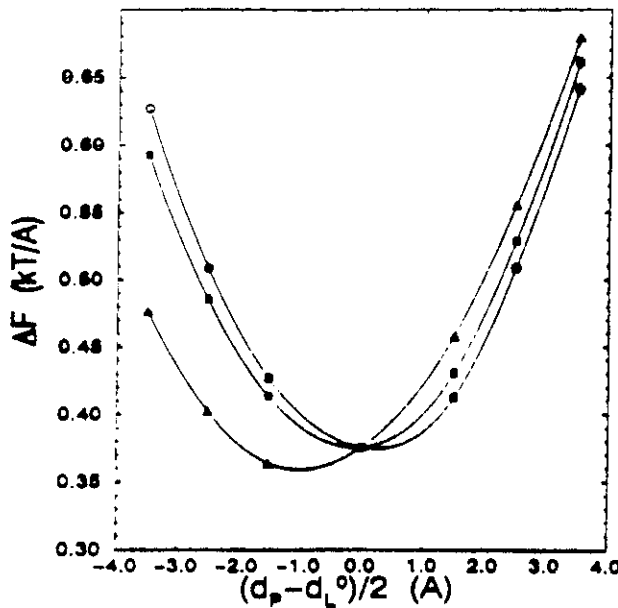


Hydrophobic mismatch

(postulated)

$$d_L(x) = d_L^0 + (d_p - d_L^0) \exp(-x/\xi)$$

ξ is determined by minimizing the free energy for each d_p



$$f_h = 2\gamma a_h + \frac{\gamma}{a} (a - a_h)^2$$

$a_h = 20 \text{ \AA}^2$

$$a_0 = 32 \text{ \AA}^2$$