



UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION
INTERNATIONAL ATOMIC ENERGY AGENCY
INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS



SMR: 962/2

WORKSHOP ON QUANTUM DISSIPATION AND APPLICATIONS

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"Quantum Dissipation, An Overview"

presented by:

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QUANTUM DISSIPATION and APPLICATIONS

- a) The fundamental problem and the experimental motivation
 - b) The phenomenological approach and the problems of interest
 - c) Experimental realizations and their consequences.
 - d) Microscopic models: motion of particles and Topological defects
 - e) Comments and conclusions.
-

a) The fundamental problem

The problem we are going to deal with, is the quantum mechanics of a "particle" that, in the classical limit, obeys the Langevin equation

$$m\ddot{q} + \gamma\dot{q} - V'(q) = f(t) \quad \text{where } \langle f(t) \rangle = 0$$

$$\text{and } \langle f(t)f(t') \rangle = 2\gamma kT \delta(t-t')$$

The quantum limit of this dynamics has always presented a challenge because the Langevin equation cannot be derived from any $H(q, p)$ or $L(q, \dot{q})$

During many years, several alternative schemes of quantization have been proposed such as:

- i) $L(q, \dot{q}, t)$ or $H(q, p, t) \rightarrow$ problems with the uncertainty principle.
- ii) Non-linear Schrödinger equations problems with the superposition principle.

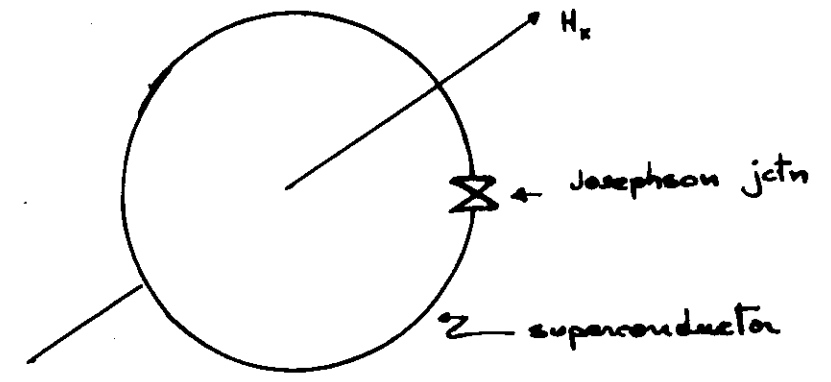
Speculative explanations and lack of examples where these effects could take place, except for corrections $\mathcal{O}(\hbar)$ in the transport coefficients. For example, where to study dissipative tunnelling?

The main goal is to study the quantum limit of the Langevin equation
 $m\ddot{q} + \eta\dot{q} + V'(q) = f(t)$
 for general potentials.

i) Where to find the appropriate system?

ii) How to treat the specific problem?

b1) SQUIDS (superconducting quantum interference devices)

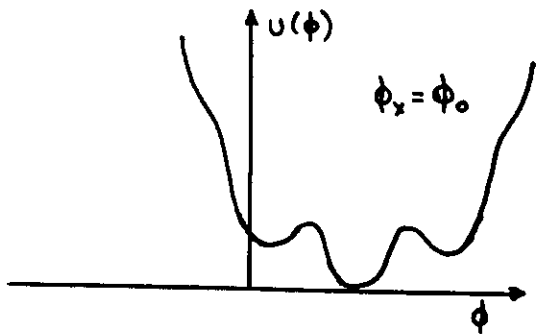
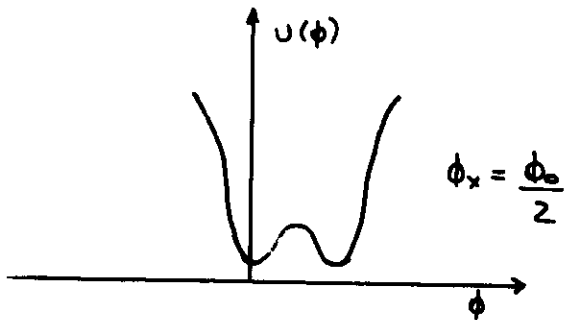
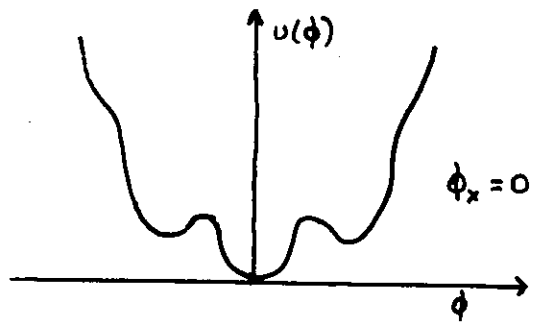


The equation of motion for the total flux ϕ (external field + currents) is given by

$$C\ddot{\phi} + \frac{1}{R}\dot{\phi} + \frac{dU}{d\phi} = 0 \quad \text{where}$$

$$U(\phi) = \frac{(\phi - \phi_x)^2}{2L} - \frac{i_c \phi_0}{2\pi} \cos \frac{2\pi\phi}{\phi_0} \quad \text{and}$$

- C - capacitance of the junction
- R - resistance in the normal state
- i_c - critical current
- ϕ_x - external flux
- ϕ_0 - flux quantum



$$U(\phi) = \frac{(\phi - \phi_x)^2}{2L} - \frac{\phi_0 i_c}{2\pi} \cos \frac{2\pi\phi}{\phi_0}$$

$$\text{if } \frac{2\pi L i_c}{\phi_0} > 1 \Rightarrow$$

\Rightarrow several minima

$$\text{if } \frac{2\pi L i_c}{\phi_0} \leq 1 \Rightarrow$$

\Rightarrow only one minimum

In a SQUID:

$$L \sim 10^{-10} \text{ H}$$

$$C \leq 10^{-12} \text{ F}$$

$$i_c \sim 10^{-5} \text{ A}$$

$$\Downarrow$$

$$\omega^2 \sim \frac{1}{LC} \sim 10^{22} \text{ s}^{-2}$$

$$\Rightarrow \frac{\hbar\omega}{k} \sim 1^{\circ} \text{ K}$$

$$\Downarrow$$

$$T \lesssim 1^{\circ} \text{ K}$$

quantum effects of
the electromagnetic field

$$\phi = \oint \vec{A} \cdot d\vec{l}$$

(10)
(5)

b) The phenomenological approach

Dissipative systems are such that

$$H = H_S + H_{int} + H_R$$

S is NOT an isolated system

TWO CHOICES:

- i) Simple model for H_R obeying the classical constraint
- ii) Realistic model for H_R (classical result?)

Let's adopt (i)

$$H = H_S + H_{int} + H_R + H_{CT}$$

$$H_S = \frac{p^2}{2m} + V(q)$$

$$H_{int} = \sum_k C_k q q_k$$

$$H_R = \sum_k \left(\frac{p_k^2}{2m_k} + \frac{1}{2} m_k \omega_k^2 q_k^2 \right) \quad \text{and}$$

$$H_{CT} = - \sum_k \frac{C_k^2 q^2}{2m_k \omega_k^2}$$

we still have to define

$$J(\omega) \equiv \sum_k \frac{\pi C_k^2}{2m_k \omega_k} \delta(\omega - \omega_k)$$

In the case of ohmic dissipation ($\eta \dot{q}$)

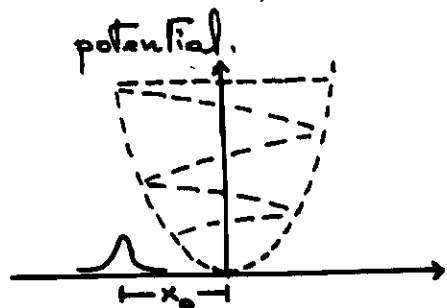
$$J(\omega) = \begin{cases} \eta \omega & \text{if } \omega < \Omega \\ 0 & \text{if } \omega > \Omega \end{cases}$$

Strategy: trace out the variables of R.

effective dynamics depends only on η

Some problems of interest

i) Motion of a wave packet in a harmonic potential.



$$m \langle \ddot{x}(t) \rangle + \eta \langle \dot{x}(t) \rangle$$

$$+ m \omega_0^2 \langle x(t) \rangle = 0$$

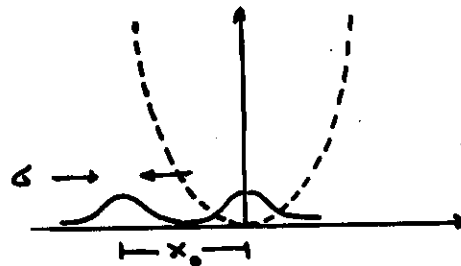
and

$$\langle x^2(t) \rangle = \frac{\hbar}{\pi} \int_0^\infty \chi''(\omega) \coth \frac{\hbar \omega}{2kT} \cos \omega t \, d\omega$$

$$\chi''(\omega) = \frac{1}{m} \frac{2\gamma \omega}{(\omega^2 - \omega_0^2)^2 + 4\gamma^2 \omega^2}$$

$$\gamma \equiv \frac{\eta}{2m}$$

ii) Interference of wave packets in a harmonic potential.



$$\psi(x, t) = \psi_0(x, t) + \psi_1(x, t)$$

$$\rho(x, t) = \psi^*(x, t) \psi(x, t)$$

a) $\gamma = 0$; $\rho(x, t) = \rho_1(x, t) + \rho_2(x, t) + 2\sqrt{\rho_1(x, t)\rho_2(x, t)} \cos \varphi(x, t)$

b) $\gamma \neq 0$; $\tilde{\rho}(x, t) = \tilde{\rho}_1(x, t) + \tilde{\rho}_2(x, t) + 2\sqrt{\tilde{\rho}_1(x, t)\tilde{\rho}_2(x, t)} \cos \tilde{\varphi}(x, t) e^{-\Gamma t}$

Term of interference

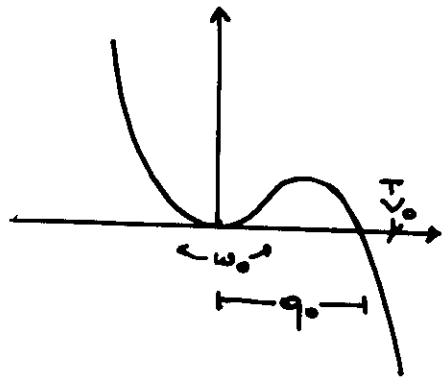


center of $\tilde{\rho}_1(x, t) \sim e^{-\gamma t}$ but

$$\Gamma = \begin{cases} N\gamma & \text{if } T=0 \\ \frac{2N\gamma kT}{\hbar \omega_0} & \text{if } kT \gg \hbar \omega_0 \end{cases}$$

$$N = \frac{x_0}{\sigma}$$

c) Decay by quantum tunnelling



$$V(q) = \frac{1}{2} m \omega_0^2 q^2 - \lambda q^3$$

Probability of decay

$$P(t) = e^{-\Gamma t} \quad ; \quad \Gamma = A \exp - \frac{B}{\hbar}$$

$$|\Gamma| \approx \omega_0 \exp - V_0 / kT$$

i) $T=0$ and $\gamma=0$

$$\Gamma = \Gamma_0 = A_0 \exp - \frac{B_0}{\hbar}$$

where $A_0 = \omega_0 \left(\frac{30 B_0}{\hbar} \right)^{\frac{1}{2}}$ and

$$B_0 = \frac{36}{5} \frac{V_0}{\omega_0}$$

ii) $T=0$ and $\gamma \neq 0$

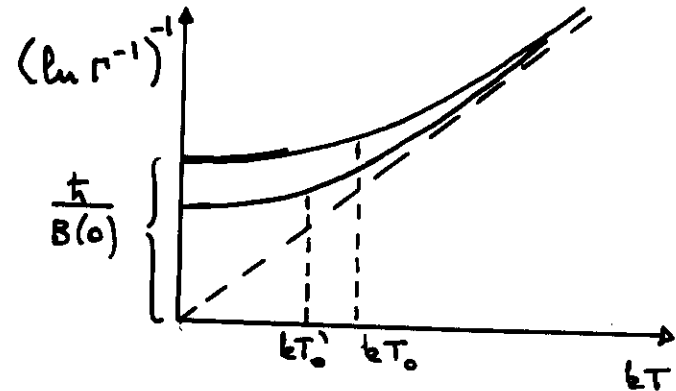
$$A(\gamma) > A_0 \quad \text{and} \quad B(\gamma) > B_0$$

but: $\Gamma(\gamma) < \Gamma_0$

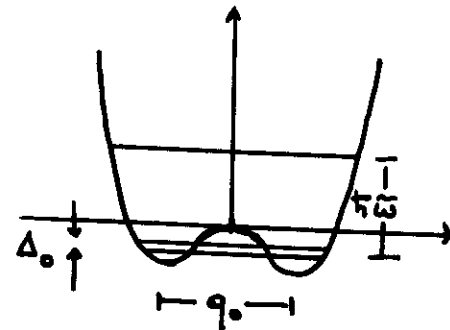
(65)

iii) $T \neq 0$ and $\gamma \neq 0$

$$B(\gamma, T) \approx \begin{cases} B(\gamma, 0) & \text{if } T < T_0 \\ -\frac{\hbar V_0}{kT} & \text{if } T > T_0 \end{cases}$$



d) Coherent Tunnelling



$$V(q) = -\frac{1}{2} m \omega_0^2 q^2 + \frac{\lambda}{4} q^4$$

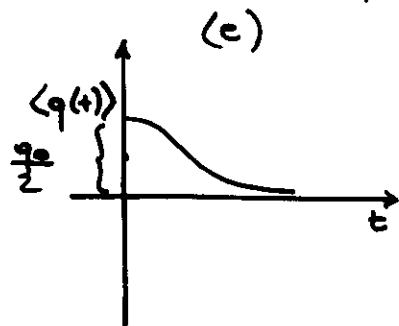
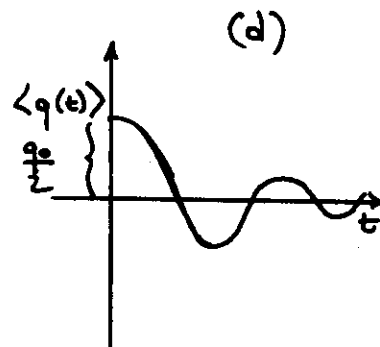
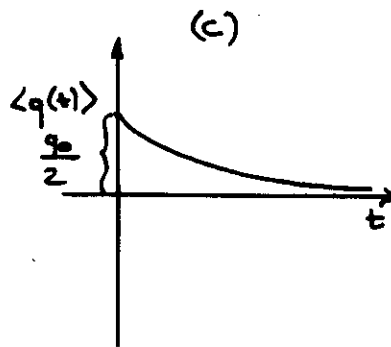
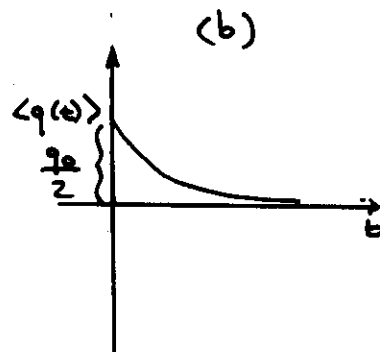
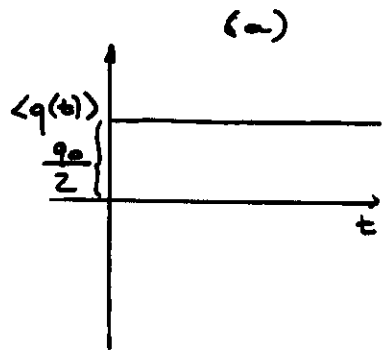
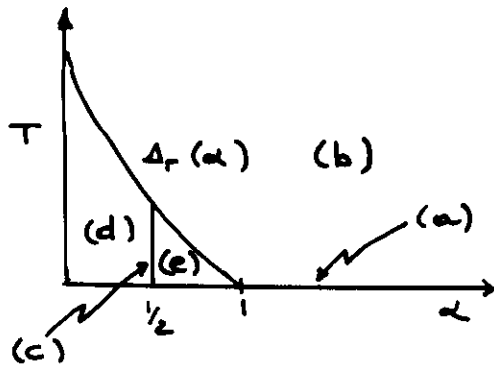
$kT \ll \hbar \tilde{\omega} \Rightarrow$ two level system + dissipation.

$$\langle q(t) \rangle = \frac{q_0}{2} \cos \frac{\Delta_0 t}{\hbar} \quad \text{so } \gamma = 0$$

(66)

Phase diagram

$$\alpha \equiv \frac{\eta q_0^2}{2\pi \hbar}$$



c) Experimental realizations

SQUID's can be used to:

- i) Test the structure of levels
- ii) Measure quantum decay
- iii) Measure coherent tunnelling.

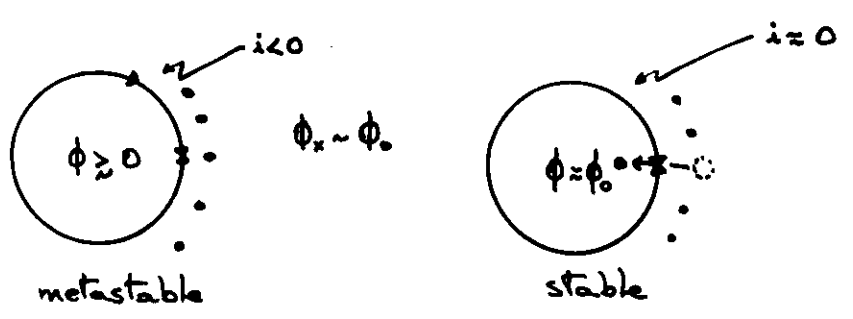
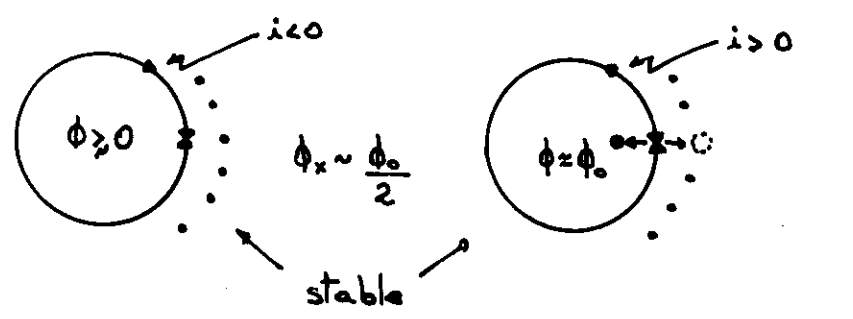
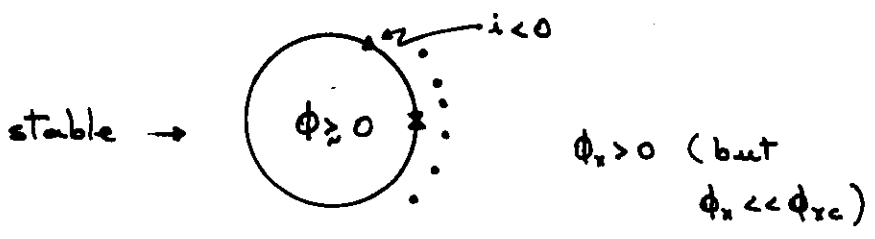
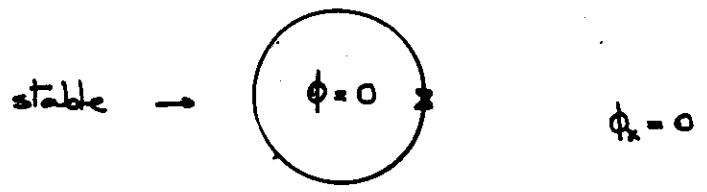
But... what tunnels and what is the physics involved in the process?

We shall see that effects of superposition of macroscopically distinguishable states (N -body states) take place.

$$a \psi_A(x_1, x_2, \dots, x_N) + b \psi_B(x_1, x_2, \dots, x_N)$$

↓↓

- i) Experimental realization of the Schrödinger's cat
- ii) Fundamental questions in the quantum theory of measurement.



The state of the condensate

i) Coherent tunnelling

$$\Psi(\vec{r}_1, \dots, \vec{r}_N, t) = a(t) \Psi_1(\vec{r}_1, \dots, \vec{r}_N) + b(t) \Psi_2(\vec{r}_1, \dots, \vec{r}_N)$$

where $|a|^2 + |b|^2 = 1$ and $\Psi_1 \rightarrow$ state with $i < 0$
 $\Psi_2 \rightarrow$ state with $i > 0$

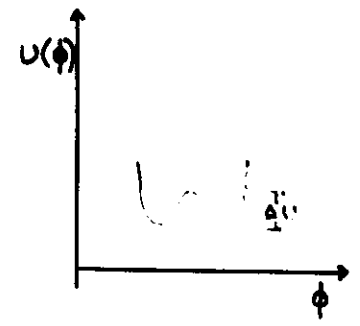
ii) Quantum decay

$$\Psi(\vec{r}_1, \dots, \vec{r}_N, t) = e^{-\gamma t/2} \Psi_1(\vec{r}_1, \dots, \vec{r}_N) + \sqrt{1 - e^{-\gamma t}} \Psi_2(\vec{r}_1, \dots, \vec{r}_N)$$

$\Psi_1 \rightarrow$ state with $i < 0$
 $\Psi_2 \rightarrow$ state with $i = 0$

Ψ_1 and Ψ_2 are states corresponding to macroscopically distinct values of the Josephson current.

Order of magnitude of the relevant parameters



$$\Delta U \sim \frac{6(N-1)^2}{8\pi^2} \frac{\phi_0^2}{L}$$

$$N = \frac{2\pi L i_c}{\phi_0} = 1 + \epsilon$$

if $\epsilon \sim 0.1 \Rightarrow \Delta U \sim 10^{-23}$ joules

b2) CBJJ (current biased Josephson junctions) ²⁰¹⁵ (14)



The same as a SQUID but:

$$L \rightarrow \infty, \quad \phi_x \rightarrow \infty \quad \text{with} \quad \frac{\phi_x}{L} \equiv I_x \quad (\text{finite})$$

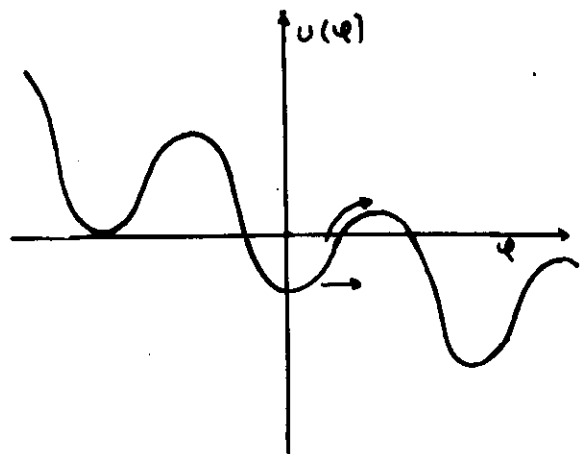
$$\text{and} \quad \phi \leftrightarrow \frac{\phi_0}{2\pi} \Delta\theta \equiv \frac{\phi_0 \varphi}{2\pi}$$

$$\text{Superconducting wave function: } \begin{cases} \psi_2 = \sqrt{\rho_2} e^{i\theta_2} \\ \psi_1 = \sqrt{\rho_1} e^{i\theta_1} \end{cases}$$

$$\text{and} \quad \Delta\theta \equiv \theta_2 - \theta_1$$

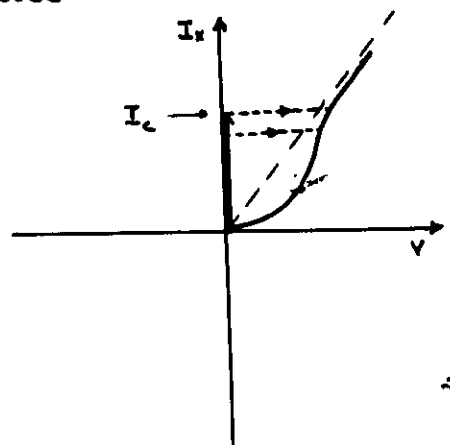
Equation of motion:

$$\frac{\phi_0}{2\pi} c \ddot{\varphi} + \frac{\phi_0}{2\pi R} \dot{\varphi} + U'(\varphi) = 0 \quad ; \quad U(\varphi) = -I_x \varphi - i_c \cos \varphi$$



Remark: Here, it must be noticed that φ is a phase
 $\Rightarrow \varphi \rightarrow \varphi + 2n\pi$
 φ is not uniquely defined as ϕ in SQUIDS
 Tunnelling does not involve "distances" $\sim 2\pi$
 ($I_x \leq I_c$ is appropriate)

One measures



Tunnelling in $\varphi \Rightarrow$ quantum "phase slippage"

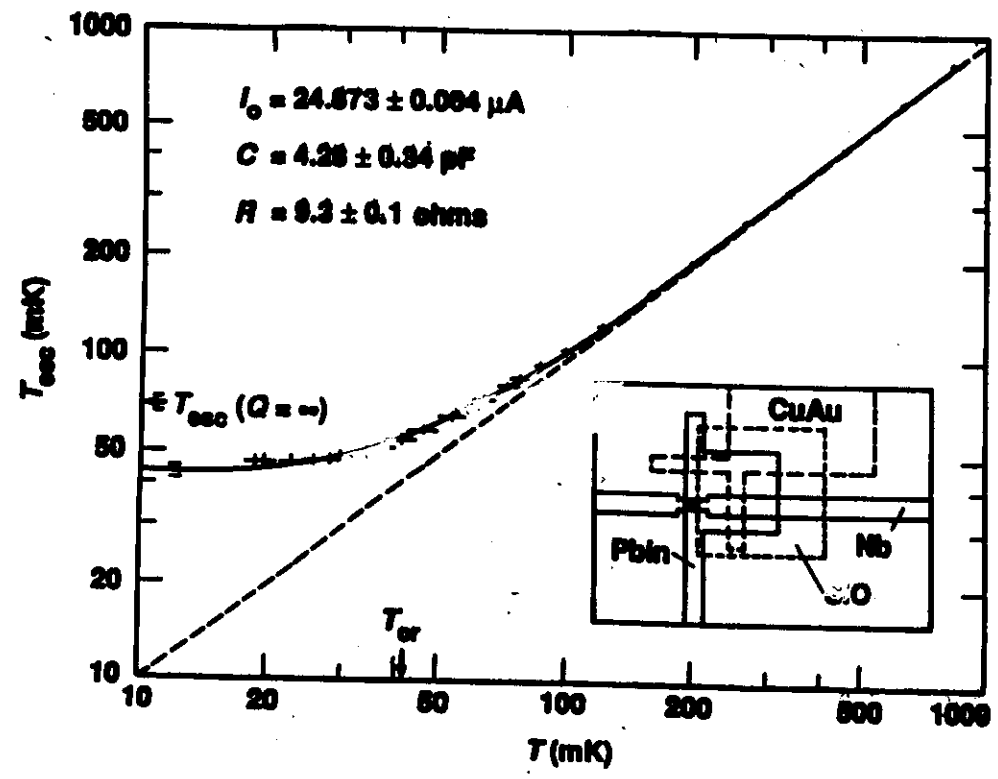
$$\begin{array}{|c|} \hline s \textcircled{1} \\ \hline 0 \\ \hline s \textcircled{2} \\ \hline \end{array} \rightarrow \text{motion of vortex lines}$$

$$\uparrow I_x \quad \langle \mu_1 - \mu_2 \rangle = h \left\langle \frac{dn}{dt} \right\rangle$$

Once again, the electronic condensate is in macroscopically distinct quantum states ($V \neq 0$ and $V = 0$)

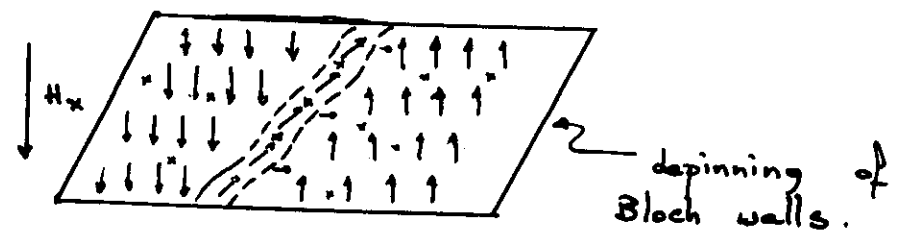
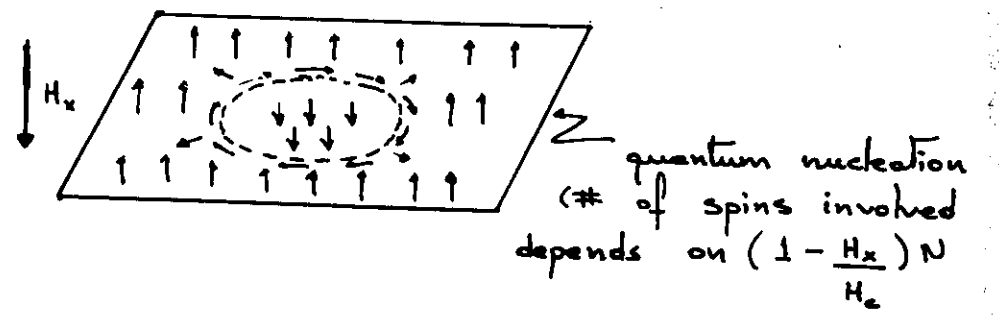
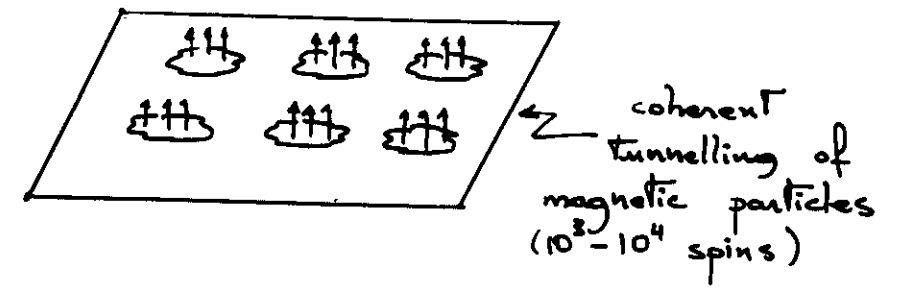
Remark: In CBJJ's there is only quantum decay
 "Bloch oscillations" ???

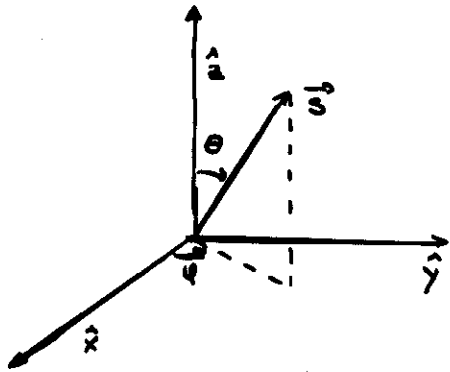
c3) Magnetic Systems



J. Clarke et. al.
 Science 239 (1988) 992

Three possibilities





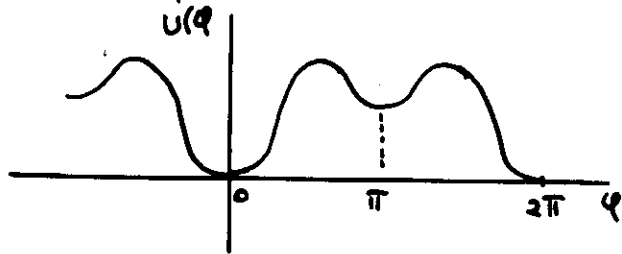
Totally anisotropic system

$\hat{x} \rightarrow$ easy axis

$$\Downarrow \quad \psi = \psi(y, z)$$

$$\frac{1}{c^2} \frac{\partial^2 \psi}{\partial t^2} - \nabla^2 \psi = -A_1 \sin \psi - A_2 \sin 2\psi = U'(\psi)$$

$A_1 \propto$ external field ; $A_2 \propto$ anisotropy

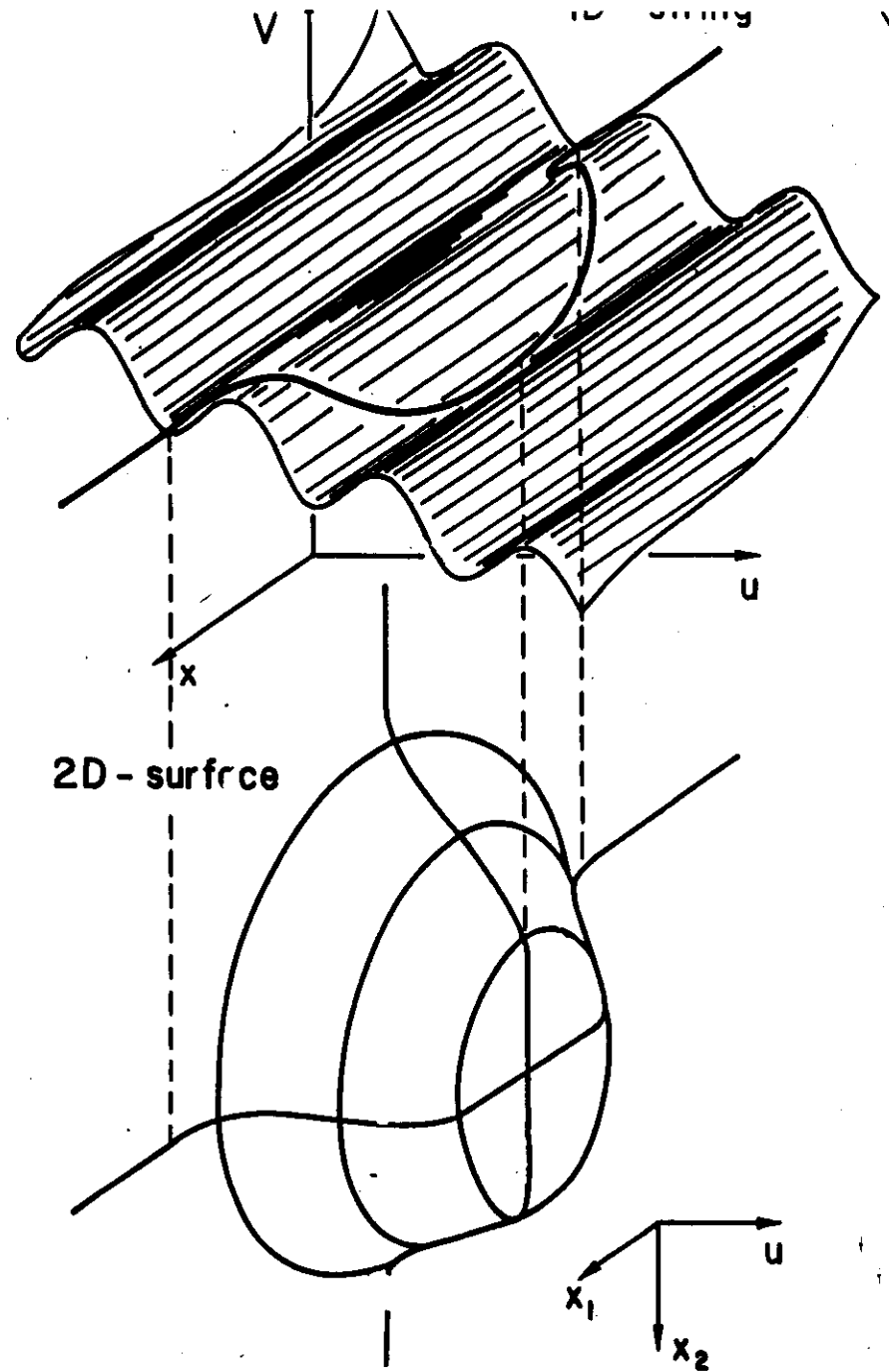


1st case: $A_1 = 0$ and $\nabla^2 \psi = 0 \Rightarrow$ bistable potential

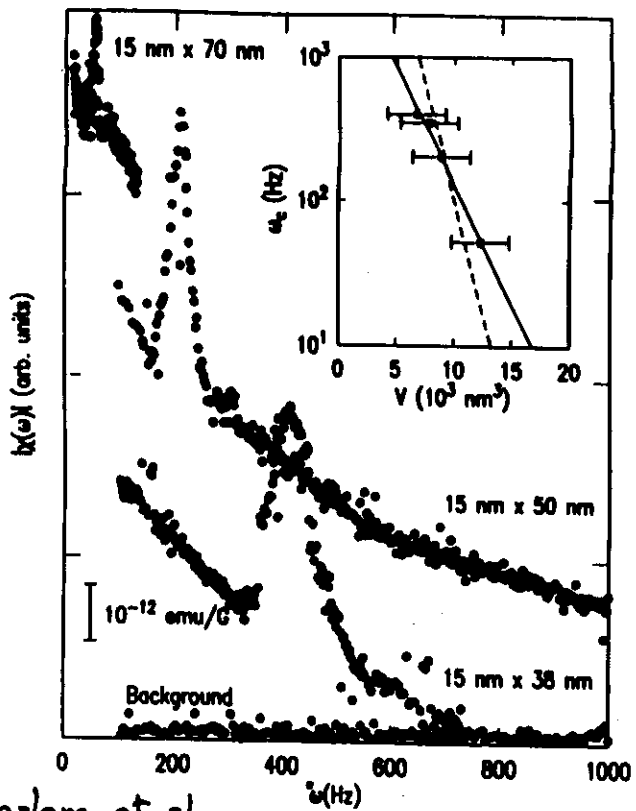
2nd case: $\nabla^2 \psi$ in cylindrical coordinates (bubbles)

3rd case: equation of motion + impurity potential ψ

When dissipation is present $+ \eta \frac{\partial \psi}{\partial t}$ (a)

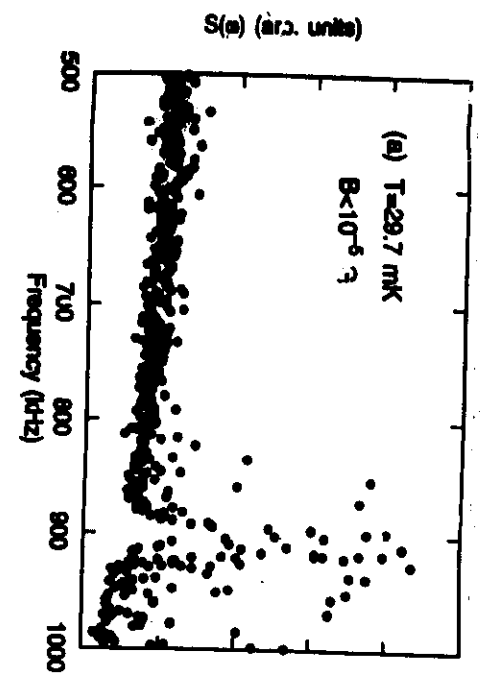
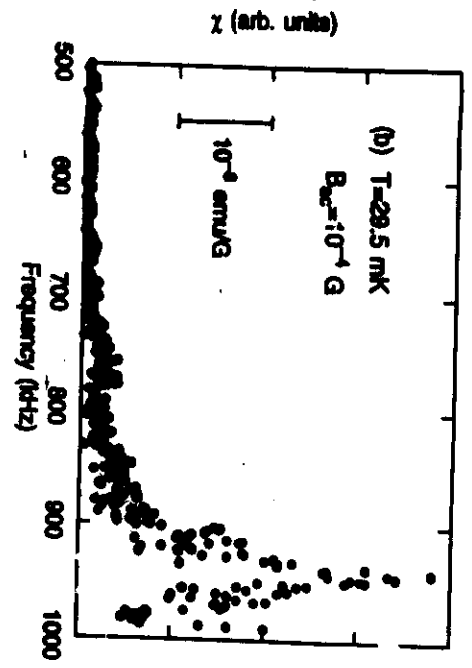
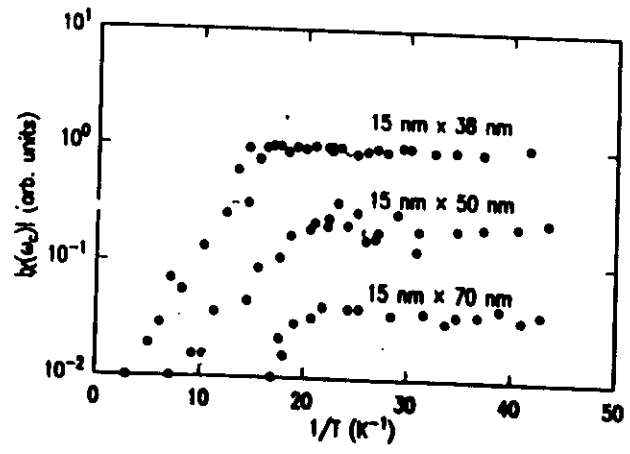


G. Blatter et al. Rev. Mod. Phys. 66 (1994) 1125

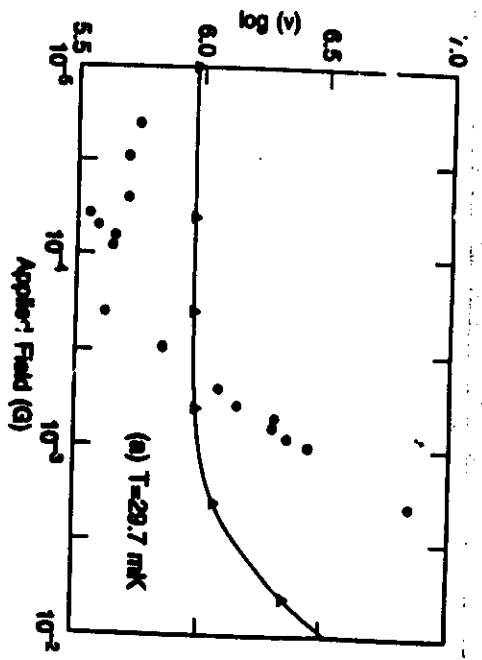
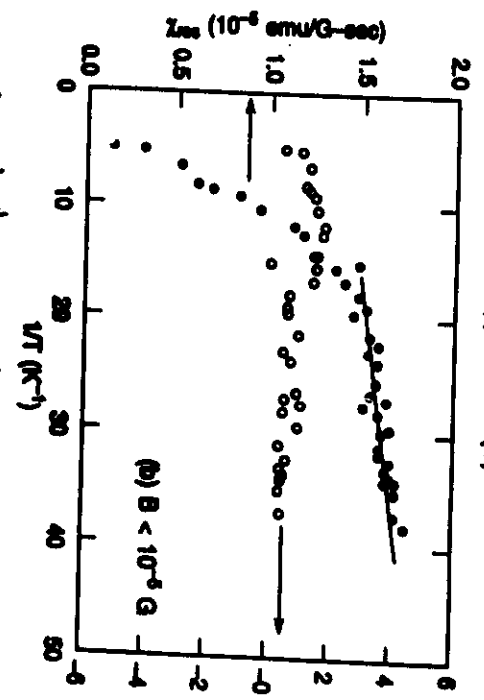


D. D. Awschalom et al.
PRL 65 (1990) 783

Fe(CO)5



D. D. Awschalom et al.
PRL 68 (1992) 3092

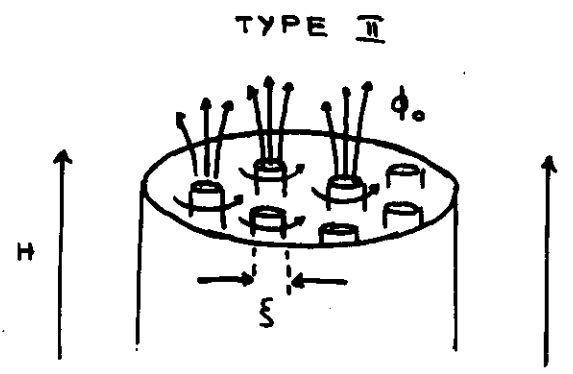
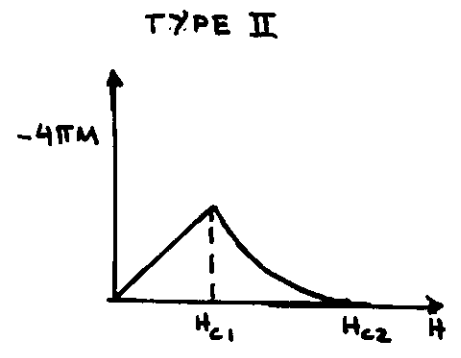
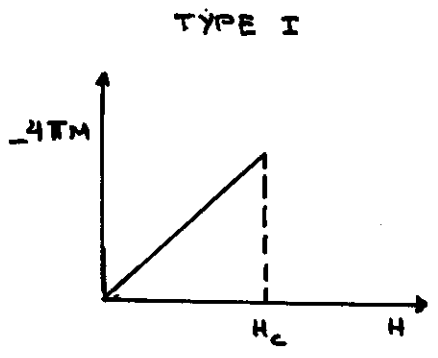


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c4) Vortices in superconductors

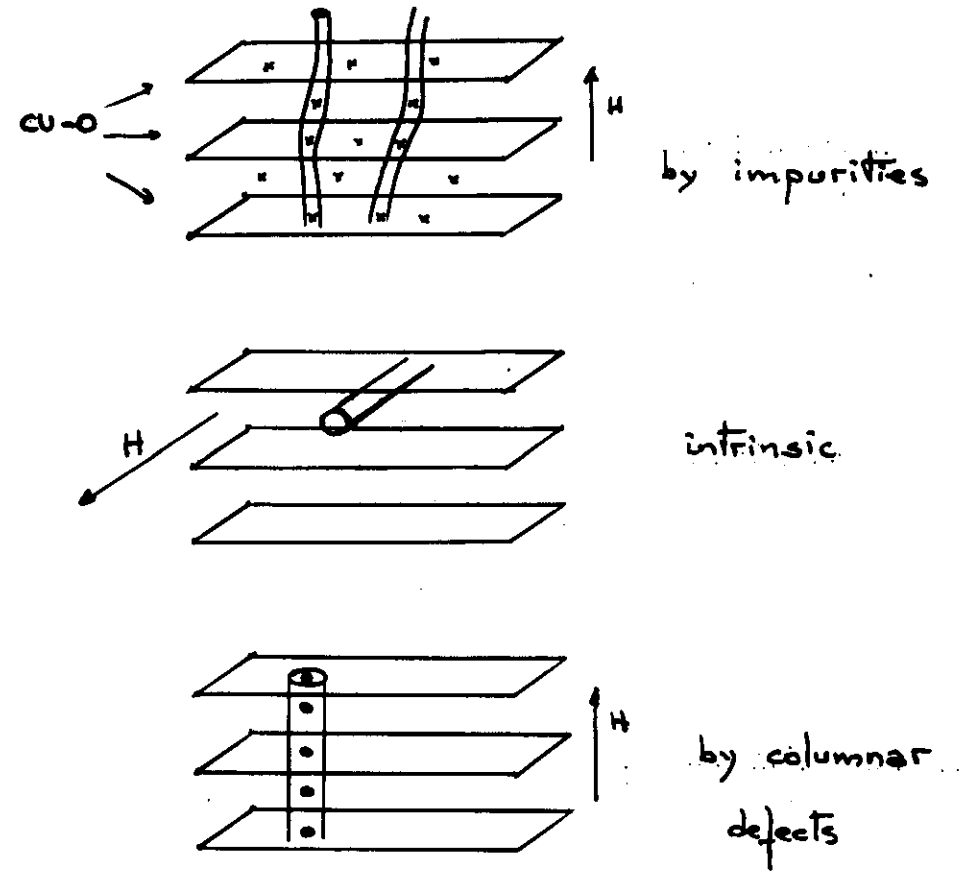
SUPERCONDUCTORS



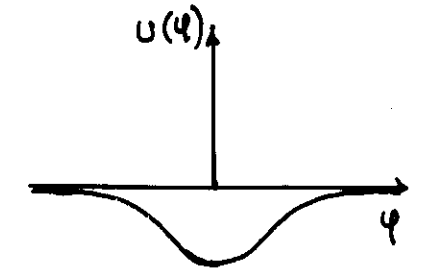
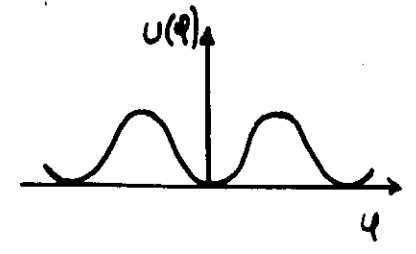
High Tc superconductors

- i) Type II
- ii) $\lambda \gg \xi$
 - λ - penetration depth
 - ξ - coherence length
- iii) quantum fluctuations are important.

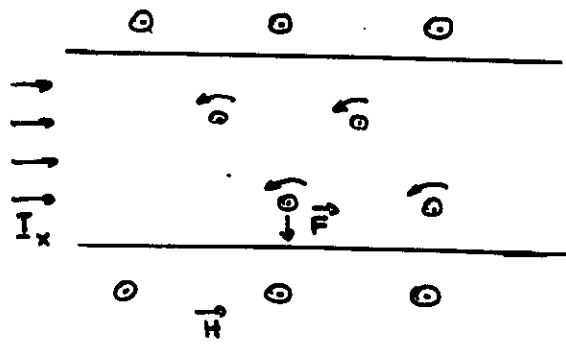
Pinning of vortices (high Tc)



Displacement of vortices, $\vec{\psi}(\vec{r}, t)$, subject to potentials such as

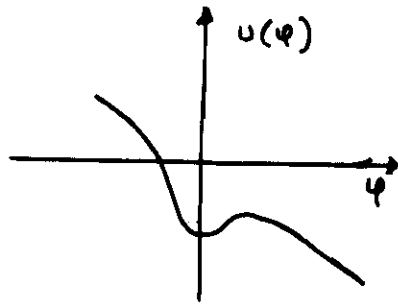
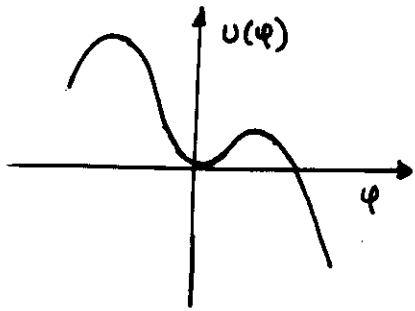


The effect of an external current I_x



upper view
of the system

New potentials

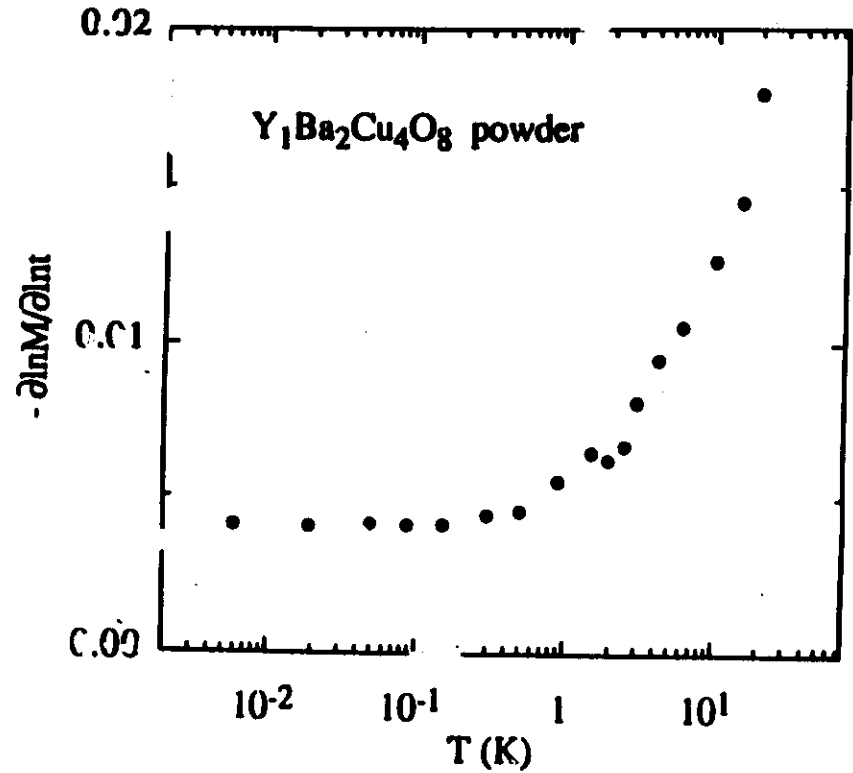


$$\frac{1}{c^2} \frac{\partial^2 \vec{\psi}}{\partial t^2} - \nabla^2 \vec{\psi} = -U'(\psi)$$

$$+ \eta \frac{\partial \vec{\psi}}{\partial t} \quad (\text{generalization})$$

Analogy with the displacement of
Bloch walls

A.C. Motz et al.
Physics C 185-189 (1991) 343



d) Microscopic models

Bloch walls \leftrightarrow topological excitations in spin models (dissipation: eq: Landau-Lifshitz or Bloch)

Vortices \leftrightarrow topological excitations in superconductor (dissipation: Time dependent Ginzburg-Landau)

Phenomenological approach requires knowledge of $\eta(T)$ or $J(\omega, T)$

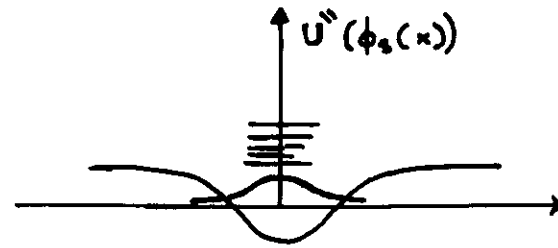
These objects can be treated quantum mechanically with collective coordinates.

$$\frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} - \frac{\partial^2 \phi}{\partial x^2} = -U'(\phi)$$

admits localized solutions $\phi_s(x-x_0)$

\Downarrow

$$-\frac{\partial^2 \phi_n}{\partial x^2} + U''(\phi_s) \phi_n = \lambda_n \phi_n \quad (\text{stationary case})$$



\Downarrow

$$\text{if } x_0 \neq 0; \quad \phi(x, t) = \phi_0(x - x_0(t)) + \sum_{n \neq 1}^{\infty} a_n \psi_n(x, t)$$

\Downarrow

$$H = \frac{1}{2M} (P - \sum_n P_n)^2 + V_e(x_0) + \sum_n \frac{P_n^2}{2m} + V_i(q_n)$$

$$\Uparrow \quad \Psi \equiv \exp -\frac{i}{\hbar} x_0 \sum_n P_n$$

$$H = \frac{P^2}{2M} + \sum_n V_i(q_n - x_0) + \sum_n \frac{P_n^2}{2m} + V_e(x_0)$$

$V_{i(e)}$ \rightarrow internal (external) potential

P_n and q_n come from the expansion of $\psi_n(x, t)$

The effective dynamics of $x_0(t)$ allows us to compute $\mu(T)$ and $D(T)$ of external particles or topological excitations in general media.

Some problems of interest

- i) Optical and acoustical polarons in 1-D
- ii) External particles in 1-D systems of massive bosons or fermions (δ -repulsive)
- iii) Motion of Bloch walls in 1-D magnets (solitons in non-linear media)
- iv) Motion of vortices in superconductors (2-D)
- v) Motion of skyrmions in 2-D electronic systems (QHE; $\nu=1$)

e) Comments and conclusions

- 1) Dissipation inhibits quantum effects but does not fully destroy them.
 - weak dissipation
 - Possibility to realize experiments presenting quantum effects on a macroscopic scale
 - Preservation of quantum coherence for long times.
 - strong dissipation
 - More efficient pinning of vortices
 - Understanding of a measurement apparatus from a quantum-mechanical point of view.
- 2) Vast range of applicability of the phenomenological model once $J(\omega, T)$ is known
- 3) Other examples
 - CDW's
 - dislocations
 - biological applications
- 4) New problems