



SMR.998d - 3

Research Workshop on Condensed Matter Physics
30 June - 22 August 1997
**MINIWORKSHOP ON
QUANTUM WELLS, DOTS, WIRES
AND SELF-ORGANIZING NANOSTRUCTURES
11 - 22 AUGUST 1997**

**"Coherence and Phase Sensitive Measurements
of a Quantum Dot"**

PART I

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These are preliminary lecture notes, intended only for distribution to participants.

Coherence and Phase Sensitive Measurements of a Quantum Dot

A. Yacoby, M. Heiblum and R. Schuster

V. Umansky, D. Mahalu, H. Shtrikman

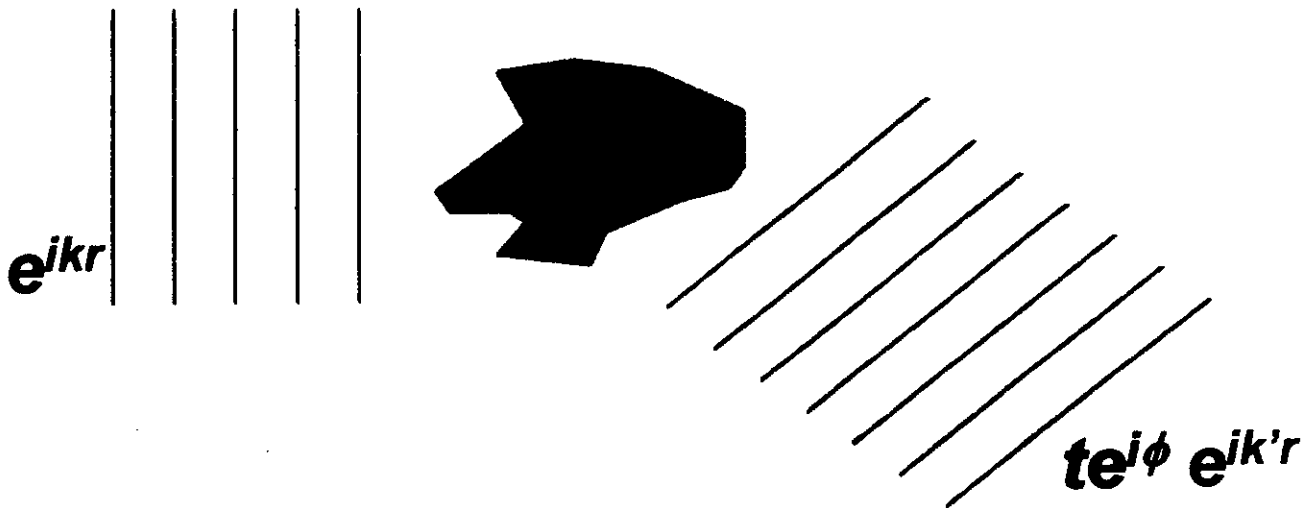
Braun Center for Submicron Research,
Dep. Condensed Matter Physics, Weizmann Institute

Embedding a *Quantum Dot* in an A - B ring as a tool for studying its *phase properties*

 Method to Measure Phase of Transmission Coefficients

- Background on : Resonant Tunneling
Coulomb blockade
- Coherent transport through a *Quantum Dot* - a
Resonant Tunneling structure
- Dephasing within the dot
- Unexpected phase behavior of the A - B
oscillations was found

Scattering Experiments



- Landauer (1957) has shown

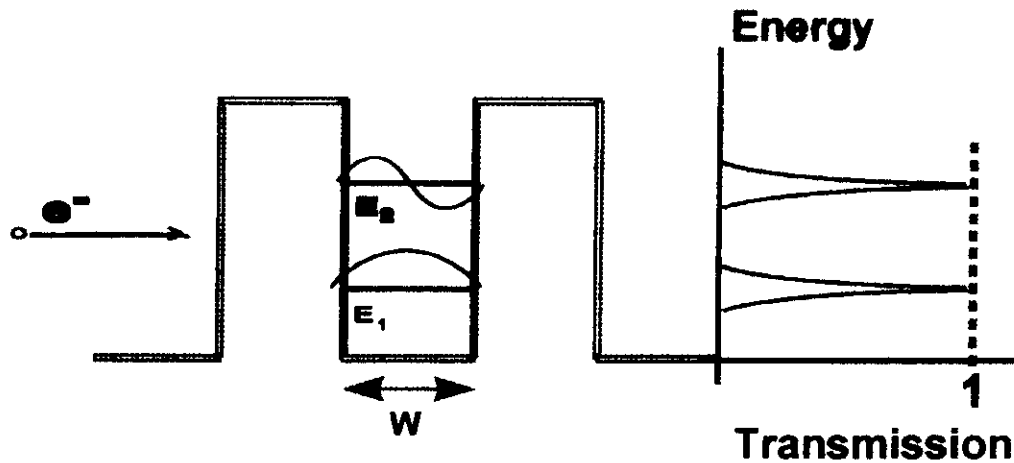
$$I_{kk'} \propto |te^{i\phi}|^2 = |t|^2$$

- Loss of phase of transmission coefficient (relative phase)

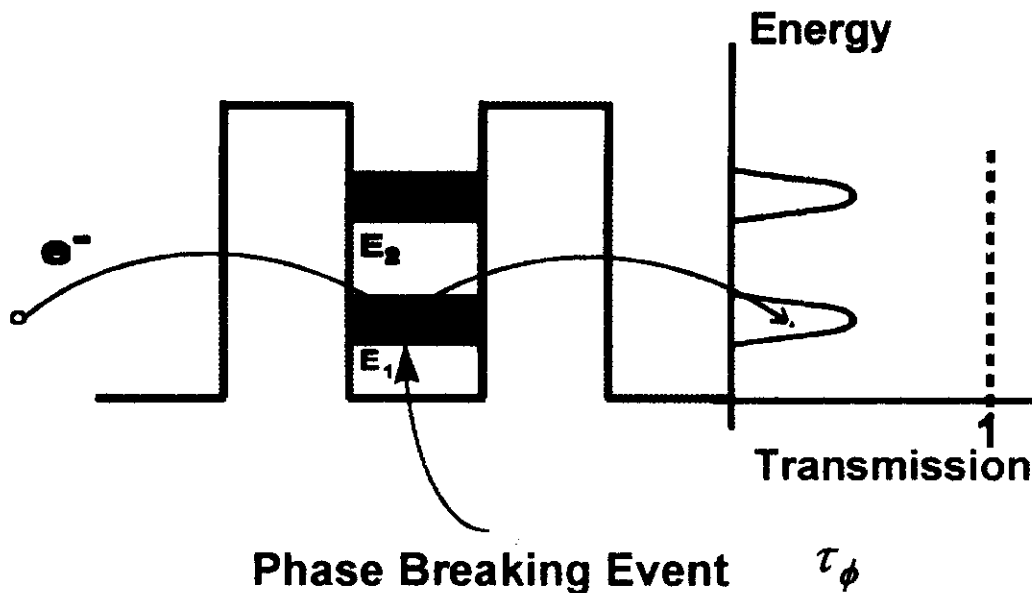
Interference Experiments Provide a Tool to Measure:

- Actual phase of transmission
- Dephasing processes
- Phase coherence length

Coherent vs Sequential Tunnelling



- **Coherency is Maintained During Transport**

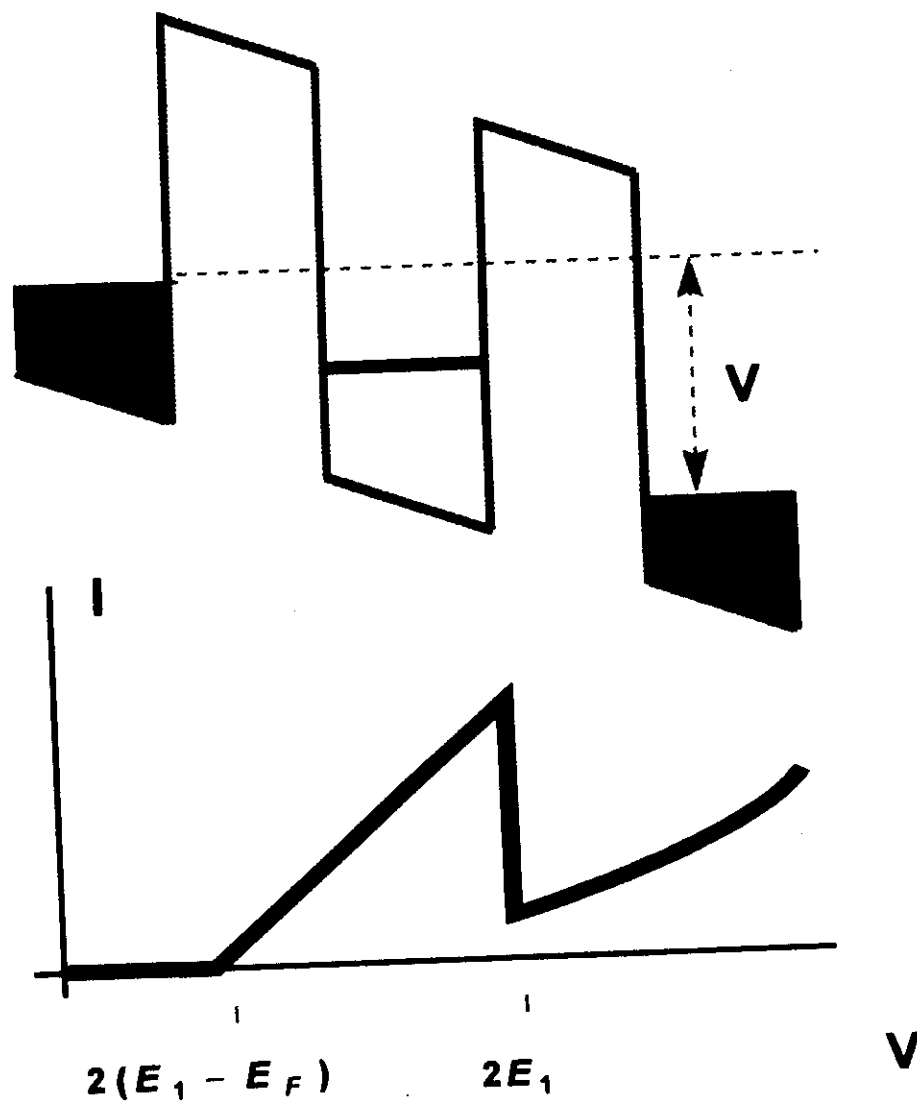


- **No Deterministic Phase Between Input and Output**

$$\tau_{\phi} < \tau_D \approx \frac{2W}{v} \frac{2Ne^2}{h} R < \tau_{\phi}$$

Sequential **Coherent**

Experimentally We Measure ...



Can one determine from the $I - V$ characteristics
the nature of transport ?

Landauer's (1957) Formalism leads to :

$$I = v \left(\frac{2e^2}{h} \int |t(E)|^2 dE \right)$$

conductivity of one channel



Only the Area under the Absolute Value of the transmission coefficient is important !

Weil & Vinter (1987) showed that even though

$t(E)$ is different in COHERENT and

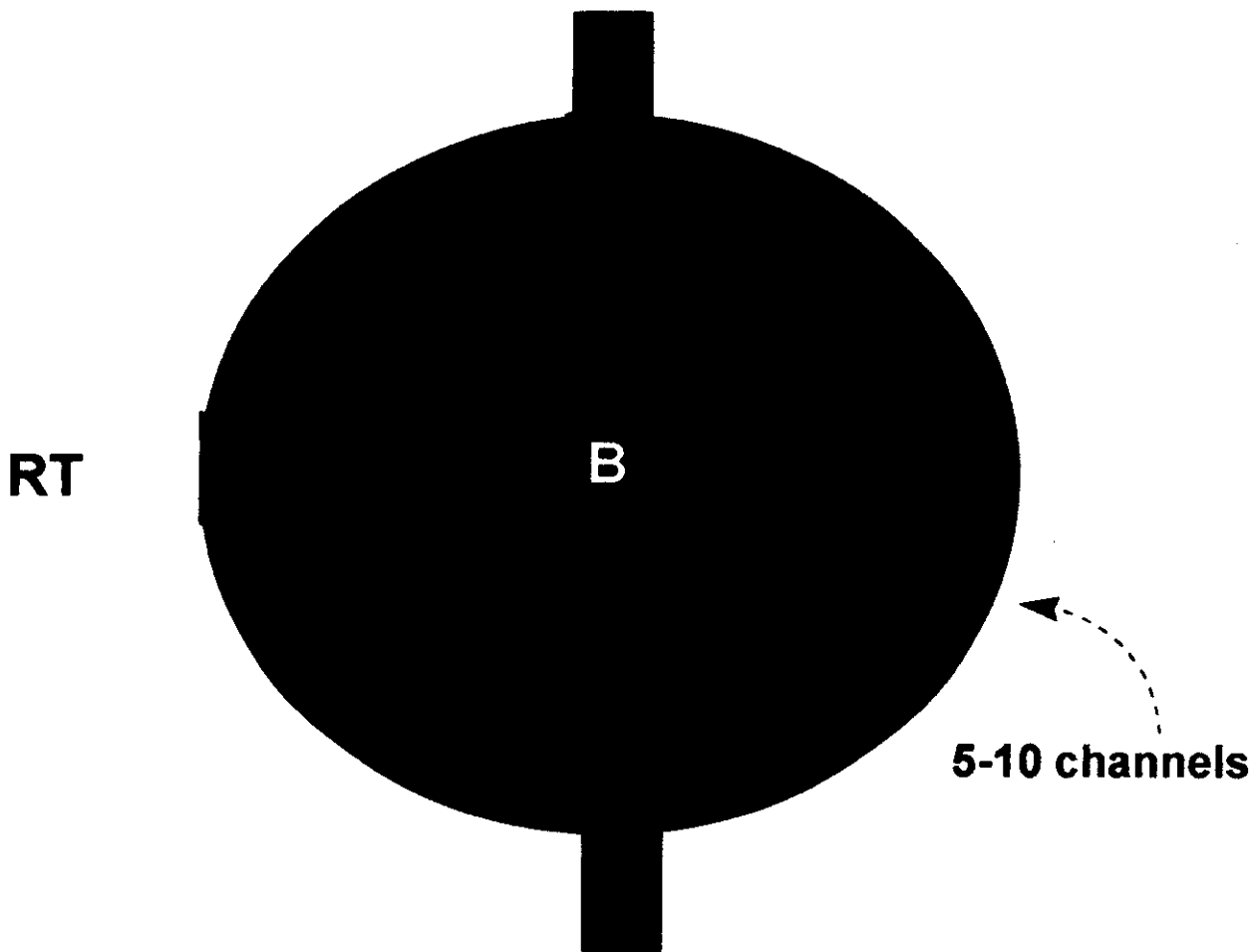
SEQUENTIAL mechanisms - - -

The Predicted Conductance is the Same !

**There is a Long Lasting Controversy
on the Nature of Transport in
Resonant Tunnelling (RT) Devices ...
Is it Coherent or Sequential ?**

**Introducing a RT Structure in an
Interference Experiment and
Measuring Phase Coherency
is a Definite Way to Determine it !**

How Can One Determine Coherency of RT ?



Persistence of the Oscillations with : Φ

Expected Periodicity is $\Delta B \cdot A = \Phi_0$

Order of Magnitude :

$$A = 2 \mu m^2 ; \quad \Delta B \cong 20 G$$

Phase coherence in the ring sets the physical dimension of the experiment

A Small RT Structure



Contains a Small Puddle of Electrons

It is Called a Quantum Dot (QD)



**The Small Puddle has a
Small Capacitance C**



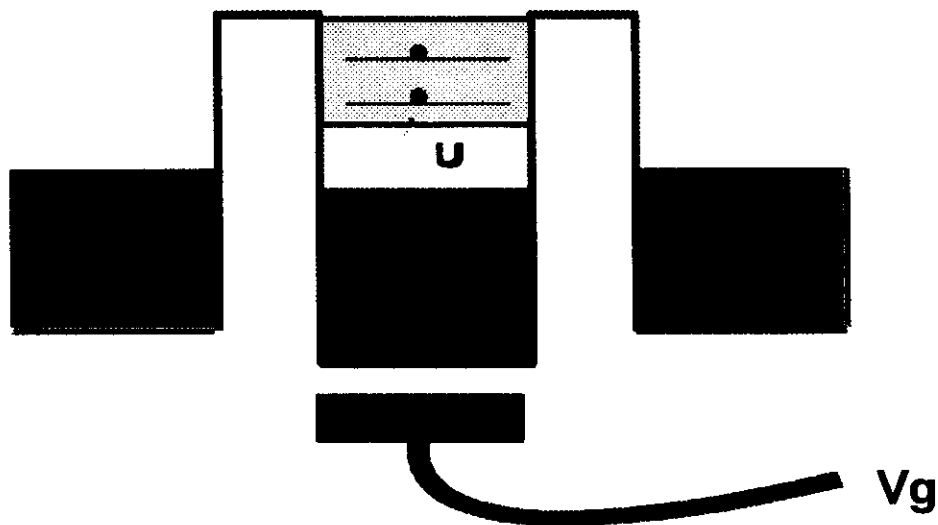
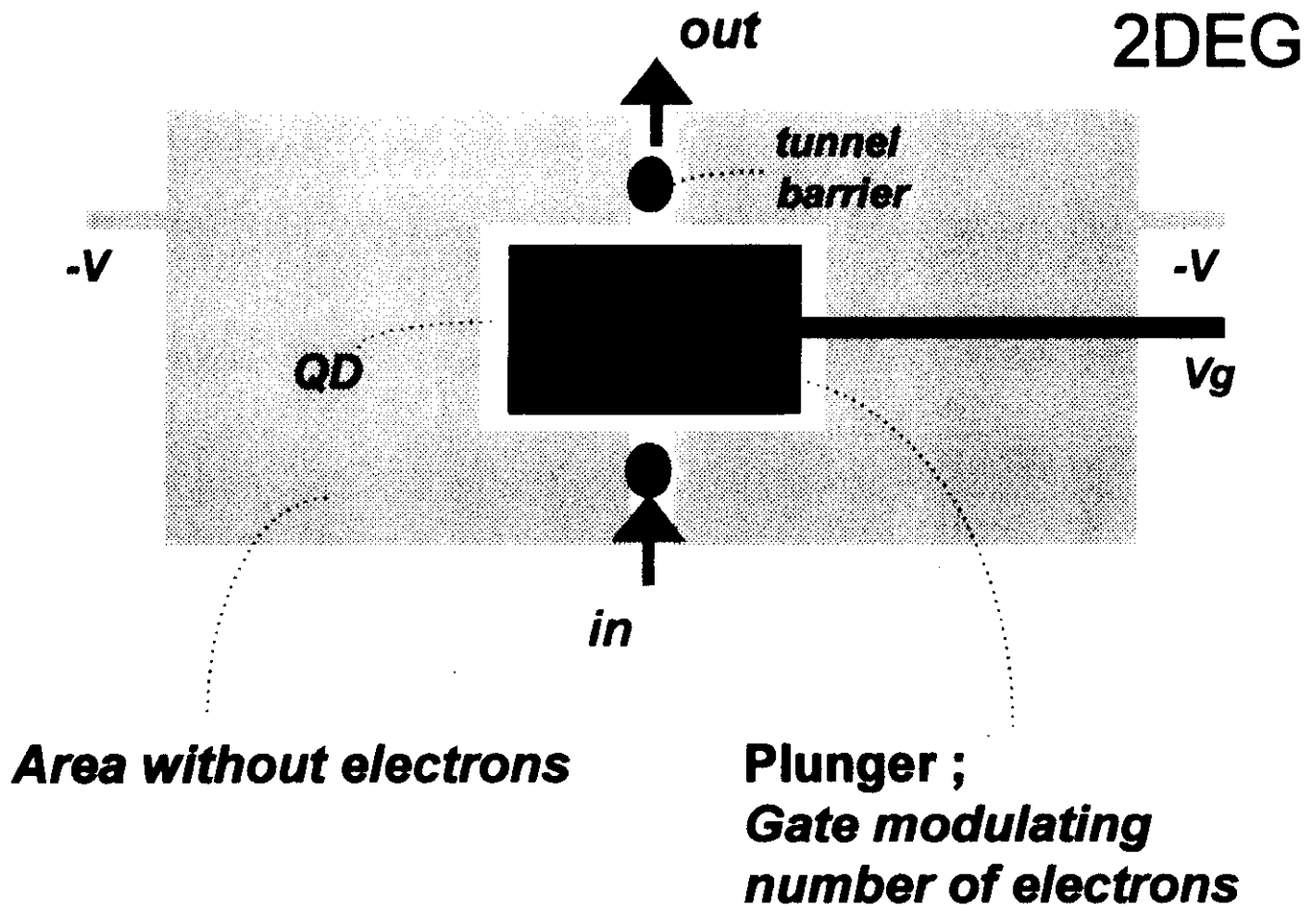
Adding an Electron to the Puddle

Acquires Energy : $U = \frac{e^2}{C}$



This is the Coulomb Blockade Regime

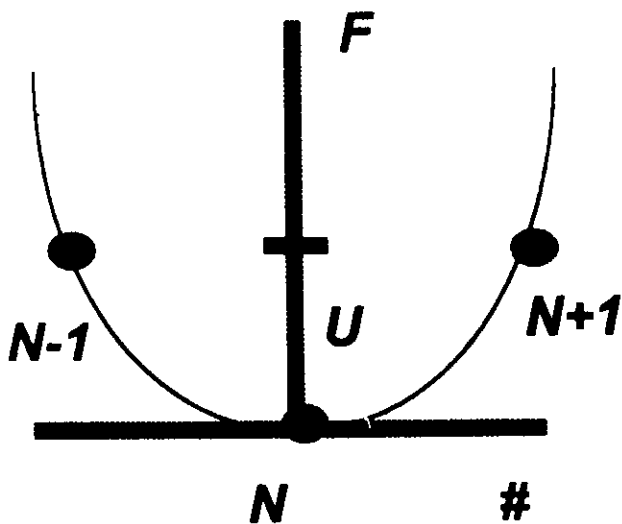
The Realization of a QD in 2DEG



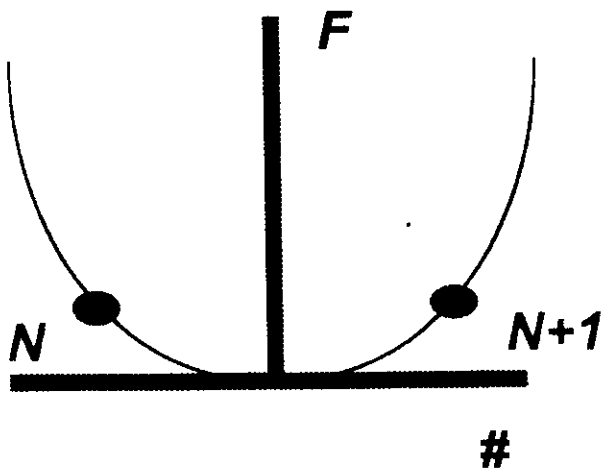
Current Flow Through the QD

-- as a function of gate voltage --

$$F = \frac{(Ne)^2}{2c} + V_P Ne$$



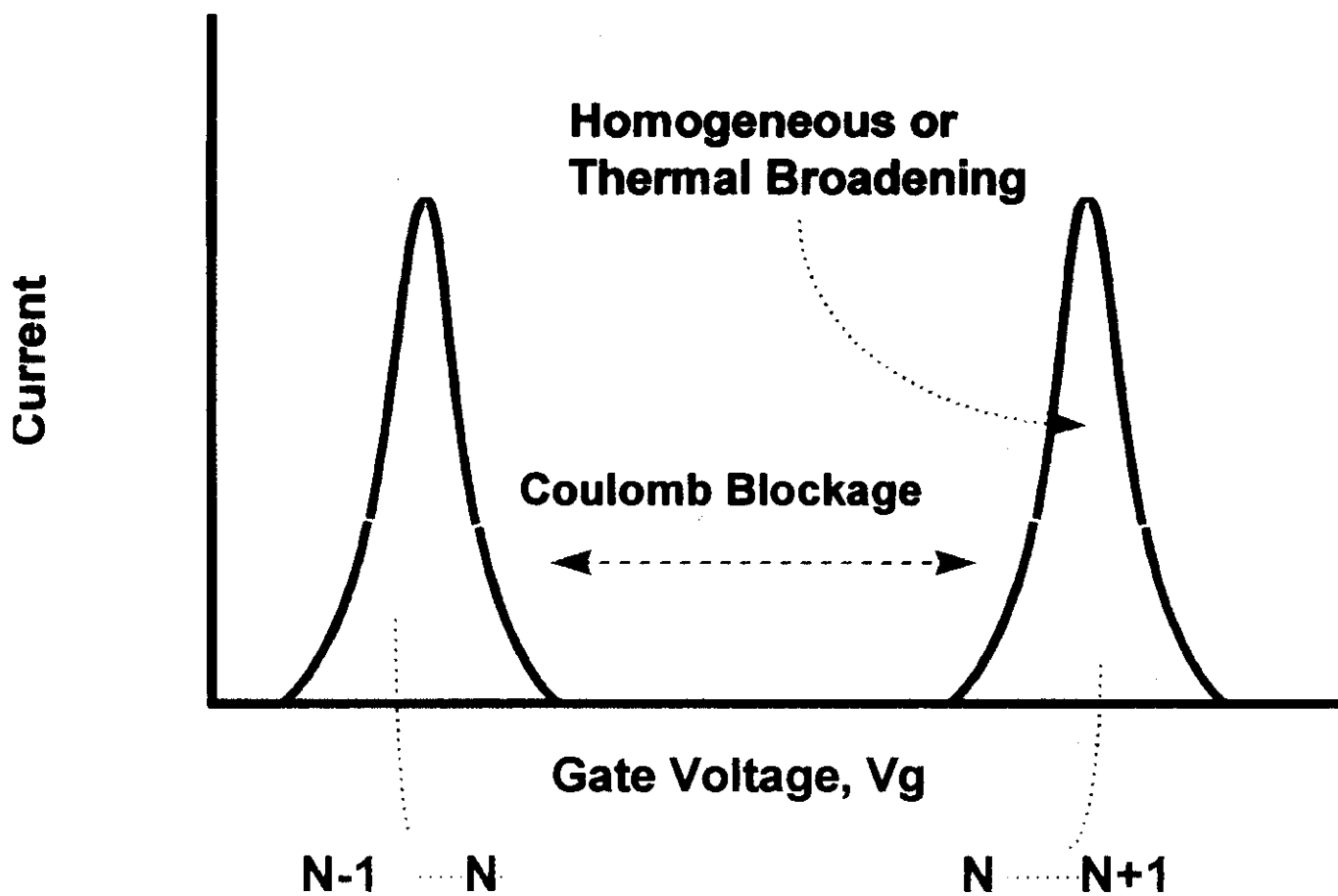
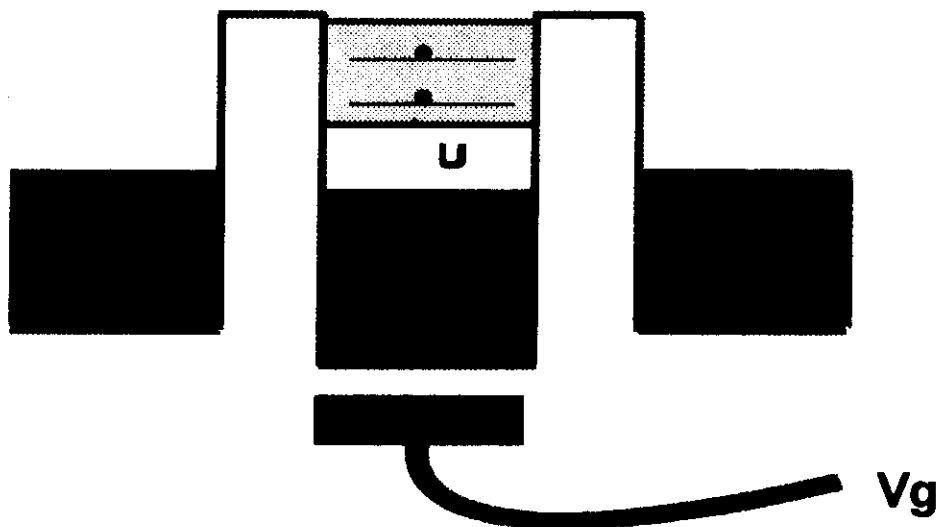
$$V_P = N \frac{e}{c}$$



$$V_P = \left(N + \frac{1}{2} \right) \frac{e}{c}$$

The Quantum Dot :

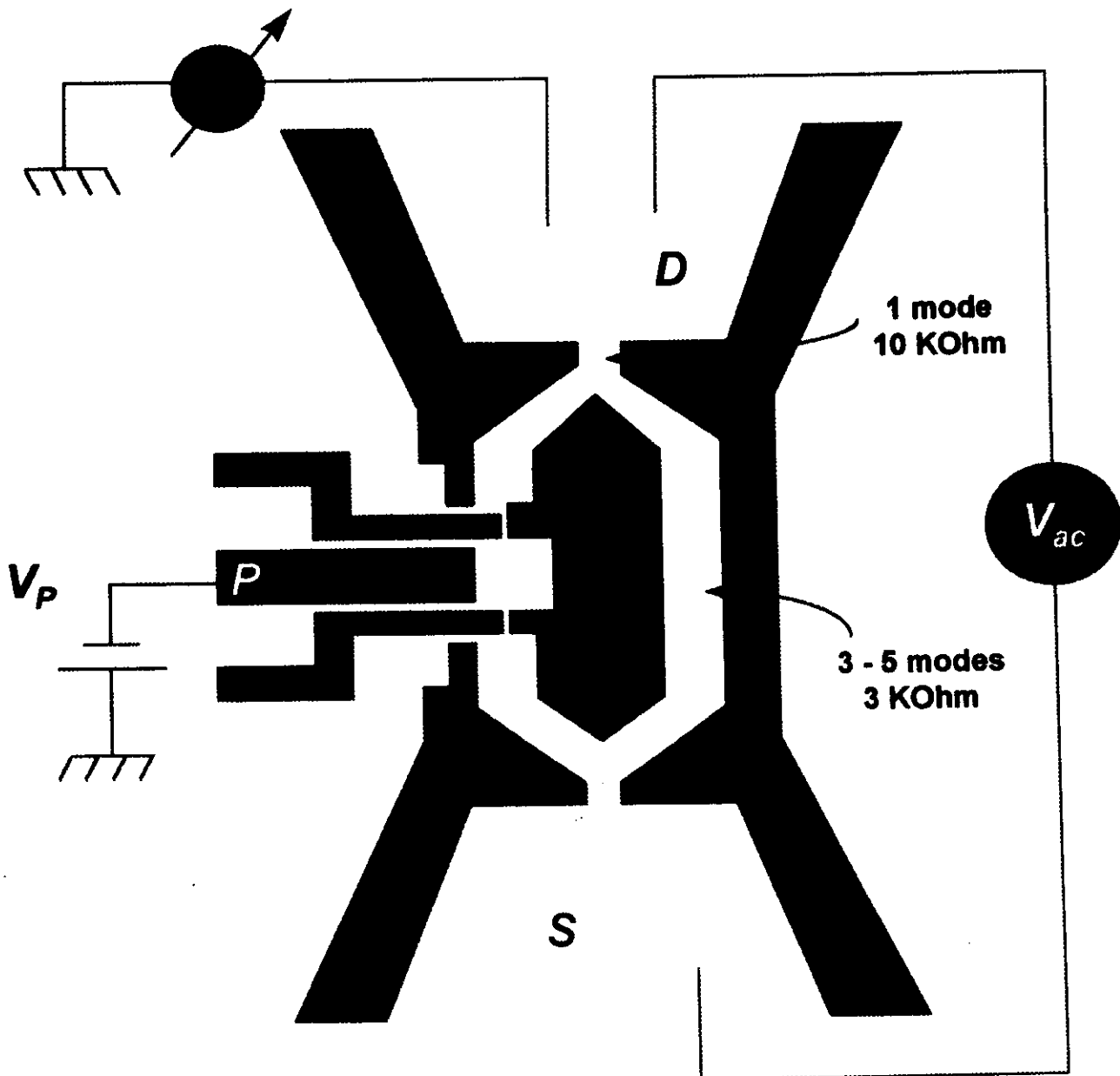
Coulomb Blocked - Resonant Tunnelling



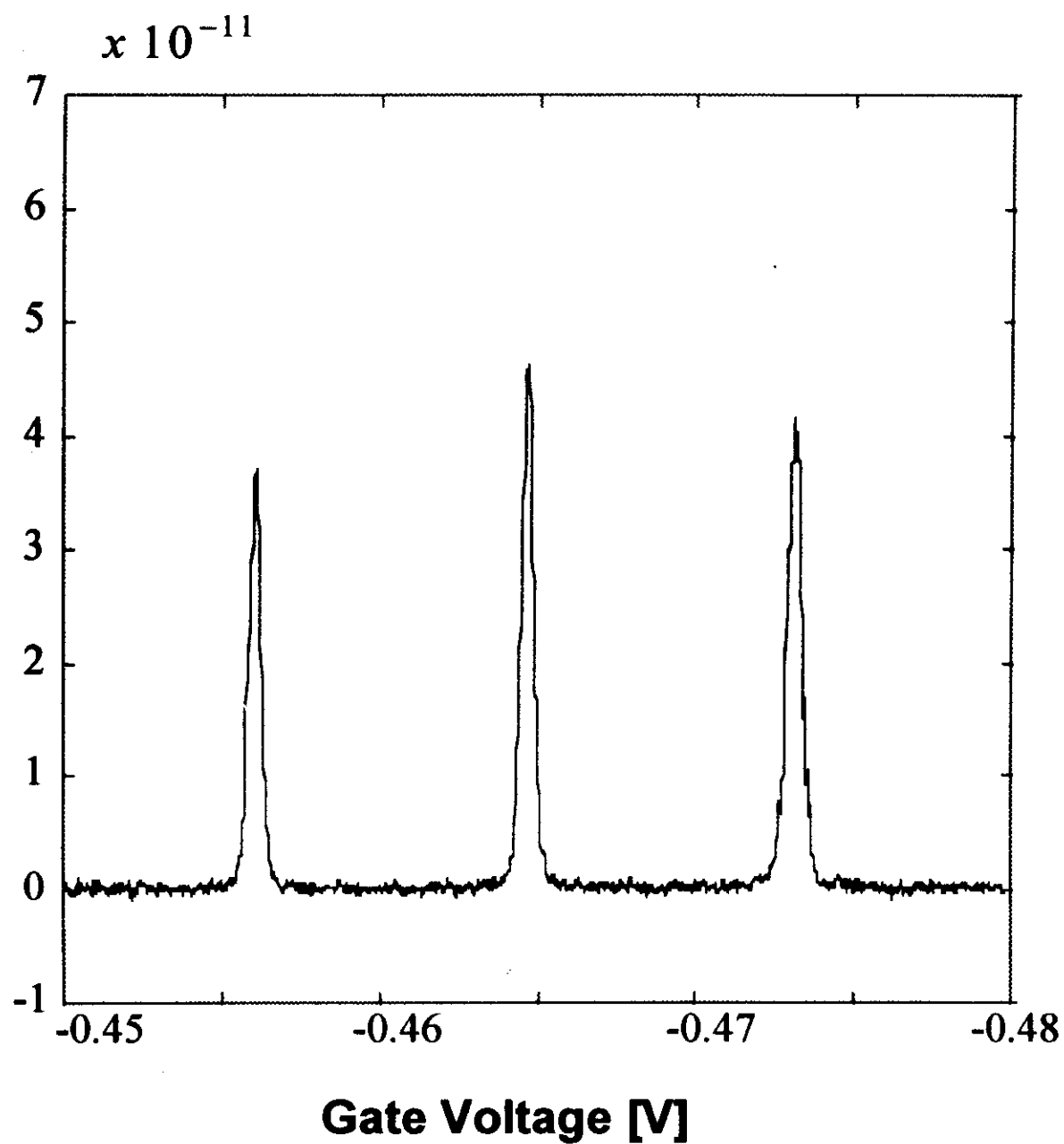
SCHEMATIC DRAWING OF EXPERIMENT



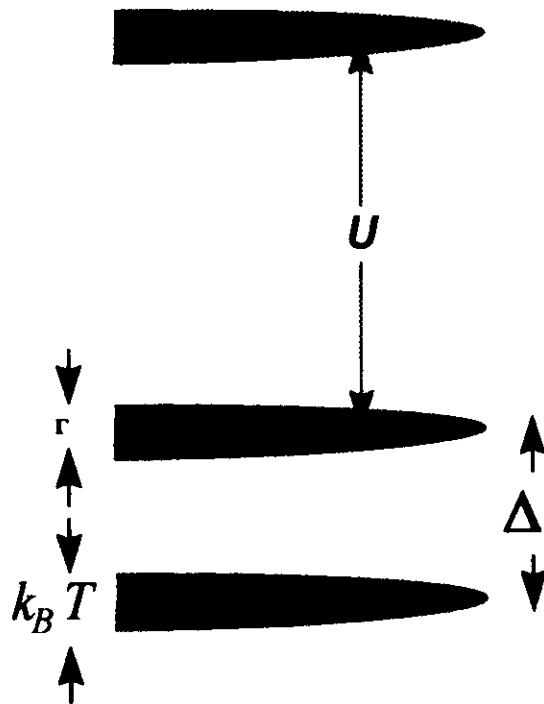
Regions in the 2DEG that are gated beyond depletion



Typical Coulomb Blockade Peaks



Some Important Values:

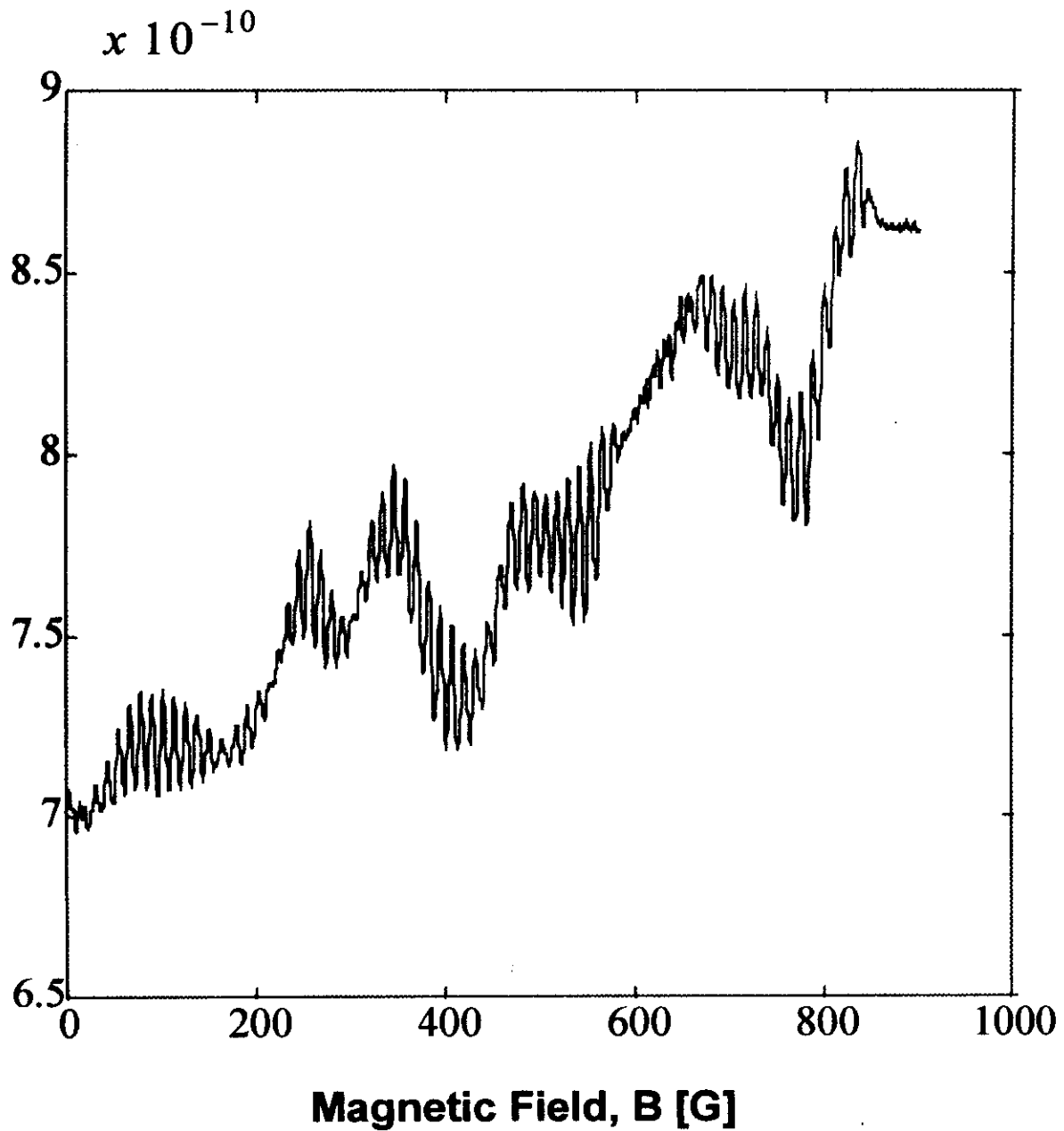


$$\Gamma_e \ll k_B T \ll \Delta \ll U$$

Elastic Level Width
Level Spacing

- QD size 300 nm x 300 nm
- Number of electrons 100 - 300
- Capacitance 160 aF
- Charging energy 0.5 meV
- Level spacing 50 μ eV
- Temperature (100 mK) 10 μ eV
- Single particle level width 0.1-1 μ eV

Typical A - B Oscillations



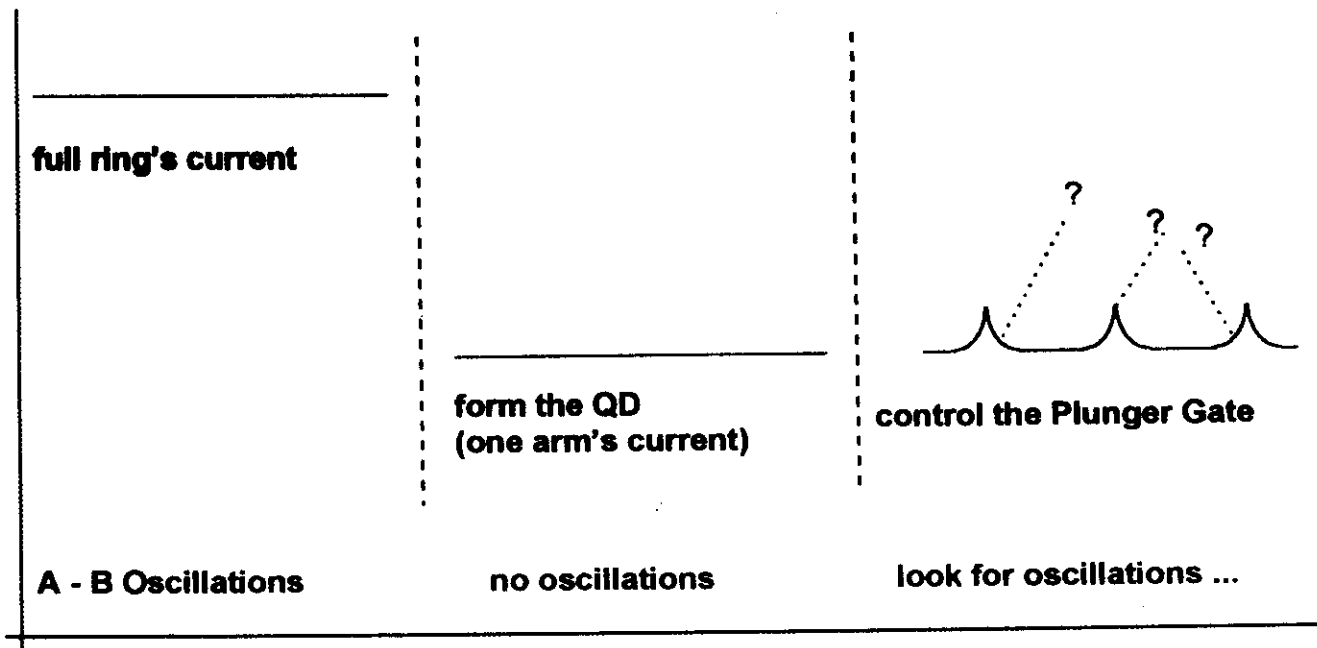
Typical contrast of 10% due to :

- L_T
- Multiple channels (3 - 5)

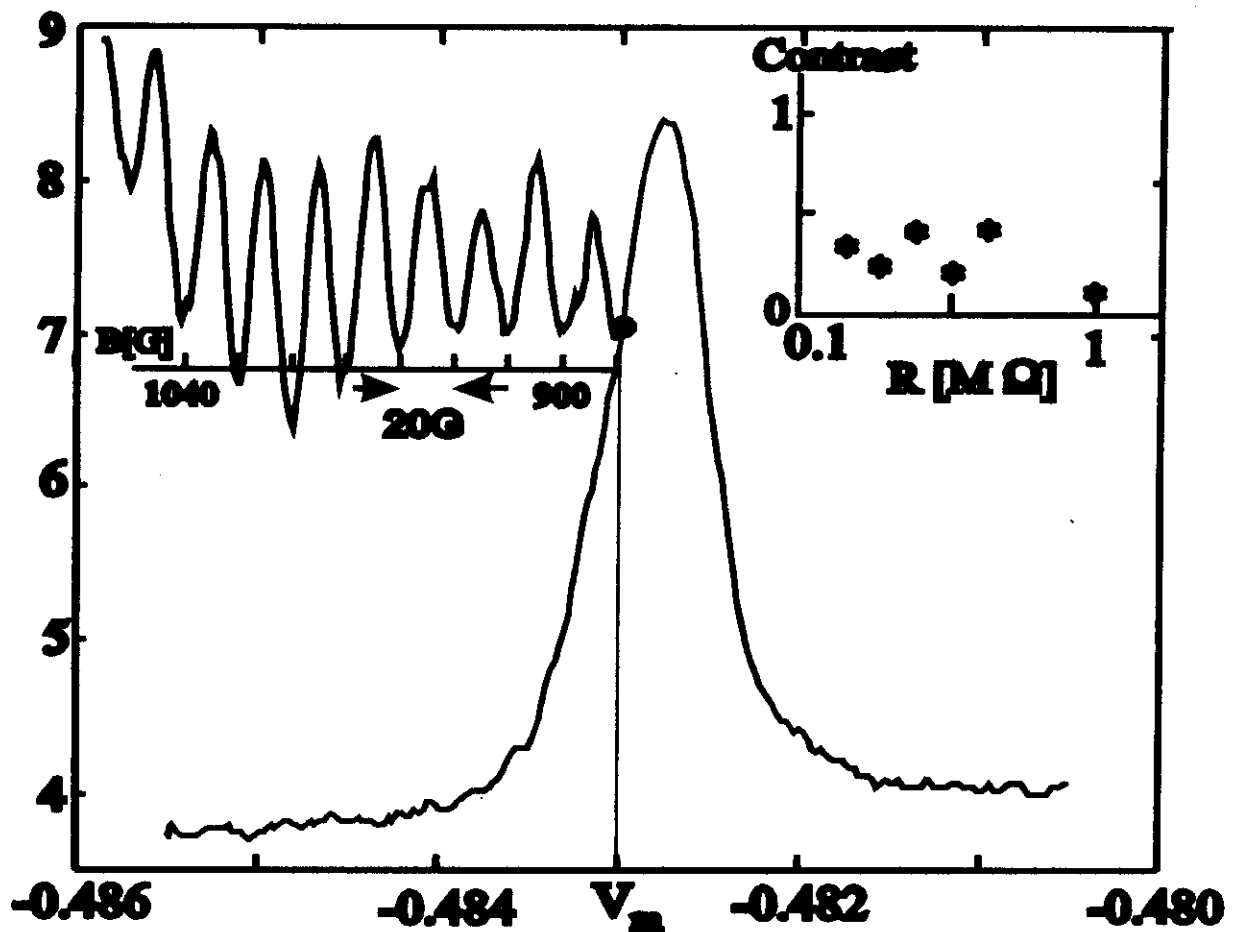
Is Transport Through the QD Coherent ?

The Experimental Process ...

Current



Oscillations in a Modified A - B Ring



Plunger Gate Voltage, V_p [V]

∴ The QD Supports **Coherent Transport**

**When $R = 0.1 - 1$ M Ohm ,
Dwell Time Changes 1 - 10 nS**

What Contrast Do We Expect ?

- Using a simple interference picture

$$I_{Ring} = \frac{e^2 V}{h} |t_{Right} + t_D|^2$$

- Case of a coherent - symmetric dot :

Breit - Wigner
$$t_D = \frac{\Gamma_{elast}}{(E - E_i) + i \Gamma_{elast}}$$

$$I_{Ring} = \frac{e^2 V}{h} \left[|t_{right}|^2 + \alpha \Gamma_{elas} (2 t_{right} \sin \frac{\Phi}{\Phi_0} + 1) \right]$$

The interference contrast is independent of

$$\Gamma_{elast} \approx \frac{h}{\tau_{dwell}}$$



$$\text{Contrast} = 4 t_{right}$$

Independent of $t_D \Leftrightarrow R_D$

**Coherency Allows the Measurement
of *Phase* , or :**

the Complex Transmission Coefficient

$$t = |t| e^{i \varphi_t}$$

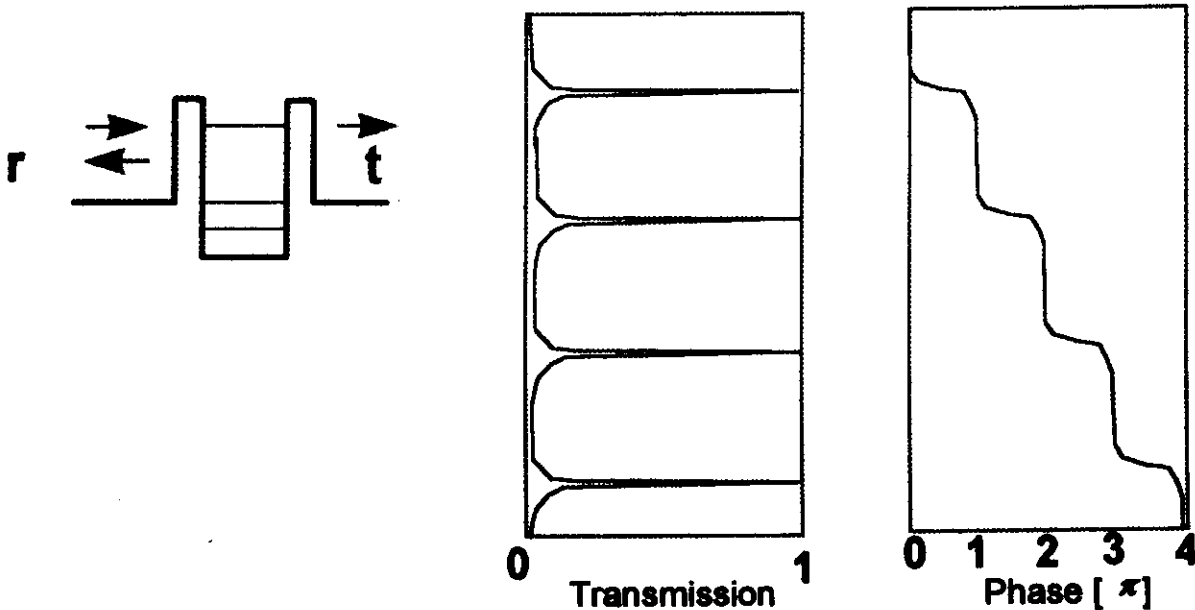
Usually we measure: $G \approx |t|^2$

- **Breit - Wigner Predicts:**

$$t(\text{coher}) = \frac{\Gamma_{\text{elast}}}{E + i \Gamma_{\text{elast}}}$$

**An accumulated phase change of π
as a resonance is being crossed !**

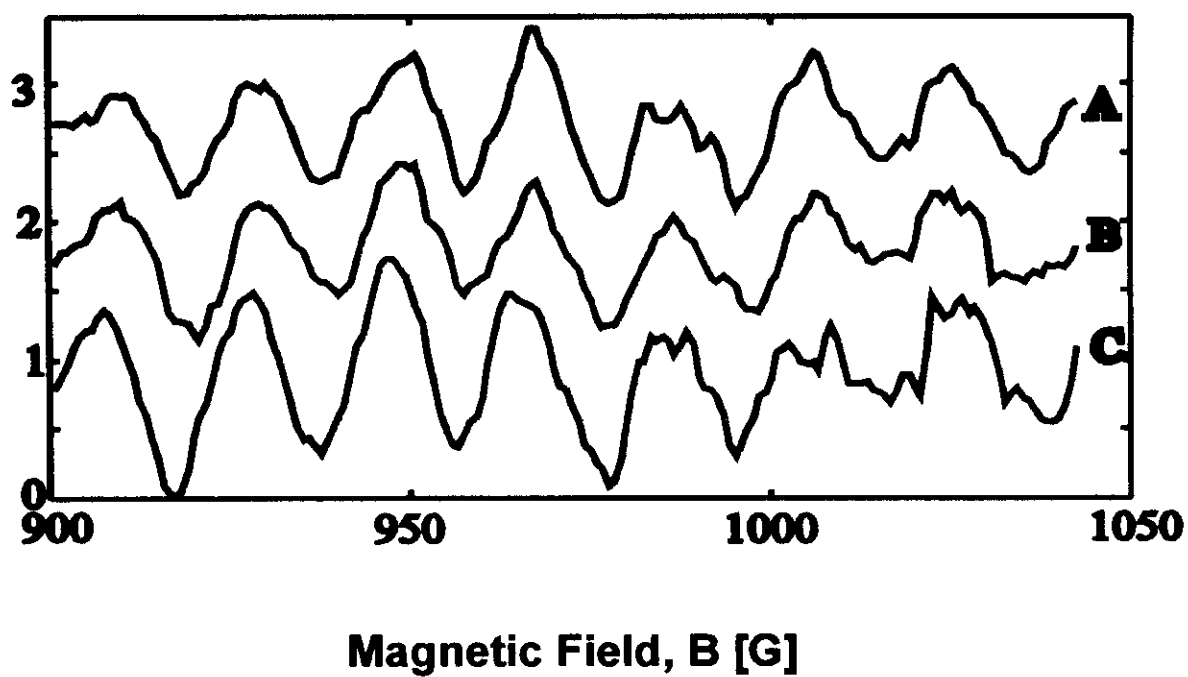
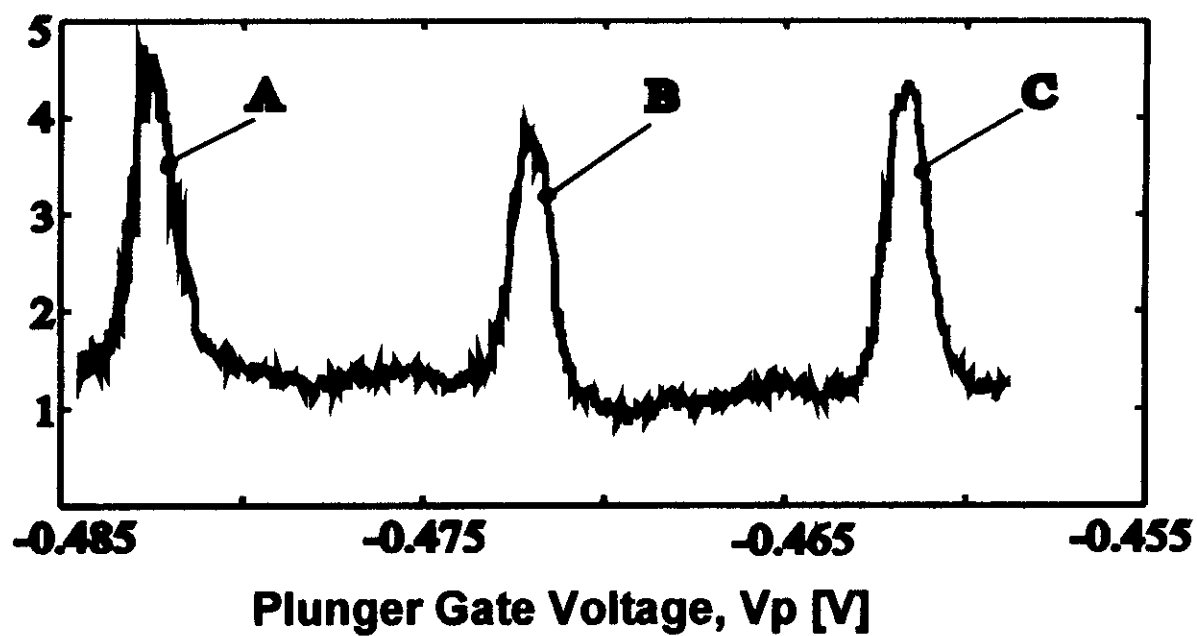
A 1D Model Can Provide a Feel ...



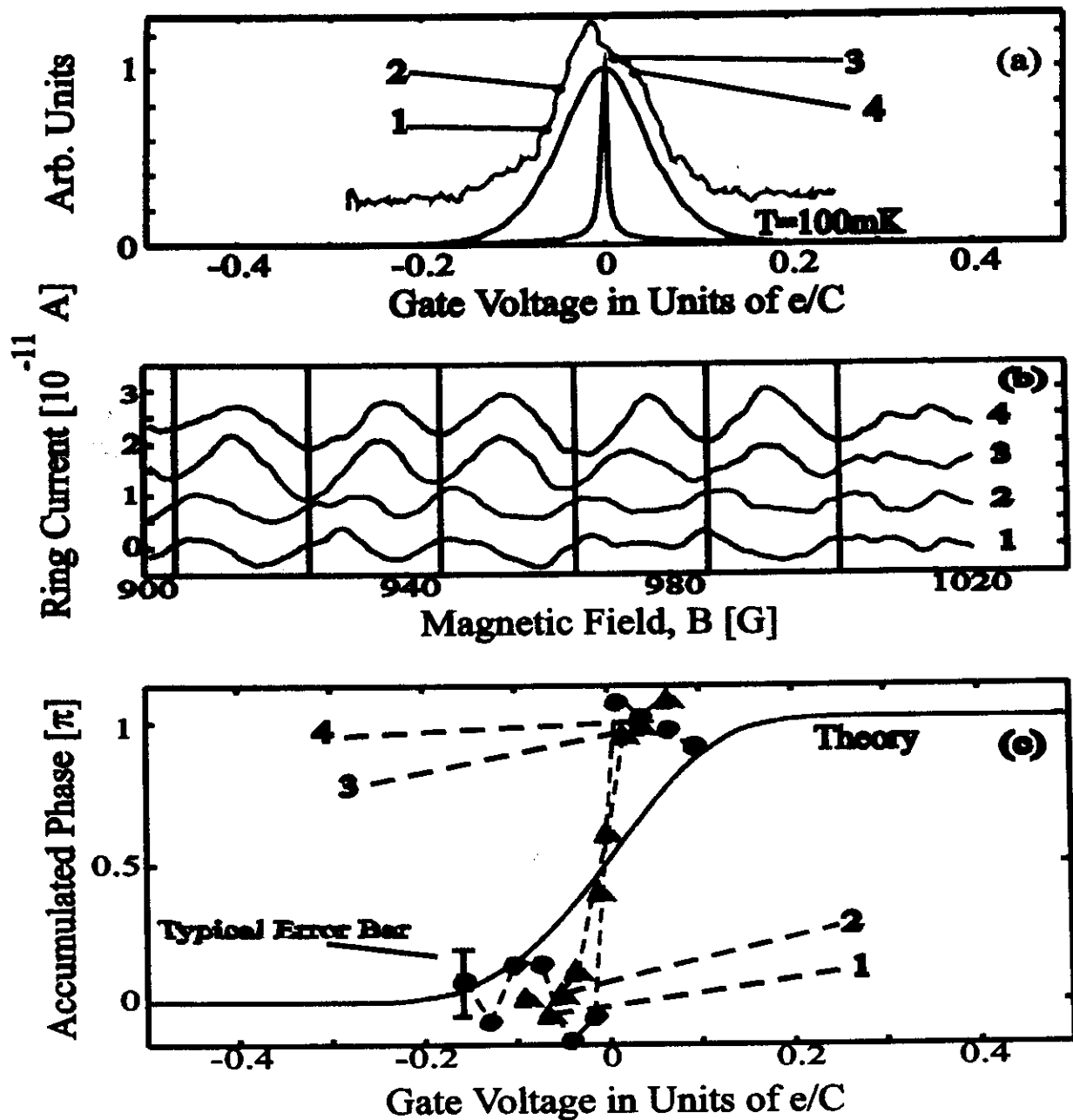
Temperature Smears Changes on the Scale of kT

- **Each Resonance Leads to Phase change of π**
- **Consecutive Resonances are Out of Phase**
- **If Spin Degeneracy is Lifted, Every Two Resonances are Out of Phase**

Phase Behavior in Different Resonances




Phase Evolution Through a Resonance Peak



Noise Measurements

- The transition region is also characterized by a large measured noise signal :

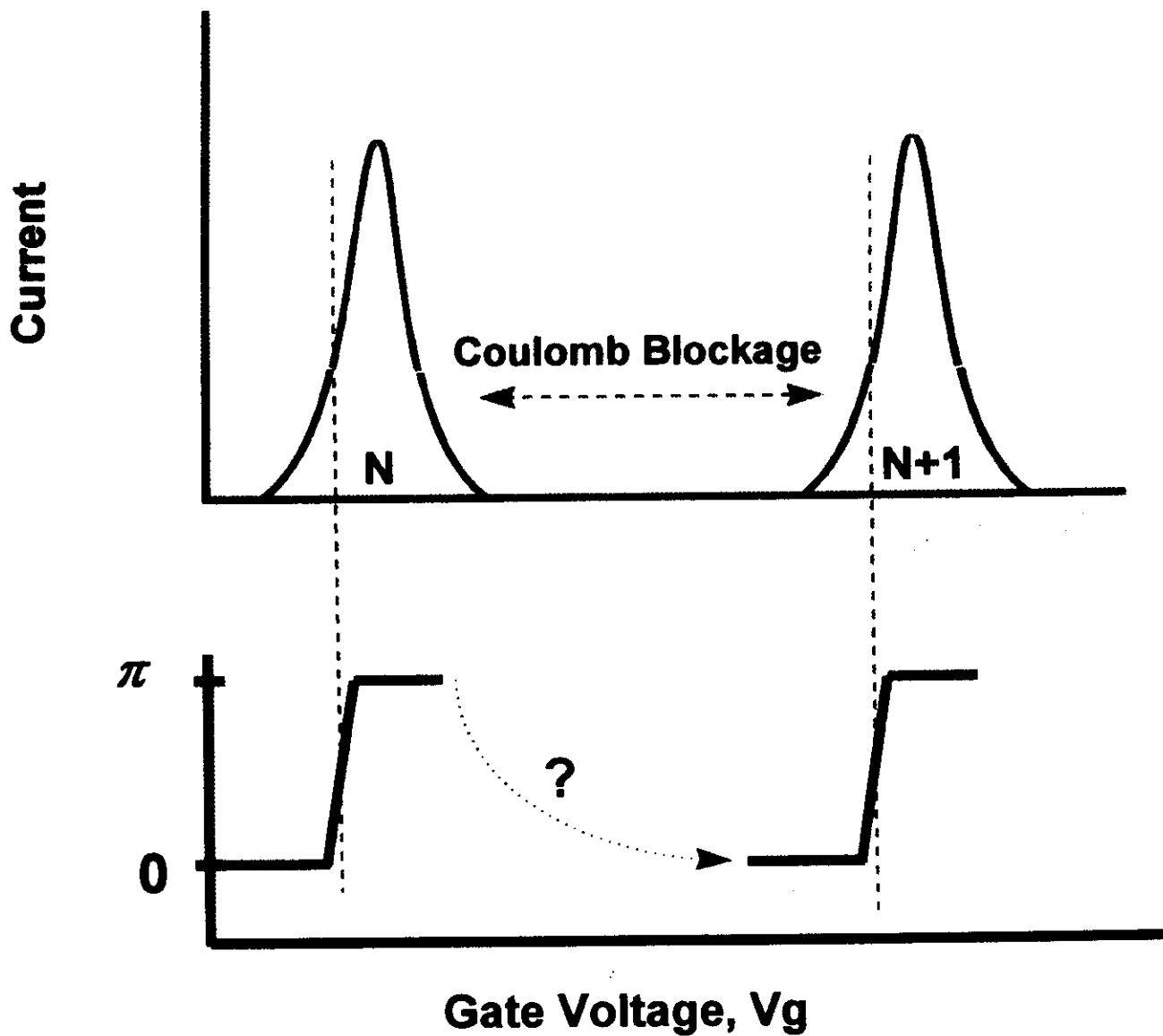
1) Amplitude noise

 Should be observed with the bare dot.

2) Phase noise

 Supports the abruptness of the measured phase change.

Summary of Phase Behavior

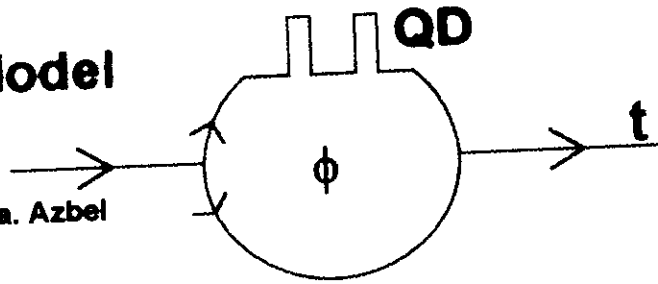


Phase change does not necessarily occur at peak

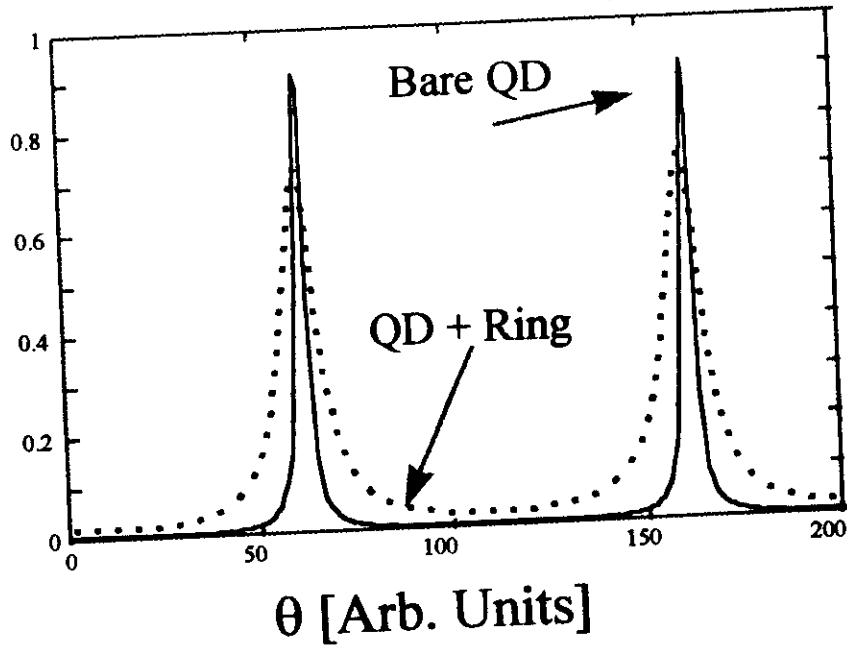
Dot and Ring : One System

$T = 0$ 1D Model

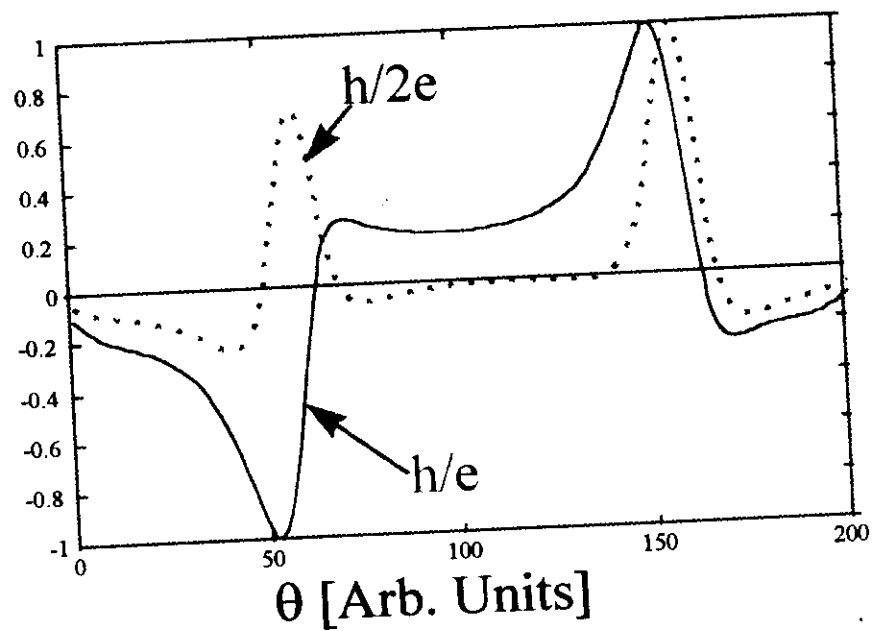
Y. Gefen, Y. Imry, M. Ya. Azbel
1984



G. Hackenbroich and
H. A. Weidenmüller, PRL 76, (1996)



Normalized Oscillation
Amplitude [Arb. Units]



Underlying Physics

- **Onsager relations :**

$$t_{DS}(B) = t_{SD}(-B)$$

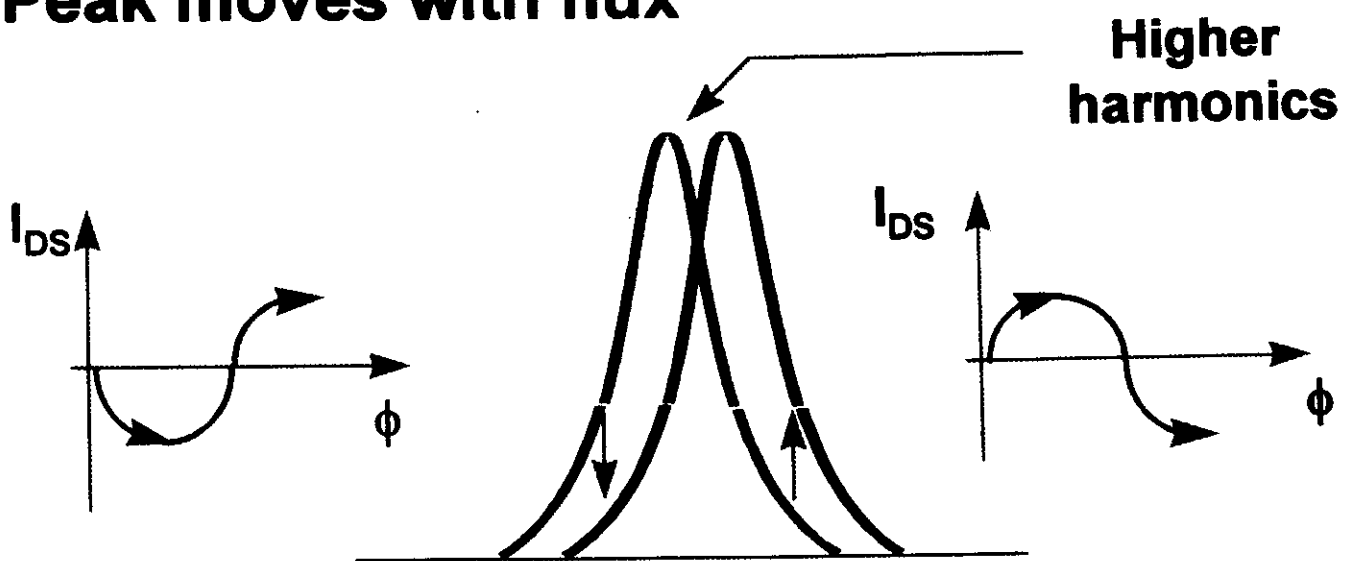
$$t_{DS} = t_{SD} \quad \text{Two terminal measurement}$$

➡ At $B = 0$ have a maximum or minimum.

- **Isolated Ring : System = Ring + Dot**

The system has discrete energy levels that oscillate periodically with flux.

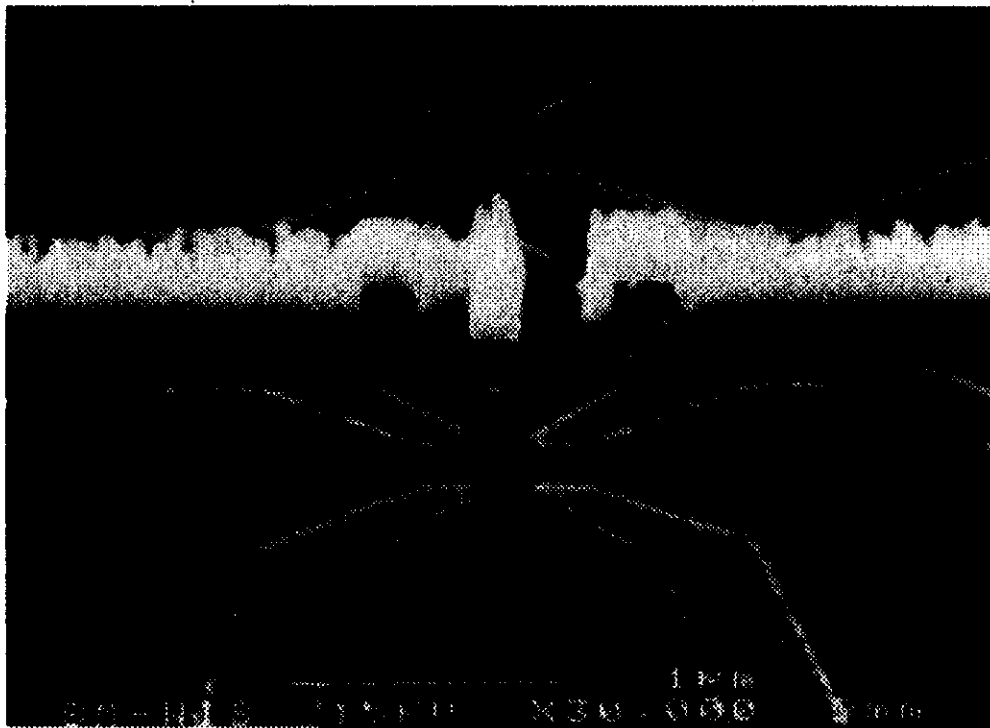
Peak moves with flux



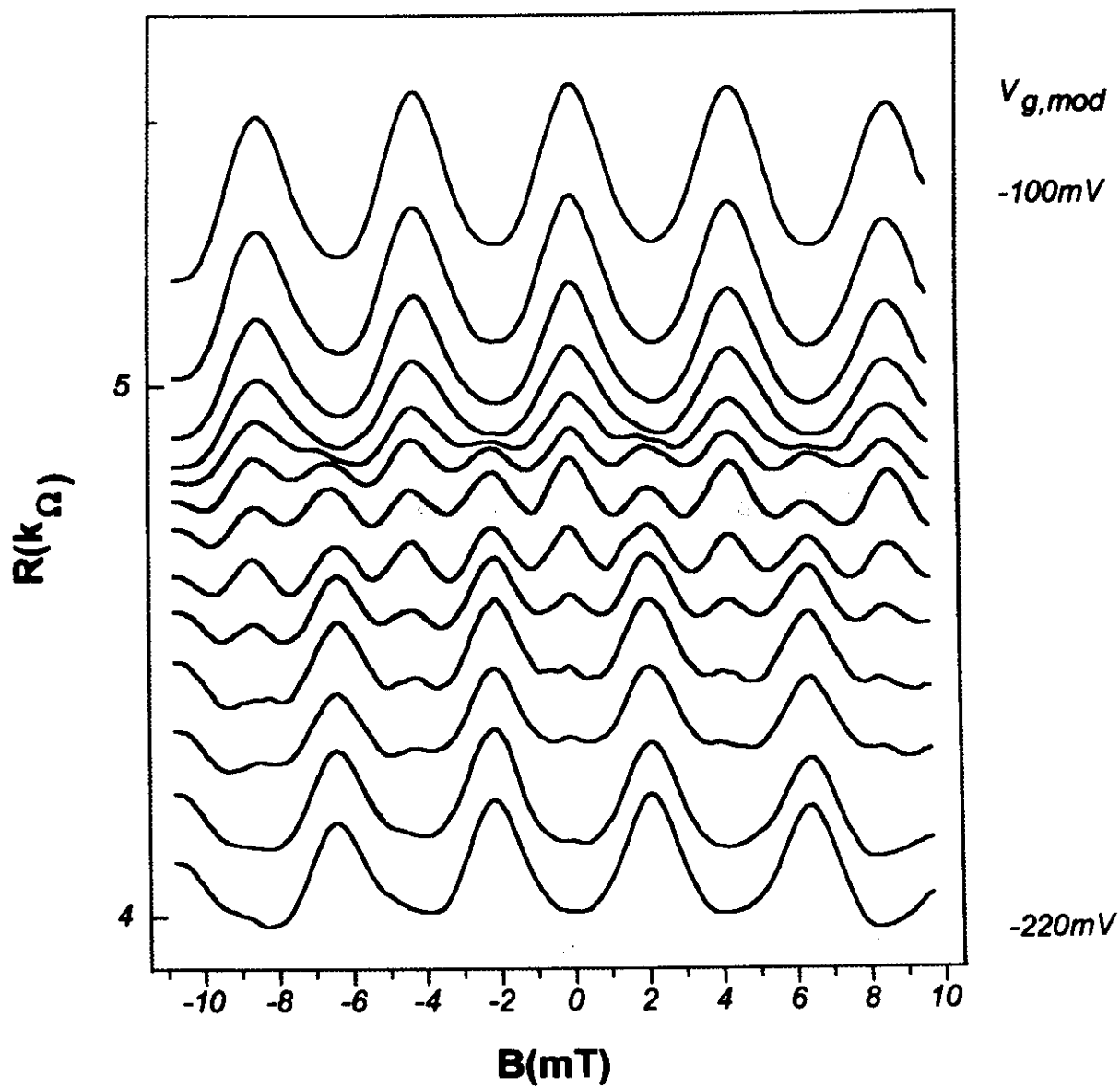
➡ Related to the Persistent Currents

Testing the *Two Terminal* Idea

Replace the QD in the AB ring's arm with a phase shifter (an anti dot) .

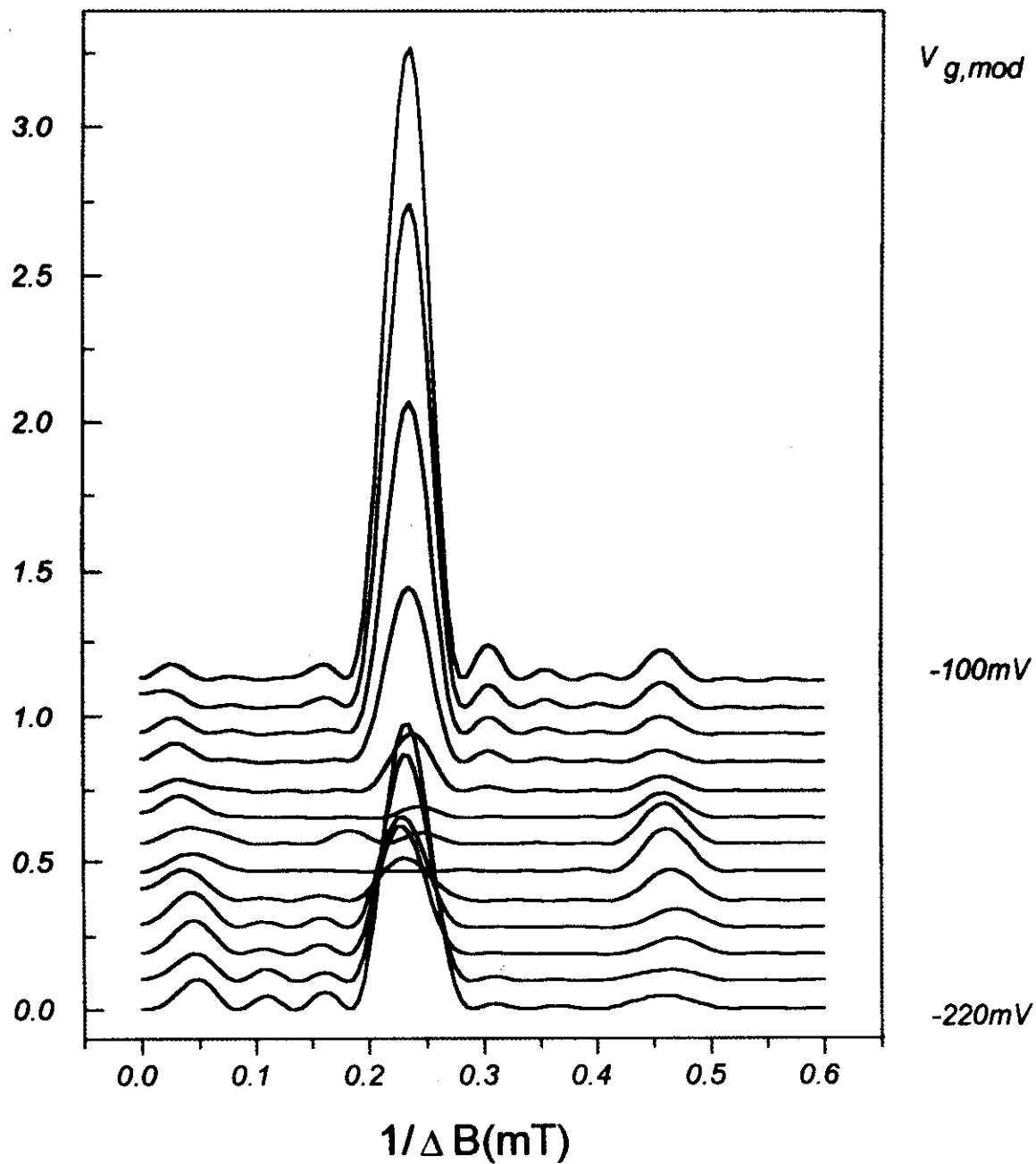


Two Terminal Resistance of an A - B Ring + Antidot in Arm



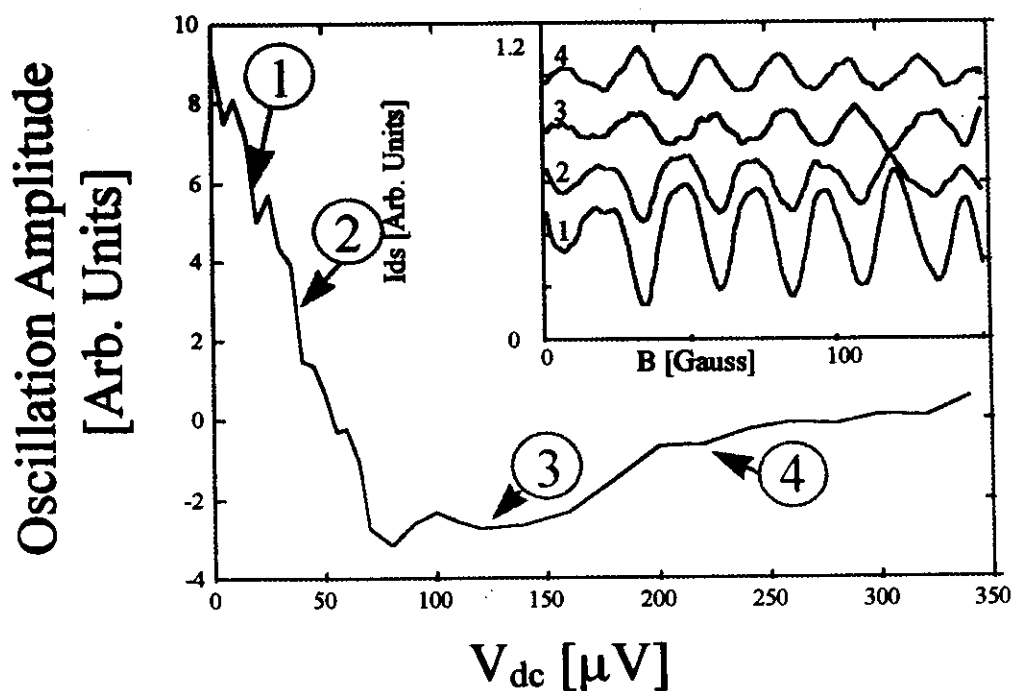
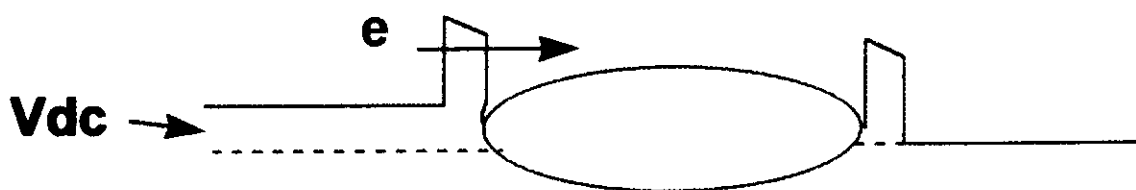
$$G(B) = G(-B)$$

Fourier Plots of two Terminal Resistance



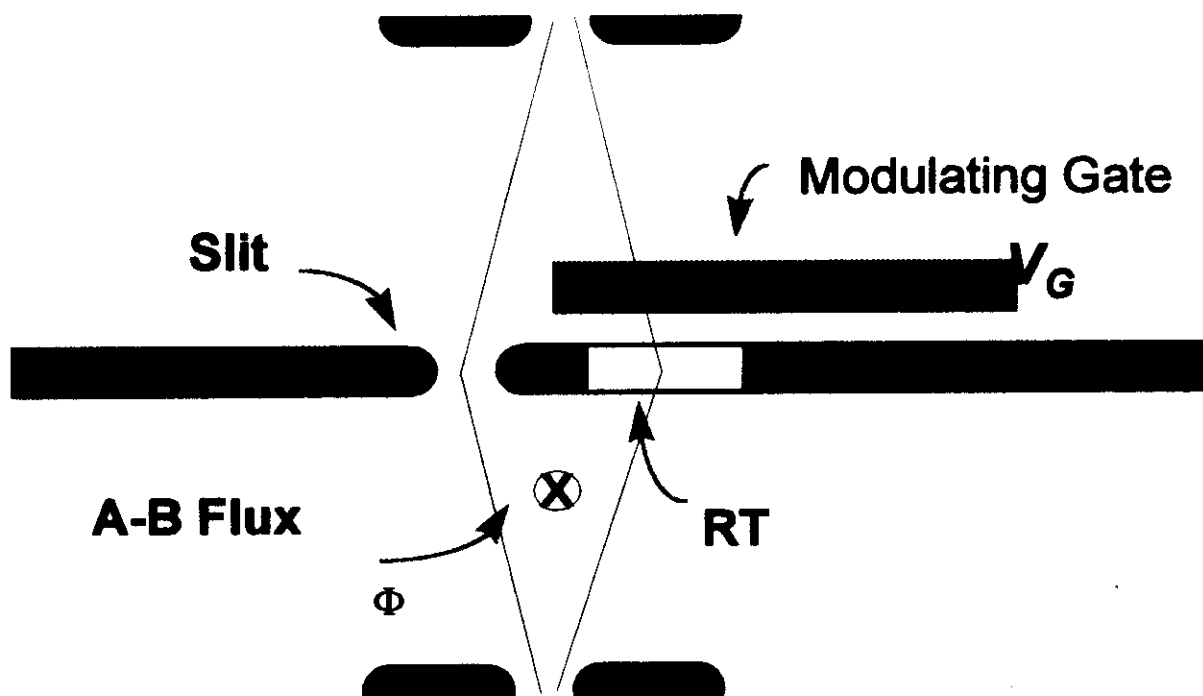
h/e and $h/2e$ oscillations

A - B Experiment vs Injection Energy



Adding a QD Should Reveal a Continuous Phase Evolution due to the Strong Coulomb Repulsion. C. Bruder et al PRL 76, (1996)

Open Geometry can Reveal the Details of the Phase Evolution



Study the Shift in Phase Due to the
Modulating Gate and the Flux

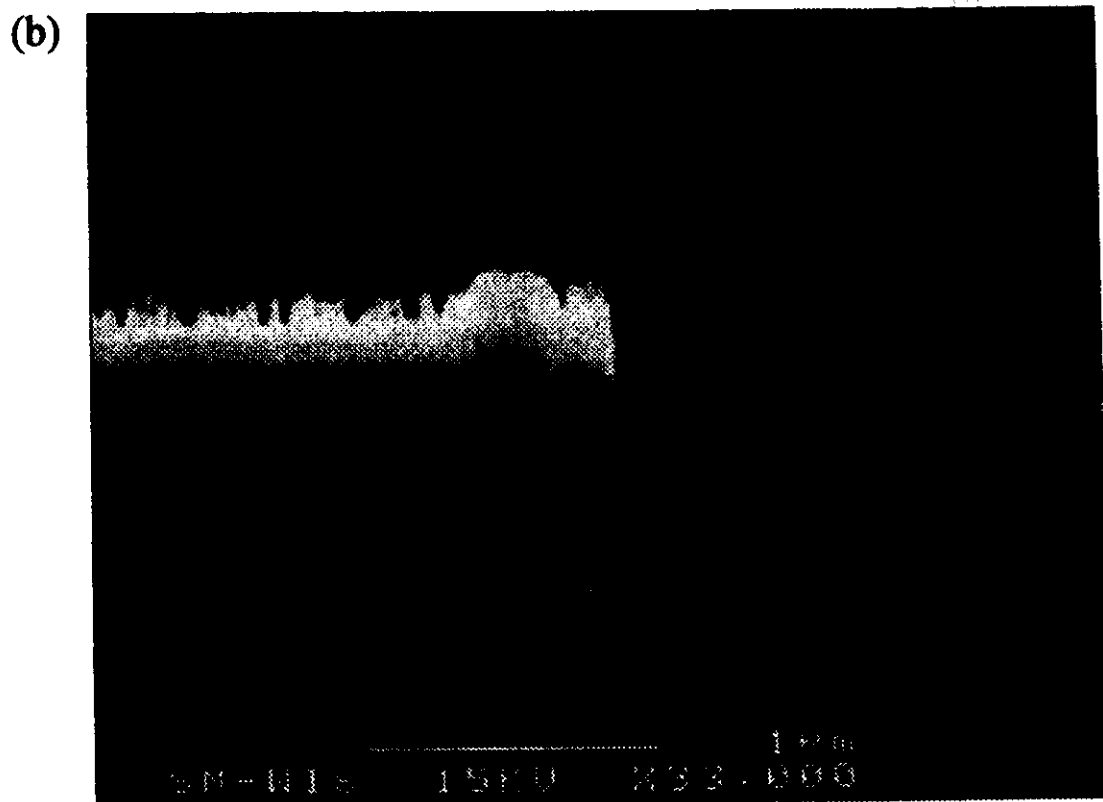
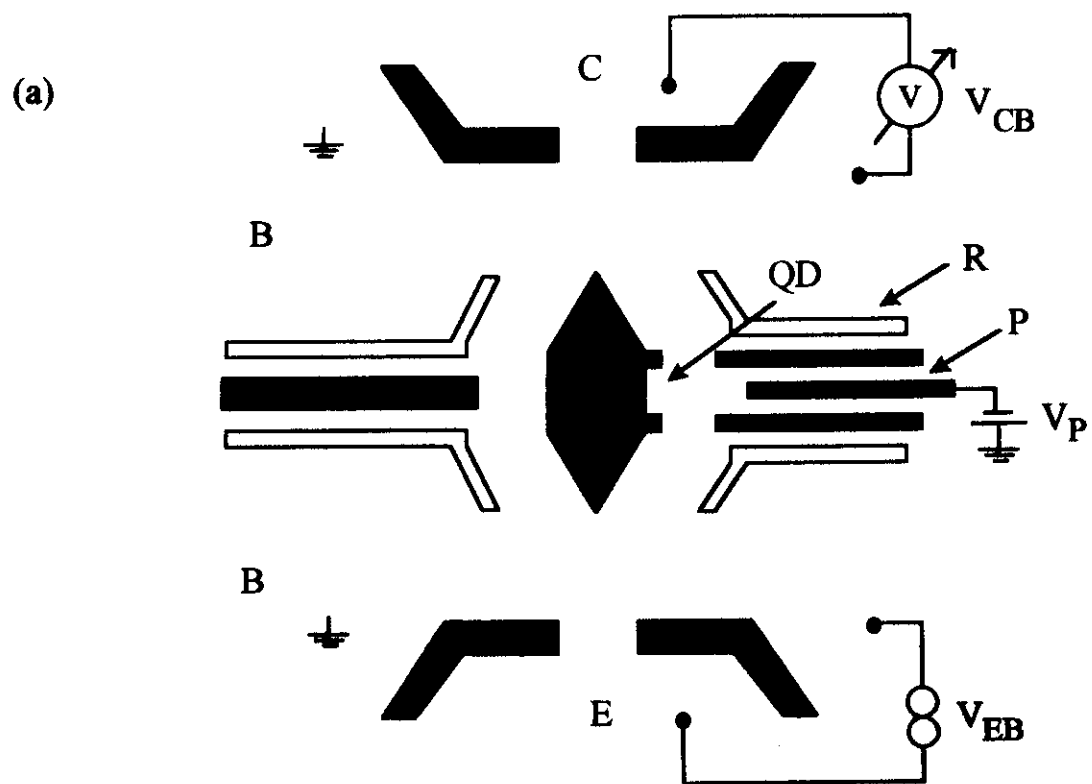
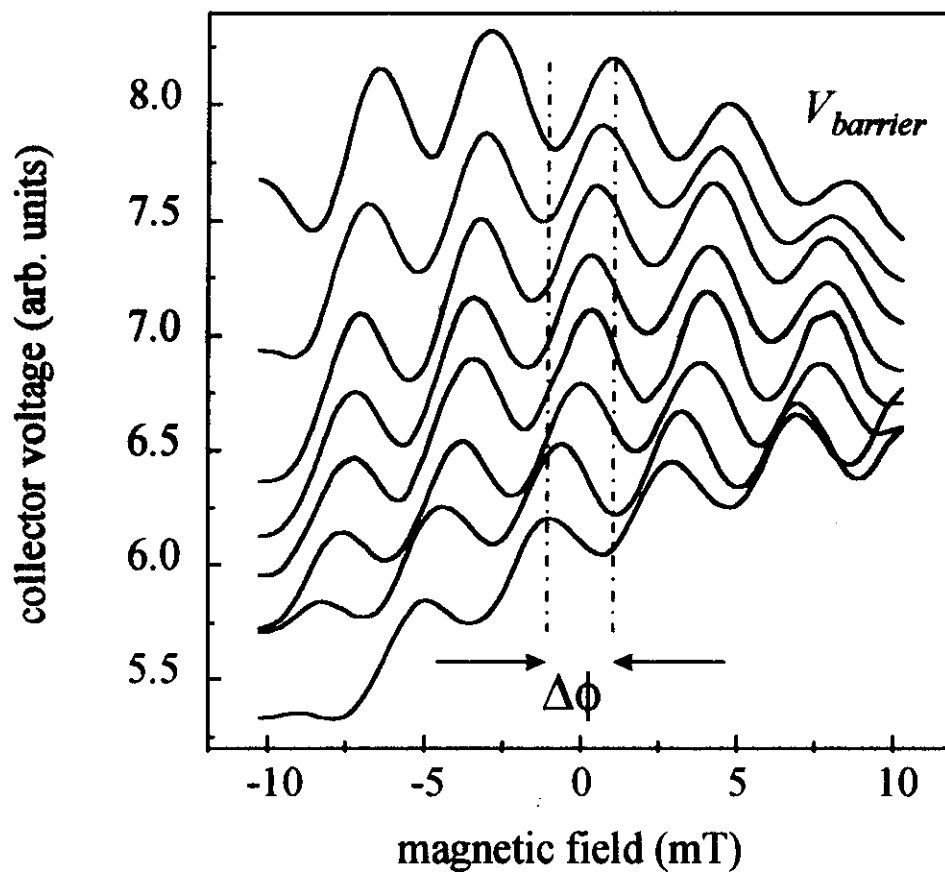


Fig. 1

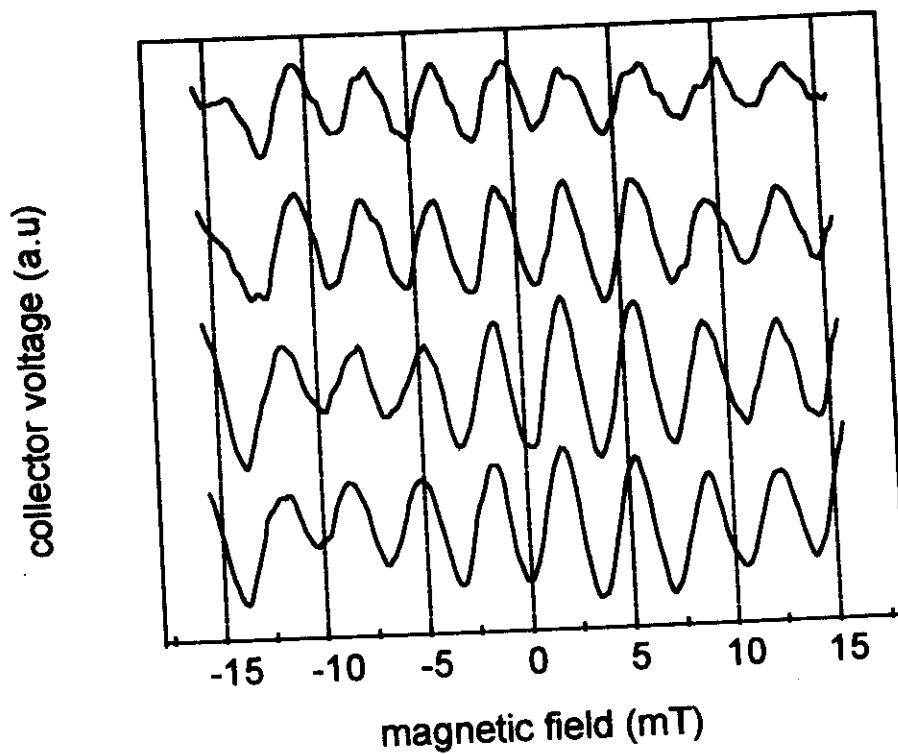
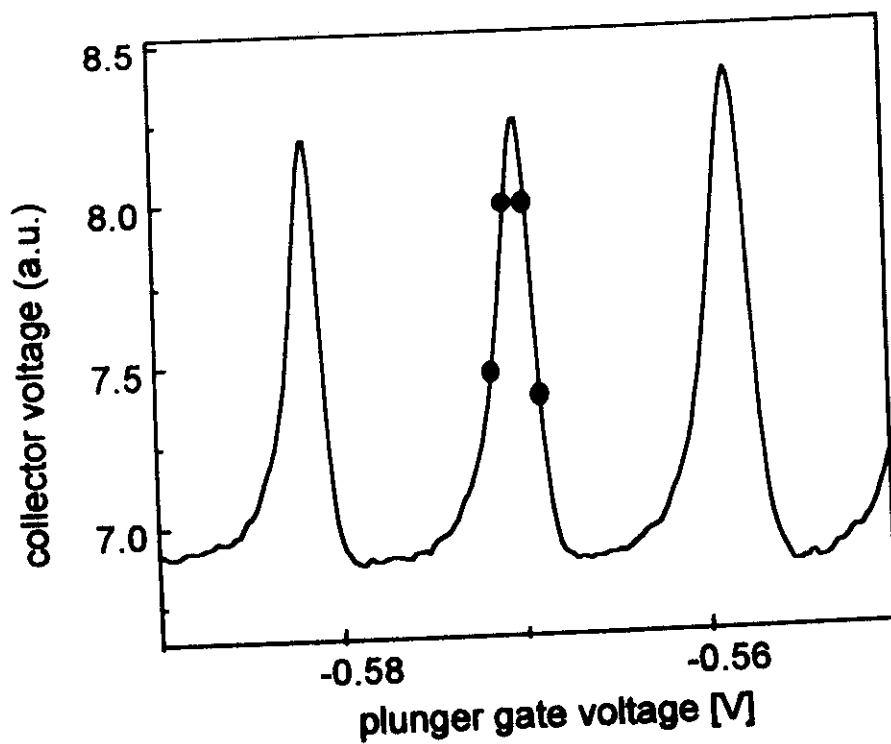
AB Oscillations in : a Modified Double Slit Configuration

Phase is being changed along one arm



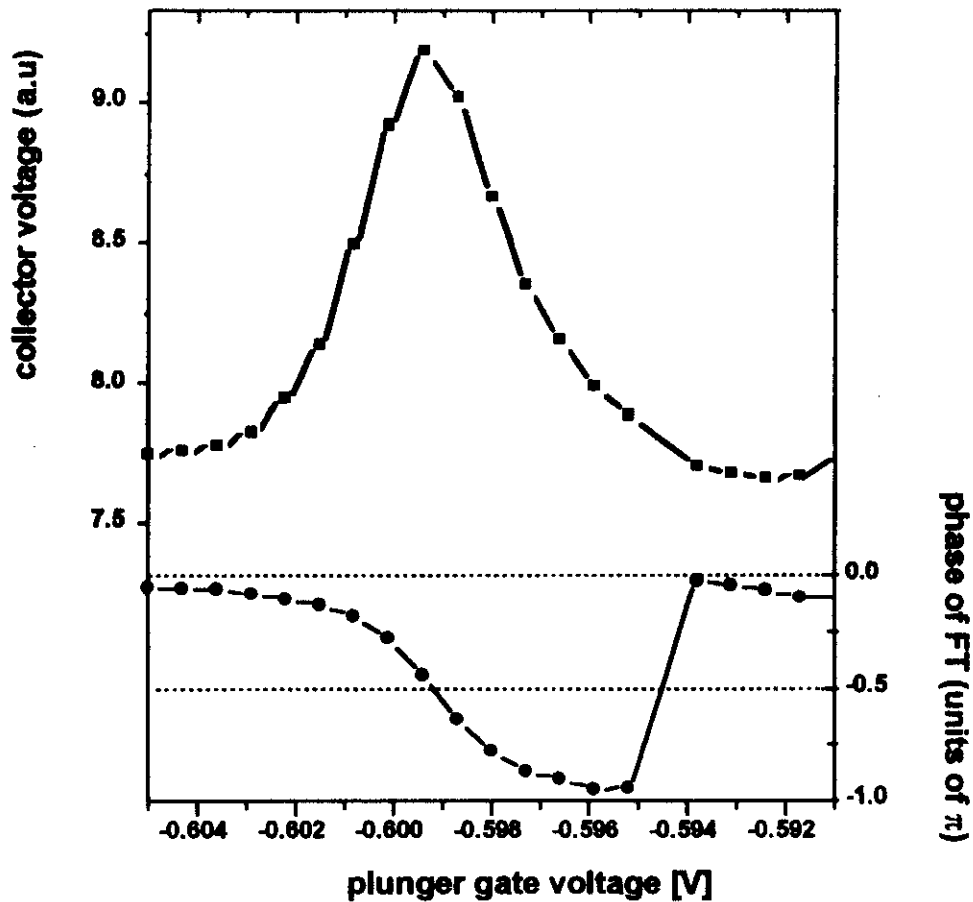
No phase rigidity !

AB Oscillations Along a Resonance Peak



Phase Evolution Along a Resonance Peak

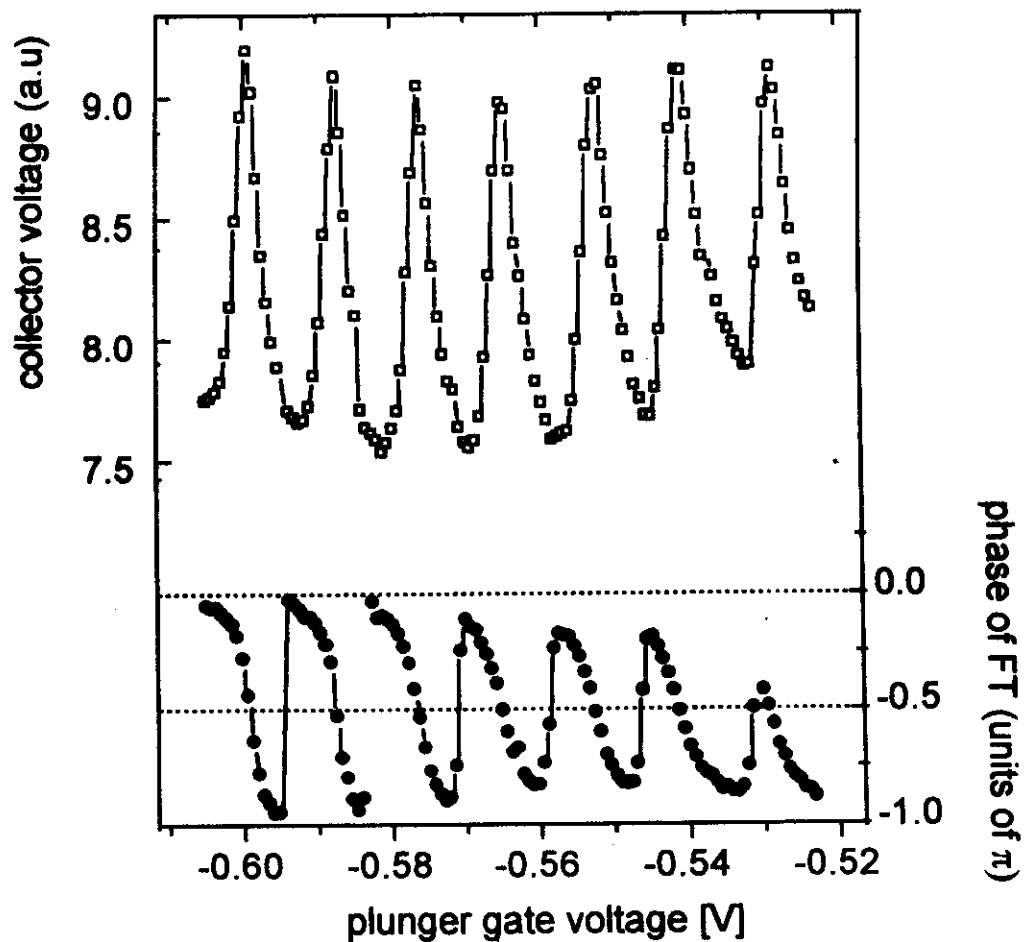
The phase is calculated via a *Fourier transform*



An approximate change of π across a peak !

Phase Evolution Along Several Resonances

Electrons are added to the QD with plunger gate voltage

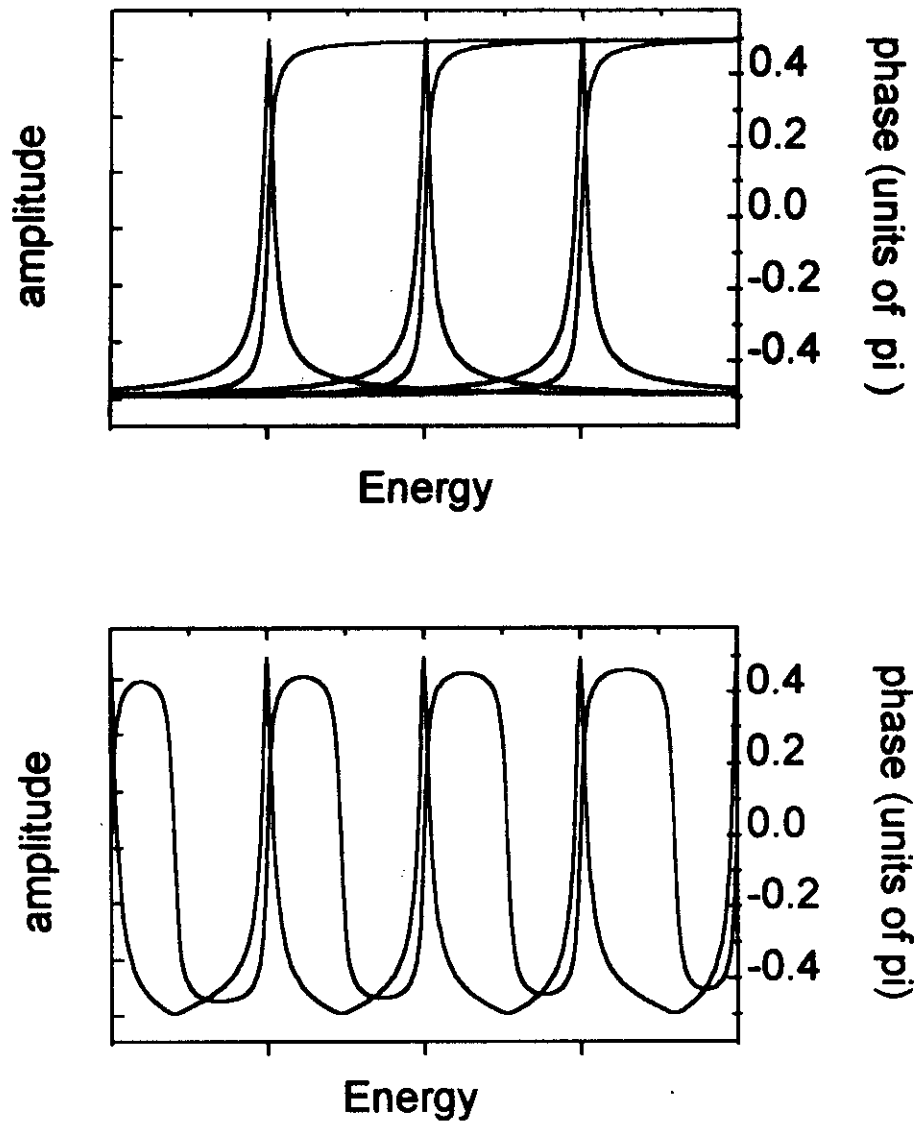


As the plunger gate voltage is more positive :

- the QD couples better to the reservoirs ;
- The overlapping of resonances reduces the extent of phase change ;

Overlap of Breit - Wigner Resonances

If we assume that each resonance leads to the same phase behavior



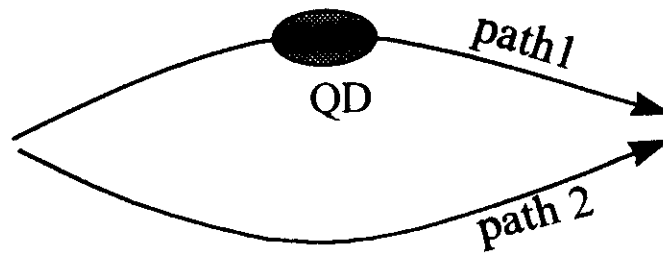
.... Overlapping resonances lead to smaller phase oscillations .

Summary

- **An interference experiment was devised to study the phase properties of a quantum dot**
- **Observe coherent transport through the dot**
- **The phase of the A - B oscillations revealed :**
 - **An abrupt π change**
 - **No phase change between resonances**
- **ID model predicts**
 - **An abrupt π change**
 - **Higher harmonics**
 - **Phase change for successive resonances**

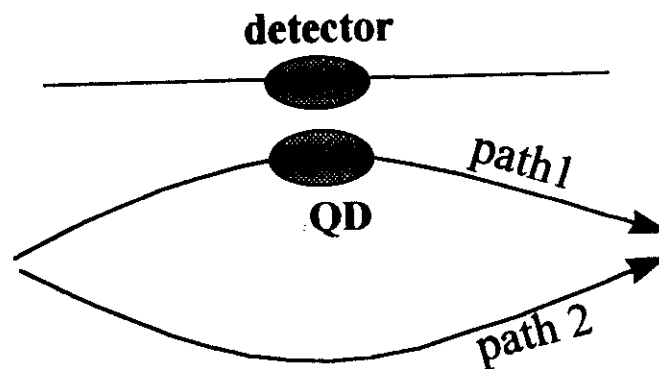
Why do Such Dephasing Experiment ?

Dephasing of QD



With Increasing resistance of QD and dwell time
10 nS ➡ QD remained coherent.

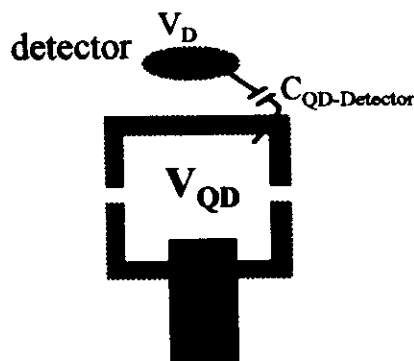
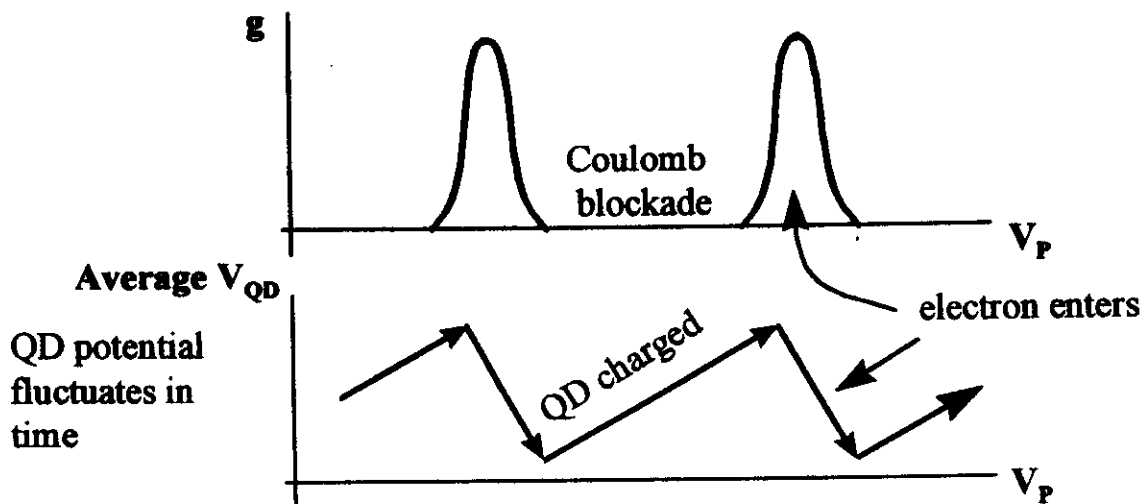
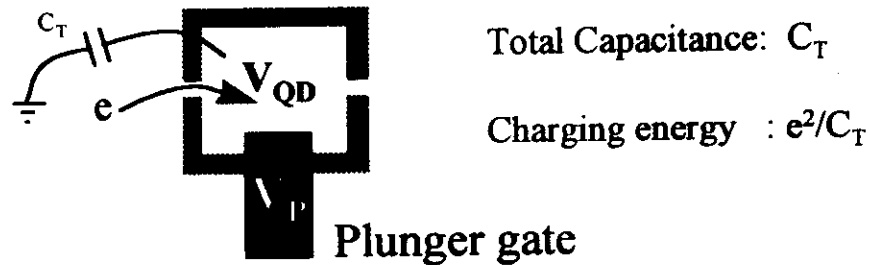
Coherency can be affected by *side detector* ,
determining *which path* information ➡ Decohering the QD



Determining which path kills interference !

Can an Electron in the QD be Detected ?

- A distant detector can sense an induced potential ;
- Charging a QD with a single electron changes its potential ;

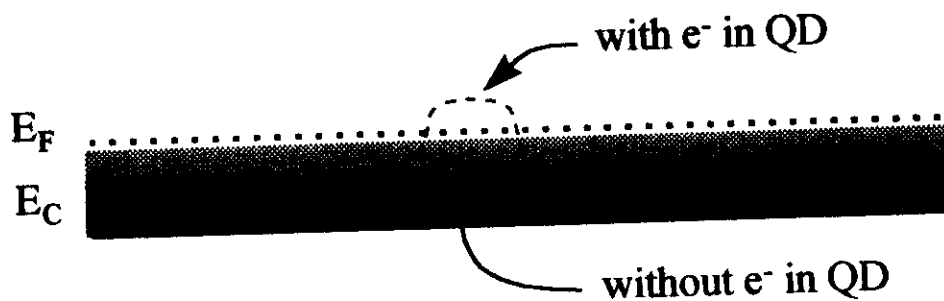
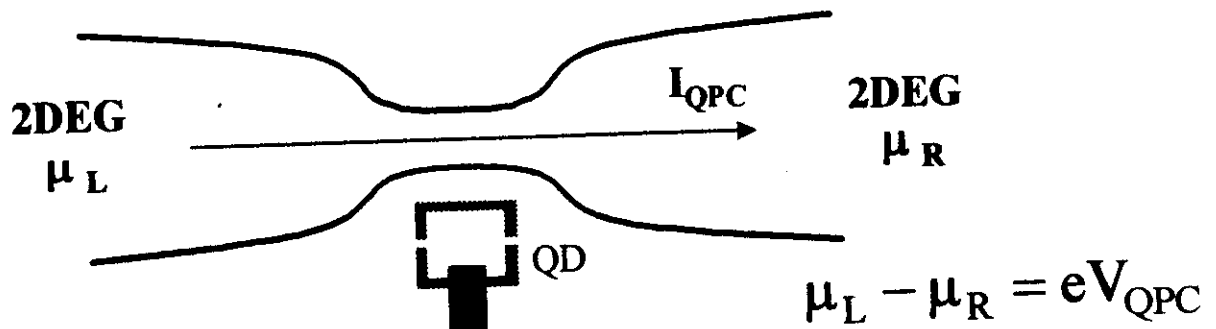


$$V_D = V_{QD} \frac{C_{QD-Detector}}{C_T}$$

Field et al., PRL **70**, 1311 (1993).
Molenkamp et al., PRL **75**, 4282 (1995).

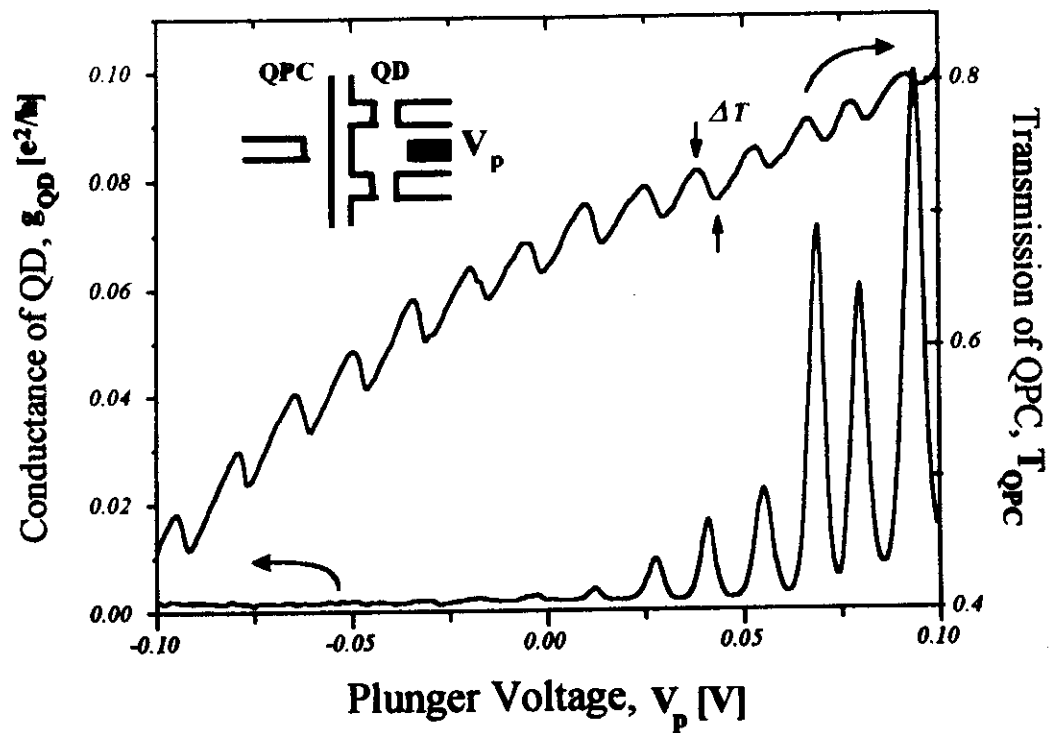
The Detector

A Quantum Point Contact (QPC) is used as a Detector

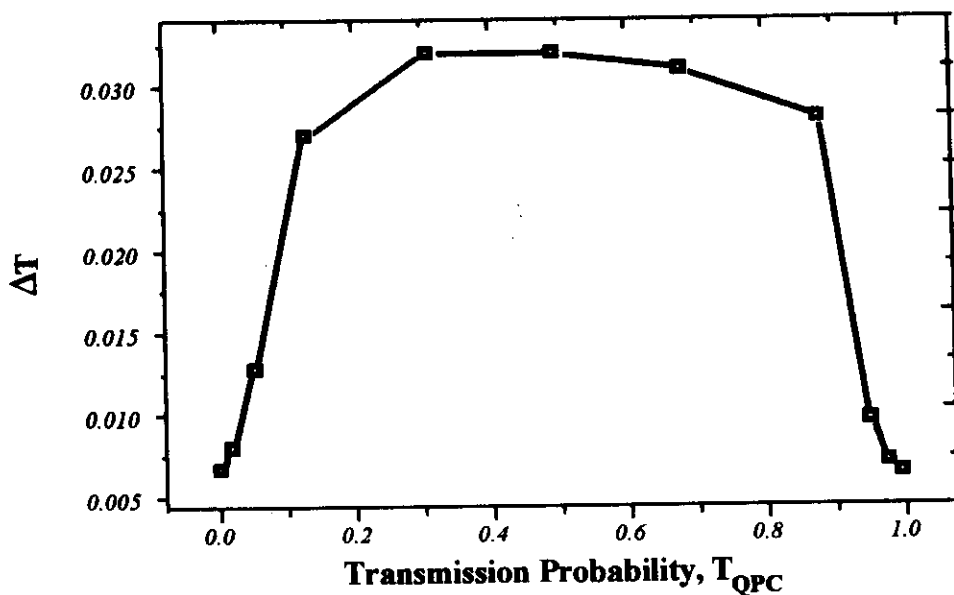


- An electron in the QD changes the potential in the QPC ;
-
- The potential change affects the transmission probability ;
-
- The conductance changes ;
-
- For a fixed current the voltage across the QPC changes,
or, for a fixed voltage the current through the QPC changes.

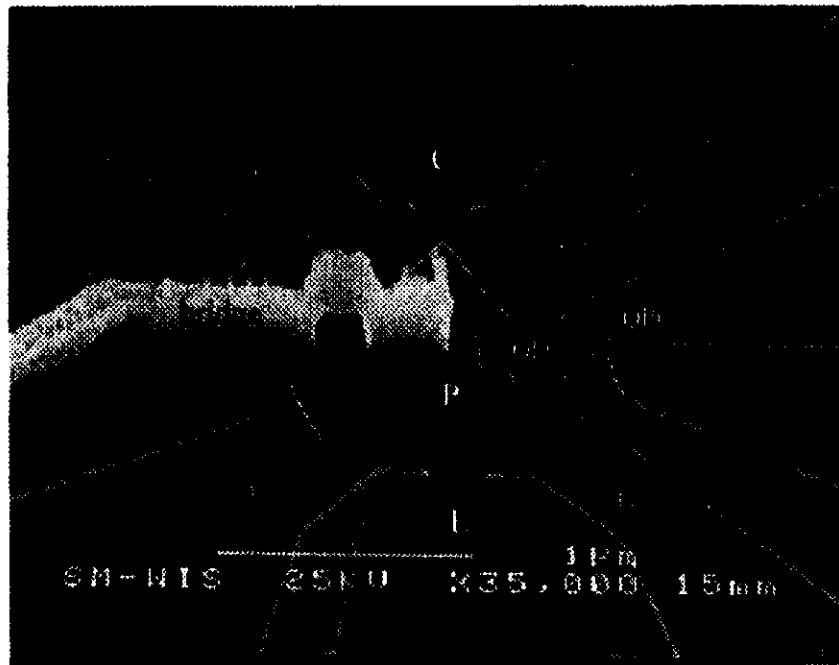
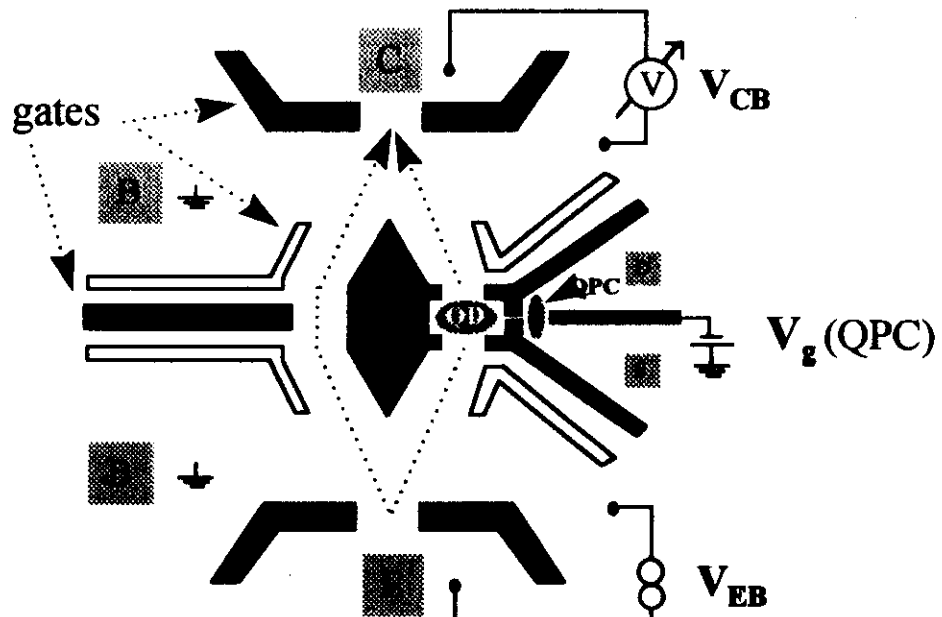
Effect of Electron in QD on QPC Detector



★ The QPC is sensitive to an electron in the QD even when Coulomb Peaks (of the QD) are weak !

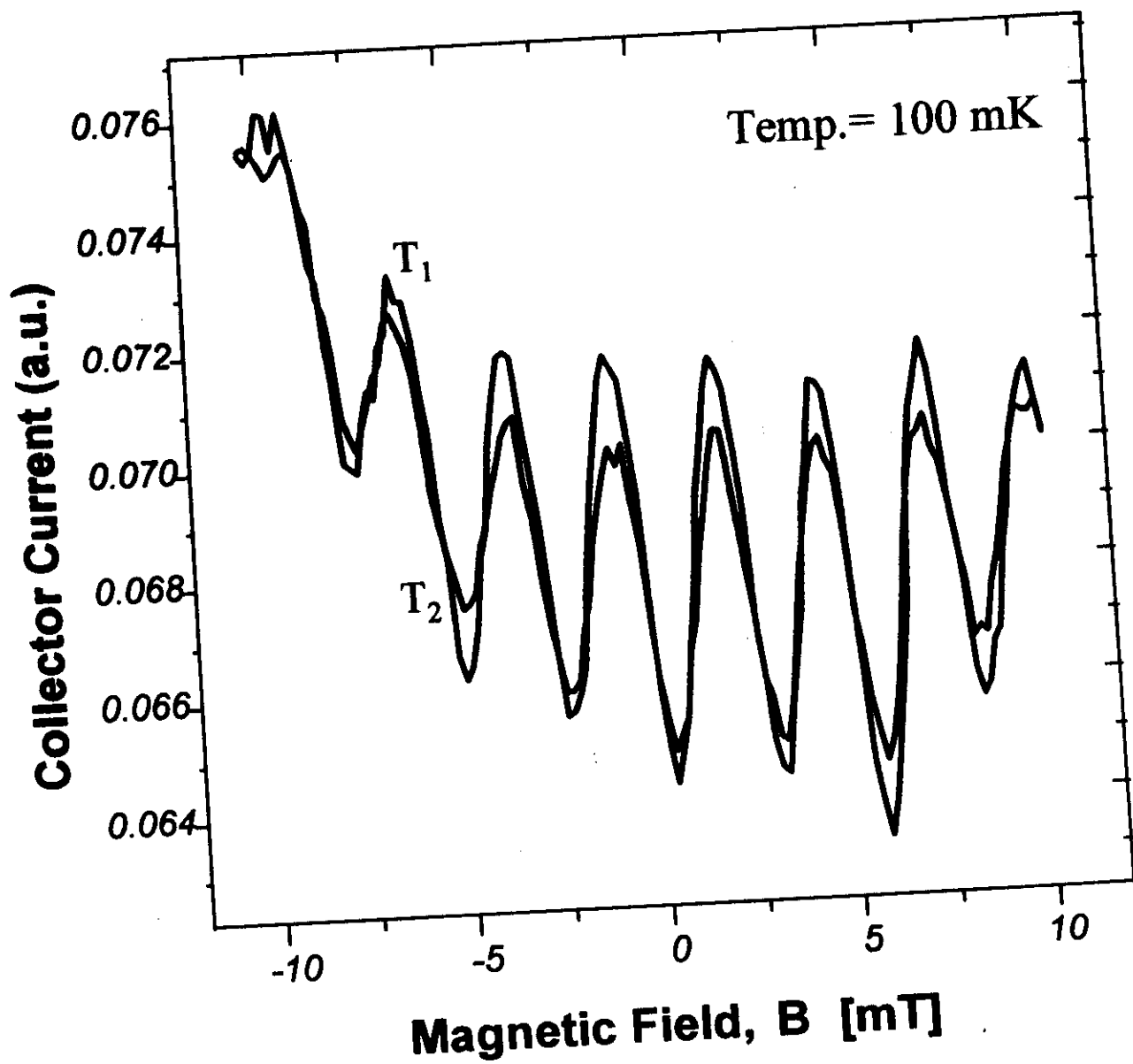


A Realization of the Experiment

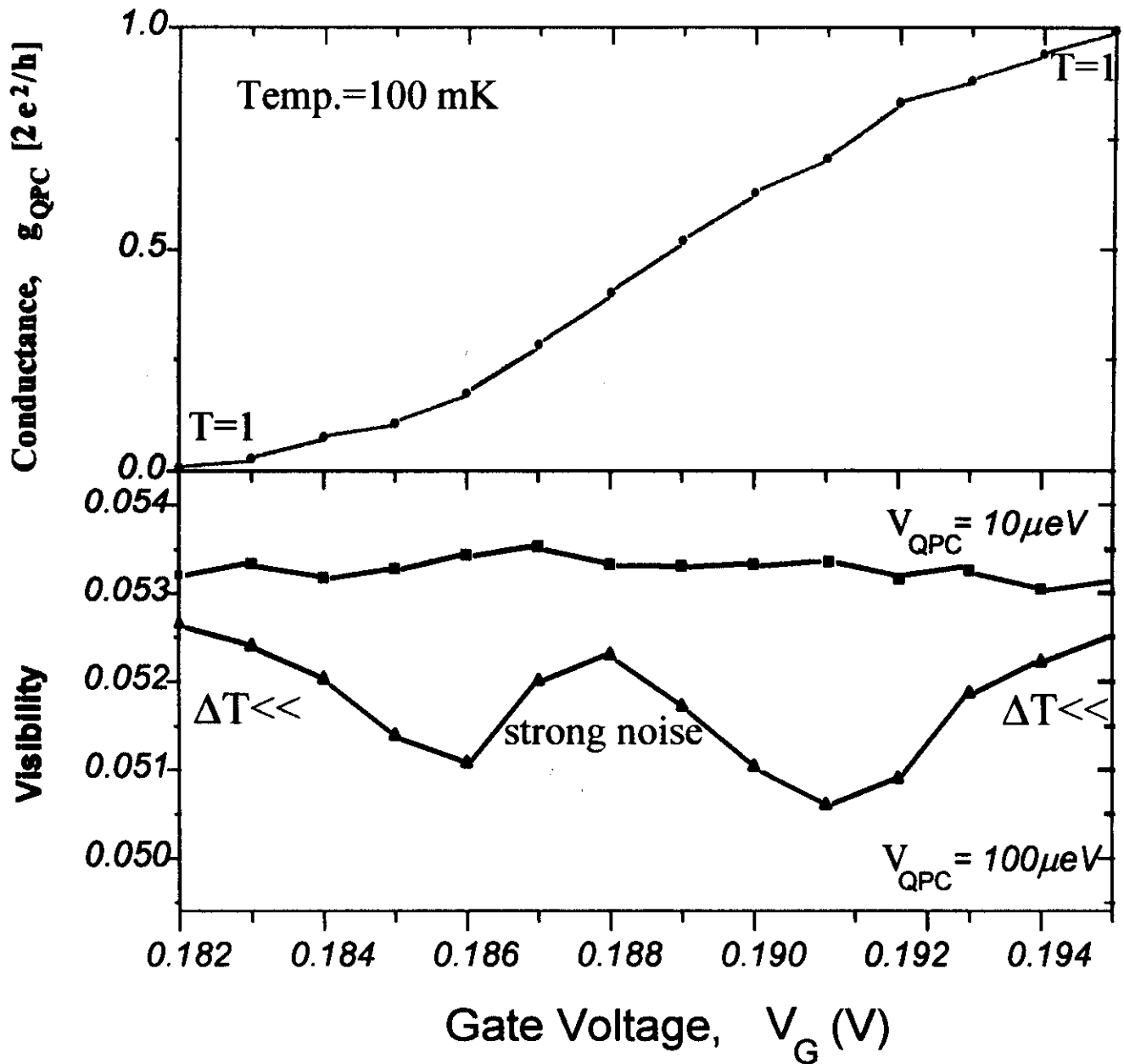


A Four Terminal configuration to prevent multiple paths !

As **T** of **QPC** changes, so does the **visibility** ...



Dephasing the QD with the QPC Detector



Simple Dephasing Arguments

- ✓ For an applied voltage V_{QPC} across the QPC :

$$I_{\text{QPC}} = V_{\text{QPC}} \frac{2e^2}{h} T$$

- ✓ Due to an electron in the QD :

$$\Delta I_{\text{QPC}} = V_{\text{QPC}} \frac{2e^2}{h} \Delta T$$

- ✓ During *dwell* time, τ_d , of an electron in the QD, extra no. of electrons cross the QPC :

$$\Delta n_{\text{QPC}} = \frac{\Delta Q}{e} = \frac{1}{e} \tau_d \cdot V_{\text{QPC}} \frac{2e^2}{h} \Delta T$$

- ✓ This number has to be larger than the Quantum Shot Noise **number** fluctuations.

The spectral density of current fluctuations:

$$S(0) = 2 \frac{(\Delta Q)^2}{\tau} = \underbrace{2e \cdot V_{\text{QPC}} \frac{2e^2}{h} T}_{2e \cdot I_{\text{QPC}}} \cdot \underbrace{(1-T)}_{\text{suppression}}$$

No fluctuations at $T=1$
Max. fluctuations at $T=0.5$

classical shot noise suppression

Simple Dephasing Arguments :

Continue...

- ✓ The intrinsic number charge fluctuations is :

$$\Delta N = \frac{\Delta Q}{e} = \sqrt{\tau_d \frac{S(0)}{2e^2}}$$

$$\Delta N = \sqrt{\frac{1}{e} \tau_d V_{QPC} \frac{2e^2}{h} T(1-T)}$$

- ✓ For $\Delta n = \Delta N$ the detector dephases

$$\underbrace{\frac{1}{\tau_d}}_{\text{Measurement rate}} \approx \underbrace{\frac{2eV_{QPC}}{h} \frac{(\Delta T)^2}{T(1-T)}}_{\text{Dephasing rate}} \equiv \frac{\Gamma_{\text{dephasing}}}{\hbar}$$

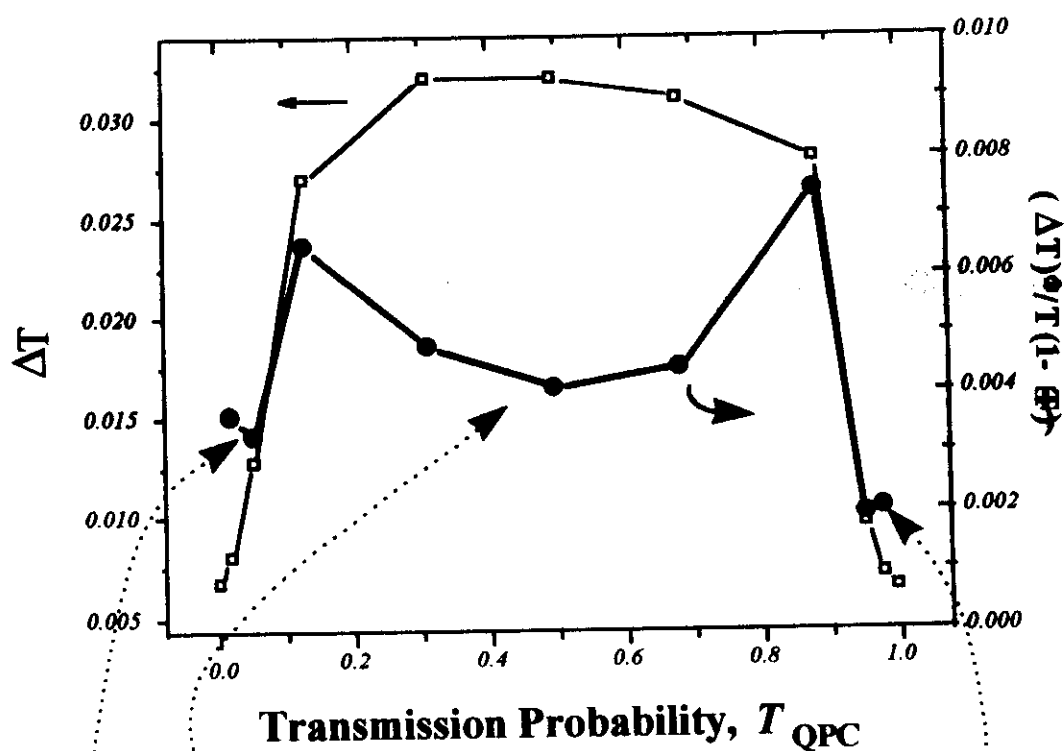
- ✓ The visibility of the Interference Oscillations, for a practical detector :

$$v = e^{-\frac{\tau_d}{\tau_{\text{dephasing}}}} \cong 1 - \frac{\Gamma_{\text{dephasing}}}{\Gamma_{QD}}$$

What is Expected ?

More exact calculations lead to :

$$\nu = 1 - \frac{1}{8\pi} \frac{eV_{\text{QPC}}}{\Gamma_{\text{QD}}} \frac{(\Delta T)^2}{T(1-T)}$$



$\Delta T=0$
near a plateau

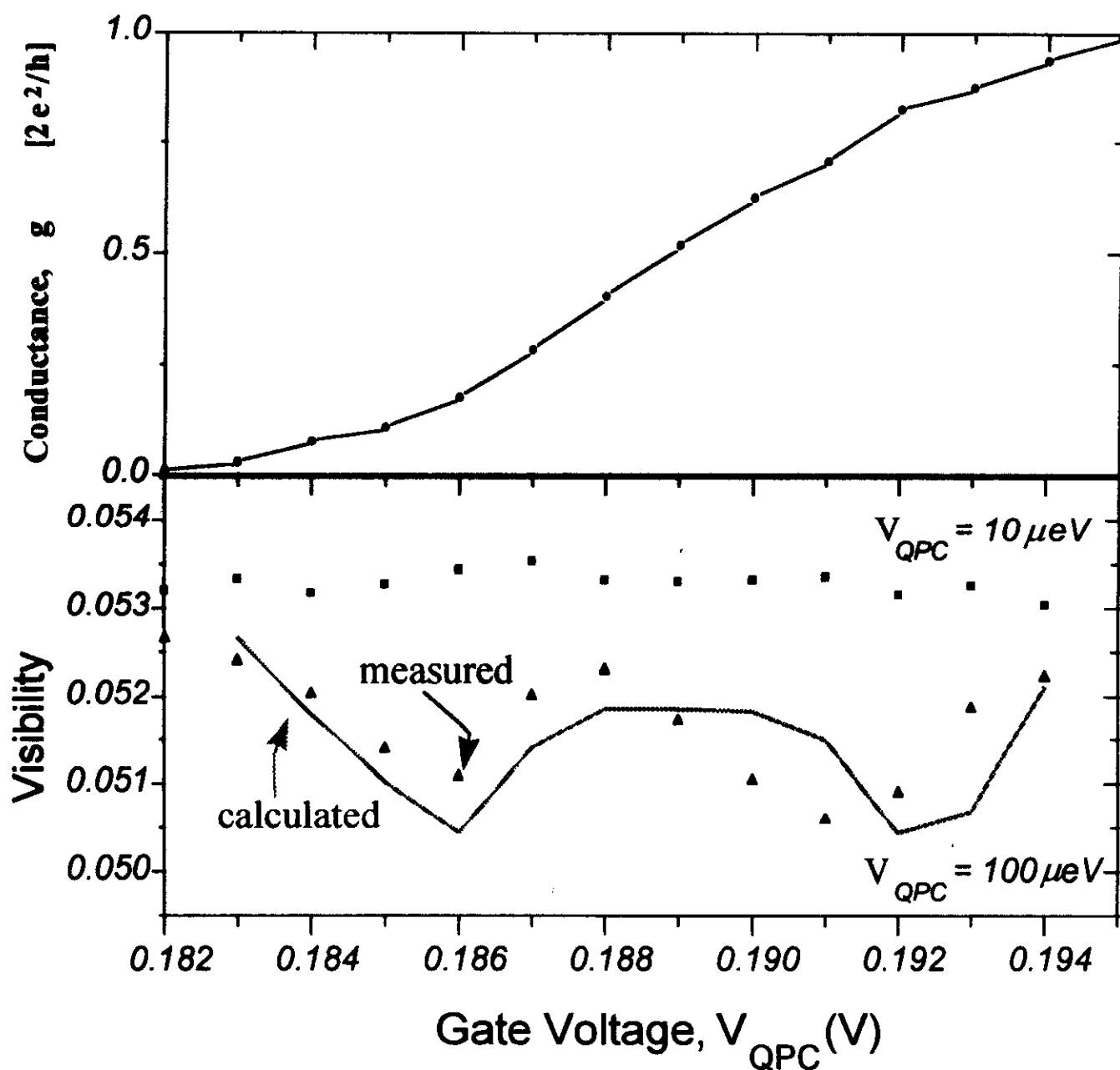
strong noise

$\Delta T=0$
near a plateau

Recent calculations are :

- I. L. Aleiner, N. S. Wingreen, and Y. Meir, cond-mat / 9702001
- Y. Levinson, cond-mat / 9702164
- S. Gurvich, quant-ph/960729 and private communications.

Comparison with Theory



$\Gamma_{QD} = 0.5 \mu eV$ ($\tau_d \cong 1 ns$) was used !

What Have We done thus Far ?

- ✓ A quantum point contact used as a *which path* detector ;
- ✓
- ✓
- ✓
- ✓ The *which path* detector led to dephasing ;
- ✓
- ✓
- ✓
- ✓ Reasonable agreement with theory ;
- ✓
- ✓
- ✓
- ✓ A method to dephase electrons in a QD .
- ✓

(Bare QD was found before to resist dephasing ...)