

# On some subring of formal power series\*

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## Abstract

For each  $n \in \mathbb{N}$ , we denote by  $\mathcal{C}[[z_1, \dots, z_n]]$  the ring of formal power series with complex coefficients and  $\mathcal{C}\{z_1, \dots, z_n\}$  the ring of convergent power series. For each  $n \in \mathbb{N}$ , we consider  $\mathcal{A}_n \subset \mathcal{C}[[z_1, \dots, z_n]]$  a subalgebra containing  $\mathcal{C}\{z_1, \dots, z_n\}$ . In the first part we give some conditions under which  $\mathcal{A}_n$  possesses good properties as module over  $\mathcal{C}\{z_1, \dots, z_n\}$ . In the end we give condition under which each  $\mathcal{A}_n$  is noetherian algebra and we give some examples.

## Introduction

Let  $\mathcal{C}[[z_1, \dots, z_n]]$  be the ring of formal series; we give some conditions under which a subring  $\mathcal{A}_n$  of  $\mathcal{C}[[z_1, \dots, z_n]]$  is noetherian. As an application we prove that the subring of  $\mathcal{C}[[z_1, \dots, z_n]]$  defined by the growth of the coefficients is a noetherian ring. This result has been proved by J. Chaumat and A.M. Chollet [2]. Ours method is more simple and general and can be applied in others contexts.

## 1 background .

We denote by  $\mathcal{C}[[z_1, \dots, z_n]]$  the ring of formal powers series and by  $\mathcal{O}_n$  the ring of germs of holomorphic functions at the origin in  $\mathcal{C}^n$ . Finally  $\mathcal{C}[z_1, \dots, z_n]$  is the ring of polynomial with complex coefficients.

If  $\lambda = (\lambda_1, \dots, \lambda_p) \in \mathcal{C}^p$ , then  $P(z_n, \lambda) = z_n^p + \sum_{i=1}^p \lambda_i z_n^{p-i}$  is called a generic polynomial in  $z_n$  of degree  $p$ . Let  $\sigma = (\sigma_1, \dots, \sigma_p) : \mathcal{C}^p \rightarrow \mathcal{C}^p$  be the polynomial map such that each  $\sigma_i$  is (modulo a sign) the  $i^{eme}$  symmetric function and:

$$P(z_n, \sigma(\xi)) = (z_n - \xi_1) \dots (z_n - \xi_p),$$

where  $\xi = (\xi_1, \dots, \xi_p)$ .

Let  $A : \mathcal{C}^n \times \mathcal{C}^p \rightarrow \mathcal{C}^n \times \mathcal{C}^p$  be the mapping defined by  $A(z, \xi) = (z, \sigma(\xi))$ . The rank of  $A$ ,  $rk(A)$ , is the rank of the jacobian matrix of  $A$  considered as a matrix over the field  $[\mathcal{O}_{n+p}]$  (quotient field of the ring  $\mathcal{O}_{n+p}$ ). We see that  $rk(A) = n + p$ ; hence the induced mapping:

$$A^* : \mathcal{C}[[z, \xi]] \rightarrow \mathcal{C}[[z, \xi]],$$

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defined by  $A^*(\psi(z, \xi)) = \psi(z, \sigma(\xi))$  is injective.

**Definition 1** A weak formal Weierstrass system (w.f.w.s.) is the data, for each  $n \in \mathbb{N}$ , of a ring  $\mathcal{A}_n$ , such that:

- 1)  $\forall n, \mathcal{O}_n \subset \mathcal{A}_n \subset \mathcal{C}[[z_1, \dots, z_n]]$  .
- 2) If  $\varphi : (\mathcal{C}^n, 0) \rightarrow (\mathcal{C}^p, 0)$  is a mapping with components in  $\mathcal{O}_n$  and if  $f \in \mathcal{A}_p$ , then  $f \circ \varphi \in \mathcal{A}_n$ .
- 3) For each  $n \in \mathbb{N}$ , the ring  $\mathcal{A}_n$  is closed under division by coordinates, this means that, if  $f \in \mathcal{A}_n$  and  $f = (z_i - \alpha)g$ , where  $g \in \mathcal{C}[[z]]$  and  $\alpha \in \mathcal{C}$ ; then  $g \in \mathcal{A}_n$ .
- 4) Let  $\sigma : (\mathcal{C}^p, 0) \rightarrow (\mathcal{C}^n, 0)$  be a holomorphic mapping. Suppose that  $\text{rk}(\sigma) = n$ . Let  $f \in \mathcal{C}[[z_1, \dots, z_n]]$  such that  $f \circ \sigma \in \mathcal{A}_p$ ; then  $f \in \mathcal{A}_n$ .

**Exemple 1** The system  $\mathcal{O} = (\mathcal{O}_n)_n$  is a weak formal Weierstrass system. The property 4) of the last definition is the main result of [5].

The aim of this paper is to construct a w.f.w.s.  $\mathcal{A} = (\mathcal{A}_n)_n$ , such that  $\mathcal{A}_n \subset \mathcal{C}[[z]]$  and  $\mathcal{A}_n$  contains strictly  $\mathcal{O}_n$ . Before to that, let us give some properties of a w.f.w.s.

## 2 Generic division theorem

**Théorème 1** Let  $\mathcal{A} = (\mathcal{A}_n)_n$  be a w.f.w.s. and let  $P(z_n, \lambda)$  be a generic polynomial in  $z_n$  of degree  $p$ . If  $f \in \mathcal{A}_n$ , then there exist unique element  $q(\lambda, z) \in \mathcal{A}_{n+p}$  and  $r_j \in \mathcal{A}_{n-1+p}$ ,  $1 \leq j \leq p$ , such that

$$f = P.q + \sum_{j=1}^p r_j z_n^{p-j}.$$

Proof

Let  $f \in \mathcal{A}_n$ ; then by 2),  $f_1 = f \circ A \in \mathcal{A}_{n+p}$ ; besides, if  $z' = (z_1, \dots, z_{n-1})$ :

$$f_1(z', z_n, \xi) - f_1(z', \xi_1, \xi) = (z_n - \xi_1)f_2(z, \xi)$$

and  $f_1(z', \xi_1, \xi), f_2$  are in  $\mathcal{A}_{n+p}$  by 2) and 4). By repeating the processes, we get at the end:

$$f_1(z, \xi) = g(z, \xi)(z_n - \xi_1) \dots (z_n - \xi_p) + \sum_{i=1}^p g_i(z', \xi) z_n^{p-i},$$

with  $g \in \mathcal{A}_{n+p}$  and  $g_i \in \mathcal{A}_{n-1+p}$ ,  $1 \leq i \leq p$ . We see that  $g$  and  $g_i$ ,  $1 \leq i \leq p$  are symmetric with respect  $(\xi_1, \dots, \xi_p)$ . By the formal Newton's theorem, there exist  $q \in \mathcal{C}[[z_1, \dots, z_n, \xi_1, \dots, \xi_p]]$ ,  $r_i \in \mathcal{C}[[z_1, \dots, z_{n-1}, \xi_1, \dots, \xi_p]]$ ,  $1 \leq i \leq p$ , such that:

$$g = q \circ A \text{ and } r_i = g_i \circ A, \quad 1 \leq i \leq p.$$

By assumption 4);  $q \in \mathcal{A}_{n+p}$  and  $r_i \in \mathcal{A}_{n-1+p}$ ,  $1 \leq i \leq p$ . Since  $A^*$  is injective, we have proved the theorem.

Let  $h \in \mathcal{O}_n - \{0\}$ ; after making a linear change of coordinates on  $(z_1, \dots, z_n)$ , we can suppose that  $h$  is regular in  $z_n$  of order  $p$ , i.e.  $h(0, 0) = \frac{\partial h}{\partial z_n}(0, 0) = \dots = \frac{\partial^{p-1} h}{\partial z_n^{p-1}}(0, 0) = 0$  while  $\frac{\partial^p h}{\partial z_n^p}(0, 0) \neq 0$ . By the Weierstrass division theorem [8];  $h = Q.P$  where  $Q \in \mathcal{O}_n$  is unit and  $P(z', z_n) = z_n^p + \sum_{j=1}^p a_j(z')z_n^{p-j}$ ,  $a_j \in \mathcal{O}_{n-1}$  and  $a_j(0) = 0$ ,  $1 \leq j \leq p$ .

**Corollaire 1** *Let  $h \in \mathcal{O}_n - \{0\}$  be regular in  $z_n$  of order  $p$ . If  $f \in \mathcal{A}_n$ , there are unique  $\tilde{q} \in \mathcal{A}_n$  and  $\tilde{r}_j \in \mathcal{A}_{n-1}$ ,  $1 \leq j \leq p$ , such that:*

$$f = h\tilde{q} + \sum_{j=1}^p \tilde{r}_j(z')z_n^{p-j}.$$

Proof.

We can suppose  $f = QP$ , where  $Q \in \mathcal{O}_n$  is unit and  $P(z', z_n) = z_n^p + \sum_{j=1}^p a_j(z')z_n^{p-j}$ ,  $a_j \in \mathcal{O}_{n-1}$ ,  $a_j(0) = 0$ ,  $1 \leq j \leq p$ . By theorem 1, we make division of  $f$  by a generic polynomial in  $z_n$  of degree  $p$ . If we replace  $a(z') := (a_1(z'), \dots, a_p(z'))$  in  $\lambda = (\lambda_1, \dots, \lambda_p)$  and we put  $\tilde{q} = Q^{-1}q(a(z'), z)$ ,  $\tilde{r}_j(z') = r_j(z', a(z'))$ ,  $1 \leq j \leq p$ ; the corollary follows.

### 3 algebraic properties

As consequence of the last corollary, we deduce some flatness properties. For each  $n \in \mathbb{N}$ , we put  $M_n = \frac{\mathcal{C}[[z]]}{\mathcal{A}_n}$ .

**Proposition 1** *For each  $n \in \mathbb{N}$ ,  $M_n$  is a flat  $\mathcal{O}_n$ -module.*

Proof.

By [7], we have to show that if  $I \subset \mathcal{O}_n$  is an ideal, then  $Tor_1^{\mathcal{O}_n}(\frac{\mathcal{O}_n}{I}, M_n) = 0$ .

From the exact sequence:

$$0 \rightarrow \mathcal{A}_n \rightarrow \mathcal{C}[[z]] \rightarrow M_n \rightarrow 0$$

we deduce the longer exact sequence of "Tor".

$$\dots \rightarrow Tor_1^{\mathcal{O}_n}(\frac{\mathcal{O}_n}{I}, \mathcal{C}[[z]]) \rightarrow Tor_1^{\mathcal{O}_n}(\frac{\mathcal{O}_n}{I}, M_n) \rightarrow \frac{\mathcal{A}_n}{I\mathcal{A}_n} \rightarrow \frac{\mathcal{C}[[z]]}{I\mathcal{C}[[z]]} \rightarrow M_n \otimes_{\mathcal{O}_n} \frac{\mathcal{O}_n}{I} \rightarrow 0.$$

Since  $\mathcal{C}[[z]]$  is a flat  $\mathcal{O}_n$ -module;  $Tor_1^{\mathcal{O}_n}(\frac{\mathcal{O}_n}{I}, \mathcal{C}[[z]]) = 0$ ; hence, we have the exact sequence:

$$0 \rightarrow Tor_1^{\mathcal{O}_n}(\frac{\mathcal{O}_n}{I}, M_n) \rightarrow \frac{\mathcal{A}_n}{I\mathcal{A}_n} \rightarrow \frac{\mathcal{C}[[z]]}{I\mathcal{C}[[z]]}.$$

We see then  $M_n$  is a flat  $\mathcal{O}_n$ -module if and only if, the last arrow is injective i.e  $I\mathcal{C}[[z]] \cap \mathcal{A}_n = I\mathcal{A}_n$ .

We will prove this by induction on  $n$ . Suppose  $n = 1$ ; then the ideal  $I$  is principal and the result holds by property 3) of definition 1. We suppose  $n > 1$  and the result holds for  $n - 1$ . Let

$(h_1, \dots, h_q)$  be a system of generators of  $I$ . We can suppose  $h_1$  is a distinguished polynomial in  $z_n$  of degree  $p$ . By dividing  $(h_2, \dots, h_q)$  with  $h_1$ , we can suppose that  $h_2, \dots, h_q$  are polynomials in  $z_n$  of degree  $< p$ . Let  $f = \sum_{i=1}^q h_i f_i$ ,  $f_i \in \mathcal{C}[[z]]$ ,  $1 \leq i \leq q$ , and  $f \in \mathcal{A}_n$ . After making a Weierstrass division of  $f_2, \dots, f_q$  and  $f$  by  $h_1$ , we can suppose that  $f, f_2, \dots, f_q$  are polynomials in  $z_n$  of degree  $< p$  ( $f$  has its coefficients in  $\mathcal{A}_{n-1}$ ). We deduce then that  $f_1$  is also a polynomial of degree  $< p - 1$ . Let us identify the subset of  $\mathcal{O}_n$  of elements in  $\mathcal{O}_{n-1}[z_n]$  of degree less or equal to  $2p - 1$  with  $(\mathcal{O}_{n-1})^{2p-1}$ . In this identification we can replace the ideal  $I$  by a submodule  $N \subset (\mathcal{O}_{n-1})^{2p-1}$ . In order to prove the assumption for  $n$ , we have to show:

$$N\mathcal{C}[[z']] \cap \mathcal{A}_{n-1}^{2p-1} = N\mathcal{A}_{n-1}, \quad z' = (z_1, \dots, z_{n-1}),$$

but this equality follows by the inductive hypothesis, hence the proposition.

In the following section we will give an example of w.f.w.s. that we are interested.

## 4 Formal series of class M

### 4.1 notations and definitions

Fix a sequence  $(m_n)_{n=0}^\infty$  with  $m_n = e^{\mu(n)}$ , where  $\mu$  is a nonnegative, increasing, convex function defined in  $\{t \in \mathbb{R} / t \geq 0\}$ ,  $\mu(0) = 0$ ,  $\frac{\mu(t)}{t} \rightarrow \infty$  as  $t \rightarrow \infty$ . Since  $\mu$  is convex; for each  $t \geq 0$  the derivative of  $\mu$  at the right of  $t$  exists, say  $\mu'_d(t)$ , and the function  $t \rightarrow \mu'_d(t)$  is increasing. We suppose that there exists  $a > 0$  such that  $\mu'_d(t) \leq at$ . We put  $M_n = n!m_n$ . The sequence  $M = (M_n)_{n \in \mathbb{N}}$  will be called the class  $M$ .

### 4.2 formal series of class M

If  $\varphi \in \mathcal{C}[[z_1, \dots, z_n]]$ ,  $\varphi = \sum_{\omega \in \mathbb{N}^n} \varphi_\omega z_1^{\omega_1} \dots z_n^{\omega_n}$ , and  $C > 0$ ; we put:

$$\|\varphi\|_{M,C} = \text{Sup}_m \text{Sup}_{|\omega|=m} \frac{|\varphi_\omega|}{C^m M_m} \in [0, \infty].$$

**Definition 2** A formal power series  $\varphi \in \mathcal{C}[[z_1, \dots, z_n]]$  is said to be in the class  $M$ , if there exists a constant  $C > 0$  such that  $\|\varphi\|_{M,C} < \infty$ .

In the following,  $\mathcal{C}[[z]]_{M,n}$ ,  $z = (z_1, \dots, z_n)$ , denotes the collection of all  $\varphi \in \mathcal{C}[[z]]$  in the class  $M$ .

**Remarque 1** If  $a, b \in \mathbb{R}$ , let  $\tilde{\mu}(t) = \mu(t) + at + b$  and put  $\tilde{m}_n = e^{\tilde{\mu}(n)}$ . We can then define an other class  $\tilde{M}$ . We can easily see that  $\mathcal{C}[[z]]_{M,n} = \mathcal{C}[[z]]_{\tilde{M},n}$ ; hence the class does not change when  $\mu$  is replaced by  $\tilde{\mu}$ .

Since the function  $t \rightarrow \mu(t)$  is convex, we can prove :

$$M_j M_{n-j} \leq M_n, \text{ for } 0 \leq j \leq n.$$

Using this inequality, it is easy to show that  $\mathcal{C}[[z]]_{M,n}$  is a ring for each  $n \in \mathbb{N}$ .

Since  $M_p \geq p^p$ ;  $\mathcal{C}[[z]]_{M,n}$  contains  $\mathcal{O}_n$ ,  $\forall n \in \mathbb{N}$ .

### 4.3 One dimensional characterization of formal series of class $M$

If  $\varphi \in \mathcal{C}[[z]]$ ; we put  $H_j(\varphi) \in \mathcal{C}[z_1, \dots, z_n]$  the homogeneous polynomial of degree  $j$  in the expansion of  $\varphi$ ; we have then  $\varphi = \sum_{j=0}^{\infty} H_j(\varphi)(z)$ . Let  $\Omega$  be an open set of the sphere  $S^{n-1} \subset \mathbb{C}^n$ ; if  $\xi \in \Omega$ ;  $\varphi|_{\xi}$  denotes the formal series  $\sum_{j=1}^{\infty} H_j(\varphi)(\xi)t^j \in \mathcal{C}[[t]]$  called the restriction of  $\varphi$  to the line  $t \rightarrow \xi t$ .

For each  $\xi \in \Omega$  and  $m \in \mathbb{N}$ ; we put:

$$\theta_m(\xi) = \frac{|H_m(\varphi)(\xi)|}{M(m)},$$

and

$$\theta(\xi) = \text{Sup}_m \theta_m(\xi).$$

**Proposition 2** *Let  $\Omega$  be a nonempty open subset of  $S^{n-1}$  and  $\varphi \in \mathcal{C}[[z]]$ ; we assume that for each  $\xi \in \Omega$ , there is a constant  $C_{\xi} > 0$  such that  $\theta(\xi) \leq C_{\xi}$ ; then  $\varphi \in \mathcal{C}[[z]]_M$ .*

Proof

The function  $\theta$  is lower semicontinuous; by Baire's theorem, there exists  $\Omega_1 \subset \Omega$  an open subset,  $\Omega_1 \neq \emptyset$ , and a constant  $C_1 > 0$ , such that:

$$\forall \xi \in \Omega_1, \theta(\xi) \leq C_1.$$

By a result in [6]; there is a constant  $C_{2,\Omega_1}$ , such that:

$$\text{Sup}_{|\xi|=1} |H_m(\varphi)(\xi)| \leq C_{2,\Omega_1}^m \text{Sup}_{\xi \in \Omega_1} |H_m(\varphi)(\xi)|, \forall m \in \mathbb{N}.$$

In view of Bernstein inequality; there exists a constant  $C_3$ , such that:

$$C_3^m |\varphi_{\omega}| \leq \text{Sup}_{|\xi|=1} |H_m(\varphi)(\xi)|, \forall m \in \mathbb{N},$$

where  $\omega \in \mathbb{N}^n$ ,  $|\omega| = m$ .

We put  $\rho = \frac{C_{2,\Omega_1}}{C_3}$ ; we have then  $\|\varphi\|_{M,\rho} < \infty$ , hence the result.

**Lemme 1** *Let  $\varphi = \sum_{j=0}^{\infty} \varphi_j t^j \in \mathcal{C}[[t]]$  and  $\mu \in \mathbb{N}$ . We put  $h = \varphi^{\mu}$ ; then  $h = \sum_{\nu=0}^{\infty} h_{\nu} t^{\nu}$ , where:*

$$h_{\nu} = \sum \frac{\mu!}{k_1! \dots k_l!} \varphi_{\mu_1}^{k_1} \dots \varphi_{\mu_l}^{k_l},$$

and  $\mu = k_1 + \dots + k_l$ . The sum is taken over all sets  $\{\mu_1, \dots, \mu_l\}$  of distinct elements in  $\mathbb{N}^l$  and  $(k_1, \dots, k_l) \in (\mathbb{N} - \{0\})^l$ ,  $l = 1, 2, 3, \dots$  such that  $k_1 \mu_1 + \dots + k_l \mu_l = \nu$ .

Proof.

The lemma is an easy consequence of the following:

if  $a_1, \dots, a_q \in \mathbb{R}$  and  $\nu \in \mathbb{N}$ ; then

$$(a_1 + \dots + a_q)^\nu = \sum \frac{\nu!}{k_1! \dots k_q!} a_1^{k_1} \dots a_q^{k_q},$$

the sum is taken over all  $(k_1, \dots, k_q) \in \mathbb{N}^q$  such that  $k_1 + \dots + k_q = \nu$ .

**Remarque 2** 1) Let  $\varphi = (\varphi_1, \dots, \varphi_p) \in \mathcal{C}[[t]]^p$ ,  $\varphi_j = \sum_{\nu=0}^{\infty} \varphi_{j,\nu} t^\nu$ ,  $1 \leq j \leq p$ ; and for each  $\nu \in \mathbb{N}$ , we put  $\varphi_\nu = (\varphi_{1,\nu}, \dots, \varphi_{p,\nu}) \in \mathcal{C}^p$ . Let  $\alpha = (\alpha_1, \dots, \alpha_p) \in \mathbb{N}^p$  and put  $h = \varphi^\alpha = \varphi_1^{\alpha_1} \dots \varphi_p^{\alpha_p}$ . As in the previous lemma, we have  $h = \sum_\nu h_\nu t^\nu$ , where:

$$h_\nu = \sum \frac{\alpha!}{k_1! \dots k_l!} \varphi_{\mu_1}^{k_1} \dots \varphi_{\mu_l}^{k_l},$$

$\alpha = k_1 + \dots + k_l$  and the sum is taken over all sets  $\{\mu_1, \dots, \mu_l\}$  of distinct elements in  $\mathbb{N}$  and  $(k_1, \dots, k_l) \in (\mathbb{N}^p - \{0\})^l$ ,  $l = 1, 2, 3, \dots$  such that  $\mu_1 | k_1 | + \dots + \mu_l | k_l | = \nu$ .

2) Since the sequence  $\frac{M_p}{p!} = e^{\mu(p)}$  is logarithmically convex we have, by [3], :

$$\frac{M_s}{s!} \left(\frac{M_{\mu_1}}{\mu_1!}\right)^{t_1} \dots \left(\frac{M_{\mu_l}}{\mu_l!}\right)^{t_l} \leq \left(\frac{M_1}{1!}\right)^s \frac{M_\nu}{\nu!},$$

for every  $t_1, \dots, t_l \in \mathbb{N}$  and  $\mu_1, \dots, \mu_l \in \mathbb{N}$ , such that  $\mu_1 t_1 + \dots + \mu_l t_l = \nu$  and  $k_1 + \dots + k_l = s$ .

If  $k_1, \dots, k_l \in \mathbb{N}^p$ ,  $\mu_1, \dots, \mu_l \in \mathbb{N}$  such that  $\mu_1 | k_1 | + \dots + \mu_l | k_l | = \nu$  and  $k_1 + \dots + k_l = \alpha$ ; then

$$\frac{M_{|\alpha|}}{|\alpha|!} \left(\frac{M_{\mu_1}}{\mu_1!}\right)^{|k_1|} \dots \left(\frac{M_{\mu_l}}{\mu_l!}\right)^{|k_l|} \leq \left(\frac{M_1}{1!}\right)^{|\alpha|} \frac{M_\nu}{\nu!}$$

.

3) Let  $a > 0$ , put  $g(z_1, \dots, z_p) = \prod_{j=1}^p \frac{1}{(1-az_j)}$ ,  $\psi_1(t) = \dots = \psi_p(t) = \sum_{\nu>0} t^\nu$  and  $\psi(t) = (\psi_1(t), \dots, \psi_p(t))$ . The function  $g(\psi(t))$  is holomorphic in a neighborhood of the origin. We have  $g(\psi(t)) = \sum_\nu q_\nu t^\nu$ , where, by 1),

$$q_\nu = \sum \frac{\alpha!}{k_1! \dots k_l!} a^{|\alpha|},$$

the sum is taken as in 1).

**Proposition 3** Let  $f \in \mathcal{C}[[z]]_{M,p}$  and suppose that  $\varphi_j = \sum_{\nu=0}^{\infty} \varphi_{j,\nu} t^\nu$  in  $\mathcal{C}[[t]]_{M,1}$ ,  $\varphi_{j,0} = 0$ ,  $1 \leq j \leq p$ ; then  $f(\varphi_1, \dots, \varphi_p) \in \mathcal{C}[[t]]_{M,1}$ .

Proof

Put  $f = \sum_{\mu \in \mathbb{N}^p} f_{\mu} z_1^{\mu_1} \dots z_p^{\mu_p}$ ; then  $f(\varphi_1, \dots, \varphi_p) = \sum_{\nu=1}^{\infty} h_{\nu} t^{\nu}$ , where :

$$h_{\nu} = \sum \frac{\alpha!}{k_1! \dots k_l!} \varphi_{\mu_1}^{k_1} \dots \varphi_{\mu_l}^{k_l},$$

and the sum as in 1) of remark 2.

There are constants  $c, \rho > 0$  such that:

$$\begin{aligned} |f_{\alpha}| &\leq \rho c^{|\alpha|} M_{|\alpha|}, \quad \forall \alpha \in \mathbb{N}^p, \\ |\varphi_{j,\nu}| &\leq \rho c^{\nu} M_{\nu}, \quad \forall \nu \in \mathbb{N}, \quad \forall j = 1, \dots, p. \end{aligned}$$

We have then:

$$|h_{\nu}| \leq \rho c^{\nu} \sum \frac{\alpha!}{k_1! \dots k_l!} (c\rho)^{|\alpha|} (\mu_1!)^{k_1} \dots (\mu_l!)^{k_l} (|\alpha|!) \frac{M_{|\alpha|}}{|\alpha|!} \left(\frac{M_{\mu_1}}{\mu_1!}\right)^{k_1} \dots \left(\frac{M_{\mu_l}}{\mu_l!}\right)^{k_l}.$$

By 2) of remark 2 and using the trivial inequality  $\frac{(\mu_1!)^{k_1} \dots (\mu_l!)^{k_l} (|\alpha|!)}{\nu!} \leq 1$ , we have:

$$|h_{\nu}| \leq \rho c^{\nu} M_{\nu} \sum \frac{\alpha!}{k_1! \dots k_l!} (cM_1\rho)^{|\alpha|}.$$

By 3) of remark 2, if we put

$$q_{\nu} = \sum \frac{\alpha!}{k_1! \dots k_l!} (cM_1\rho)^{|\alpha|},$$

there are  $c_1 > 0$ , and  $\rho_1 > 0$ , such that,  $|q_{\nu}| \leq c_1^{\nu} \rho_1$ ; hence,  $|h_{\nu}| \leq \rho \rho_1 (cc_1)^{\nu} M_{\nu}$ , which proves the lemma.

**Proposition 4** *Let  $\varphi : (\mathcal{C}^n, 0) \rightarrow (\mathcal{C}^p, 0)$  be a holomorphic mapping and let  $f \in \mathcal{C}[[y_1, \dots, y_p]]_{M,p}$ ; then  $\psi := f(\varphi) \in \mathcal{C}[[z_1, \dots, z_n]]_{M,n}$ .*

Proof

By lemma 1, we have to prove that the restriction of  $\psi$  to the line  $t \rightarrow \xi t$  is in  $\mathcal{C}[[t]]_{M,1}$ , for each  $\xi \in S^{n-1}$ . Let  $\xi \in S^{n-1}$ , since  $\varphi|_{\xi} = (\varphi_1|_{\xi}, \dots, \varphi_n|_{\xi}) \in \mathcal{C}[[z]]_{M,1}$ ; by proposition 3, we have the result.

**Proposition 5** *Let  $f \in \mathcal{C}[[z]]_{M,n}$  and  $\alpha \in \mathcal{C}$ ; suppose that  $f = (z_i - \alpha)g(z)$ , where  $g \in \mathcal{C}[[z]]$ ; then  $g \in \mathcal{C}[[z]]_{M,n}$ .*

proof

Put  $f = \sum_{\omega \in \mathbb{N}^n} f_{\omega} z^{\omega}$  and  $g = \sum_{\mu \in \mathbb{N}^n} g_{\mu} z^{\mu}$ . Suppose  $\alpha = 0$ . For each  $\mu = (\mu_1, \dots, \mu_n) \in \mathbb{N}^n$  such that  $g_{\mu} \neq 0$ ; we have  $g_{\mu} = f_{\omega_{\mu}}$ , where  $\omega_{\mu} = (\mu_1, \dots, \mu_{i-1}, \mu_i + 1, \mu_{i+1}, \dots, \mu_n)$ ; hence  $|g_{\mu}| \leq C\rho^{|\mu|+1} M_{|\mu|+1}$ . By (\*) of paragraph 6, there exists a constant  $c_1 > 0$  such that  $M_{|\mu|+1} \leq c_1^{|\mu|+1} M_{|\mu|}$ ; hence the result.

Now if  $\alpha \neq 0$ , then the function  $\frac{1}{z_i - \alpha}$  is holomorphic in a neighborhood of the origin in  $\mathcal{C}$ , hence  $\frac{1}{z_i - \alpha} \in \mathcal{O}_1 \subset \mathcal{O}_n$ , and the result follows, since  $\mathcal{C}[[z]]_{M,n}$  is a ring containing  $\mathcal{O}_n$ .

**Proposition 6**  $\mathcal{C}[[t]]_{M,1}$  is a local algebra; its maximal ideal is

$$\underline{m}_{M,1} = \{f \in \mathcal{C}[[z]]_{M,1}; f(0) = 0\}.$$

Proof

Let  $\varphi \in \mathcal{C}[[t]]_{M,1}$  with a non-zero constant term  $\varphi_0$ . Considering  $\varphi_0^{-1}\varphi$  instead of  $\varphi$ , we can suppose  $\varphi_0 = 1$ . We put  $\varphi = 1 - \psi$ ;  $\psi \in \mathcal{C}[[t]]_{M,1}$  with constant term equal to zero. Let  $h(\tau) = \sum_{n=0}^{\infty} \tau^n \in \mathcal{C}\{\tau\}$  (the ring of convergent power series). Since  $\mathcal{C}\{\tau\} \subset \mathcal{C}[[t]]_{M,1}$ ; by proposition 2, we have  $h(\psi) \in \mathcal{C}[[t]]_{M,1}$ . We see that  $\varphi h(\psi) = 1$ , so  $\varphi$  has an inverse in  $\mathcal{C}[[t]]_{M,1}$ ; hence the proposition. The following corollary was announced in [2] paragraph 2. The authors use a result of E.M. Dynkin [4] for functions. But for a general class we have not a version of Borel extension theorem for the ring  $\mathcal{C}[[z]]_{M,n}$  (for example if the class is quasianalytic, that is the series  $\sum_n \frac{M_n}{m_{n+1}}$  is not convergent). We give here, in the following corollary, a direct proof of this fact.

**Corollaire 2**  $\mathcal{C}[[z]]_{M,n}$  is a local algebra; its maximal ideal is

$$\underline{m}_{M,n} = \{f \in \mathcal{C}[[z]]_{M,n}; f(0) = 0\}.$$

Proof

Let  $\varphi \in \mathcal{C}[[z]]_{M,n}$  with a non-zero constant term; then  $\varphi$  admits an inverse  $\psi \in \mathcal{C}[[z]]$ . We will show that  $\psi \in \mathcal{C}[[z]]_{M,n}$ . Let  $\xi \in S^{n-1}$ , then the restriction of  $\psi$  to the line  $t \rightarrow \xi t$ ,  $\psi|_{\xi}$ , is the inverse of  $\varphi|_{\xi} \in \mathcal{C}[[t]]_{M,1}$ ; by the last proposition,  $\psi|_{\xi} \in \mathcal{C}[[t]]_{M,1}$ , for all  $\xi \in S^{n-1}$ ; hence the corollary, by proposition 2.

By proposition 5, the maximal ideal,  $\underline{m}_{M,n}$ , is generated by  $(z_1, \dots, z_n)$ .

The proof of the following theorem is the same as the proof given in [5] for convergent power series instead of formal series of class  $M$ . For completeness we will outline the proof in the last section. We put  $y = (y_1, \dots, y_p)$ .

**Théorème 2** Let  $\varphi : (\mathcal{C}^p, 0) \rightarrow (\mathcal{C}^n, 0)$  be a holomorphic mapping with  $rk(\varphi) = n$ . For each  $f \in \mathcal{C}[[z]]$  such that  $f(\varphi) \in \mathcal{C}[[y]]_{M,p}$ , we have  $f \in \mathcal{C}[[z]]_{M,n}$ .

On the whole, we have proved that, if  $M$  is a class as in 4.1; the system  $(\mathcal{C}[[z]]_{M,n})_n$  satisfies the properties 1), 2), 3) and 4) of definition 1, hence  $(\mathcal{C}[[z]]_{M,n})_n$  is a w.f.w.s.

## 5 Formal Weierstrass system

**Definition 3** Let  $\mathcal{B} = (\mathcal{B}_n)_n$  be a w.f.w.s.; we said that  $\mathcal{B}$  is a formal Weierstrass system (f.w.s.), if the following conditions are satisfied:

- 1)  $\forall n \in \mathbb{N}$ ,  $\mathcal{B}_n$  is a local ring with maximal ideal denoted by  $\underline{m}_n$  generated by  $(z_1, \dots, z_n)$ .
- 2) Put  $y = (y_1, \dots, y_p)$  and let  $f_1(z, y), \dots, f_p(z, y) \in \mathcal{B}_{n+p}$  such that  $f_1(0, 0) = \dots = f_p(0, 0) = 0$  and the jacobien  $\frac{D(f_1, \dots, f_p)}{D(y_1, \dots, y_p)}(0, 0) \neq 0$ ; then there are  $\varphi_1, \dots, \varphi_p \in \mathcal{B}_n$ ,  $\varphi_1(0) = \dots = \varphi_p(0) = 0$  such that  $f(z_1, \varphi_1(z)) = \dots = f_p(z, \varphi_p(z)) = 0$ .



**Proposition 7** Let  $\mathcal{B} = (\mathcal{B}_n)_n$  be a formal Weierstrass system; then, for each  $n \in \mathbb{N}$ ,  $\mathcal{B}_n$  is a local regular ring of dimension  $n$ . Besides, the Weierstrass division theorem holds in the system  $\mathcal{B} = (\mathcal{B}_n)_n$ ,  $n \in \mathbb{N}$ .

We can deduct then the following:

**Corollaire 3** Let  $\mathcal{B} = (\mathcal{B}_n)_n$  be a formal Weierstrass system; then  $\frac{\mathcal{C}[[z_1, \dots, z_n]]}{\mathcal{B}_n}$  and  $\mathcal{C}[[z_1, \dots, z_n]]$  are flat modules over  $\mathcal{O}_n$ ,  $\forall n \in \mathbb{N}$ .

As in the analytic case, the Artin's theorem [1] is also true in this situation, more precisely: Let  $f_1, \dots, f_q \in \mathcal{B}_{n+p}$  and consider the system of implicit equations:

$$f_1(z, y) = \dots = f_q(z, y) = 0,$$

with  $f_1(0, 0) = \dots = f_q(0, 0) = 0$ .

Let  $\psi(z) = (\psi_1(z), \dots, \psi_p(z)) \in (\mathcal{C}[[z]])^p$ ,  $\psi(0) = 0$ , be a formal solution of this system. Then for each  $\nu \in \mathbb{N}$ , there exists a solution  $\psi_\nu \in (\mathcal{B}_n)^\nu$ ,  $\psi_\nu(0) = 0$ , such that  $\psi - \psi_\nu \in \underline{m}_n^\nu(\mathcal{C}[[z]])^p$ .

#### Proof of proposition 7

Let  $f \in \mathcal{B}_n$ , we suppose that  $f$  is regular of order  $p$  with respect  $z_n$ . By theorem 1 we can make division of  $f$  by the generic polynomial  $P(z_n, \lambda) = z_n^p + \sum_{j=1}^p \lambda_j z_n^{p-j}$ :

$$f = qP + \sum_{j=1}^p r_j(z', \lambda) z_n^{p-j},$$

where  $q \in \mathcal{B}_{n+p}$ ;  $r_j \in \mathcal{B}_{n-1+p}$ ,  $1 \leq j \leq p$ . Since  $f$  is regular of order  $p$  with respect  $z_n$ , we can easily see that:

$$q(0, 0) = 0; \quad r_j(0, 0) = 0, \quad 1 \leq j \leq p,$$

and

$$\frac{D(r_1, \dots, r_p)}{D(\lambda_1, \dots, \lambda_p)}(0, 0) \neq 0.$$

By condition 2) in definition 4, there are  $\psi_1(z'), \dots, \psi_p(z') \in \mathcal{B}_{n-1}$ ,  $\psi_j(0) = 0$ ,  $1 \leq j \leq p$ , such that:

$$f = q(z, \psi(z'))P(z_n, \psi(z')),$$

where  $\psi(z') = (\psi_1(z'), \dots, \psi_p(z'))$ . We see then that  $f$  is equivalent, in  $\mathcal{B}_n$ , to the distinguished polynomial :  $z_n^p + \sum_{j=1}^p \psi_j(z') z_n^{p-j} \in \mathcal{B}_{n-1}[z_n]$ .

Let  $h \in \mathcal{B}_n$ ; we can make division of  $h$  by the generic polynomial  $P(z_n, \lambda)$ , and hence by  $P(z_n, \psi(z'))$ , so by  $f$ , after the substitution  $\lambda \rightarrow \psi(z')$ :

$$h = fQ + \sum_{j=1}^p h_j(z') z_n^{p-j},$$

with  $Q \in \mathcal{B}_n$ ;  $h_j \in \mathcal{B}_n - 1$ ,  $1 \leq j \leq p$ , and this decomposition is unique.

Since the Weierstrass theorem is true in  $\mathcal{B}_n$ ,  $\forall n$ ; we deduce that  $\mathcal{B}_n$  is a noetherian ring for all  $n \in \mathbb{N}$ . We have the inclusions:

$$\mathcal{O}_n \subset \mathcal{B}_n \subset \mathcal{C}[[z_1, \dots, z_n]],$$

which implies  $\hat{\mathcal{B}}_n = \mathcal{C}[[z_1, \dots, z_n]]$ ;  $\hat{\mathcal{B}}_n$  is the completion of  $\mathcal{B}_n$  with respect to the topology defined by the maximal ideal  $\underline{m}_n$ . Since the completion of the local noetherian ring  $\mathcal{B}_n$  is the ring of formal series  $\mathcal{C}[[z_1, \dots, z_n]]$ , we deduce that  $\mathcal{B}_n$  is a regular ring of dimension  $n$ ; and the proposition is proved.

**Théorème 3** *Let  $M$  be a class as in 4.1, the weak formal Weierstrass system  $(\mathcal{C}[[z]]_{M,n})_n$  is a formal Weierstrass system.*

### Proof

By corollary 2, condition 1) of definition is satisfied. By remark 1, we can suppose  $M_1 = 1$ . Since  $\mu$  is convex, we have, for all  $n \in \mathbb{N}$ ,  $n\mu(n-1) \leq (n-1)\mu(n)$ . Applying this repeatedly, we get:

$$(p-1)\mu(q) \leq C(q-1)\mu(p-1), \quad \forall p \geq q \geq 2$$

where  $C$  is a constant.

Hence the class satisfies the following:

$$\left(\frac{M_q}{q!}\right)^{\frac{1}{q-1}} \leq C \left(\frac{M_p}{p!}\right)^{\frac{1}{p-1}}, \quad 2 \leq q \leq p.$$

By a result of [3], the implicit function theorem holds in the ring  $\mathcal{C}[[z]]_{M,n}$ ; hence the theorem.

## 6 Outline of the proof of theorem 2

In the following, all the considered morphisms between rings of formal series are induced by holomorphic functions. We keep the notations of theorem 2 and put  $y = (y_1, \dots, y_p)$ ,  $z = (z_1, \dots, z_n)$ ; let  $\varphi^* : \mathcal{C}[[z]] \rightarrow \mathcal{C}[[y]]$  be the homomorphism induced by  $\varphi$ . Recall that  $M_n = n!e^{\mu(n)}$  where  $\mu$  is as in 4.1. Let  $\tilde{M} = (\tilde{M}_n)_n$  where  $\tilde{M}_n = n^n e^{\mu(n)}$ . We can easily see that  $\mathcal{C}[[z]]_{\tilde{M},n} = \mathcal{C}[[z]]_{M,n}$ , so we suppose that  $M_n = n^n e^{\mu(n)}$ . We put, for  $t \geq 1$ ,  $m(t) = t \log t + \mu(t) - \mu(1)$  and  $m(t) = 0$  for  $0 \leq t \leq 1$ . We see that  $m$  is convex and there exists  $b > 0$  such that  $m'_d(t) \leq bt + 1$ ,  $\forall t \geq 0$ .

For all  $p \in \mathbb{N}$  and  $j \in \mathbb{N}$ , we have:

$$m(p+j) - m(j) \leq j(b(p+j) + 1).$$

We put  $A_j = e^{jb}$  and  $\rho_j = e^j$ ; then we have:

$$(*) \quad M_{p+j} \leq \rho_j A_j^{(p+j)} M_j.$$

**Definition 4** We say that  $\varphi$  is strongly  $M$ -injective, if for each  $f \in \mathcal{C}[[z]]$  such that  $\varphi(f) \in \mathcal{C}[[y]]_{M,p}$ ; then  $f \in \mathcal{C}[[z]]_{M,n}$ .

**Exemples 1** 1) Let  $\varphi : (\mathcal{C}^n, 0) \rightarrow (\mathcal{C}^n, 0)$  be a mapping such that  $\varphi(z_1, \dots, z_n) = (z_1, \dots, z_{i-1}, z_i z_j, z_{i+1} \dots$   
then  $\varphi$  is strongly  $M$ -injective.

Indeed, if  $f = \sum_{\omega} f_{\omega} z^{\omega} \in \mathcal{C}[[z]]$  such that  $\varphi^*(f) \in \mathcal{C}[[y]]_{M,p}$ ; then, for each  $\omega \in \mathbb{N}^n$ ,  
 $|f_{\omega}| \leq c \rho^{|\omega| + \omega_j} M_{|\omega| + \omega_j}$ . So the result by inequality (\*).

2) Suppose that  $\varphi(z_1, \dots, z_n) = (z_1, \dots, z_{i-1}, z_i^q, z_{i+1} \dots, z_n)$ ,  $q \in \mathbb{N}$ . We can easily see that  
 $\varphi$  is strongly  $M$ -injective.

3) Put  $w = (w_1, \dots, w_s)$ ; if  $\varphi^* : \mathcal{C}[[z]] \rightarrow \mathcal{C}[[y]]$  and  $\psi^* : \mathcal{C}[[y]] \rightarrow \mathcal{C}[[w]]$  are homomorphisms  
such that  $\varphi^*$  and  $\psi^*$  are strongly  $M$ -injective; then  $\psi^* \circ \varphi^*$  is strongly  $M$ -injective.

### Proof of the theorem 2

The proof uses an algorithm, introduced in [5], which consists of modifying  $\varphi^*$  by a finite number of steps. Each step preserves the rank and it is strongly  $M$ -injective.

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