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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS
34100 TRIESTE (ITALY) - P.O.B. 586 - MIRAMARE - STRADA COSTIERA 11 - TELEPHONES: 224281/234456
CABLE: CENTRATOM - TELEX 460392-I

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SPRING COLLEGE ON AMORPHOUS SOLIDS
AND THE LIQUID STATE

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PAIR POTENTIALS IN AMORPHOUS METALLIC ALLOYS:
ORDER, STABILITY AND DYNAMICS

Lecture I

J. HAFNER

Inst. für Theoretische Physik
Technische Universität Wien
Karlsplatz 13
A-1040 Wien
Austria

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PAIR POTENTIALS IN LIQUID AND AMORPHOUS ALLOYS

ORDER, STABILITY AND DYNAMICS

1. PAIR POTENTIALS IN METALS AND ALLOYS

- REDUCTION OF THE ELECTRON-ION HAMILTONIAN
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- SCREENING, ELECTRONIC MANY-BODY EFFECTS
- CHEMICAL EFFECTS IN THE PSEUDOPOTENTIAL FOR ALLOYS

2. PAIR-POTENTIALS AND PHASE-STABILITY IN CRYSTALLINE METALS AND ALLOYS

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 - BEYOND HARD SPHERES
 - SOFT REPULSIVE POTENTIALS - WCA
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 - EQUATION-OF-MOTION TECHN.
 - RECURSION TECHN.
- VIBRATIONAL DENSITY OF STATES
- INELASTIC STRUCTURE FACTOR
- ELECTRON-PHONON COUPLING

REDUCTION OF THE ELECTRON-ION HAMILTONIAN

$$H = H_{i-i} + H_{e-e} + H_{e-i}$$

adiabatic approximation

$$\tilde{H}_{i-i} = H_{i-i} + E_e(\{\vec{R}_e\})$$

↑
electronic ground state energy
for fixed ionic positions $\{\vec{R}_e\}$

$$H_e = H_{e-e} + H_{e-i}(\{\vec{R}_e\})$$

Expansion in powers of the electron-ion
interaction W

$$E_e = E_0 + E_1 + E_2 + E_3 + \dots$$

$$E_n = \Omega_n \sum_{\vec{q}_1 \dots \vec{q}_n \neq 0} \Gamma^{(n)}(\vec{q}_1, \dots, \vec{q}_n) \\ \cdot W(\vec{q}_1) \dots W(\vec{q}_n) \delta(\vec{q}_1 + \dots + \vec{q}_n)$$

$\Gamma^{(n)}$.. multi-pole-functions, depend only
on the electron-electron interaction

Factorization of the electron-ion HE

$$W(\vec{q}) = w(\vec{q}) N^{-1} \sum_{\vec{r}} \exp(i\vec{q} \cdot \vec{R}_{\vec{r}}) = w(\vec{q}) S(\vec{q})$$

form factor

structure factor

$$\lim_{\vec{q} \rightarrow 0} w(\vec{q}) = \frac{1}{N}$$

CALCULATION OF THE MULTI-POLE FUNCTIONS

$$\Gamma^{(0)}(0):$$



$$\Gamma^{(0)}(0) = -[2i/(2\pi)^4] \int d^4p G(p) = n_0$$

$$\Gamma^{(2)}(\vec{q}, -\vec{q}):$$



π

proper irreducible part
polarization operator

RPA: empty "polarization loop"

$\pi = \chi(q) \dots$ Lindhard function

$$\Gamma^{(2)}(\vec{q}, -\vec{q}) = -\frac{1}{2} \chi(q) / \epsilon(q)$$

$$\epsilon(q) = 1 + \frac{4\pi e^2}{q^2} \chi(q)$$

static dielectric function

Higher-order multipole functions:

General structure (Brownian + Kagan)
JETP 30, 883 (1970)

$$\Gamma^{(n)}(\vec{r}_1, \dots, \vec{r}_n) = \Lambda^{(n)}(\vec{r}_1, \dots, \vec{r}_n) / \epsilon(\vec{r}_1) \dots \epsilon(\vec{r}_n)$$

$n \geq 2$

EXPANSION OF THE GROUND-STATE ENERGY

$$E_0 = 2 E_{eg}$$

$$E_1 = 4\pi / \Omega_0$$

$$E_2 = -\frac{4}{2} \Omega_0 \sum_{\vec{q} \neq 0} \frac{\chi(\vec{q})}{\epsilon(\vec{q})} |\omega(\vec{q})|^2 |S(\vec{q})|^2$$

$$E_n = \Omega_0 \sum_{\substack{\vec{r}_1, \dots, \vec{r}_n \\ \neq 0}} \frac{\Lambda^{(n)}(\vec{r}_1, \dots, \vec{r}_n)}{\epsilon(\vec{r}_1) \dots \epsilon(\vec{r}_n)} \omega(\vec{r}_1) \dots \omega(\vec{r}_n) \cdot S(\vec{r}_1) \dots S(\vec{r}_n) \delta(\vec{r}_1 + \vec{r}_2 + \dots + \vec{r}_n)$$

EFFECTIVE IONIC HAMILTONIAN

$$\begin{aligned} \hat{H}_{\text{ion}} = & T_i + E_{\Omega} + \frac{1}{2! N} \sum_{i \neq j} V^{(2)}(|\vec{r}_i - \vec{r}_j|) \\ & + \frac{1}{3! N} \sum_{i \neq j \neq k} V^{(3)}(|\vec{r}_i - \vec{r}_j|, |\vec{r}_i - \vec{r}_k|, |\vec{r}_j - \vec{r}_k|) \\ & + \dots \end{aligned}$$

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2nd ORDER:

Energy-momentum characteristic

$$F(\vec{q}) = -\frac{1}{2} \Omega_0 \frac{\chi(\vec{q})}{\epsilon(\vec{q})} |\omega(\vec{q})|^2$$

$$E_2 = \sum_{\vec{q} \neq 0} |S(\vec{q})|^2 F(\vec{q})$$

$$= \frac{4}{N} \sum_{i < j} \underbrace{\left\{ \frac{2!}{N} \sum_{\vec{q}} e^{i\vec{q}(\vec{r}_i - \vec{r}_j)} F(\vec{q}) \right\}}_{V_{\text{ind}}^{(2)}(|\vec{r}_i - \vec{r}_j|)} + \underbrace{\frac{1}{N} \sum_{\vec{q}} F(\vec{q}) - F(0)}_{\text{contributes to the volume energy}}$$

indirect ion-electron-ion interaction
contributes to the volume energy

$$E = E_{\Omega} + E_p$$

$$E_{\Omega} = 2E_{eg} + 4\pi / \Omega_0 + \frac{4}{N} \sum_{\vec{q}} F(\vec{q}) + 4\pi \lim_{\vec{q} \rightarrow 0} \left(\frac{4\pi \vec{q}^2}{\Omega_0 \vec{q}^2} + F(\vec{q}) \right)$$

$$V_{\vec{r}}^{(2)}(R) = \frac{2^2 e^2}{R} + \frac{2!}{N} \sum_{\vec{q}} e^{i\vec{q}\vec{R}} F(\vec{q})$$

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3rd ORDER

$$F^{(3)}(\vec{r}_1, \vec{r}_2, \vec{r}_3) \equiv \Omega_0 \frac{\Lambda^{(3)}(\vec{r}_1, \vec{r}_2, \vec{r}_3)}{\epsilon(\vec{r}_1) \epsilon(\vec{r}_2) \epsilon(\vec{r}_3)} \omega(\vec{r}_1) \omega(\vec{r}_2) \omega(\vec{r}_3)$$

Three-body potential

$$V_3^{(3)}(|\vec{r}_i - \vec{r}_j|, |\vec{r}_j - \vec{r}_k|, |\vec{r}_i - \vec{r}_k|) \\ = \frac{3!}{N^2} \sum_{\vec{r}_1, \vec{r}_2} F^{(3)}(\vec{r}_1, \vec{r}_2, -\vec{r}_1 - \vec{r}_2) e^{i\vec{r}_1(\vec{r}_i - \vec{r}_j) + i\vec{r}_2(\vec{r}_j - \vec{r}_k)} \\ + \frac{(\vec{r}_i - \vec{r}_j)(\vec{r}_j - \vec{r}_k)}{|\vec{r}_i - \vec{r}_j| |\vec{r}_j - \vec{r}_k|}$$

Third-order contribution to the two-body potential

$$V_2^{(3)}(R) = \frac{3 \cdot 2!}{N^2} \sum_{\vec{r}_1, \vec{r}_2} F^{(3)}(\vec{r}_1, \vec{r}_2, -\vec{r}_1 - \vec{r}_2) e^{i\vec{r}_1 \vec{R}} \\ - \frac{3 \cdot 2!}{N} \sum_{\vec{r}_1} F^{(3)}(\vec{r}_1, -\vec{r}_1, 0) e^{i\vec{r}_1 \vec{R}}$$

Third-order contribution to the volume-energy

$$E_{3,3} = \frac{4}{N^2} \sum_{\vec{r}_1, \vec{r}_2} F^{(3)}(\vec{r}_1, \vec{r}_2, -\vec{r}_1 - \vec{r}_2) \\ - \frac{3}{N} \sum_{\vec{r}_1} F^{(3)}(\vec{r}_1, -\vec{r}_1, 0) - F^{(3)}(0, 0, 0)$$

n -body interaction $V^{(n)}$ is given by

$$V^{(n)}(\{\vec{r}_i\}) = \sum_{k \geq n} V_k^{(n)}(\{\vec{r}_i\})$$

DENSITY-DEPENDENCE OF THE INTERIONIC POTENTIALSTHE COMPRESSIBILITY PROBLEM

a) Static bulk modulus

$$b = \pi \frac{\partial^2 F}{\partial \Omega^2}$$

$$\frac{\partial}{\partial \Omega} = \frac{\partial}{\partial \Omega_0} \bigg|_{\vec{r}_i, n} + \frac{\vec{r}_i}{\Omega} \frac{\partial}{\partial \vec{r}_i} \bigg|_{\vec{r}_i, n} - \frac{n_0}{\Omega} \frac{\partial}{\partial n_0} \bigg|_{\vec{r}_i, n}$$

b) Dynamical bulk modulus

via calculation of the speed of sound at constant volume

WARD IDENTITIES

$$\epsilon(0) \Gamma^{(n+1)}(\vec{r}_1, \dots, \vec{r}_n, 0) = - \frac{1}{n+1} \frac{d \Gamma^{(n)}(\vec{r}_1, \dots, \vec{r}_n)}{d\mu}$$

$$\frac{\Lambda^{(n+1)}(\vec{r}_1, \dots, \vec{r}_n, 0)}{\epsilon(\vec{r}_1) \dots \epsilon(\vec{r}_n)} = - \frac{1}{n+1} \frac{d \Gamma^{(n)}(\vec{r}_1, \dots, \vec{r}_n)}{d\mu}$$

$$n=1 \quad \chi(0) = \frac{dn_0}{d\mu}$$

$$n=2 \quad \frac{\Lambda^{(2)}(\vec{r}_1, -\vec{r}_1, 0)}{\epsilon(\vec{r})^2} = \frac{1}{6} \frac{d}{d\mu} \left(\frac{\chi(\vec{r})}{\epsilon(\vec{r})} \right) = \frac{\chi(0)}{6} \frac{d}{dn_0} \left(\frac{\chi(\vec{r})}{\epsilon(\vec{r})} \right)$$

$$n=3 \quad \frac{\Lambda^{(3)}(\vec{r}_1, -\vec{r}_1, 0)}{\epsilon(\vec{r})^2} = - \frac{1}{24} \frac{d^2}{d\mu^2} \left(\frac{\chi(\vec{r})}{\epsilon(\vec{r})} \right) = - \frac{\chi(0)^2}{24} \frac{d^2}{dn_0^2} \left(\frac{\chi(\vec{r})}{\epsilon(\vec{r})} \right)$$

STATIC BULK MODULUS

$$B = B_0 + B_1 + B_2 + B_{3,4}$$

$$B_0 = \frac{n_0^2}{\chi(0)} \quad \text{Johm-Kover}$$

$$B_1 = \frac{24\pi}{5n_0}$$

$$B_2 = \frac{4}{\Omega_0} \sum_{\vec{q} \neq 0} |S(\vec{q})|^2 \left[V(\vec{q}) + \frac{5}{9} q_x \frac{\partial V(\vec{q})}{\partial q_x} + \frac{4}{18} q_x q_y \frac{\partial^2 V(\vec{q})}{\partial q_x \partial q_y} \right]$$

$$V(q) \equiv FT[V_\lambda^{(1)}(R)] = \frac{4\pi q^2 e^2}{\Omega_0 q^2} + 2F(q)$$

$$B_{3,4} = - \sum_{\vec{q} \neq 0} |S(\vec{q})|^2 \left[\frac{1}{2} n_0^2 |\omega(q)|^2 \frac{\partial^2}{\partial n_0^2} \left(\frac{\chi(q)}{e(q)} \right) + 2 n_0 |\omega(q)|^2 \frac{\partial}{\partial n_0} \left(\frac{\chi(q)}{e(q)} \right) + \frac{4}{3} n_0 q_x \frac{\partial}{\partial q_x} \left[|\omega(q)|^2 \frac{\partial}{\partial n_0} \left(\frac{\chi(q)}{e(q)} \right) \right] \right]$$

$B_{3,4}$ -- contribution from $V_{3,1}^{(2)}, V_4^{(2)}$

Note

$$\lim_{q \rightarrow 0} \frac{\omega(q)}{e(q)} = - \frac{n_0}{\chi(0)} = - \frac{2}{3} E_F$$

$$\lim_{q \rightarrow 0} \frac{\partial}{\partial q} \frac{\omega(q)}{e(q)} = 0$$

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ASYMPTOTIC FORM OF THE INTERIONIC POTENTIALS

Asymptotic behaviour of FT determined by singularities of integrand.

$$\text{RPA: } \chi(q) = \frac{3}{2} \frac{n_0}{E_F} \left\{ \frac{1}{2} + \frac{1}{4} \frac{1-q^2}{2} \ln \left| \frac{1+q}{1-q} \right| \right\}$$

$$q = 2/2k_F$$

RPA + Exchange and correlation

Polarizability χ

$$\chi(q) = \frac{\chi_0(q)}{1 - (4\pi e^2/q^2) G(q) \chi_0(q)}$$

Effective electron-electron-interaction

$$v_{eff}(q) = (1 - G(q)) \frac{4\pi e^2}{q^2}$$

$$\epsilon(q) \Rightarrow \tilde{\epsilon}(q) = 1 + \frac{4\pi e^2}{q^2} (1 - G(q)) \chi_0(q)$$

Singularity at $q = 2k_F$

$$V_\lambda^{(2)}(R) \sim \frac{\cos(2k_F R)}{k_F^3 R^3}$$

FRIEDEL-OSCILLATIONS

MULTI-ION INTERACTIONS

$$V_n^{(u)}(\vec{r}_1, \dots, \vec{r}_n) \sim \sum \frac{\cos(k_F(R_{12} + R_{23} + \dots + R_{n1}))}{R_{12} R_{23} \dots R_{n1} (R_{12} + \dots + R_{n1})}$$

Sum over all $(n-1)!$ independent rings
which can be formed by rearranging
the sequence of the n ion positions

$$V^{(u)}(\vec{r}_1, \dots, \vec{r}_n) = \sum_{k \geq n} V_k^{(u)}(\{\vec{r}_i\})$$

no phase shift in the asymptotic behaviour
(Harrison,
Lloyd + Oglesby)

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