#### PIXE

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# **PIXE TALKS (I)**

Morning Lectures will cover:-

#### **PIXE Overview**

- Ion atom interactions
- Vacancy production
- ECPSSR K, L shell ionisation theory
- Ion transport

# **PIXE TALKS (II)**

#### **PIXE Systems**

- **PIXE end station designs**
- Current measurement
- X-ray detection
- **PIXE spectra, escape peaks**
- **PIXE electronics, pileup, sum peaks**
- Filters and spectrum shaping
- Spectrum analysis, line shapes, backgrounds
- Peak areas to concentrations
- **PIXE system calibrations**
- X-ray detectors, efficiency

# **PIXE TALKS (III)**

#### **PIXE Analysis Methods**

- DOPIXE Code,
- Peak areas,
- Elemental concentrations,
- Calibrations,
- For thin and thick samples.

## **PIXE**

## **Particle Induced X-ray Emission -**

**PART I** 

#### **An Overview - Ion-Atom Interactions with Matter**

**Question - What is an ion?** 

# What is an ion ?

### **Atom**



proton -	H+
alpha -	He <sup>2+</sup>
gases -	Ne <sup>+</sup>
metal -	Ti <sup>2+</sup>

Ion Energy

 $\mathbf{E} = \mathbf{q}^* \mathbf{V}$ 

for example for 12 MV acceleration voltage,

H<sup>+</sup> ion gives 12 MeV

Cl<sup>10+</sup> ion gives 120 MeV

**Ion Currents** 

I = Q/t Charge Q = qe

N/t = I/(qe) is number of ions/ sec

1 pA = 6x10<sup>6</sup> ions/sec (q=1)

 $100 \ \mu A = 6 \times 10^{14} \text{ ions/sec (q=1)}$ 

# **Ion Acceleration & Transport**



#### Power

 $\mathbf{P} = \mathbf{I}^* \mathbf{V}$ 

For example, 1 µA of protons from a 3 MV accelerator will produce 3W of power in the target over the beam area.

Ion Velocity v = 1.384x10<sup>9</sup> \*sqrt(E/M) cm/s (v/c) = 0.046\*sqrt(E/M) where E in MeV and M in amu 1 MeV proton 4.6% of c 120 MeV <sup>36</sup>Cl ion 8.4% of c

## What is an atom ?

**Atom** 



Shell radius  $a_n = 0.53(n^2/Z) \sim 0.5$  Å Nuclear radius R =  $R_0 A^{1/3} \sim 10^{-5}$  Å

# **Electron Velocity**

For atomic electrons,

(v/c) = Z/(137n)

where n is the principal quantum number.

For H K shell (v/c) = 0.7% For Pb K shell (v/c) = 60%

So ion velocities from accelerators comparable with loosely bound electron velocities.

velocity matching !!

# **Atomic Sizes**

Ζ	K Shell	Atomic
	Radius Å	Radius Å
Н	0.53	0.53
He	0.27	0.27
CI	0.031	M 0.28
Pb	0.006	P 0.23

For H and He on Pb their K shells are outside the Pb atomic radius so the charge state is not important.

There are two distinct regions, inside/ outside the atom

Distance of closest approach d is small,  $2d = 2Z_1Z_2/\{M_1M_2v^2/(M_1+M_2)\}$ e.g. for 1 MeV protons on Au d = 0.002 Å

# **Time Scales**

Atom	Energy	(v/c)	Lifetime
	(keV)		(secs)
<b>Si K</b> α	1.74	0.10	<b>2.0x10</b> <sup>-13</sup>
Si Lα	0.12	0.036	1.6x10 <sup>-10</sup>
Pb Kα	75	0.60	1.1x10 <sup>-17</sup>
<mark>Pb L</mark> α	10.6	0.28	<b>1.6x10</b> <sup>-16</sup>

(i) Collision times < Lifetimes of states.

(ii) Max ionisation cross sections for velocity matching of removed electron and bombarding ion.

lon	Energy	(v/c)	Fly past	Fly past
	(MeV)		Si (L) secs	Pb(L) secs
H⁺	1.0	0.046	3x10 <sup>-18</sup>	4x10 <sup>-19</sup>
	3.0	0.080	2x10 <sup>-18</sup>	2x10 <sup>-19</sup>
He⁺	1.0	0.023	7x10 <sup>-18</sup>	8x10 <sup>-19</sup>
	3.0	0.040	4x10 <sup>-18</sup>	5x10 <sup>-19</sup>

# What is a cross section ?

It is an interaction area

Area  $cm^2$  10<sup>-24</sup>  $cm^2$  = 1 barn



For the H atom, Area =  $\pi r^2 = \pi (0.5 \times 10^{-8})^2 \text{ cm}^2$ = 7.9 x 10<sup>-17</sup> cm<sup>2</sup>

= 79 Mb

For the nucleus,

- Area =  $\pi r^2 = \pi (10^{-13})^2 \text{ cm}^2$ 
  - = 3.1 x 10<sup>-26</sup> cm<sup>2</sup>

= 31 mb

#### 9 orders of magnitude !

## **Ion-Atom Cross Sections**



PIXE ideal for low Z elements RBS ideal for heavy elements in a light matrix

# How Far Does an Ion Travel ?

- Charged particles interact with matter through the electron cloud and the nucleus.
- The electron cloud acts as a drag force on the ion slowing it down and reducing its energy - this is called electronic stopping.
- Eventually the ion energy becomes low enough for the ion to have a reasonable chance of interacting directly with the target nucleus this is called nuclear stopping.
- The range of an ion is the integral of the stopping power over all energy losses.

## Proton in carbon,

Energy MeV	S <sub>total</sub> keV/µm	S <sub>electronic</sub> keV/µm	S <sub>nuclear</sub> keV/µm
1	52.7	52.7	0.034
3	24.2	24.2	0.013

#### For alphas in carbon,

Energy MeV	S <sub>total</sub> keV/µm	S <sub>electronic</sub> keV/µm	S <sub>nuclear</sub> keV/µm
1	427	427	0.47
3	248	248	0.18

Electronic stopping power is proportional to  $Z^2$  for the same velocity ion.

## The corresponding ranges are:

## For protons in carbon,

Energy	R <sub>proj</sub>	Strag <sub>long</sub>	Strag <sub>lat</sub>
MeV	μm	µm	µm
1	12.3	0.53	0.44
3	73.5	2.8	2.2

## For alphas in carbon,

Energy MeV	R <sub>proj</sub> μm	Strag <sub>long</sub> µm	Strag <sub>lat</sub> µm
1	2.63	0.12	0.14
3	9.00	0.30	0.23



#### Ion Stopping in Si



Typical ranges for PIXE are therefore from 1 to 100  $\mu$ m only, depending on the ion and its energy.

Now we know:-

- (i) What an ion is
- (ii) What an atom is
- (iii) Interaction times scales
- (iv) How far ions travel in matter

#### **Ion Beam Interactions**



Sample

#### **Relative Yields for PIXE, PIGE, RBS**



#### **Direct Ionisation – what is it?**



#### X-ray Transitions



Allowed  $E_1$  transitions  $\Delta I = \pm 1, \Delta j = 0, \pm 1$ 

Number of electrons per sub-shell = 2(2|+1)

Observable X-ray transitions for WDS system, K  $\sim$  8, L  $\sim$  25, M  $\sim$  40.

#### **CuK Shell X-ray Spectrum**

**PbL Shell X-ray Spectrum** 



2.6 MeV protons.

#### **Coster Kronig Transitions**



PbL  $f_{12} = 0.120$  for  $L_1$  to  $L_2$  $f_{23} = 0.116$  for  $L_2$  to  $L_3$  $f_{13} = 0.580$  for  $L_1$  to  $L_3$   lon Z<sub>1</sub> moving past atom creates an electron hole

- Wavefunctions overlap
- holes move
- Lifetimes of states >> hole flipping time
- f<sub>ij</sub> is the transition probability of hole jumping from subshell i to j in the same shell.

#### **Ionisation Theories**

(i) 1970's Binary Encounter Approximation (BEA).
Based on binary collision between two moving particles.
Energy/ momentum transferred to the electron.
Generally poor for low ion velocity near binding energy.

(ii) Semi-classical approximation (SCA), 1958-1970's
Coulomb interaction - electron excited to bound or continuum states.
Ion has hyperbolic path.
Cross section based on impact parameter giving close/ distant collisions.

**Provides a good classical picture.** 

Good when  $Z_1 << Z_2$  and  $(v_1/v_2) << 1$ 

#### **Ionisation Theories (cont.)**

- (iii) 1958 Merzbacher/ Lewis Plane Wave Born Approximation (PWBA). Interaction is plane wave in/ out  $(v_1/v_2) >> 1$ ,
  - 1<sup>st</sup> order theory.
  - Works for  $(v_1/v_2) \sim 1$

PWBA picked up by Brandt & Lapicki through 1970's and 1980's.

**Developed ECPSSR** corrections to PWBA so it works for  $(v_1/v_2) < 1$ .

- E Energy loss ∆E << E
- **C** Coulomb correction, hyperbolic path not a straight line.
- **PSS Perturbed stationary states**
- **R** Relativistic inner electrons

#### The PWBA cross section is given by,

$$\sigma_{s}^{PWBA} = \sigma_{0s}\theta_{s}^{-1}F_{s}(\eta_{s}/\theta_{s}^{2},\theta_{s}),$$

where,

 $\sigma_{0s} = 8\pi a_0^2 (Z_1^2 / Z_{2s}^4)$  and,

 $\mathbf{F}_{s}(\eta_{s}/\theta_{s}^{2},\theta_{s}) = (\theta_{s}/\eta_{s})\mathbf{f}_{s}(\eta_{s},\theta_{s}),$ 

Where  $f_s(\eta_s, \theta_s)$  is a double integral over the energy and momentum transferred to the ejected s electron.  $\theta_s$  and  $\eta_s$ are the dimensionless electron binding energy and reduced ion energies respectively.

Tabulations for protons and helium ions from 100 keV to 10 MeV can be found in *D. Cohen and M. Harrigan, Atomic Data and Nuclear Data Tables 33 (1985) 255-343* 

## **Energy Loss Effect (E)**

- For slow moving ions  $\Delta E$  is not <<  $E_{in}$
- Need to change the limits in the form factor integrals of energy and momentum transfer from 0 to  $\infty$  to  $\Delta E$  to  $E_{in}$
- Brandt and Lapicki account for this with a multiplicative correction factor f(z<sub>s</sub>).
- f(z<sub>s</sub>) is a function of the distance of closest approach and the minimum momentum transferred during the collision.

• f(z<sub>s</sub>) < 1

This term is important for slow heavy ion collisions.

#### **Energy Loss Corrections**

L<sub>3</sub> SUBSHELL



#### **Coulomb Correction (C)**

- Ion slows down as it approaches the target.
- Deviates from a straight line hyperbolic.
- This reduces the ionisation cross section, especially for slow ions onto high Z targets.
- C is an exponential multiplicative factor, can reduce the PWBA cross sections by 10<sup>-4</sup>.

#### **Coulomb Deflection Corrections**

L<sub>3</sub> SUBSHELL



#### **Perturbed Stationary States (PSS)**

Presence of charged ion either inside or outside the atomic shells changes the binding energy  $(I_s)$  of shell s.

 $I_s$  (outside) <  $I_s$  <  $I_s$  (inside)

In the limit of very slow heavy ion collisions have a united atom with charge  $(Z_1+Z_2)$ .

Most situations lie between these two extremes, so have to integrate over all impact parameters of the incoming ion.

This implies a binding transform from,

 $\theta_{s} \rightarrow \theta_{s} \xi_{s}$ 

for each sub-shell s, where  $\xi_s$  is a function of (Z<sub>1</sub>, Z<sub>2</sub>, v<sub>1</sub>, v<sub>2</sub>) or order unity.

### **Binding Corrections**



## **Relativistic Corrections (R)**

Velocity of target inner shell electron s is,

v<sub>2s</sub> = (Z<sub>2s</sub>/137n)c

For PbK electrons  $v_{2K}$  =0.6c so need relativistic masses in equations. That is mass is a function of velocity.

**Could also use relativistic wave functions.** 

Relativistic corrections tend to increase the ionisation cross sections.

Obviously effects for K > L > M etc.

Note that relativistic and binding corrections have the opposite effect on the ionisation cross sections.

This accounts for some early success of the PWBA theory.

#### **Relativistic Correction**



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This accounts for some early success of the PWBA theory.

#### **ECPSSR K Shell Expt/ Theory**







FIG. 9. Averaged [within the 0.1 intervals of  $\log(v_1/v_{2K})$ ] ratios of experimental cross sections to the ECPSSR predictions for light (open circles), medium (half-open circles), and heavy (closed circles) target elements bombarded by <sup>4</sup>He ions. The solid curve is based on the averaged ratios for the  $10 < Z_2 < 92$  targets; ratios for the  $4 < Z_2 < 9$  elements identified by the atomic numbers of these targets. The mean value of the solid curve is 1.00.

J. Phys. Chem. Ref. Data, Vol. 18, No. 1, 1989

#### **Protons, He ions on L shell**



## **X-ray Production**

**Previously we discussed vacancy production, known as Direct Ionisation (DI)** 

We do not measure  $\sigma$ (DI) directly.

The X-ray production cross section,  $\sigma(X)$ , is related to  $\sigma(DI)$  through the fluorescence yield  $\omega$ , where  $0 \le \omega \le 1$ .

#### For the K shell:

 $\sigma(X) = \omega_K \sigma(DI)$ 

There is a complementary radiationless process also operating at the same time. This is known as the Auger electron process.

σ(Auger) = a<sub>κ</sub> σ(DI)

and

## K Shell Fluorescence Yields $\omega_{\rm K}$



#### For the L Shell:

As with the K Shell you can not measure  $\sigma$ (DI) directly.

Also the L shell has 3 subshells  $L_1$ ,  $L_2$ , and  $L_3$ , and Coster Kronig transitions must be considered.

In a similar way to the K shell, we can define an average total L shell fluorescence yield,  $\varpi_L$ ,

 $\sigma_{T}(X) = \varpi_{L} \sigma_{T}(DI)$ 

with  $0 \leq \varpi_L \leq 1$ .

#### L Shell Fluorescence Yields ω<sub>L</sub>



Similar patterns hold for the M, N, ... shells and their sub-shells.

## For the L shell

For shells with sub-shell structure the sub-shell X-ray production cross sections are much more complicated as Coster Kronig transitions play a roll.

## if,

 $v_1 = \omega_1 + f_{12}\omega_2 + (f_{13} + f_{12}f_{13})\omega_3$ 

 $v_2 = \omega_2 + f_{23}\omega_3$ 

 $v_3 = \omega_3$ 

#### Then,

- $\sigma_{1}^{\mathbf{x}} = \left[\sigma_{1}^{1}(\mathbf{f}_{12}\mathbf{f}_{23}+\mathbf{f}_{13})+\sigma_{2}^{1}\mathbf{f}_{23}+\sigma_{3}^{1}\right]\omega_{3}S_{13}$
- $\sigma_{\alpha}^{\mathbf{x}} = \left[\sigma_{1}^{1}(\mathbf{f}_{12}\mathbf{f}_{23}+\mathbf{f}_{13})+\sigma_{2}^{1}\mathbf{f}_{23}+\sigma_{3}^{1}\right]\omega_{3}S_{\alpha 3}$
- $\sigma_{\eta}^{\mathbf{x}} = (\sigma_1^{\mathbf{1}} \mathbf{f}_{12} + \sigma_2^{\mathbf{1}}) \omega_2 \mathbf{S}_{\eta^2}$
- $\sigma_{\beta}^{\mathbf{x}} = \sigma_{1}^{1} [\omega_{1} s_{\beta 1} + \omega_{2} f_{12} s_{\beta 2} + \omega_{3} (f_{13} + f_{12} f_{23}) s_{\beta 3}] + \sigma_{2}^{1} (\omega_{2} s_{\beta 2} + \omega_{3} f_{23} s_{\beta 3}) + \sigma_{3}^{1} \omega_{3} s_{\beta 3}$
- $\sigma_{\gamma}^{\mathbf{x}} = \sigma_{1}^{1} (\omega_{1} \mathbf{S}_{\gamma 1} + \omega_{2} \mathbf{f}_{12} \mathbf{S}_{\gamma 2}) + \sigma_{2}^{1} \omega_{2} \mathbf{S}_{\gamma 2}$
- $\sigma_{\gamma 1}^{\mathbf{x}} = \sigma_{1}^{1} \omega_{2} \mathbf{f}_{12} \mathbf{S}_{\gamma 1, 2} + \sigma_{2}^{1} \omega_{2} \mathbf{S}_{\gamma 1, 2}$
- $\sigma_{\gamma 5}^{\mathbf{X}} = \sigma_1^1 \omega_2 \mathbf{f}_{12} \mathbf{S}_{\gamma 5, 2} + \sigma_2^1 \omega_2 \mathbf{S}_{\gamma 5, 2}$

$$\sigma_{\gamma 23}^{\mathbf{x}} = \sigma_1^1 \omega_1 S_{\gamma 23, 1}$$

$$\sigma_{\gamma 44}^{x}, = \sigma_{1}^{1}\omega_{1}S_{\gamma 44}, 1$$

 $\sigma_{\text{TOT}} = \bar{\omega}_1 \sigma_{\text{TOT}}^1 = \nu_1 \sigma_1^1 + \nu_2 \sigma_2^1 + \nu_3 \sigma_3^1$ 

#### **Direct Ionisation Cross Sections**



# ECPSSR K Shell for various ions

# ECPSSR K, L and M ionisation cross sections

**Transporting/ Bending Charged Particles** 

**Range of MeV ions in materials is short – 10's of microns** 

**Need evacuated tubes, pressures < 1mPa** 

**Bent by E, B fields** 

F = Q (E + vxB)

E fields ~ 10'skV/ cm for MeV ions

B fields ~ 5 kg for light MeV ions (H, He)

 $\sim$  15 kg for heavy MeV ions (CI, I)

**Require high voltages and large magnets** 

#### Ion Rigidity



Locii of values of M/Q versus E/Q determined by different analysers. Ions with equal E/Q ratios will be transmitted identically by an electrostatic analyzer, those with equal ME/Q<sup>2</sup> by a magnetic analyzer and those with equal (E/Q).(M/Q) by a velocity (or Wien) filter. Combinations of analyzers can be used to dramatically reduce background events. In order for an ion to pass all analyzers, it must have values of E, M and Q which are determined by the intersection point of all analyzer locii. For magnets ions with the same (ME/Q<sup>2</sup>) experience the same force.

For protons, M=1, E=2MeV, Q=1 and  $(ME/Q^2) = 2$ For He<sup>2+</sup> M=4, E=2 MeV, Q=2 and  $(ME/Q^2) = 2$  also.

#### **How to Accelerate lons**

If an ion of charge Q falls through a voltage V, then energy is given by,

E(MeV) = V(MV) Q

Need high Q large V to obtain large energies Can strip the ion – gas or foil Charge states Q = +1 to +10 are common depends on Z = -1 (-2 unlikely)

#### **Typical Charge States in MeV Carbon Ions**



Charge state fraction of 3+ and 4+ ions of  ${}^{14}C$  from stripping in O<sub>2</sub> gas (dotted lines), Ar gas (dashed lines) and carbon foil (full lines) [Bonani, 1990].

#### **10MV Tandem accelerator at ANSTO**



#### **ANTARES 10MV Accelerator at ANSTO**



## High Energy Beam Hall at ANSTO Tandem



## Summary

We have discussed:-

- What an ion is.
- What an atom is.
- How an ion interacts with an atom.
- Vacancy production leading to X-ray production.
- Ionisation theory, ECPSSR
- **Coster Kronig transitions**
- **Charged particle transport**

Next we will look at X-ray Systems specifically.