

***SUMMER SCHOOL ON PARTICLE PHYSICS***

**16 June - 4 July 2003**

**NEUTRINO PHYSICS**

**Lecture III**

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# 3+ Neutrino Oscillations

- 3  $\nu$  picture  $\left\{ \begin{array}{l} \cdot \text{what's known} \\ \cdot \text{what we would like to know} \end{array} \right.$
- beyond 3  
LSND, mini BOONE

Atmospheric / K2K:  $\nu_\mu \leftrightarrow \nu_\tau$

$$|\Delta m_{\text{atm}}^2| = 1.6 - 3.9 \times 10^{-3} \text{ eV}^2$$

$$0.35 < \sin^2 \theta_{\text{atm}} < 0.65$$

$$(\sin^2 2\theta_{\text{atm}} > 0.91)$$

Solar / KamLAND:  $\nu_e \leftrightarrow \nu_\mu, \nu_\tau$

$$\Delta m_{\odot}^2 = +7 \text{ or } 15 \times 10^{-5} \text{ eV}^2$$

(S-20)

$$0.25 < \sin^2 \theta_{12} < 0.40$$

$$(\sin^2 2\theta_{12} \approx 0.85)$$

↑ and  $\leq 1$

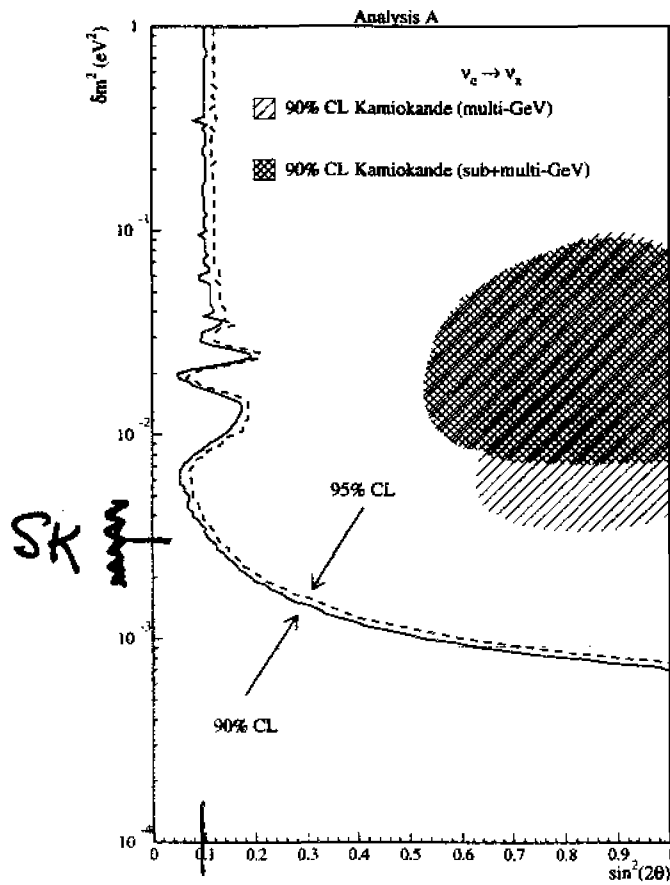
What about  $\nu_e$  for

$$\Delta m_{\text{atm}}^2 \sim 3 \times 10^{-3} \text{ eV}^2$$

• ? ? ? ?

SK and Chooz

## Chooz: $\nu_e$ Disappearance

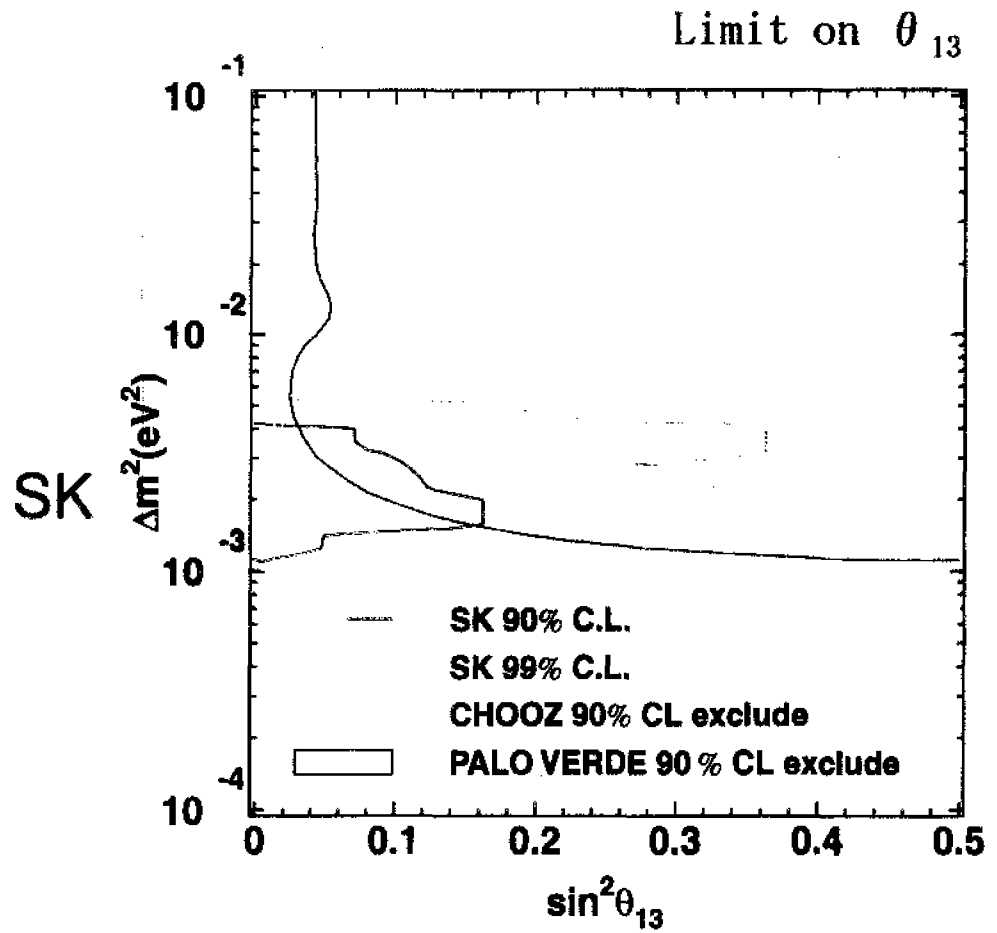
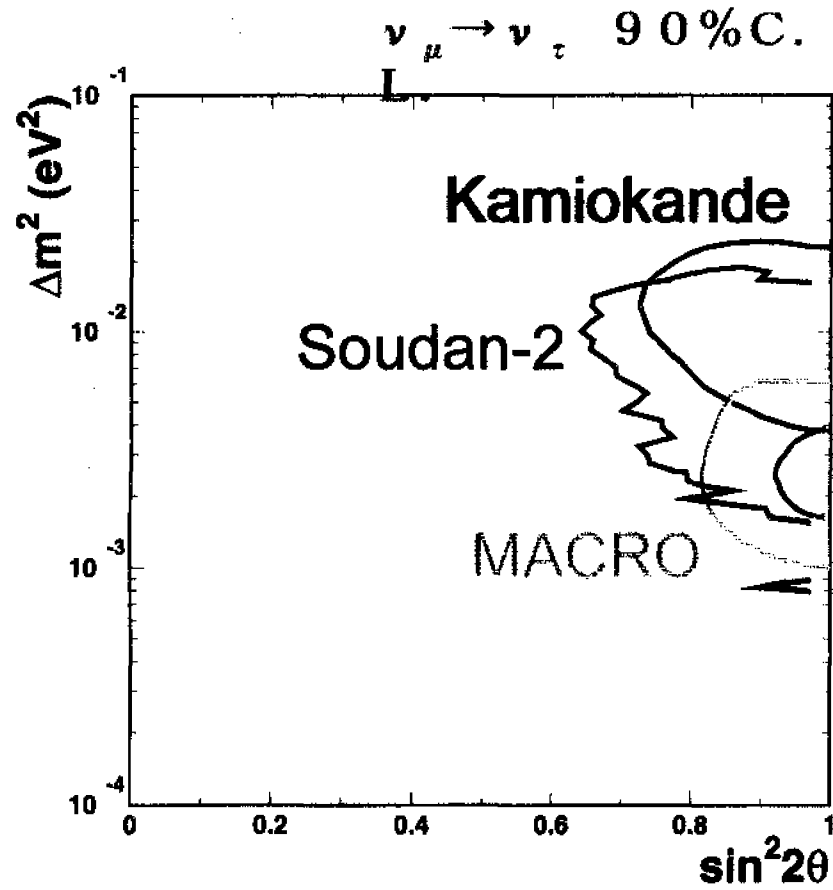


- at  $|\delta m_{atm}^2| = 3 \times 10^{-3} eV^2$

$$\sin^2 2\theta_{13} < 0.1$$

- for all  $|\delta m_{atm}^2|$   $\sin^2 2\theta_{13} < 0.05$

# Oscillation parameters



# The Three Neutrino Picture

$\delta M_{atm}^2$

$\delta M_{sol}^2$

$$\frac{\lt \frac{1}{30} \quad \sim \frac{1}{2} \quad \sim \frac{1}{2}}{\hspace{10em}} \quad 3$$

-  $\nu_e$

-  $\nu_\mu$

-  $\nu_\tau$

$$\frac{\sim \frac{1}{3} \quad \sim \frac{1}{3} \quad \sim \frac{1}{3}}{\hspace{10em}} \quad 2$$

$$\frac{\hspace{10em}}{\sim \frac{2}{3} \quad \sim \frac{1}{6} \quad \sim \frac{1}{6}} \quad 1$$

using

$$\sin^2 \theta_{atm} = \frac{1}{2}$$

$$\& \sin^2 \theta_{sol} = \frac{1}{3}$$

$$\sin^2 \theta_{chooz} < \frac{1}{30}$$

### 3 active flavors

(but can be easily modified to accommodate 3+1)

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle$$

The parameterization used for the unitary MNS matrix,  $U$ , is

$$= \begin{pmatrix} 1 & & \\ c_{23} & s_{23} & \\ -s_{23} & c_{23} & \end{pmatrix} \begin{pmatrix} c_{13} & s_{13} e^{-i\delta} \\ -s_{13} e^{i\delta} & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \end{pmatrix}$$

$$= \begin{pmatrix} c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\ -c_{23}s_{12} - s_{13}s_{23}c_{12}e^{i\delta} & c_{23}c_{12} - s_{13}s_{23}s_{12}e^{i\delta} & c_{13}s_{23} \\ s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta} & -s_{23}c_{12} - s_{13}c_{23}s_{12}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

$e^{i\alpha_1}$                        $e^{i\alpha_2}$

where  $c_{jk} \equiv \cos \theta_{jk}$  and  $s_{jk} \equiv \sin \theta_{jk}$ .

check unitarity

The primary element of interest here is

$$|U_{e3}|^2 \quad \text{or} \quad \sin^2 2\theta_{13}$$

and  $\delta$ .



$$\nu_3 = S_{13} e^{i\delta} \nu_e + C_{13} S_{23} \nu_\mu + C_{13} C_{23} \nu_\tau$$

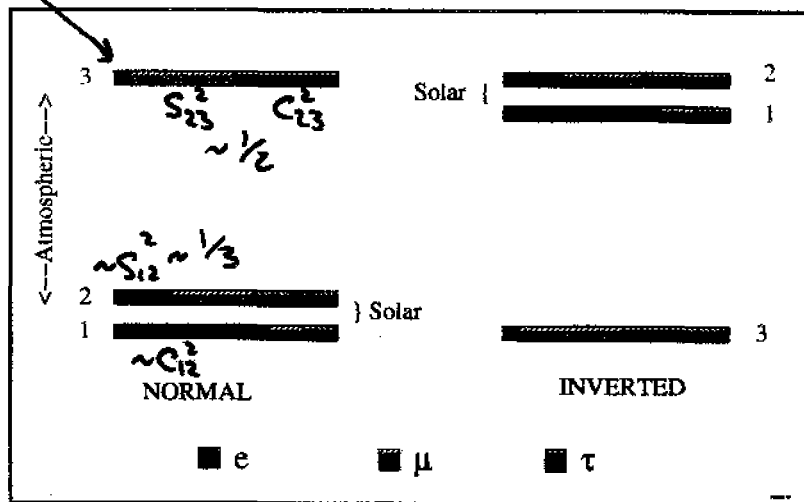
$$\nu_2 = C_{13} S_{12} \nu_e + (\dots) \nu_\mu + (\dots) \nu_\tau$$

$$\nu_1 = C_{13} C_{12} \nu_e + (\dots) \nu_\mu + (\dots) \nu_\tau$$

Mass Order:

$$|S_{13}|^2 \lesssim 0.03$$

$3 \times 10^{-3} eV^2$   
 $10^{-4} eV^2$



Order on 1 and 2 known:  
from matter effect for solar  $\nu$ 's.

# Oscillation Summary:

Atmospheric / K2K  $\nu_\mu \leftrightarrow \nu_\tau$

$$|\delta m_{\text{atm}}|^2 = 1.6 - 3.9 \times 10^{-3} \text{ eV}^2$$

$$0.35 < \sin^2 \theta_{23} < 0.65$$

$$(\sin^2 2\theta_{23} > 0.91)$$

Solar / KamLAND  $\nu_e \leftrightarrow \nu_\mu, \nu_\tau$

$$\delta m_0^2 = +7 \times 10^{-5} \text{ eV}^2$$

(5-20)

$$0.25 < \sin^2 \theta_{12} < 0.40$$

$$(\sin^2 2\theta_{12} \approx 0.85)$$

Reactor - Chooz

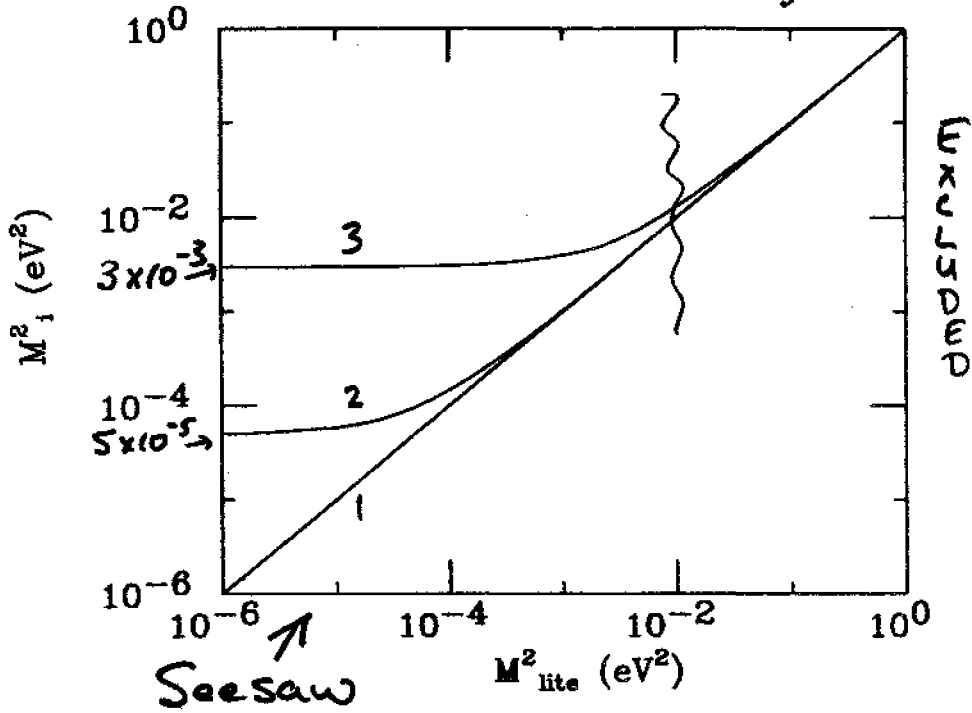
$$\sin^2 \theta_{13} \leq 0.03$$

$$\theta_{13} < \frac{\pi}{20} = 9^\circ$$

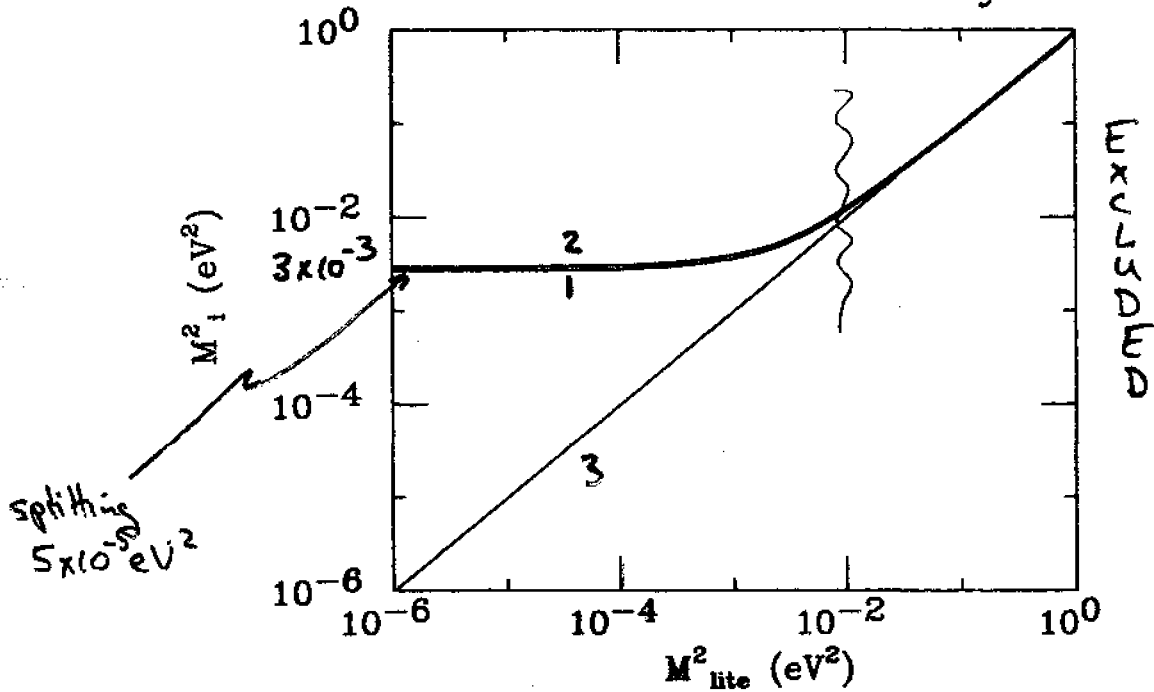
## WHAT WE DON'T KNOW:

- Majorana OR Dirac
- Absolute mass of lightest neutrino.  
(except  $< \sim 1\text{eV}$ )
- Size of  $\theta_{13}$ : ( $\nu_e$  in the "3" state.)  
 $\sin^2 \theta_{13} < 0.03$
- Is  $\theta_{23} =$  or  $\neq \frac{\pi}{4}$  the  $\mu \leftrightarrow \tau$  symmetric point.  
(maximal mixing)  
 $0.35 < \sin^2 \theta_{23} < 0.65$
- Sign of  $\Delta m_{\text{atm}}^2$  ( $\equiv$  or  $\equiv\equiv$ )  
type of spectrum
- phase  $\delta \Leftarrow$  if  $\neq 0$  leads to CP violation
- Number of light Neutrinos: 3 or are there more than 3

### Normal Hierarchy



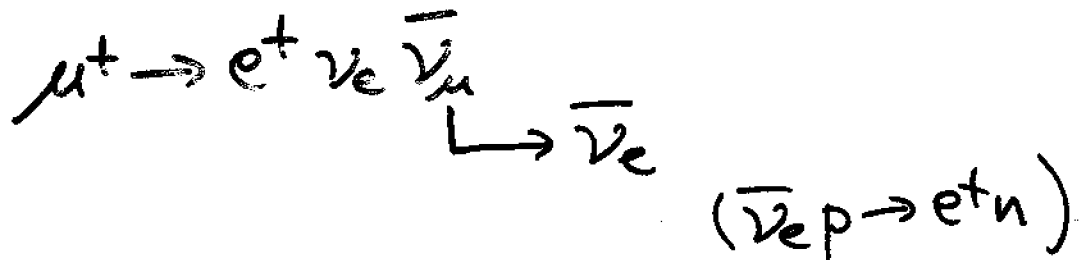
### Inverted Hierarchy



Beacom + Bell

# LSND "Diamond in the rough" ???

## Decay at Rest:

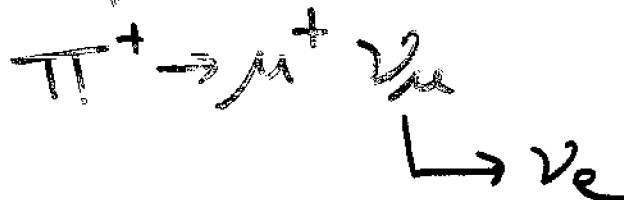


$$87.9 \pm 2.4 \pm 6.0 \text{ events}$$

note:  
anti-neutrinos

$$P_{\mu \rightarrow e} = 0.264 \pm 0.067 \pm 0.045 \%$$

## Decay in Flight:



different kinematics than  $\nu_e$  from DAR

$$8.1 \pm 12.2 \pm 1.7$$

$$0.10 \pm 0.16 \pm 0.04 \text{ events}$$

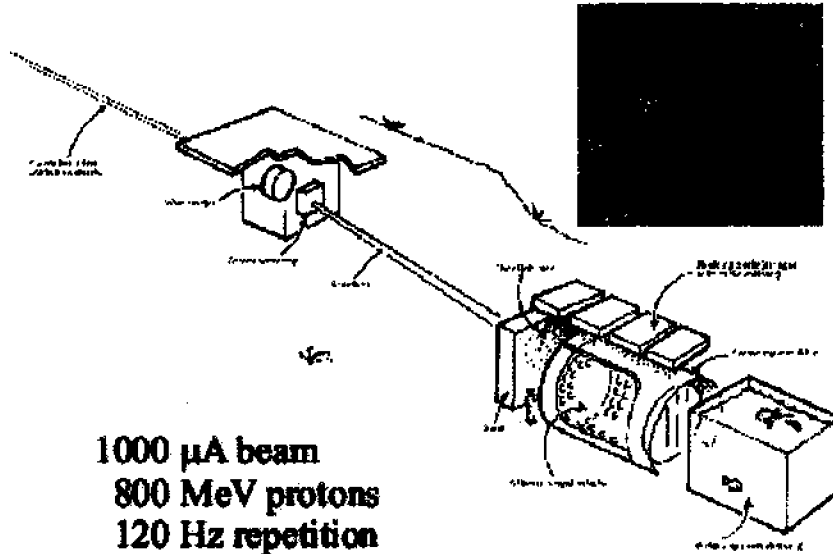
$$P_{\mu \rightarrow e} = 0.10 \pm 0.16 \pm 0.04 \%$$

BUT

$$\nu_\mu \rightarrow \nu_e$$

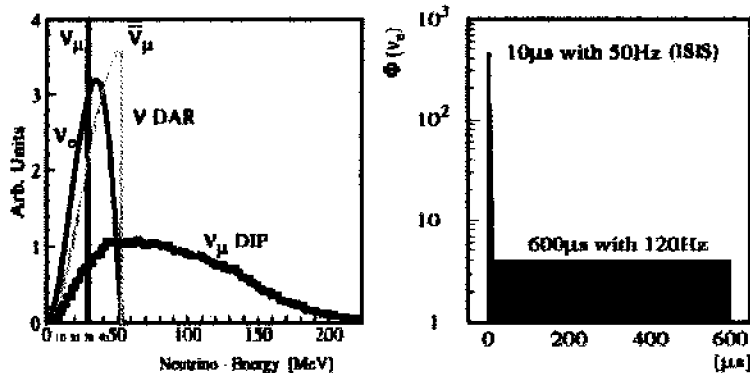
$$P_{\nu_\mu \rightarrow \nu_e} = (0.264 \pm 0.067 \pm 0.045)_{30} \%$$

### LSND at LANSCE



1000  $\mu$ A beam  
800 MeV protons  
120 Hz repetition  
600  $\mu$ s pulse length

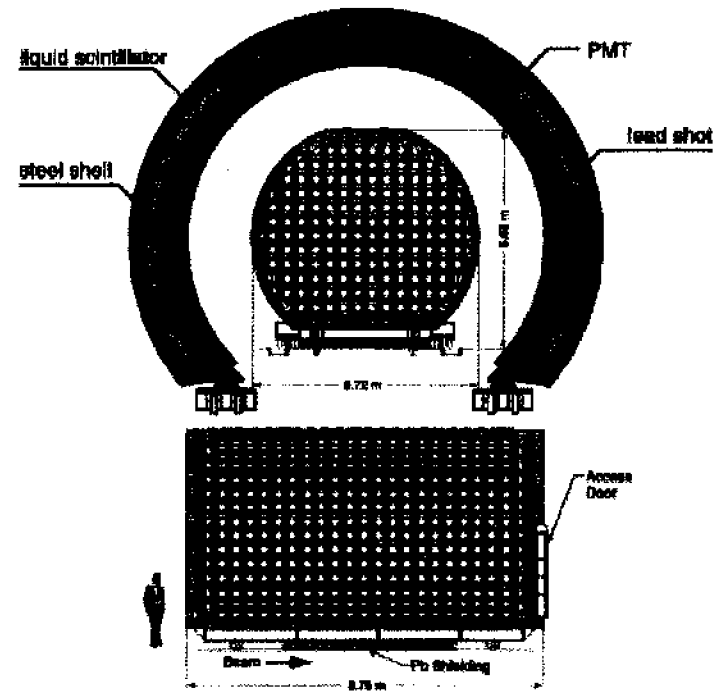
### $\pi$ and $\mu$ decay at rest and in flight



Jackie Wolf

### LSND Detector

- 167 t hybrid oil Cherenkov detector
- sees Cherenkov and scintillation light
- Central detector: 1220 8"-PMTs
- Veto detector: 292 5"-PMTs
- Shielding: 8m iron equivalent



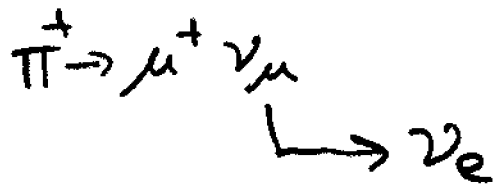
Jackie Wolf

# MiniBooNE

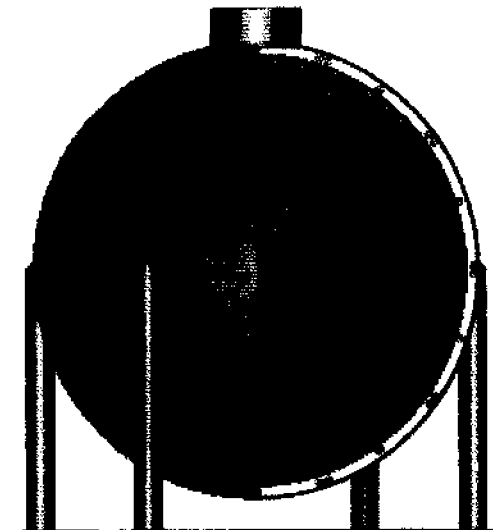
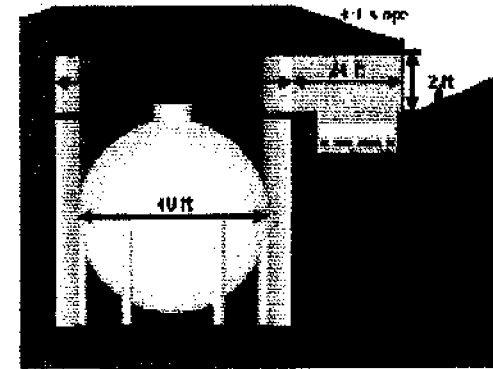
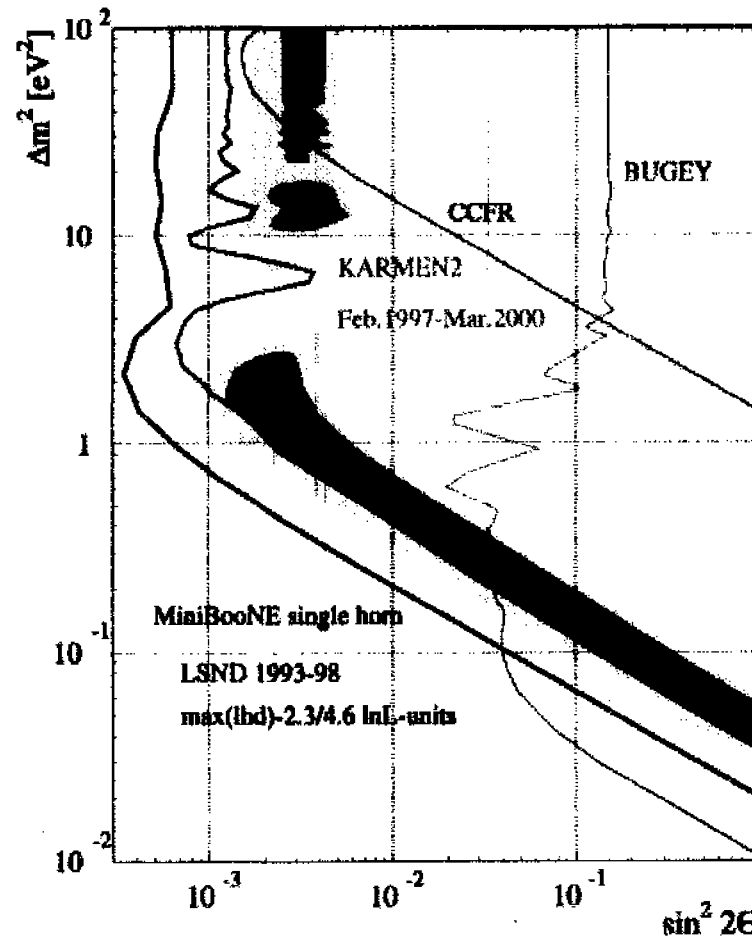
- Proton from  
8 GeV Booster  
 $5 \times 10^{20}$  POT/yr

- Detector at  
500m from  
Target & Horn

Current mode:



- definitive test of the LSND signal as oscillations.
- ~1000 events/year if LSND is true
- Start: 2002 (detector ready by the end of 2001)



## The FNAL Booster

8 GeV proton accelerator built to supply beam to the Main Ring, it now supplies the Main Injector

Booster must now run at record intensity



MiniBooNE will run simultaneously with the other programs:

e.g. Run II + BooNE;  $5 \times 10^{12}$  protons per pulse at a rate of 7.5 Hz; (5 Hz for BooNE)

$5 \times 10^{20}$  p.o.t in one year

Challenges are radiation issues, losses



$$\Delta M_{\text{LSND}}^2 \sim 1 \text{ eV}^2$$

$$\Delta M_{\text{ATM}}^2 \sim \text{few} \times 10^{-3} \text{ eV}^2$$

$$\Delta M_{\text{SOLAR}}^2 \sim < 10^{-5} \text{ eV}^2$$

If LSND is to be explained by neutrino oscillations we need more neutrinos (sterile)

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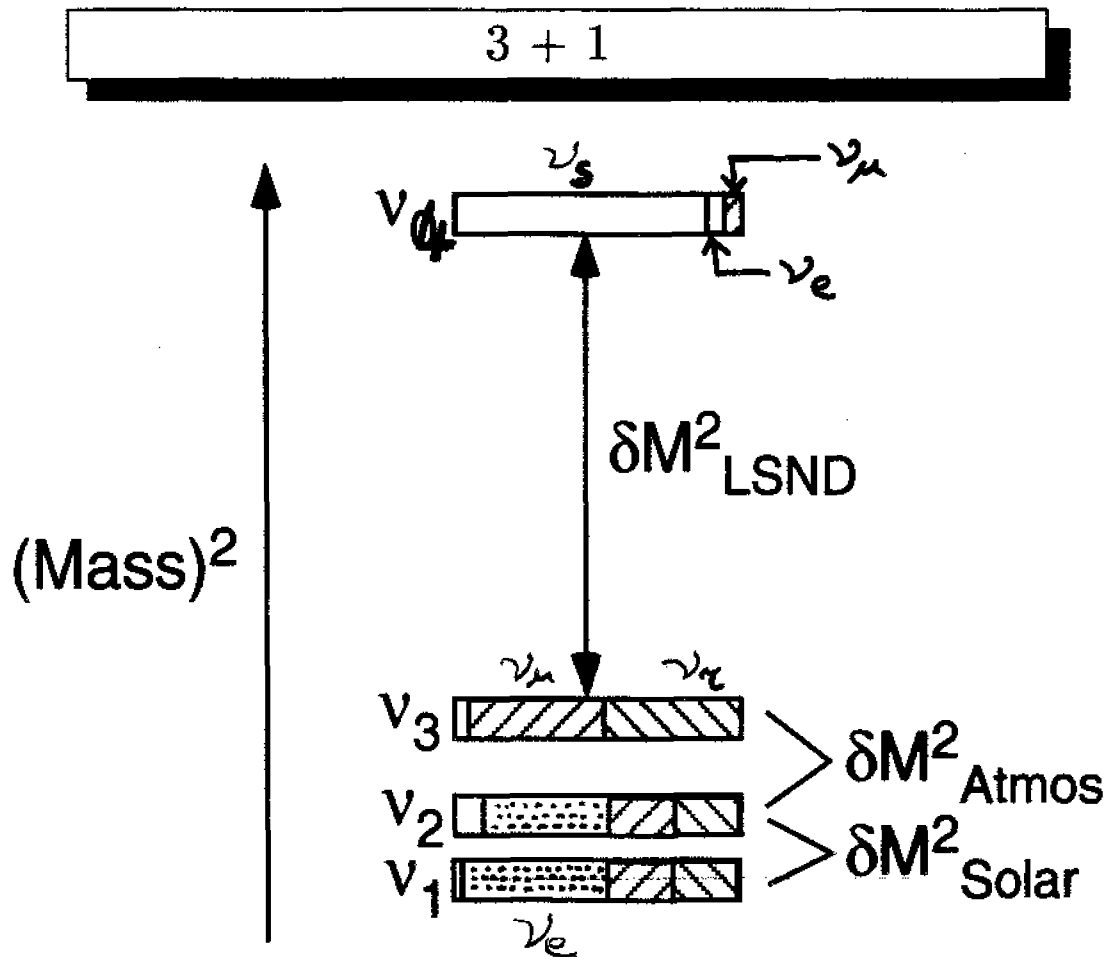
or

==

==

3+1

2+2



- LSND is small effect ( $\mathcal{O}(\text{few} \times 10^{-3})$ ) at large  $\delta M^2 \sim 1 \text{eV}^2$

- suggesting a small  $\nu_s$  component in  $\nu_1$ ,  $\nu_2$  and  $\nu_3$

- For LSND Energies and Distances:  
we have an effective 2 neutrino scenario

$$P(\nu_\mu \rightarrow \nu_e) = 4 \underbrace{|U_{\mu 4}|^2 |U_{e 4}|^2}_{\sin^2 2\theta_{\text{LSND}}} \sin^2\left(\frac{\delta M^2 L}{4E}\right)$$

BUT

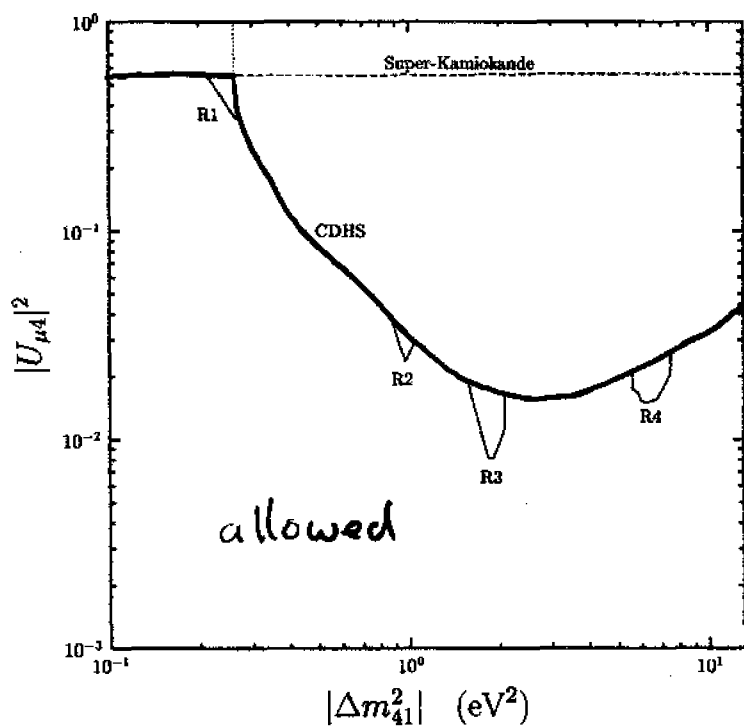
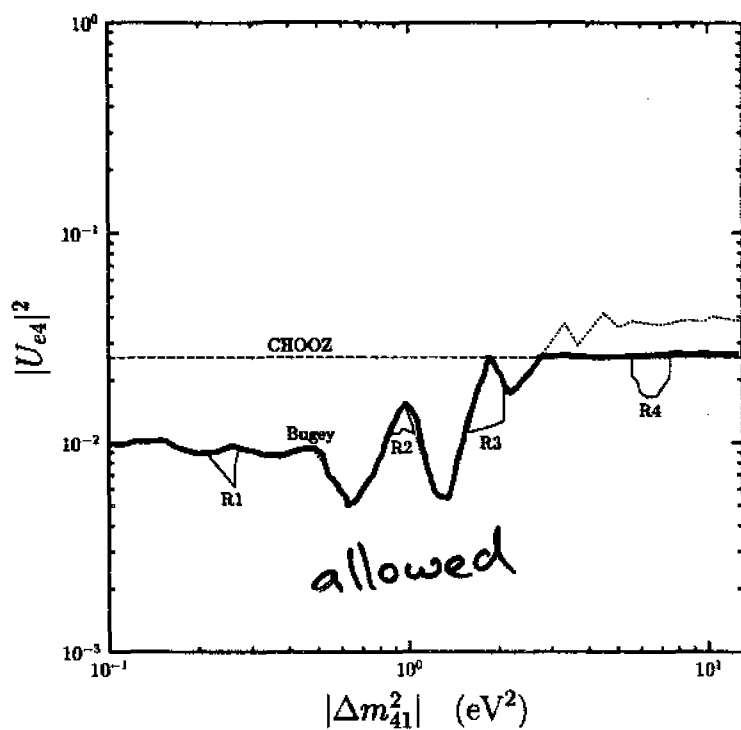
- $\nu_e$  disappearance: Bugey and Chooz

$$P(\nu_e \rightarrow \nu_e) = 1 - 4|U_{e 4}|^2(1 - |U_{e 4}|^2) \sin^2\left(\frac{\delta M^2 L}{4E}\right)$$

- $\nu_\mu$  disappearance: CDHS and SuperK

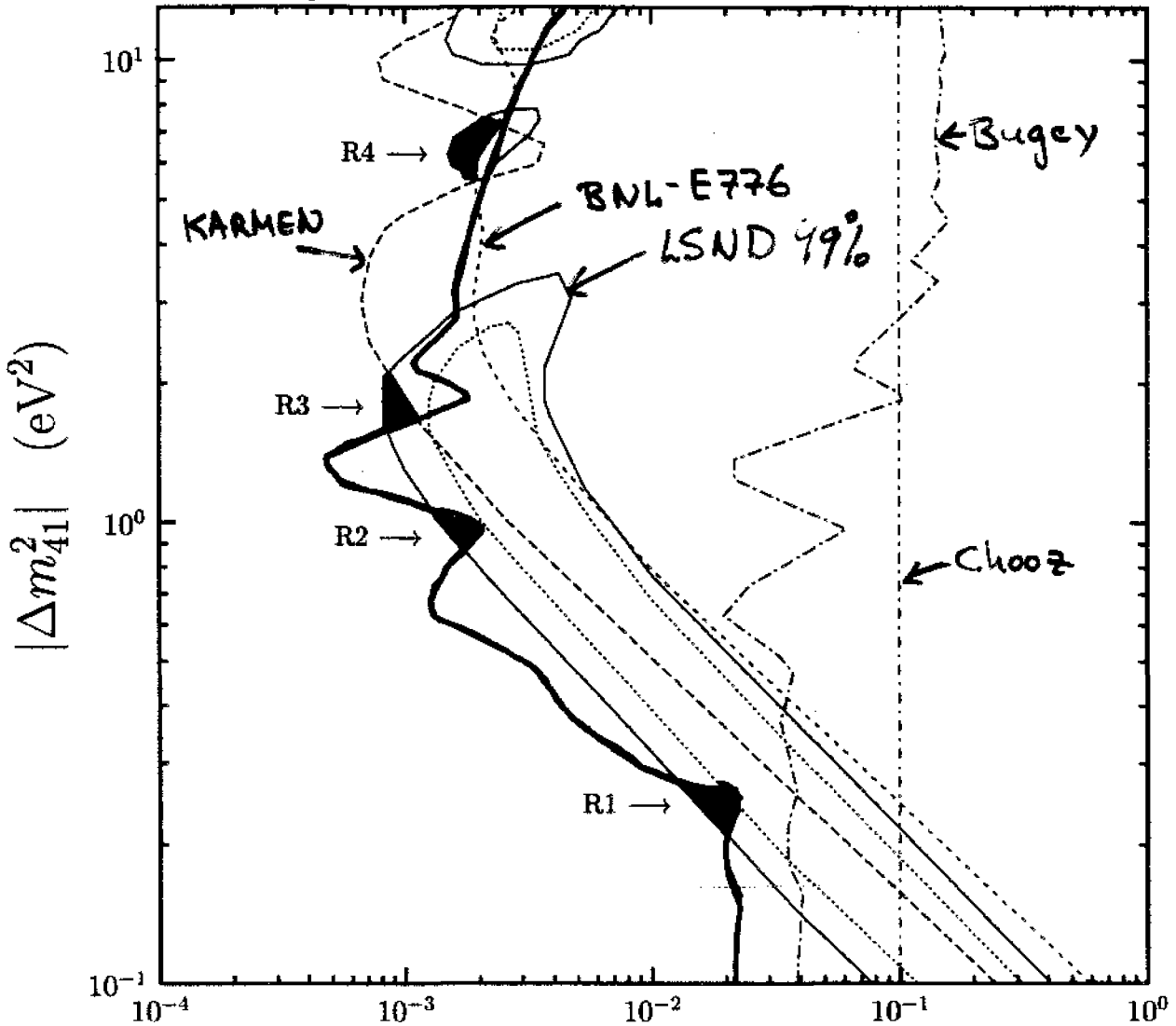
$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2) \sin^2\left(\frac{\delta M^2 L}{4E}\right)$$

Trianti  
hep-ph/0012236  
+ ...



Giunti

all 90% c.l. except LSND 99%



$$A_{\mu e} \sim \sin^2 2\theta_{\text{LSND}}$$

- 3+1 Scenario is NOT completely excluded

but marginal!

If LSND is correct then in this 3+1 scenario,

- $\nu_e$  disappearance

AND

- $\nu_\mu$  disappearance

effects are just beyond current limits for  $\delta M^2 \sim 1\text{eV}^2$ .

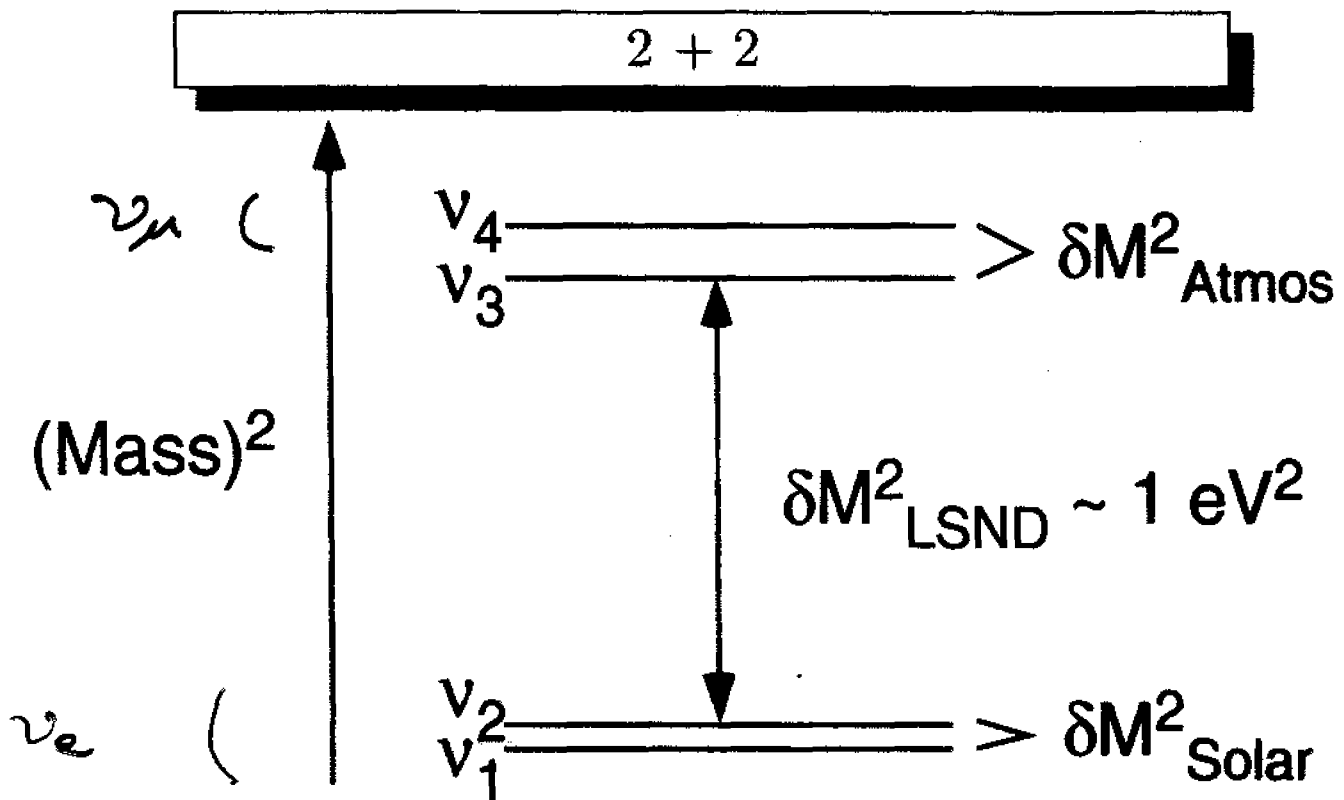
- In this scenario, mini-BOONE, as well as confirming the LSND signal, should be able to see  $\nu_\mu$  disappearance. **SOON - fall 2003!**

- <sup>maybe</sup> also K2K near detector

DOE: 3 + 1 + 2 + 2

Stephen Parke, Fermilab

BUT what about 3+2?  $\Delta M_{new}^2 \sim 20\text{eV}^2$   
Sorel, Conrad, Shaeivitz hep-ph 0305255



- the Solar Pair ( $\nu_1, \nu_2$ ) involves  $\nu_e$
- the Atmospheric Pair ( $\nu_3, \nu_4$ ) involves  $\nu_\mu$

BUT

- the LSND + ... results implies there is only small mixing between the Atmospheric Pair and the Solar Pair

$\nu_s$  must be involved in the Atmospheric and/or Solar Oscillation.

DOE: 3 + 1  $\nu$  2 + 2

Stephen Parke, Fermilab

\*\*\* Almost ruled out \*\*\*

- thus the Solar Pair is a mixture of

$$\nu_e \text{ and } (\cos \alpha \nu_s + \sin \alpha \nu_\tau)$$

- and the Atmospheric Pair is a mixture of

$$\nu_\mu \text{ and } (-\sin \alpha \nu_s + \cos \alpha \nu_\tau)$$

THUS, EITHER

- the Solar Pair has a significant sterile neutrino,  $\nu_s$ , component

OR

- the Atmospheric Pair has a significant sterile neutrino,  $\nu_s$ , component

OR BOTH



Peres + Smirnov  
Kayser

Define:

$$\gamma_s^{\odot} = \frac{P(\nu_e \rightarrow \nu_s)}{P(\nu_e \rightarrow \nu_s) + P(\nu_e \rightarrow \nu_c)} = \cos^2 \alpha$$

$$\gamma_s^{\otimes} = \frac{P(\nu_\mu \rightarrow \nu_s)}{P(\nu_\mu \rightarrow \nu_s) + P(\nu_\mu \rightarrow \nu_c)} = \sin^2 \alpha$$

$$\text{Then } \gamma_s^{\odot} + \gamma_s^{\otimes} = \cos^2 \alpha + \sin^2 \alpha = 1$$

i.e. Sterile neutrino cannot hide.

Atmospheric (SK)

$$\gamma_s^{\otimes} < 20\%$$

Solar (SNO + models)

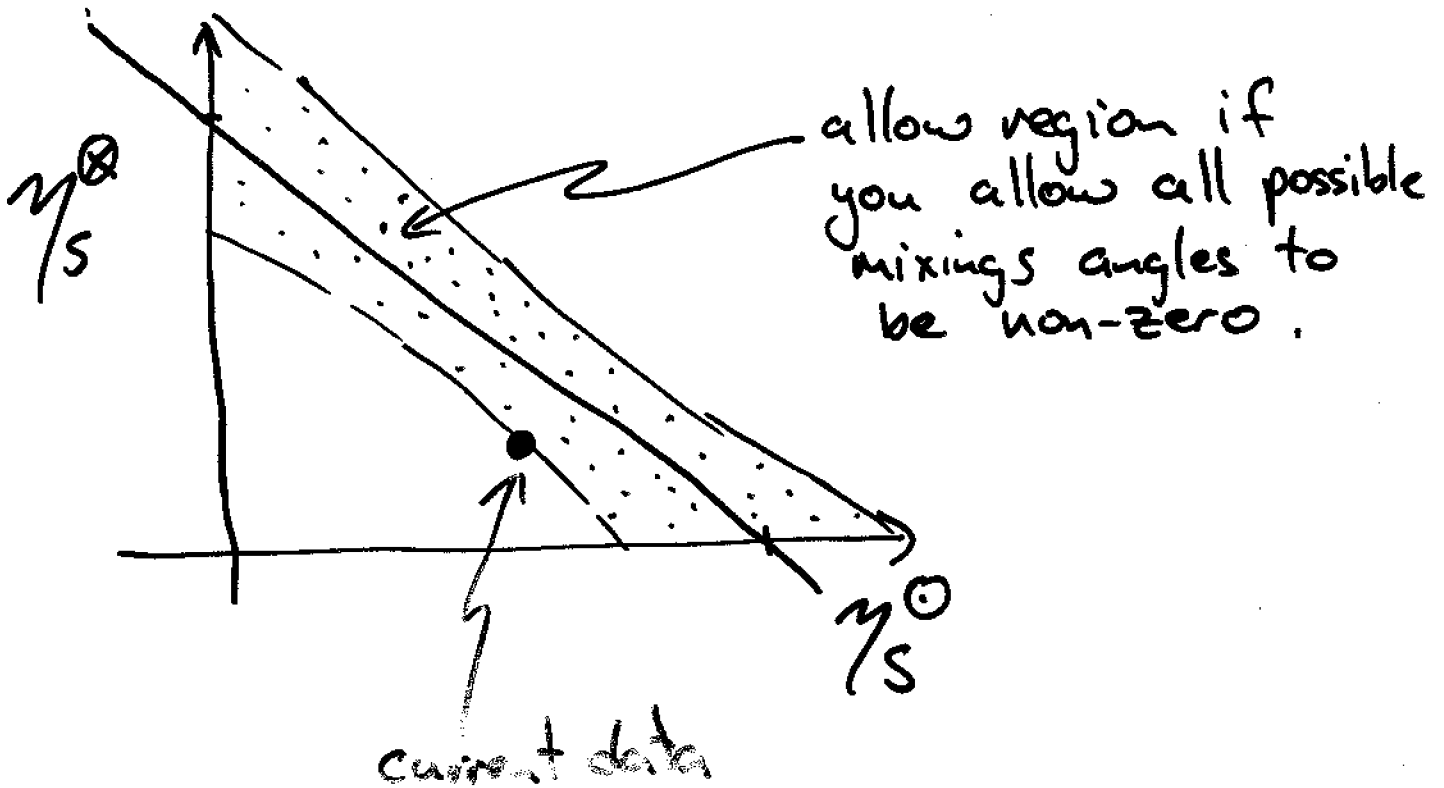
$$\gamma_s^{\odot} < 50\%$$

(could be improved if  
P/A asym.  
observed.)

2+2 is being squeezed!

BUT ???

Pas, Song + Weiler



- The size of the allowed region is surprisingly **BIG !!**  
— still under discussion —

2+2 marginally allowed

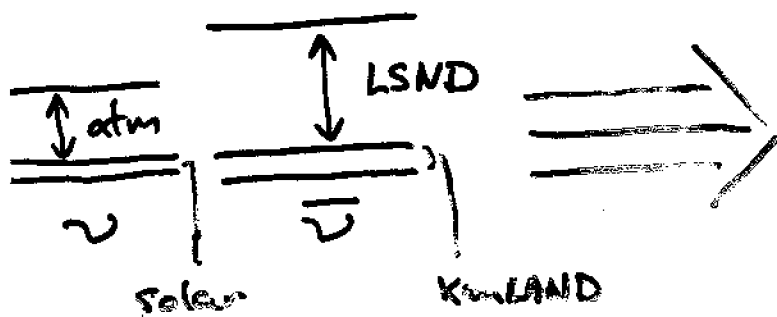
§ If mini-BOONE confirms LSND  
 and  $3+1$  and  $2+2$  are firmly ruled  
 out then  
 GREAT !!!  
 theorists have something new to explain.

✱ If mini-BOONE rules out LSND  
 with neutrinos. Should Fermilab  
 run with anti-neutrinos?

(takes 3x as many protons. flux  $\downarrow$  or  $\uparrow$ )

pit: mini BOONE against MINOS

- This checks CPT violation explanation.



atmospheric  $\bar{\nu}$   
 are different  
 than atmospheric  $\nu$

MINOS IS CHECKING  $\frac{7}{4}$   
 THIS - B field  $\frac{1}{4}$