



the

abdus salam

international centre for theoretical physics

SMR.1508 - 2

SUMMER SCHOOL ON PARTICLE PHYSICS

16 June - 4 July 2003

NEUTRINO PHYSICS

Lecture 1

S. PARKE Fermilab Batavia, IL U.S.A.

¥98, ⊕Takayam June 1998

Atmospheric neutrino results
from Super-Kamiokande & Kamiokando
— Evidence for Yu oscillations —

T. Kajita

Kamioka observatory, Univ. of Tokyo

for the {Kamiokande

Super-Kamiokande}

Collaborations

"All the News That's Fit to Print"

The New York

VOL. CXLVII No. 51,179

Copyright © 1866 The New York Times

Neutrinos

and col

.. produc-

The light is

recorded by

11,200 20-

inch light

amplifiers

that cover

the tank.

the inside of

FRIDAY, JUNE 5, 1998

Mass Found in Elusive Particle; Universe May Never Be the Same

Discovery on Neutrino Rattles Basic Theory About All Matter

By MALCOLM W. BROWNE

TAKAYAMA, Japan, June 5 — In what colleagues hailed as a historic landmark, 120 physicists from 23 re-search institutions in Japan and the United States announced today that they had found the existence of mass in a notoriously elucive subatomic particle called the neutrino.

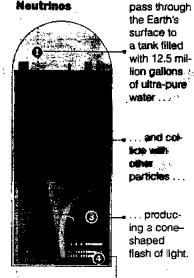
The neutrino, a particle that carries no electric charge, is so light that it was assumed for many years to have no mass at all. After today's announcement, cosmologists will have to confront the possibility that much of the mass of the universe is in the form of neutrinos. The discovery will also compel scientists to revise a highly successful theory of the composition of matter known as the Standard Model.

Word of the discovery had drawn some 300 physicists here to discuss neutrino research. Among other things, they said, the finding of neutrino mass might affect theories about the formation and evolution of galaxies and the ultimate fate of the universe. If neutrinos have sufficient mass, their presence throughout the universe would increase the overall mass of the universe, possibly slowing its present expansion.

Others said the newly detected but as yet unmeasured mass of the neutrino must be too small to cause cosmological effects. But whatever the case, there was general agreement here that the discovery will have far-reaching consequences for the investigation of the nature of

Speaking for the collaboration of scientists who discovered the existence of neutrino mass using a huge underground detector called Super-Kamiokande, Dr. Takaaki Kajita of the Institute for Cosmic Ray Research of Tokyo University said that all explanations for the data collect-

Detecting Neutrinos





LIGHT AMPLIFIER

And Detecting Their Mass

By analyzing the cones of light, physicists determine that some neutrinos have changed form on their journey. If they can change form, they must have mass.

Source: University of Hawaii

The New York Times

ed by the detector except the existence of neutrino mass had been essentially ruled out.

Dr. Yoji Totsuka, leader of the coalition and director of the Kamioka Neutrino Observatory where the underground detector is situated, 30 miles north of here in the Japan Alps, acknowledged that his group's announcement was "very strong," but said, "We have investigated all

Continued on Page A14

OKLAHOMA BLAST BRINGS LIFE TERM FOR TERRY NICHOLS

'ENEMY OF CONSTITUTION'

Judge Denounces Conspiracy and Hears From the Victims of a Territying Ordeal

By JO THOMAS

DENVER, June 4 - Calling him "an enemy of the Constitution," a Federal judge today sentenced Terry L. Nichols to life in prison without the possibility of parole for conspiring to bomb the Oklahoma City Federal Building, the deadliest terrorist attack ever on American soil.

in passing sentence after hearing from survivors of the blast and relatives of some of the 168 people who died in it, the judge, Richard P. Matsch of Federal District Court, said, "This was not a murder case."

He added: "It is a crime and the victims have spoken eloquently here. But it is not a crime as to them so much as it is a crime against the Constitution of the United States. That's the victim.'

Last December, Mr. Nichols was convicted of conspiring with Timothy J. McVeigh to use a weapon of mass destruction in the April 19, 1995, bombing of the Alfred P. Murrah Federal Building, but was acquitted of Federal murder charges in the deaths of eight Federal agents who died. Mr. Nichols was found guilty of involuntary manslaughter in those deaths and today was given the maximum sentence of six years in prison for each, to run concurrently with his life sentence. He was also acquitted of actually committing the bombing.

While the conspiracy charge carried a possible death sentence, the jurors need to vote unanimously for such punishment, and they could not do so. The sentencing then fell to Judge Matsch.

Mr. McVeigh was convicted on all counts in an earlier trial and was sentenced to death.



Bajram Curri, in no Yugoslavia in three o

Refugees A Bitte

PADESH, Albania, . dent Slobodan Milose via has unleashed th tary operation in the the end of the war in \bar{t} thousands of ethnic A the border area with reducing their village:

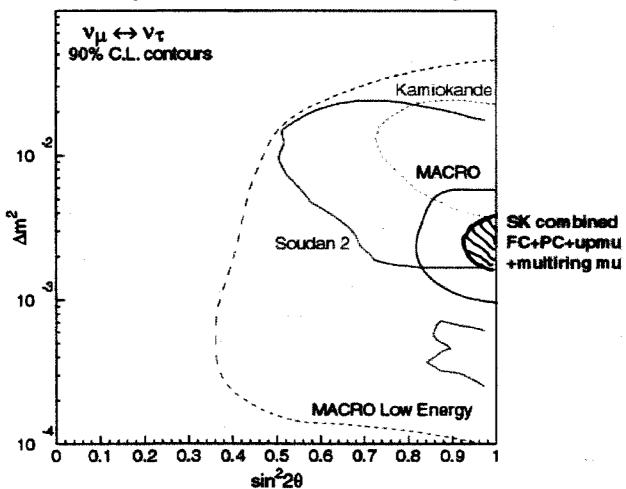
At least 16,000 : streamed through passes and thousands ing in forests on the or border according to

Neutrino Physics

Stephen Parke Fernilab parke@fnal.gov Series Outline: http://theory.fual.jov/people/parts A Neutrino Oscillations (2 flavors) - Vacuum (atmospheric 25) KZK _ matter (solar Ys)
KAMLAND 3 or more flavors 0,3 and CPart Violations Neutrino Mass, DBB decay etc

First Back Next Sync Video

Allowed Regions from Several Experiments



KAMLAND + SOLAR (SNO, SK ...)

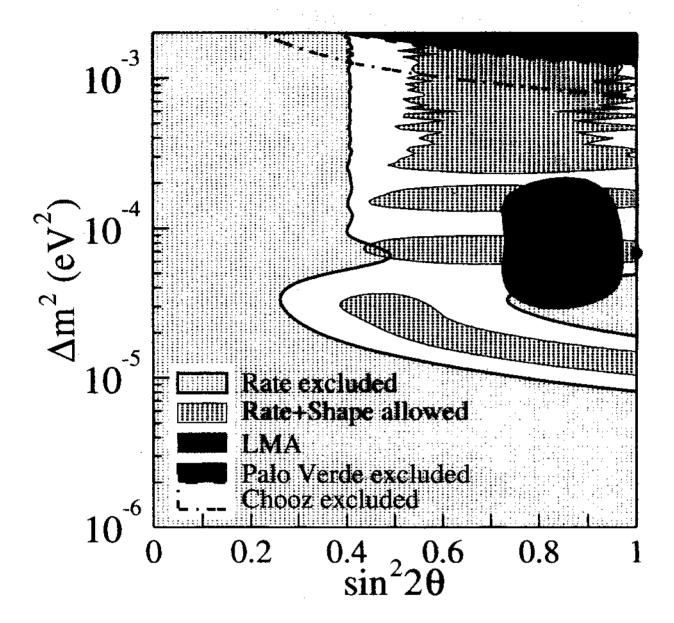


FIG. 6: Allowed regions of neutrino oscillation parameters for the rate analysis and the combined rate and shape analysis from KamLAND at 95% C.L. At the top are the 95% C.L. excluded region from CHOOZ [15] and Palo Verde [16] experiments, respectively. The 95% C.L. allowed region of the 'Large Mixing Angle' (LMA) solution of solar neutrino experiments [13] is also shown. The thick dot indicates the best fit to the KamLAND data in the physical region: $\sin^2 2\theta = 1.0$ and $\Delta m^2 = 6.9 \times 10^{-5} \, \text{eV}^2$. All regions look identical under $\theta \leftrightarrow (\pi/2 - \theta)$ except for the LMA region.

Neutrinos (v)

· vierry light (<10 -6 Melectron)

· Wealthy interacting

(pass than light-years of Ph)

· electrically neutral

. basic building block of Universe.

The pre-Revolution Neutrino
· Standard Model (Weinberg 1968) Salam Glashow Neutrino had zevo mass
and no mixing.
and no mixing. $ \frac{cf}{2} \text{ electron} $ $ \frac{c}{2} \text{ er} $ $ \frac{c}{2} \text{ electron} $ $ \frac{c}{2} \text{ er} $
for Dirac Mass one needs to add 22 12
for Dirac Mass one needs to add DR, DL x Majorana Mass term DD \$\$\phi\$ is dimension \$
Direct Measurenats
assuming no mixing
Mre < 3eV
My < 190 keU
My < 18 MeV

- new indications suggest M23 3 70 eV

Neutrino Oscillations

flavor states:

mass eigenstates:

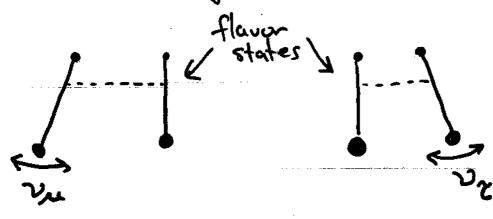
$$v_i = v_i$$
, v_2 , v_3 .

trivial time evolution (multiplication by phase $|v_i, t\rangle = e^{-iHt}|v_i, 0\rangle$

$$= e^{iE_it}|v_i, 0\rangle$$

If Neutrinos have Mass than flavor states \neq Mass states then neutrinos can oscillate:

Mechanical Analogue:
flavor
states



Mass States

3

If neutrinos have mass then flavor states + mass eigenstates (quarks !!! CKM = 1) related by a Unitary, Matrix $v_{\alpha} = \leq v_{\alpha i} v_{i}$ unitary matrix (PMNS

Sunitary matrix

Subjective

Light Subjectiv 2×2 example

Co So

-So Co Sin $\Theta = S_0$ Where $\cos \theta = C_0$

Suppose we produce a

$$V_e = C_0 V_1 + S_0 V_2$$

evolves in time

 $C_0 e^{-\frac{1}{2}E_1t} + S_0 e^{-\frac{1}{2}E_2t} V_2$

now $E_i = \sqrt{p^2 + m_i^2}$ with $p \gg m_i$
 $E_i = \sqrt{p^2 + m_i^2} + C_0 e^{-\frac{1}{2}E_1t} V_2$
 $E_i = \sqrt{p^2 + m_i^2} + C_0 e^{-\frac{1}{2}E_1t} V_2$
 $E_i = \sqrt{p^2 + m_i^2} + C_0 e^{-\frac{1}{2}E_1t} V_2$
 $E_i = \sqrt{c_0 C_0} e^{-\frac{1}{2}E_1t} + S_0 C_0 e^{-\frac{1}{2}E_1t} V_2$
 $E_i = \sqrt{c_0 C_0} e^{-\frac{1}{2}E_1t} + S_0 C_0 e^{-\frac{1}{2}E_1t} V_2$
 $E_i = \sqrt{c_0 C_0} e^{-\frac{1}{2}E_1t} + S_0 C_0 e^{-\frac{1}{2}E_1t} V_2$

$$P_{2} = S_{0}^{2} C_{0}^{2} \left[e^{-i\frac{m_{2}^{2}t}{2p}} - e^{-i\frac{m_{2}^{2}t}{2p}} \right]^{2}$$

$$S_{0} = M_{2}^{2} - M_{1}^{2}$$

$$P_{0} = S_{0}^{2} C_{0}^{2} \left[e^{-i\frac{m_{2}^{2}tm_{1}^{2}t}{4p}} \left(e^{-i\frac{5m_{2}^{2}t}{4p}} - e^{-i\frac{5m_{2}^{2}t}{4p}} \right) \right]$$

$$= 4 S_{0}^{2} C_{0}^{2} S_{1} In^{2} \frac{S_{1} In^{2}}{4p}$$

$$= 4 S_{0}^{2} C_{0}^{2} S_{1} In^{2} \frac{S_{1} In^{2}}{4p}$$

$$P_{2} = S_{1}^{2} In^{2} In^{2} In^{2} In^{2} In^{2} In^{2} In^{2}$$

$$S_{1} = In^{2} In^$$

similar to double slit:

phase ~ e imib/zE

phase ~ e

$$\frac{Sm^2L}{4E} = 1.2669... \frac{Sm^2(eV^2)L(km)}{E(GeV)}$$
natural
units
$$\sim 1.27 \qquad \left(\frac{1}{4} \rightarrow 1.27\right)$$

Flavor Tvansition Probability is

Pean = Sin 20 Sin 4E

fixed E

L = 4TTE

Sin 20

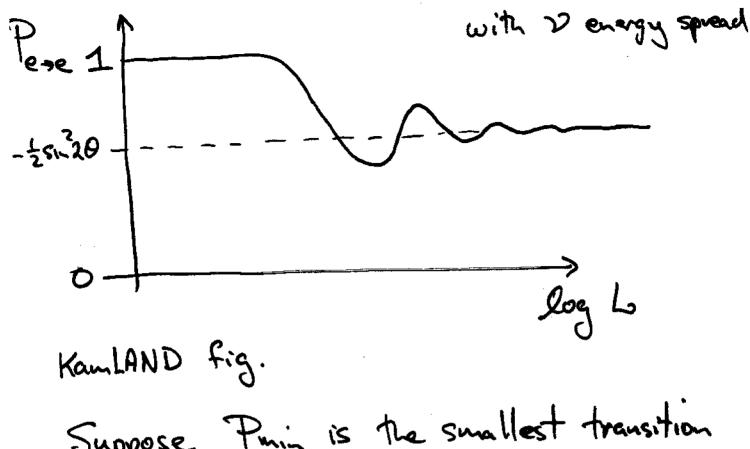
Oscillatian Maximum Occurs at

Ve Survival Probability is

Pese = 1 - Sin 20 Sin 2 5m2 4E

Small 6
Perse = 1-51-20 (Sm2L)2+ 67(64)

fixed L Peaks at



Suppose Pinin is the smallest transition probability an experiment can possibly see:

What does the convering San since space

look like of

The second secon

• at large $8m^2$: $5m^2\frac{8m^2L}{4E}\sim \frac{1}{2}$ $5m^220=2Pmin$

· Osc. Max L= STTE -> SIL 20 = Pmin

· s_20=1 Sm= JPmin 4E

Kan LAND:

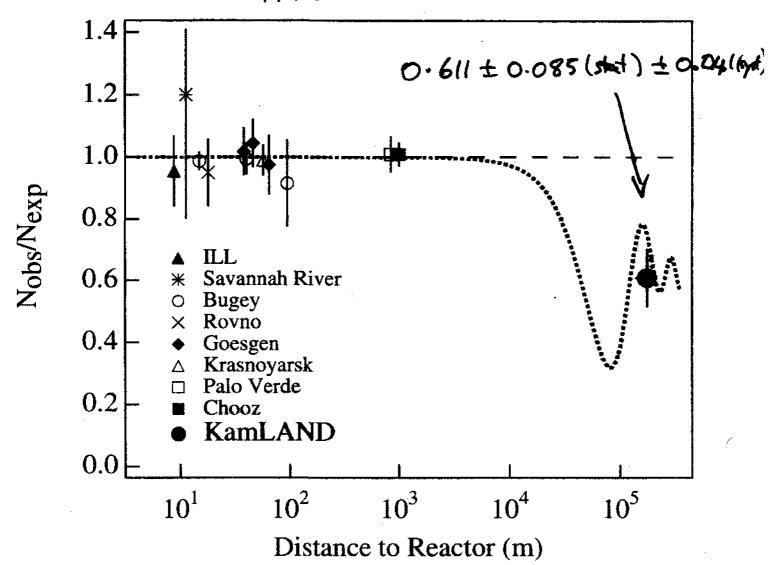
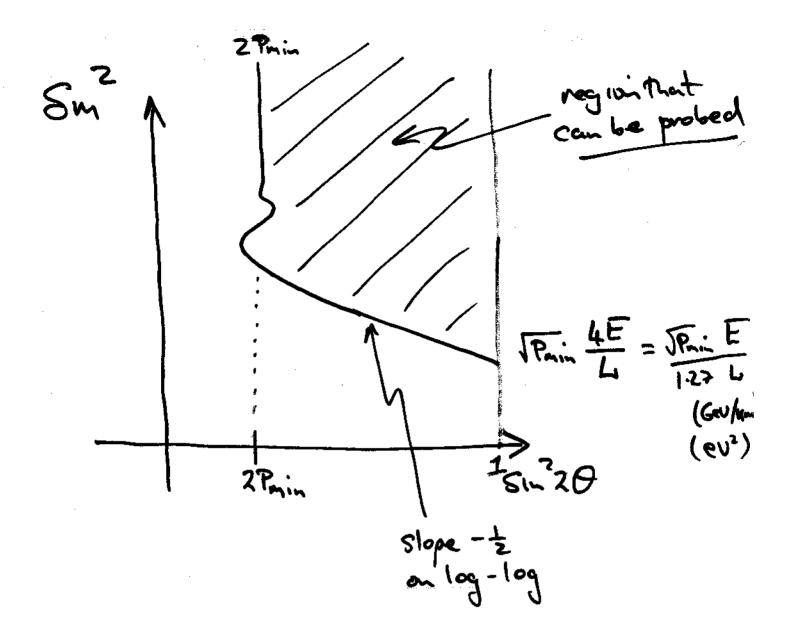


FIG. 4: The ratio of measured to expected $\bar{\nu}_e$ flux from reactor experiments [12]. The solid dot is the KamLAND point plotted at a flux-weighted average distance (the dot size is indicative of the spread in reactor distances). The shaded region indicates the range of flux predictions corresponding to the 95% C.L. LMA region found in a global analysis of the solar neutrino data [13]. The dotted curve corresponds to $\sin^2 2\theta = 0.833$ and $\Delta m^2 = 5.5 \times 10^{-5} \text{ eV}^2$ [13] and is representative of recent best-fit LMA predictions while the dashed curve shows the case of small mixing angles (or no oscillation).



KamLAND:

Why exclude region Sm = 2.5x10 ev.

Pre-ve = 1 - Su 20 Su 2 Su 4E

Pre-ve = 5120 Su 2 Su 4E

if tic = Sin Since | I mit

Semi-classical limit

Since | Since | = 1

Since | = 1

Since | = 2

Preside = 1 - = 5 5120

Pun = 1 51.28

same as oscillations averaged out

equivalent to

Separation of Wave-Packets

e₂M e₃M osc.av.
$$v_2, v_1$$

short, medium very large
$$\frac{1}{\gamma^2} = 1 - \beta^2$$

$$\beta_1^2 - \beta_2^2 = \left(1 - \frac{M_1^2}{E^2}\right) - \left(1 - \frac{M_2^2}{E^2}\right) = \frac{DM_1^2}{E^2}$$

$$DB = B_1 - B_2 = \frac{DM_2^2}{2E^2}$$
Since $B_1 = \beta_2 = 1$

$$DM_1^2 = 1 = 1$$

$$DM_2^2 = 1 = 1$$

if width of wavepacket is macroscopic
say Im Then decoherence at 10 m. of
astrophysical

PROCESS:

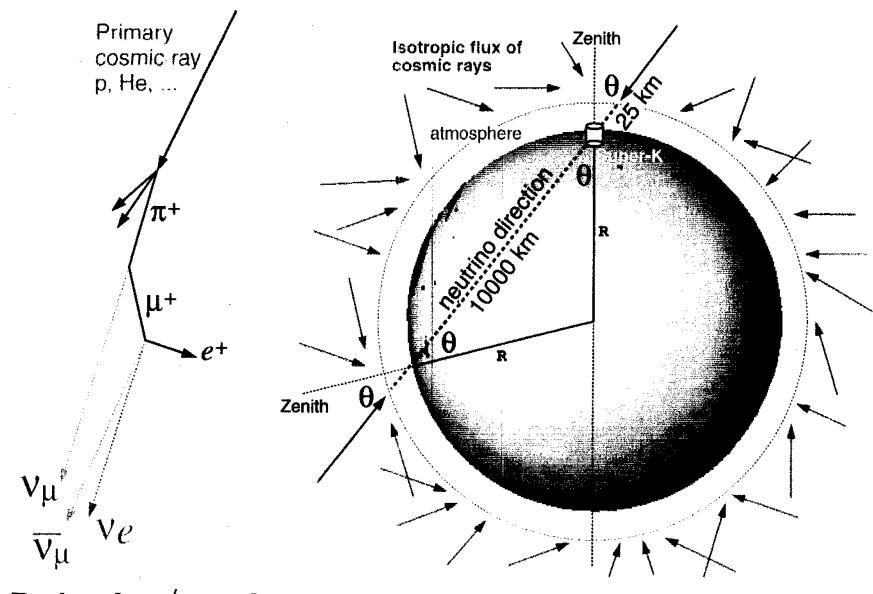
PROBABILITY:

$$W^{\dagger} \rightarrow e^{\dagger} \nu_{1} \text{ or } \mu^{\dagger} \nu_{2}$$
 $e^{\dagger} \nu_{2} \text{ or } \mu^{\dagger} \nu_{1}$

AND

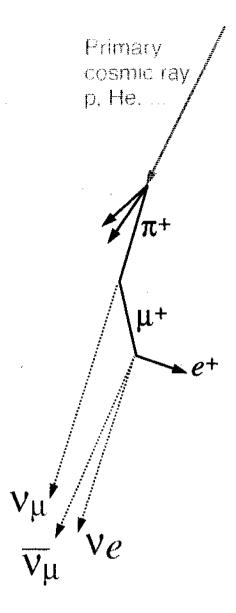
THEN

ATMOSPHERIC NEUTRINOS



Ratio of $V_{\mu}/V_e \sim 2$ (for E_V < few GeV)

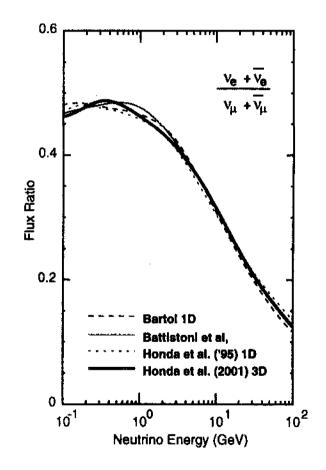
Up-Down Symmetric Flux (for E_V > few GeV)



Atmospheric Neutrinos

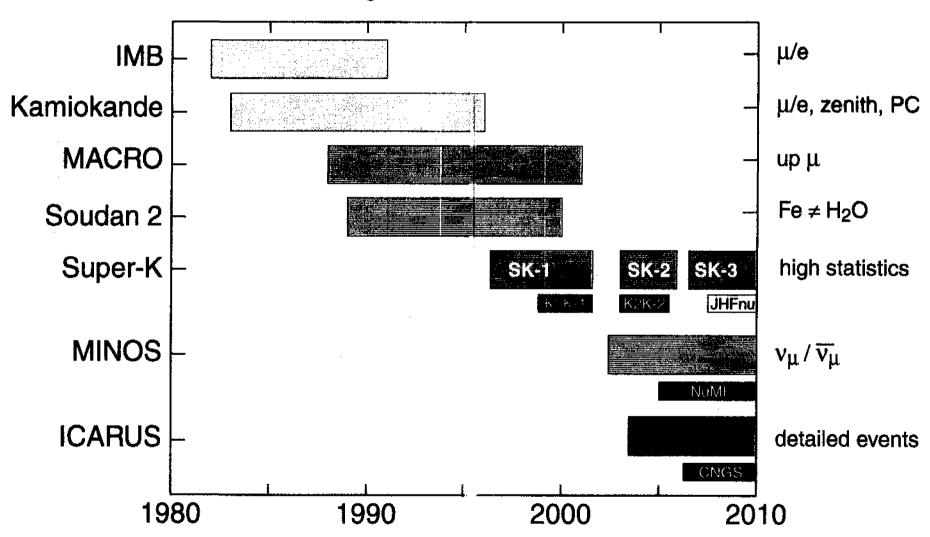
Flux Ratio of ν_{μ} to ν_{e}

- $\Phi(\nu_{\mu})/\Phi(\nu_{e})$ ~ 2 below a few GeV
- predicted to ~ 5% over wide range

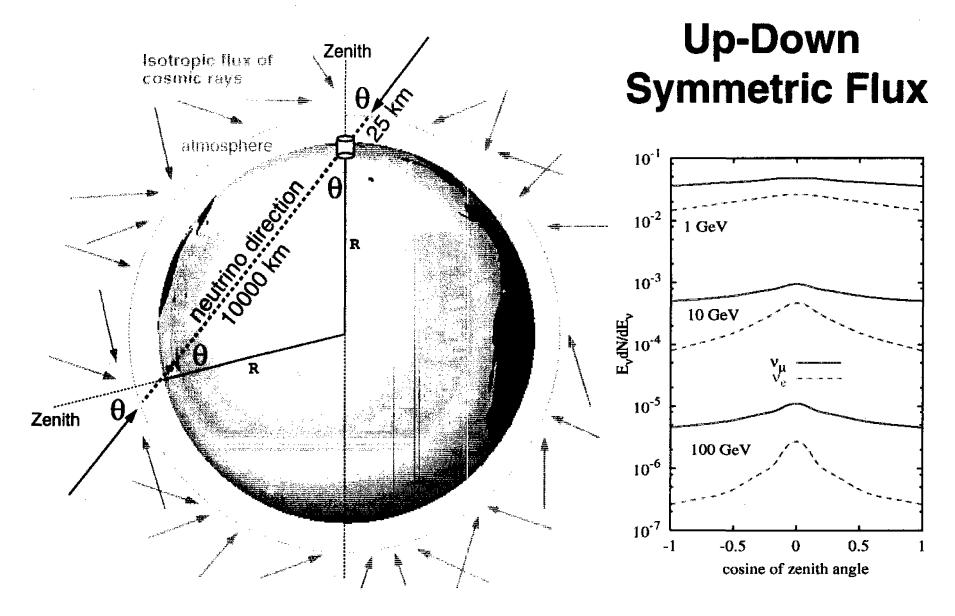


Atmospheric Neutrino Experiments

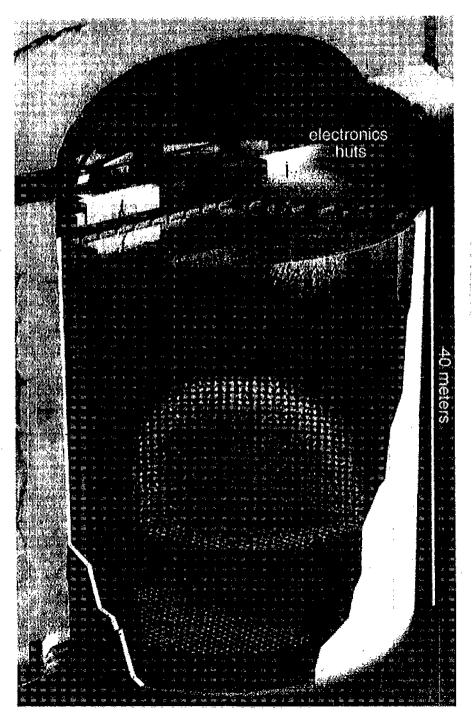
and Long Baseline Neutrino Beams



others: NUSEX: =rejus, Baksan, SNO



- above a few GeV no geomagnetic effect
- enhancement at horizon due to pion survival



Super-Kamiokande

SK-1 1996 - 2001

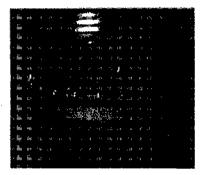
- 22.5 kton fiducial mass (2m from wall)
- 11134 50-cm photomultiplier tubes
- 40% photocathode coverage
- 1885 20-cm pmts in outer detector

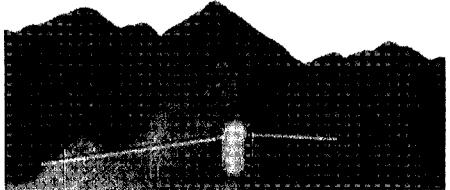
SK-2 2003 - 2006 (estimated)

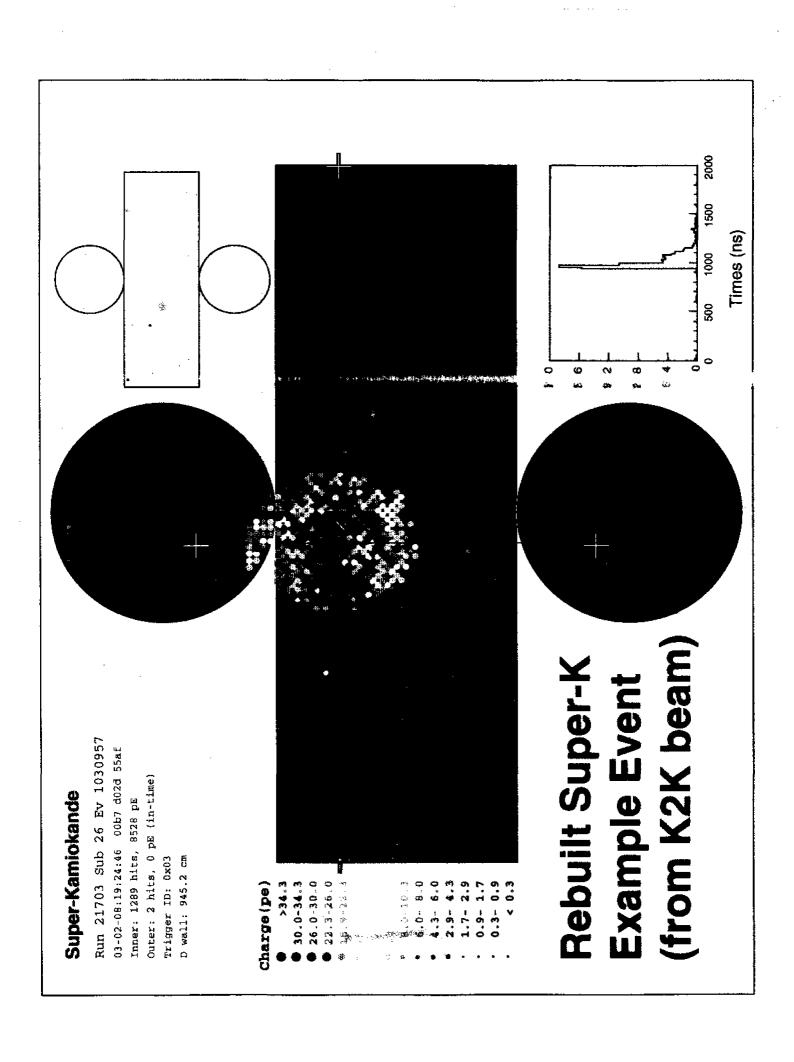
- 5183 PMTs, mostly recovered from accident
- ~20% coverage
 with acrylic shields →
- outer detector fully restored
- K2K beam resumed

SK-3 2006

- original coverage to be restored
- JHF v off-axis beam







Super-Kamiokande

Run 4268 Event 7899421

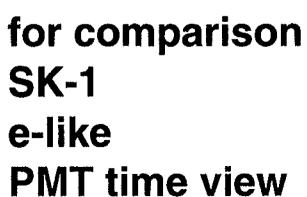
97-06-23:03:15:57

Inner: 2652 hits, 5747 pE

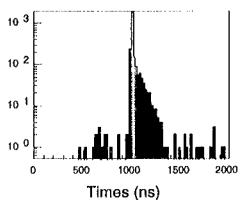
~620 MeV/c

Resid(ns)

- 60- 68
- 51- 60
- € 5 2
 0 = 8
- -8- (
- -17- -
- -25- -17
- ~34~ ~25
- -51- -42
- < ~51







Measured Double Ratio

 $\frac{(N_{\mu}/N_e)_{DATA}}{(N_{\mu}/N_e)_{M.C.}}$

SK sub-GeV:

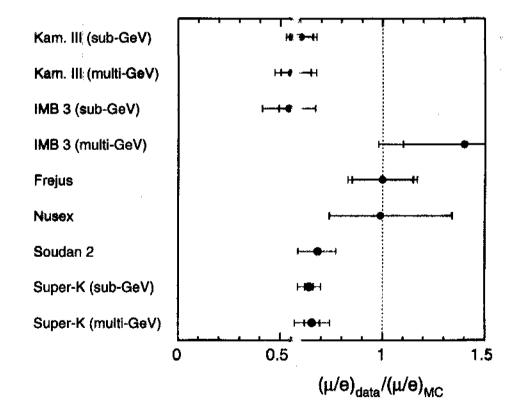
 $0.638 \pm 0.016 \pm 0.050$

SK multi-GeV:

 $0.658 \pm 0.030 \pm 0.078$

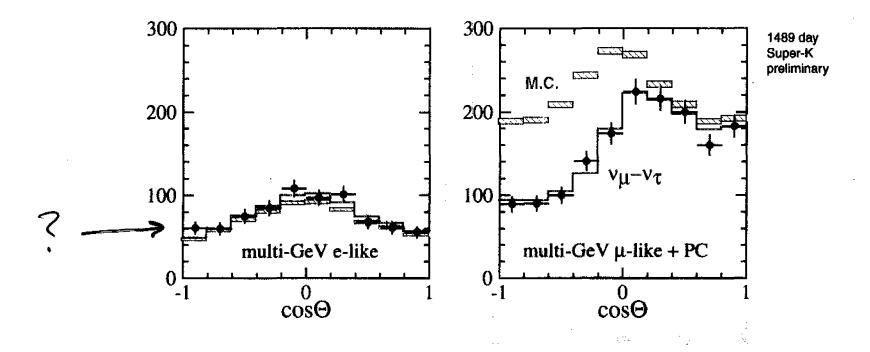
Soudan 2:

 0.68 ± 0.12



Measured Up-Down Asymmetry

SK multi-GeV:
$$\left(\frac{N_{UP} - N_{DOWN}}{N_{UP} + N_{DOWN}} \right)_{\mu\text{-like}} = -0.288 \pm 0.028 \pm 0.004$$
 > 10 σ deviation!



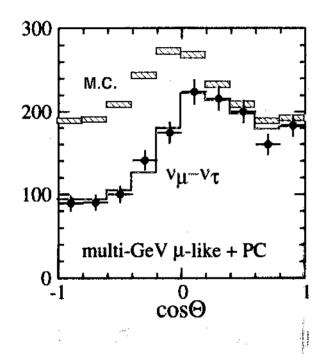
Neutrino travel distance: 12800 6200 700 40 15 km
$$\left(\sin^2 2\Theta, \Delta m^2\right) = \left(1.00, 2.5 \times \left(0^{-3} \text{ eV}^2\right)\right)$$

$$\chi^2 \sim 1 \text{ d.o.f.} \quad \chi_{\text{L}} \leftarrow \gamma \chi_{\text{L}}$$

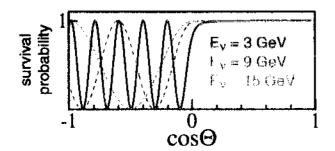
$$\text{excellent fit.}$$

Interpretation of Neutrino Oscillation

Atmospheric Neutrino Data



12800 6200 700 40 15 km Neutrino travel distance (L)



... is described by:

Two flavor neutrino oscillations

$$P(v_{\mu}-v_{\tau}) = \sin^2 2\theta \sin^2 \left(\frac{1.27 \Delta m^2 L}{E}\right)$$

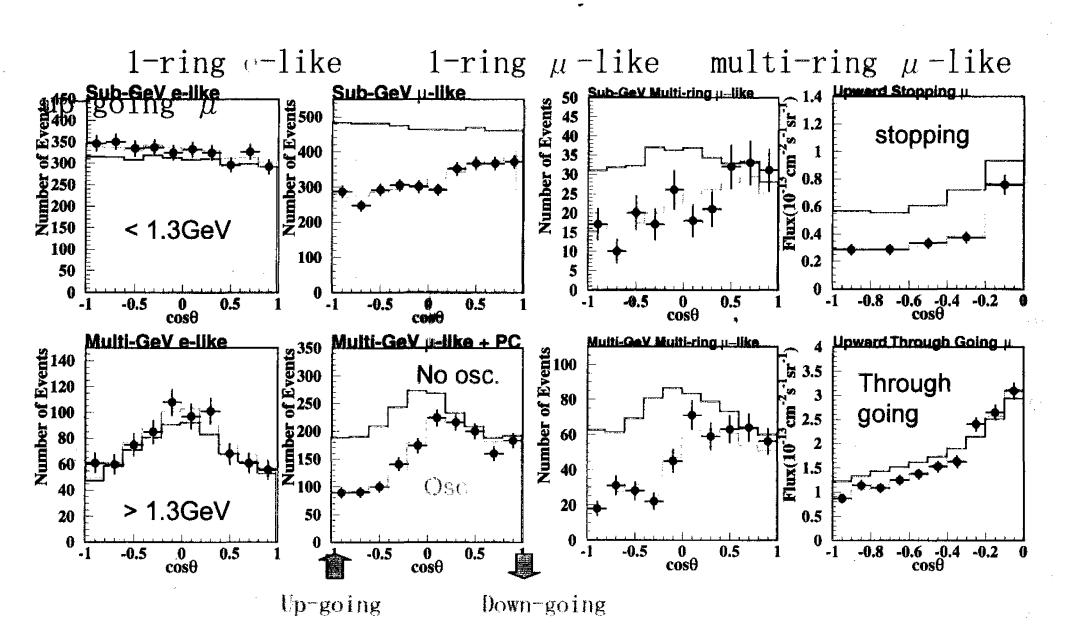
with oscillation parameters of

$$\Delta m^2 \sim 3 \times 10^{-3} \text{ eV}^2 \quad \sin^2 2\theta \sim 1$$

SK atmospheric neutrino data

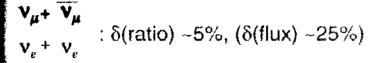
Nu Horisons

1489day FC+PC data + 1678day upward going muon data



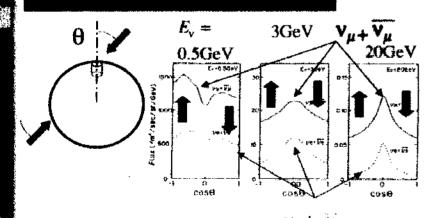
Super-K

II. Atmospheric neutrinos



$$\Rightarrow \frac{\mathbf{v}_{\mu} + \overline{\mathbf{v}_{\mu}}}{\mathbf{v}_{e} + \overline{\mathbf{v}_{e}}} \bigg|_{\text{Data}} / \frac{\mathbf{v}_{\mu} + \overline{\mathbf{v}_{\mu}}}{\mathbf{v}_{e} + \overline{\mathbf{v}_{e}}} \bigg|_{\text{MC}} \neq 1$$

for neutrino oscillations

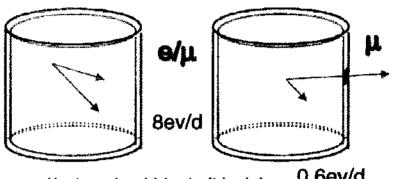


For $E_v > a$ few GeV,

Upward / downward = 1 (within a few %)

Up/Down asym. for neutrino oscillations

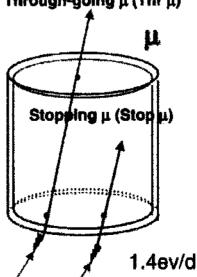
Fully Contained (FC) Partially Contained (PC

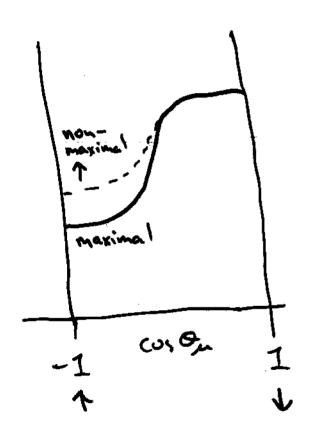


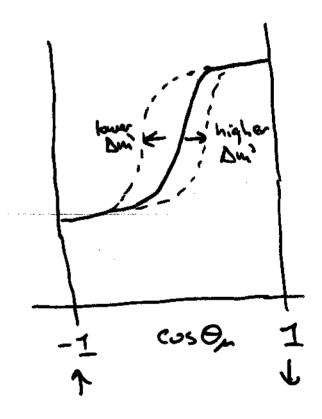
Vertex should be in fiducial
Up mu > 2m

0.6ev/d

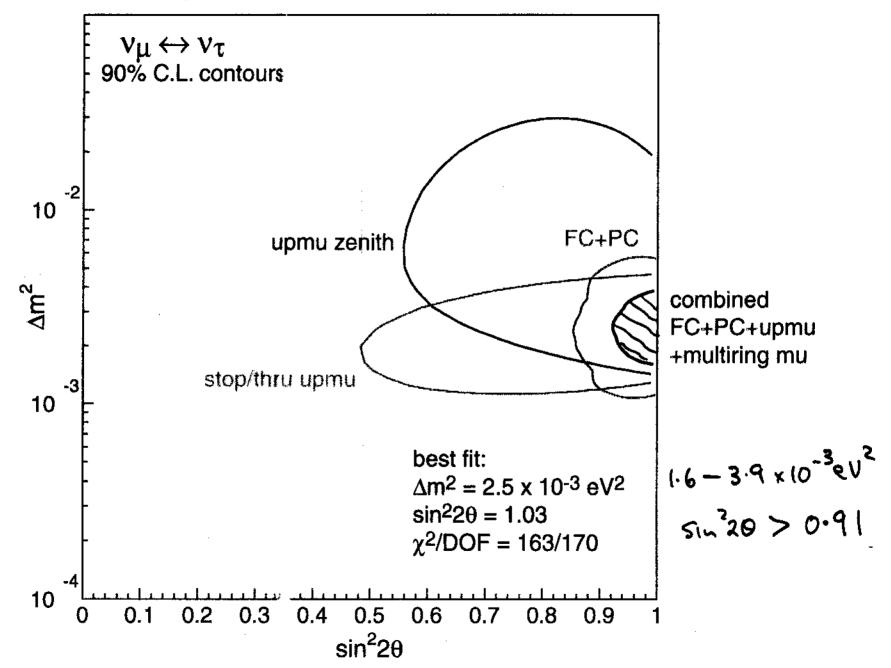
Through-going μ (Thr μ)



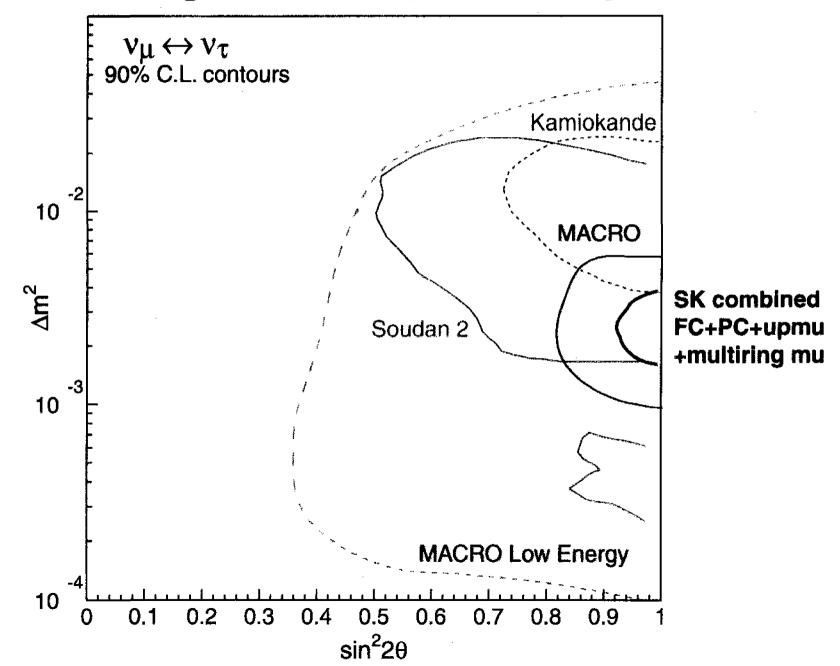


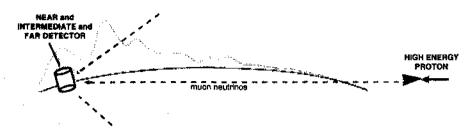


Allowed Regions from Super-K Analyses



Allowed Regions from Several Experiments





Atmospheric Neutrinos

mixed beam of $v_{\mu} \overline{v_{\mu}} v_{e} \overline{v_{e}}$ wide energy band 200 MeV - 1 TeV continuous flux - free multiple baselines 10 km - 13000 km neutrino direction unknown

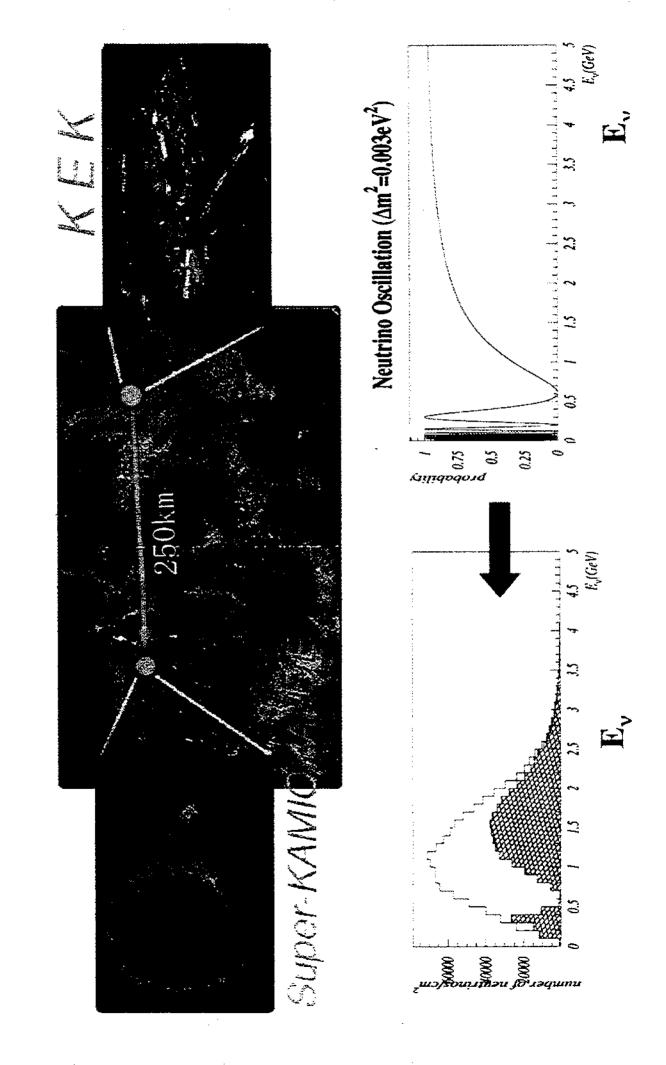
Long Baseline Neutrinos

nearly pure beam of v_{μ} narrow energy band, adjustable pulsed flux - expensive

fixed baseline 250 / 750 km so far neutrino directioni-known

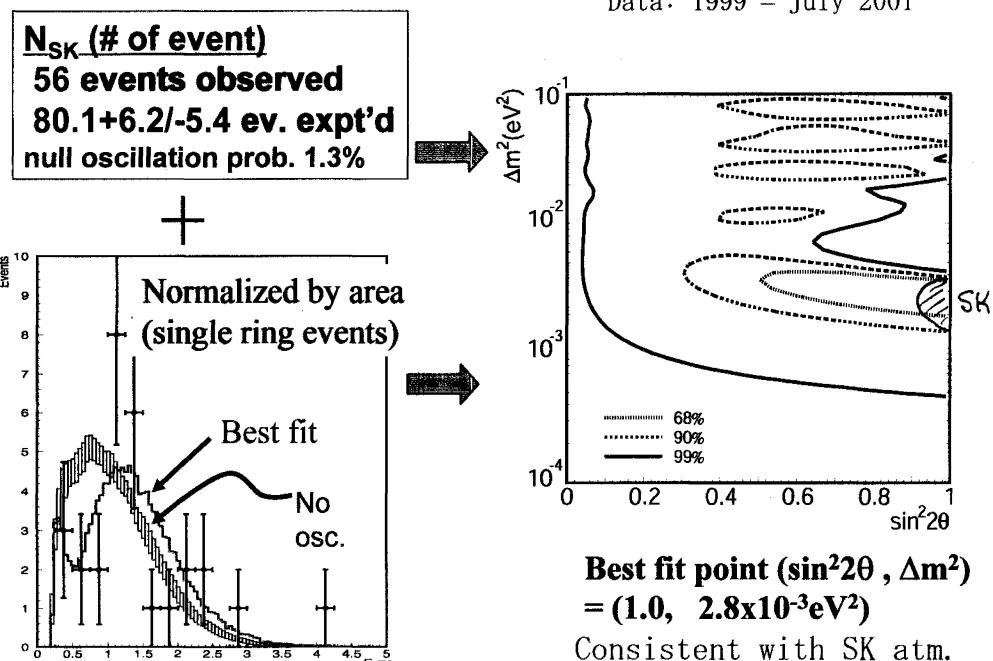
first solid evidence for neutrino oscillation ...

> motivates and suggests design for long baseline experiments



K2K data and oscillation

Data: 1999 - July 2001





MINOS

Long-baseline experiment at Permilab

Near Detector at NuMI Far Detector

THE RANGE SOUDANTINE Missaudh

For INcurative

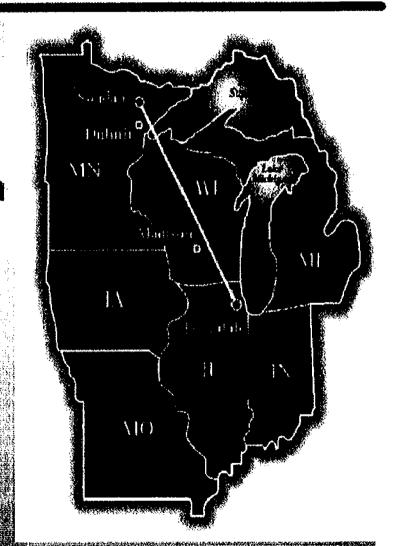
Long Superior

To the Superior

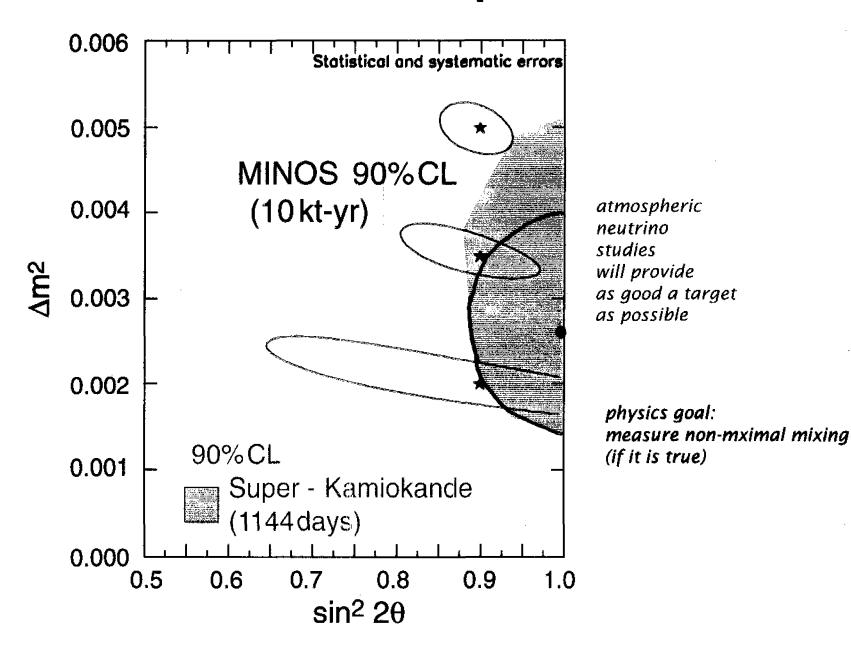
All OS the Superior

The MIN OS the locality of the Superior of the S

- Beam travels 735 km to Soudan Minnesota
- Sagitta: 10 km
- and the comment of the contract of the contrac



Atmospheric Neutrinos + Long Baseline Neutrinos Goal: Good Comparison



Check SK data against alternatives to $\nu_{\mu} - \nu_{\tau}$

	Mode	Best Fit	χ^2	$P(\chi^2)$	$\Delta \chi^2$	σ
	V_{μ} V_{τ} $\sin^2 2\theta \sin^2 (1.27\Delta m^2 L/E)$	$\sin^2 2\theta = 1.00$ $\Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$	173.8	79%	0.0	0.0
	v_{μ} - v_{e} $-\sin^{2}2\theta\sin^{2}(1.27\Delta m^{2}L/E)$	$\sin^2 2\theta = 0.97$ $\Delta m^2 = 5.1 \times 10^{-3} \text{ eV}^2$	284.3	0.001%	110.5	10.5σ
\Rightarrow	v_{μ} - v_{s} $\sim \sin^{2}2\theta \sin^{2}(1.27\Delta m^{2}L/E)$	$\sin^2 2\theta = 0.98$ $\Delta m^2 = 2.9 \times 10^{-3} \text{ eV}^2$	222.7	5%	48.9	7.0σ
	$L\times E$ $sin^2 2\theta sin^2 (\alpha L\times E)$	$\sin^2 2\theta = 0.90$ $\alpha = 5.6 \times 10^{-4} / \text{GeV/km}$	281.6	0.002%	107.8	10.4σ
·	ν _μ Decay sin ⁴ θ+cos ⁴ θexp(-αL/E)	$\cos^2 \theta = 0.50$ $\alpha = 3.7 \times 10^{-3} \text{ GeV/km}$	279.4	0.003%	105.6	10.3σ
	v_{μ} Decay $(\sin^2\theta + \cos^2\theta e^{-\alpha L/2E})^2$	$\cos^2 \theta = 0.33$ $\alpha = 1.2 \times 10^{-3} \text{ GeV/km}$	194.0	41%	20.2	4.5σ
\Rightarrow	ν _μ Decoherence 0.5sin ² 2θ(1-exp(-(γ/E)L/E	sin ² 20=0.98 ())y=7.3×10 ⁻³ GeV/km	184.3	64%	10.5	3.2σ
	No Oscillations		427.4	0%	252.4	15.9σ

FC:10 zenith angle×7 momentum bins

PC:10 zenith angle bins

upStop 5 zenith angle bins

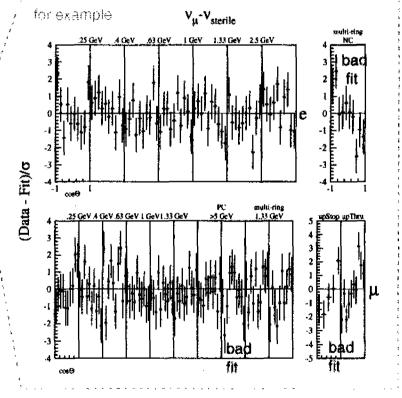
195 Bins

upThru 10 zenith angle bins

190 DOF

multi-Ring μ -like 10 zenith angle bins× 2 momentum bins

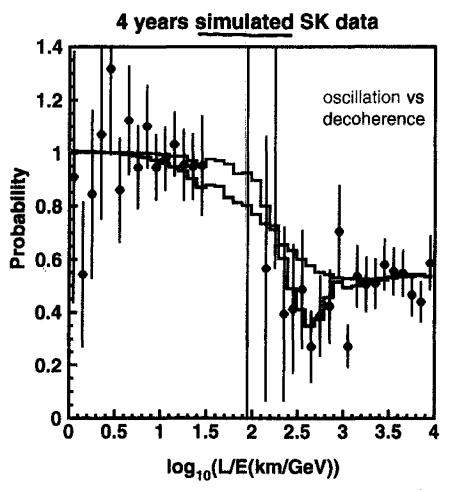
multi-Ring NC-like 10 zenith angle bins



matter effects suppress high energy ν_{μ} - ν_{sterile} oscillation

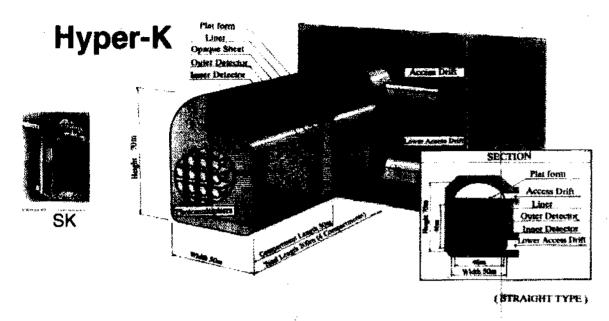
neutral current should disappear for v_{sterile} oscillation

Super-K can try this analysis too...

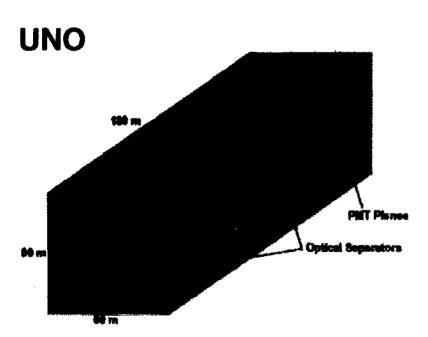


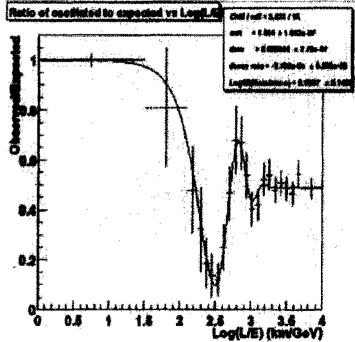
SK-1 may say something at ~20 continued running with SK-2 will help.

Future Large Water Cherenkov



Can contain high energy muons because of large size ... allowing good L and E measurement





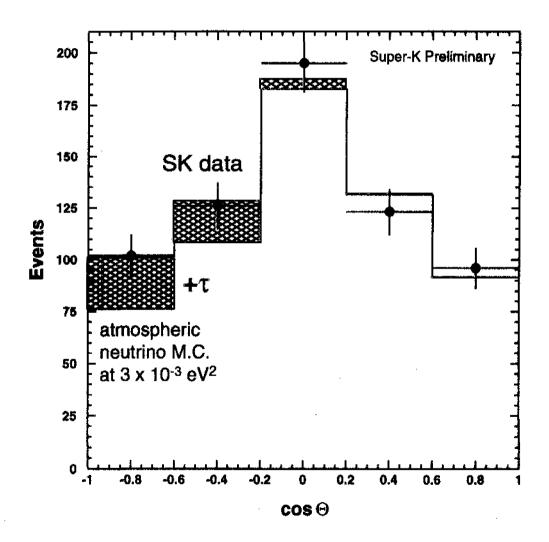
Super-K Tau Appearance Result:

Expected τ -neutrino CC events in SK data sample = 85

Fit to: A x tau appearance + B x tau no-appearance (as a function of cos Θ)

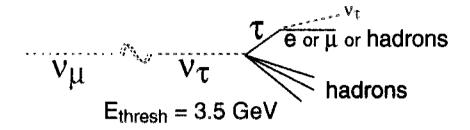
Result (tau events in SK data): $99 \pm 39 \pm 13^{+0}_{-16}$ Δm^2 uncertainty 3-flavor uncertainty

other independent SK analyses give consistent results



SK data is consistent with presence of CC tau neutrino interactions

Tau Appearance Studies

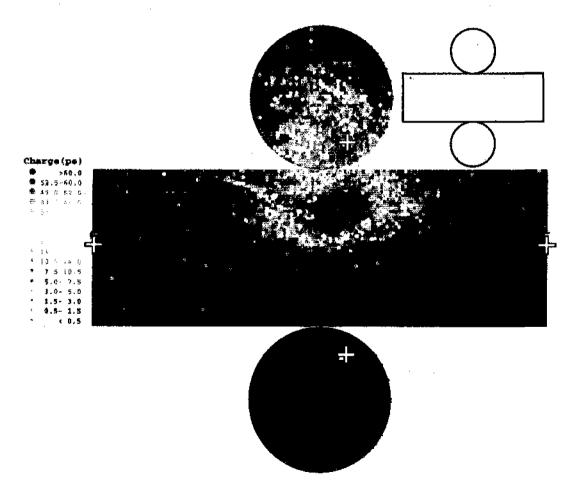


for $\sin^2 2\theta = 1$ and $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$, we expect ~85 events in the 1489 day SK sample

events have large visible energy (> 2 GeV)

multiple rings (not all may be reconstructed)

over threshold, only upward-going neutrinos have sufficient oscillation length



generally speaking... rather difficult events to exclusively identify

Atmospheric Neutrinos

- Compelling Evidence for Neutrino Oscillations:

The Ve

Px=>1 - Sin 2 20atus Sin 28math L

Swap 1.6 - 3.9 x 10 8112

5.20 > 0.9!

- K2K (first long (200km) baseline)

Consistent with Atmospheric 25.