

SUMMER SCHOOL ON PARTICLE PHYSICS

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FLAVOUR PHYSICS

Part 1

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Flavour Physics

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Contents (?)

1. Flavour in gauge theories
2. CKM-matrix, tree level
3. loop induced decays, CKM cont'nd
4. Effective Hamiltonian, renormalization, operator product expansion
precision calculation
5. Hadronic B-decays
6. CP-violation
7. HQET
8. SCET

Mainly B physics (and K)

Very active experimentally!

- B-Factories BaBar and Belle
- Results from Cornell, plans at F.L/CERN

1. Flavours in gauge theories

Gauge-Field-Theory

Gauge fields

γ, g, W, Z, \dots

fixed by symmetry
group

$SU(3) \times SU(2) \times U(1)$

Matter fields

Quarks, leptons, Higgs..

Largely arbitrary
theoretical constr.

Matter

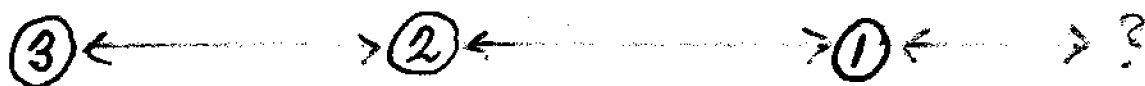
- choice of group representation
- how many irreduc. representations
- exper. input: no right-handed W
only two charges
 $q(2/3, -1/3) \quad l(0, -1)$

$SU(2)$ ³
 { Left-handed Quarks and leptons in doublets
 { right handed Quarks " " " " singlets

$$Q_i: \begin{pmatrix} u_L \\ d_L \end{pmatrix} \quad \begin{pmatrix} c_L \\ s_L \end{pmatrix} \quad \begin{pmatrix} t_L \\ b_L \end{pmatrix} \quad \updownarrow SU(2)$$

$$L_i: \begin{pmatrix} \nu_L^e \\ e_L \end{pmatrix} \quad \begin{pmatrix} \nu_L^\mu \\ \mu_L \end{pmatrix} \quad \begin{pmatrix} \nu_L^\tau \\ \tau_L \end{pmatrix} \quad \phi_R = \frac{1}{\sqrt{2}} \begin{pmatrix} \nu_R \\ \phi \end{pmatrix}$$

$$U_i: \begin{matrix} u_R, d_R \\ \text{etc } e_R, \nu_R^e(?) \end{matrix} \quad \begin{matrix} c_R, s_R \\ \mu_R, \nu_R^\mu(?) \end{matrix} \quad \begin{matrix} t_R, b_R \\ \tau_R, \nu_R^\tau(?) \end{matrix}$$



Generations, flavors of Quarks and leptons

i horizontal symmetry? $U(3)$

$Q_{1,2,3} \quad L_{1,2,3}$

Interactions:

- gauge-interactions: $U(3)$ global
 → local?
- Higgs (Yukawa): $U(3)$ broken

Interactions

$$\mathcal{L} = \mathcal{L}_{YM} + \mathcal{L}_G + \mathcal{L}_H + \mathcal{L}_Y$$

$$\mathcal{L}_{YM} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad \text{gauge fields}$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - g[A_\mu, A_\nu]$$

$$\mathcal{L}_G = \sum_i \bar{Q}_i i \not{D} Q_i + \sum_i \bar{L}_i i \not{D} L_i$$

$$\not{D} = \gamma_\mu (\partial^\mu - ig \mathbf{A}^\mu) \quad \text{quarks}$$

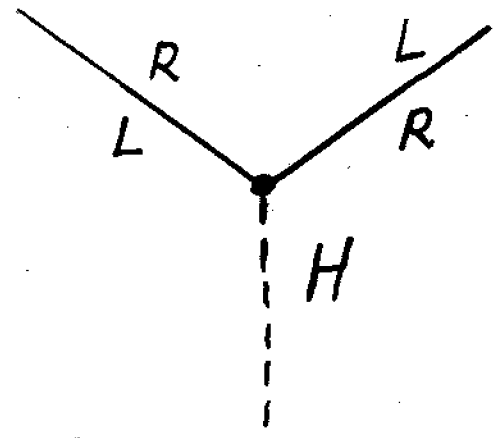
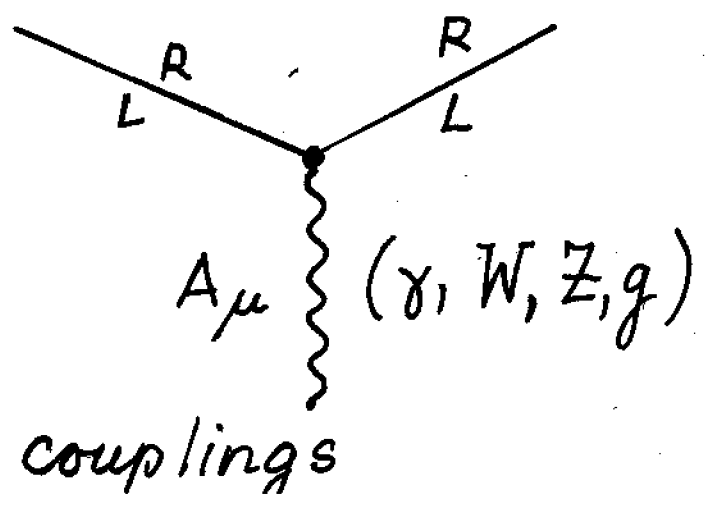
$\hookrightarrow \sum_j A_j^\mu T_j$

$$\mathcal{L}_H = \partial_\mu H \partial^\mu H^* - V(H) \quad \text{Higgs}$$

\uparrow
representation of Q, L

$$\mathcal{L}_Y = \sum \bar{Q}_{iL} H \Gamma_{ij} U_j + \dots$$

• \mathcal{L}_G : global $U(3)$ -symmetry (horiz.)



• Unbroken Symmetry ($\langle H \rangle = 0$)

• gauge symmetries: $SU(3) \times SU(2) \times U(1)$

• global symmetries: $Q_1 \leftrightarrow Q_2 \leftrightarrow Q_3, \dots$

$U(3)_L^Q \otimes U(3)_R^u \otimes \dots$ horizontal symmetry

• broken Symmetry ($\langle H \rangle \neq 0$)

$$\begin{aligned} \mathcal{L}_Y &\rightarrow \mathcal{L}_M = \bar{Q}_i \Gamma_{ij} \langle H \rangle u_j + \dots \\ &= \bar{d}_{Li} M_{ij}^d d_{Rj} + \bar{u}_{Li} M_{ij}^u u_{Rj} + \dots \end{aligned}$$

M^d, M^u : mass matrices

Eigenvalues: masses of quarks/leptons
 m_q, m_e

$M \neq 0$: breaks horizontal symmetry.
(explicitly)

also spontaneous breaking via QCD
 \rightarrow Goldstone particles (massless)
 π, K, \dots (pseudoscalars)

$$m_\pi^2 \simeq \Lambda_{QCD} \cdot m_q$$

* In S.M. can start with M^u diagonal

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Basis choice: ('coordinates')

• Q_i, L_i, u_i, \dots interaction basis

\mathcal{L}_G diagonal

• $d_{Li}, u_{Li}, d_{Ri}, \dots$ physical basis

\mathcal{L}_M diagonal

Transformation $d_L \rightarrow V_d^L d_L$
(unitary) $u_L \rightarrow V_u^L u_L \dots$

$$\bullet V_d^{L\dagger} M^d V_d^R = (m_d, m_s, m_b)$$

$$\bullet V_u^{L\dagger} M^u V_u^R = (m_u, m_c, m_t)$$

write \mathcal{L}_G in physical basis

$$\mathcal{L}_G = \bar{u}_{Li} \left(V_u^{L\dagger} V_d^L \right)_{ij} d_{Lj} \frac{g}{\sqrt{2}} W + \text{h.c.}$$

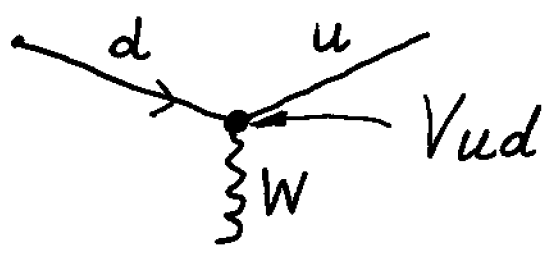
$$+ \underbrace{\bar{u}_{Li} \left(V_u^{L\dagger} V_u^L \right)_{ij}}_{\delta_{ij}!} u_{Lj} (\mathbb{Z}, \gamma, g)$$

neutral current flavour diagonal: GIM 1970
from gauge universality + repetition

Set $V = U_{CKM} \equiv (V_u^L V_d^L)$

Cabibbo - Kobayashi - Maskawa

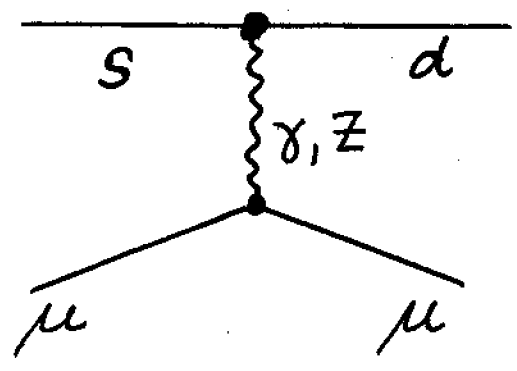
$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad VV^\dagger = 1$$



measured in various decays (later.)

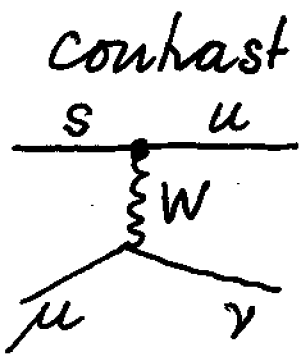
Neutral current: γ, Z

GIM mechanism insure no flavour transitions at tree level and suppression in loops



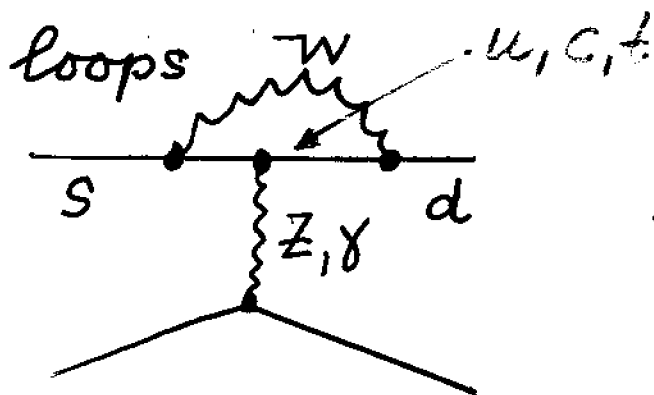
absent

$$\rightarrow K^0(\bar{s}d) \rightarrow \mu^+\mu^-$$



$$K^+(\bar{s}u) \rightarrow \mu\nu$$

contrast



$$A_{tot} = c \sum_i A_i \quad i = u, c, t$$

$$= |V_{us} V_{ud}^*| f\left(\frac{m_u}{M_W}\right) + |V_{cs} V_{cd}^*| f\left(\frac{m_c}{M_W}\right) + |V_{ts} V_{td}^*| f\left(\frac{m_t}{M_W}\right)$$

$$\Sigma = 0$$

$$V_{ts}^* V_{td} \approx 0$$

$$A_{tot} \approx (V_{us} V_{ud}^* - V_{cs} V_{cd}^*) \left(f\left(\frac{m_u}{M_W}\right) - f\left(\frac{m_c}{M_W}\right) \right)$$

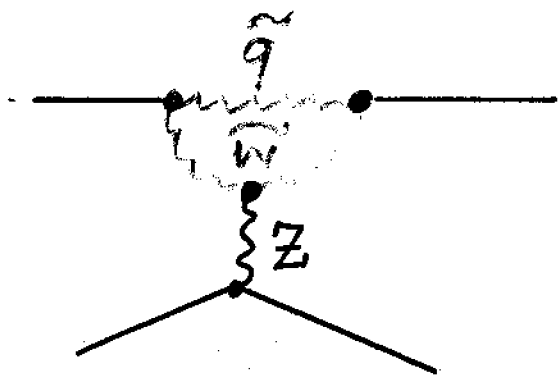
GIM-suppression

(hard, soft)

$$\bullet \frac{m_u^2 - m_c^2}{M_W^2} \ll 1$$

$$\bullet \log\left(\frac{m_u^2}{m_c^2}\right)$$

→ FCNC : small in standard model
standard test for new physics



limits on SUSY

$$K \rightarrow \mu\mu, b \rightarrow s\gamma, b \rightarrow d\gamma$$

$$\Delta F = 1$$

$$M_K - M_{\bar{K}}, M_B - M_{\bar{B}}$$

$$\Delta F = 2$$

- Symmetries: crucial ingredient 9.
(aesthetics, technical, physical)

Lorentz, gauge, P, C, T, CP, GR, ...

- Physics invariant under transformation "

Operator $\phi(x)$ $x = \vec{x}, t$

Transformation rule needed

$$\phi(\vec{x}, t) \longrightarrow \mathcal{R}\phi(\vec{x}', t') \quad \begin{array}{l} \vec{x} \rightarrow \vec{x}' \\ t \rightarrow t' \end{array}$$

Parity: $\mathcal{P}: \vec{x} \rightarrow -\vec{x}, t \rightarrow t$

Time reversal: $\mathcal{T}: \vec{x} \rightarrow \vec{x}, t \rightarrow -t$

$$\textcircled{1} \quad \mathcal{P}: \psi(\vec{x}, t) \rightarrow \gamma_0 \psi(-\vec{x}, t)$$

$$\phi_{S,P}(\vec{x}, t) \rightarrow \phi_S(-\phi_P)(-\vec{x}, t) \dots$$

$$\underbrace{(1 - \gamma_5) \psi(\vec{x}, t)}_{L(\text{left})} \rightarrow \gamma_0 (1 - \gamma_5) \dots = \underbrace{(1 + \gamma_5) \gamma_0 \psi(-\vec{x}, t)}_{R(\text{right})}$$

$\textcircled{2}$ C: Charge conjugation: particle \leftrightarrow Antiparticle

$$\psi \rightarrow C \bar{\psi}^T \quad C = i\gamma_2 \gamma_0 \quad (\text{Dirac-B})$$

$$\psi^\mu \rightarrow -\psi^{\mu\dagger} \quad \bar{\psi}_1 \gamma_\mu \psi_2 \rightarrow -\bar{\psi}_2 \gamma_\mu \psi_1$$

$$\bar{\psi}_1 \gamma_\mu \gamma_5 \psi_2 \rightarrow +\bar{\psi}_2 \gamma_\mu \gamma_5 \psi_1$$

$\textcircled{3}$ CP: Combined C, P

$$\bar{\psi}_1 \gamma_\mu (\gamma_\mu \gamma_5) \psi_2 \rightarrow \bar{\psi}_2 \gamma_\mu (\gamma_\mu \gamma_5) \psi_1$$

All connected by CPT-Theorem: "Proper" *

field theory invariant under CPT

* Local. Lorentz-invariant

$$\mathcal{L}^g \cong W_\mu^- \bar{d}_{Lj} \gamma^\mu u_{Li} V_{ji}^+ + W_\mu^+ \bar{u}_i \gamma^\mu d_j V_{ij}^{10}$$

$$\mathcal{L}_{CP}^g \cong W_\mu^+ \bar{u}_{Li} \gamma^\mu d_{Lj} V_{ji}^+ + W_\mu^- \bar{d}_j \gamma^\mu \bar{u}_i V_{ij}$$

$$\mathcal{L}_{CP}^g = \mathcal{L}^g : V_{ij} = V_{ji}^+ = V_{ij}^*$$

Complex coupling: CP-violation

Phases necessary; not always sufficient
(Q.M.: Some phases irrelevant)

all s.m. CP violation in V_{CKM}

$N \times N$ unitary matrix N^2 Parameters

$$N^2 = \underbrace{\frac{1}{2} N(N-1)}_{\text{angles}} + \underbrace{\frac{1}{2} N(N+1)}_{\text{phases}}$$

$2N-1$ phases can be removed

$$\rightarrow \frac{1}{2} N(N+1) - (2N-1) = \frac{(N-1)(N-2)}{2}$$

only phases for $N \geq 3$: K.M.

- flavor \longleftrightarrow CP violation
- need 3 "generation" to have effect (Loops!)

Issues in flavour physics

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① Determine parameters in S.M.

a) Masses of quarks + leptons

$$\begin{aligned} m_{\nu_e} &\sim 10^{-2} \text{ eV} & m_{\nu_\mu} &\sim 10^{-2} \text{ eV} & m_{\nu_\tau} &\sim 10^{-1} \text{ eV} \\ m_e &\cong 0.5 \text{ MeV} & m_\mu &\cong 102 \text{ MeV} & m_\tau &\cong 1800 \text{ MeV} \\ m_u &\cong 5 \text{ MeV} & m_c &\cong 1200 \text{ MeV} & m_t &\cong 175 \text{ GeV} \\ m_d &\cong 7 \text{ MeV} & m_s &\cong 100 \text{ MeV} & m_b &\cong 4200 \text{ MeV} \end{aligned}$$

- m_ν : Oscillations, cosmology, β/β
- m_e, m_μ, m_τ : kinematical (also m_t)
- m_u, m_d : chiral perturbation theory
XPT
 $m_u, m_d \ll m_\pi$!

Deviation from $m_u = 0 = m_d$ prediction
(see p. 5)

- $m_u, m_d = 0$: $\pi\pi$ -scattering $\sim s$
 $m_\pi^2 = 0$
- $m_u, m_d \neq 0$: $m_\pi \cong \chi \cdot (m_u + m_d)$ etc.

- m_s : from χ PT, K , $\phi (= \bar{s}s)$, Lattice
Sum rules
scale dependence $m_s(2 \text{ GeV})$
- m_c : various methods $m_c(1 \text{ GeV})$
- m_t : various methods, heavy quarks, ...
masses not directly observable.

b) V_{CKM} - elements $V_{ub} \dots$

$$\text{rough: } V_{CKM} \approx \begin{pmatrix} 1 & \lambda & \frac{1}{2} \lambda^3 \\ -\lambda & 1 & \lambda^2 \\ \lambda^3 & -\lambda^2 & 1 \end{pmatrix} \quad \lambda \approx 0.2$$

many methods

② Check flavour structure.

flavour changing neutral currents
(loops) in rare decays + ν -oscill

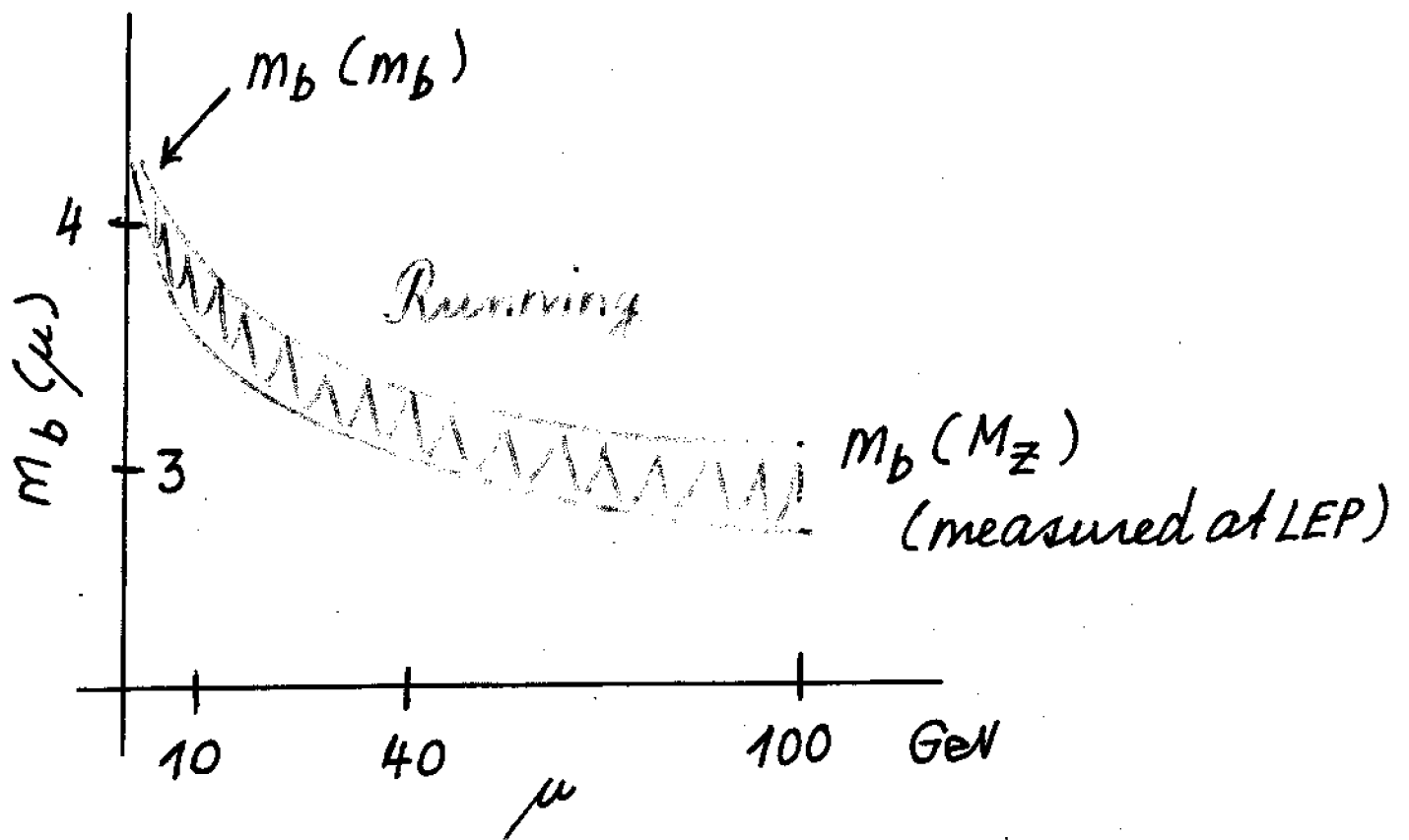
③ Check CP-violation.

* ALL is technical work (QCD related)

Comment: masses are scale dependent (as any parameter in \mathcal{L})

$$\frac{d \log m(\mu)}{d \log \mu} = b \cdot g^2(\mu) + \dots$$

$$b = -\frac{3}{8\pi^2} C_2^{\leftarrow} \cdot (n^2 - 1)/n$$



extremely important for G.U.T.

④

Explain why:

3 generations

hierarchical pattern of parameters

Vague Ideas:

horizontal gauge symmetries

geometrical structures in higher dimensions (strings)

grand unification (SU(5))

example: table (p. 11) indicates
lepton-quark relation

↔ irreducible representation!

16 fields (e, ν , d(3) u(3)) ⇒

SO(10) group as gauge
group (or SU(5))

quarks leptons "undistinguishable"

proton decay $p \rightarrow \pi e$

($p \rightarrow \pi\pi$ no!)

* Speculative, imagination, mathem.

Example: $d_1 \approx \text{quadr.}$

• $\frac{m_d}{m_s} \approx \frac{1}{20} \quad \theta_c \approx \frac{1}{5} \approx \frac{1}{\sqrt{20}}$

$V_{CKM} \approx \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix}$

$V_{CKM}^\dagger M^d V_{CKM} = \begin{pmatrix} m_d & 0 \\ 0 & m_s \end{pmatrix}$

$\Rightarrow M^d \approx \begin{pmatrix} 0 & \epsilon \\ \epsilon & 1 \end{pmatrix} \quad \theta_c \approx \epsilon \quad \frac{m_d}{m_s} \approx \epsilon^2$

"texture-zero"

• Three generations:

$\begin{pmatrix} 0 & \epsilon^3 & 0 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ 0 & \epsilon^2 & 1 \end{pmatrix}$

Eigenvalues:
 $\epsilon^4, \epsilon^2, 1$

$\theta_{23} \approx \epsilon^2, \theta_{12} \approx \epsilon$

θ_{12} small.

$d, s, b : \epsilon \approx 0.15$

$u, c, t : \epsilon \approx 0.08$

caused by symmetries?
geometry?

2. The CKM-Matrix

(p.7) V unitary \rightarrow parametrization with 3 angles, 1 phase

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{12} \end{pmatrix}$$

δ indicates CP-violation

can be eliminated (unphysical) if:

- any 2 quarks of same charge have same mass
- any of the $s_{ij} = 0$

example: $s_{12} = 0$ $c_{12} = 0$

$$V = \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ s_{23}s_{13}e^{i\delta} & c_{23} & s_{23} \\ c_{23}s_{13}e^{i\delta} & -s_{23} & c_{23} \end{pmatrix}$$

$$u_L \rightarrow e^{-i\delta} u_L \Rightarrow V_{11}, V_{21}, V_{31} \sim e^{i\delta}, V_{13} \text{ real}$$

$$d_L \rightarrow e^{i\delta} d_L \Rightarrow \text{all real}$$

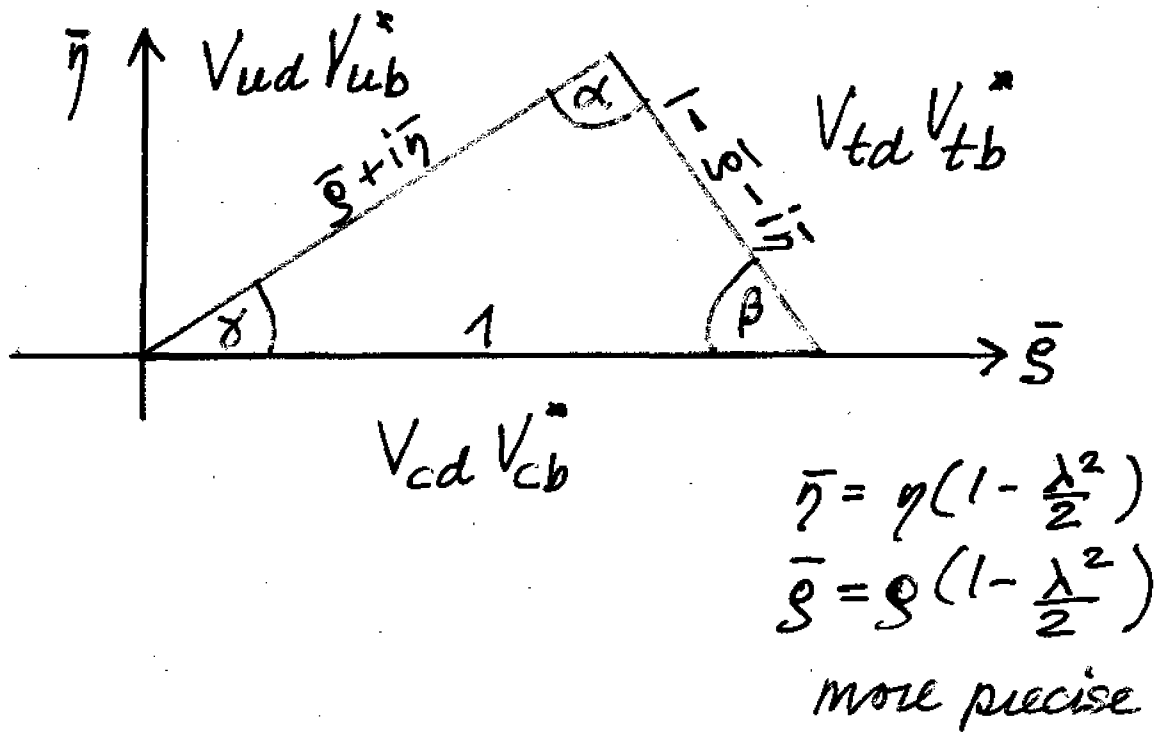
- CP-violol: $(m_u^2 - m_c^2)(\dots) s_{12} s_{13} s_{23} \cdot \sin \delta$ Jarlskog

More useful parametrization (Wolfenstein)

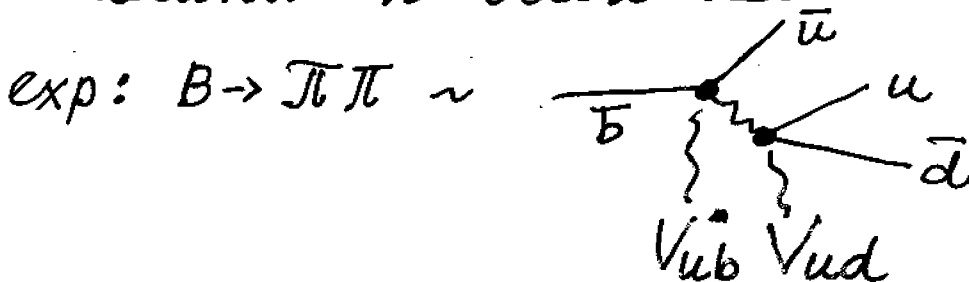
$$V \cong \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

Unitarity: triangle relations

$$0 = V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^*$$



Related to observables



Precise tests of S.M. and 3 generations

Major effort to "check" triangle "

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measure lengths: Rates, non-CP

measure angles: CP-violation

(area of $\Delta \simeq$ CP-violation)

Relationship between V_{ij} and
(experimental) observables difficult
complicated (and interesting!) theory!

Goal: Verify / contradict triangle

must include possible new physics
in analysis

possibilities:

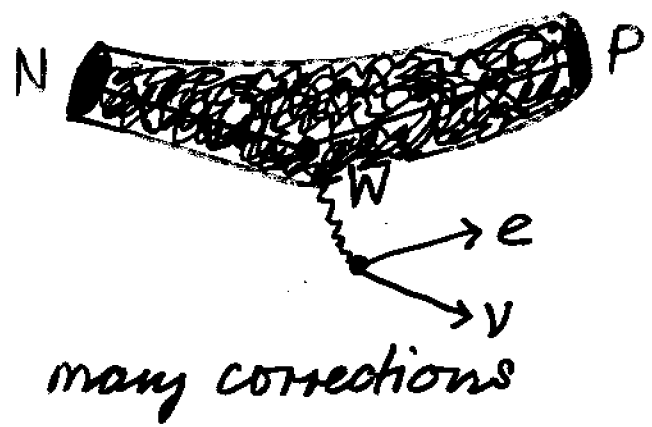
4 generation: triangle \rightarrow quadrangle

new $\Delta F = 1, 2$: interpret lengths differently

Calculation: Tree level processes

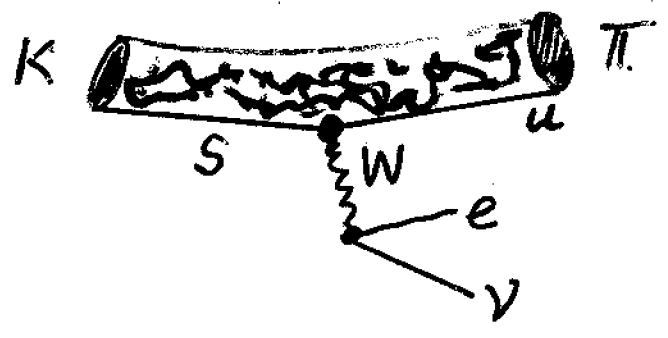
loop induced ($\sim V_{td}$)

Tree-level processes



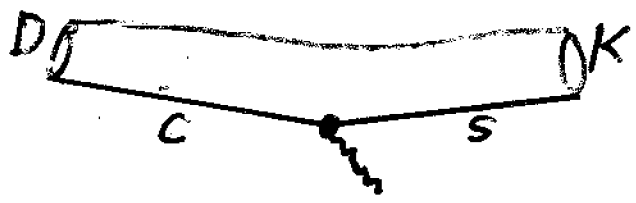
superallowed β transition

$$|V_{ud}| = 0.9736 \pm 0.0005$$

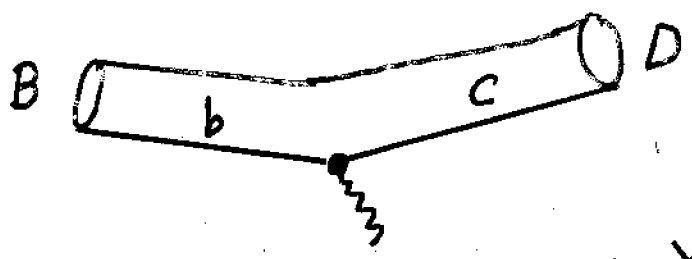


$$|V_{us}| = 0.2240 \pm 0.0036$$

chiral perturbation theory

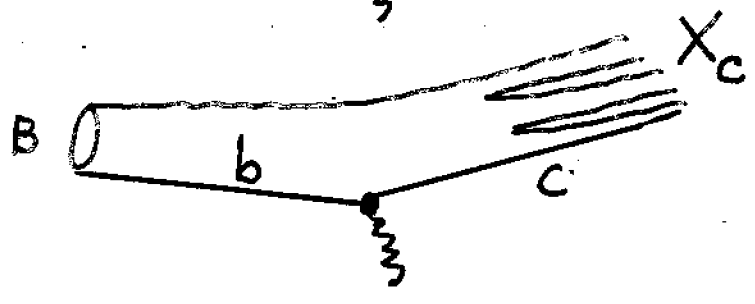


$$|V_{cs}| = 1.01 \pm 0.01$$



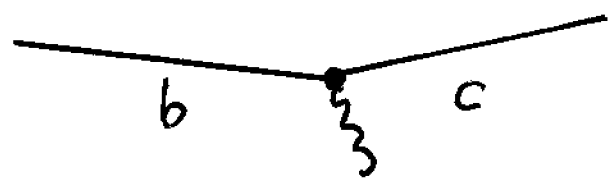
exclusive:

$$|V_{bc}| = (42.1 \pm 1.9) 10^{-3}$$



inclusive:

$$|V_{bc}| = (41.4 \pm 1.3) 10^{-3}$$



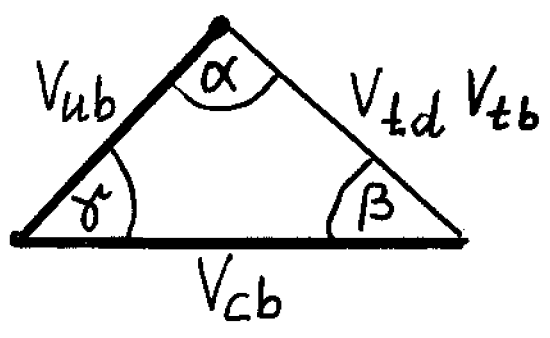
exclusive:

$$|V_{ub}| = (3.30 \pm 0.7) 10^{-3}$$

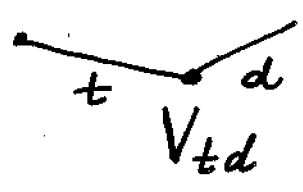
inclusive:

$$|V_{ub}| = (4.09 \pm 0.8) 10^{-3}$$

3. Loop induced decays



V_{ub}, V_{cb} from tree
(little new physics)

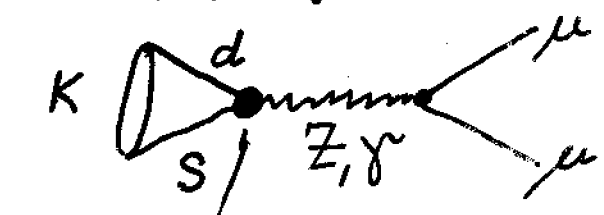


- need t -decays \rightarrow NO
- loops with t similarly V_{ts}

- angles β, α, γ need loops to

Flavour loops!

① K-physics



$$\left. \begin{array}{l} \text{W loop } \left\{ \begin{array}{l} V_{ud} V_{us}^* f(m_u) \\ V_{cd} V_{cs}^* f(m_c) \end{array} \right. \end{array} \right\} \lambda G_F \left(\frac{m_u^2 - m_c^2}{M_W^2} \right)$$

$$G \approx \frac{g^2}{M_W^2} \sim 10^{-5} \text{ GeV}^{-2}$$

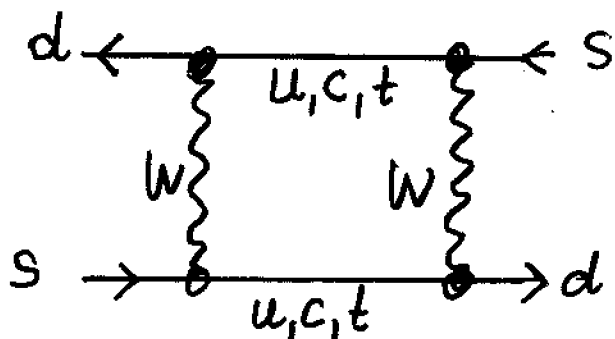
↑

divergent

↑

finite, small

similar: $\Delta m_K : K^0 - \bar{K}^0$ mixing



box-diagram

also cancellations

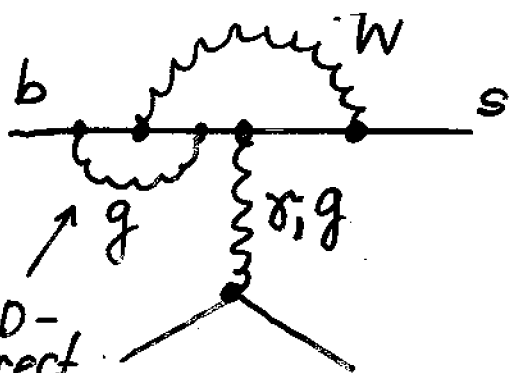
\Rightarrow Effects prop. $(m_u^2 - m_c^2) / M_W^2$

pre 1974 : no charm quark : no or inaccurate predictions \rightarrow

Charm quark foreseen by GIM (1970) and estimate of mass (~ 1.5 GeV)!

- $(m_u^2 - m_c^2) / M_W^2$: linear GIM-cancellation
- In more complicated graphs also $\log \left(\frac{m_u^2}{m_c^2} \right)$: logarith. GIM much less suppressed!

examples:



penguin-diagram

$b \rightarrow s \gamma$

$c \rightarrow u \gamma (s, b, d)$

QCD-correct.

GIM-softening: higher order
corrections important!

$$c \rightarrow u\gamma : \quad BR \sim 10^{-18} \quad \text{L.O.}$$

$$BR \sim 10^{-12} \quad \text{L.L.}$$

$$BR \sim 10^{-7} \quad \text{NLL}$$

$$\text{LL: } G_F (m_s^2 - m_W^2) / M_W^2 \sim G_F \cdot 10^{-6}$$

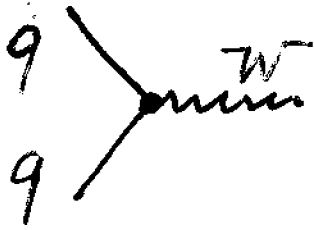
$$\text{NLL: } G_F \alpha_s \log \left(\frac{m_s^2}{m_d^2} \right) \sim G_F 10^{-1} \dots$$

Aside: Effective Hamiltonian (no QCD)

Standard example: Fermi-theory

$$\mathcal{L}[W, q] \simeq W^\mu W^\nu (k^2 - M_W^2) g_{\mu\nu} + \dots$$

$$+ g J_\mu W^\mu + \text{h.c.} + \dots$$



$$J_\mu = \bar{q} \gamma_\mu (1 - \gamma_5) q$$

$$q = u, d, s, \dots$$

$$m_q \ll M_W$$

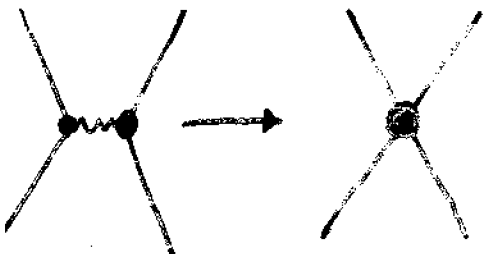
- at $E(q) \ll M_W$ W not produced
 W only "internal"

"Integrate" out W

$$\textcircled{1} \text{ EOM: } 2W^\mu (k^2 - M_W^2) + g J^\mu = 0$$

$$W^\mu = -g J^\mu / 2(k^2 - M_W^2)$$

$$\mathcal{H}_{\text{eff}}[q] = -\frac{g^2}{4} J_\mu J^\mu \frac{1}{k^2 - M_W^2}$$



$$= \underbrace{\frac{g^2}{4M_W^2} \sum_n \left(\frac{1}{M_W^2}\right)^n}_{C_n} \underbrace{\left(k^2 \sum_\mu J_\mu J^\mu\right)}_{O_n[q]}$$

② Path integral:

$$\sim \int [dW] e^{i \int dx \mathcal{L}[W, g]}$$

$$\rightarrow S_{\text{eff}} \approx \int dx dy g^2 J_{\mu}^{\nu}(x) \Delta_{\nu}^{\mu} J^{\nu}(y)$$

$$\Delta_{\nu}^{\mu} = \int \frac{d^4 k}{(2\pi)^4} e^{-ik(x-y)} \frac{g_{\nu}^{\mu}}{k^2 - M_W^2}$$

$$k^2 \ll M_W^2 \rightarrow \Delta_{\nu}^{\mu} \sim \delta(x-y)$$

same result

need to consider $J_{\mu}(x) J^{\nu}(y)$
 $x \rightarrow y$

Operator product expansion

③ general

$$\mathcal{L}[a, b, \dots; A, B, C, \dots] \quad m_{a, \dots} \ll m_{A, \dots}$$

effective theory for a, b, \dots

$$\mathcal{H}_{\text{eff}}(\mathcal{L}_{\text{eff}}) = \sum_n C_n(A, \dots) O_n[a, \dots]$$

effective Hamiltonian

of interest: $\langle \mathcal{H}_{\text{eff}} \rangle$ matrix elements

$$\langle \mathcal{H}_{\text{eff}} \rangle = \sum C_n \langle O_n \rangle$$