



the  
**abdus salam**  
international centre for theoretical physics

*ICTP 40th Anniversary*

*SCHOOL ON SYNCHROTRON RADIATION AND APPLICATIONS  
In memory of J.C. Fuggle & L. Fonda*

**19 April - 21 May 2004**

*Miramare - Trieste, Italy*

**1561/39**

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## **Spectral and image analysis**

**L. Gregoratti**

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# Spectral and image analysis

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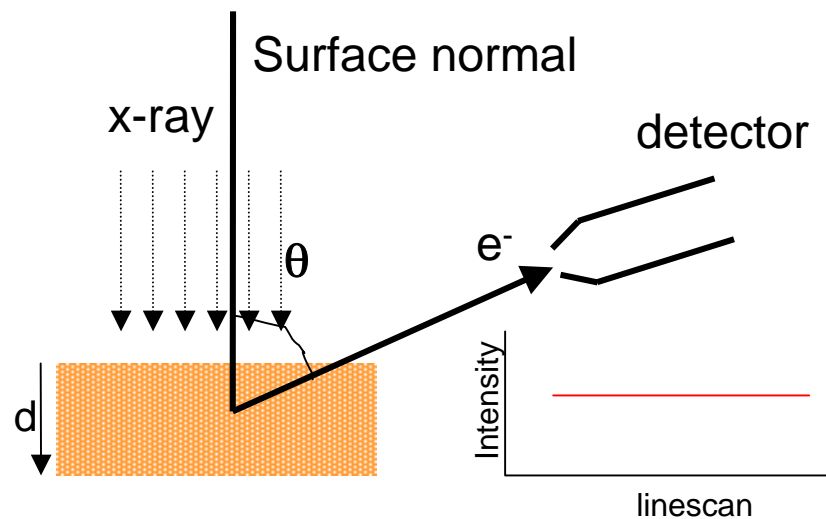
email: [luca.gregoratti@elettra.trieste.it](mailto:luca.gregoratti@elettra.trieste.it)

# Contrast mechanisms in a photoemission image

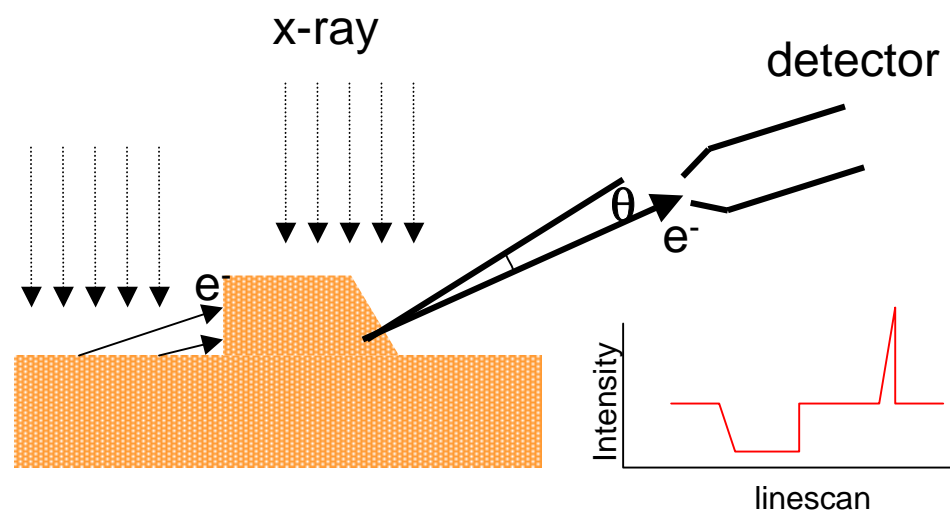
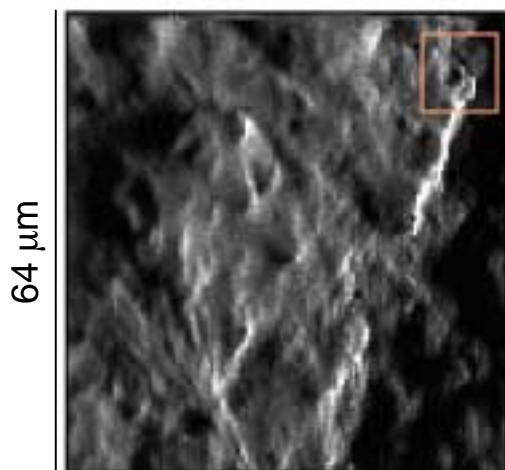
- Topography

$$I = I_0 e^{-\frac{d}{\lambda \cos \theta}}$$

$\lambda$  = inelastic mean free path



Blob of C nanotubes



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- Chemical inhomogeneity

Ni islands on Si

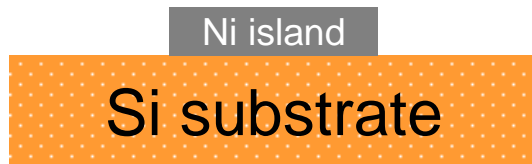


Image on Ni

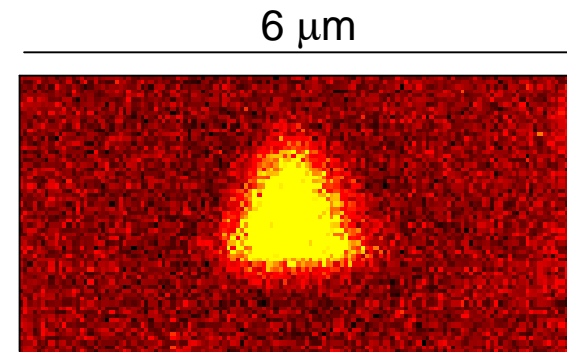
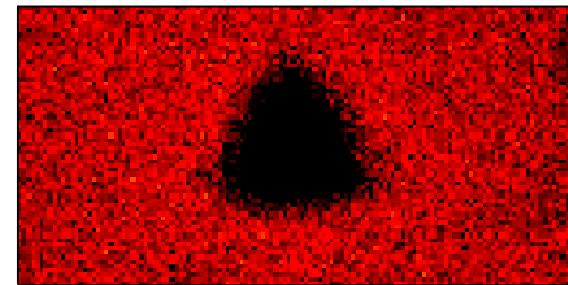


Image on Si



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Au patch on Rh(110)



Image on Rh

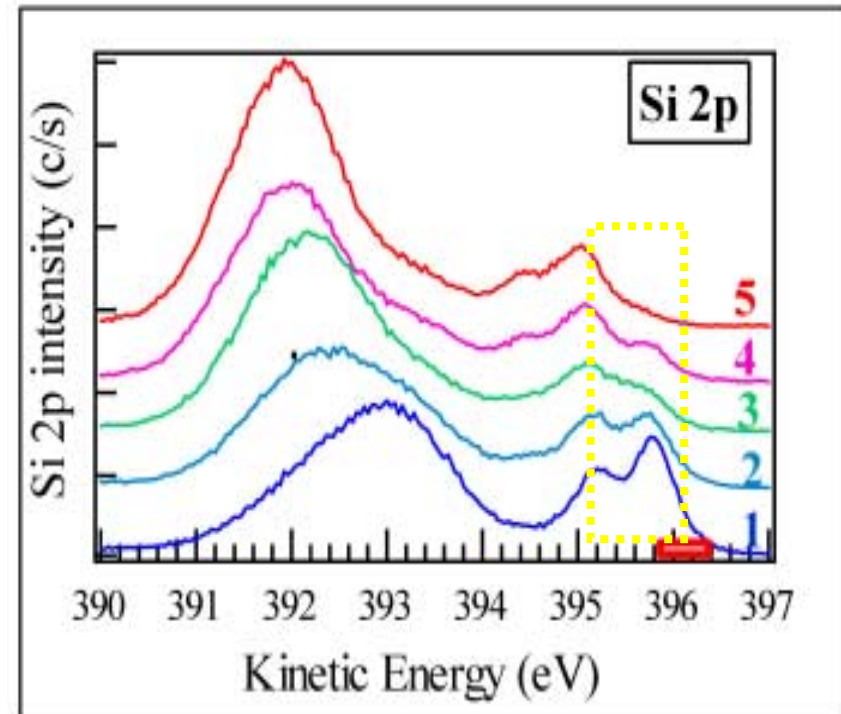
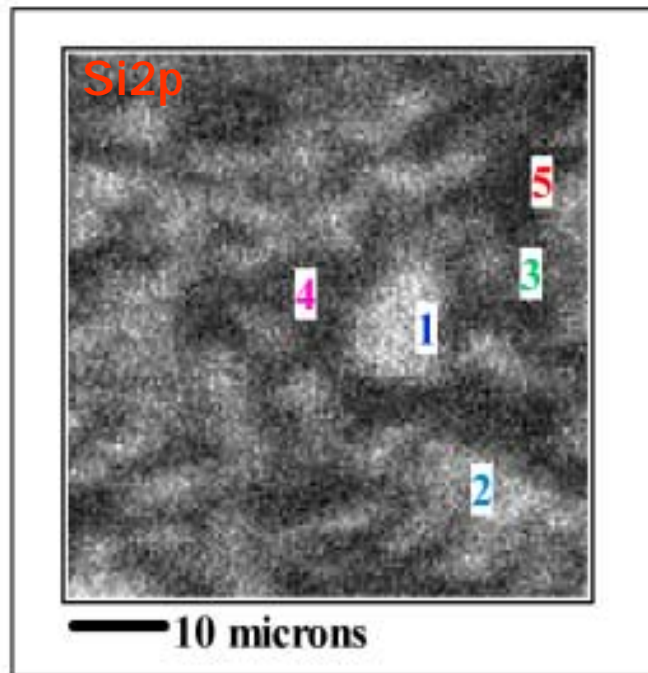


Image on Au



- Other sources of contrast

charging



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# Getting the chemical information out of the artefacts

## Artefacts

1. Topography
2. Beam induced effects:
  - C deposition (residual gases)
  - O<sub>2</sub> reduction
  - Charging
3. Background level

## General formulas:

$$I = \frac{I_{peak} - I_{bkg}}{I_{peak} + I_{bkg}}$$

$$I = \frac{I_{peak} - I_{bkg}}{I_{bkg}}$$

$$I = \frac{I_{peak}}{I_{bkg}}$$

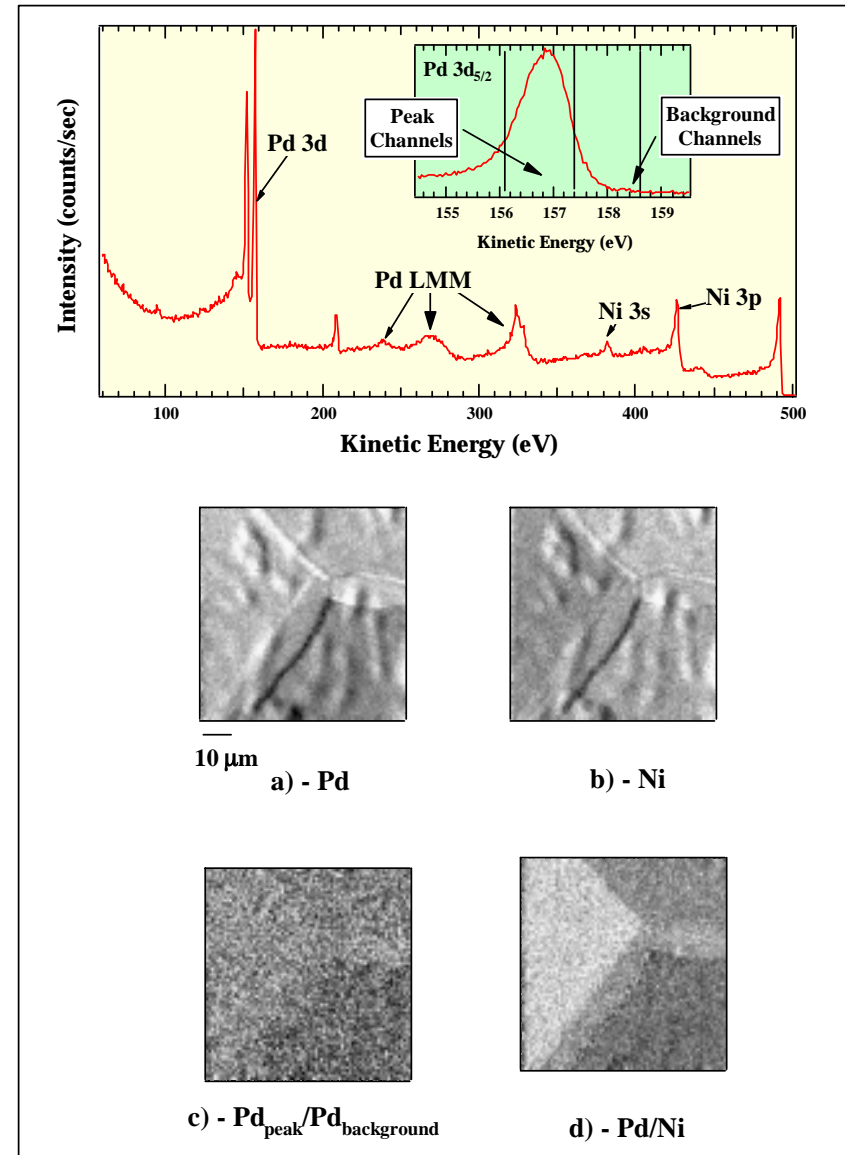
Which  $I_{bkg}$  ?

- $I_{bkg}$  left
- $I_{bkg}$  right
- $I_{bkg}$  (left+right)
- *secondaries*

sometimes

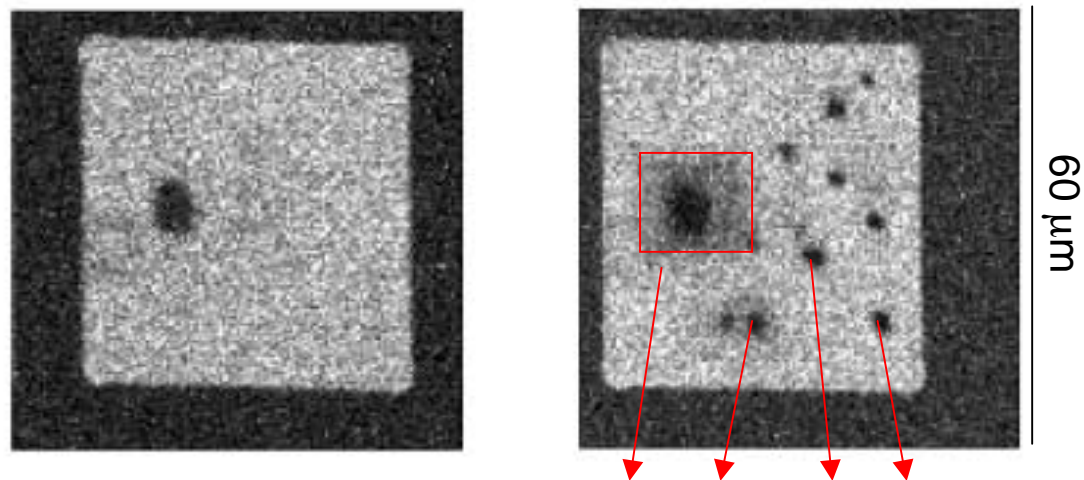
only:

$$\frac{I_{peak1}}{I_{peak2}}$$



## C growth

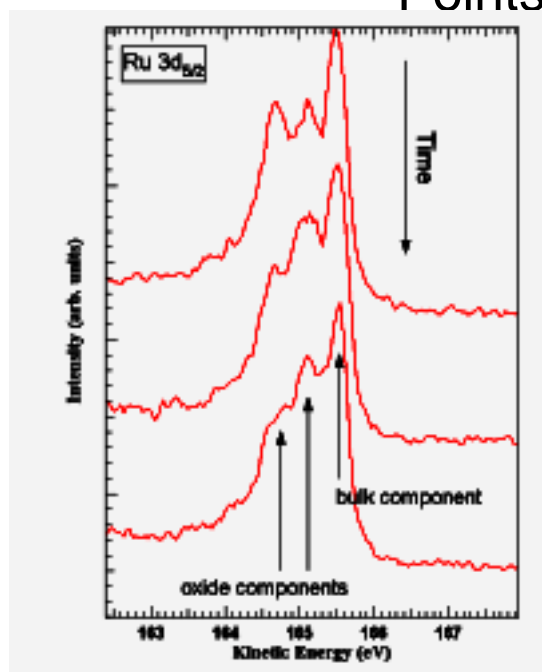
SiO<sub>x</sub> sample  
Si2p maps



Points irradiated (>10 min)

## O<sub>2</sub> reduction

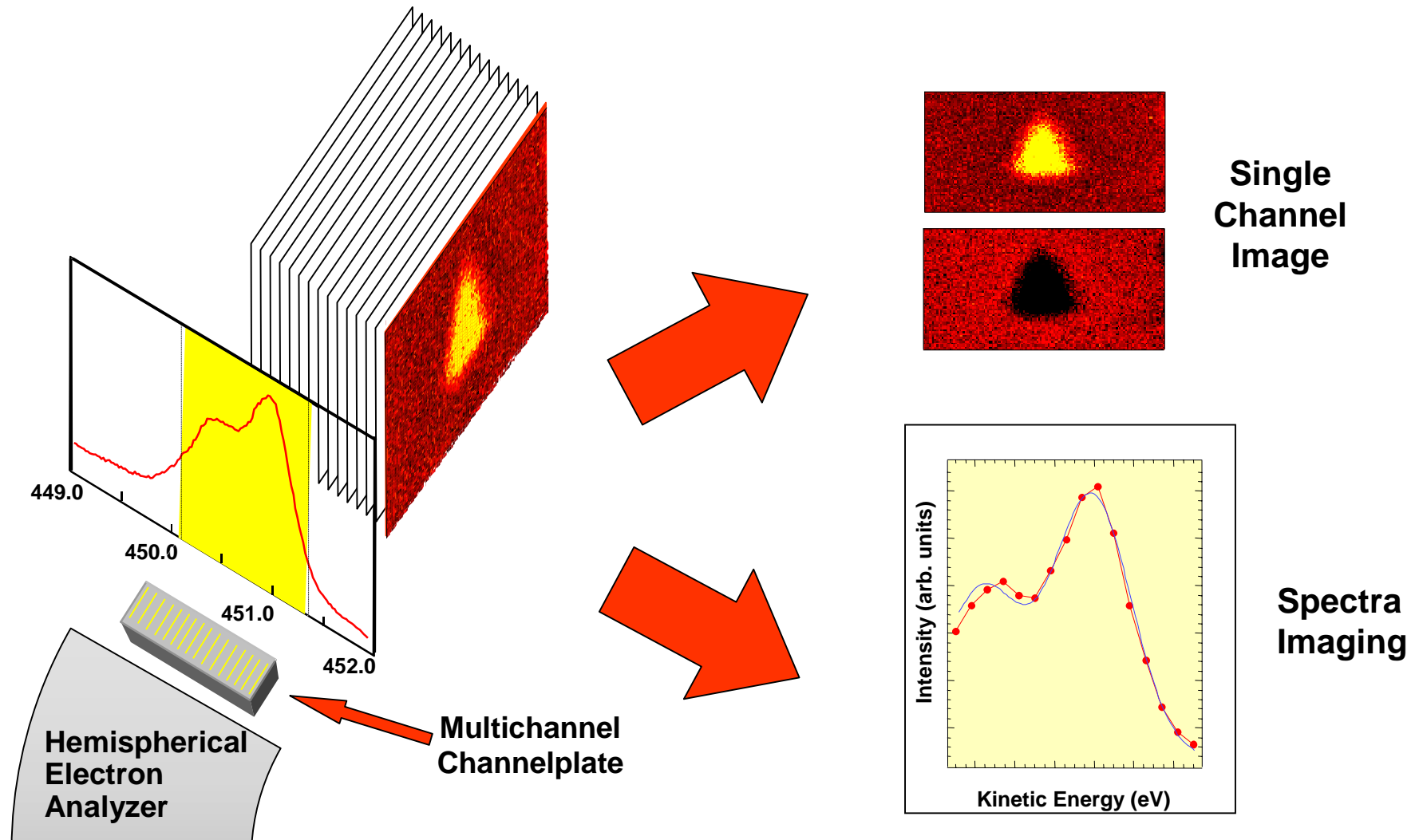
RuO<sub>x</sub> sample



Each spectrum every 1 min



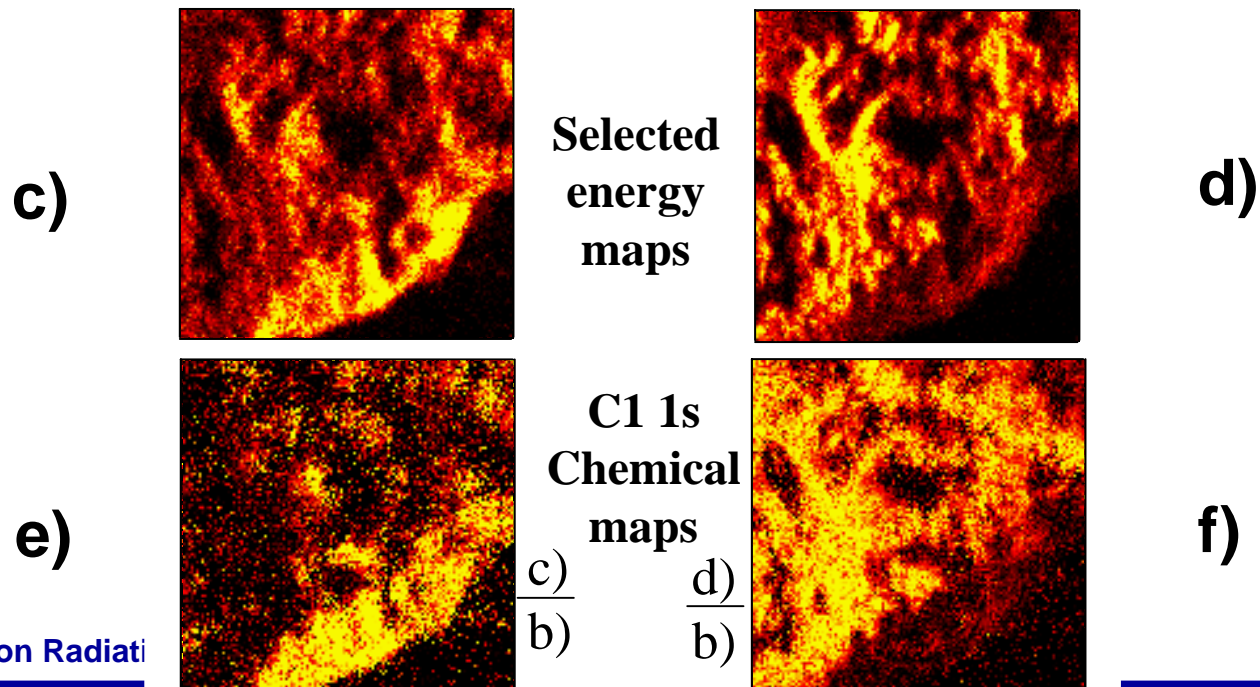
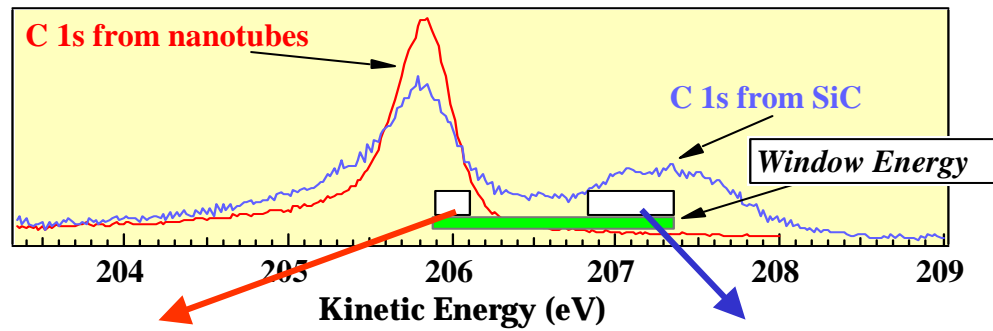
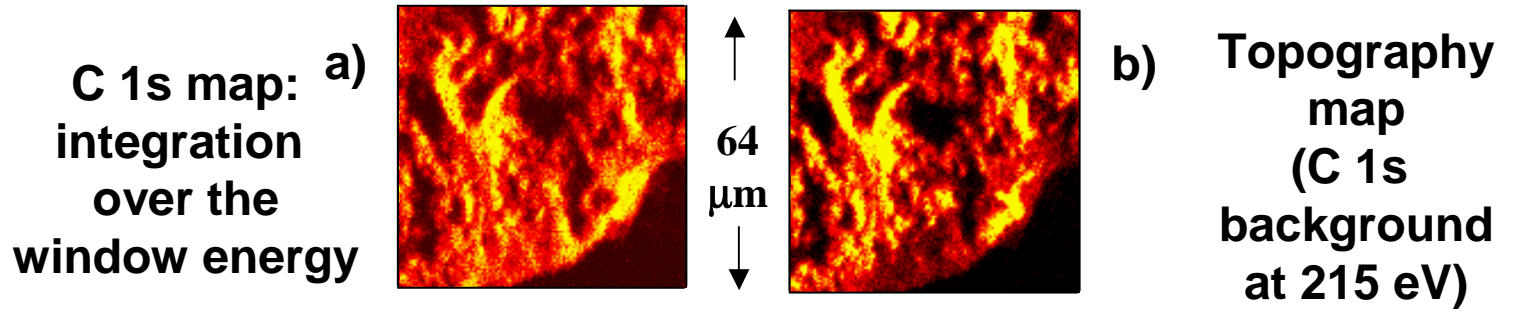
# Multichannel detection



# Single Channel Analysis

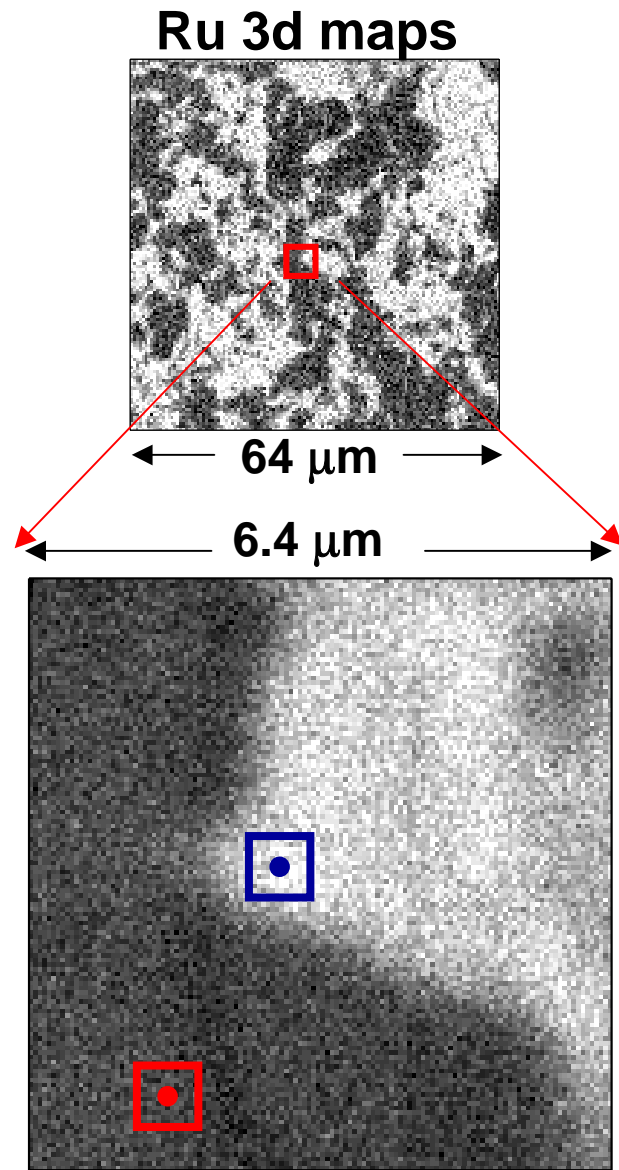
## Carbon nanotubes on SiC

R. Larciprete – Enea - Italy



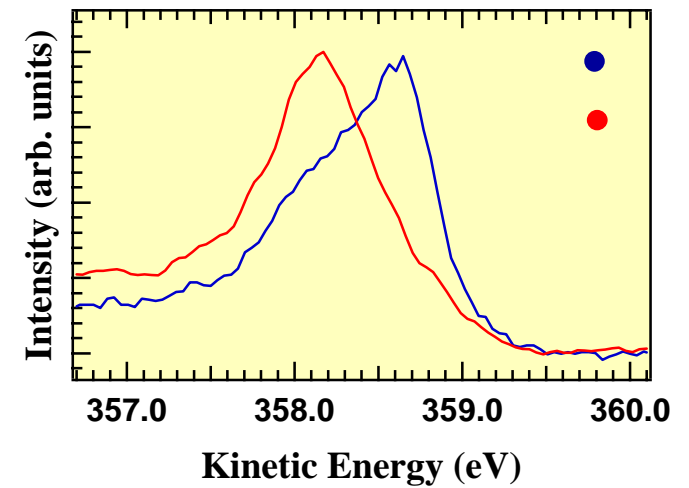
# Spectra Imaging

**Ru(0001) oxidation**  
H. Conrad – FHI - Germany

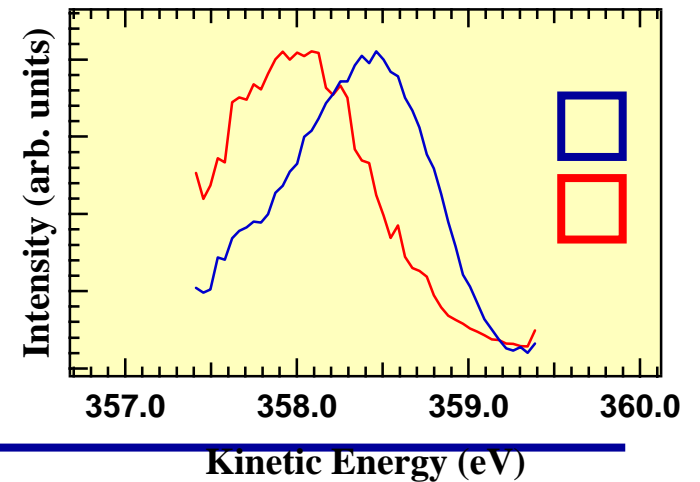


### Ru 3d<sub>5/2</sub> spectra

Conventional Scanning Spectroscopy (48 points - 70 sec)

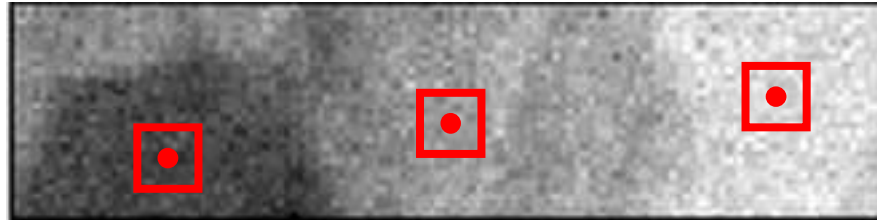


### Inherent Dispersion Energy Spectroscopy (48 points - 10 sec)



# Spectra Imaging

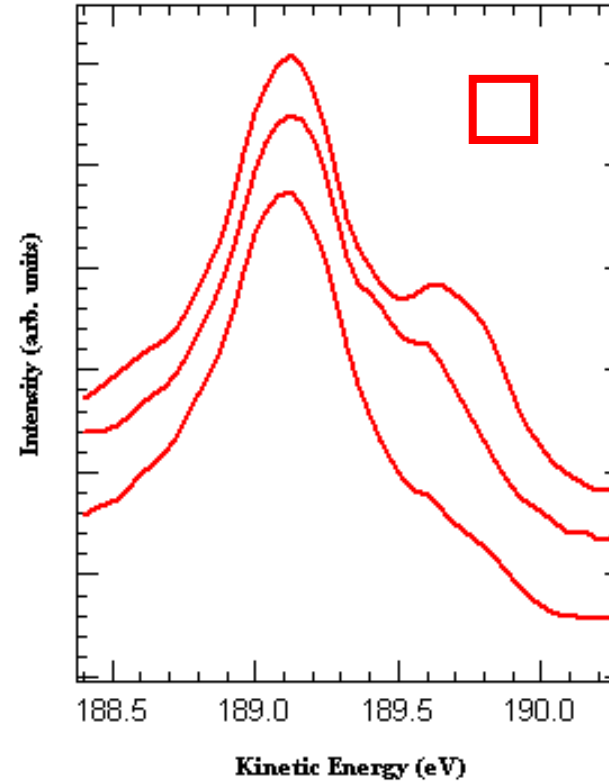
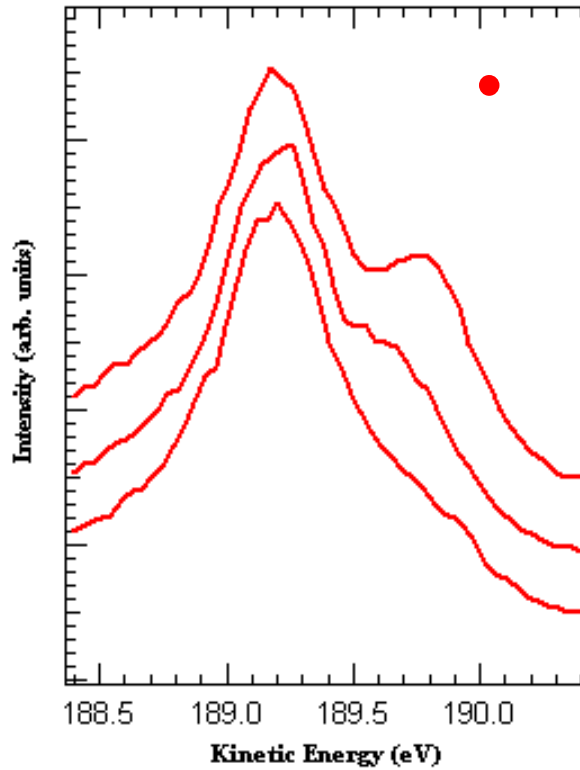
Rh 3d  
map



Conventional Scanning Spectroscopy

Inherent Dispersion Energy Spectroscopy

Rh 3d<sub>3/2</sub> spectra



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# Spectra analysis

Natural linewidth or core hole lifetime (Lorentzian)

Good for insulators and semiconductors

$E_L$  = centroid  
 $\Gamma_L$  = FWHM

$$L(E) = \frac{1}{\left(1 + 4\left(\frac{E - E_L}{\Gamma_L}\right)^2\right)}$$

Instrumental resolution and phonon broadening (Gaussian)

$$G(E) = \exp\left[-4 \ln 2 \left(\frac{E - E_G}{\Gamma_G}\right)^2\right]$$

$E_G$  = centroid  
 $\Gamma_G$  = FWHM

Convolution of the Gaussian and the Lorentzian (Voigt)

$$I(E_G) = \int_{-4\sigma}^{+4\sigma} \exp\left[-4 \ln(2) \left(\frac{E - E_G}{\Gamma_G}\right)^2\right] \times \frac{1}{\left(1 + 4\left(\frac{E - E_L}{\Gamma_L}\right)^2\right)} dE$$

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Natural linewidth or  
core hole lifetime  
(Doniach-Sunjic)

$$DS(E) = \frac{\Gamma_L(1-\alpha) \cos \left[ \frac{\pi\alpha}{2} + (1-\alpha) \arctan \left( 2 \left( \frac{E - E_L}{\Gamma_L} \right) \right) \right]}{\left( (E - E_L)^2 + \Gamma_L^2 \right)^{\frac{1-\alpha}{2}}}$$

Good for metals

$E_L$  = centroid  
 $\Gamma_L$  = FWHM  
 $\alpha$  = asymmetry

Lineshape for metals

$$I(E_G) = \int_{-4\sigma}^{+4\sigma} \exp \left[ -4 \ln(2) \left( \frac{E - E_G}{\Gamma_G} \right)^2 \right] \times DS(E) dE$$

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## Fitting procedure

### Background removal

Background functions:

•Tougaard 
$$F(E) = j(E) - \lambda \int_E^{\infty} dE' K(E' - E) j(E')$$

$F(E)$ =primary excitation spectrum

$j(E)$ =flux of emitted electrons

$K(E,T)$ =probability for an electron of losing energy

$\lambda$ =mean free path for inelastic scattering

•Shirley 
$$b_i = k \sum_{j=i+1}^N p_j$$

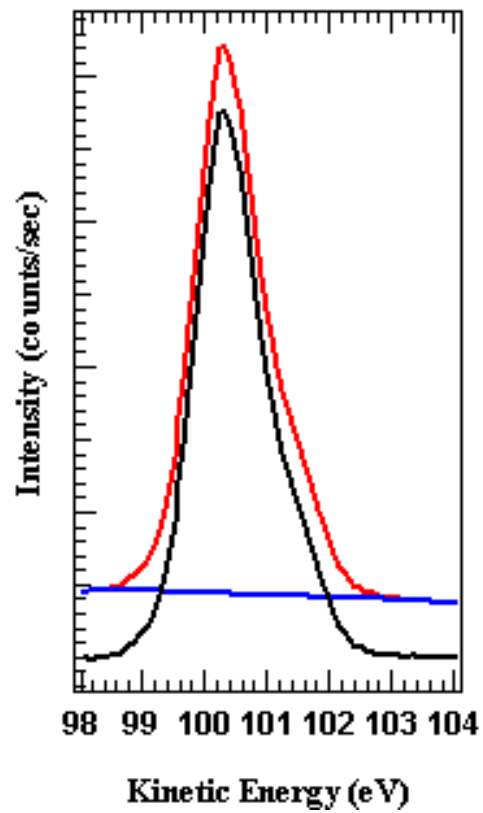
$b_i$ =background of the point  $i$   
 $p_j$ =signal of the point  $j$   
 $N$ =highest kinetic energy  
 $k$ =constant

•Linear

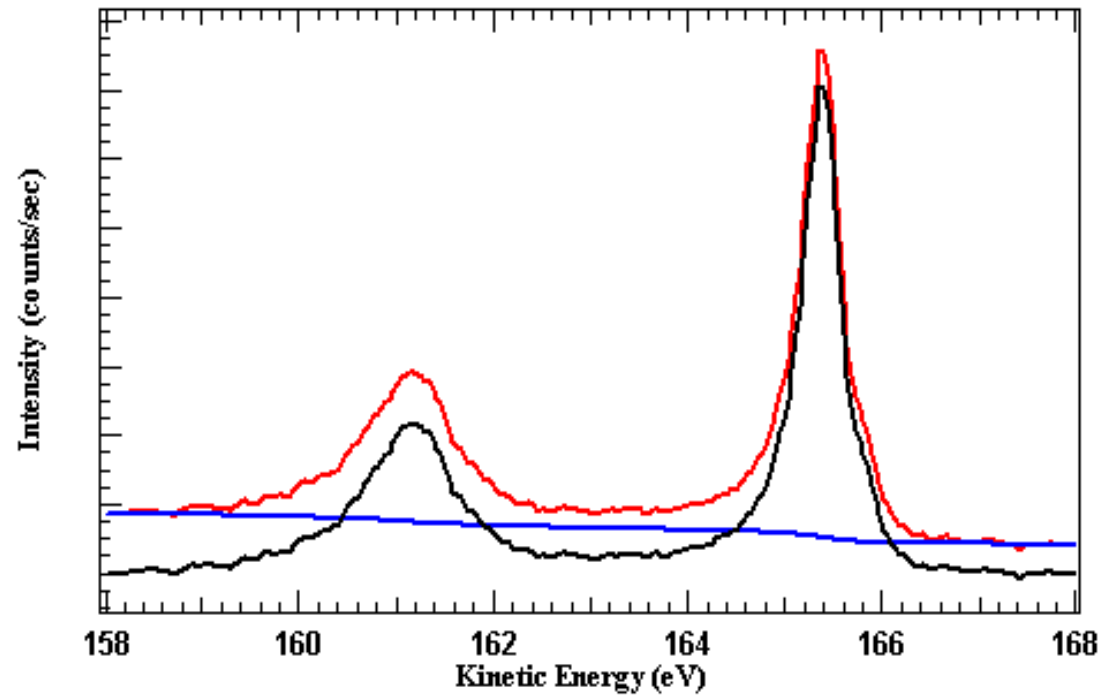
•Cubic

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Linear background: N1s



Shirley background: Rh3d

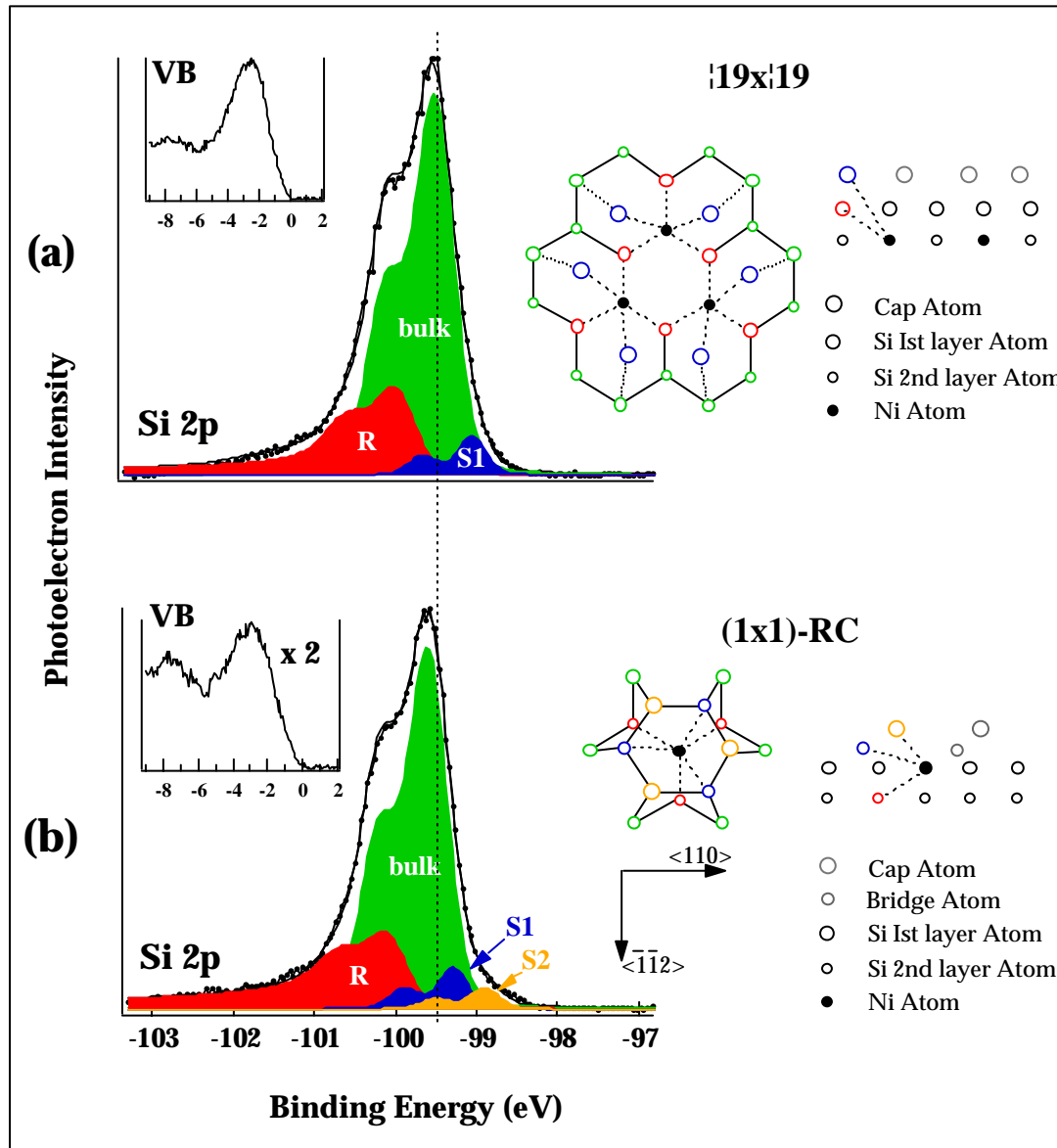




# Fitting

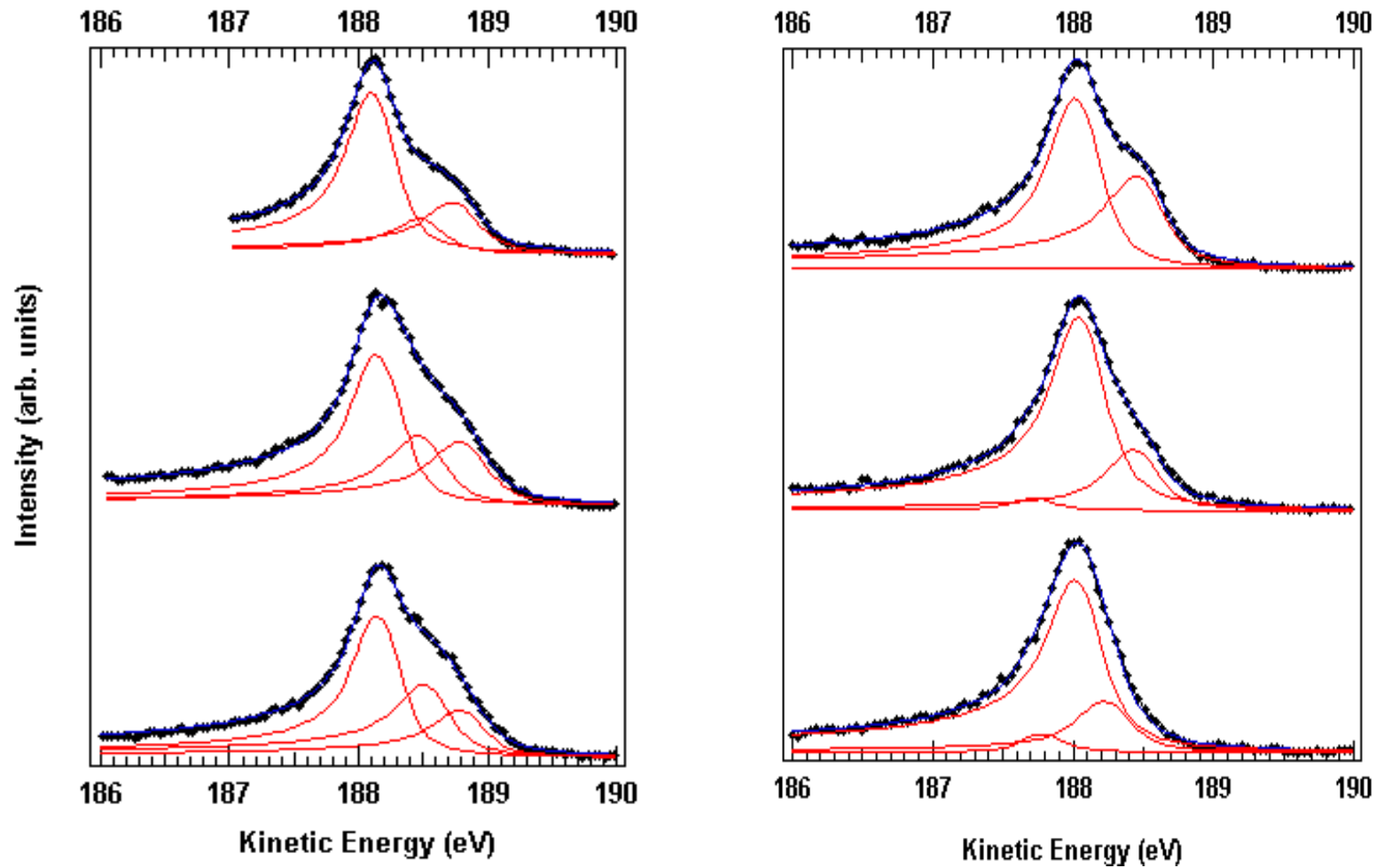
Ni/Si(111)  
2D reconstructions

Si2p  
spectra



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## Rh $3d_{3/2}$ fitting procedure (same experiment)



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## References

S. Gunther, A. Kolmakov, J. Kovac, M. Kiskinova, "Artefact formation in scanning photoelectron emission microscopy", *Ultramicroscopy* 75 35-51, (1998).

J.J. Joice, M. Del Giudice and J. H. Weaver, "Quantitative analysis of synchrotron radiation photoemission core level data", *J. of Elec. Spectr. And Relat. Phenom.* 49 31-45 (1989).

Stefan Hufner, "Photoelectron spectroscopy, principles and applications", Springer