



the  
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international centre for theoretical physics

ICTP 40th Anniversary

**SMR 1564 - 37**

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**SPRING COLLEGE ON SCIENCE AT THE NANOSCALE**  
**(24 May - 11 June 2004)**

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**BIOMOLECULES; SIMULATION**

**Michele PARRINELLO**  
CSCS, ETH, Zürich, Switzerland

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*These are preliminary lecture notes, intended only for distribution to participants.*



# Pushing back the frontiers of computer simulations

Michele Parrinello

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ETH

USI Campus, Lugano, Switzerland



# Molecular dynamics



Given a potential energy surface:

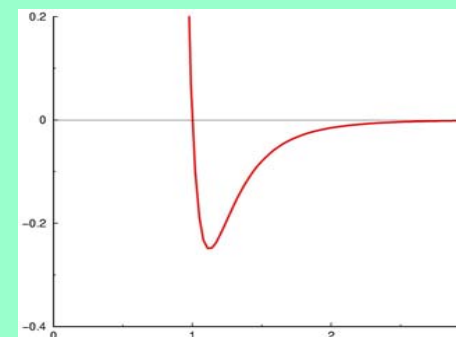
$$U(R_1, R_2, \dots, R_N)$$

The dynamics can be determined from Newton's equation:

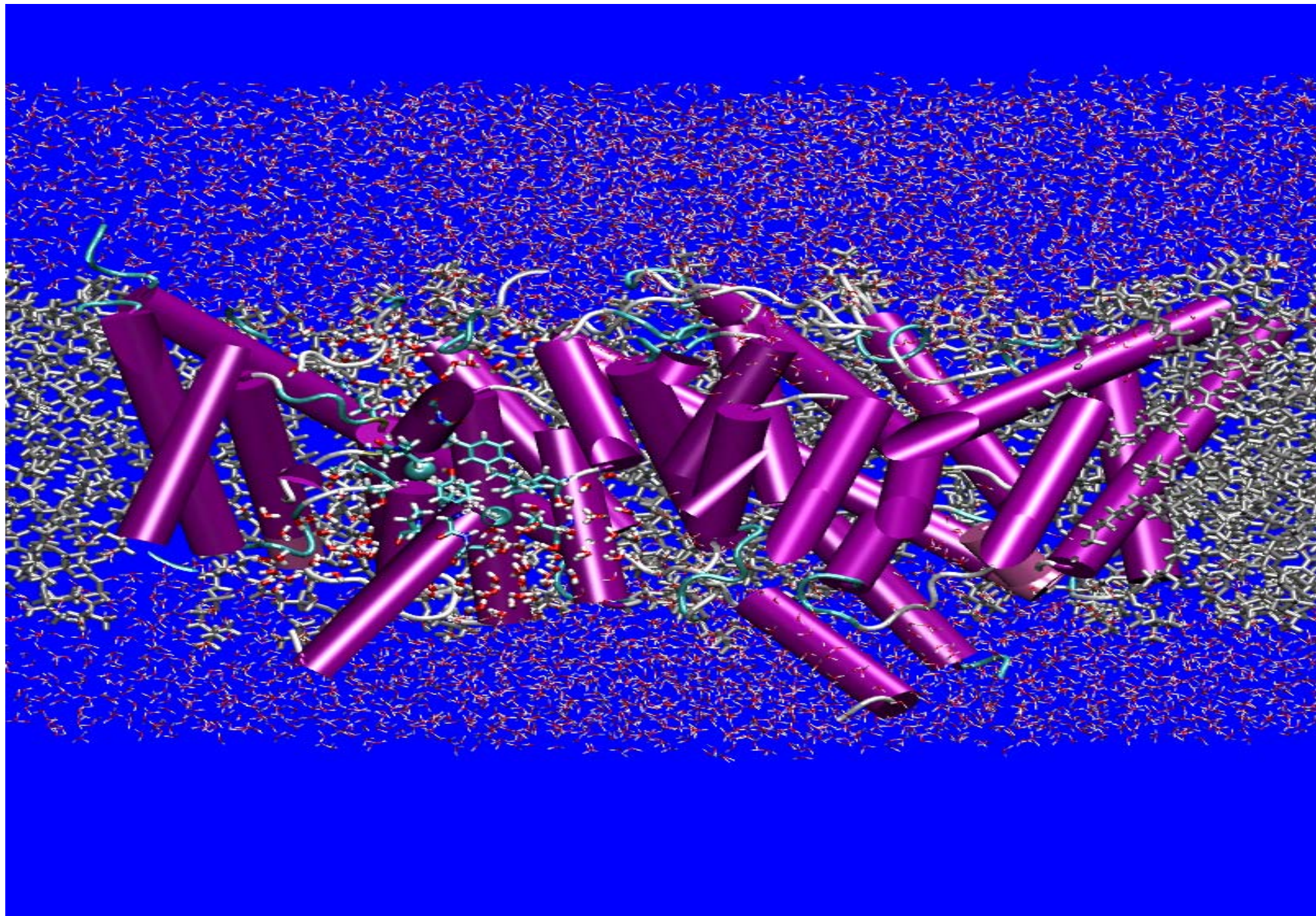
$$M_I \ddot{R}_I = -\nabla U(R_1, R_2, \dots, R_N)$$

# Empirical potentials

- Molecular mechanics:  
Intramolecular forces: bond stretch, bending, torsion
- Electrostatic interactions:  
Partial charges, dipoles, polarization
- Van der Waals interactions:  
Lennard-Jones, Buckingham potential
- Embedded-atom methods:  
Finnis-Sinclair, Glue model, Daw-Baskes







## Pros and cons

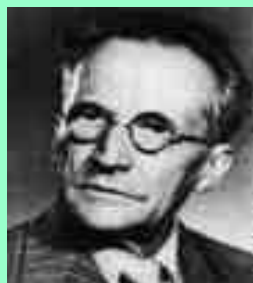
### PROS

- Efficient
- Accurate in specific cases

### CONS

- Not transferable
- No chemistry

## Dealing with the electrons



$$H \psi(r_1, \dots, r_M; R_1, \dots, R_N) = E \psi(r_1, \dots, r_M; R_1, \dots, R_N)$$



# Hartree-Fock

$$\Psi(x_1, \dots, x_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \varphi_1(x_1) & \cdots & \varphi_1(x_N) \\ \vdots & \ddots & \vdots \\ \varphi_N(x_1) & \cdots & \varphi_N(x_N) \end{vmatrix}$$

$$\left[ -\frac{1}{2} \nabla^2 + V_n(x) + \sum_{j \neq i} \int \frac{1}{|x - x'|} |\varphi_j(x')|^2 dx' - J \right] \varphi_i(x) = \varepsilon_i \varphi_i(x)$$

Exchange operator:

$$J \varphi_i(x) = \frac{1}{2} \sum_j \int \varphi_j(x) \frac{1}{|x - x'|} \varphi_j^*(x') \varphi_i(x') dx'$$



# Beyond Hartree-Fock

Adding correlations

- Perturbation theory MP2, MP4, ...  $N^4, N^5$
- Configuration interaction  $\exp(N)$
- Coupled clusters  $N^6, N^7$

Small is beautiful!

# Hohenberg-Kohn

The energy of the ground state of a many-body system is a unique functional of the electron density:

$$E = E[\rho_e(\mathbf{r})]$$

The functional is minimum for the ground state density:

$$E = E[\rho_e(\mathbf{r})] - \mu N$$

$$\frac{\delta E[\rho_e(\mathbf{r})]}{\delta \rho_e(\mathbf{r})} = \mu$$

# Kohn-Sham

$$\rho_e(\mathbf{r}) = 2 \sum_n \psi_n^*(\mathbf{r}) \psi_n(\mathbf{r})$$

$$E = -\frac{1}{2} \sum_n \int d\mathbf{r} \psi_n^*(\mathbf{r}) \nabla^2 \psi_n(\mathbf{r}) + \int d\mathbf{r} \rho_e(\mathbf{r}) V_{ext}(\mathbf{r}) \\ + \frac{1}{2} \int d\mathbf{r} d\mathbf{r}' \rho_e(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \rho_e(\mathbf{r}') + E_{xc}[\rho_e(\mathbf{r})]$$

$$\left( -\frac{1}{2} \nabla^2 + V_{ext}(\mathbf{r}) + V_H(\mathbf{r}) + V_{xc}(\mathbf{r}) \right) \psi_n(\mathbf{r}) = \varepsilon_n \psi_n(\mathbf{r})$$

$$V_H(\mathbf{r}) = \int d\mathbf{r}' \frac{1}{|\mathbf{r} - \mathbf{r}'|} \rho_e(\mathbf{r}')$$

$$V_{xc}(\mathbf{r}) = \frac{\delta E_{xc}[\rho_e(\mathbf{r})]}{\delta \rho_e(\mathbf{r})}$$

# Born-Oppenheimer

The potential energy surface is defined by the instantaneous ground state electronic energy:

$$\phi(R_1, R_2, \dots, R_N) = E_0(R_1, R_2, \dots, R_N)$$

But

$$E_0(R_1, R_2, \dots, R_N)$$

needs to be approximated. We shall choose a theory which has the right balance between accuracy and computational efficiency.

# *Ab-initio* MD

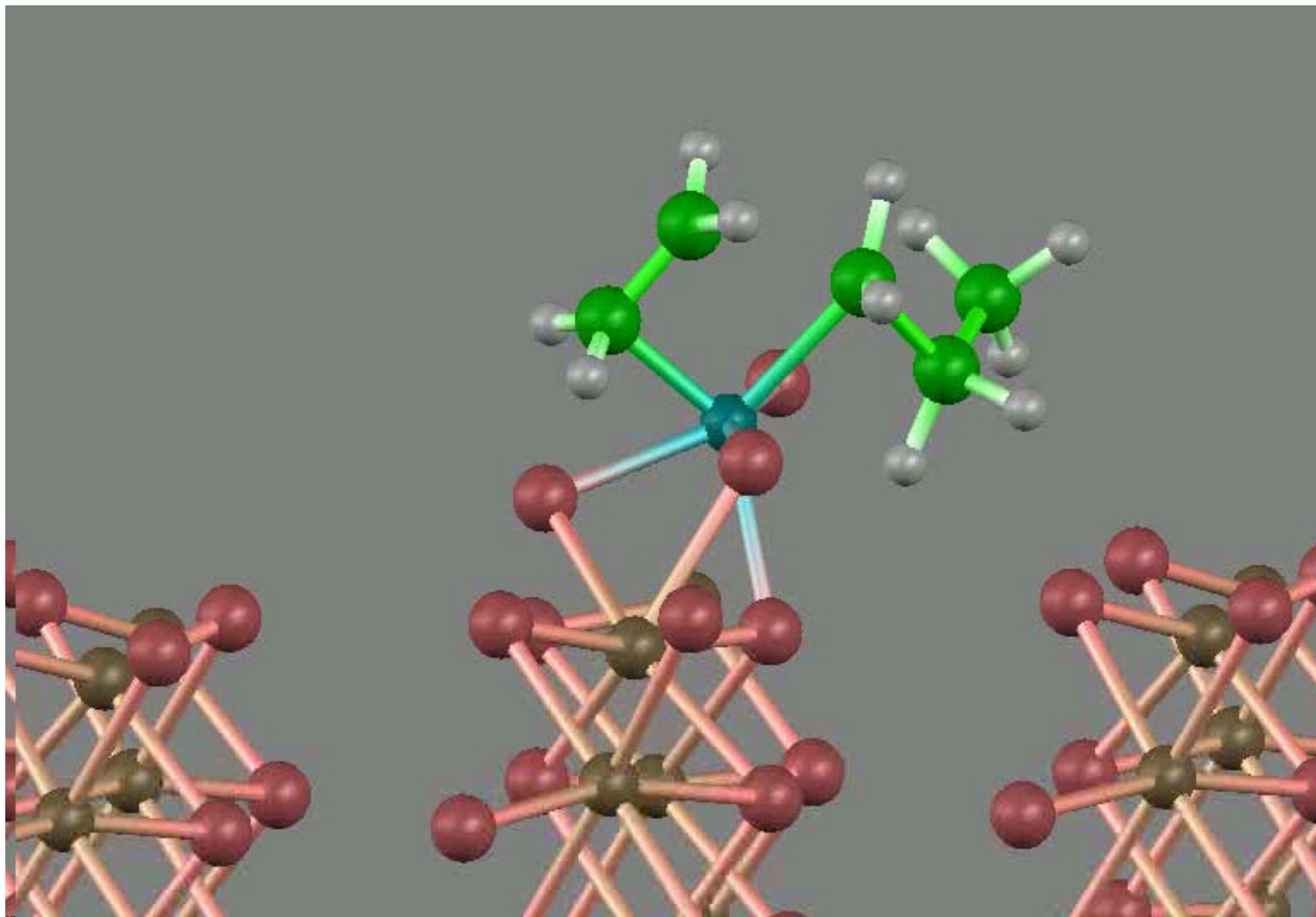
$$L = \frac{1}{2} \mu \sum_n \int dr |\dot{\psi}_n(r)|^2 + \sum_I \frac{1}{2} M_I \dot{R}_I^2 - E_{KS} [\psi_n, R_I] \\ + \sum_{n,m} \Lambda_{n,m} (\langle \psi_n | \psi_m \rangle - \delta_{n,m})$$

$$\omega_e \propto \sqrt{\frac{E_g}{\mu}} \ll \omega_I$$



## Car-Parrinello molecular dynamics

$$\mu \ddot{\psi}_i = -\frac{\delta E^{DFT}}{\delta \psi_i^*} + \sum_j \Lambda_{ij} \psi_j$$
$$M_I \ddot{\mathbf{R}}_I = -\nabla_{\mathbf{R}_I} E^{DFT}$$
$$\mu_q \ddot{\alpha}_q = -\frac{\partial E^{DFT}}{\partial \alpha_q}$$



# Some problems

- ✚ Size
- ✚ Time scale
- ✚ Accuracy
- ✚ Non Born-Oppenheimer

## Going to larger systems

- New Gaussian-based code QUICKSTEP
- Field theoretical approach
- QM/MM
- DFT-based potentials

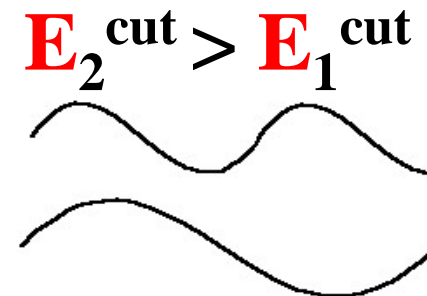
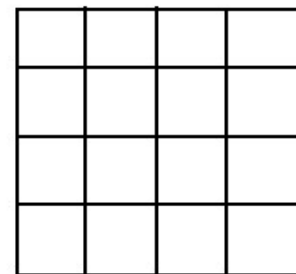
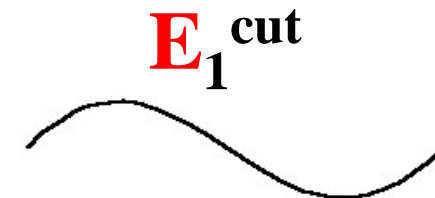
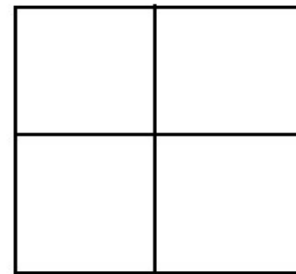
# Plane wave basis set

Plane wave expansion:  $\psi_i(\mathbf{x}) = \sum_{\mathbf{G}} c_i(\mathbf{G}) e^{i\mathbf{G}\mathbf{x}}$   
 $\mathbf{R} \text{ space} \mapsto \mathbf{G} \text{ space}$

$\mathbf{G}$  are the reciprocal space vectors.

The Hilbert space spanned by **PWs** is truncated to a cut-off

$$\mathbf{G}^2/2 < E^{\text{cut}}$$





# Which basis set?

## Plane Waves

- Orthogonal
- No chemical input
- Convergence easy to check
- Simple algebra
- Memory intensive
- Linear algebra and FFT
- No basis set superposition error

[www.cpmc.org](http://www.cpmc.org)

## Gaussians

- Non orthogonal
- Chemical input
- Convergence less easy to check
- More complex
- Reduced memory
- Quantum Chemistry know-how
- Basis set superposition error

[cp2k.berlios.de](http://cp2k.berlios.de)

# Basis set expansion

Orbitals  $\Phi_i$  are expanded in a set of  $M$  basis functions  $\{\chi_\alpha\}$

$$\Phi_i(r) = \sum_{\alpha=1}^M c_{\alpha i} \chi_\alpha(r)$$

## Basis functions

- Atomic orbital based (Gaussian, Slater, numerical)
- Plane waves
- Grid based, finite elements, wavelets

# Basis set expansion

$$S_{\alpha\beta} = \int \chi_{\alpha}^*(r) \chi_{\beta}(r) dr$$

overlap matrix

$$H_{\alpha\beta} = \int \chi_{\alpha}^*(r) \mathcal{H}(r) \chi_{\beta}(r) dr$$

Hamiltonian matrix

$$E_{ij} = \delta_{ij} \epsilon_i$$

Orbital energies

$$\boxed{HC = SCE}$$

# Orbitals

$$C^\dagger SC = 1$$

Orthogonality

$$E[C] = E[C']$$

Invariant

$$C' = CU$$

$$U^\dagger U = 1$$

# Density matrix

$$P_{\alpha\beta} = 2 \sum_{i=1}^{N_e/2} c_{\alpha i} c_{\beta i}^*$$

Properties of the density matrix

$$\text{Tr}(\mathbf{P}\mathbf{S}) = \sum_{\alpha\beta}^M P_{\alpha\beta} S_{\alpha\beta} = N_e \quad \text{normalisation}$$

$$\mathbf{P} = \frac{1}{2} \mathbf{P} \mathbf{S} \mathbf{P} \quad \text{idempotency}$$



# Density matrix

- Unique
- Electron density

$$\rho(r) = \sum_{\alpha\beta} P_{\alpha\beta} \chi_{\alpha} \chi_{\beta}^*$$

- Expectation values

$$\langle \mathcal{O} \rangle = \text{Tr}(P\mathcal{O})$$

$$\sum_i \epsilon_i = \text{Tr}(PH)$$

## Gaussian basis

Basis functions

$$\chi(r) = x^l y^m z^n \exp[-\alpha r^2]$$

Product of basis functions

$$\chi(r - A) \chi(r - B) = \tilde{\chi}(r - C)$$

Localization

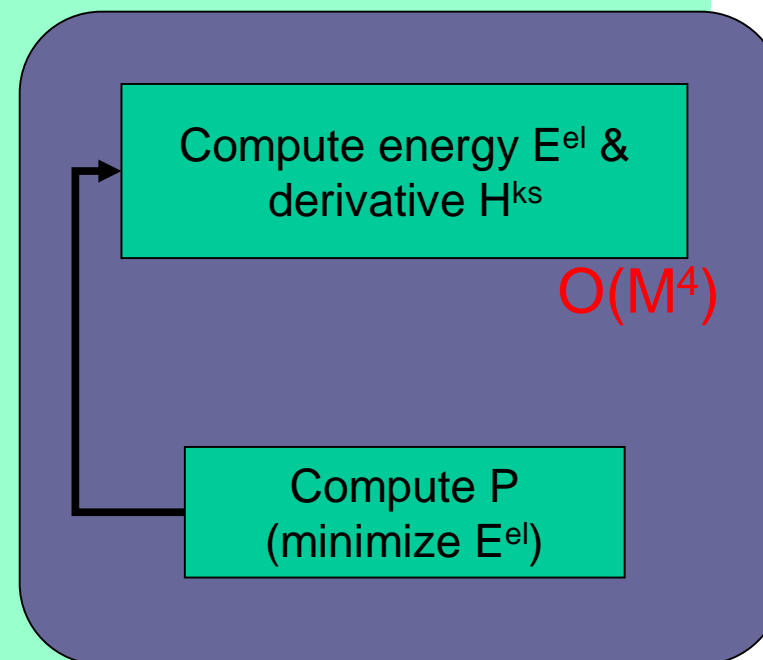
$$\exp[-\alpha r^2] \rightarrow \text{FFT} \rightarrow \exp[-\frac{G^2}{4\alpha}]$$

# DFT with gaussians

$\phi_\mu(\mathbf{r})$  Atom centered  
Gaussians

$$\begin{aligned}
 n(\mathbf{r}) &= \sum_{\mu\nu} P^{\mu\nu} \phi_\mu(\mathbf{r}) \phi_\nu(\mathbf{r}) \\
 E^{el}[P^{\mu\nu}] &= \sum_{\mu\nu} P^{\mu\nu} \int \phi_\mu(\mathbf{r}) \left(-\frac{\Delta}{2}\right) \phi_\nu(\mathbf{r}) \\
 &\quad + \sum_{\mu\nu} P^{\mu\nu} \iint \phi_\mu(\mathbf{r}) V_{sep}^{pp}(\mathbf{r}, \mathbf{r}') \phi_\nu(\mathbf{r}') \\
 &\quad + \frac{1}{2} \iint \frac{n(\mathbf{r}) n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \\
 &\quad + \int n(\mathbf{r}) \epsilon_{xc}[n](\mathbf{r})
 \end{aligned}$$

$P^{\mu\nu}$  Density matrix



# The best of both worlds

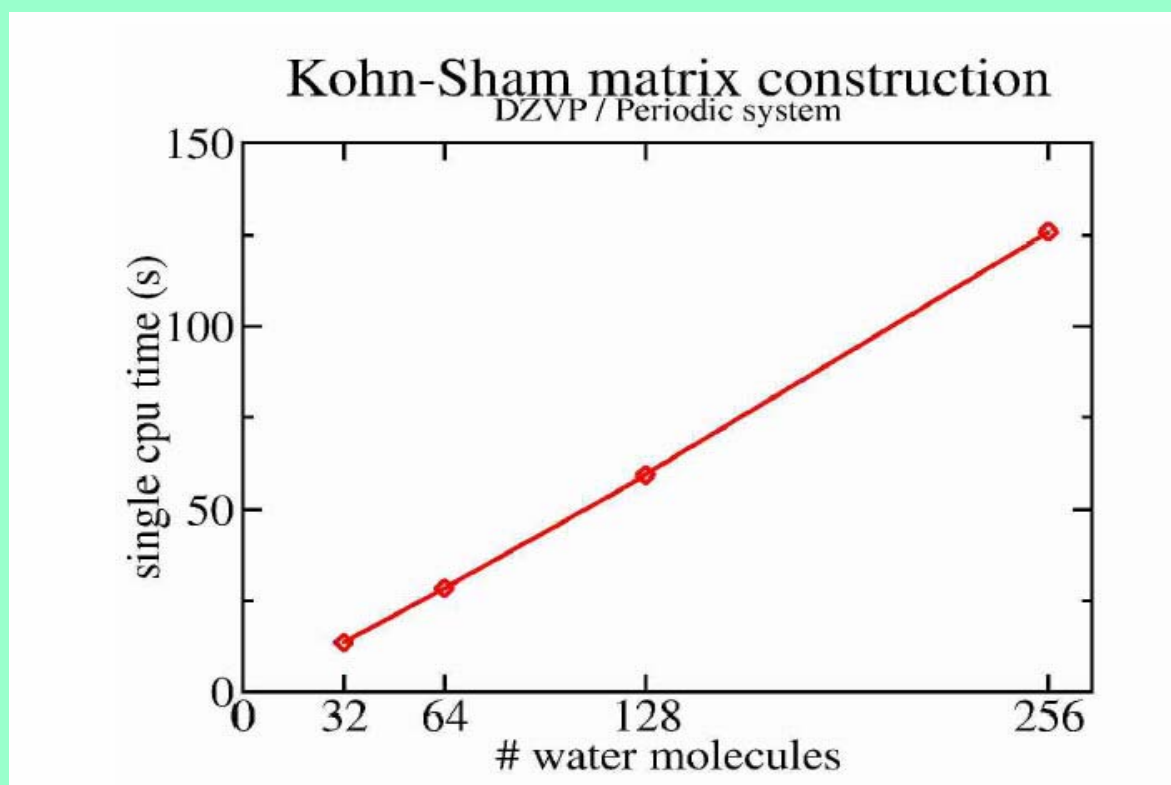
Gaussian
PW  
(real)
PW  
(Reciprocal)

$$\rho \quad \sum_{\mu\nu} P^{\mu\nu} \phi_{\mu}(\mathbf{r}) \phi_{\nu}(\mathbf{r}) \xrightarrow[\text{space}]{\text{real}} n(\mathbf{r}) \xrightarrow{\text{FFT}} n(\mathbf{G})$$

$\downarrow$

$$V_{\mu\nu} = \int V_H(\mathbf{r}) \phi_{\mu}(\mathbf{r}) \phi_{\nu}(\mathbf{r}) d\mathbf{r} \xleftarrow[\text{space}]{\text{real}} V_H(\mathbf{r}) \xleftarrow{\text{FFT}} V_H(\mathbf{G}) = \frac{4\pi n(\mathbf{G})}{G^2}$$

# Linear scaling





## Standard approach

Solve by diagonalization

$$H_{\mu\nu} C^{\nu i} = S_{\mu\delta} C^{\delta i} \varepsilon_i \quad i = 1 \dots N$$

Construct P

$$P^{\mu\nu} = \sum_i C^{\mu i} C^{\nu i}$$

$$\mu, \nu, \delta = 1 \dots M$$

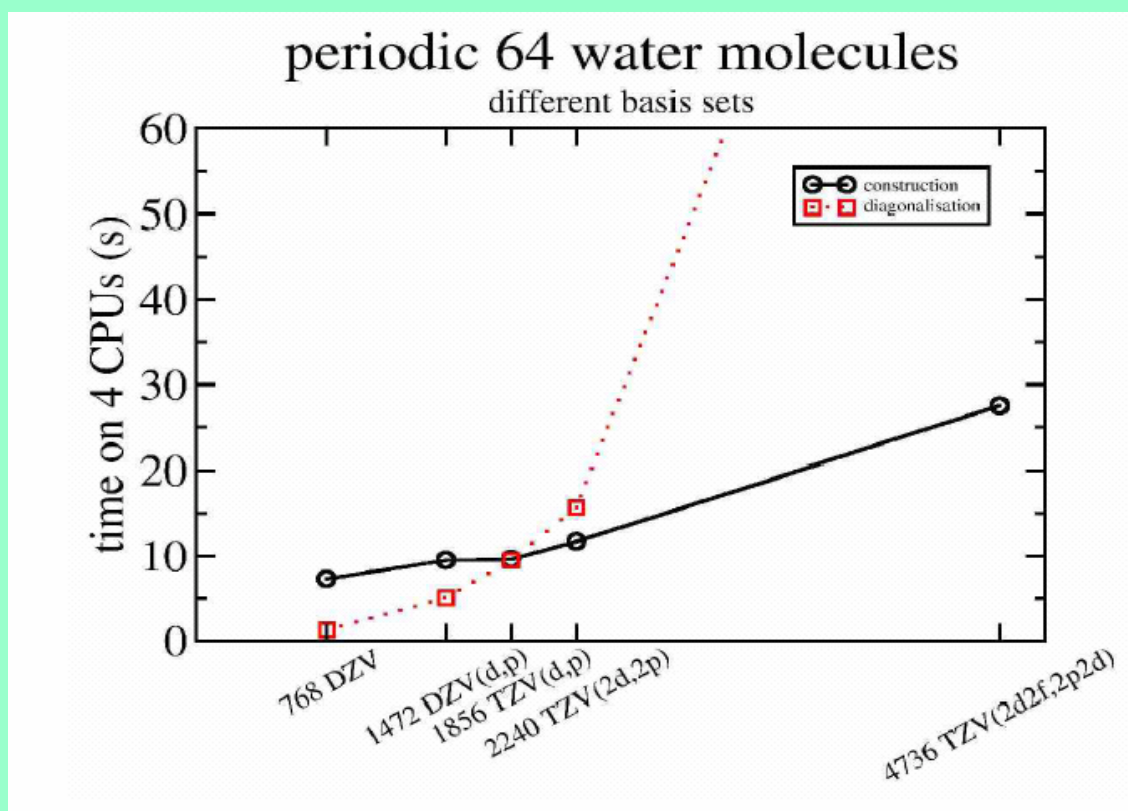
## Orbital rotation

$$C(X) = C_0 \cos(\sqrt{X^T S X}) + X \frac{\sin(\sqrt{X^T S X})}{\sqrt{X^T S X}}$$

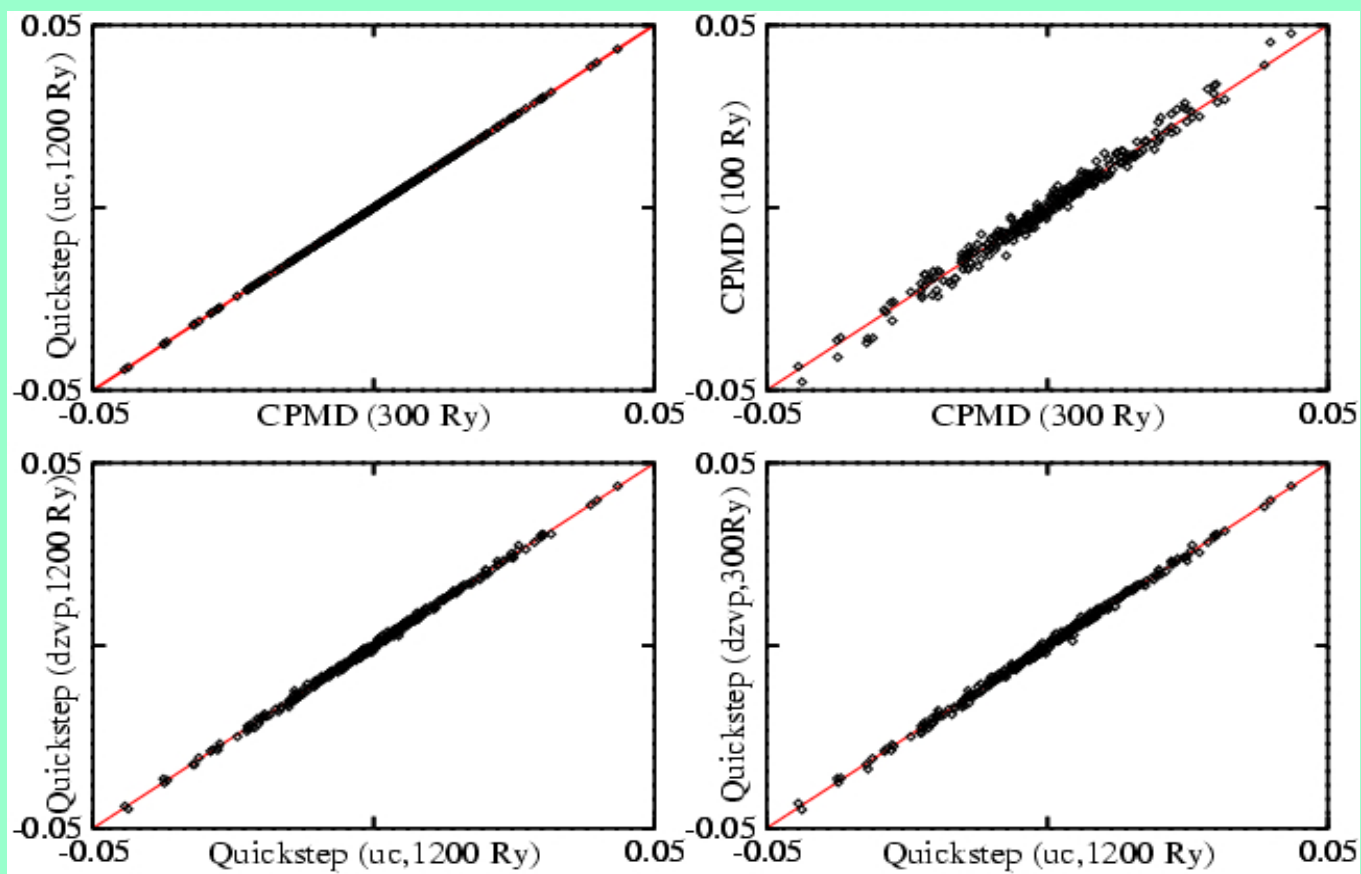
$$X^T S C_0 = 0 \quad C(X)^T S C(X) = 1 \quad \forall X$$

Minimize the energy with respect to X

# Overall cubic scaling

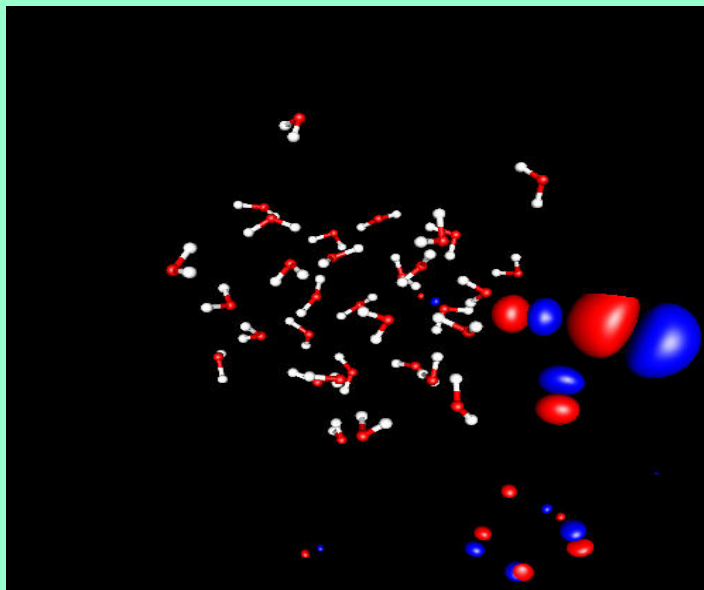


# Accurate forces

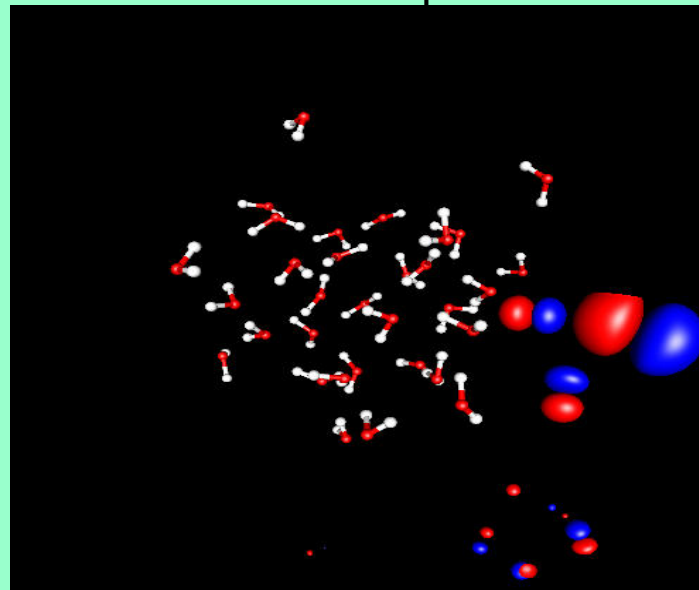


# Checking the eigenstates

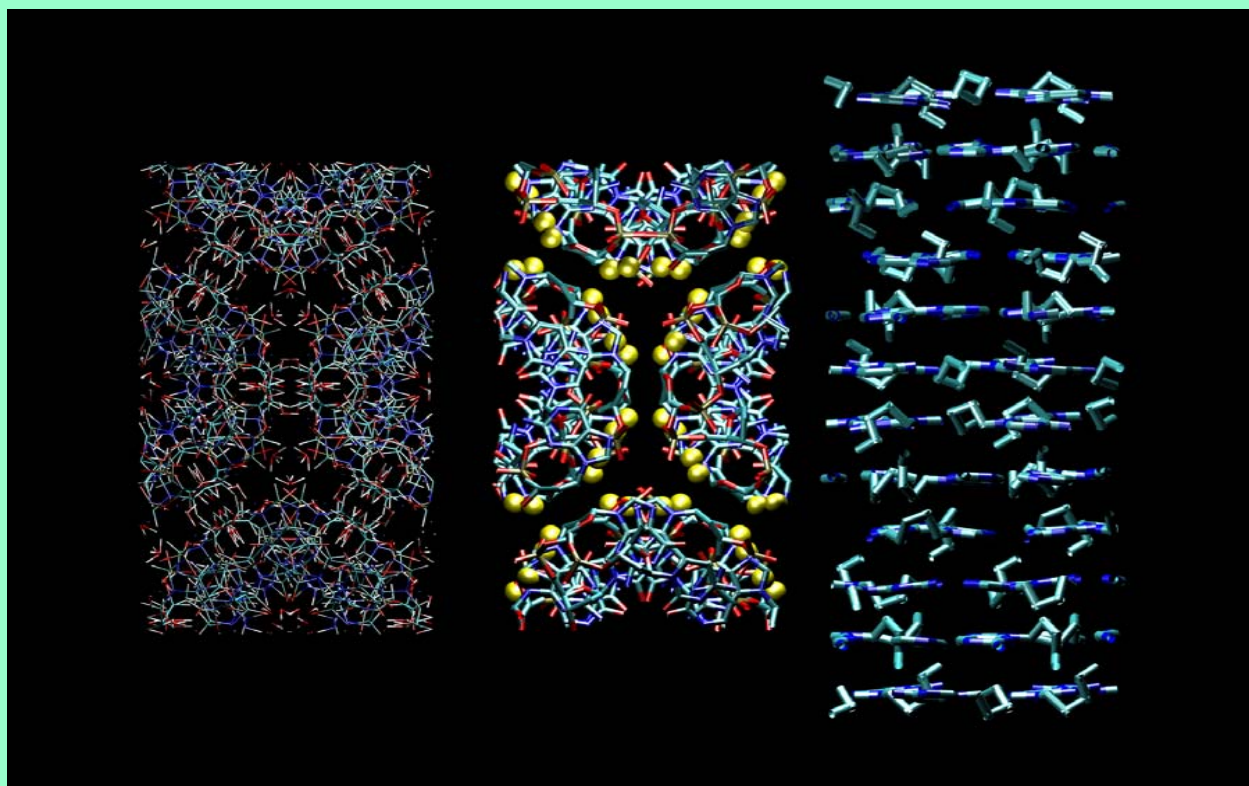
CPMD



Quickstep



# DNA crystal



2388 atoms, 3960 orbitals

DZV(d,p) 22596, TZV(2d,2p) 38688

675, 1100 sec / line search (SP4-32-1.3G)

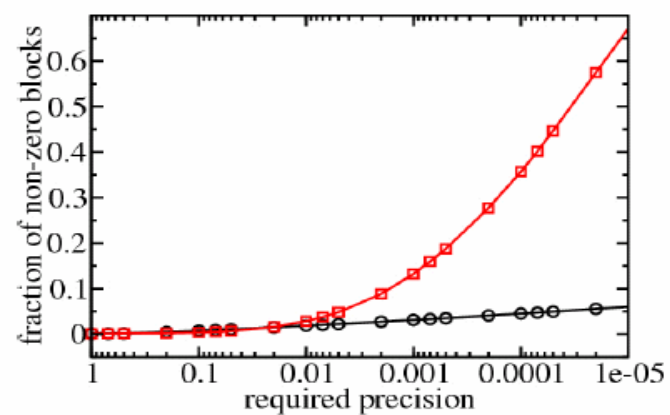
2.5, 5 h /total

Not yet fully cubic (45,43,8 % 3,2,1)

Not yet sparse

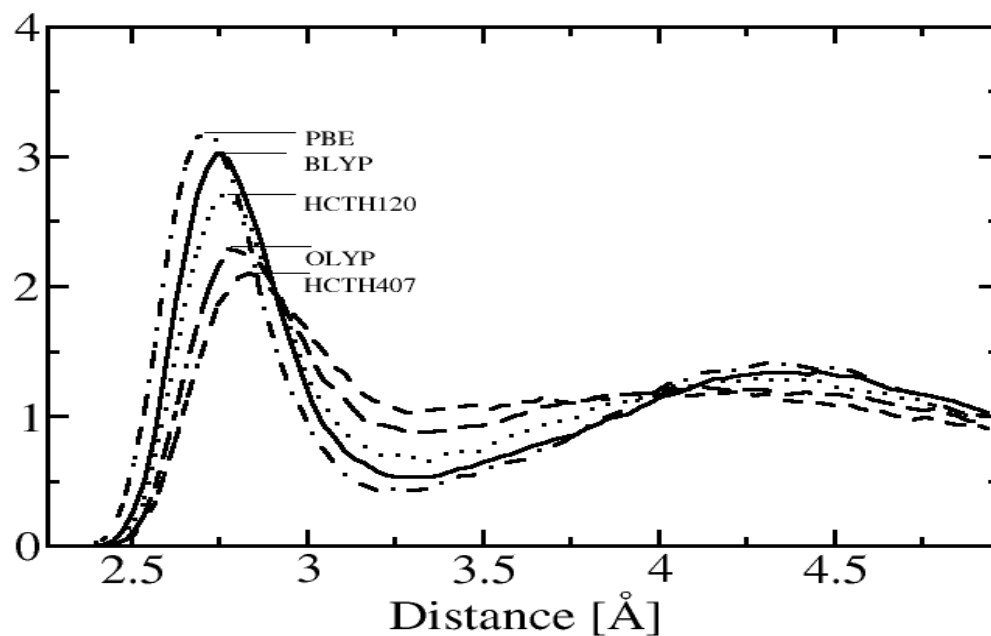
## Example: DNA Crystal

2388 atoms, 3960 orbitals, 38688 BSF (TZV(2d,2p))  
density matrix, overlap matrix





# One order of magnitude better



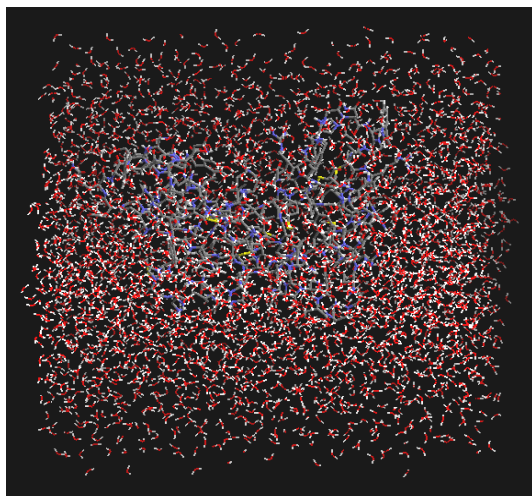
Significant influence of DFT

PBE/BLYP overstructured

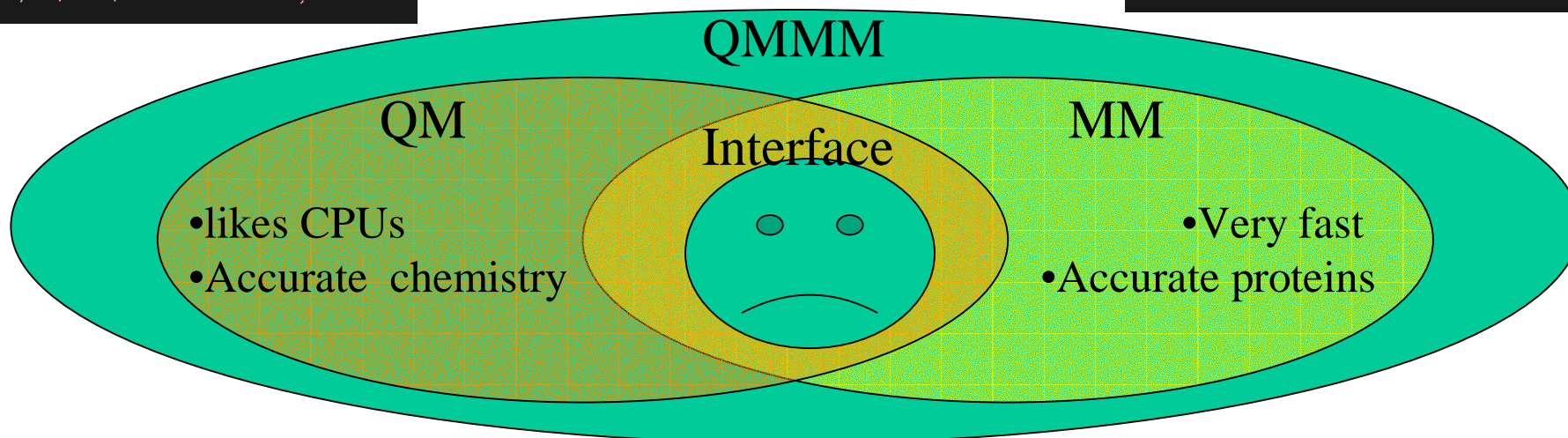
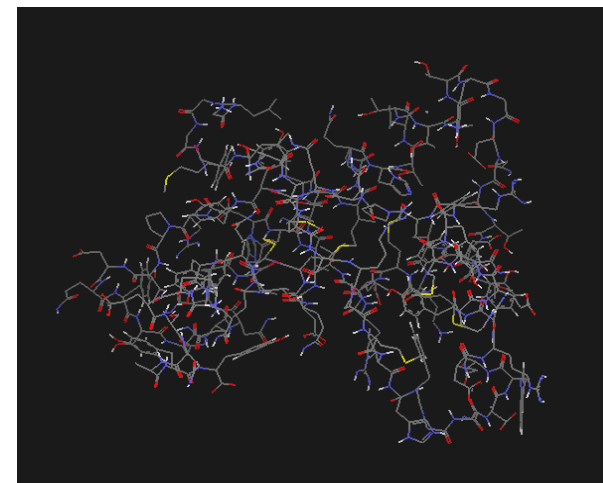
HCTH407/OLYP understructured

# Why should one combine QM and MM ?

Bio-systems are typically very large and catalyse complicated reactions



- Proteins >1000 atoms
- Solvent >10000 atoms
- The active site ~ 100 atoms

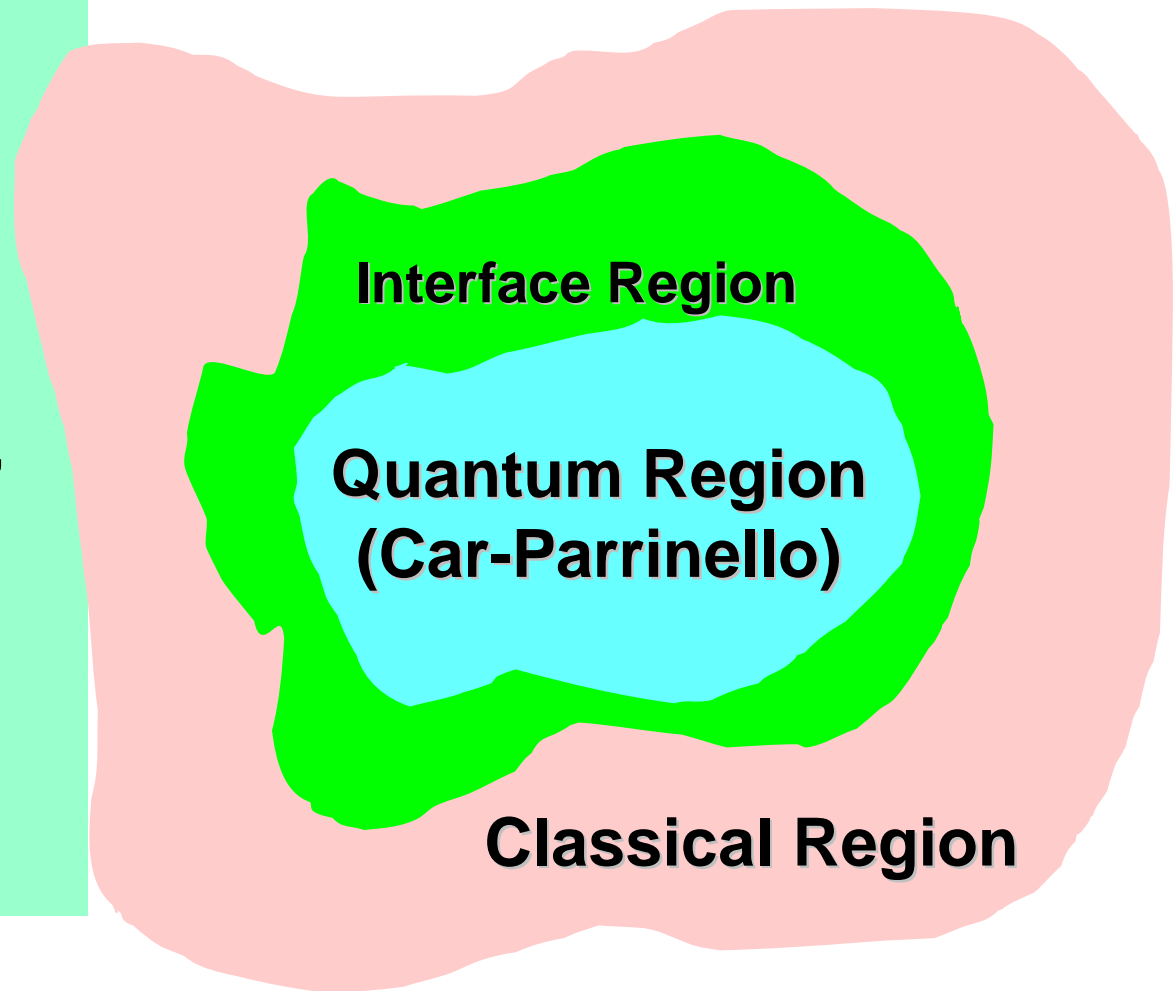


# Mixed Quantum-Classical QM/MM- Car-Parrinello Simulations

- highly parallel QM/MM  
Car-Parrinello hybrid code
- **Fully Hamiltonian**
- MD driver: CPMD

QM-Part: CPMD 3.3  
(pbcs (2 boxes), plane waves,  
pseudo potentials, GGAs:  
BP86, BLYP, PW91, PBE...)  
n-1 nodes

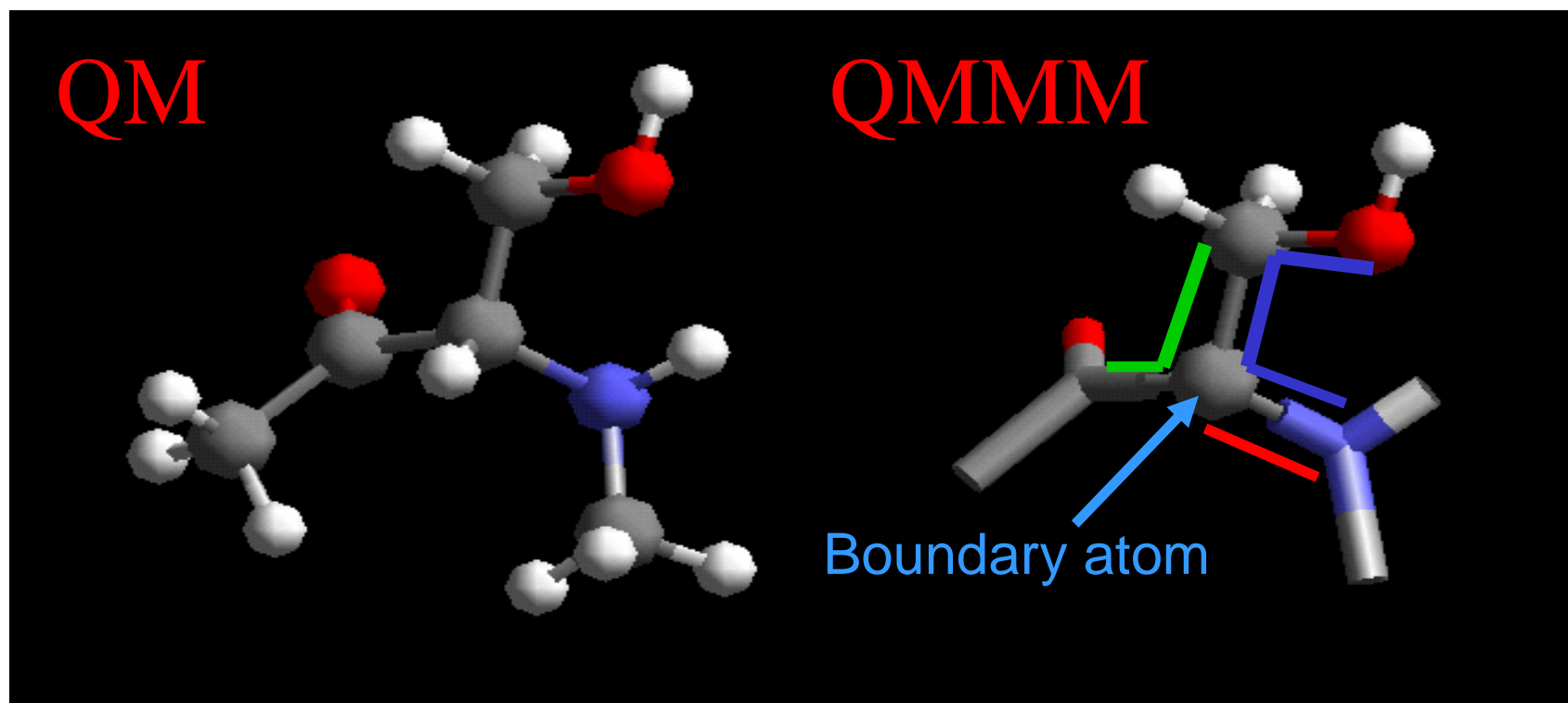
MM-Part: GROMOS96 + P3M,  
AMBER)  
1 node



## QM/MM- Car-Parrinello Simulations

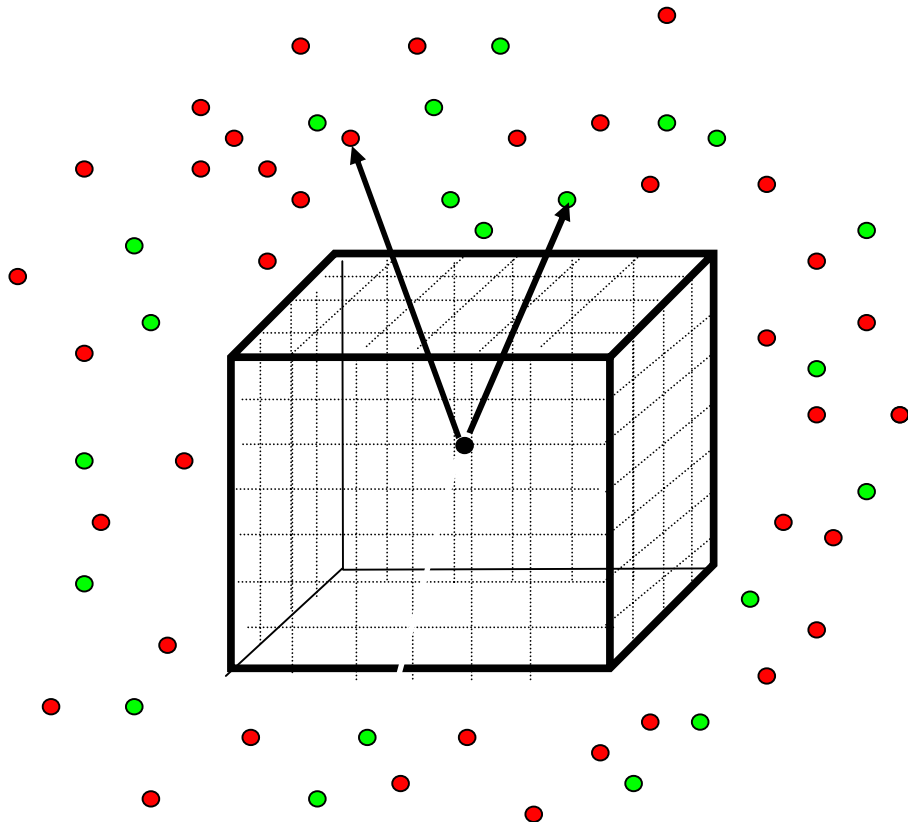
- Development of improved QM/MM interfaces:
  - pseudo potentials for boundary atoms
  - efficient treatment of long-range electrostatics
  - electron spill out problem

## The Bonded Part



- **boundary atoms**: monovalent pseudopotential
- **distances** - **angles** - **torsions** involving MM and QM atoms come from the force field

# Mixed Quantum-Classical Simulations



Coulomb interaction:

$$H_{el} = \sum_{i \in MM} q_i \int dr \frac{\rho(r)}{|r - r_i|}$$

3D-grid: (NR1,NR2,NR3) NR~100

MM atoms ~10000-100000

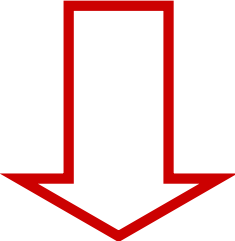


NR1\*NR2\*NR3\*MM  
DISTANCE CALCULATIONS!!!

# “Variational” ESP charges

- charges, located on the QM atoms, are fitted to the electrostatic fields on the MM atoms due to the electronic charge distribution

$$\sum_{i \in \text{QM}} \frac{q_i^{\text{ESP}}}{r_{ij}} \stackrel{\text{(Least square)}}{=} \int d\mathbf{r} \, \rho(\mathbf{r}) \, v(|\mathbf{r} - \mathbf{r}_i|) \quad \forall i \in \text{NN}$$



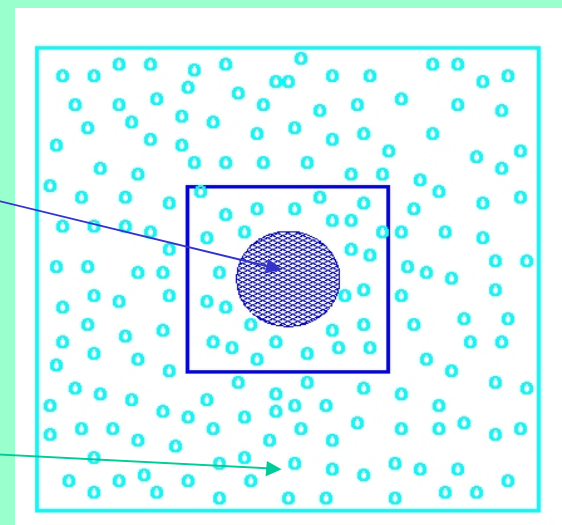
modified Coulomb

Explicit dependence of  $q^{\text{ESP}}$  on the positions of all the MM and QM atoms and on the electronic density

## QM/MM

Quantum mechanics

Molecular mechanics

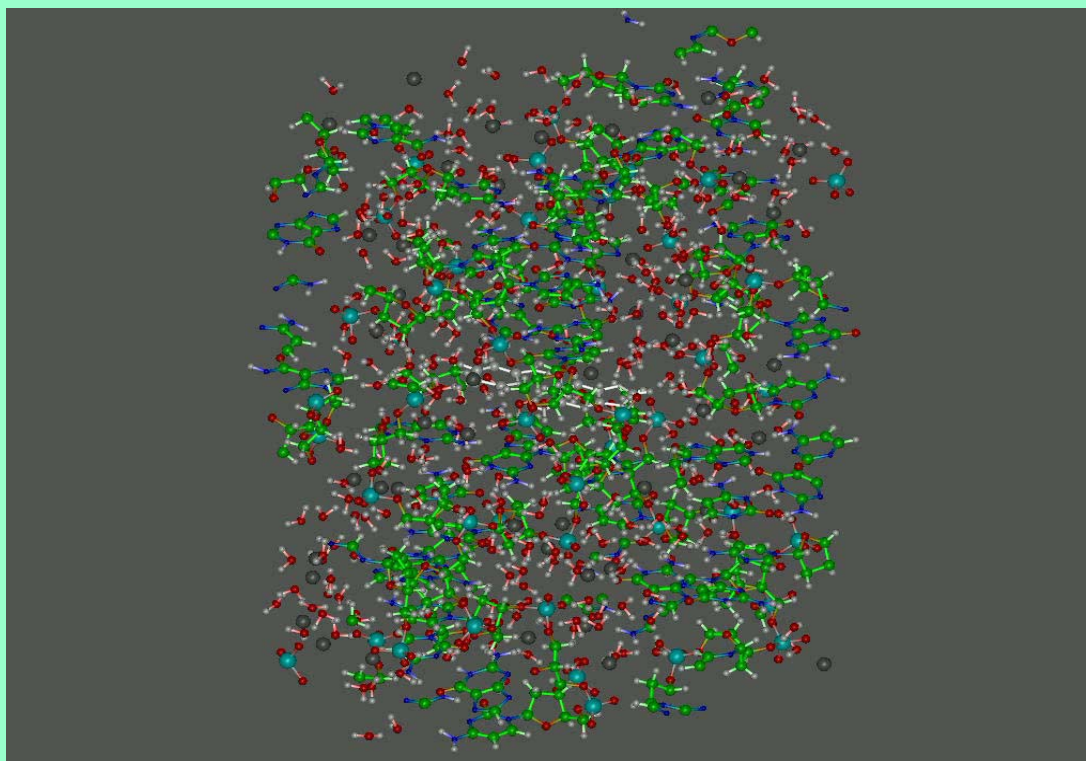


$$\begin{aligned}
 L = & \frac{1}{2} \sum_i \mu \int d\vec{r} |\dot{\psi}_i|^2 + \frac{1}{2} \sum_I M_I \dot{\vec{R}}_I^2 - E_{KS}[\{\psi_i\}, R_I] + \sum_{i,j} \Lambda_{i,j} \left( \langle \psi_i | \psi_j \rangle - \delta_{i,j} \right) \\
 & + \frac{1}{2} \sum_{I'} m \dot{\vec{R}}_{I'}^2 - \sum_{I' < J'} V_{LL}(|\vec{R}_{I'} - \vec{R}_{J'}|) - \sum_{I, I'} V_{CL}(|\vec{R}_I - \vec{R}_{I'}|)
 \end{aligned}$$

A. Laio, J. VandeVondele and U. Röthlisberger, *Chem. Phys.* **116**, 6941(2002)



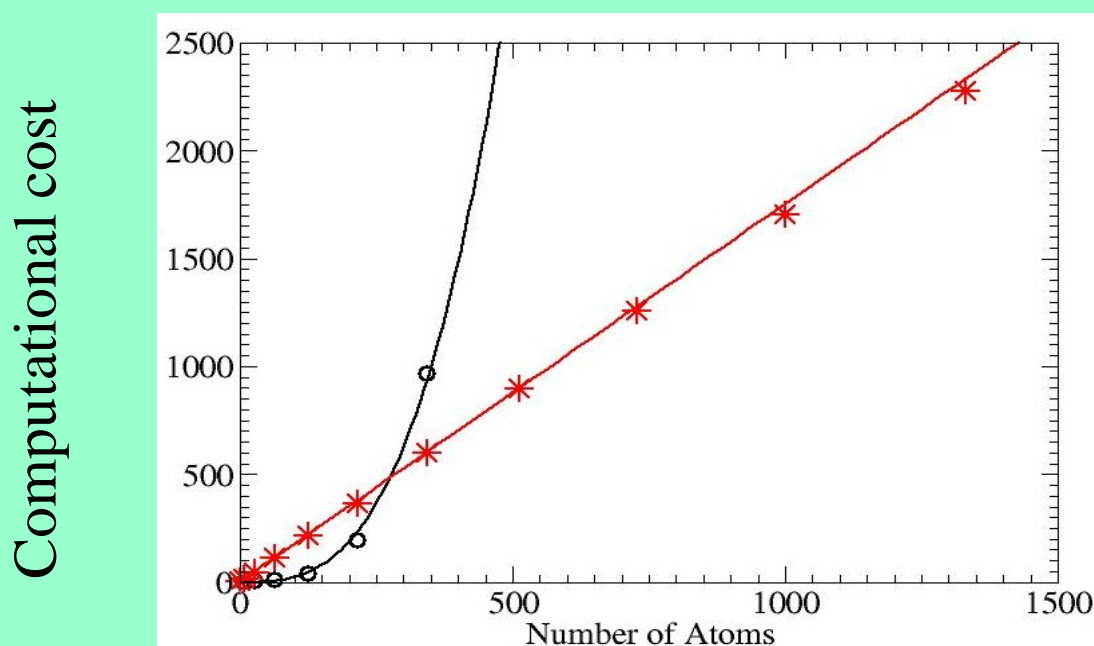
# Capturing the complexity



DNA oxidation

# The way of the future?

**$O(N)$  vs  $O(N^3)$**



Highly parallel and local new field theoretical approach

## The quickstep team

J. Hutter, University of Zurich

J. VandeVondele, University of Cambridge

W. I-Feng Kuo, LLNL

C. Mundy, LLNL

Fawzi Mohammed, ETH Lugano

M. Krack, ETH Lugano

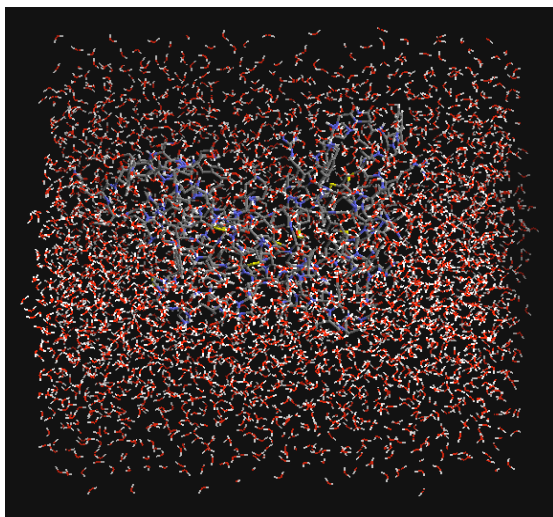
G. Lippert, BASF

M. McGrath, LLNL

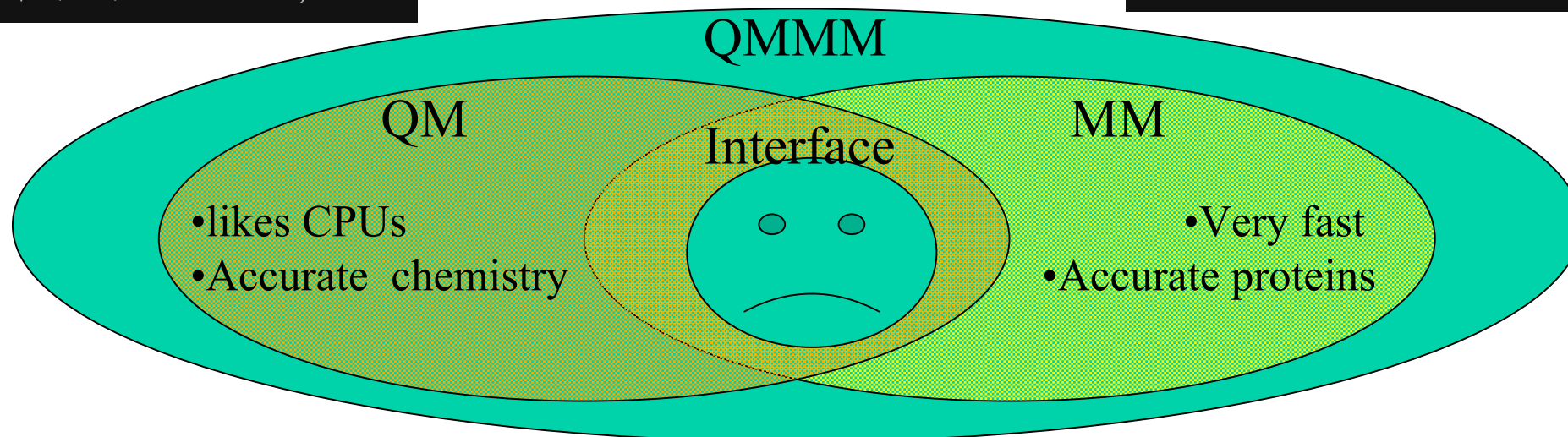
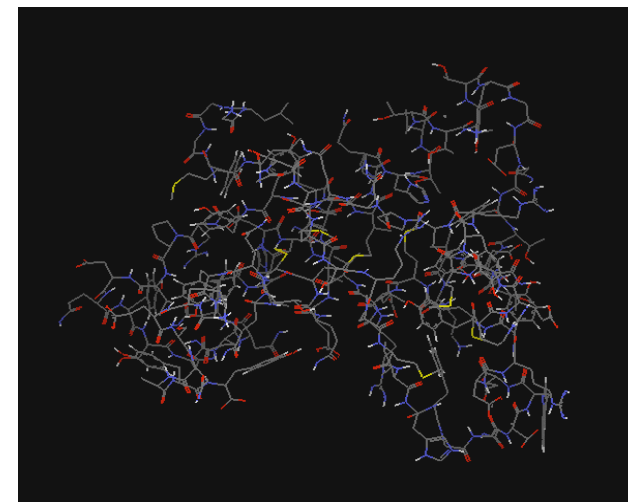
I. Siepman, LLNL

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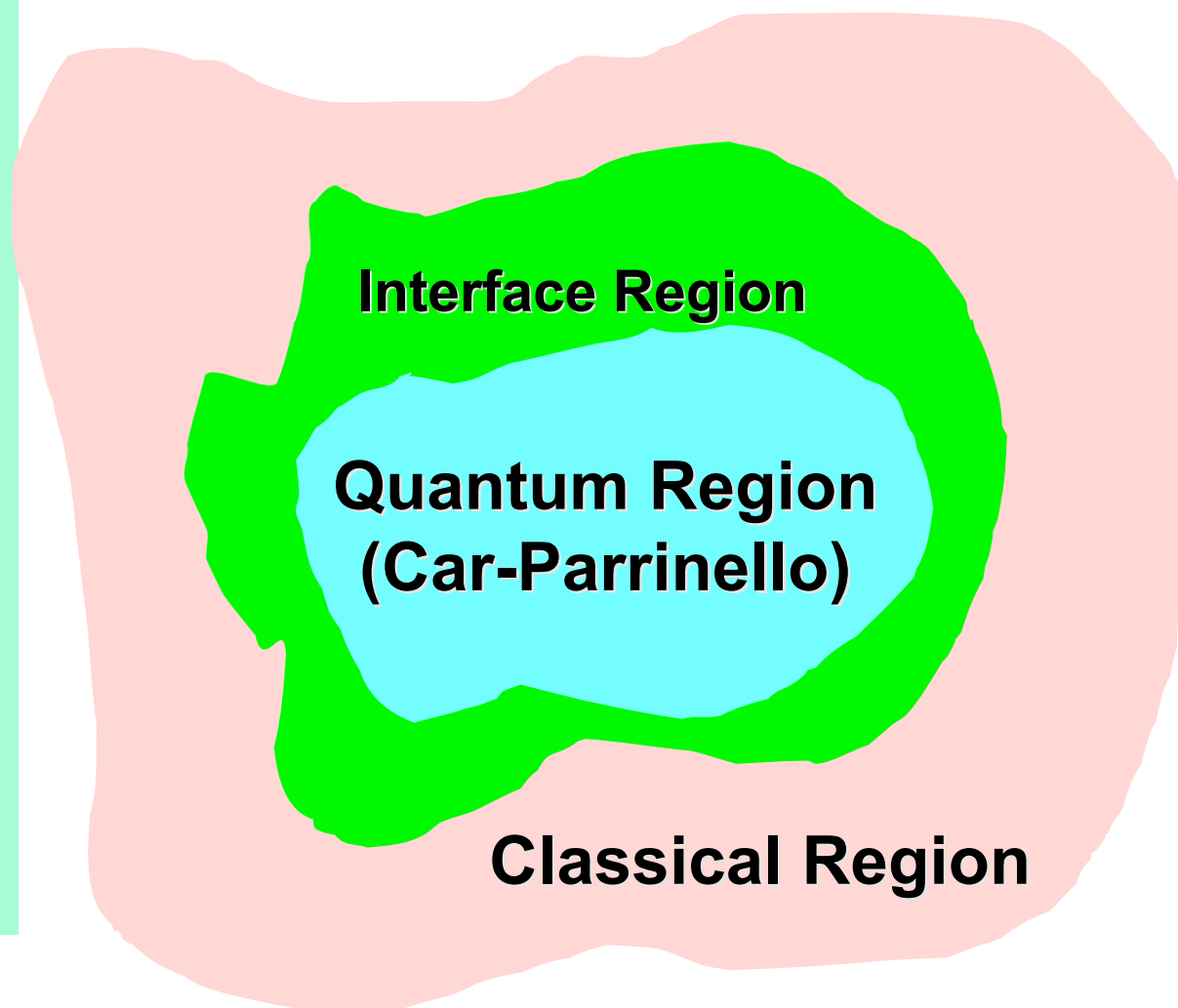


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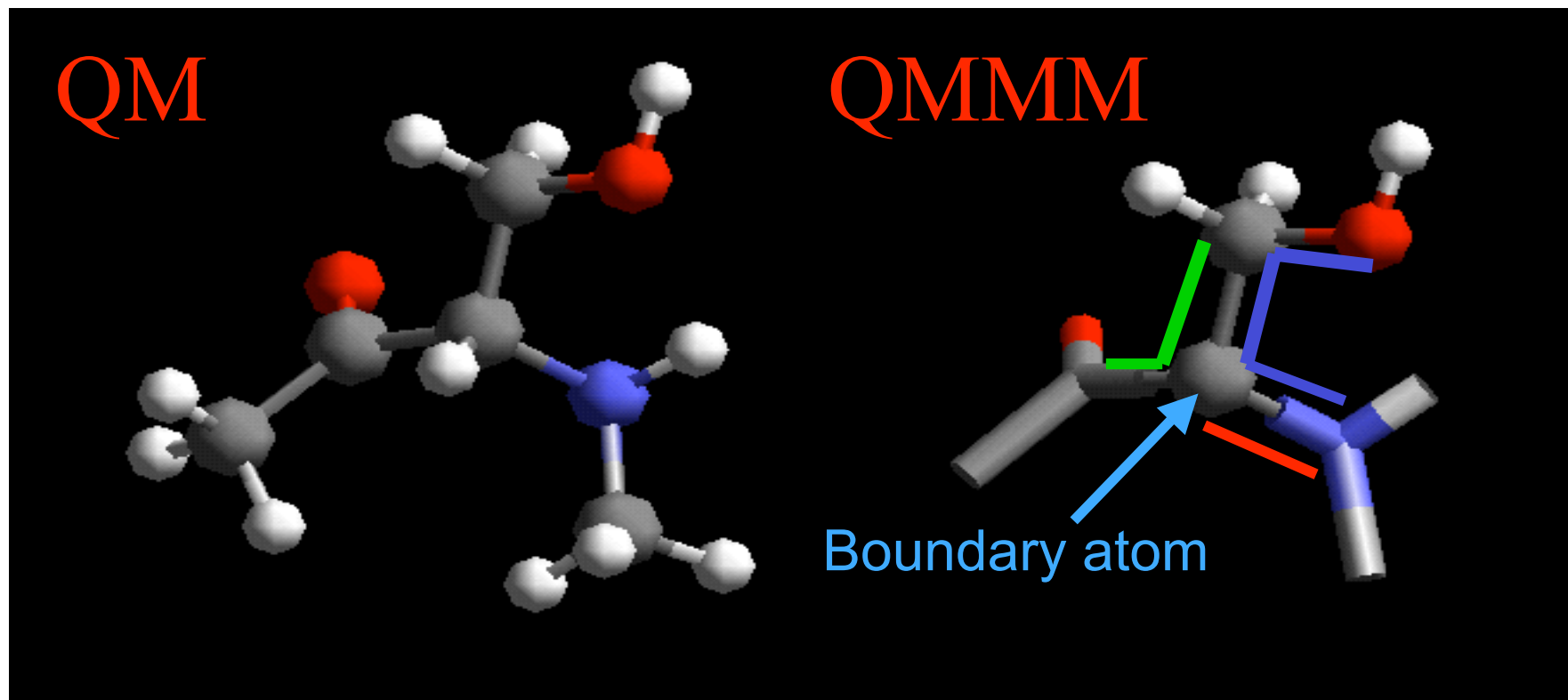
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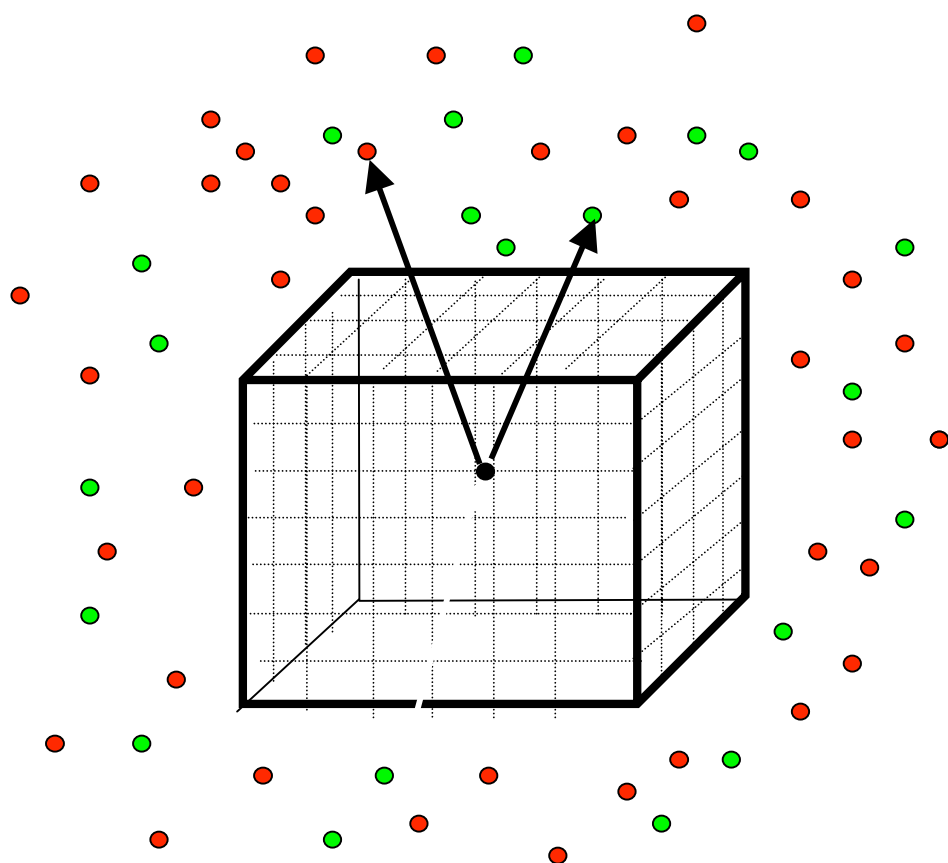
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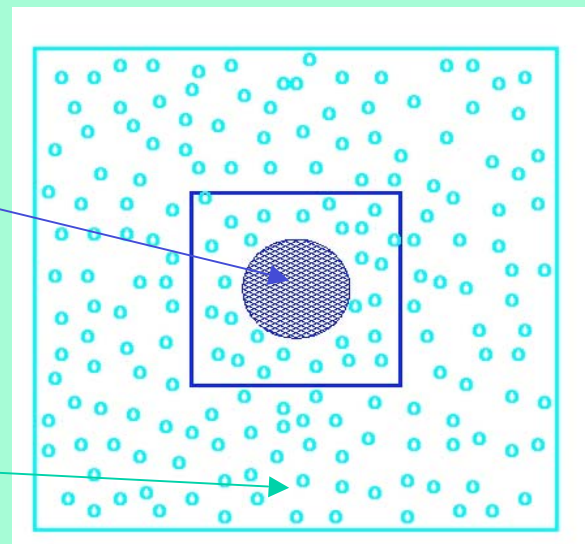
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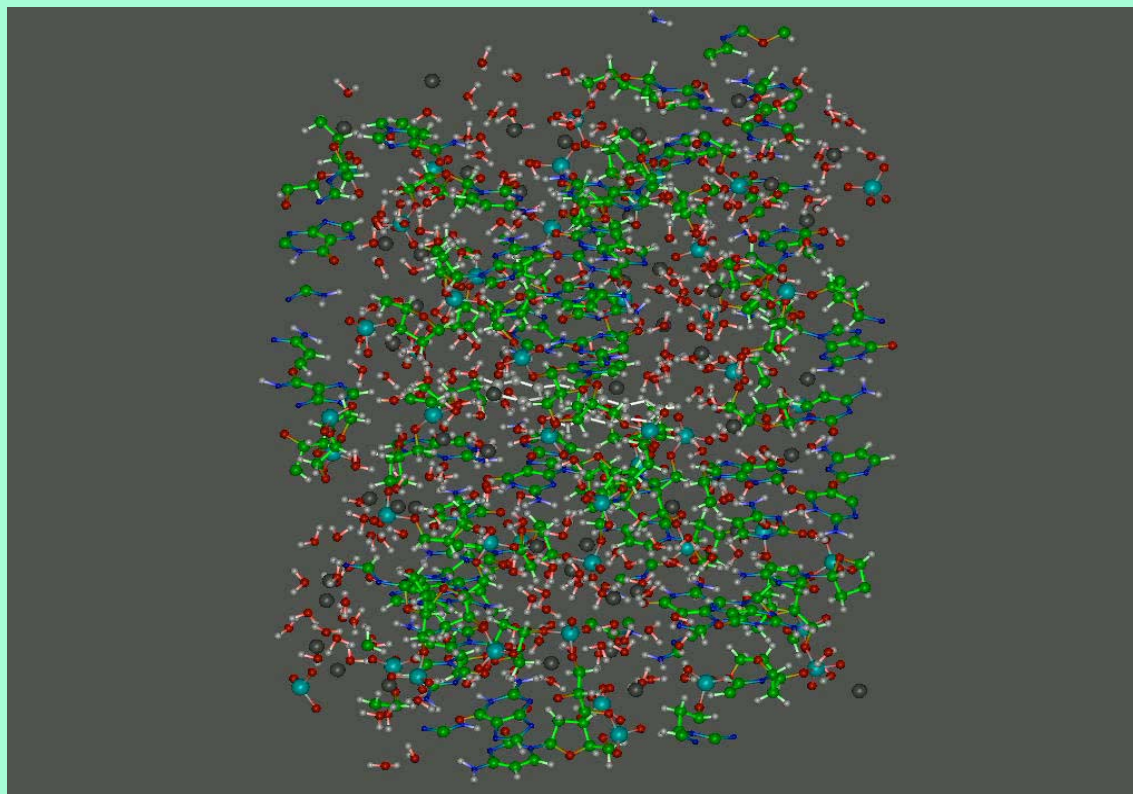
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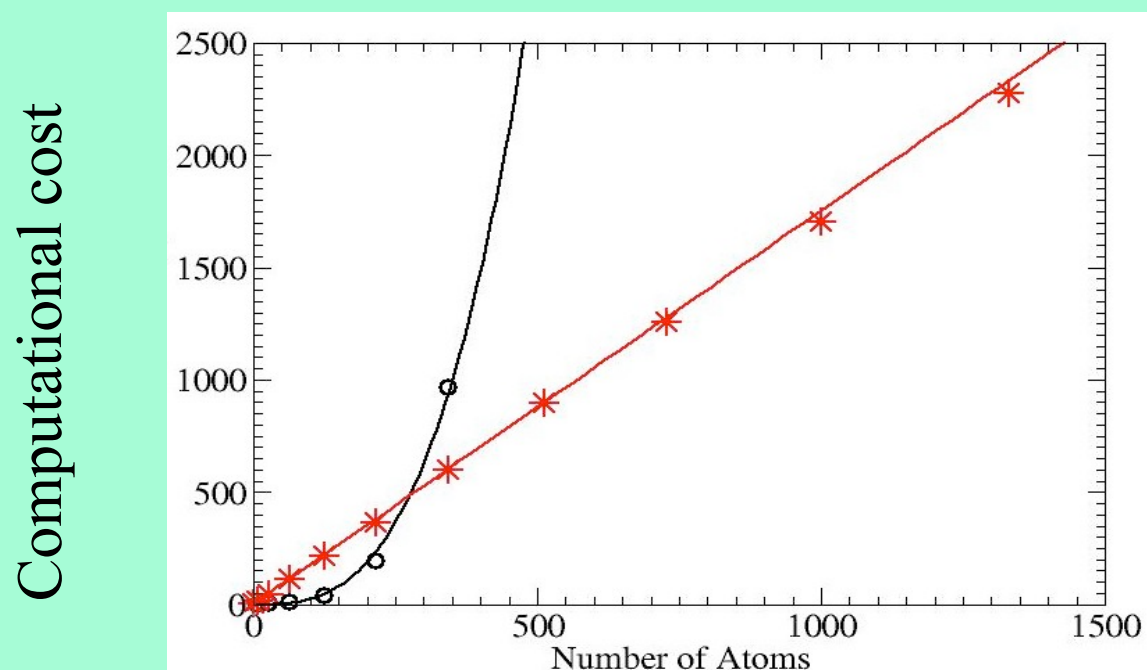
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# The way of the future?

$O(N)$  vs  $O(N^3)$



Highly parallel and local new field theoretical approach

# The time scale problem

Direct simulation allows only very short runs:

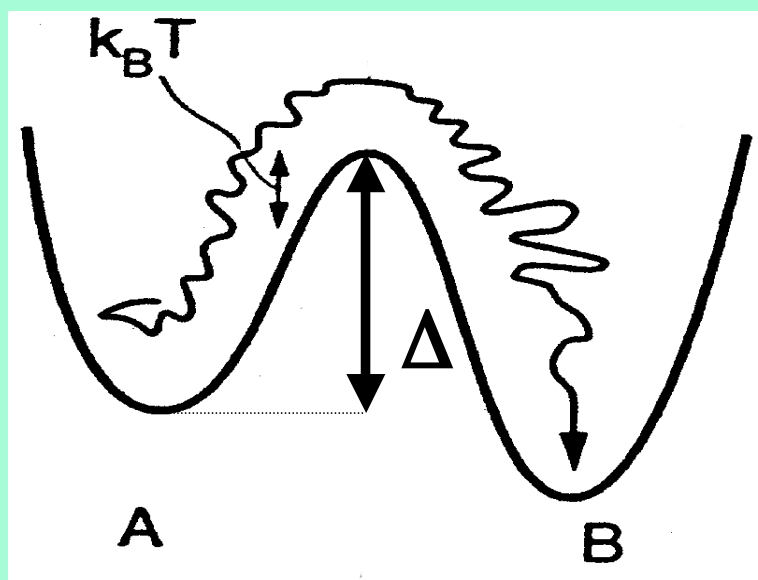
~ 10 ps for ab-initio MD, ~10 ns for classical MD

Many relevant phenomena take place on a larger time scale:  
chemical reactions, conformational changes, protein folding, etc.

Two-fold strategy:

- a) Finding reactive paths
- b) Exploring the free energy surface

## Activated events



$$\tau \sim \tau_{mol} e^{\frac{F^*}{k_B T}}$$

$$F^* \sim \Delta$$

# The quantum chemical approach

- ✗ Find the saddle point on the PES
- ✗ Use transition state theory
- ✗ Correct for zero point motion

# Many different solutions proposed

- Thermodynamic integration
- “Flattening” the surface  
(hyperdynamics, puddle-skimming, umbrella sampling, etc.)
- Trajectory-based schemes  
(reaction path sampling, Lagrangian action minimization, nudged elastic band, etc.)
- Finding the saddle points  
(eigenvalue following, dimer method, hessian-based methods, etc.)
- Temperature enhanced sampling  
(histogram reweighting, parallel tempering, etc.)
- Etc. etc.



## Driving the reaction

Suppose that the reaction coordinate  $q$  is known:

$$q(R_1, R_2, \dots, R_N) = q$$

We force the reaction by adding a constraint term to the dynamics with a Lagrange multiplier:

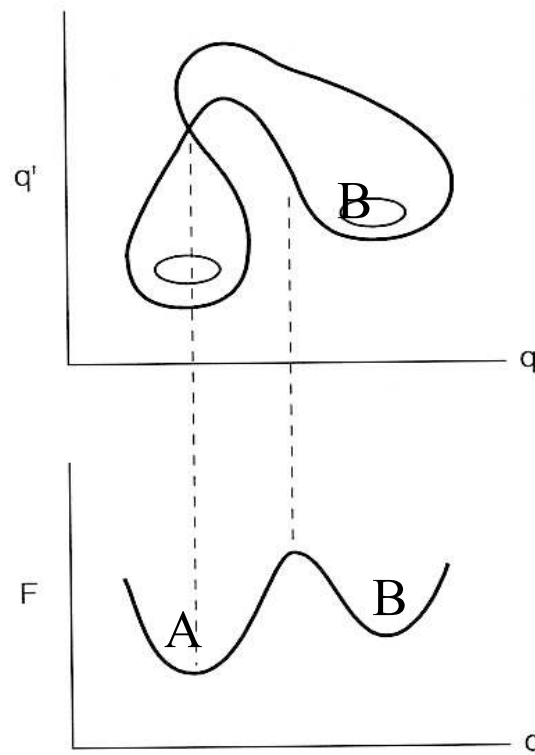
$$\lambda(q(R_1, R_2, \dots, R_N) - q)$$

$$\langle \lambda \rangle \approx \frac{\partial F}{\partial q}$$

The activation energy is:

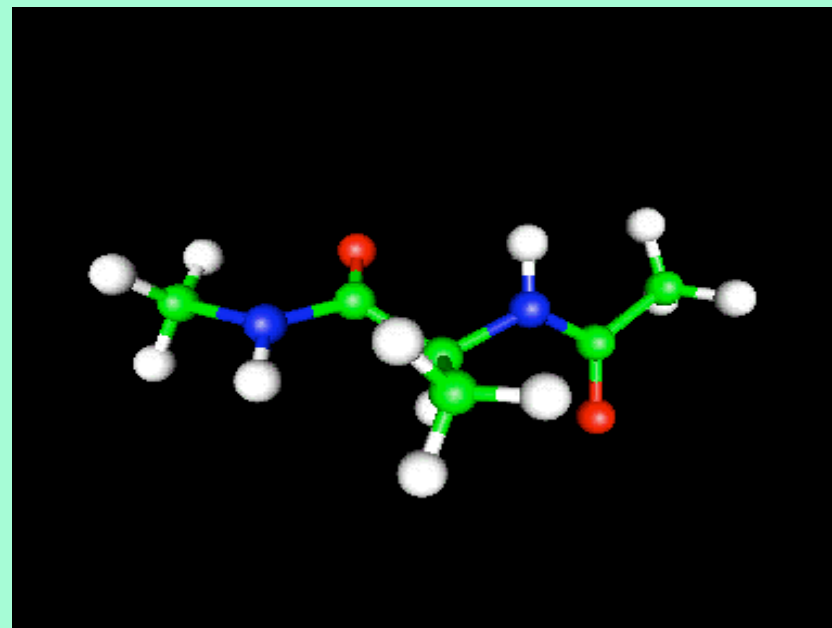
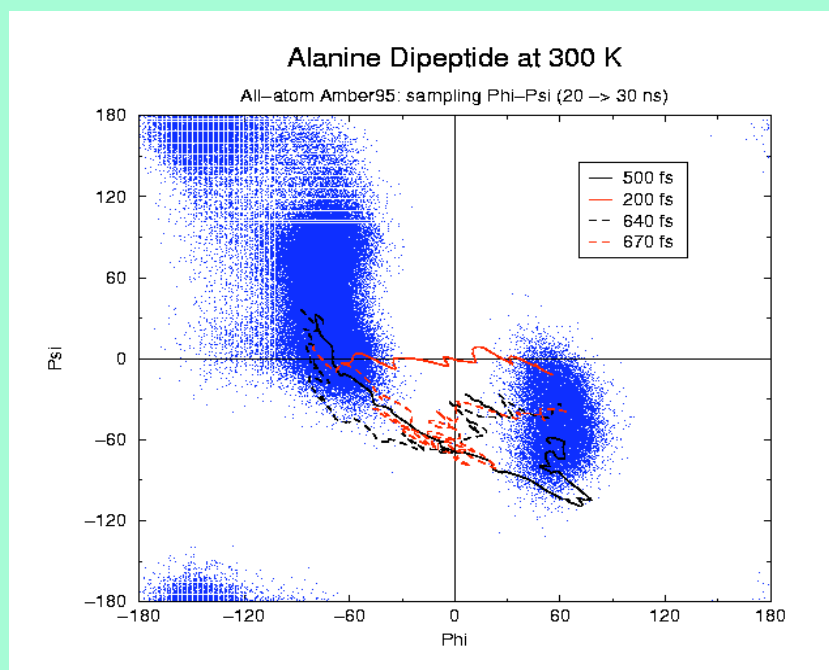
$$\Delta F = \int_{q_A}^{q^*} dq \langle \lambda \rangle_q$$

## The right reaction path?



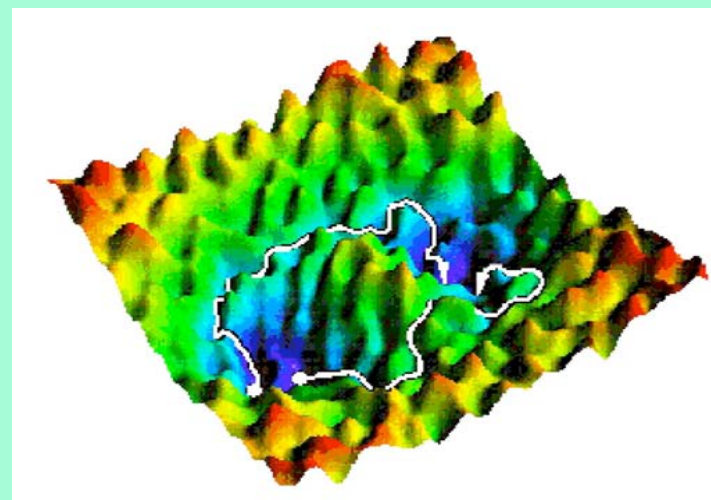
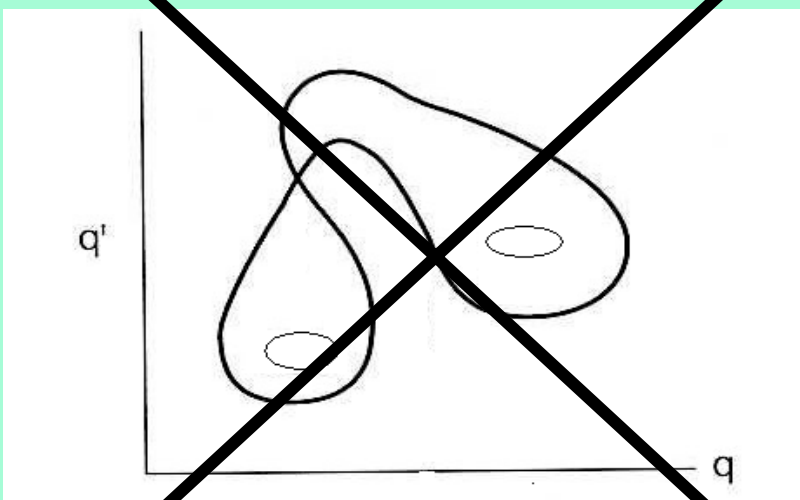
Activated events are often  
intrinsically multidimensional!!!

# Life is complicated



# Life is complicated

The potential energy surface of a complex system is rough



# How to explore a multidimensional free energy surface?

Need to be able to escape free energy minima

Our solution:

Non-Markovian coarse-grained dynamics

A. Laio and M. Parrinello, PNAS

# Collective variables

Choose a small set of slow collective variables:

$$s_i \approx s_i(\vec{R}_I) \quad i=1,n$$

The  $s_i$  :

- Discriminate between reactants and products
- Include all the relevant slow modes
- The reaction coordinate is a linear combination of the  $s_i$

## Examples of collective variables

- Distances
- Angles: bending and torsional
- Coordination numbers: between individual atoms
  - or between different species
- Local electric fields
- Number of n-fold rings
- Solvation energy
- Lattice vectors
- Energy
- Etc. etc.

## Probability distribution

$$P(S_1, S_2, \dots, S_n) = \frac{\int d\vec{R}_I \prod_i^n \delta\left(S_i - s_i(\vec{R}_I)\right) e^{-\beta V(\vec{R}_I)}}{\int d\vec{R}_I e^{-\beta V(\vec{R}_I)}}$$

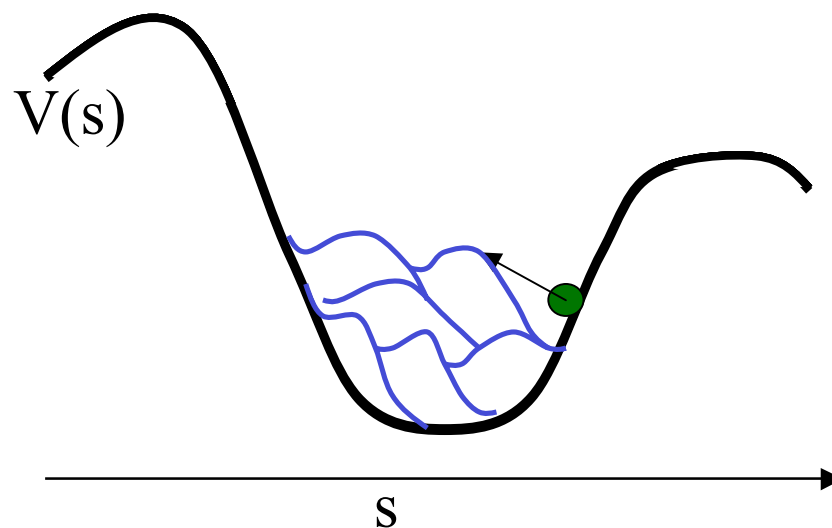
We want to study the free energy as a function of these variables:

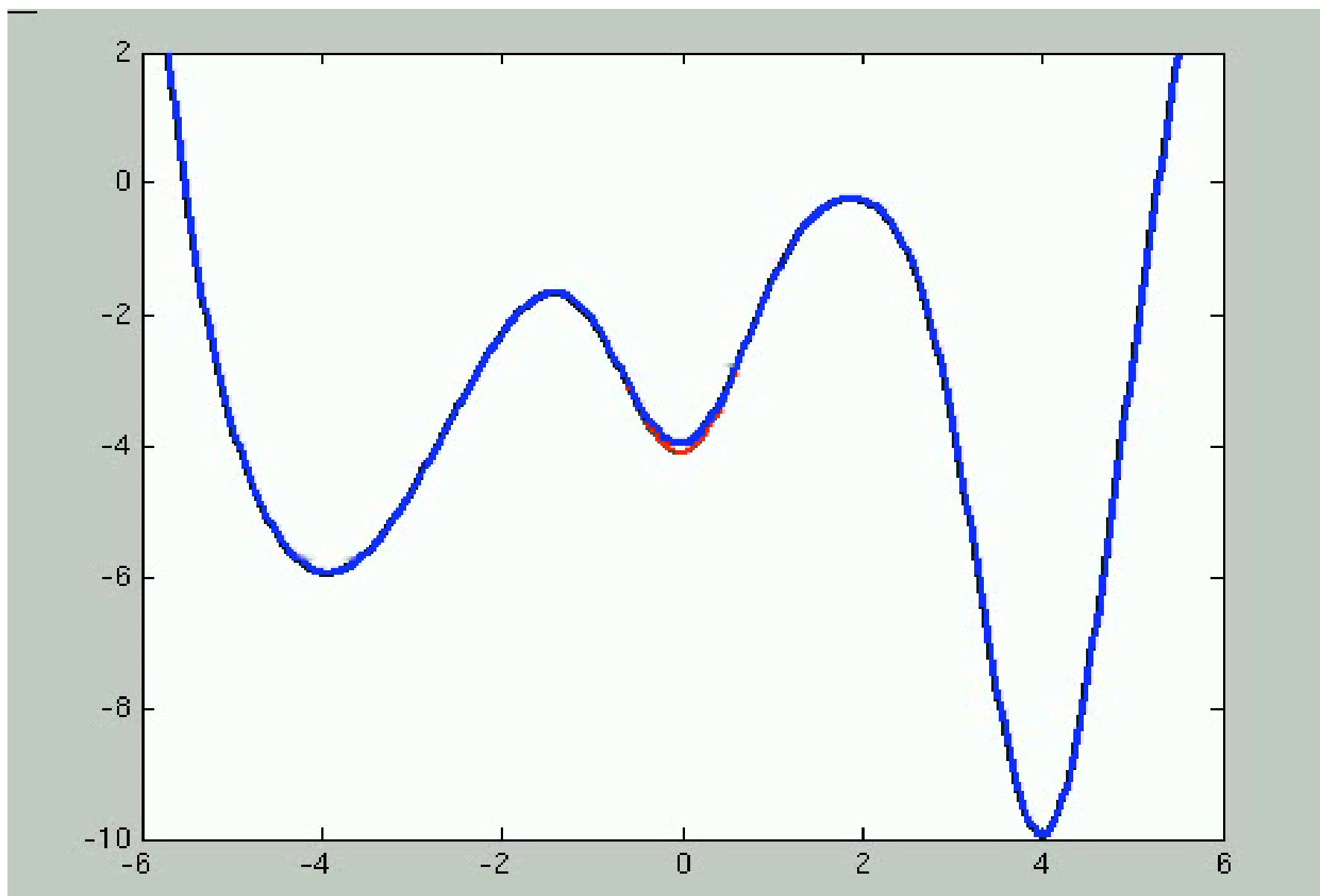
$$\beta F(S_1, S_2, \dots, S_n) = -\ln P(S_1, S_2, \dots, S_n)$$



## The algorithm:

- Wherever you go put a “small” Gaussian
- Always move in the direction of the direction that minimizes the sum of  $V(s)$  and all the Gaussians



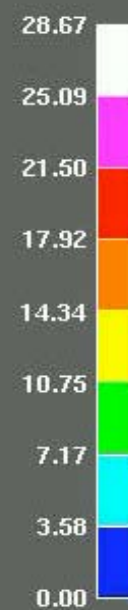
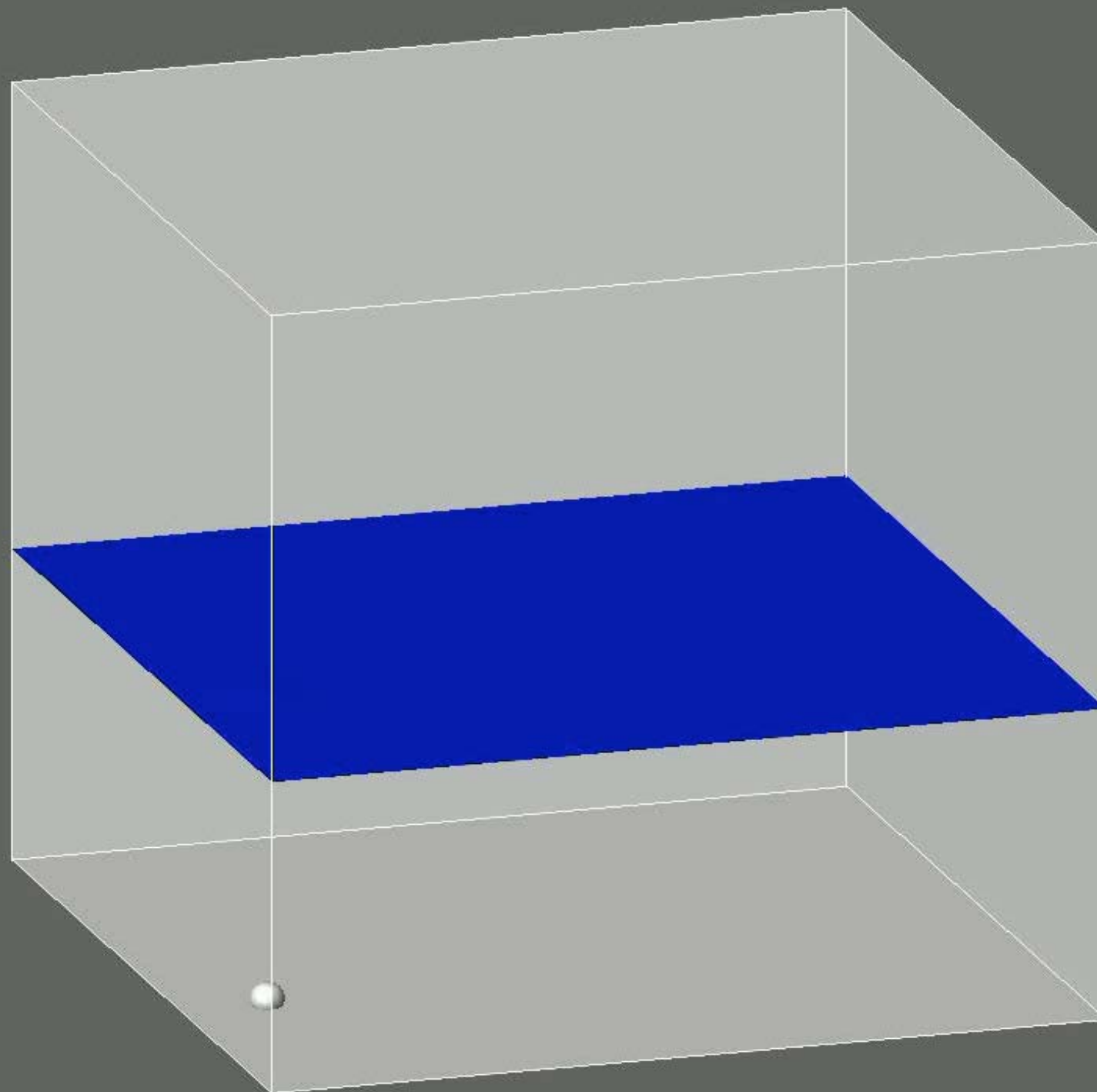


## NaCl in water

Two minima:	Contact ion pair (metastable)
	Dissociated

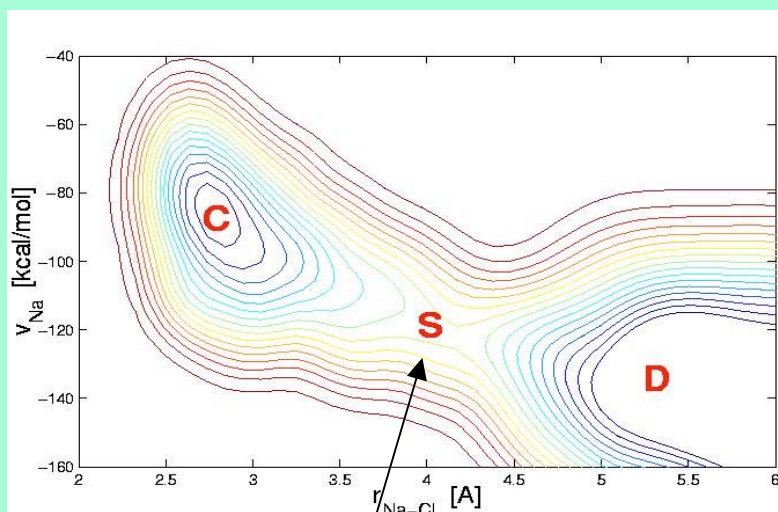
Collective coordinates:	Electric field on $\text{Na}^+$
	Electric field on $\text{Cl}^-$
	Distance $\text{Na}^+ \text{Cl}^-$

Classical MD:	Amber force field
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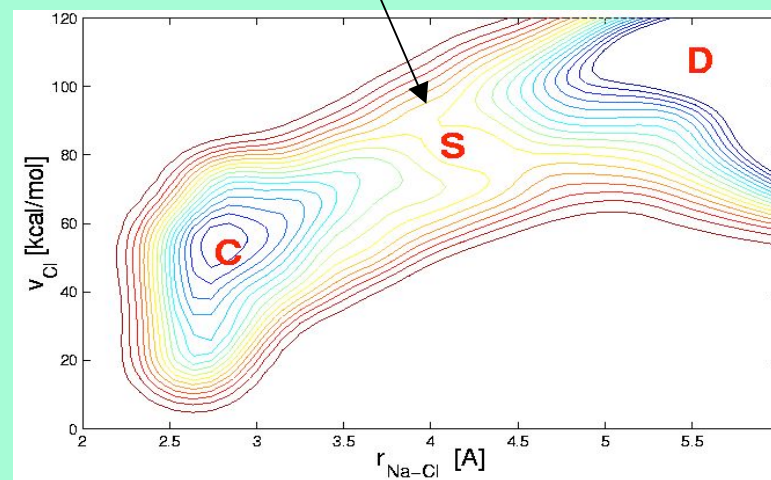
$t = 0$

# Free energy surface



Transition state

Transition state

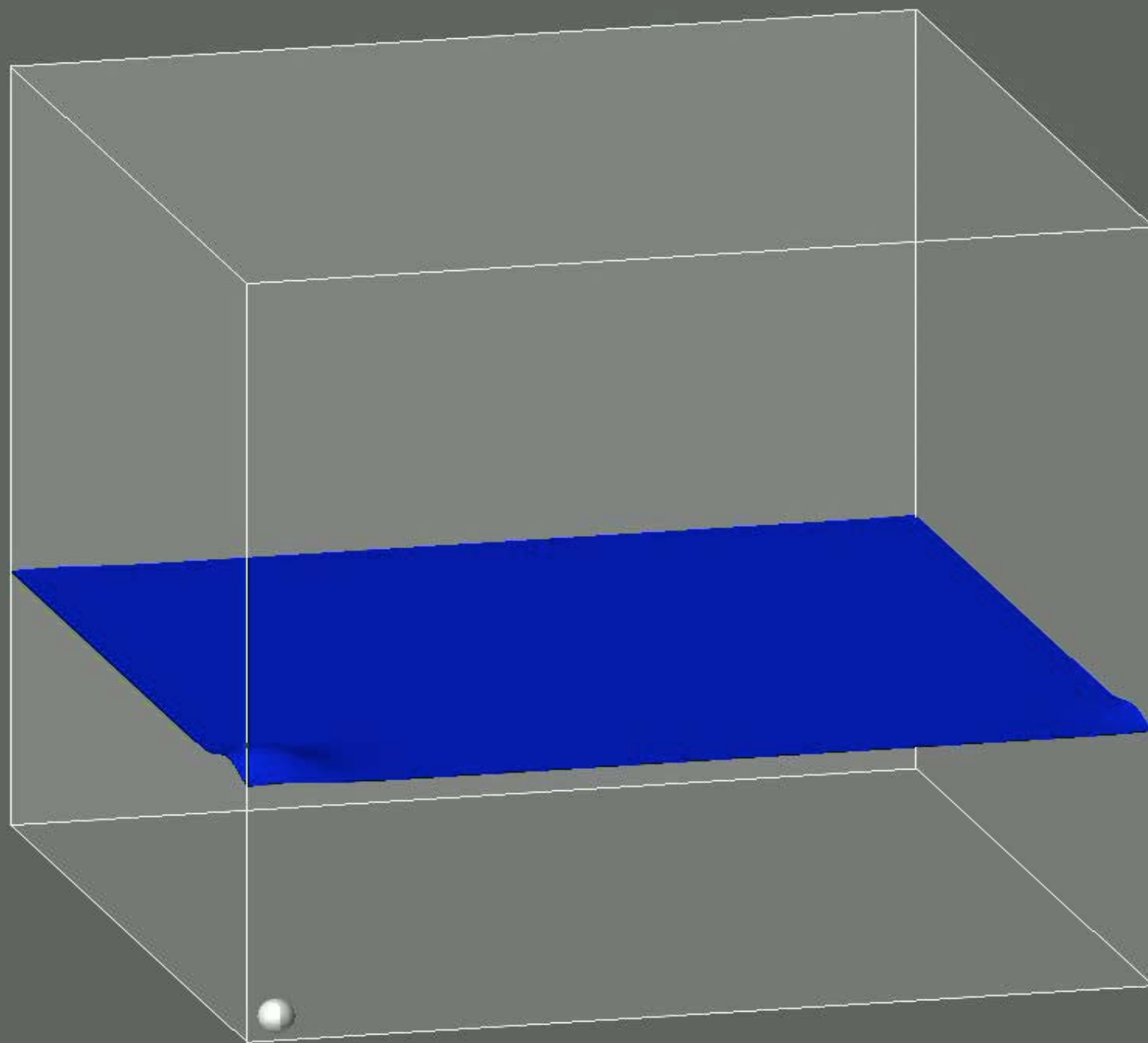


# Dialanine in water

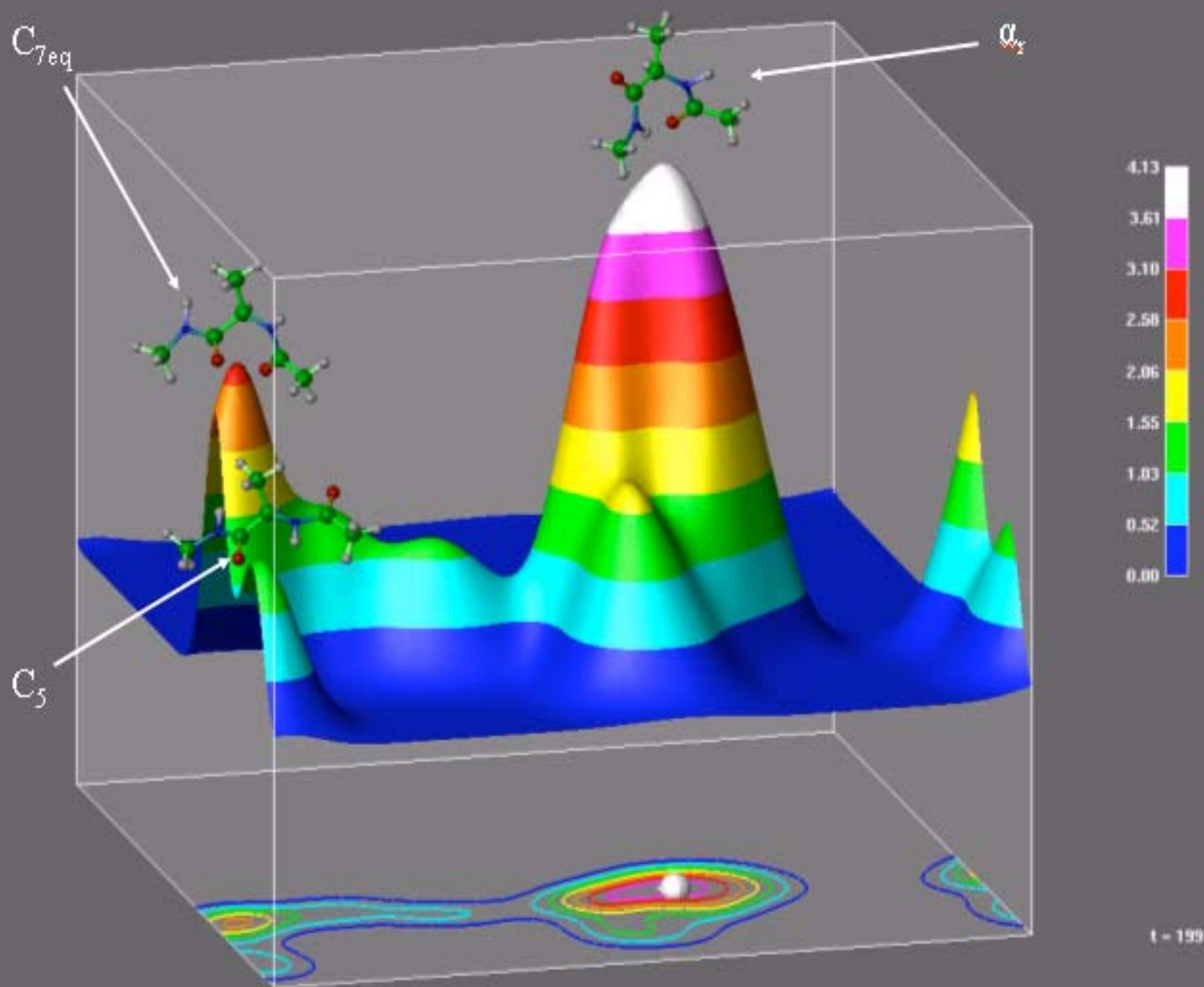
1 dialanine in 287 TIP3P water

AMBER95 force field

Collective coordinates: backbone dihedral angles  $\Phi$  and  $\Psi$



$t = 0$





## Stationary action principle

$$L = \frac{1}{2} \dot{q}^2 - V(q)$$

$$\delta S = \delta \int_{t_A}^{t_B} L(q(t), \dot{q}(t)) dt = 0$$

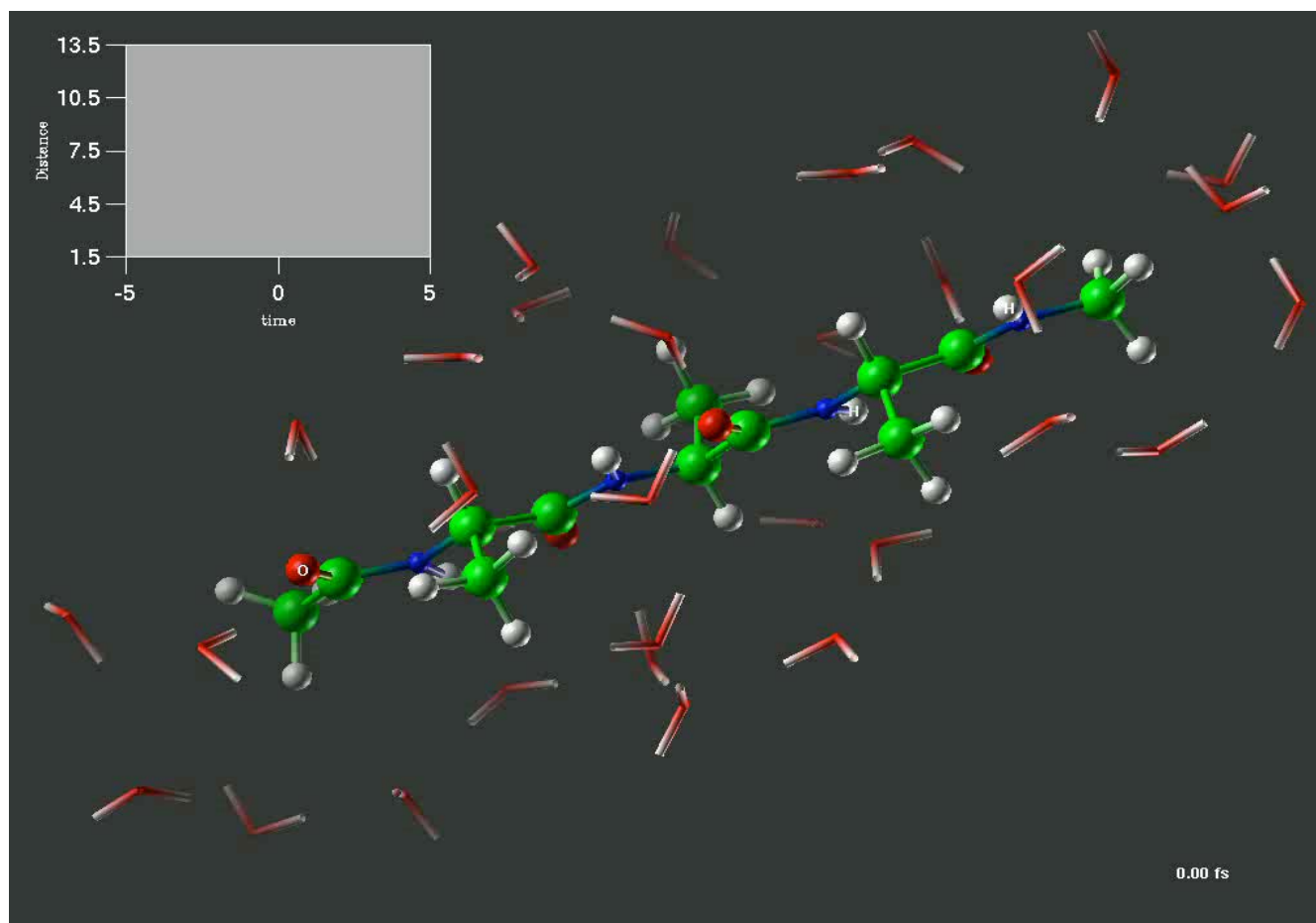
$$q(t_A) = q_A$$

$$q(t_B) = q_B$$

Can we use this principle to find the trajectories?

Saddle point!

# Folding a small peptide



## Motivation and aims

Oxidative damage to DNA is common and has fatal consequences

Guanine, having the lowest oxidation potential among the DNA bases, plays a fundamental role

Does the structure of DNA funnel the reactions towards a unique product?

# A continuous metadynamics

Fictitious kinetic energy

$$\mathcal{L} = \mathcal{L}_{CP} + \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{s}_{\alpha}^2 - \sum_{\alpha} \frac{1}{2} k_{\alpha} (S_{\alpha}(\mathbf{R}) - s_{\alpha})^2 - V(\mathbf{s}, t)$$

Restrain potential

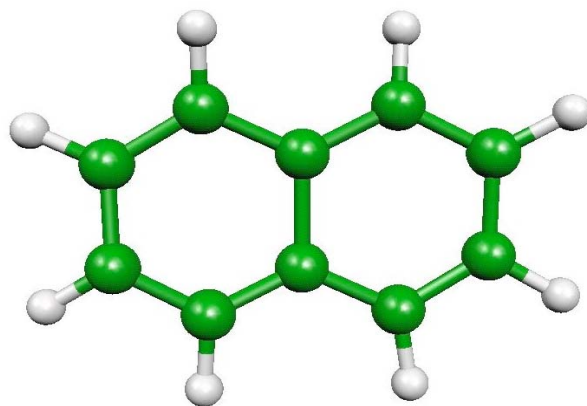
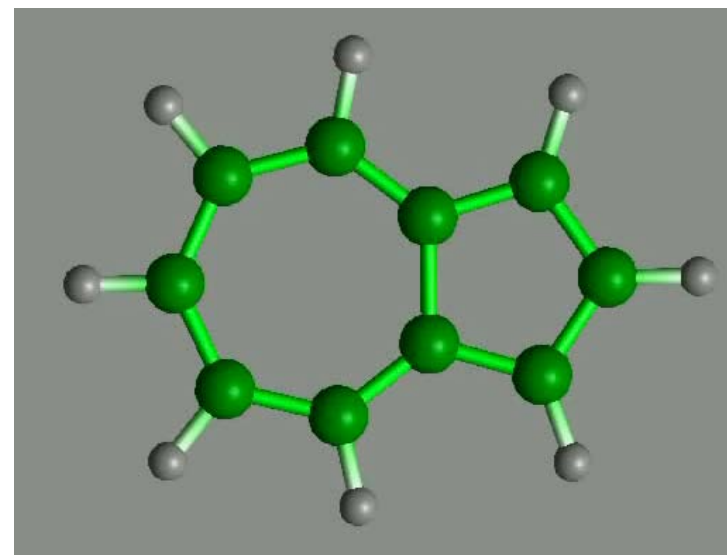
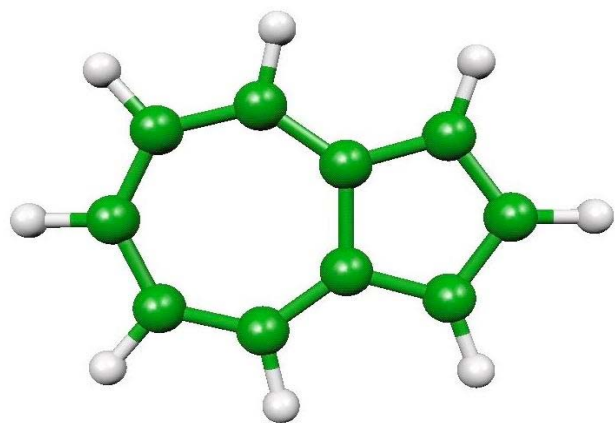
If  $\sqrt{(k/M)} \ll \omega_I$

$$\frac{\partial \mathcal{F}}{\partial s_{\alpha}} \simeq \langle k_{\alpha} (S_{\alpha}(\mathbf{R}) - s_{\alpha}) \rangle$$

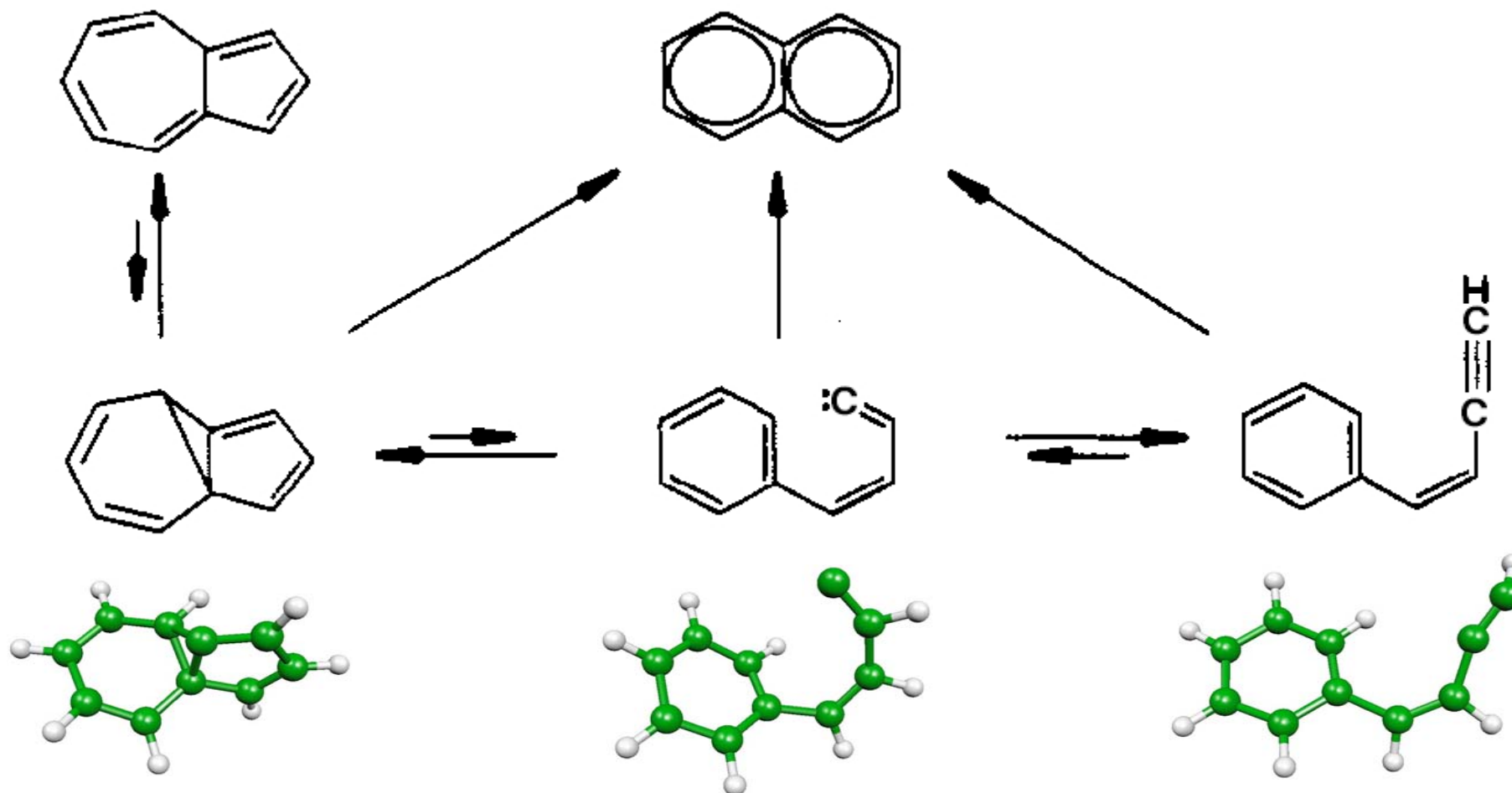
History-dependent  
potential

*M. Iannuzzi, M. Laio and M. Parrinello, PRL 2003*

# From azulene to naphthalene



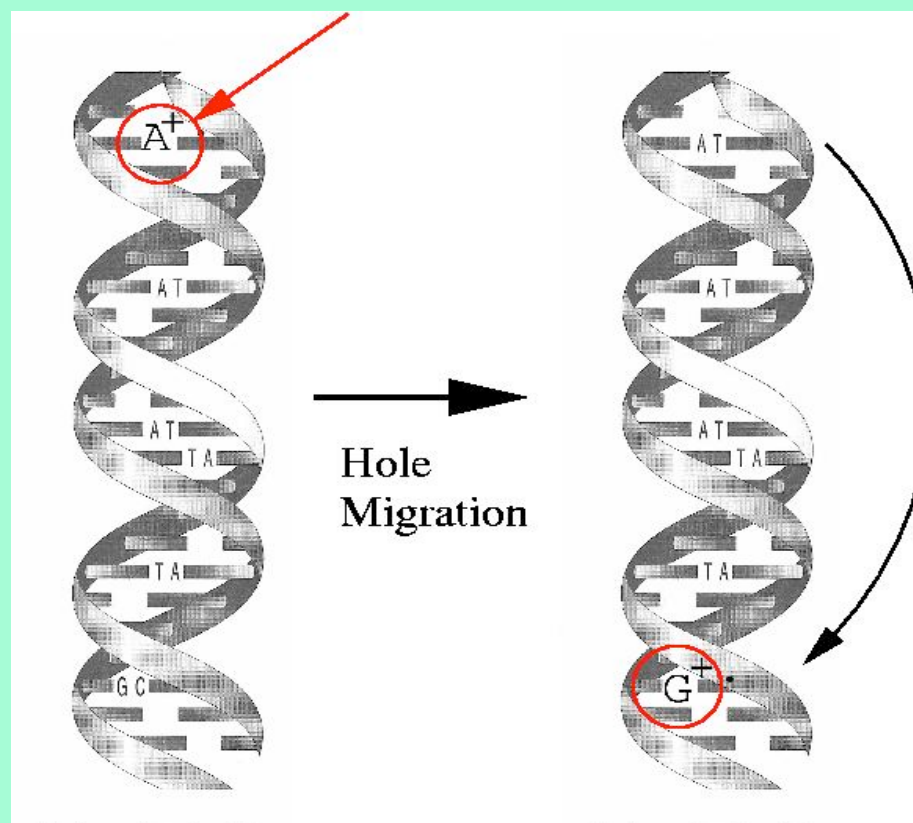
# Science fiction?



## $G^+$ localization how?

$G^+$  can be formed:

1. Directly
2. Via hole migration



*Chem. Rev.* **98**, 1109-1151 (1998)

# Computational details

Model

G:C decamer, Z conformation

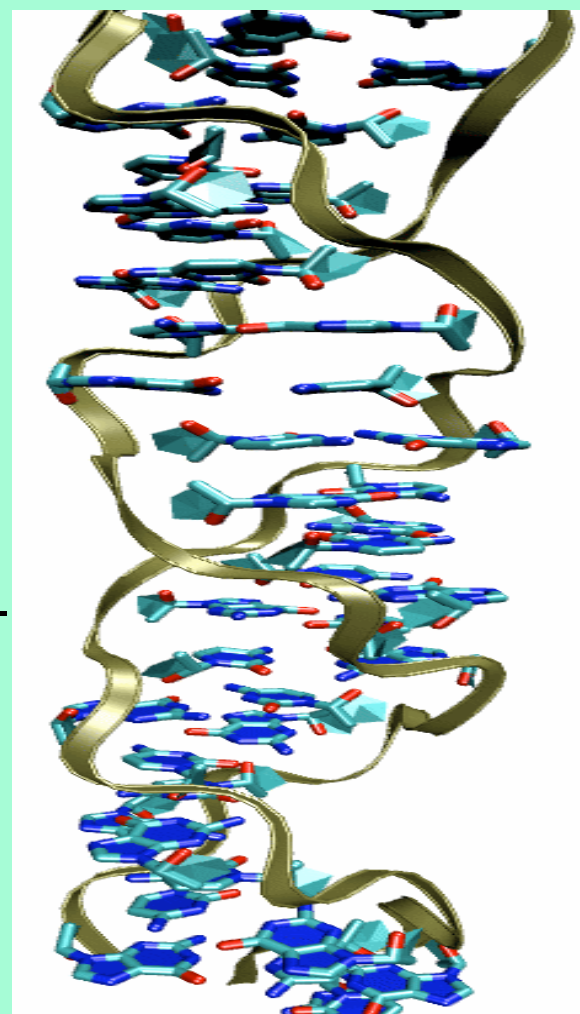
X-ray structure available

Rich in G and the smallest cell Electronic structure treated by the Kohn-Sham method

BLYP and HCTH functionals, plane waves (70Ry cut-off)

Martin-Troullier pseudopotentials

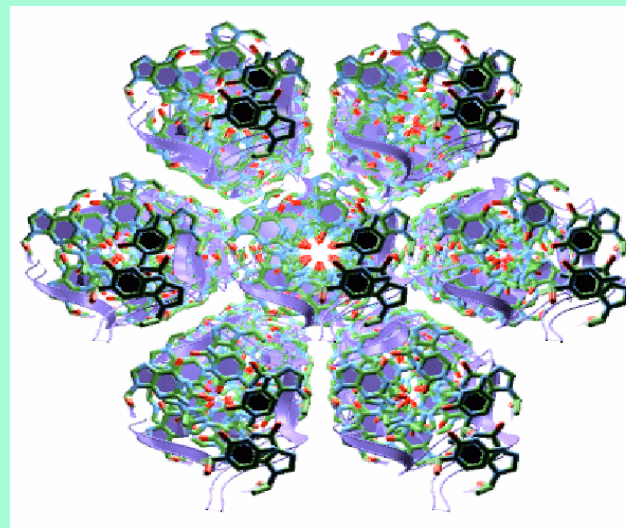
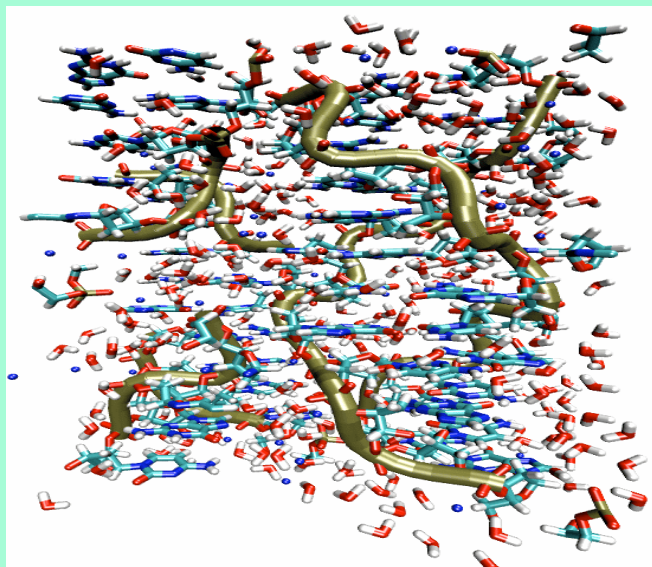
Car-Parrinello molecular dynamics, CPMD code





## Computational details

The hole localizes on G<sup>+</sup> and the proton moves to C



The model includes water and counter ions

**1194 atoms**

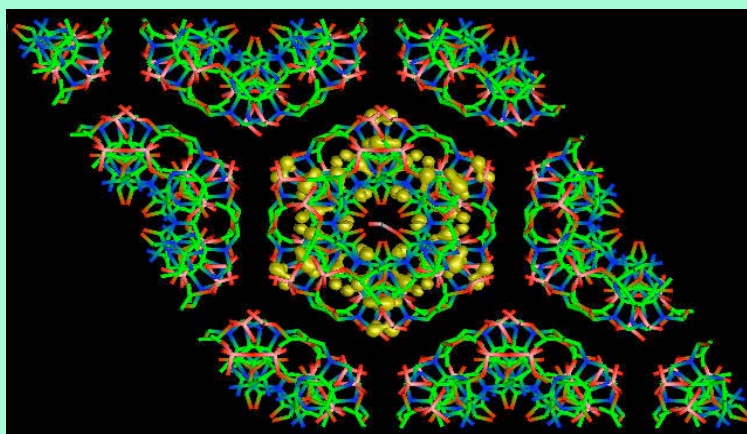
**Full quantum: 3,960 valence electrons, 408,238 PW!**

# The HOMO of DNA

DNA laboratory specimen  
The full Monty

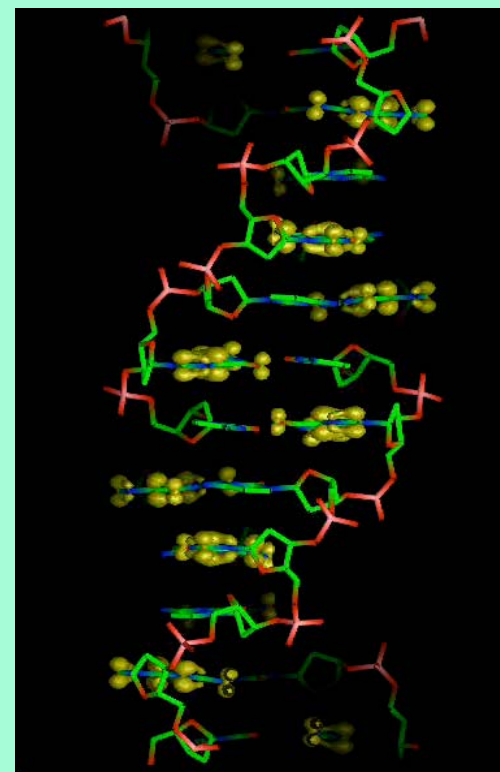
1194 atoms      1980 states

408.238 PW      13 Gb



*F. Gervasio, P. Carloni and M.P., PRL*

HOMO

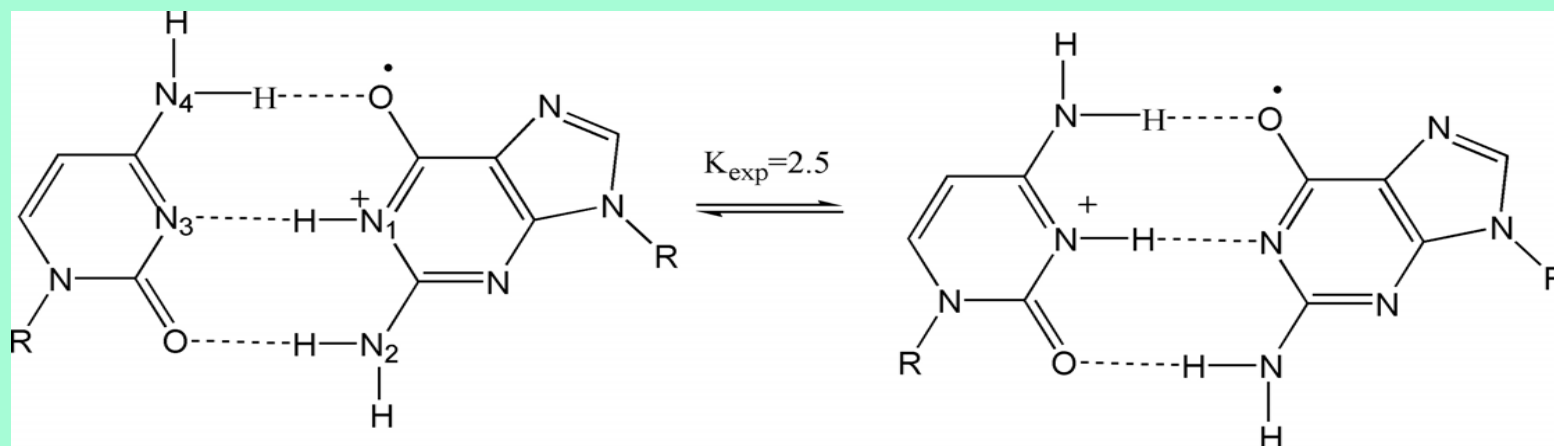


## Fate of $G^{\cdot+}$ in DNA

In duplex DNA the protonation is not clear

$CH^+$  has a pKa of 4.3 ( $G^{\cdot+}$ : pKa 3.9)

\_ Sharing of proton expected



$K_{exp} = 2.5$  is predicted from experiments in water

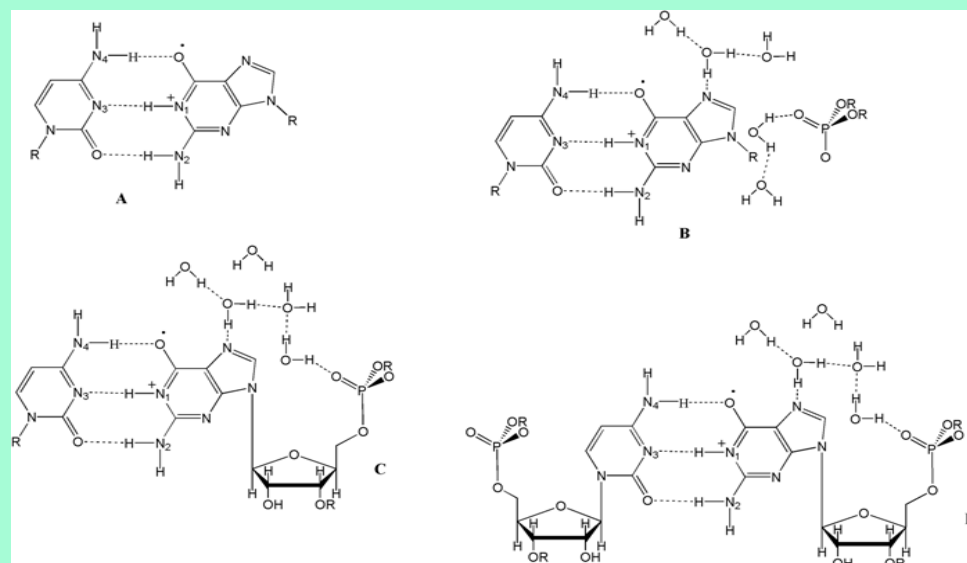
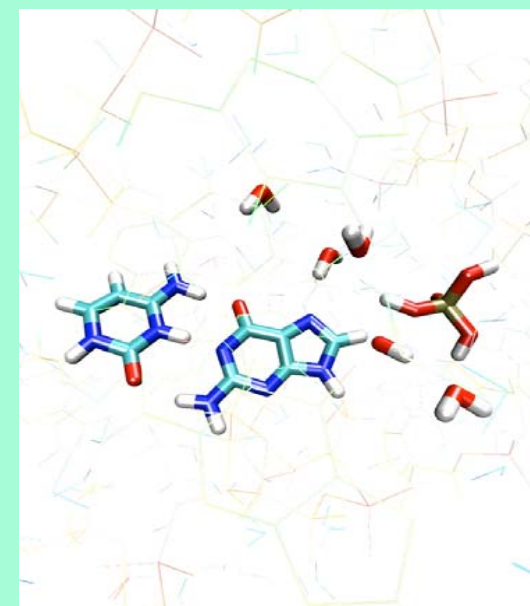
Is this relevant for DNA?

## Bridging length scale

Most calculations were done in the QM/MM framework.

*Laio A., VandeVondele J. and Roethlisberger U., J.Chem. Phys. 116, 6941(2002)*

Due to high polarity of bonds H-capping was used. Added H were decoupled to MM.



Quantum sub-systems of increasing size were used.

The biggest quantum model was the full DNA.

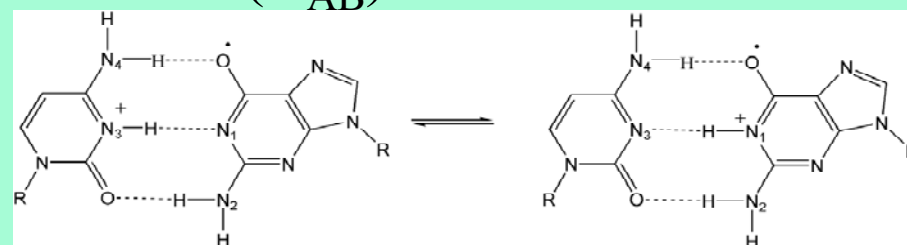
# Protonation state of the bases: gas phase

Collective coordinate used: coordination number ( $C_{AB}$ )

$$C_{AB} = \sum_{i=1}^{N_B} \frac{1 - \left(\frac{r_{Ai}}{R_{AB}}\right)^n}{1 - \left(\frac{r_{Ai}}{R_{AB}}\right)^m}$$

$n=6, m=12$

of N1, N2 and N1, N4  
with respect to H



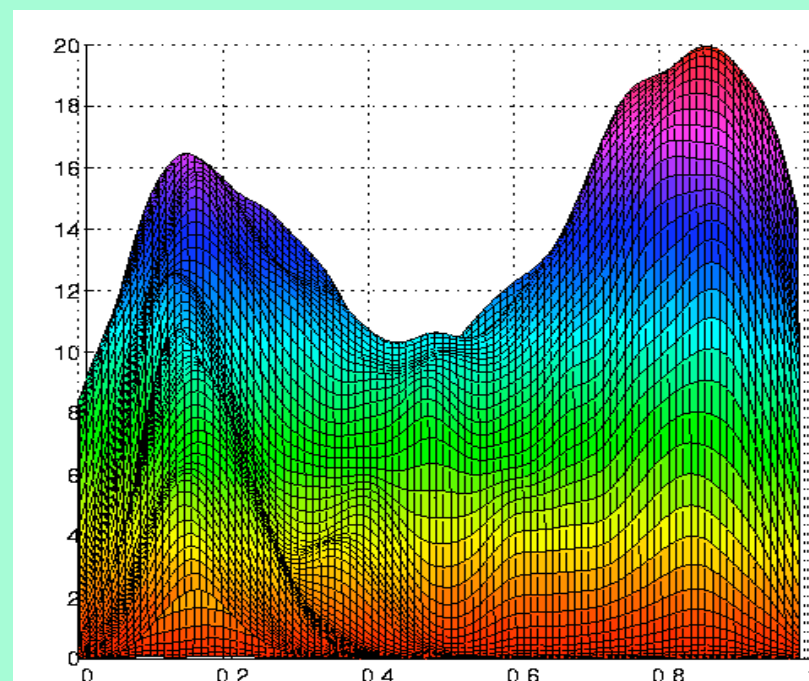
Gas Phase  $\Delta E$ :

BLYP 1.9 kcal/mol

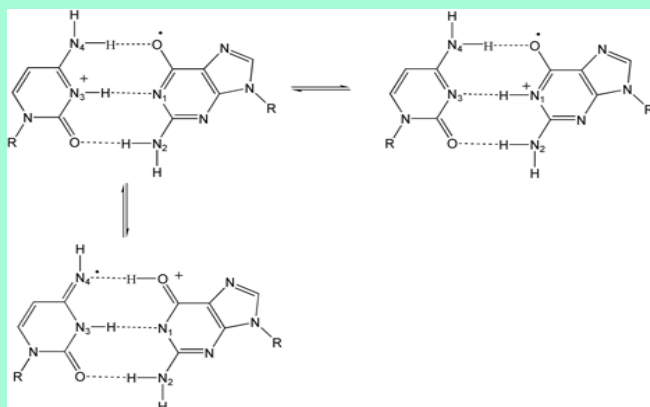
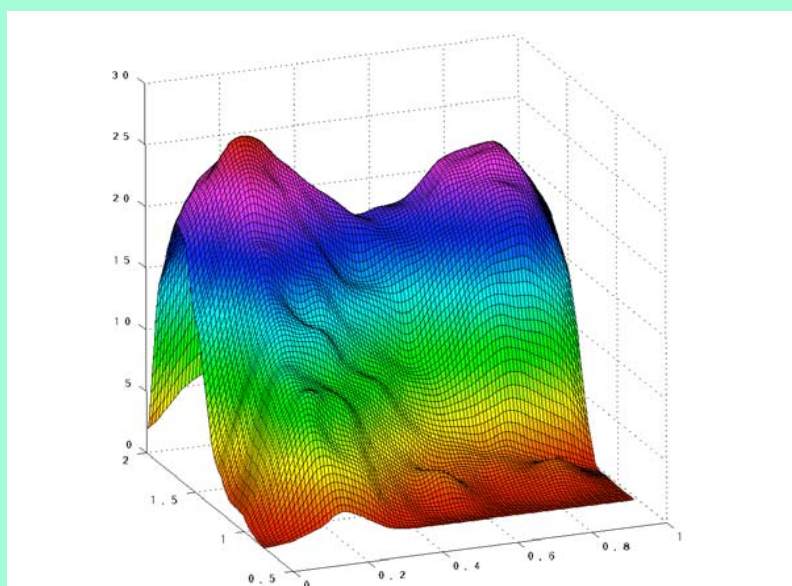
HCTH 2.03 kcal/mol

B3LYP/D95\* 1.6 kcal/mol

*Hutter and Clark, J.Am.Chem.Soc. 118, 7574*



# Protonation state of the bases: DNA



DNA  $\Delta E$ (kcal/mol):

Gas phase:  $\sim 2./3$ . (inversion)

QM/MM  $-4.5$

No charge  $-1.2$

Quantum  $\text{PO}_4^{3-}$ /full  $-3.7 / -3.9$

Charge on  $\text{PO}_4^{3-}$  and sugar  $-5.3$

$\Delta\Delta E \sim 7-8$

## Sources

Backbone charges  $\sim 3$

Error (QM/MM, exclusion)  $< 1$

Geometry 3-4

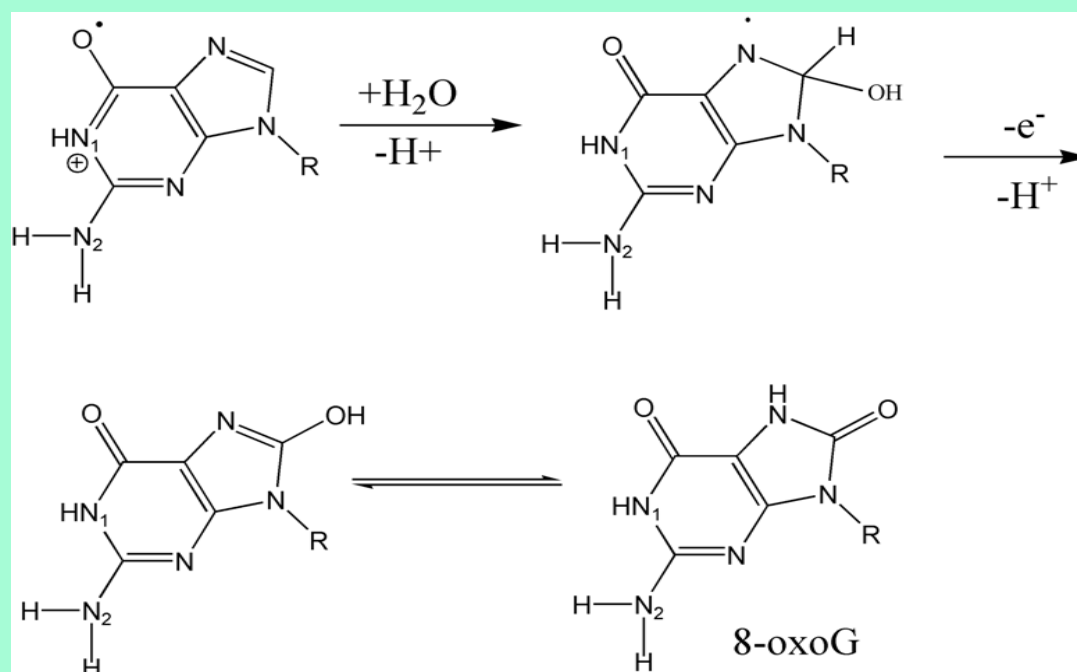


## Fate of $G^{\cdot+}$ in DNA

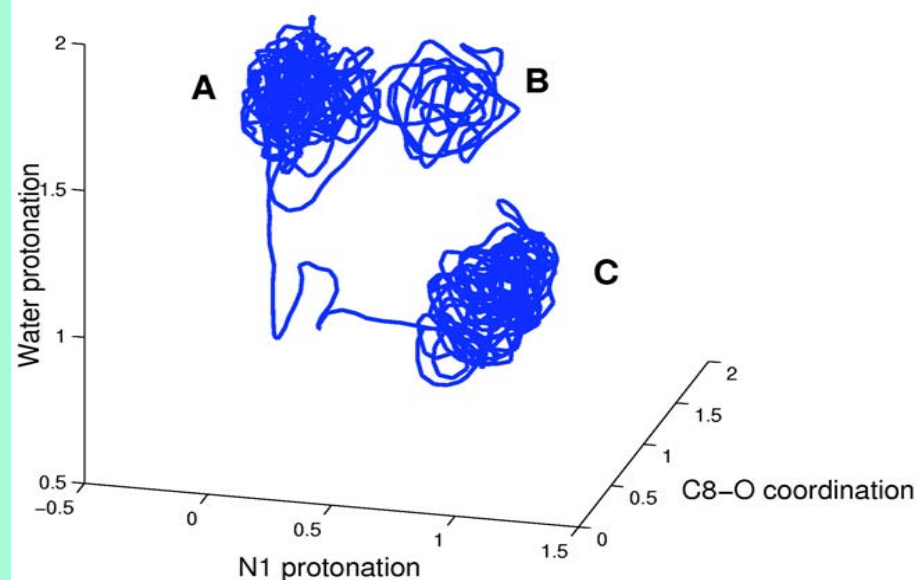
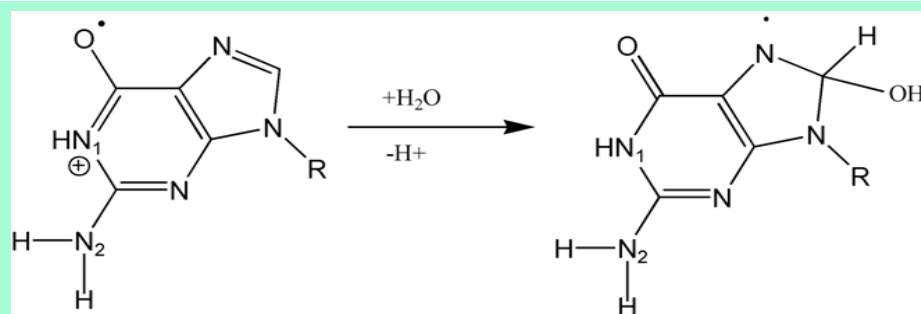
In duplex DNA the oxidation product is 8-oxo-guanine (8-oxoG)

30,000 8-oxoG in human genome!  
*Methods Enzymol.* **186**, 521 (1990)

In water a variety of products are formed



# Oxidation reaction 1



Meta coordinates used:  
N1 and water oxygen H-coordination  
number

Carbon 8 O-coordination number

Rate limited by water autoprotolysis

Catalyzed by  $R_2PO_4^-$

N1 protonation state matters

$\Delta E$  N1 deprotonation of 8-OH-G:  
22 kcal/mol!



# Oxidation reaction

