

the **abdus salam** international centre for theoretical physics

ICTP 40th Anniversary

SMR 1564 - 6

SPRING COLLEGE ON SCIENCE AT THE NANOSCALE (24 May - 11 June 2004)

STM of molecules and silicon Building a Foundation for Hybrid Silicon-organic Molecular Devices

Part: I - II - III

#### **R.A. WOLKOW**

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These are preliminary lecture notes, intended only for distribution to participants.

**Bob Wolkow** 

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and

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Building a foundation for hybrid silicon-organic molecular devices



l'igure 4

ST 1 picture of a Si(111) surface exhibiting lines of mono-atomic step. (C) 1983 North-Holland.



#### Figure 5

STM graph in relief form of the 7  $\times$  7 reconstruction on Si(111), showing two complete rhombohedra unit cells. Deep minima (-2.1 A) at the corners, minima (-0.9 A) along the edges and 12 maxima ( $\pm$ 0.7 A) in the cell inside. © 1983 The American Physical Society.

#### STM (Binnig G., Rohrer H., et al., Phys. Rev. Lett., 1982, 49, 57









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#### ALMOST INVENTED ?

-record player

-"stylus profilimeter" commonly used in machine shops and metallurgical labs

-R.Young, J.Ward, F.Scire, "The topographiner: An Instrument for Measuring Surface Microtopography", Rev. Sci. Instrum. **43**, 999 (1972) at NBS















### Field Ion Microscope (see E.W. Mueller, Zeit. f. Phys. <u>131</u> (1951)136-142)





### Implications for AFM

-thought to never give atomic resolution
-Surprise – Franz Giessibl, Science 267, 69 ~1995
-Our ultra sharp tips?







### Atom-resolved surface chemistry using STM

- Wolkow R.A. and Avouris Ph., Phys. Rev. Lett., 60, 1049-52 (1988)
- Avouris Ph. And Wolkow
   R.A., Phys. Rev. B, **39**, 5091-9 (1989)



# Frustrated motion of adsorbates on silicon





Several minutes later

The frustrated motion of benzene on the surface of Si(111), R.A. Wolkow and D.J. Moffatt, J. Chem. Phys., 103, 10696 (1995)

### Capturing normally transient precursors to adsorption



D.E. Brown, D.J. Moffatt and R.A. Wolkow, *Science*, **279**, 542 (1998)

#### APPLIED PHYSICS LETTERS

#### VOLUME 81, NUMBER 23

#### "Gentle lithography" with benzene on Si(100)

Peter Kruse and Robert A. Wolkow<sup>a)</sup>

Steacie Institute for Molecular Sciences, National Research Council of Canada, 100 Sussex Drive, Ottawa, Ontario K1A 0R6, Canada

- Si(100) surface passivated by a monolayer of benzene
- The arrows mark a line from which benzene has been removed – creating a very small reactive region



![](_page_26_Picture_9.jpeg)

### End of day 2

# A new approach to making nanostructures

![](_page_28_Figure_1.jpeg)

![](_page_29_Figure_0.jpeg)

#### H-terminated Si(100) with single dangling bond exposed to alkene (we don't allow alkene to form 2 bonds)

Alkene cannot form 2 bonds directly (simple alkene bound ~0.4 eV, styrene ~0.8)

Abstraction of H from adjacent surface site satisfies molecule, creates opportunity for chain reaction (further stabilized, simple alkene by ~0.5 eV, styrene by 0.15 eV)

# Assembly of Molecular Lines

![](_page_30_Picture_1.jpeg)

AM-1 Molecular Dynamics Calculation of the addition/abstraction process which underpins the self-directed growth of styrene lines on H-Si(100). Artificial forces were imposed to force the process along.

![](_page_31_Picture_0.jpeg)

![](_page_32_Picture_0.jpeg)

![](_page_33_Figure_0.jpeg)

![](_page_33_Figure_1.jpeg)

"A Self-Directed Growth Process for Creating Covalently Bonded Molecular Assemblies on the H-Si(100)-3×1 Surface." X. Tong, G. A. DiLabio and R. A. Wolkow, Nano Letters, 2004, 4,979-983.

![](_page_34_Figure_0.jpeg)

## Alkene reaction at single dangling bonds on H-Si(111)

![](_page_35_Figure_1.jpeg)

![](_page_35_Picture_2.jpeg)

![](_page_35_Picture_3.jpeg)

![](_page_35_Picture_4.jpeg)

R.L. Cicero, C.E.D.Chidsey, G.P. Lopinski, D.D.M. Wayner and R.A. Wolkow, Langmuir, **18**,305-7 (2002)
Olefin Additions on H-Si(111): Evidence for a Surface Chain Reaction Initiated at Isolated Dangling Bonds, Ronald L. Cicero, Christopher E. D. Chidsey, Gregory P. Lopinski, Danial D. M. Wayner, and Robert A. Wolkow, Langmuir Volume 18, 305-307 (2002).

# Imaging Electronic States of Styrene Lines on H-Si(100)

-3V sample bias

-2V

-1.5V





Apparent height of styrene molecules is strongly bias dependent, reflecting HOMO-LUMO gap

### Electronic structure and STM images of self-assembled styrene lines on a Si(100) surface.

W. A. Hofer<sup>\*</sup> and A. J. Fisher

Department of Physics and Astronomy, University College, Gower Street, London WC1E 6BT, UK

G. P. Lopinski and R. A. Wolkow

Steacie Institute for Molecular Sciences, National Research Council, 100 Sussex Drive, Ottawa, K1A 0R6 Canada

First principles electronic structure calculations are applied to styrene lines on a Si(100) surface grown via a recently reported "self-directed" growth process. Molecule-derived delocalized  $\pi$  states are situated about 3eV above the Fermi level of the system. The change in the apparent height of the molecule due to a variation of the bias voltage is a direct indication of the bandgap of semiconductor and molecular states. While tunneling spectroscopies can only measure the bandgap of the compound system, it is demonstrated here that topographic images in conjunction with first principles simulations allow a clear discrimination between the molecular bandgap and the bandgap of the substrate surface.



FIG. 4: Density of states (DOS) of the H-terminated Si(100)- $(2\times 4)$  surface (black) and a styrene line adsorbed on the same surface (grey). The occupied states of styrene are located below -1.5eV relative to the Fermi level of the system (see arrows). The bandgap in the electronic structure of the molecules is about 4eV wide (see white lines).



FIG. 3: Charge density contour plots of single empty states (0.005 Å<sup>-3</sup>). These states along the direction of the molecule are the only orbitals suitable for charge transport within the molecular structure. Due to the energy values of these states of 2.8 to 3.0 eV above the Fermi level of the system, it seems unlikely that they will be of any practical relevance.



FIG. 2: Charge density contour plot of all empty states of the organic-semiconductor interface. The contour plot describes a constant contour of 0.01 Å<sup>-3</sup>. The charge density distribution along the direction of the molecular line suggests that the self-assembled structure is suitable as a molecular wire.



FIG. 6: Simulated STM image of the styrene line at -3.5V (inset) and voltage dependent apparent height. Experimental and theoretical results are in excellent agreement, the vanishing apparent height of the styrene molecule above -1.5eV is a consequence of the fact that no occupied states of the molecule exist in this energy range (see Fig. 4).



Molecule spacing is related to Si lattice

Surface lattice spacing is 3.84 Angstrom

That is close to the spacing found in condensed benzene

# STM of Si(111)-H

5000 Å: -2.3V,55pA

100 Å: -1.5V,300pA





Prepared through wet chemical etch with 40%  $NH_4F$ 



Reversible Passivation of Silicon Dangling Bonds with the Stable Radical TEMPO, Pitters, J. L.; Piva, P. G.; Tong, X.; Wolkow, R. A. Nano Lett.;3, 1431-1435 (2003).

### 180 Å: -2.25V 100pA





### 180 Å: -2.25V 100pA

180 Å: -2.00V 100pA





### 180 Å: -2.00V 100pA

# Different growth mechanism







**Figure 2.** Schematic of the top view of a  $2 \times 1$  H-Si(100) surface. Filled and open circles represent the first and second layer of silicon atoms, respectively. Calculated classical barrier heights associated with hydrogen atom abstraction by the butenyl-type radical (chemisorbed to site x) from surface sites (in eV) are: a = 0.3, b = 0.4, c = 2.2, d = 0.1, e = 1.7, f = 0.2, g = 0.3, h = 2.4. Barrier heights associated with other sites are much higher that 2.4 eV.

# cyclopropylmethylketone growth



Various initiation points

Growth from each point – growth is <u>*not*</u> restricted to Si row direction

1)"Ring-Opening Radical Clock Reactions for Hybrid Organic-Silicon Surface Nanostructures: A New Self-Directed Growth Mechanism and Kinetic Insights." X. Tong, G. A. DiLabio, O. J. Clarkin and R. A. Wolkow, Nano Letters, 2004, 4, 357-360.

# Patterning of Vinylferrocene on H–Si(100) via Self-Directed Growth of Molecular Lines and STM-Induced Decomposition

### NANO LETTERS

2002 Vol. 2, No. 8 807-810

#### Peter Kruse, Erin R. Johnson, Gino A. DiLabio, and Robert A. Wolkow\*

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Received May 31, 2002; Revised Manuscript Received June 27, 2002







H-terminated Si(100) with single dangling bond exposed to alkene (we don't allow alkene to form 2 bonds)

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1) Parallel (simultaneous) fatrication  $(\vdots;\vdots) \rightarrow (==)$ General direction control 3) Defect tolevance 4) "Wives", other s) lithography

#### 962 Forrest L. Carter: Molecular level fabrication techniques

dotted lines and pictured as forming a continuous conducting path. The separations between such filamentary conducting paths might vary between a few angstroms as in some NiAs-type structures to hundreds of angstroms. While a suitable material cannot be pointed to at this time these materials would seem to be an area of considerable potential use.

For example, two layers of semiconducting components might be positioned on opposite sides of such a modulated insulator so that the conducting filaments might electrically link the two sides. As suggested in Fig. 5(a), conducting pads might overlap more than one filament enhancing conductivity and easing the problem of registration. By coating one side of the modulated material with a conducting film one might electrochemically form a regular array of active sites on the opposite side for the disposition of metal pads or a specific reagent leading to the preparation of molecular wires. Of course, the conducting film could be removed later and another pattern formed.

#### IV. ELECTRON TUNNELLING AS AN MED SWITCH

In order to achieve logical operations at the individual molecular level, that is, to achieve molecular addressability, one needs not only a direct line of communication as is suggested in Fig. 2 but also a corresponding set of switches to differentiate between functional units. In this and the next section three different phenomena operating at the molecular level are discussed as switches. These phenomena are (1) electron tunnelling through short periodic arrays, (2) soliton switching, and (3) soliton valving.

Electron tunnel switches are based on the early quasiclassical results of Pschenichnov.<sup>14</sup> He suggested that the trans-



FIG. 6. (a) Molecular analogue of a NAND gate with two dummy (a and c) and two cyanine dyclike control groups (b and d) is shown. By changing the conjugation in b and d different optical frequencies can be used as inputs. Figures 6(b) and 6(c) define body and the relationship of control groups to the periodic wells and barriers.

J. Vac. Sci. Technol. B, Vol. 1, No. 4, Oct.-Dec. 1983

Forest Carter

mission coefficient of an electron approaching a finite series of periodic barriers would be unity if the electron energy matched exactly a pseudostationary energy level of the barriers. This is illustrated in Fig. 6(c) where the energy of the electron approaching the barriers from the left matches the upper resonant level of the wells. The tunnel switch can be turned off by either changing a barrier height or the depth of a potential well so that the associated pseudostationary energy level no longer matches the other levels and the energy of the incoming electron. Figure 6(b) illustrates schematically four control groups (CG,) on the body of a tunnel switch that are positioned to control the depth of the potential wells. In comparing Fig. 6(a) and 6(b) we note that the potential well is associated with the quaternary nitrogen  $= N^+ = .$  Of these four control groups in CG, and CG, the quaternary nitrogen charges are stationary. However, in control groups CG2 and CG4 the positive charges can move away from the tunnel body as indicated for the cyanine dyes below:





In Fig. 6(a) if the charge is moved to the upper nitrogen in either the second (b) or the fourth (d) control group then the associated electric field (dipole) is dramatically changed and any electron tunnelling through the body would be switched off. By having different amounts of conjugation in the control groups of Fig. 6(a), that is by varying n (as in the cyanine dye example above) the charge of different CGs can be shifted by photons of different energy. Thus Fig. 6(a) would be a molecular NAND gate analogue with optical inputs. Alternatively the charges could be shifted by the motion of a nearby charged soliton as suggested by the author recently (WMED2).<sup>15</sup>

Finally we note that a variety of molecular analogue gates have been proposed, <sup>1(a),1(b),16</sup> and Pschenichnov's quasiclassical solution has been supported by an exact analytical solution for square well potentials.<sup>16</sup> Recently the predicted switching action was computationally verified (WMED2).<sup>15,17,18</sup> However, Barker *et al.* noted that long delays could be produced in the transport of the electrons through the body by trapping them between wells.<sup>17</sup> This effect may be an artifact of the rectangular walls of the square well model.

#### **V. SOLITON SWITCHING**

While conformational changes, that is changes in bond angles and distances, are significant in electron tunnel switches they are of fundamental importance in both soliton switching and soliton valving.

The soliton is a nonlinear disturbance which behaves like a pseudoparticle and travels in a dispersive medium but without being dispersed. The soliton of special interest here is primarily an excited electronic state associated with zero, one, or two electrons occupying a p- $\pi$  orbital in a conjugated system with the associated soliton charge of +, 0, or - and of spin 0,  $\pm 1/2$ , 0, respectively.<sup>19</sup>

### Thanks to group members:

Dr. Jason Pitters, experimental staff scientist Dr. Gino DiLabio, theorist staff scientist

Doug Moffatt, former technician Martin Cloutier, new technician Dr. Judy Xu, group administrator

Dr. Paul Piva, postdoc Dr. Adam Dickie, postdoc Dr. Mohamed Rezeq, postdoc Dr. Lin Wu, postdoc

Mark Salomons, instrument design engineer Tony Tadic, undergraduate student Erin Johnson undergrad with Gino DiLabio Owen Clarkin, MSc with Gino DiLabio Andrea Evans, summer student

Visiting Professor Qiao Sun, engineer, Calgary Visiting Professor Joachim Burghartz, Scientific Director DIMES Delft University of Technology

Some of our collaborators Werner Hofer, Liverpool Alain Rochefort, Montreal George Kirczenow, Simon Fraser AndrewFisher, UCL

## former postdocs/RAs

David McComb Charlie MacPherson David Brown Peter Kruse XiaoTong Steve Patitsas

- Molecules are prefabricated units, with unique size, shape, flexibility, chemical and physical affinities, electronic signatures, thermal conductivity, and more.
- Because we now have (or can foresee having) the ability to configure these complex units, it becomes possible to imagine making functional molecular entities.

- We know that molecular assemblies can achieve extraordinary computational feats our brains allow us to recognize a face, even the gender, age and mood of a person after just a glance something no other computer can do.
- So the question isn't, can molecules perform computations? The question is, how do we fit a harness onto molecules?

- To achieve competitive computational capacity we will need systems of very substantial complexity.
- Such systems are well out of reach now.
- An intermediate goal concepts for detectors, possibly medical diagnostic devices. En route, learn to position, configure and communicate with molecules.
- Then, prepared to explore more complex structures, relevant for computation.

- We take as a starting point, hybrid molecule-silicon assemblies.
  - Controlled Molecular Adsorption on Si: Laying a Foundation for Molecular Devices, R.A. Wolkow, Annual Review of Physical Chemistry, volume 50, 413-41, 1999
- By adapting the sophisticated capabilities of Si-technology we will establish methods for controllably positioning and addressing molecular entities.
- By marrying organics and silicon, we aim to underpin a new array of devices, capable of interfacing and interacting with the local environment.

#### 962 Forrest L. Carter: Molecular level fabrication techniques

dotted lines and pictured as forming a continuous conducting path. The separations between such filamentary conducting paths might vary between a few angstroms as in some NiAs-type structures to hundreds of angstroms. While a suitable material cannot be pointed to at this time these materials would seem to be an area of considerable potential use.

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- an experimentalist doing "theory" (modeling)
- careful comparison to experiment
- building up understanding step-by-step
- finally, solve complex structures that were out of reach previously

# Electronic Structure of Si(111)-7x7







# Ethylene $(C_2H_4)$ on Si(100)



near-Fermi level iso-charge density surfaces simulate STM contours





### Vibrational Spectrum of ethylene/Si(100)



- C-H stretch frequencies shifted below 3000cm-1, indicating sp3 hybridization.
- AM-1 level calculations give fair agreement with experiment, BLYP/6-31G\* in excellent agreement.

A Step Toward Making and Wiring-up Molecular-Scale Devices, R.A. Wolkow, Japanese Journal of Applied Physics, 2001.



Organic modification of hydrogen terminated silicon surfaces, Danial D. M. Wayner and Robert A. Wolkow, J. Chem. Soc., Perkin Trans. **2**, 23-34 (2002).

# Soon, high resolution electron energy loss spectroscopy combined with STM

- $\sim 100x$  more sensitive
- Can do (must do) in vacuum
- combined with STM will allow "white bumps" to be identified

# Propylene on Si(100)







# *trans*-2-butene on Si(100)

### Occupied states (-2V)



### Unoccupied states (+2V)



# unoccupied

# occupied



### Determination of absolute chirality





- 1. the molecule *trans*-2-butene has 2 "faces"
- 2. reaction at these results in products with different chirality.
- 3. the two enantiomers formed, though spectroscopically indistinguishable are clearly identified in the STM image.
- 4. Since reaction at the 2 faces is equally likely, equal numbers of the two enantiomers are formed resulting in an achiral surface

Lopinski, Moffatt, Wayner and Wolkow, *Nature*, **392**, 909 (1998)

### Observation of isomerization in 2-butene addition

### Si(100) dosed with *trans*-2-butene



- Small fraction of molecules undergo isomerization upon addition to the surface at 295K.
  - 2.1+/-0.7% *cis* in *trans*
  - 2.6+/-0.6% *trans* in *cis*
- Gases are isomerically pure to 99.8% as determined by NMR, FTIR and chromatography.
- Similar probability of isomerization at 350K.
  - 2.1+/-0.5% *trans* in *cis*

# Stepwise reaction of 2-butenes to Si(100)



- 1) Some isomerization is observed, indicating sequential, but rapid formation of second Si-C bond.
- 2) Only a small probability of rotation about the C-C single bond. *For rotational barrier of 4 kcal this timescale is 2 ps.*
- 3) Weak temperature dependence suggests three scenarios;
  - *small barrier to rotation (<1 kcal).*
  - barrier to formation of second Si-C bond.
  - energy of adsorption leaves molecule rotationally hot

How stereoselective are alkene addition reactions on Si(100)?, G.P. Lopinski, D.J. Moffatt, D.D.M. Wayner and R.A. Wolkow, J. Am. Chem. Soc. **122**, 3548-3549 (2000).

### Carene, reaction at one face is blocked

An enatiospecific reaction ("asymmetric induction") results

Formation of a macroscopically chiral surface.



# Wrong \_\_\_\_ Enantiomer ?



Asymmetric Induction at a Silicon Surface, G.P. Lopinski, D.J. Moffatt, D.D.M. Wayner, M. Z. Zgierski and R.A. Wolkow, J. Am. Chem. Soc. *communication*,**121**, 4532-4 (1999).


- don't see C or H atoms only blobs
- more than one configuration evident very diff. appearances
- can't solve this structure

### Adsorbed benzene shows changes with time









## Benzene/Si(100) Calculated Bonding Geometries



#### Benzene/Si(100): Calculated Vibrational Spectrum



- Can assign all the observed modes to either single dimer, tight or twist bridge bonding geometries.
- Symmetric bridge can be ruled out, consistent with calculated adsorption energy



Twist bridge

Tight bridge



Single dimer



Resolving Organic Molecule-Silicon Scanning Tunneling Microscopy Features with Molecular Orbital Methods, R.A. Wolkow, G.P. Lopinski and D.J. Moffatt, Surf. Sci., **416**, L1107-1113 (1998).

#### PHYSICAL REVIEW B, VOLUME 63, 085314

#### Adsorption of benzene on Si(100)-(2×1): Adsorption energies and STM image analysis by *ab initio* methods

W. A. Hofer and A. J. Fisher Department of Physics and Astronomy, University College London, Gower Street, London WC1E 6BT, United Kingdom

#### G. P. Lopinski and R. A. Wolkow

Steacie Institute for Molecular Sciences, National Research Council, 100 Sussex Drive, Ottawa, Canada KIA 0R6 (Received 19 April 2000; revised manuscript received 10 July 2000; published 6 February 2001)

We model the adsorption and STM imaging of benzene on Si(100) by using first principles density functional methods and a perturbation approach for the tunneling current. The simulations are well in accordance with experimental data and reproduce the adsorption energies and the energy differences between adsorption sites remarkably well. We were able to simulate images and line scans of the two principal adsorption geometries and to show that they reproduce the actual measurements. The chemical nature of the tip in measurements is discussed and it is shown that a tip structure protruding several layers from the crystal face and terminated by a tungsten atom gives the best agreement with measurements. The results confirm the view that tunneling is dominated by a single tip atom.

DOI: 10.1103/PhysRevB.63.085314

PACS number(s): 73.20.-r, 68.37.Ef



FIG. 4. STM images and line scans due to a LDOS contour of  $5 \times 10^{-7}$  states/eV. The simulation along  $A \cdot A'$  shows the highest corrugation (1.6 Å), it is due to the tight-bridge configuration. The scan on a (1,4) configuration, along  $B \cdot B'$  is lower, in the simulation, by about 0.2 Å. Experimental line scans (grey curves) are taken from a 50 pA constant current image.

### Benzene/Si(100) Bonding State Conversion @300K





### Benzene Adsorption at type C defects



- 1. 5 additional adsorption events in this area, 3 at type C defects
- 2. preferential adsorption at type C defects
- 3. Taking relative populations (regular and defect) into account indicates 40:1 preference for defect
- 4. Requires substantial mobility before chemical adsorption locks molecule in place

# Single molecule-silicon bond breaking



### bond breaking probability as a function of voltage



### Single electron process

- examine current dependence of bond breaking probability
- approximately linear
- single electron breaks the molecule-silicon bond (2 S-C bonds)





### Alkane-like adsorbates

Current-Induced Organic-Silicon Bond Breaking: Consequences for Molecular Devices S.N. Patitsas, G.P. Lopinski, O. Hul'ko, D.J. Moffatt and R.A. Wolkow, Sur. Sci., **457**, L425-L43, 2000.





Inducing Desorption of Organic Molecules with a scanning Tunneling Microscope: Theory and Experiments, Saman Alavi, Roger Rousseau, S.N Patitsas, Gregory P. Lopinski, Robert Wolkow and Tamar Seideman, Phys. Rev. Lett., **85**, 5372 (2000).

Can we use our knowledge to build and characterize new structures?



conducts 0-1.7 Volts open circuit 2 Volts

### Negative differential resistance?

#### APPLIED PHYSICS LETTERS

#### VOLUME 81, NUMBER 23

#### 2 DECEMBER 2002

#### "Gentle lithography" with benzene on Si(100)

Peter Kruse and Robert A. Wolkow<sup>a)</sup>

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- The arrows mark a line from which benzene has been removed – creating a very small reactive region



