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Short and Long Baseline Neutrino Experiments

D. Autiero

IN2P3/IPN Lyon France 7<sup>th</sup> School on Non-Accelerator Astroparticle Physics ICPT 26 July - 6 August 2004

## Short and Long Baseline Neutrino Experiments

D.Autiero IN2P3/IPN Lyon

## Outline

Two lectures on the past and current neutrino oscillation experiments with manmade neutrino sources: Accelerators (mainly) and nuclear Reactors

Presented following an historical approach:

## Lecture 1

- Introduction: neutrino oscillations and experiments
- The CERN Short Baseline experiments NOMAD, CHORUS
- ✓ LNSD and KARMEN
- ✓ MINIBOONE
- ✓ CHOOZ

## Lecture 2

- ✓ K2K
- ✓ MINOS
- ✓ The CNGS program OPERA, ICARUS
- ✓ Outlook

## Neutrino oscillations

Neutrino mixing (Pontecorvo 1958; Maki, Nakagawa, Sakata 1962):

3 neutrinos framework, neutrinos are massive particles and they mix similarly to quarks; the flavour eigenstates  $v_e$ ,  $v_\mu$ ,  $v_\tau$  are not mass eigenstates but linear superpositions of the mass eigenstates  $v_1$ ,  $v_2$ ,  $v_3$ with eigenvalues  $m_1$ ,  $m_2$ ,  $m_3$ :

$$\left| \boldsymbol{\nu}_{\alpha} \right\rangle = \sum_{i} \boldsymbol{U}_{\alpha i} \left| \boldsymbol{\nu}_{i} \right\rangle$$

 $\alpha = e, \mu, \tau$  (flavor index) i = 1, 2, 3 (mass index )  $U_{\alpha i}$ = unitary mixing matrix

Today favorite parametrization of U: in terms of 3 mixing angles  $\theta_{12} \theta_{23} \theta_{13}$  and one Dirac-like CP phase  $\delta$  (two extra phases in case of Majorana neutrinos):

$$U \equiv U_{23}U_{13}U_{12} \equiv \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$Atmospheric v oscillations \qquad \qquad Solar v oscillations$$

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

where:  $s_{ij} \equiv \sin \theta_{ij}, c_{ij} \equiv \cos \theta_{ij}$ .

Considering the time evolution of a flavour eigentstate  $v_{\alpha}$  produced at t = 0:

$$\left| \mathcal{V}(t) \right\rangle = e^{i\mathbf{p}\cdot\mathbf{r}} \sum_{k} U_{\alpha k} e^{-iE_{k}t} \left| \mathcal{V}_{k} \right\rangle \qquad E_{k} = \sqrt{p^{2} + m_{k}^{2}}$$

The phases:  $e^{-iE_k t}$  will be different if  $m_j \neq m_k$ 

Projecting v(t) on the flavor basis one can obtain the probability of finding other flavours:

Appearance of the flavour  $v_{\beta} \neq v_{\alpha}$  for t > 0

Simplified case: two neutrinos mixing

Only one mixing angle  $\theta$  is needed

$$|\nu_{\alpha}\rangle = \cos \theta |\nu_{1}\rangle + \sin \theta |\nu_{2}\rangle$$
$$|\nu_{\beta}\rangle = -\sin \theta |\nu_{1}\rangle + \cos \theta |\nu_{2}\rangle$$

If  $v = v_{\alpha}$  at (*t* = 0):

$$\left|\nu(t)\right\rangle = e^{i(\mathbf{p}\cdot\mathbf{r}-E_{1}t)}\left[\cos\theta\left|\nu_{1}\right\rangle + e^{-i(E_{2}-E_{1})t}\sin\theta\left|\nu_{2}\right\rangle\right]$$

Probability of detecting  $v_{\beta}$  at the instant t if  $v(0) = v_{\alpha}$ :

$$\mathsf{P}_{\alpha\beta}(t) = \left| \left\langle \mathsf{v}_{\beta} \left| \mathsf{v}(t) \right\rangle \right|^{2} = \sin^{2}(2\theta) \sin^{2}(\frac{\Delta m^{2}t}{4E}) \qquad \begin{array}{l} \hbar = c = 1\\ \Delta m^{2} \equiv m_{2}^{2} - m_{1}^{2} \end{array}$$

Oscillatory behaviour of  $P_{\alpha\beta}$  with time ruled by two parameters:



Given  $\Delta m^2$  the experimental quantity for the study of neutrino oscillations is the ratio L/E [km/GeV]: first oscillation maximum at L/E ~ 1.24 /  $\Delta m^2$ 

$$P_{\alpha\beta}(L) = \sin^2(2\theta)\sin^2(\pi \frac{L}{\lambda})$$
  $\lambda = 2.48 \frac{E}{\Delta m^2}$  Oscillation wavelength



For very large  $\Delta m^2$  the oscillations become very fast and average over the dimensions of the source and of the detector:

 $(\sin^2(1.267 \ \Delta m^2 \ L/E)) = 1/2$  P=(1/2)  $\sin^2(2\theta)$ 

The baseline is related to the L/E ratio of the experimental setup:

Short Baseline experiments: sensistive to large  $\Delta m^2$  (> 1 eV<sup>2</sup>)

Long Baseline experiments: sensitive at least to  $\Delta m^2$  of interest for the atmospheric neutrino anomaly (<10<sup>-2</sup> eV<sup>2</sup>), L/E > 100 Km/GeV

Reactors:L>0.3 Km, E~3 MeVAccelerators:L>100 Km, E~1 GeV

## Most important neutrino interactions with matter in the accelerators energy range



## Possible neutrino oscillation experiments

#### Disappearance experiments:

Measure the survival probability at a certain distance from the source of the neutrino flavour produced at the source: D = 1  $\nabla D$ 

Typical examples:

$$\mathsf{P}_{\alpha\alpha} = 1 - \sum_{\beta \neq \alpha} \mathsf{P}_{\alpha\beta}$$

Experiments at nuclear Reactors:

 $E_{\nu}$  ~ a few MeV, below threshold for  $\mu$  or  $\tau$  production

Experiments at Accelerators studying  $v_{\mu} \rightarrow v_{\tau}$  oscillations with low energy beams (MINOS, K2K) below  $\tau$  production threshold

These experiments rely on the knowledge of the initial neutrino flux at the source to which they have to compare in order to claim an effect.

This knowledge is the main systematic limitation which can be improved by measuring the flux with a near detector

Far detector

Near detector v Beam
v Source
Disappearance experiments can also measure at a certain L the oscillatory dependence of P<sub>are</sub> on E (energy spectral distortion), which is an important signature

#### Appearance experiments:

Measurement of the probability of detecting a neutrino flavour  $v_{\beta}$  not produced at the source (beam of  $v_{\alpha}$ ) at a certain distance L from the source:

Typical examples:

 $v_e$  Appearance at accelerators:

Detection of the reaction  $v_e + N \rightarrow e^- + hadrons$  with a  $v_{\mu}$  beam

 $v_{\tau}$  Appearance at accelerators:

Detection of the reaction  $v_e + N \rightarrow e^- + hadrons$  with a  $v_{\mu}$  high energy beam

These experiments rely on the knowledge of the purity of the initial neutrino flux at the source and on the control of the background processes in the detector which could mimic the appearance of a new flavour.

Neutrino beams at accelerator are almost pure  $v_{\mu}$  beams,  $v_{\tau}$  are practically absent,  $v_{e}$  are present at the level of 1% (a two detector setup improves the syst. uncertainty)

#### Advantages neutrino beams at accelerators: man-made neutrino sources of:

- high purity
- high intensity
- high energy (O(10 MeV) O(100 GeV))



## Interpretation of the experimental results

Observation of an oscillation signal:

allowed region in the plane of the parameters  $[\Delta m^2, \sin^2(2\theta)]$  compatible with the measured  $P_{\alpha\beta}$  (defined by  $P_{\alpha\beta}$  and the L/E of the experiment)

Negative result:

upper limit on  $P_{\alpha\beta}$  ( $P_{\alpha\beta}$  < P at a certain confidence level)  $\rightarrow$  excluded region



Neutrino oscillation searches at the beginning of 90s (long time ago in neutrino physics, not so much in everydays life ...)



U.S. new president in 1993

The long standing (since 1968) problem of the solar neutrino deficit opened by the Homestake measurements (+ Kamiokande since 1986) In 1992 first Gallex results confirm the deficit also for neutrinos from the pp cycle

Atmospheric neutrino anomaly still quite weak

The controlled observation of neutrino oscillations with an accelerator neutrino beam would have been a great discovery, where to search ?

Prejudice towards small mixing angles and large  $\Delta m^2$ 

 $\checkmark$  Take the MSW solution of the solar neutrino deficit:  $\Delta m_{\mu e}^2 \sim 10^{-5} \text{ eV}^2$ 

✓ Assume a strong hierarchy:  $m_{\nu e} \ll m_{\nu \mu} \ll m_{\nu \tau} \rightarrow m_{\nu \mu} \sim 3 \times 10^{-3} \text{ eV}$ 

✓ Assume the See-Saw mechanism:  $m(v_i)=m^2(f_i)/M$ M=very large Majorana mass  $m(f_i)=e.g.$  quark masses

Then:  $m_{v\tau} \sim 30 \text{ eV}$  (Cosmological relevance)

#### Dark matter (see lectures of P.Ullio)



J. Ellis PLB 292 1992  $\Omega_{HDM}$  = 0.3,  $\Omega_{CDM}$  = 0.7

Recent cosmological results:  $\sum mv < 2.5 eV$  90% CL

With  $m_{\nu\tau} \sim 30$  eV cosmological neutrinos important component of dark matter  $\Delta m_{\mu\tau}^2 O(100 \text{ eV}^2)$ 

Look for  $\nu_{\mu} \rightarrow \nu_{\tau}$  with short baseline experiments at accelerators, high energy beam



## The CERN WANF neutrino beam



Flavour	<e<sub>v&gt;(GeV)</e<sub>	rel.abun.
$\nu_{\mu}$	23.5	1.0
$\overline{\nu_{\mu}}$	19.2	0.061
ve	37.1	0.0094
- Ve	31.3	0.0025
ν <sub>τ</sub>	35	5 <i>x</i> 10 <sup>-6</sup>

<L>/<E> = 3 x 10<sup>-2</sup> Km/GeV



# Search for $\tau$ appearance $\mu \overline{\nu}_{\mu} \nu_{\tau}$ 17.4%The $\nu_{\tau}$ are searched for through their charged current $e \overline{\nu}_{e} \nu_{\tau}$ 17.8%interaction followed by the $\tau$ decay. $h(n\pi^{0})\nu_{\tau}$ 49.8%This decay can be identified using two different methods : $3h(n\pi^{0})\nu_{\tau}$ 15.2%

•Identification of the  $\tau$  decay kink : CHORUS (high space resolution, emulsions) (main channel: muonic tau decay)

•Measurement of the KINEMATIC of the  $\tau$  decays :NOMAD presence of neutrino(s) in the final state, missing P<sub>t</sub>, visible decay daughters (tracking, calorimetry) (main channel: electronic tau decay)





#### Use of kinematics to extract a $v_{\tau}$ signal: (First proposed by Albrigth and Shrock P.L.B. 1979)

NOMAD: fully reconstruct 1.7 M neutrino interactions, with good resolution, at single particles level:



Kinematics closure on the transverse plane

Find  $v\tau$  down to  $P\mu\tau \sim 10^{-4}$  in a large background: 1.3 M  $v\mu$  CC  $\phi(e$ 0,4 M  $v\mu$  NC 13 K ve CC

Exploit the small ve background:  $\tau$ ->e channel: electron id



## The NOMAD detector



#### Nomad typical events:

$$\nu_{\mu} + N \rightarrow \mu^{-} + X$$

$$v_e + N \rightarrow e^- + X$$

$$\overline{\nu_e} + N \rightarrow e^+ + X$$





There is not a single miracle cut achieving a  $10^5$  rejection

The signal is half way between CC and NC background for what concerns the imbalance of the event and the isolation of the tau daughters

Combine the pdf of many variables in order to build likelihood functions for the signal and background

Cut on the likelihood ratio  $ln(L_S/L_B)$ 

Two likelihood ratios can be used in a correlated way: one to reject NC based on the isolation and one to reject CC based on the imbalance

Corrections to the Monte Carlo predictions are evaluated from the data themselves by looking at the differences between data and MC for the  $\nu_{\mu}$  CC events, the muonic decay channel is sacrified

The analysis is performed in a blind way by not looking at the interesting region with good S/B ratio (blind box), data can be looked elswhere, the search is performed as cross-check also for the  $\tau^+$  (purely background sample)



## CHORUS



Target: 800 kg of nuclear emulsions

<u>Scintillating fibers tracker</u>: high space resolution for the localization of the neutrino interaction in the emulsions

<u>Magnetic spectrometer and calorimeters</u>: measurements of momentum and energy of secondary particles

<u>Automatic scanning microscopes</u> for the analysis of the data recorded by the nuclear emulsions

Cleanest channel: muonic tau decay.

Main background: production of charmed particles in CC events with primary lepton missed







#### NOMAD (completed)

#### Chorus Phase II:

New location method (increase by 60K the sample)

Scan a volume of 1.5x1.5x6.3 mm<sup>3</sup> around already located events Decay search not limited to just the scan-back track

Offline data analysis

#### In Progress



NOMAD data: final - CHORUS phase-II not yet finished



## The LSND and Karmen experiments (1993-2001)



	LSND	KARMEN	
Accelerator	Los Alamos Neutron Science Centre	Neutron Spallation Facility ISIS , R.A.L. (U.K.)	
Protons kinetic energy	800 MeV	800 MeV	
Current	1000 μA	200 µA	
Detector	Tank of liquid scintillator Scintillation and Čer <u>enkov lig</u> ht	512 indipendent cells filled with liquid scintillator	
Target mass	167 ton	56 ton	
<b>Event localization</b>	PMT time	cell dimensions	
Distance from the source	<b>29 m</b>	17 m	
Neutrino angle wrt the Incoming protons	11°	90°	
Data-taking	1993 – 98	1997 – 2001	
Protons on target	4.6 x 10 <sup>23</sup>	$1.5 \times 10^{23}$	







#### LSND result: evidence for $\overline{v_u} - \overline{v_e}$ oscillations

Signal: Positrons with 20 < E < 200 MeV correlated in space and in time with the  $\gamma$  rays of 2.2 MeV expected from the neutron capture:

N( "beam-on") – N( "beam-off") = 117. 9 ± 22.4 events Background due to  $\mu^{-}$  DAR = 19.5 ± 3.9 Background from  $\pi^{-}$  DIF + ( $\nu_{\mu} \mp p \rightarrow \mu^{+} + n$ ) = 10.5 ± 4.6 Signal  $\overline{\nu_{e}}$  = 87. 9 ± 22.4 ± 6.0 events 3.8  $\sigma$  effect (stat.) (syst.) Posc( $\overline{\nu_{\mu}} - \overline{\nu_{e}}$ ) = (0.264 ± 0.067 ± 0.045) x 10<sup>-2</sup>

Sample with reduced background:

Positrons with 20 < E < 60 MeV, more stringent neutron correlation cut

 $N(beam-on) - N(beam-off) = 49.1 \pm 9.4$  events

Neutrino background =  $16.9 \pm 2.3$  events

Signal  $v_e = 32.2 \pm 9.4$  events





## KARMEN final result

correlation in space and time between the prompt and delayed signals, time correlation of prompt signal with proton beam,

16 < *E*(e<sup>+</sup>) < 50 MeV

#### Data: 15 events after cuts with a total background of $15.8 \pm 0.5$ events

Backgrounds:Cosmics:  $3.9 \pm 0.2$  eventsRandom coincidences  $v_e \rightarrow e^-$ :  $5.1 \pm 0.2$ Random coincidences among  $v_e \rightarrow e^-$  and uncorrelated  $\gamma$ :  $4.8 \pm 0.3$ Intrinsic contamination of  $v_e$  in the beam :  $2.0 \pm 0.2$ 



No evidence for oscillations:

 $P_{osc}(\bar{v_{\mu}} - \bar{v_{e}}) < 0.085 \times 10^{-2} (90\% \text{ C.L.})$ 

The two experiments are still compatible in a region of the parameters due to the different L (29 m LSND, 17 m KARMEN) The oscillation signal  $v_{\mu} - v_e$  in LSND complicates the global scenario: with 3 neutrinos only two independent  $\Delta m^2$  are possible:



> At least 4 neutrinos are needed to reconcile all the results, from LEP it is known that the number of active light neutrinos is 3, so the other neutrinos must be sterile

> Even under this assumption the global fit of oscillation signals is poor:oscillations involving sterile neutrinos are disfavoured for the Atmospheric and Solar neutrinos, more sophisticate mechanisms like CPT violation must be advocated

## Search for $v_{\mu}$ - $v_{e}$ oscillations in NOMAD (1995-2002)

Motivated by LSND result

Due to electron neutrino component in beam (1%) – careful simulation of beam line needed (<5% syst. uncertainty achieved on the ratio among the  $\nu_{\mu}$  and  $\nu_{e}$  spectra)

Exploit powerful electron identification

Study energy spectra (enhanced at low energy) and radial distributions (enhanced in the center)



## NOMAD can also study $v_{\mu} \rightarrow v_{e}$ oscillations:



## Final results



Data are compatible with known sources, No evidence for oscillations

NOMAD result rules out the LSND allowed region with  $\Delta m^2$  above 10 eV^2



#### MiniBooNE (Booster Neutrino Experiment at Fermilab)

Goal: confirm LSND claim (with different syst., energy, statistics)

- first phase: search for  $v_{\mu} v_{e}$  oscillation;
- second phase: search for  $v_{\mu} v_{e}$  oscillations;
- in case of LSND confirmation, measurement with a second detector at different L



#### The MiniBooNE detector



- Sphere 12 m diameter
- 807 ton mineral oil. Čerenkov ligth + delayed scintillation ligth.
- Fiducial mass 445 ton
- Internal volume optically insulated (1280 PM, diam. 20 cm)
- External veto volume (240 PM)

Identification of secondary particles Based on different behavior of electrons, muons, pions and on the pattern of Čerenkov rings





# MiniBooNE results with two years of data-taking (10<sup>21</sup> protons on target: 20% already taken, results in 2005,)

~500K events  $\nu_{\mu}C \rightarrow \mu^{-}X$ , ~70K events  $\nu C \rightarrow \nu X$ 

Backgrounds to the  $\nu_{\mu} - \nu_{e}$  oscillation signal:  $1500 \nu_{e}C \rightarrow e^{-}X$  events  $(\nu_{e} \text{ contamination in the beam})$   $500 \text{ events } \mu^{-} \text{ identified as electrons}$  $500 \text{ events } \pi^{\circ} \text{ identified as electrons}$ 

+ 1000 signal events  $v_e C \rightarrow e^- X$ if the LSND result is correct

The  $\nu_{\mu}$  and the  $\nu_{e}$  contamination in the beam have different energy spectra allowing to better separate the oscillated events from the background

 $\nu_e$  are coming from muon decays, the muons have the same origin (pion decays) as the  $\nu_{\mu}$ 



#### Exclusion plot after two years of data-taking

## Kamiokande atmospheric neutrinos anomaly 1994 -1997:



The Perkins plot (PLB 349 1995) Interpretation of solar + atmospheric data in terms of just one  $v_{\mu}$ -> $v_{e}$  oscillation with  $\Delta m^{2} \sim 10^{-2} eV^{2}$ 

The Acker-Pakvasa 3 flavours model hep-ph/9611423 included also LSND  $(\Delta m^2 \sim 1 eV^2)$ 





#### 6. Conclusion

There is a substantial body of data leading to a theoretical prejudice which suggests that most probably the Gran Sasso and possibly the Jura locations, coupled with the SPS neutrino beam could be the real 'focal point' of the neutrino oscillation search. Spectacular  $v_{\mu} \leftrightarrow v_{\tau}$  conversion is expected to be visible behind the Jura and a monumental  $v_{\mu} \leftrightarrow v_{e}$  conversion is expected to be observed at the Gran Sasso position.

(B) Behind Jura (17 km)

Medium-baseline L/E~1Km/GeV



## CHOOZ (the first long baseline experiment) 1997-1998





90% CL

0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8

 $\frac{0.9}{\sin^2(2\theta)}$ 

This result was published in 1998 <u>before</u> the Super-Kamiokande results and excluded the atmospheric neutrino anomaly interpretation in terms of  $v_{\mu} \rightarrow v_{e}$  oscillations

#### Neutrino 98 Conference in Takayama (June 1998) (see G. Giacomelli Lectures)

First results from Super-Kamiokande on atmospheric neutrinos, evidence of a zenith angle dependence of  $v_{\mu}$  disappearance,  $v_{e}$  in agreement with expectations

