united nations educational, scientific and cultural organization (figure organization) (the **abdus salam** international centre for theoretical physics **4** O anniversary 2004

H4.SMR/1586-13

"7th Workshop on Three-Dimensional Modelling of Seismic Waves Generation and their Propagation"

25 October - 5 November 2004

GLOBAL SEISMOLOGY

From Normal Modes to Surface and Body Waves Application to Anisotropic Tomography

> J.P. Montagner Dept. Sismologie I.P.G. Paris, France





Global Seismology

From normal modes to surface waves and body waves

Jean-Paul Montagner

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Overview Large scale Seismology: an observational field

- Data (Seismic source) + Instrument (Seismometer) -> Observations (seismograms)
- Historical evolution: Ray theory, Normal mode theory, Numerical techniques (SEM, NM-SEM)
- Scientific Issues: earthquakes, structure of the Earth and planets
- Tomographic Technique
- Seismic Experiment: Plume detection
- NM-SEM and time reversal



Seismic Instruments

Seismoscope (China -100BC)



Broadband Seismometer (1mHz-20Hz) (Cacho, 1998)











3 components frequency range: 1mHz-20Hz Period range: 0.05-1000s





Chile earthquake magnitude= 7.3 Epicentral distance = 12,300km-depth 20km



Chile Earthquake Jul. 1995



- \rightarrow Dispersive waves,
- \rightarrow Good global coverage,
- \rightarrow Large scale heterogeneities (min. 600 km).



Vertical component of displacement field recorded at DRV station corresponding to the New-Guinea 05/16/1999 earthquake.







Ocean Bottom Observatories

=> International Ocean network (I.O.N.)

•2/3 of the Earth are covered by water.

• seafloor seismometers enable:

- To investigate oceanic regions with a better resolution

- To fill gaps in the global coverage

NERO (joint French-Japanese Project)





I.O.N.

International Ocean Network

ION (International Ocean network) France, Italy, Japan, UK, U.S.



Figure 1: This map shows twenty regions which would require a seafloor seismic observatory in order to have 128 GSN stations evenly spaced around the globe (red boxes). The six starred boxes have been selected as preliminary test sites. The yellow lines mark plate boundaries.





M.O.I.S.E (June-Sept. 1997) (Monterey bay Ocean bottom International Experiment) MBARI, UC Berkeley, IPG-Paris, UBO-Brest





Multiparameter signals



Deconvolution of the seismic signal from the pressure influence



Beauduin et al., 1996



NERO: Scientific Interest Global scale



-To improve global tomographic model resolution

- To improve azimuthal distribution in determination of large earthquakes focal

Karason & van der Hilst, 2003





NERO observatory (in 2008)



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Hypothesis: Elastic Medium

 $s_{ij} = C_{ijkl} e_{kl}$

Where e_{kl} is the strain tensor, s_{ij} the stress tensor

 C_{iikl} the elastic tensor: 81 elastic moduli

Symmetries of e_{kl} , s_{ij} and of the strain energy W= 1/2 $S_{ij} e_{ij}$ => 21 independent elements Isotropic case: C_{ijkl} = $I d_{ij} d_{kl}$ + m($d_{ik} d_{jl}$ + $d_{il} d_{jk}$)

I, mare Lamé parameters

Elastodynamic equation $\partial_j (C_{ijkl} \partial_k u_l) + \rho \omega^2 u_i = 0$

In the isotropic case, 2 solutions: S-wave P wave

In heterogeneous media, comparison between Wavelength λ and scale of heterogeneity Λ



Duality wave - particle:

- I seismic wavelengthL scale heterogeneity
- Particle: Ray theory (XXth century)
 I << L
- Wave: Normal mode theory (>1970)



RAY PATHS INSIDE THE EARTH



Bolt, 1993





Duality wave - particle:

- I seismic wavelength
- L scale heterogeneity
- Particle: Ray theory (XXth century)
 << L
- Wave: Normal mode theory (>1970)



Kurils islands 1994-277 Ms=8.3







Elasto-dynamic equation $r \leq_{tt} u_{0i} = \leq_j S_{ij} + r g_i + F_i (+ FS_i +...)$ Which can be rewritten: $r \leq_{tt} u_0 = H_0 u_0 (+ FS)$

H₀ is an integro-differential operator

1D-Reference Earth Model: $M_0(r)$, r(r), $V_P(r)$, $V_s(r)$ (PREM, Dziewonski and Anderson, 1981 or IASP91, Kennett and Engdahl, 1991)





$r \leq_{tt} \mathbf{u}_0 = \mathbf{H_0}\mathbf{u_0} \quad (+ \mathbf{Fs})$

Eigenfrequencies: $_{n}w_{l}$ Eigenfunctions: $_{n}u_{l}^{m}(r,t)=|n,l,m>$ 3 quantum numbers (k={n,l,m}) => $u_{k}(r,t)$ $\leq r u_{k}^{*} \cdot u_{k} d^{3}x = d_{ij}$

$$\mathbf{H_0} \mathbf{u}_k = \mathbf{r}_n \mathbf{w}_k^2 \mathbf{u}_k$$

Displacement: $\mathbf{u}(\mathbf{r},t) = S_{n,l,m} a_l^m |n,l,m| \exp(-i_n w_l^m)$

 $\begin{aligned} \mathbf{u}_{k}(\mathbf{r},t) &= \{ \mathsf{U}(\mathbf{r}) \ \mathbf{e}_{r} + \mathsf{V}(\mathbf{r}) \mathbf{e}_{q} \leq_{q} + \mathsf{V}(\mathbf{r}) / \text{sing } \mathbf{e}_{f} \leq_{f} \} \mathsf{Y}_{I}^{\mathsf{m}}(q,f) \\ &+ \{ \mathsf{W}(\mathbf{r}) \ \mathbf{e}_{q} \leq_{f} - \mathsf{W}(\mathbf{r}) \ \mathbf{e}_{f} \leq_{q} \} \mathsf{Y}_{I}^{\mathsf{m}}(q,f) \end{aligned}$

Spheroidal Modes




Spherical eigenfunctions



1D- Reference Earth Model

- Normal mode calculation (eigenfrequencies nwk eigenfunctions nul(r,t))
- Synthetic Seismograms by normal mode summation (k={n,l,m}).

 $\mathbf{U}(\mathbf{r},t)$ Displacement at point \mathbf{r} at time t due to a force system \mathbf{F} at point source \mathbf{r}_s

 $\mathbf{u}(\mathbf{r},t) = \mathbf{S}_{k} \mathbf{u}_{k} (\mathbf{r}) \cos \mathbf{w}_{k} t / \mathbf{w}_{k}^{2} \exp(-\mathbf{w}_{k} t / 2\mathbf{Q}) (\mathbf{u}_{k} \cdot \mathbf{F})_{s}$ Source Term $(\mathbf{u}_{k} \cdot \mathbf{F})_{s} = (\mathbf{M}:\mathbf{e})_{s}$

M Seismic moment tensor, e deformation tensor









Synthetic seismograms By normal mode summation

Denali-Alaska earthquake (Nov. 2002)

Komatitsch and Tromp, 2003

Eigenfunction basis is a complete basis => any wave can be modelled by normal mode summation including surface waves and body waves.

Asymptotic form of $Y_{l}^{m}(q, f)$: $Y_{l}^{m}(q, f)$: = p⁻¹ (sin q)^{1/2}cos[(l+1/2)q + 1/2 mp- 1/4 p] e^{imf} For a source at the pole, q plays the role of epicentral distance. The horizontal wavenumber k is: k=(l+1/2)/a And phase velocity is c(w)= W_{k}

Ray parameter $p = a \sin i / V <=>$ horizontal slowness

 $p = a \frac{k}{W} = \frac{(1+1/2)}{W}$

Duality wave - particle: I seismic wavelength L scale heterogeneity

Particle: Ray theory I << L

Wave: Normal mode theory (NM) + Perturbation theories (small amplitude of 3Dheterogeneities) -> Global tomography

Numerical modelling of wave equation Strong or weak forms: $I \leq L$ -Spectral Element Method (SEM) -Coupled SEM-NM method



Spectral Element Method: D. Komatitsch (1999)

Coupled method of Spectral Elements and Modal Solution

Principle:

- Ω⁺: Spectral Element area: 3D model
- Ω⁻: Modal Solution area: 1D model







Capdeville et al., 2002



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Seismic Source Studies $u(\mathbf{r},t) = S_k u_k (r) \cos w_k t / w_k^2 \exp(-w_k t/2Q) (u_k \cdot F)_S$ Source Term $(u_k \cdot F)_S = (M:e)_S$ M Seismic moment tensor, e deformation tensor

Bolivia 94/06/09 M_w = 8.2





Structure of the Earth

Plate tectonics

Mantle Convection





Tomographic Technique

Forward Problem: Theory d=g(p)
 d data space, p parameter space
 Reference Earth model p₀:
 d₀ = g(p₀)

- Kernels ≤g/≤p
- Cd function (or matrix) of covariance of data

Inverse Problem: $p-p_0 = g^{-1} (d-d_0)$

- C_{p0} a priori Covariance function of parameters
- C_{pf} a posteriori Covariance function of parameters
- R Resolution





Receivers

Seismic sources

GEOSCOPE stations and FDSN stations





Importance of seismic anisotropy

ANISOTROPY is the Rule not the Exception

Seismic Anisotropy is present at all scales





Cracks, fluid inclusions

Crust Inner core



(Babuska and Cara, 1991)



(Singh et al., 2001)



Importance of seismic anisotropy ANISOTROPY is the Rule not the Exception

Anisotropy is present at all scales

-From microscopic scale up to macroscopic scale -Efficient mechanisms of alignment (L.P.O.: lattice preferred orientation S.P.O.: shape preferred orientation; fine layering)



Da: Anisotropy Effect

DT: Temperature Effect





Montagner & Guillot, 2001



 $Da \approx DT$

Convective cell: anisotropic parameters



Anisotropy is observed on different kinds of seismic waves

- Body waves (Pn, Shear wave splitting)
- Surface waves (Rayleigh-Love discrepancy; Azimuthal anisotropy)



Importance of seismic anisotropy ANISOTROPY is the Rule not the Exception



Anisotropy is present at all scales

from microscopic scale to macroscopic scale
Efficient mechanisms of alignment
(L.P.O.: lattice preferred orientation
S.P.O.: shape preferred orientation; fine layering)

Anisotropy is observed on different kinds of seismic waves -Body waves (Pn; S-wave splitting) -Surface waves (Rayleigh-Love discrepancy, azimuthal anisotropy)

ANISOTROPY REFLECTS AN INNER ORGANIZATION

ANISOTROPY IS NOT A SECOND ORDER EFFECT

Compilation of S-wave splitting measurements



Savage, Rev. Geophys., 1999

Effect of anisotropy on surface waves

$$\frac{\delta \omega_k}{\omega_k} = \frac{\int_V \epsilon^\star_{ij} \delta C_{ijpq} \epsilon_{pq} dV}{\int_V \rho_0 u^\star_r u_r dV}$$

 ω_k eigenfrequency corresponding to multiplet $k = \{n, l, m\}$ ϵ strain tensor, u displacement, δC elastic tensor perturbation. • Phase velocity $v(\omega, \theta, \phi, \Psi)$ at $\mathbf{r}(\theta, \phi, r)$ (Smith & Dahlen, 1973) $v(\omega, \theta, \phi, \Psi) = -A_0(\omega, \theta, \phi) + A_1(\omega, \theta, \phi)\cos 2\Psi + A_2(\omega, \theta, \phi)\sin 2\Psi + A_3(\omega, \theta, \phi)\cos 4\Psi + A_4(\omega, \theta, \phi)\sin 4\Psi$

 Ψ azimuth (angle between North and wave vector).

The first order perturbation in Love wave phase velocity $\delta C_L(k,\Psi)$ can be expressed as:

$$\delta C_L(k,\Psi) = \frac{1}{.2C_{0L}(k)} [L_1(k) + L_2(k)\cos 2\Psi + L_3(k)\sin 2\Psi + L_4(k)\cos 4\Psi + L_5(k)\sin 4\Psi$$

where

(a)~~

Ε

The same procedure holds for Rayleigh waves, starting from the displacement given previously.

$$\delta C_R(k,\Psi) = \frac{1}{2C_{0R}(k)} [R_1(k) + R_2(k)\cos 2\Psi + R_3(k)\sin 2\Psi + R_4(k)\cos 4\Psi + R_5(k)\sin 4\Psi$$

where

$$\begin{split} R_{0}(k) &= \int_{0}^{\infty} \rho(U^{2} + V^{2}) dz \\ R_{1}(k) &= \frac{1}{R_{0}} \int_{0}^{\infty} [V^{2} dA + \frac{U'^{2}}{k^{2}} dC + \frac{2U'V}{k} dF + (\frac{V'}{k} - U)^{2} dL] dz \\ R_{2}(k) &= \frac{1}{R_{0}} \int_{0}^{\infty} [V^{2} . B_{c} + \frac{2U'V}{k} . H_{c} + (\frac{V'}{k} - U)^{2} G_{c}] dz \\ R_{3}(k) &= \frac{1}{R_{0}} \int_{0}^{\infty} [V^{2} . B_{s} + \frac{2U'V}{k} . H_{s} + (\frac{V'}{k} - U)^{2} G_{s}] dz \\ R_{4}(k) &= \frac{1}{R_{0}} \int_{0}^{\infty} E_{c} . V^{2} dz \\ R_{5}(k) &= \frac{1}{R_{0}} \int_{0}^{\infty} E_{s} . V^{2} dz \end{split}$$

The 13 depth-functions $A, C, F, L, N, B_c, B_s, H_c, H_s, G_c, G_s, E_c, E_s$ are linear combinations of the elastic coefficients C_{ij} and are explicitly given as follows:

n	ii			
1	1J 11	$\frac{c_{ij}c_ic_j}{c_j^2\beta^2 l_j^2W/2}$		
1	22	$c_{11}\alpha \beta .\kappa W$		
1	33	0		
2	12	$-c_{12}\alpha^2\beta^2 k^2 W^2$		
$\frac{1}{2}$	13	0		
2	23	0		
2	24			
4	14	$c_{14}(-i\alpha^2\beta).\frac{kWW'}{2}$		
4	15	$c_{15}(i\alpha^2\beta).\frac{kW\tilde{W}'}{2}$		
4	16	$c_{16}(-\alpha\beta)(\alpha^2-\beta^2).\frac{k^2W^2}{2}$	*	
4	24	$c_{24}(-i\alpha^2\beta).\frac{kWW'}{2}$,	
4	25	$c_{25}(-\imath\alpha\beta^2).\frac{kWW'}{2}$		
4	26	$c_{26}(\alpha\beta)(\alpha^2-\beta^2).\frac{k^2W^2}{2}$		
4	34	0		
4	35	0		
4	36	0		
4	44	$c_{44} \alpha^2 \cdot \frac{W^{\prime 2}}{4}$		
8	45	$c_{45}(-lphaeta).rac{W'^2}{4}$		
8	46	$c_{46}(-i\alpha)(\alpha^2-\beta^2).\frac{kWW'}{2}$		
4	55	$c_{55}\beta^2 \cdot \frac{W^{\prime 2}}{4}$		
8	56	$c_{56}(i\beta)(lpha^2-\dot{eta^2}).rac{kWW'}{2}$		
4	66	$c_{66}(\alpha^2 - \beta^2) . \frac{k^2 W^2}{4}$	ж	







- 1: horizontal direction Worth
- 2: horizontal direction perpendicular to 1-axis
- 3: vertical direction

$$B = \sqrt{B_e^2 + B_e^2}$$

$$G = \sqrt{G_e^2 + G_e^2}$$

$$H = \sqrt{H_e^2 + H_e^2}$$

$$E = \sqrt{E_c^2 + E_e^2}$$
Montagner and Nataf, 1986



• Best Resolved parameters for Surface Waves

$$\mathbf{L} = \rho V_{SV}^2$$
 Isotropic part of V_{SV} .

 $\xi = \frac{N}{L} = \frac{V_{SH}^2}{V_{ev}^2}$ Radial Anisotropy.

 G, Ψ_G Azimuthal Anisotropy of V_{SV} , also related to SKS splitting (when horizontal symmetry axis).

• Body Waves (Crampin, 1984) $\rho V_{qSV}^2 = L + G_c cos 2\Psi + G_s sin 2\Psi$ $\rho V_{qSH}^2 = N - E_c cos 4\Psi - E_s sin 4\Psi$



Parameter Space

Physical parameters: r + 13 physical parameters

Geographical parameterization: **p**(r,q,f)



Continuous parameterization



Spherical harmonic expansion

■Lateral resolution: Hor 1000km, Rad 50km => $500*60*14 \le 420,000$ parameters

Calculation of dispersion curves: Fundamental modes and higher modes



Comparison with previous results along the Vanuatu-California path.

Beucler et al., 2002



Anisotropic model resulting from phase velocities inversion (C.L.A.S.H., including 2Ψ and 4Ψ terms), n = 0, T = 50 s.

Global Tomography

Scale $L \le 2000$ km (degree 20) Seismic wavelength $I \le 500$ km Ray theory applies

Shear wave velocities - depth = 100km


From Global Scale to Regional scale

Scale $L \le 200-500$ km Seismic wavelength 20km $\le I \le 500$ km

S-wave velocity depth = 100km















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Hotspots - Plumes

EXPLANATION

- Divergent plate boundaries— Where new crust is generated as the plates pull away from each other.
- Convergent plate boundaries— Where crust is consumed in the Earth's interior as one plate dives under another.
 - Transform plate boundaries— Where crust is neither produced nor destroyed as plates slide horizontally past each other.
 - Plate boundary zones—Broad belts in which deformation is diffuse and boundaries are not well defined.
 - Selected prominent hotspots



















Definition of plume: thermal instability in a boundary layer:

- Core-mantle boundary
- Transition Zone (400-660- 1000km)?
- Asthenosphere- lithosphere?





-Is the plume model correct?-What is their geodynamical role?-What is their biological role?

-What is their structure, their origin at depth?

-Are there really several types of plumes?



Detection of a Plume



Expected Effects of plume on seismic data - Thermal effect: $DT>0 => dV_S < 0, dV_P < 0$

- Upwelling flow =>crystal alignment by LPO Weak azimuthal seismic anisotropy, V_{SV} > V_{SH} (x<1: radial seismic anisotropy)

- Large attenuation => low quality factor Q

- Thinning of the Transition zone thickness (410km deflected downward, 660km upward)







Plume affects not only S-wave velocity distribution but also seismic anisotropy







Plume affects not only S-wave distribution but also seismic anisotropy







S-wave velocity + Azimuthal Anisotropy

Depth=120 km





A plume is very difficult to detect below asthenosphere (narrow conduit ∂ 150km, small velocity contrast ∂ 1-2%)

Head is easier to detect: large lateral extent, interaction with lithosphere, asthenosphere, or continent

Indirect detection through the perturbation of flow pattern around plume

Several regional investigations: Resolution 500km

Horn of Africa (Debayle et al., 2000; Sicilia et al., 2003)





















(Debayle et al., 2001)





(Montagner and Ritsema, 2001)





Future ridge between North and South Pacific ? PREM (3SMAC) 2002 model - 140 km S -5 -4 0.0 0.1 0.2 0.3 0.4 0.6 1.0 1.5 2.0 3.0 5.0 -3 -2 5 6 2 З 0 (a) Radial anisotropy (b) Azimutal Anisotropy

Future Plate boundary within the Pacific plate?

Pacific Plate

Histogram Plate velocities - Seismic anisotropy





Angular difference (in degrees)





0.00 0.10 0.20 0.30 0.40 0.50 0.60 0.70 0.80 0.90 0.95 1.00










Plume Detection



- □ Indirect detection of plumes through Azimuthal and Radial anisotropies.
- □ Two families of plumes have been detected:
- 1st kind is a consequence of small scale convection (<300km).
- 2nd kind originates from deep in the mantle: transition zone (410-660km).
- □ Complex interaction Plume-lithosphere-asthenosphere:secondary scale of convection.
- ❑ Active hotspots in central Pacific and Africa participate to the reorganization of plate boundaries (New Plate boundaries).
- □ Lower Mantle plume not yet clearly detected because it cannot be detected with present seismic data
- □ Theoretical and Observational challenges

Banana-Doughnut Theory (Dahlen et al.)

Application to global tomography (Montelli et al., Science, 2004)



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Yann Capdeville, May 2001

Time Reversal

 Seismic displacement field u(r,t) can be calculated everywhere by SEM-NM method

 $\partial^2 u / \partial t^2 = H.u$

- 2. In the absence of attenuation, if u(t) is solution, u(-t) is also solution.
- 3. It is possible to backpropagate u(r,-t)















Time Reversal

- Application to real seismograms with a real earthquake with a distribution of present seismic stations
- Detection of unknown seismic sources (quiet earthquakes, origin of "seismic hum")
- Detection of mantle plume







CONCLUSIONS

- Progress in instrumentation (Ocean bottom, Planet Mars; Spatial exploration)
- Ray Theory Normal Modes -> Numerical Methods more and more powerful and accurate de by using more and more powerful computers.
- From Global scale towards regional scale-Incorporation of new parameters (anisotropy, anelasticity) in tomography
- Systematic Multidisciplinary Approach: Confrontation of seismological results with numerical and laboratory experiments

