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**"7th Workshop on Three-Dimensional Modelling  
of Seismic Waves Generation and their Propagation"**

**25 October - 5 November 2004**

**Fundamentals of Earth Sources**

*T. Dahm  
Institut für Geophysik  
Universität Hamburg*

# Fundamentals of earthquake source

*ICTP Course 2004 Trieste*

Torsten Dahm

dahm@dkrz.de

Institut für Geophysik, Universität Hamburg

# Content

## What parameter control earthquakes ?

1. Concept of equivalent body forces and earthquake parameter
2. Point source parameter
3. Source time function
4. Source spectra
5. Stress drop

# Landers, 28th June 1992, $M=7.3$

- $\approx 10$  Mio USD infra-structural damage,  $\approx 4500$  damaged buildings
- $34^{\circ}13'$  N,  $116^{\circ}26'$  W, right-lateral strike-slip
- 85 km rupture length,  $\langle \Delta u \rangle = 4\text{ m}$ ,  $\Delta u_{\max} = 6\text{ m}$
- $> 10^5$  located aftershocks in one year

Example for static and dynamic triggering of earthquakes,  
for fault step-over and verification of dynamic rupture models

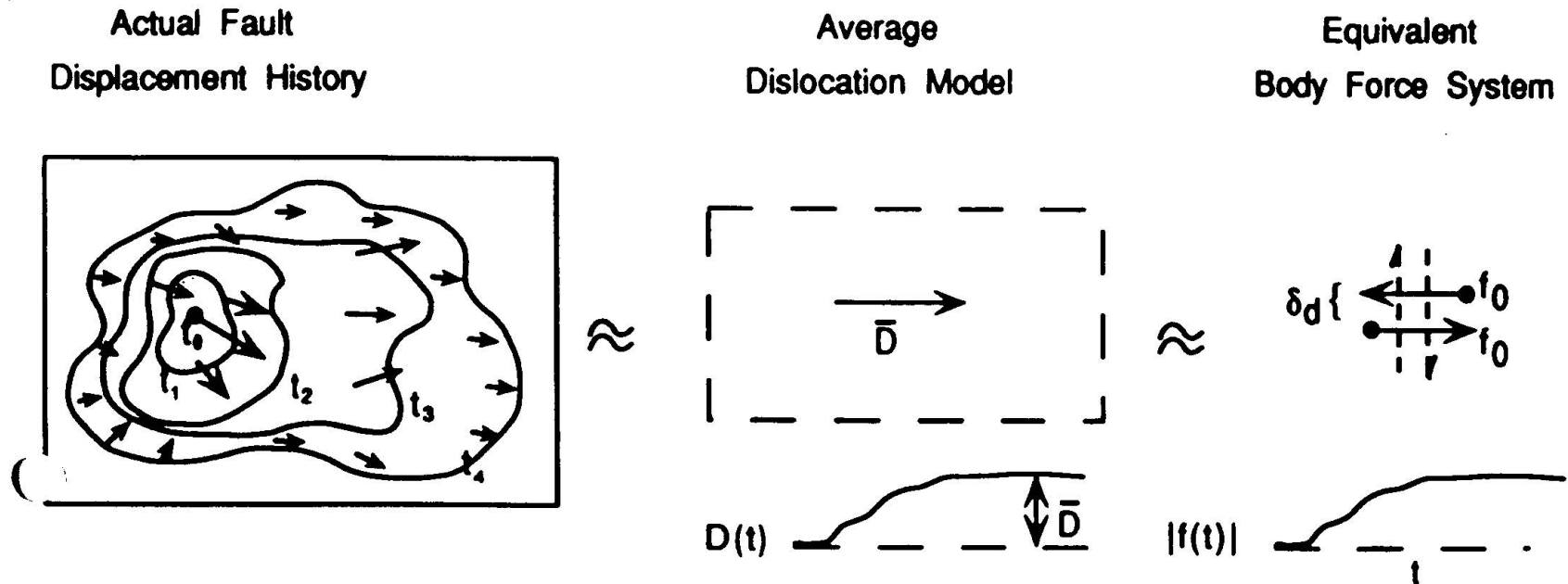
# Chi-Chi, Taiwan, 21. Sept. 1999, M=7.6

- 2470 death toll, 11.305 injured persons, 100.000 damaged buildings
- Largest dataset worldwide of near-field accelerograms from strong earthquake (about 650 3-component and 74 6-component station)
- "extreme large ground accelerations",  $\dot{u}_{\max} = 3.28 \text{ m/s}$ ,  $\ddot{u}_{\max} \geq 1 g$
- 23, 85° N, 120.82° E, oblique reverse faulting
- 100x40 km rupture,  $\langle \Delta u \rangle = 8 \text{ m}$ ,  $\Delta u_{\max} = 12 \text{ m}$
- complex rupture with "jumping dislocations", rupturing of asperities and barriers
- $> 10^4$  aftershocks in one year, triggered aftershocks, foreshocks

# How does earthquake-rupture work?

1. rupture initiates at the nucleation point
2. a rupture front propagates rapidly over the fault surface
3. high slip-rate occurs at and behind the rupture front
4. rupture may jump between neighbouring faults
5. asperities on the fault may generate inhomogeneous slip distribution
6. abrupt stopping of the rupture front and possible reflection and backward propagation
7. nonuniform radiation of elastic waves depending on fracture mode and fault orientation as well as directivity effects

# Earthquakes and body forces



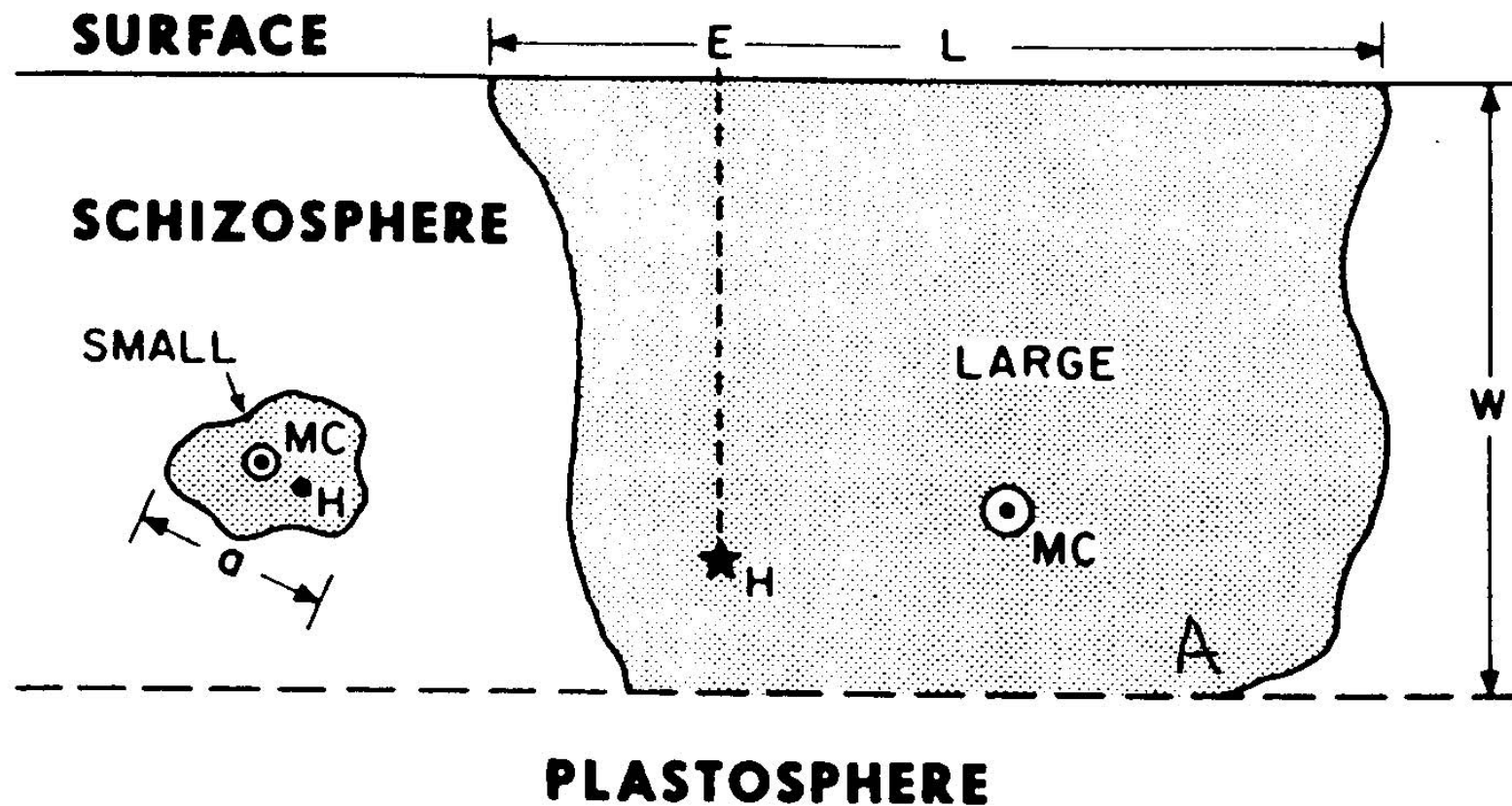
Faulting involves complex cracking and rupturing resulting in a space-time history of slipping motion. The process can be approximated by a dislocation model with dislocation time history  $D(t)$ . The dislocation model can be idealised by an equivalent force system.

# Earthquake parameter

1. time and location of the rupture initiation
2. time and location of the centroid
3. fault plane orientation and dimension
4. average slip vector
5. slip and rupture history



# Small and large earthquake location



Hypocenter ( $H$ ):  $t^0$  and  $x_k^0$  by fitting arrival-times.

Moment centroid ( $MC$ ):  $\Delta\tau = \int_{-\infty}^{\infty} (\tau - \tau^0) f(\tau) d\tau = 0$ . and

$\Delta\xi_k = \int_V (\xi_k - \xi_k^0) g(\xi) dV = 0$  by fitting waveforms.

# Earthquake magnitude and moment

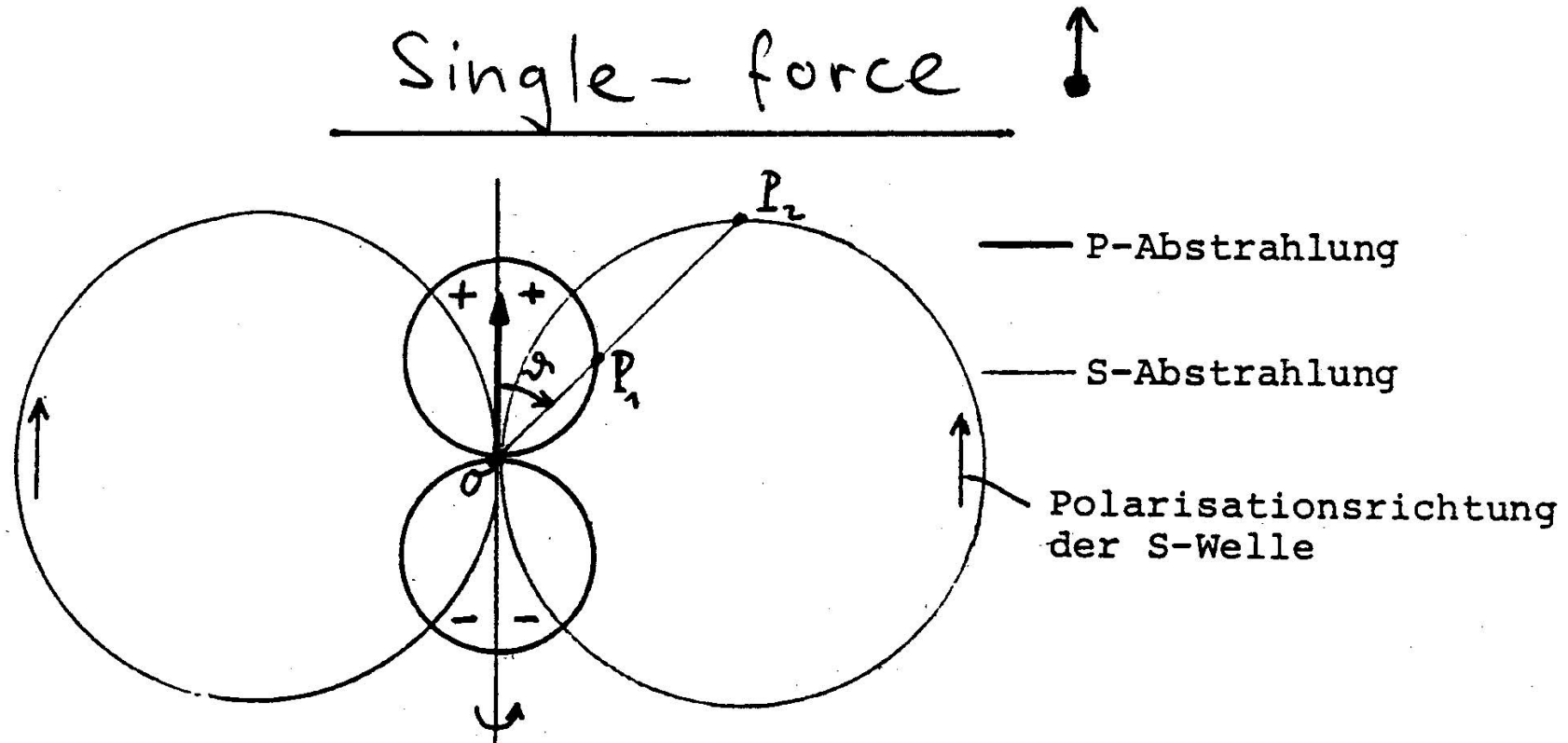
**Seismic moment:**  $M_0 = \mu \langle \Delta u \rangle A$   
(rectangular planes for large earthquakes, i.e.  $A = LW$ )

Moment magnitude  $M_W = \log M_0 / 1.5 - 10.73$  (in dyne cm).

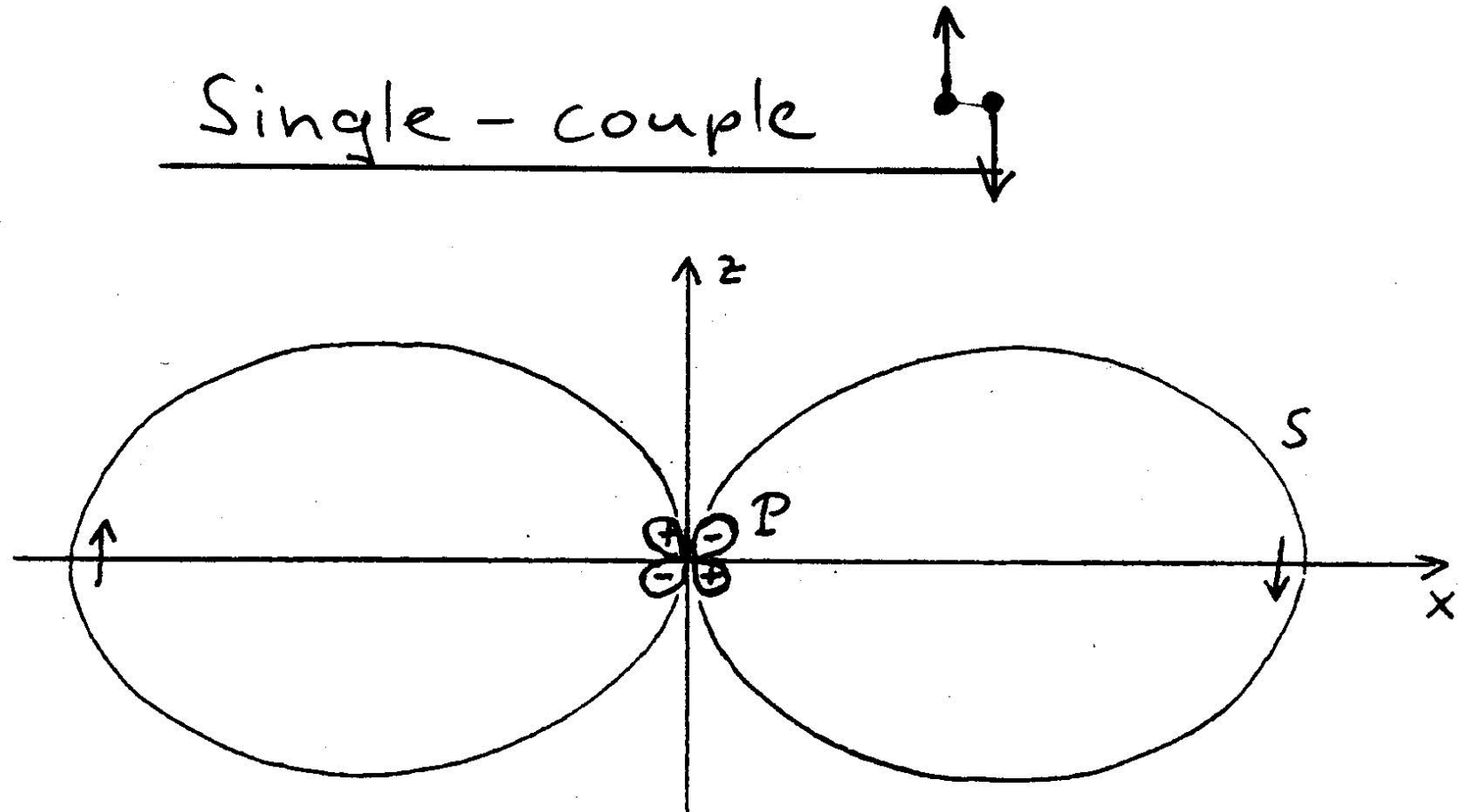
Examples:

event	$A$ ( $km^2$ )	$\langle \Delta u \rangle$ (m)	$M_S$	$M_W$	$M_0$ (dynecm)
Loma Prieta 1989	40 x 15	1.7	7.1	6.9	$3.0 \cdot 10^{26}$
San Francisco 1906	450 x 10	4	7.8	7.8	$5.4 \cdot 10^{27}$
Alaska 1964	500 x 300	7	8.4	9.1	$5.2 \cdot 10^{29}$
Chile 1960	800 x 200	21	8.3	9.5	$2.4 \cdot 10^{30}$

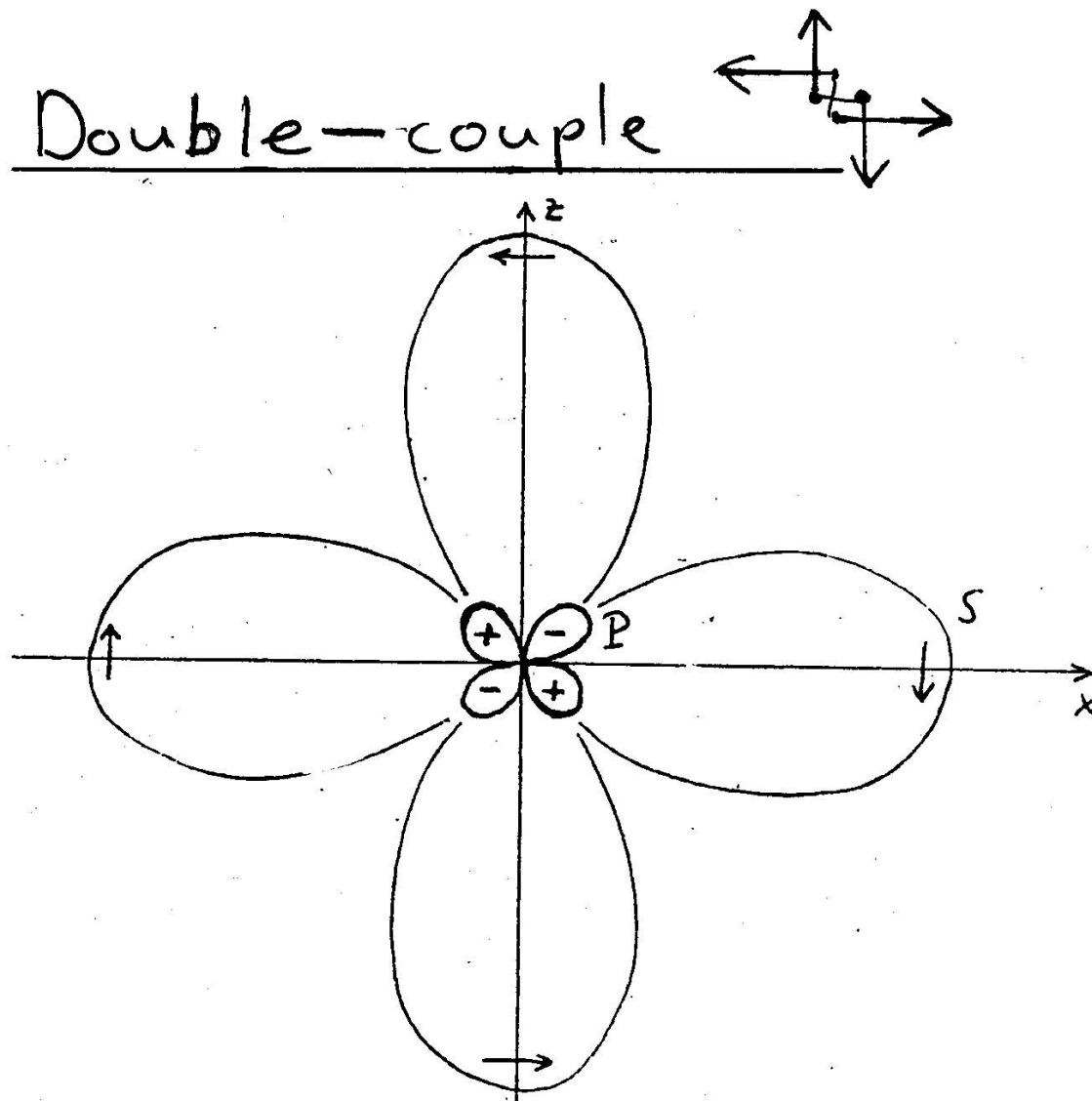
# Single force radiation pattern



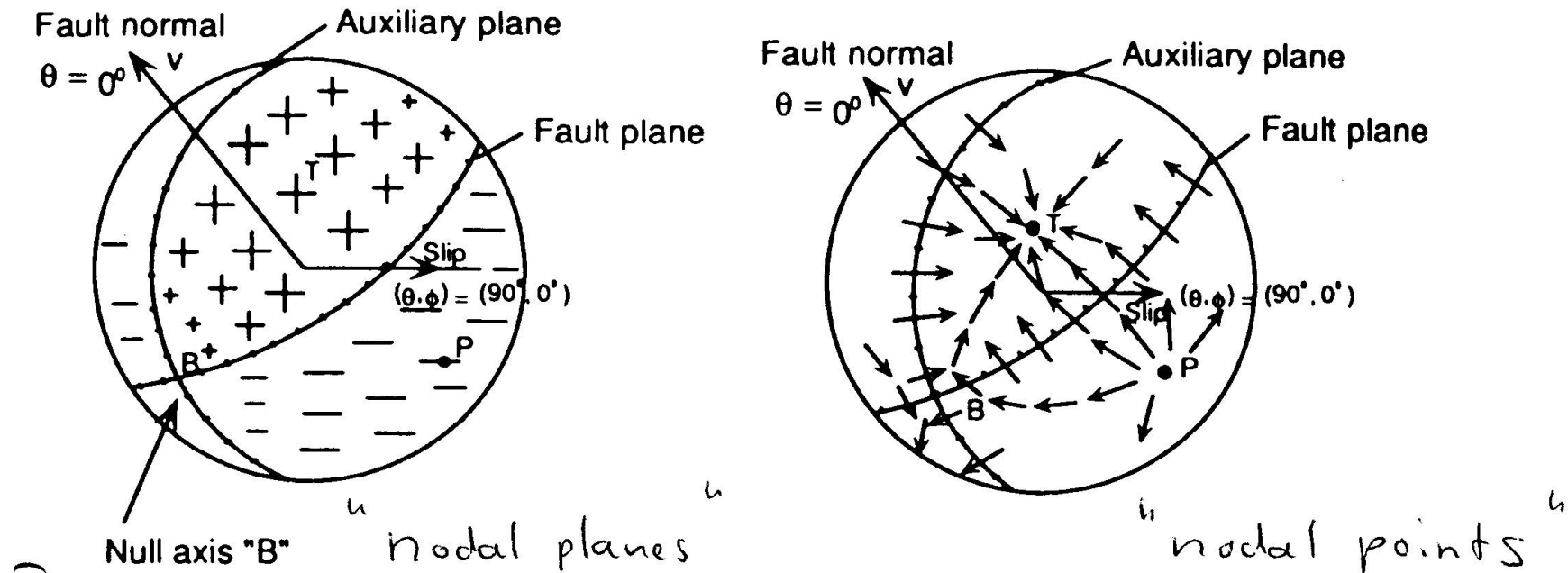
# Single couple radiation pattern



# Double couple radiation pattern



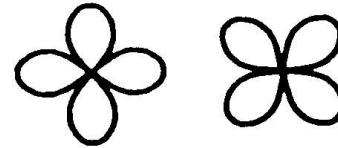
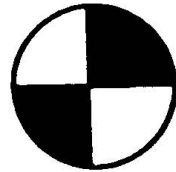
# Earthquake radiation pattern



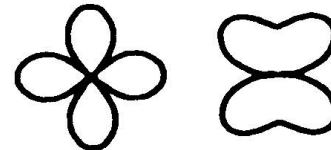
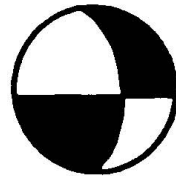
- two orthogonal nodal planes for P
- three nodal points for S
- S-waves are large where P-waves are small
- ambiguity between fault and auxiliary plane

# Surface wave radiation pattern

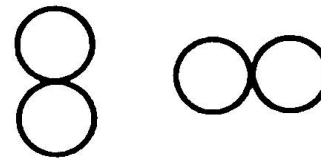
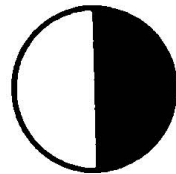
VERTICAL  
STRIKE SLIP



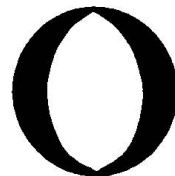
45°  
STRIKE SLIP



VERTICAL  
DIP SLIP



45°  
DIP SLIP



# Far-field body-wave representation

$$u_n(\mathbf{x}, t) \approx M_{pq} G_{np}(\mathbf{x}, t) \frac{\gamma_q}{c}$$

with  $\mathbf{u}$  = ground displacement

$\mathbf{M}$  = moment tensor

$\mathbf{G}$  = Green tensor

$\gamma$  = direction cosine  $x_q/r$

$\mathbf{x}$  : spatial vector measured from source origin

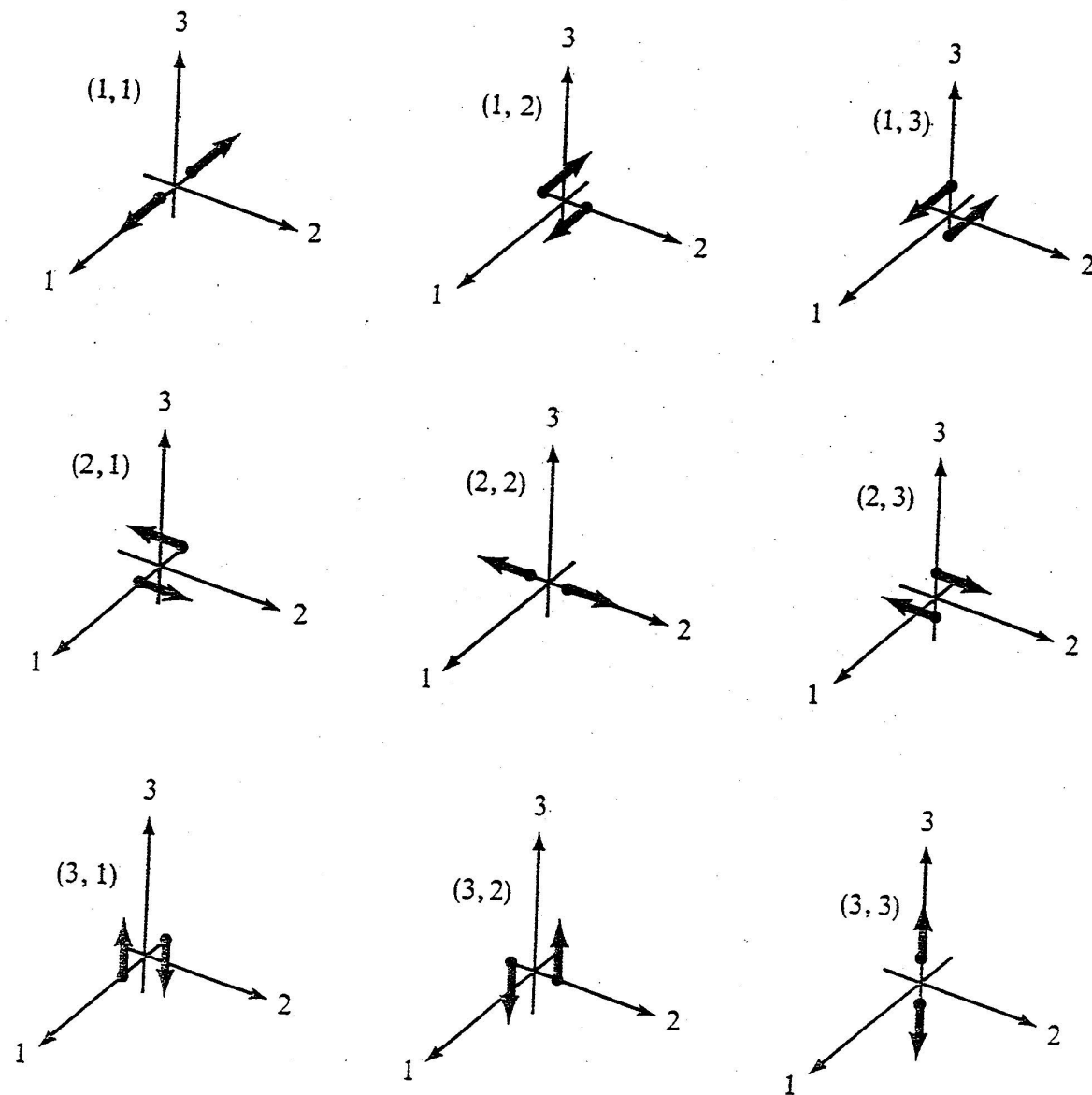
$t$  : time measured from origin time

$c$  : wave velocity

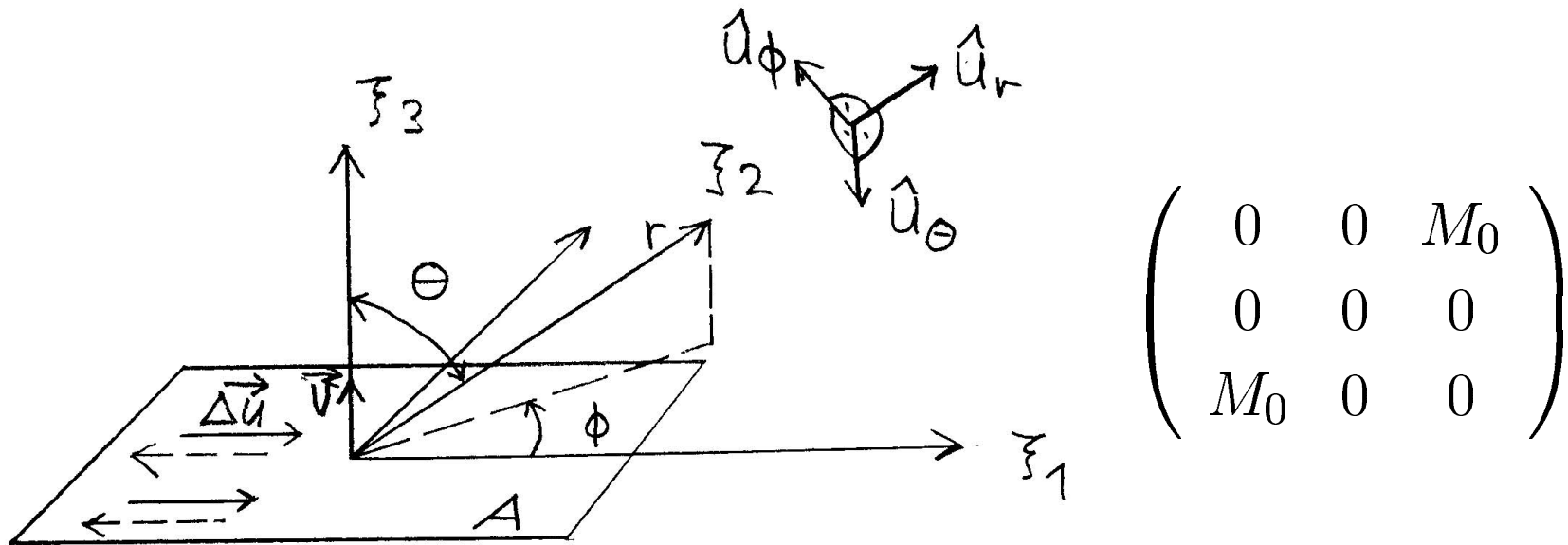
(spatial-temporal point source and body-waves assumed!)



# Generalised force dipoles



# Slip on horizontal plane



$$M_{pq} = M_0(\nu_p \Delta \hat{u}_q + \nu_q \Delta \hat{u}_p)$$

# Homogeneous full space Green function

$$4\pi\rho G_{np} = \gamma_n\gamma_p \frac{\delta(t - r/\alpha)}{\alpha^2 r} + (-\gamma_n\gamma_p + \delta_{np}) \frac{\delta(t - r/\beta)}{\beta^2 r}$$

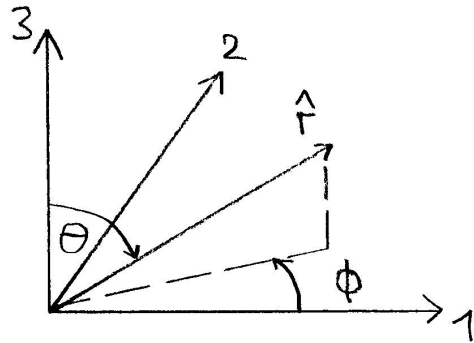
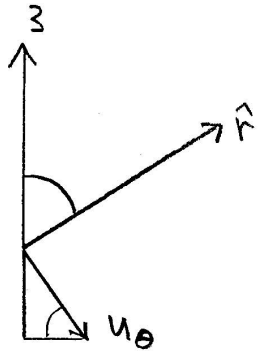
This leads for P-waves to

$$\begin{aligned} 4\pi\rho u_n^{(P)} &= 4\pi\rho M_0 \left( G_{n1} \frac{\gamma_3}{\alpha} + G_{n3} \frac{\gamma_1}{\alpha} \right) \\ &= 2\gamma_n\gamma_1\gamma_3 \frac{M_0\delta(t - r/\alpha)}{\alpha^3 r} \end{aligned}$$

and for S-waves to

$$4\pi\rho u_n^{(S)} = (-2\gamma_n\gamma_1\gamma_3 + \delta_{n1}\gamma_3 + \delta_{n3}\gamma_1) \frac{M_0\delta(t - r/\beta)}{\beta^3 r}$$

# P-radiation in spherical coordinates



$$x_1 = r \sin \Theta \cos \Phi = r \gamma_1$$

$$x_2 = r \sin \Theta \sin \Phi = r \gamma_2$$

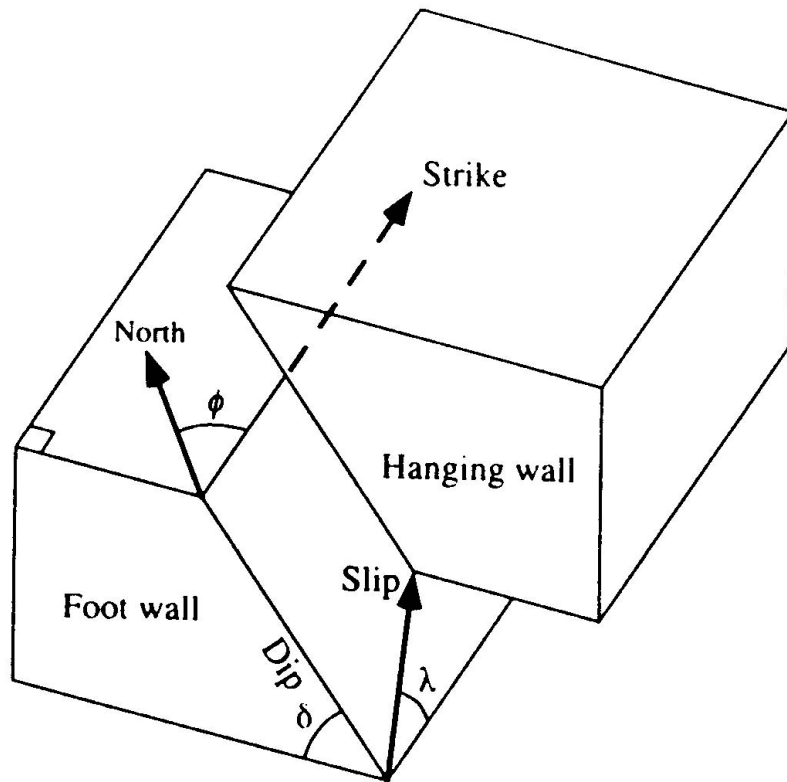
$$x_3 = r \cos \Theta = r \gamma_3$$

or

$$\hat{r}_n = \gamma_n$$

$$u_n \sim 2\gamma_n \gamma_1 \gamma_3 = \hat{r}_n 2 \sin \Theta \cos \Theta \cos \Phi = \hat{r}_n \sin 2\Theta \cos \Phi$$

# Fault plane parameter



Strike  $\Phi$  ( $0^\circ - 360^\circ$ )

Dip  $\delta$  ( $0^\circ - 90^\circ$ )

Rake  $\lambda$  ( $-180^\circ - 180^\circ$ )

reverse faulting: upward movement of hanging wall ( $\lambda > 0^\circ$ )

normal faulting: downward movement of h.w. ( $\lambda < 0^\circ$ )

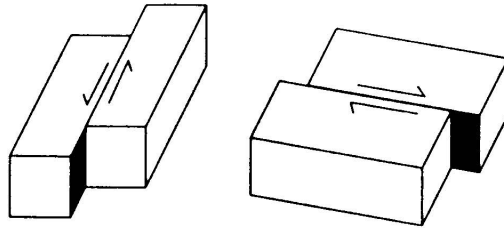
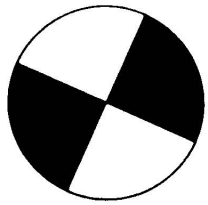
strike slip: right lateral and left lateral

oblique faulting:

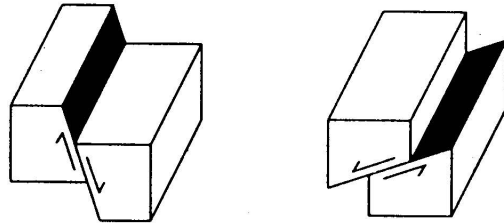
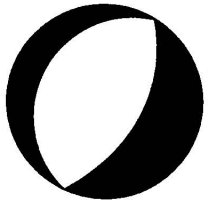
thrust and overthrust: ( $\delta < 45^\circ$ )

# Basic fault types

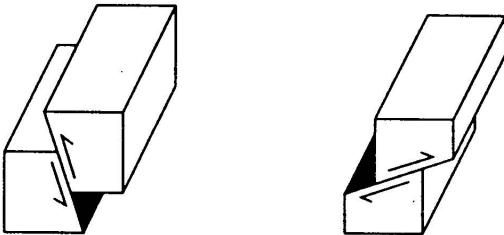
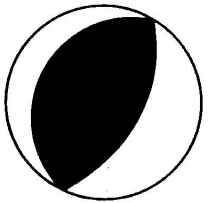
Strike Slip



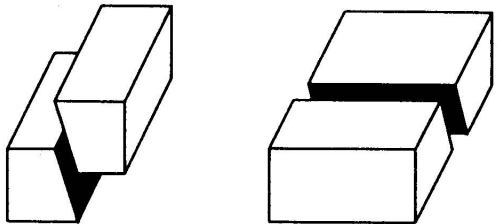
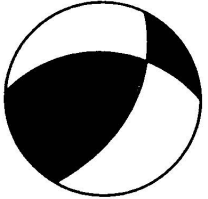
Normal



Reverse



Oblique



# Effects of extended fault and rupture

1. Far-field body-wave representation of **spatial** point source:

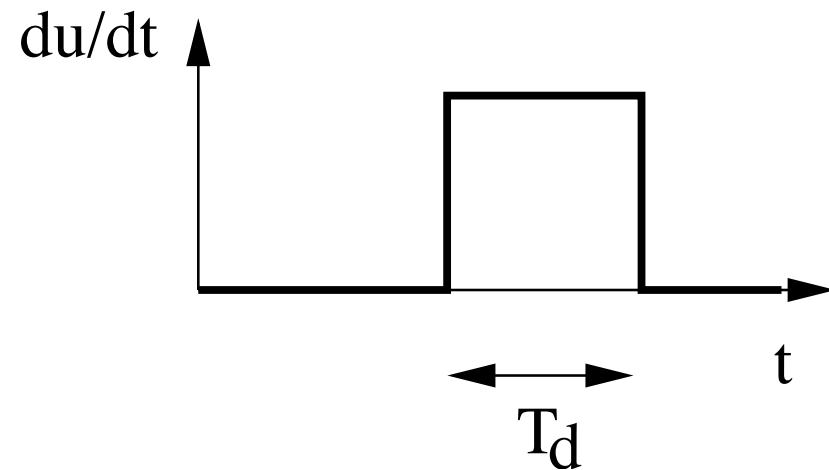
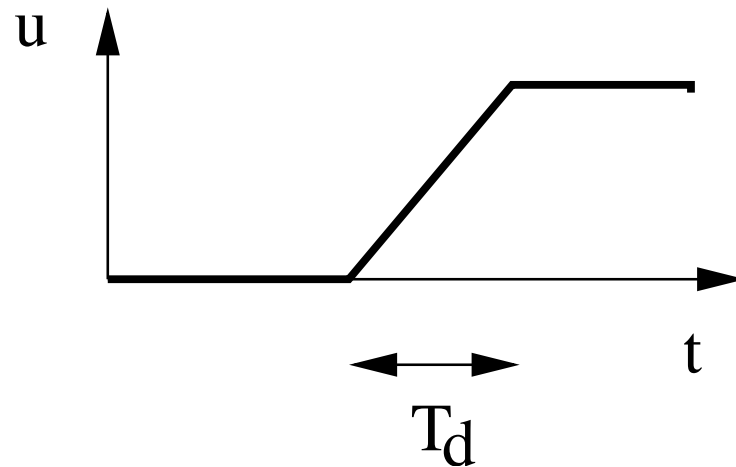
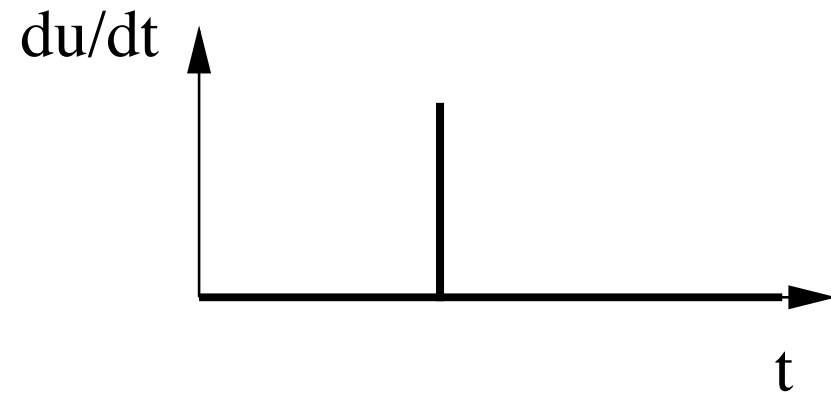
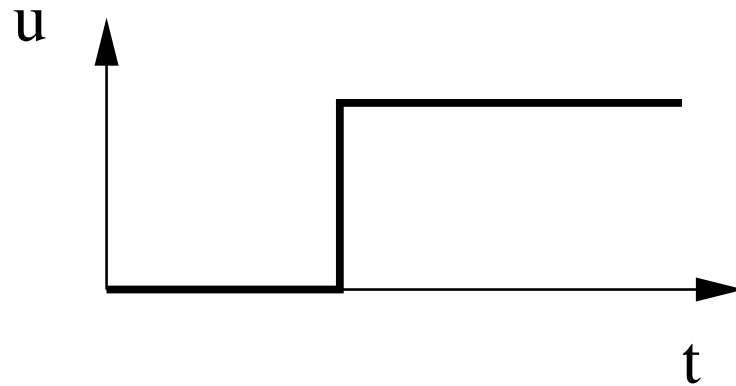
$$u_n(\mathbf{x}, t) = M_{pq}^0 S(t) \star G_{np}(\mathbf{x}, \xi, t) s_q$$

$M_{pq}^0 S(t) = \dot{M}_{pq}(t)$  is the **moment rate function**. The **source time function**  $S(t)$  is the time derivative of the point source slip function.

2. **finite fault** ( $m_{pq}$  is the moment tensor density):

$$u_n(\mathbf{x}, t) = \int_{\xi_1, \xi_2} \dot{m}_{pq}(\xi, t) \star G_{np}(\mathbf{x}, \xi, t) s_q d\xi_1 d\xi_2$$

# point source moment rate functions

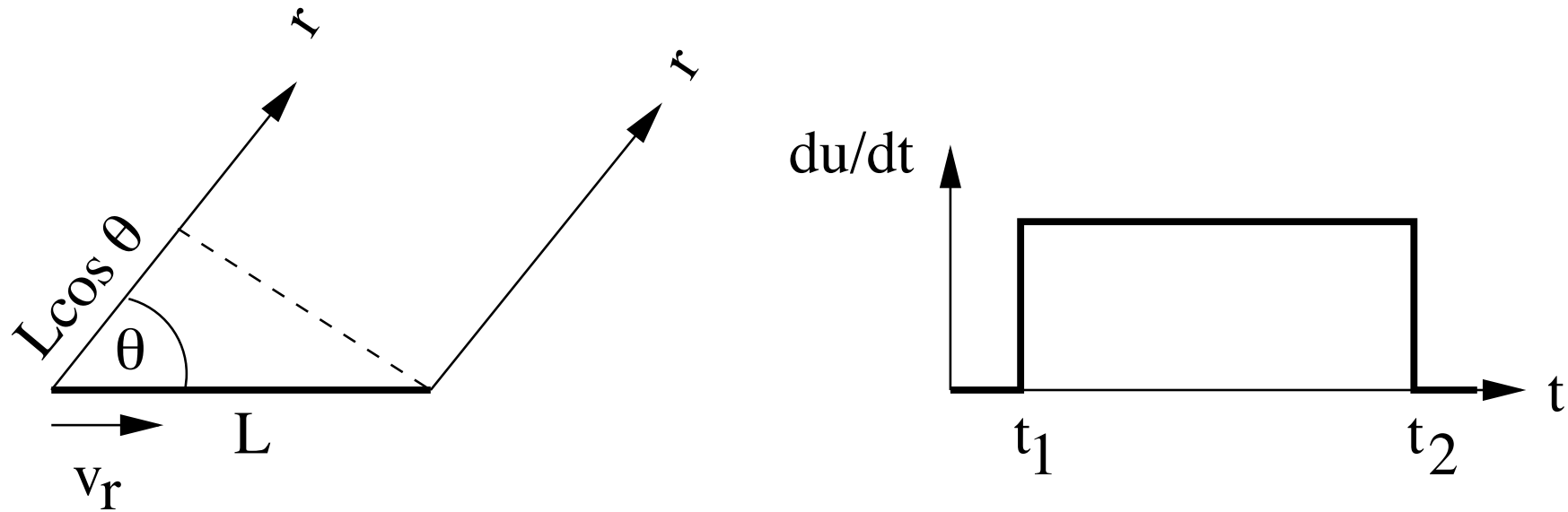


rupture time ( $T_r = 0$ ),

rise time ( $T_d$ )



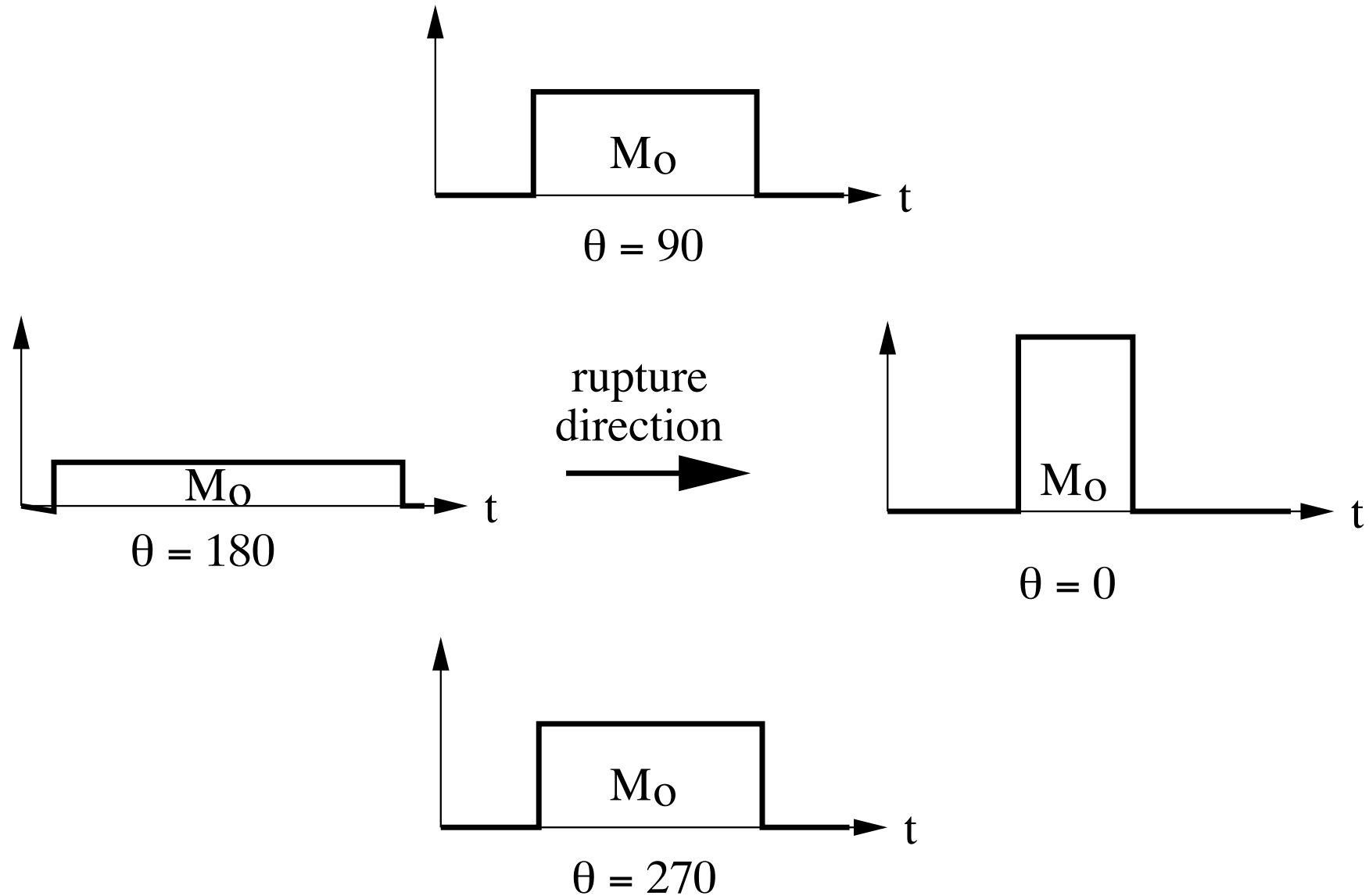
# effect of finite rupture



rupture time

$$\begin{aligned}
 T_r &= t_2 - t_1 \\
 &= \frac{L}{v_r} + \left( \frac{r}{\beta} - \frac{L \cos \Theta}{\beta} \right) - \frac{r}{\beta} \\
 &= L \left( \frac{1}{v_r} - \frac{\cos \Theta}{\beta} \right)
 \end{aligned}$$

# directivity effect



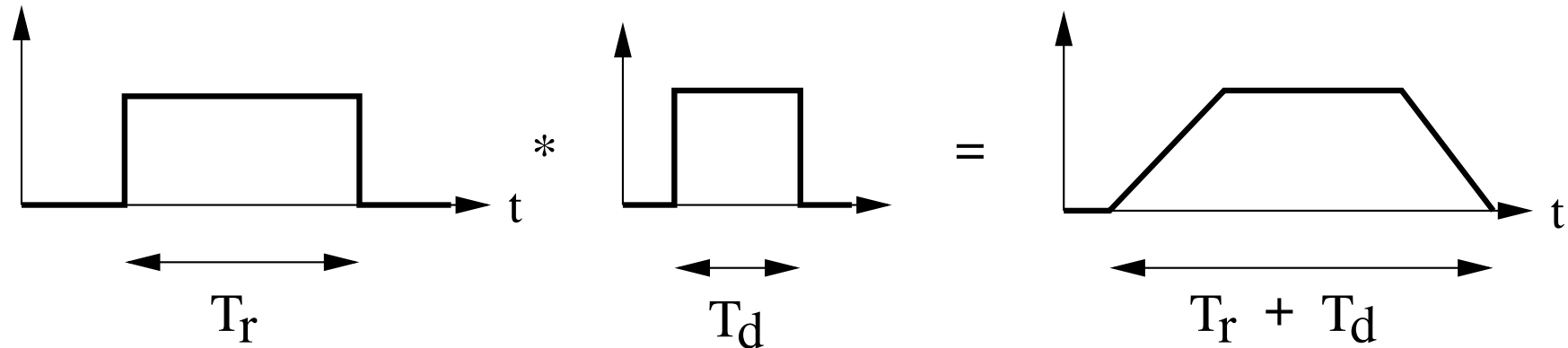
# temporal point source approx.

the rupture time is roughly  $T_r \approx \frac{L}{\beta}$

leading to the condition  $\frac{T}{T_r} = \frac{\lambda/\beta}{L/\beta} = \frac{\lambda}{L} \gg 1$

Note that the temporal point source approximation may be fulfilled for long period surface waves but not for body waves.

# rupture and rise time



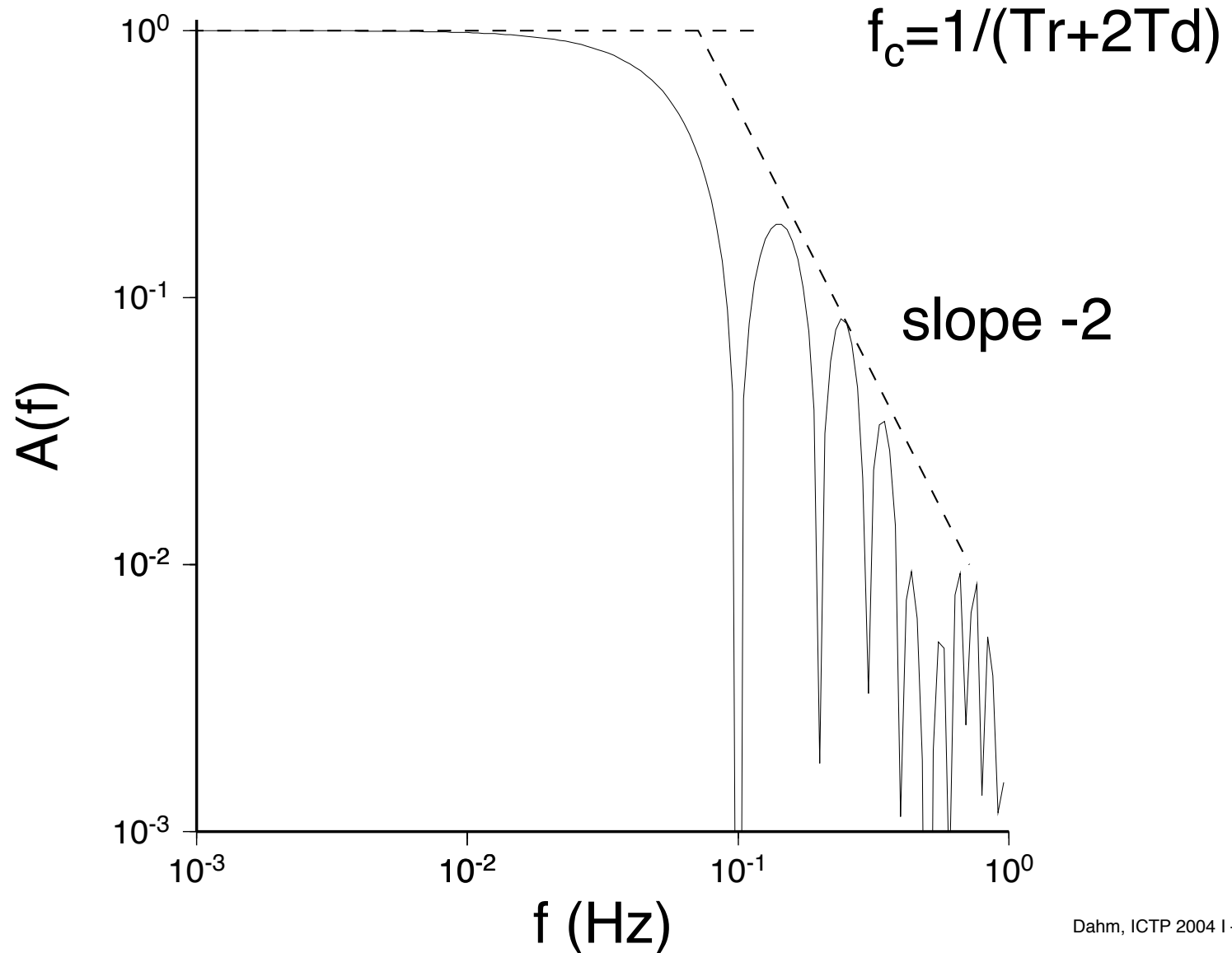
Deconvolution of rupture duration "boxcar" with rise time "boxcar" gives a trapezoidal source time.

Frequency domain:

$$A(f) \sim M_0 \left| \frac{\sin \pi f T_r}{\pi f T_r} \right| \left| \frac{\sin \pi f T_d}{\pi f T_d} \right| \sim f^{-2} \text{ for } f > f_c$$

# amp.-spectra of trapezoidal function

$$T_r=10. T_d=2.$$



# average stress drop

assuming an average coseismic **strain change** of

$$e_{xx} = \partial u_x / \partial x \approx \langle \Delta u \rangle / L,$$

the average **stress drop** over the fault is:

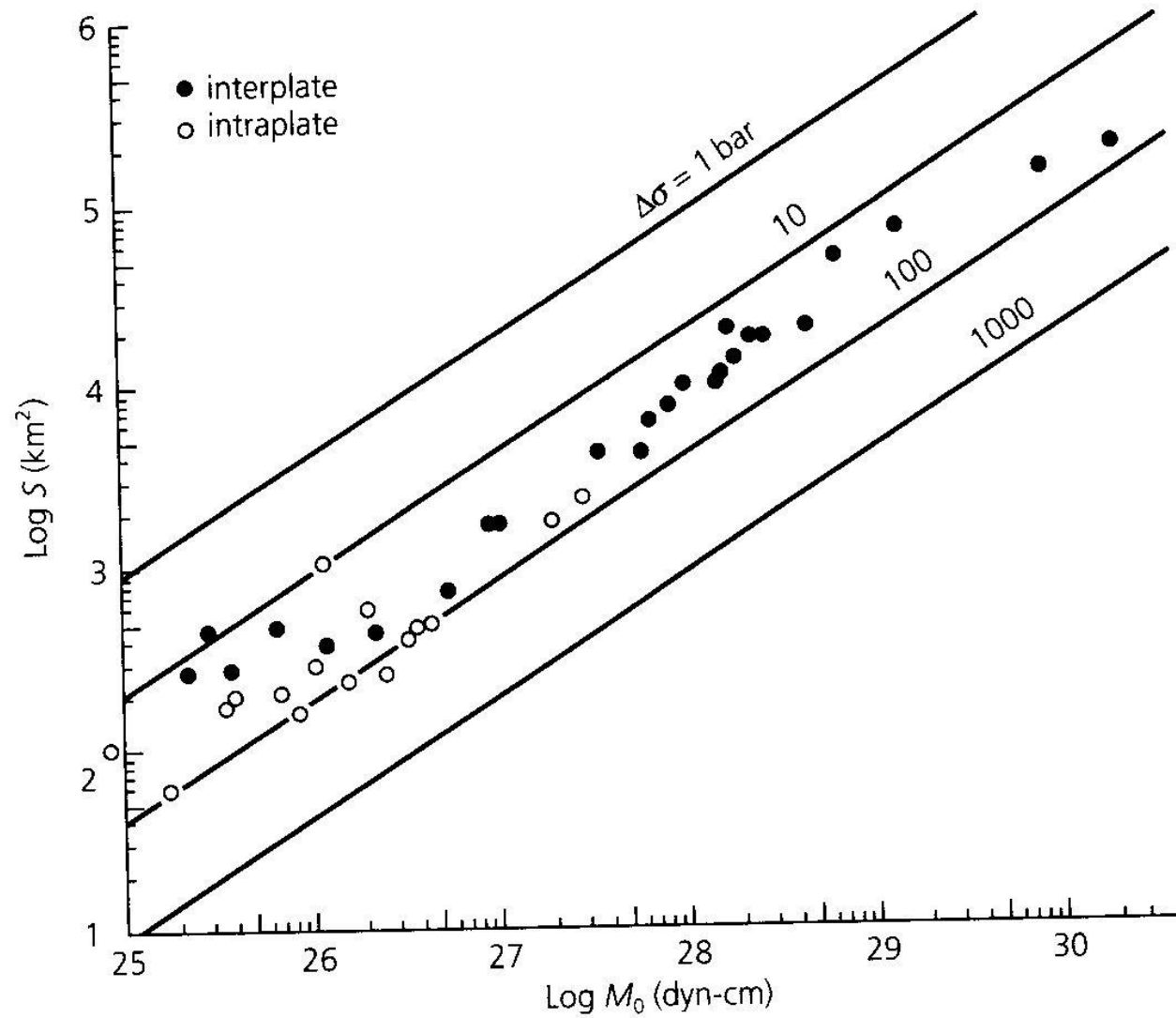
$$\Delta\sigma \approx \frac{\mu \langle \Delta u \rangle}{L} = \frac{c M_0}{L^3},$$

where  $c$  depends on the fault shape and rupture dimension.

e.g. for a circular fault with radius  $R$ :  $\Delta\sigma \approx \frac{7}{16} \frac{M_0}{R^3}$

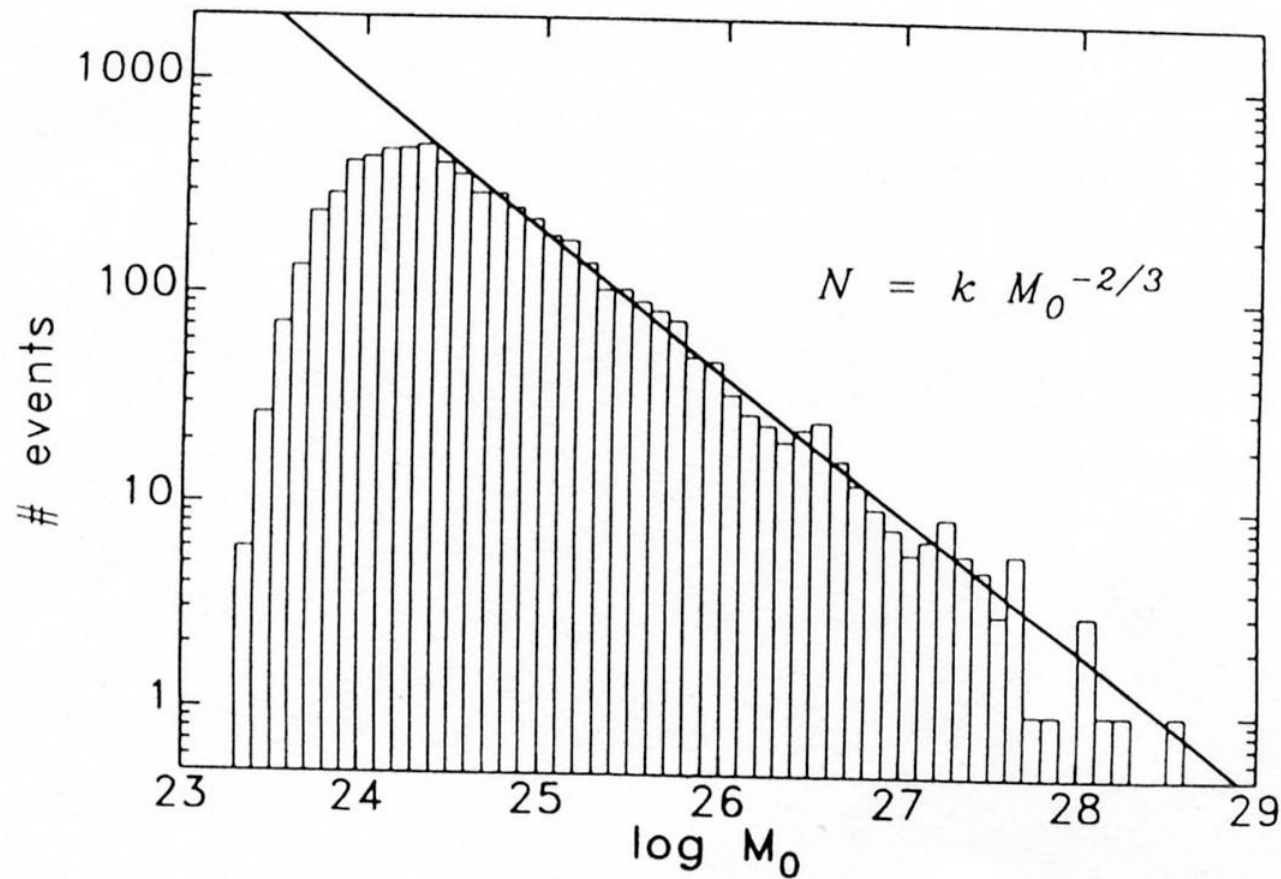
Typically  $L$  or  $R$  is estimated from aftershocks or from  $f_c$ .

# is stress drop constant ?



# earthquake statistics I

mag.-freq. relation:  $N(M_0) = A M_0^{-2b/3}$   $0.6 \leq b \leq 1.5$

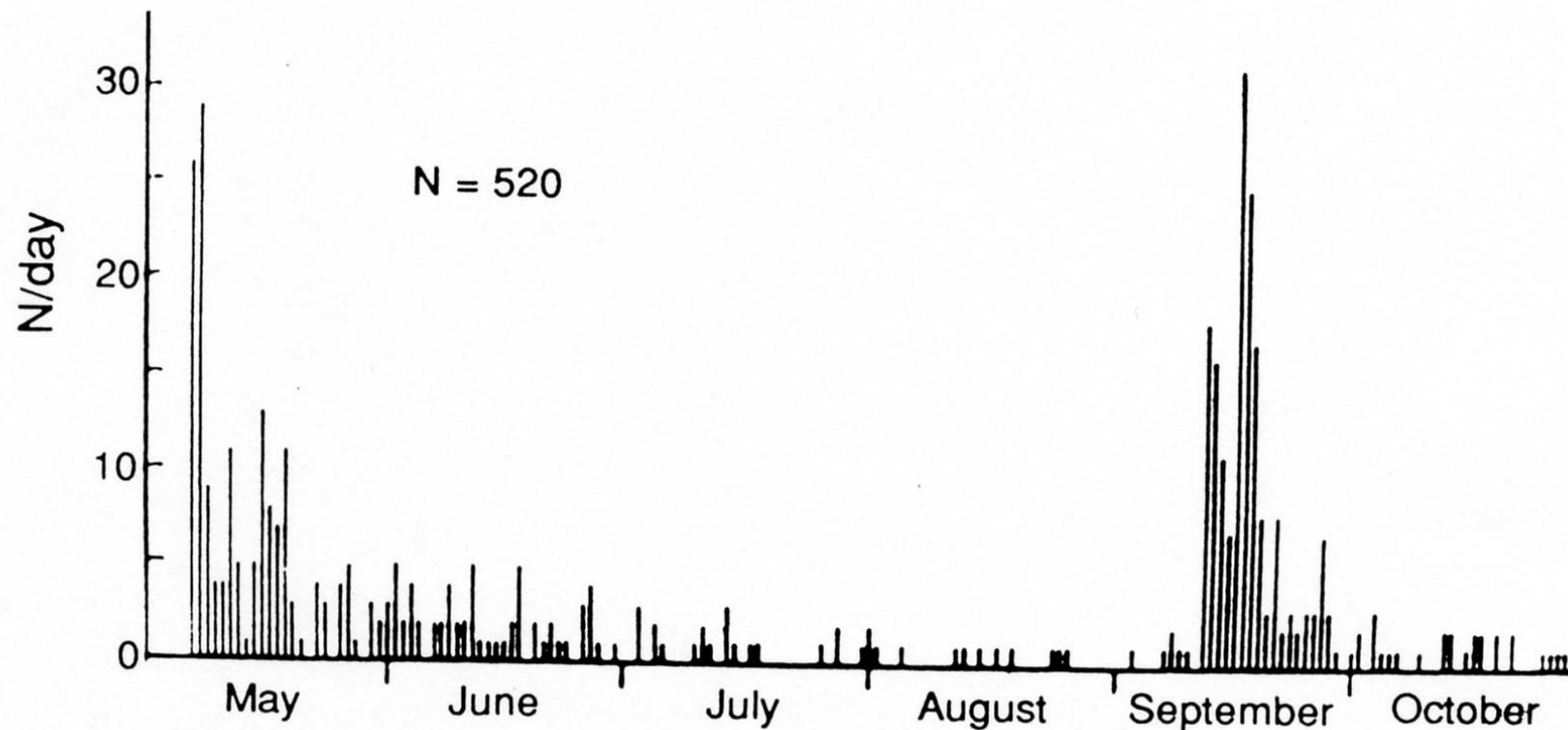




# earthquake statistics II

frequency of aftershocks (Omoris law):

$$n(t) = \frac{C}{(K + t)^P} \quad 1 \leq P \leq 1.4$$



# Summary

1. Point source parameter are sufficient to explain seismograms below the corner frequency of the event
2. Rupture and extended fault can only be studied at higher frequencies
3. Moment tensor (equivalent force-couples) is a general description covering most point and extended source problems

# References

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