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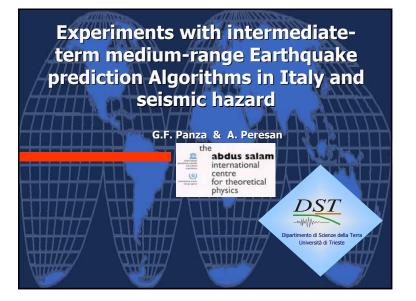
"Seventh Workshop on Non-Linear Dynamics and Earthquake Prediction"

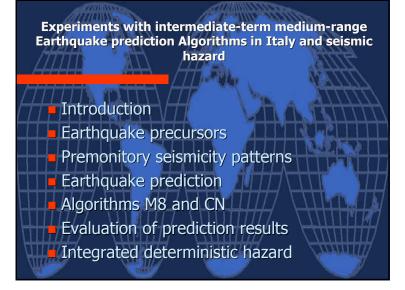
29 September - 11 October 2003

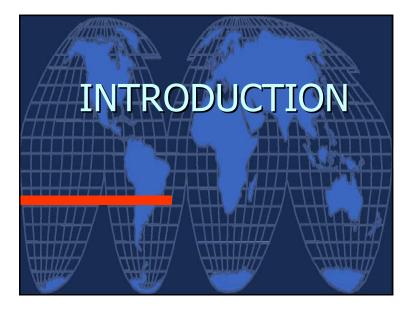
Experiments wtih Intermediate-term Medium-Range Earthquake Prediction Algorithms in Italy and Seismic Hazard

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"Earthquakes' modelling involves a detailed knowledge of the related physics, which is not available at present time. [...] A firm and complete phenomenological picture should be established before any effort can result effective, but such a picture is not easy to draw due to the long time scales involved. [...] The analysis of seismicity patterns is useful not only for prediction purposes, but it provides also the wide set of systematic observations, without which any physically based model remains a merely theoretical speculation."

Earthquake prediction: the scientific challenge

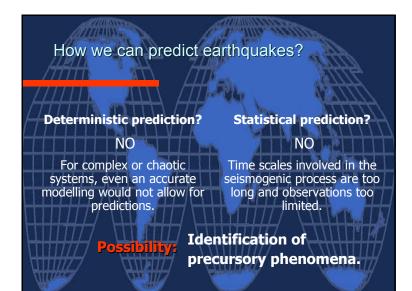
(Knopoff, 1996)

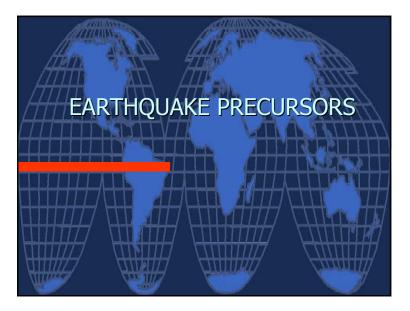


- Scale invariance of earthquake distribution in time and space
- Self-organization of earthquake occurence
- Non-linear mechanics of earthquake generation
 Statistical features of earthquake sequences

Strongly indicate that the lithosphere behaves as a deterministic

(Keilis-Borok, 1990).





Earthquake precursors

Possible precursors are those phenomena that may take place in the lithosphere during the accumulation of stresses.

- Pille

Difficulty: to establish a clear precursory connection, i.e. to separate the precursory signal from natural fluctuations. This is due to the lack of sufficiently prolonged and systematic records.

"Signals" proposed as earthquake precursors

Variations in the seismic activity

Changes in the velocity and in the spectral content of seismic waves and earthquakes sources

Crustal deformations and variations of the stress in the crust

Gravitational, geomagnetic and geoelectrical precursors

Anomalous changes in the underground water level and chemical components

Anomalies in the atmospheric pressure, temperature and heat flow

IASPEI Preliminary List of Significant Precursors

lidation criteria for precursor candidates: the observed anomaly should be related to

some mechanism leading to earthquakes;

I the anomaly should be simultaneously observed at more than one site or instrument;

the definition of the anomaly and of the rules for its association with subsequent earthquakes should be precise;

both anomaly and rules should be derived from an independent set of data, than the one for which the precursory anomaly is claimed.

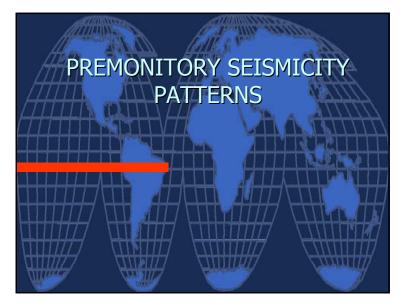
IASPEI Preliminary List of Significant Precursors

Only five possible precursors, out of the forty proposed, seem to deserve further study (*Wyss*, 1997):

one based on ground water chemistry,

one on crustal deformation and

Three on seismicity patterns



Premonitory seismicity patterns

Some changes are observed in the earthquake's flow before a large event.

These changes are akin to the general symptoms of instability of many non-linear systems before a catastrophe (*Keilis-Borok, 1996*).

In particular, the response to a perturbation: increases,

becomes more chaotic and

acts at long distances.

Premonitory seismicity patterns

In the case of seismicity the **non-linear system** is the hierarchical structure made up by the lithospheric blocks and by their boundaries (i.e. faults).

 The large earthquake is a catastrophic event, corresponding to abrupt changes of the system characteristics, that may involve a large domain of the system.

The small earthquakes may be regarded as sources of perturbation of the system.

Premonitory seismicity patterns

Thus, before a strong earthquake, which represents the collapse of the system, we must observe:
Increase of the seismic activity, clustering of the earthquakes in time and space, and spatial concentration of sources; in other words, the increase of the response to the perturbation;
Increase of the variation of seismicity and its

clustering, which reflects the chaotic response to the perturbation;

long-range interaction of earthquakes, which can be interpreted as an increase of the range of influence of the perturbation.

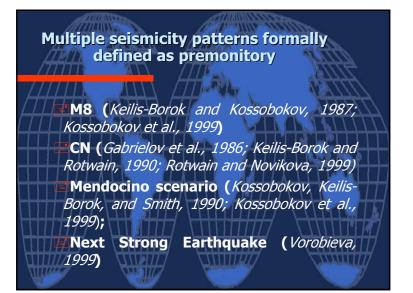
Single seismicity patterns formally defined as premonitory

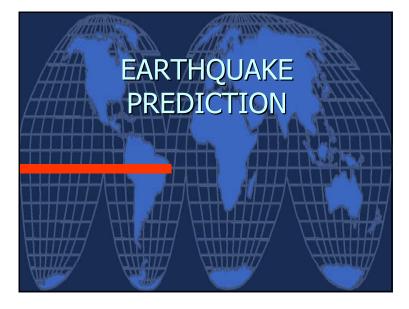
the *burst of aftershocks* (*Keilis-Borok et al., 1980; Molchan et al., 1990)*, which is associated to moderate magnitude events characterised by a large number of aftershocks;

the *seismic quiescence* (Wyss et al., 1992);

the relative increase of the *b-value* for the moderate events, with respect to smaller events (*Narkunskaya and Shnirman, 1994*);

The increase of the *spatial correlation* in the earthquake flow and the log-periodic variations of the earthquake flow on the background of its exponential *rise* (Bufe et al., 1994).





What does it means to predict an earthquake?

To predict an earthquake means to indicate the possibility that an earthquake will occur in a given range of

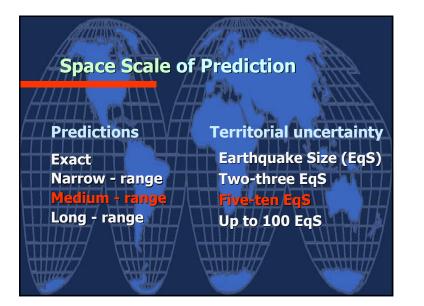
> space time magnitude

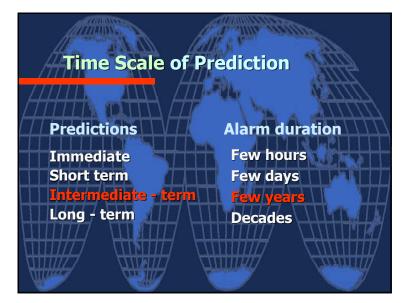
What does it means to predict an earthquake?

The prediction can miss events or have false alarms, but forecasts must demonstrate more predictability than a random guess.

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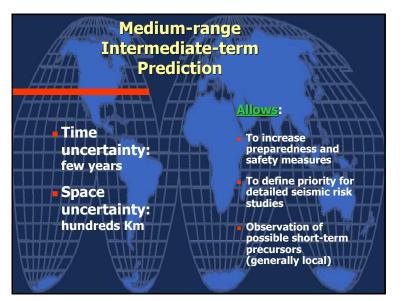
The space-time-magnitude volume considered to declare the alarms should be appropriate to public needs, i.e. to enable the relevant authorities to prepare for an impending destructive earthquake.





Medium-range Intermediate-term Prediction

Currently a realistic goal appears to be the **medium-range intermediate-term prediction,** which involves an area with linear dimension about one order of magnitude larger than the linear dimension of the impending event and a time uncertainty of years.



Medium-range Intermediate-term Prediction

A family of medium-range intermediate-term earthquake prediction algorithms has been developed, applying pattern recognition techniques, based on the identification of premonitory seismicity patterns.

Algorithms for Medium-range Intermediate-term Prediction

The algorithms are based on a set of empirical functions to allow for a quantitative analysis of the premonitory patterns which can be detected in the seismic flow:

 Variations in the seismic activity
 Seismic quiescence
 Space-time clustering of events

Algorithms for Medium-range Intermediate-term Prediction

Algorithms globally tested for prediction are: • M8 algorithm (*Keilis-Borok and Kossobokov, 1987; Kossobokov et al., 1999*)

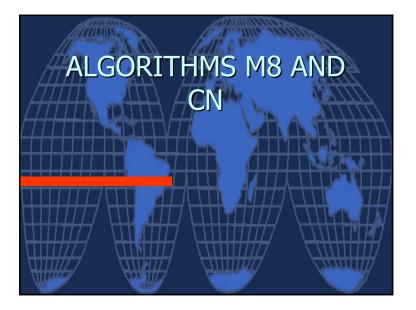
CN algorithm (*Gabrielov et al., 1986; Rotwain and Novikova, 1999***)**

They allow to identify the **TIP**s (Times of Increased Probability) for the occurrence of a strong earthquake

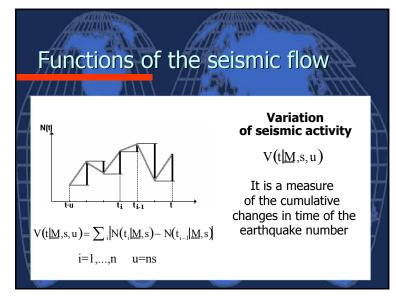
Algorithms for Medium-range Intermediate-term Prediction

The **algorithm MSc** (Mendocino Scenario, *Kossobokov, Keilis-Borok, and Smith, 1990; Kossobokov et al., 1999*) can be applied as a second approximation of M8. It allows us to reduce significantly the area of alarm (by a factor from 5 to 20).

Independently, the **algorithm NSE** (Next Strong Earthquake, *Vorobieva*, *1999*) is applied to predict a strong aftershock or a next mainshock in a sequence.



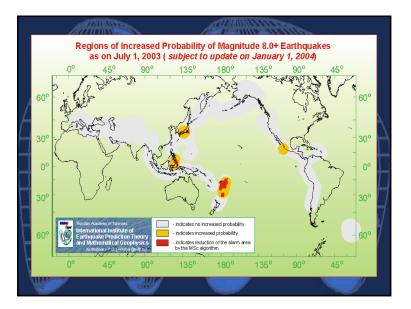
Functions of the seismic flow $\int_{\mathbf{N}}^{\mathbf{N}} \int_{\mathbf{N}}^{\mathbf{q}} \int_{\mathbf{N}}^{\mathbf{q$

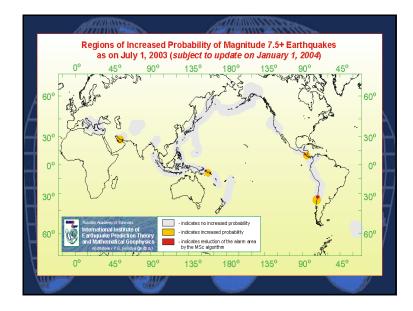


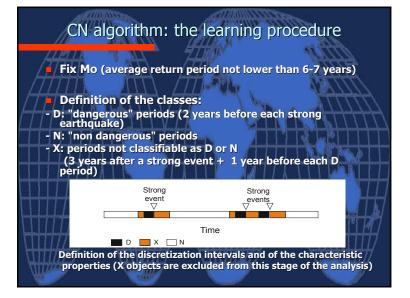
ALGORITHM M8

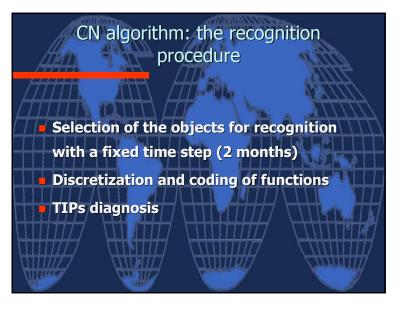
The algorithm M8 is applied on a global scale for the prediction of the strongest events:

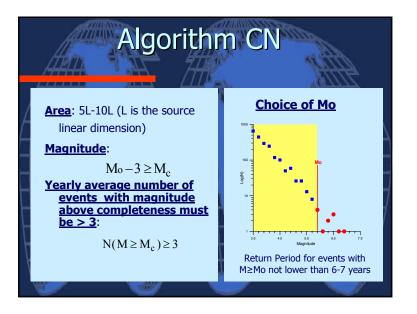
Magnitude ≥ 8.0 Area: 667 Km radius Magnitude ≥ 7.5 Area: 427 Km radius



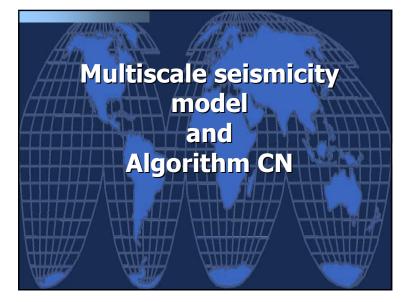








Mitt	rformance o			CALLY .	
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Algorithm	Regions considered	Strong earthquakes predicted/total	Time- space of alarms, %	Confidence level, %	
M8	Circum Pacific, M 8 *	9/10	35	> 99	
M8+MSc	N	7/10	18	> 99	
CN	20 regions worldwide	16/21	24	95	
NSE	Areas around 9 strong earthquakes worldwide	Predictions correct/wrong 25/4		97	



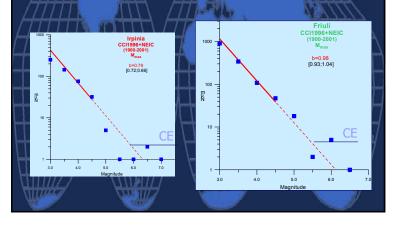
Gutenberg-Richter law

The analysis of global seismicty shows that a single Gutenberg-Richter (GR) law is not universally valid and that a multiscale seismicity model can reconcile two apparently conflicting paradigms (Molchan et al., 1997):

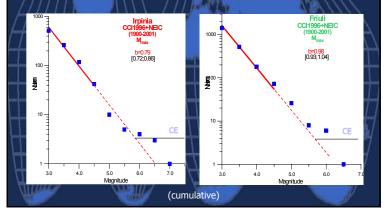


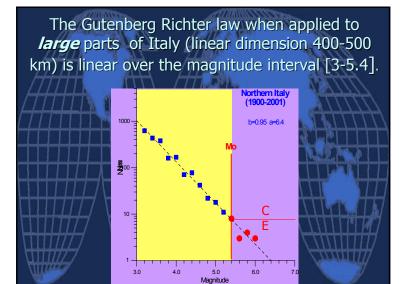
Self-organized criticality (SOC) is hypothesized to link the multitude of complex phenomena observed in Nature to simplistic physical laws and/or one underlying process. It is a theory of the internal interactions of large systems: large interactive systems will self-organize into a critical state - one governed by a power law. Once in this state, small perturbations result in chain reactions, which can affect any number of elements within the system. The Gutenberg-Richter magnitudefrequency relationship is the most commonly cited example of naturally occurring SOC phenomena.

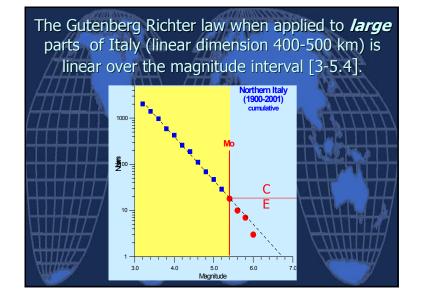
The Gutenberg Richter law when applied to small parts of Italy (linear dimension 100-200 km) is linear only over a small magnitude interval [3-4.5].

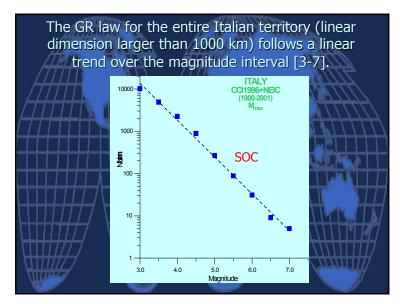


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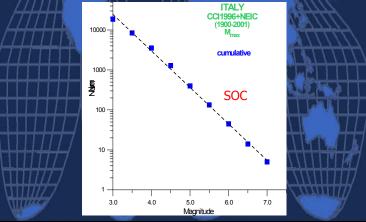












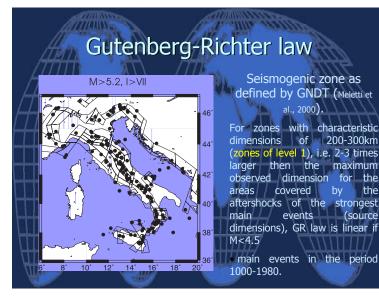
Gutenberg-Richter law

The multiscale seismicity model, implies that only the set of earthquakes with dimensions that are small with respect to the elements of the zonation can be described adequately by the Gutenberg-Richter law.

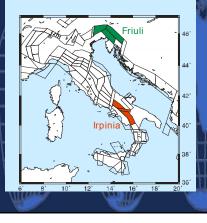
Gutenberg-Richter law

This conditon, fully satisfied in the study of global seismicity made by Gutenberg and Richter, has been violated in many subsequent investigations.

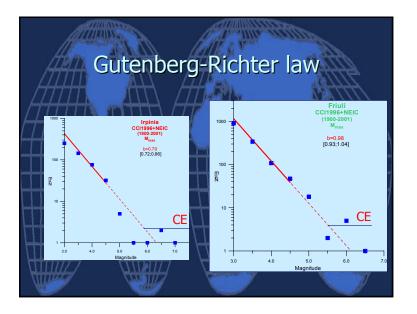
Such a violation has given rise to the concept of Characteristic Earthquake (CE) in opposition to the Self-Organized Criticality (SOC) paradigm.

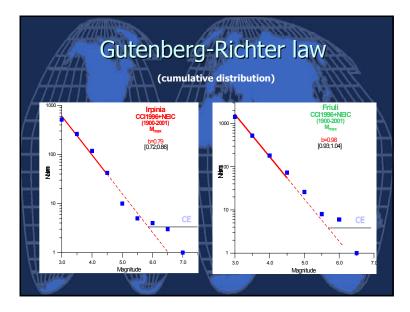


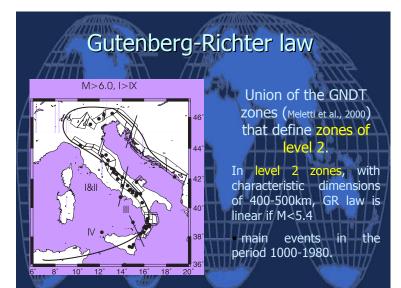
Gutenberg-Richter law

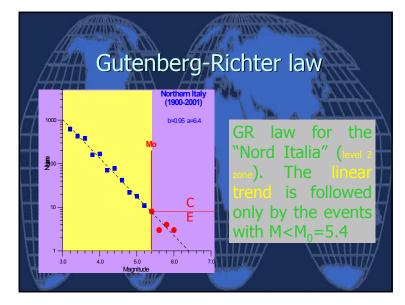


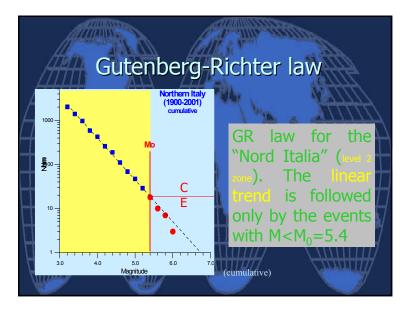
Union of GNDT zones used for the definition of zones of level 1. We show the examples of the Friuli (1976) Irpinia (1980) quakes. The union is given by the GNDT zones where aftershocks have been recorded.

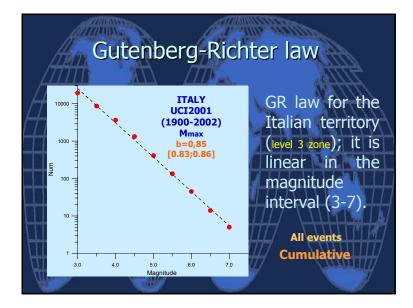


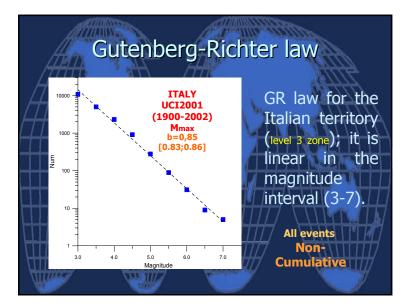


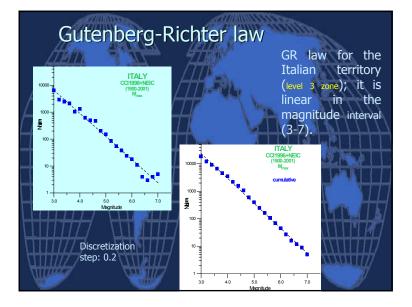






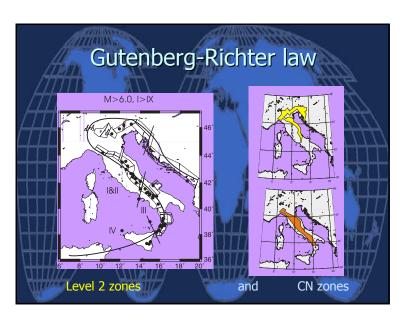






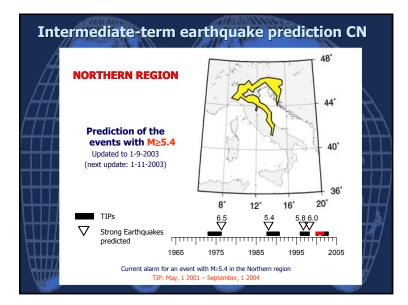
Gutenberg-Richter law

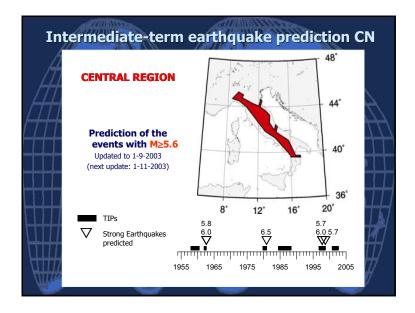
The zone of size 400-500 km (level 2) well reproduce the dimensions and shapes of the regions used for the application of the intermediate-term medium-range earthquake prediction algorithm CN.

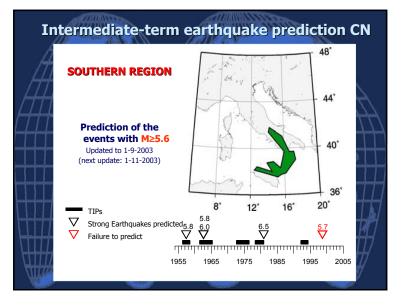


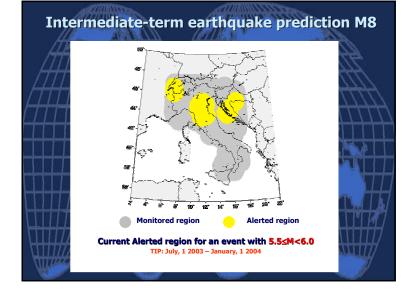
Gutenberg-Richter law

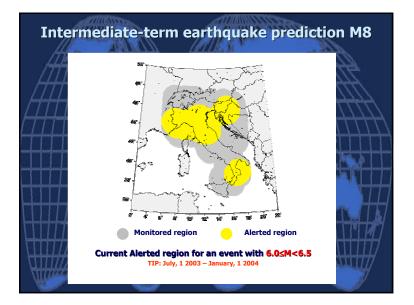
Algorithm CN predicted 76% of the events occurred in the monitored zones in Italy. It is based on the formal analysis of the anomalies in the flux of the seismic events that follow the GR law, and predicts the strong events $(M>M_0)$ that are anomalous (CE) with respect to this law.

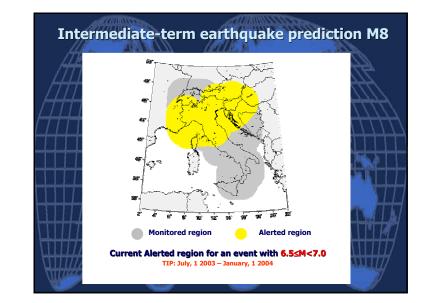






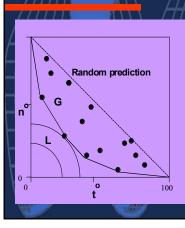






Evaluation of prediction results Constraints Subset Description Description

Evaluation of prediction results



The performance of the prediction algorithm is characterized by its error curve G, which demonstrates how far from a random guess are the resulting predictions. To make use of prediction and optimise its benefits one needs to specify a cost-benefit function L, i.e. the cost of safety measures imposed by prediction minus the cost of the damage which the measures prevent. The point where G and L touch each other determines both the minimum loss and the optimal set of parameters in the prediction algorithm to be used for prediction.

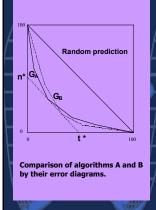
Development of decision making procedures for cases of earthquake alarm

The problem of comparing prediction methods for stationary point processes (like a sequence of strong earthquakes in a region) may be solved using the two prediction parameters:

n°: the rate of unexpected earthquakes *t*°: the rate of alarm times

(*Molchan, 1997*)

Development of decision making procedures for cases of earthquake alarm



The curves G_A and G_B describe two algorithms: A and B. When $t^{-\theta}$ is small, G_A is under G_B algorithm A is preferable in application with great values of $\beta/\alpha\lambda$, where β is the cost rate of alert and $\alpha\lambda$ is the loss rate from unexpected earthquakes. The line (n^*, t^*) is the common line

of support for curves G_A and G_B . If $\beta/\alpha\lambda > n^*/t^*$ then the algorithm A is preferable because it yield lesser losses.

IASPEI Preliminary List of Significant Precursors vs. M8 and CN

IASPEI: the observed anomaly should be related to some mechanism leading to earthquakes;

M8 and CN: the equations to describe the dynamics of the lithosphere are still missing thus M8 and CN are algorithms based on empiricism "guided" by the concept of deterministic chaos.

IASPEI: the anomaly should be simultaneously observed at more than one site or instrument;

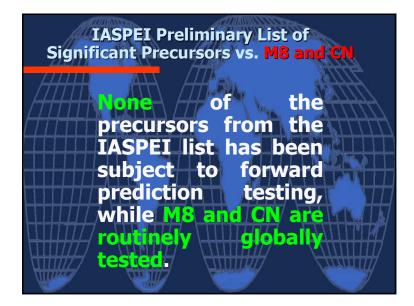
IASPEI Preliminary List of Significant Precursors vs. M8 and CN

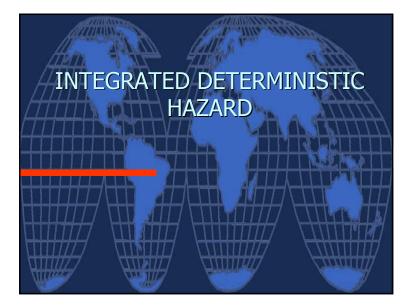
IASPEI: the definition of the anomaly and of the rules for its association with subsequent earthquakes should be precise;

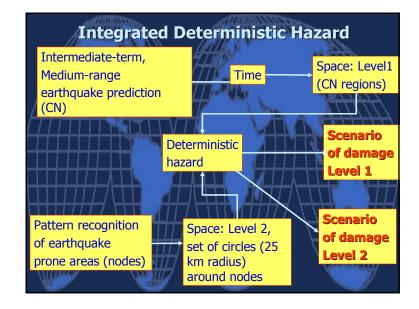
M8 and CN are formalized published algorithms; regular workshops are held at ICTP every second year.

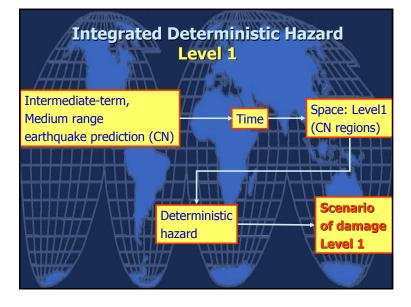
IASPEI: both anomaly and rules should be derived from an independent set of data, than the one for which the precursory anomaly is claimed;

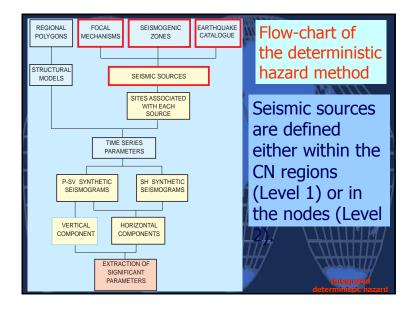
M8 and CN prediction criteria have been defined globally, using information on past seismicity to predict new strong earthquakes.

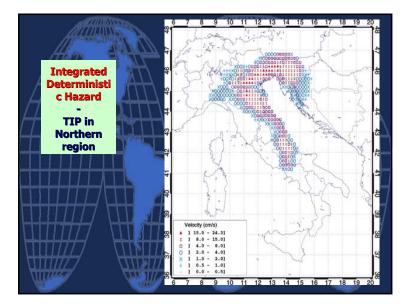


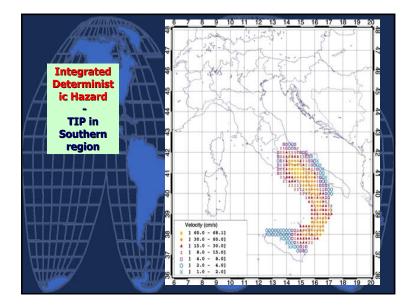


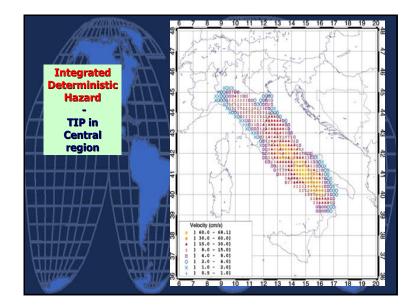




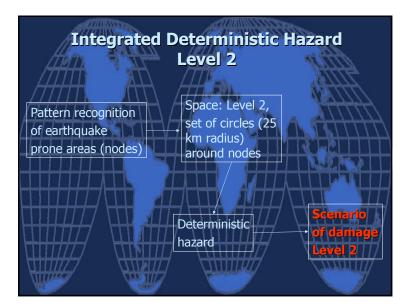








Integrated Deterministic Hazard									
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Ц	City CN region Imax Imax					77			
Щ			(observed)	(in Displacement	npending) Velocity	DGA	7,		
\vdash	Trieste	north	VII	VIII	VIII	VIII	H		
	Bologna	north, centre	VIII	VIII	VIII	IX			
\square	Firenze	centre	VIII	VII	VIII	VIII	4		
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山	Napoli	centre,south	VIII	х	IX	IX	İİ,		
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Pattern Recognition of Earthquake Prone areas

Pattern recognition technique is used to identify sites where strong earthquakes are likely to occur, based on the assumption that strong events nucleate at the **nodes**, specific structures that are formed around intersections of fault zones.

Nodes are defined by the Morphostructural Zonation Method, that allows to delineate a hierarchical block structure of the studied region, using tectonic and geological data, with special care to topography.

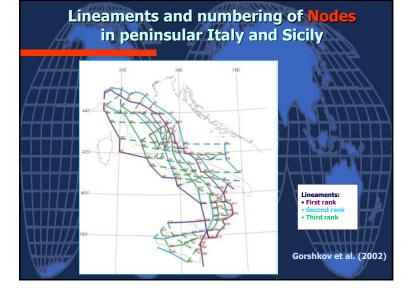
Pattern Recognition of Earthquake Prone areas

 Unstable tectonic structures are identified evaluating the following topographic characteristics :

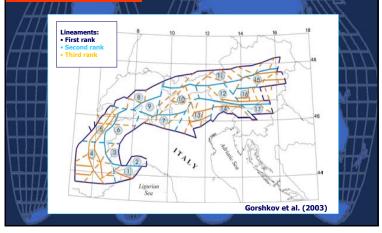
- Elevation and its variations in mountain belts and watershed areas;
- Orientation and density of linear topographic features;
- Type and density of drainage pattern,

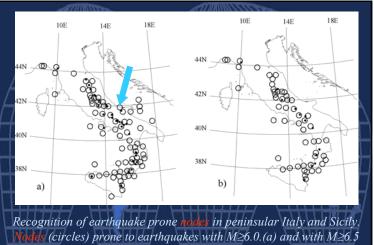
 These features indicate higher intensity in the neotectonic movements and increased fragmentation of the crust at the nodes The algorithm for the recognition of Earthquake Prone Areas is used to identify the sites where strong earthquakes are likely to occur, independently from seismicity information. This method is based on the assumption that strong events nucleate at the nodes, specific structures that are formed at the intersections of lineaments. Lineaments are identified by the Morphostructural Zonation (MZS) Method that delineates a hierarchical block structure of the studied region, using tectonic and geological data, with special care to topography. Among the nodes delineated by MSZ, the pattern recognition identifies those that are prone to strong earthquakes on the basis of geologic, geomorphic, and geophysical data excluding seismicity. For recognition purposes the nodes are defined as circles of 25 km radius, centred at the intersections of the lineaments.

The results of the recognition, obtained for a large number of seismic regions, have been proved by the subsequent occurrence of strong earthquakes at several of the recognised earthquake prone areas. The predictions made worldwide in the last 3 decades have been followed by many events (the total) that occurred in some of the nodes previously recognized to be the potential sites for the occurrence of strong events. In peninsular Italy and Sicily, this study has allowed us to identify the sites where stronger events, with magnitude larger or equal to 6.0 or 6.5, may occur. The results of the classification of the nodes for both magnitude thresholds are in good agreement with the recorded seismicity; in fact almost all (more than 90%) of the past strong earthquakes occurred at the recognised nodes. A similar analysis has been recently performed for the Alps and the Dinarides leading to the identification of the nodes prone to earthquakes with M \geq 6.0 or M≥6.5.

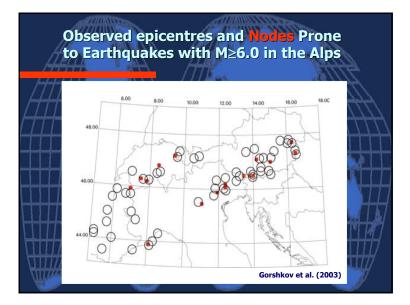


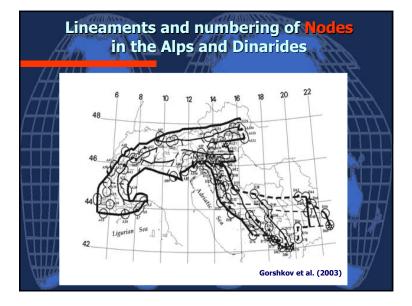
Morphostructural zonation of the Alps and numbering of Blocks

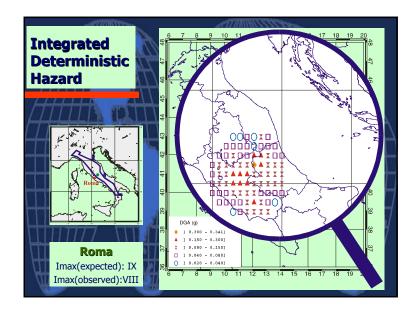




(circles) prone to earthquakes with M≥0.0.(a) and with M≥0.5 (b). Dots denote the epicentres of the recorded events with magnitude larger than 6.0 and 6.5, respectively.







Integrated Deterministic Hazard Impending intensities for events with M≥6.5 (Regression with ISG observed intensities, Panza et al., 1999)									
曲	City	CN region	N of Circles	Imax (observed)		Imax pending ³)		ĹΤ	
	Bologna	centre	1	VIII	Displacement VIII	Velocity VIII	DGA VIII		
	Firenze	centre	2	VIII	VII	VIII	VIII	丗	
\square	Roma	centre	8	VIII	IX	VIII	VIII		
H	Napoli	centre south	5	VIII	Х	IX	IX		
All	Messina	south	9	Х	Х	Х	х	Y	