

H4.SMR/1519-49

**"Seventh Workshop on Non-Linear Dynamics and
Earthquake Prediction"**

29 September - 11 October 2003

MODELS OF EARTHQUAKES AS A SELF-ORGANIZING PROCESS

An appreciation of the physics of earthquakes

Leon Knopoff

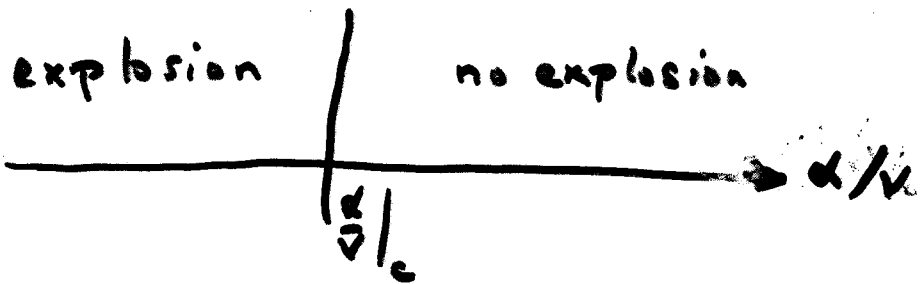
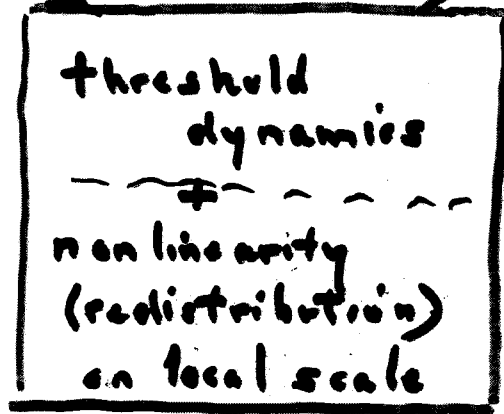
**University of California, L.A.
U.S.A.**

What have we learned thus far?

1. Phenomenology: there are many space and time scales.
 $\Rightarrow$ many physical processes
 2. Physics: Rapid rupture $\Rightarrow$ dynamics *
 3. Geometry: many space scales $\Rightarrow$ heterogeneity *
 - ■
 4. Goal: Self-organization, pattern formation
 5. Mathematics: Non-linear dynamics
 $\Rightarrow$ Stefan problem
 $\Rightarrow$ interactions in space & time
 6. Phenomenology: Finite Slip Pulse
No Critical-State event
 7. 2-4 km wide transient, high compliance, very heterogeneous zone is a precursor (also post-cursor)
 8. Subcritical crack growth, strongly influenced by fluids leads to short-term time precursors in heterogeneous zone. (Also aftershocks)
- * how important is dynamics heterogeneity?

ENERGY
(stress, fuel, ...)
(rate v)

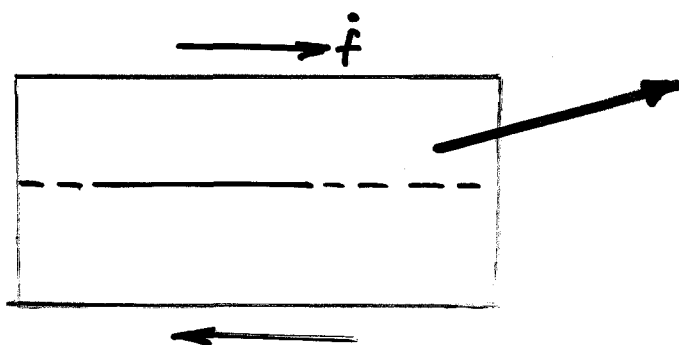
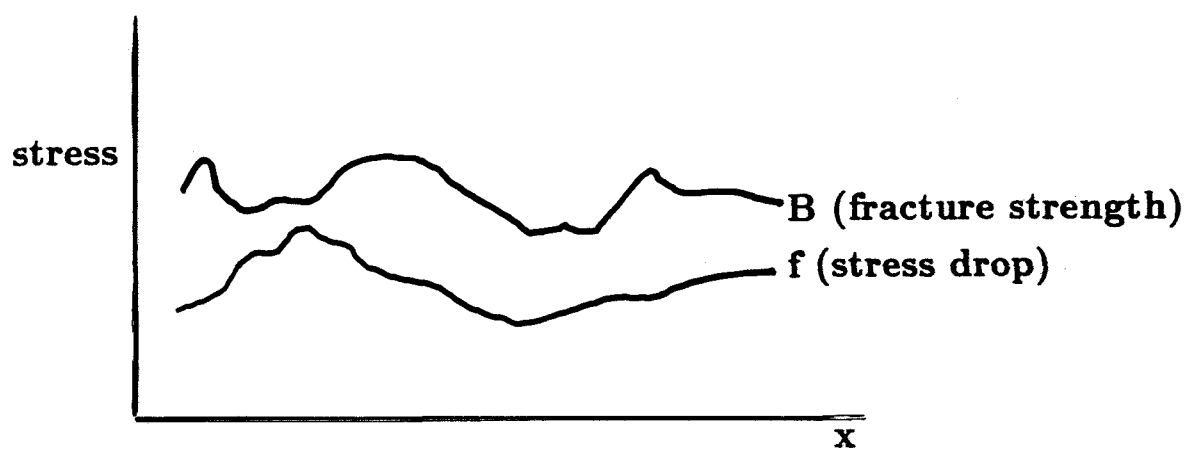
DISSIPATION
(parameter α)



Minimum Ingredients for Physical Models

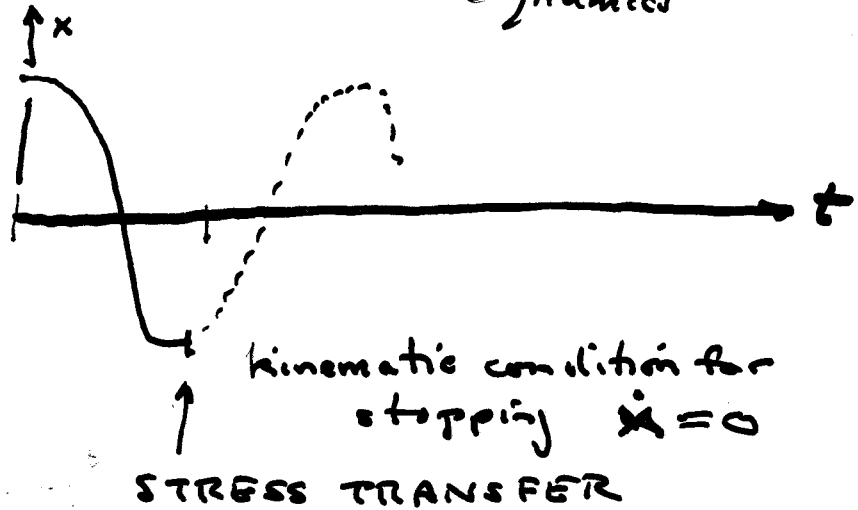
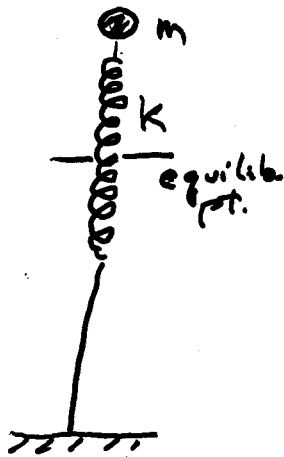
- 1. Plate tectonics
 - Restores energy dissipated in earthquakes or creep
 - How do we drive the seismogenic zone?
- 2. Ductile-Brittle Fracture Rheology
 - Threshold stress (breaking strength) for fracture
 - Provides for creep
 - Provides time delays between triggering and triggered including aftershocks, foreshocks
 - Together with geometry (see 4) accounts for spatial and temporal localization
- 3. Stress redistribution after fracture
 - Fluctuations in stress field
 - Scale of fluctuations depends on size of fractures
 - Role of water
 - Nature of sub-seismogenic zone
- 4. Nonuniform geometry
 - Limits sizes of earthquakes
 - Correlated with nonuniformity of fracture strength
 - Provides for localization
 - Irregular shape of major faults
 - Nature of gouge
 - Thickness of seismogenic zone
- 5. Constraint
 - Digitized models should be asymptotic to continuum models in the small scale limit

Crack Threshold Dynamics

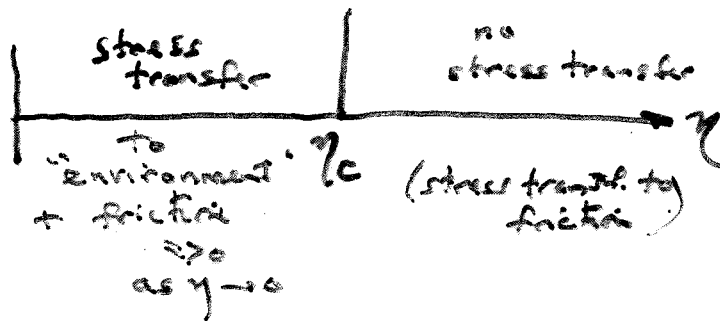
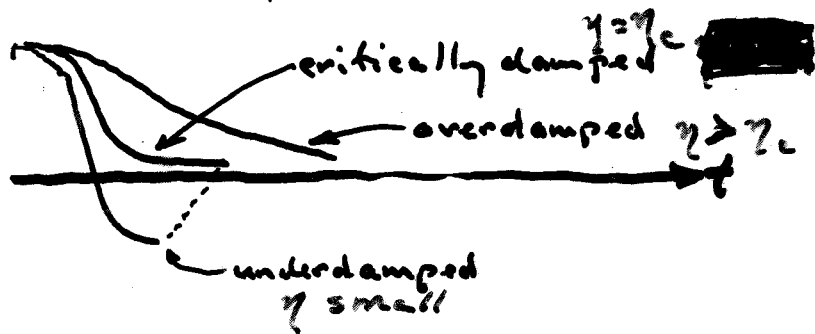
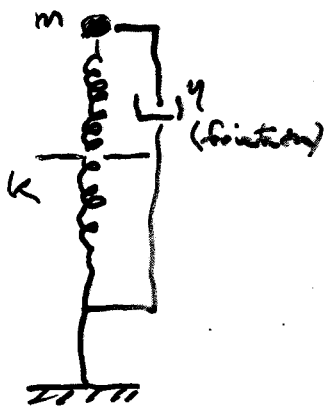


*the problem of
continued increase
in stress*

importance of Dynamics

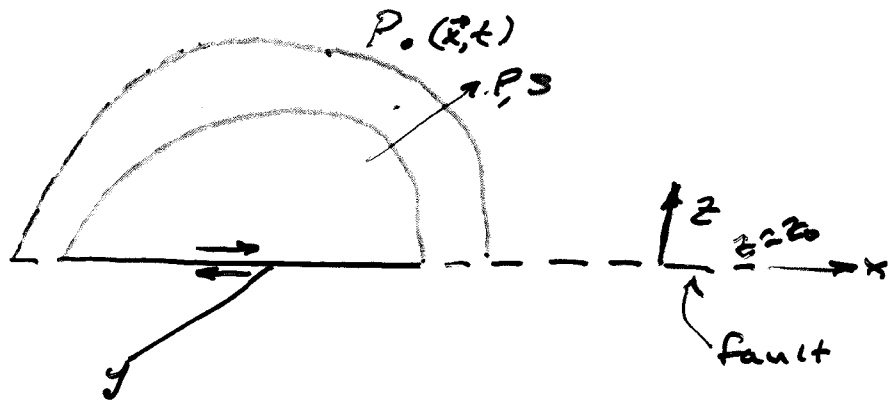


$$m\ddot{x} + \eta\dot{x} + kx = 0$$



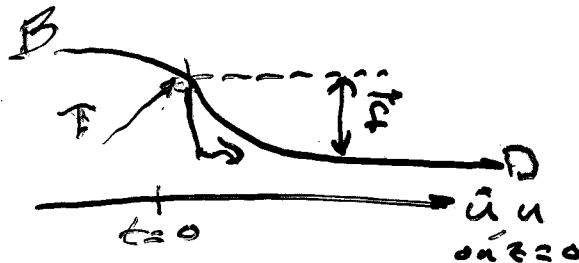
Assume Planar Fault
scale size for
smoothing

Assume stress is
transferred via
elastic processes—
in medium adjacent
to fault.



Elasticity at P

$$(\lambda + 2\mu) \nabla \nabla \cdot \vec{u} - \mu \nabla \times \nabla \times \vec{u} - \rho \frac{\partial^2 \vec{u}}{\partial t^2} = \vec{f}(x, y, 0, t) \delta(z - z_0)$$



$$\vec{f} = T - D$$

↑ prestress ↓ dynamic friction

Solution:

$$\vec{u}(\vec{x}, t) = \frac{1}{2} \int \vec{G}(\vec{x}, t; \vec{x}', t') f' d\vec{x}' dt'$$

We are especially interested in $\vec{u}(\vec{x}_e, t)$ $\vec{x}_e \Rightarrow z=0$

Green's Functions:

$$u(x, t): H[c^2(t-t')^2 - (x-x')^2]$$

1-D
(no energy loss by radiation)

$$u(\vec{x}, t): \frac{1}{\sqrt{c^2(t-t')^2 - (x-x')^2 - (y-y')^2}}$$

2-D
antiplane

2-D
plane

3-D

Finite-Difference (1-D)

$$\left(k \frac{\partial^2 u}{\partial x^2} \right) \rightarrow k [u_{n-1} - 2u_n + u_{n+1}] - m \ddot{u}_n = f_n$$

$$\frac{m}{m-1} \frac{k}{k} \frac{m}{m} \frac{k}{k} \frac{n}{n+1}$$

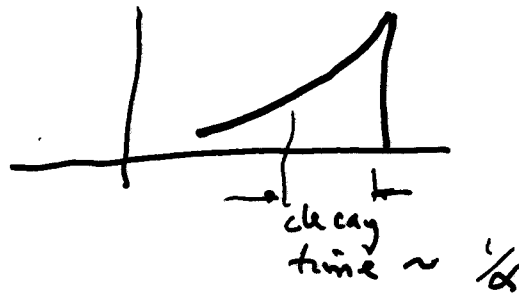
$$+k(2u_n - u_{n-1} - u_{n+1}) + m\ddot{u}_n + \ell(u_n - v/t) + \alpha\dot{u}_n = f_n \quad v=0 \text{ (fast time)}$$

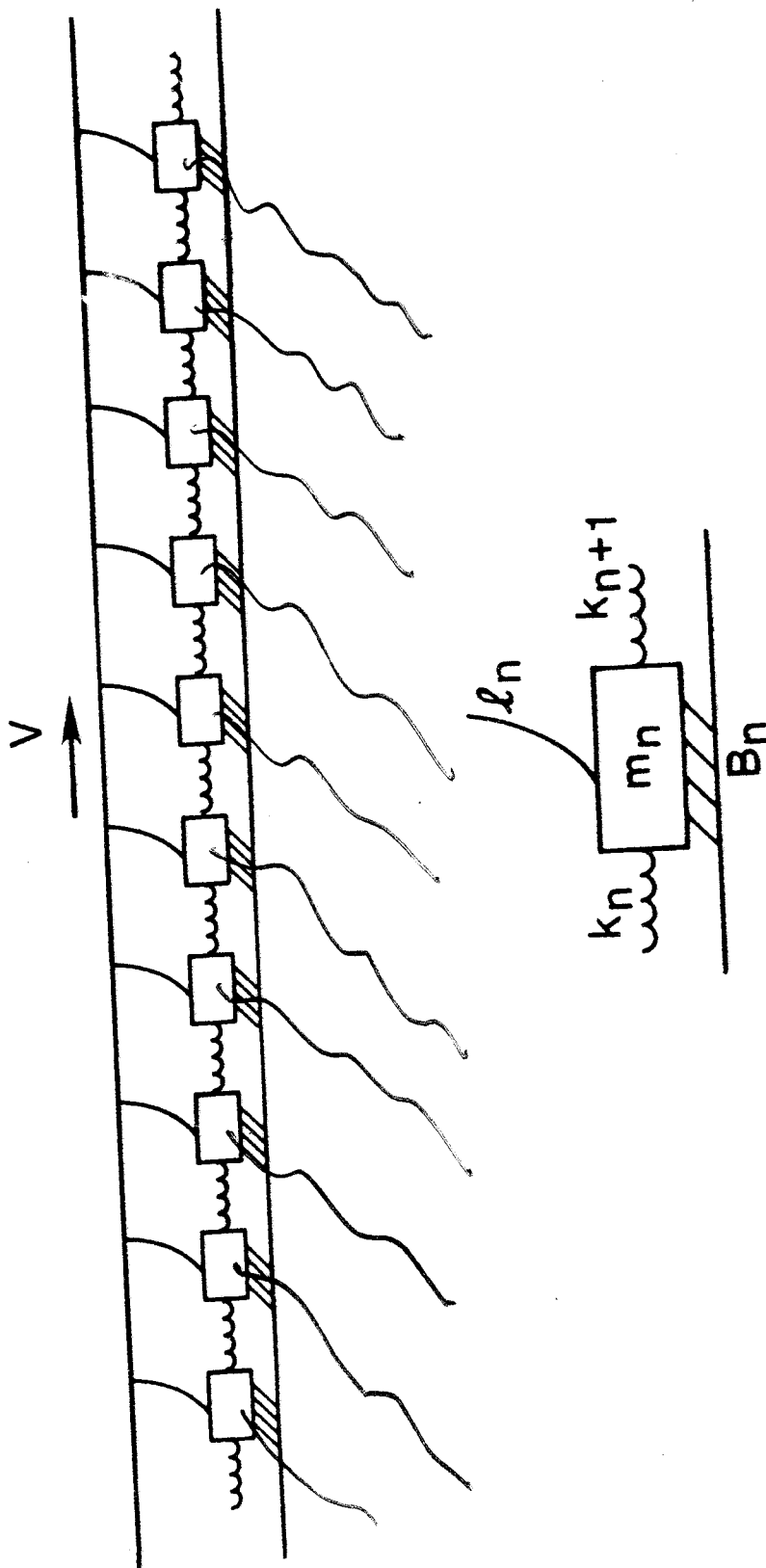
$$\Rightarrow -\frac{\partial^2 u}{\partial x^2} + \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} + \frac{\alpha}{c^2} \dot{u} + \lambda u = f(x)$$

critical damping $\alpha = \frac{2\sqrt{\lambda}}{c}$

mixed Klein-Gordon + Telegrapher's eqn.

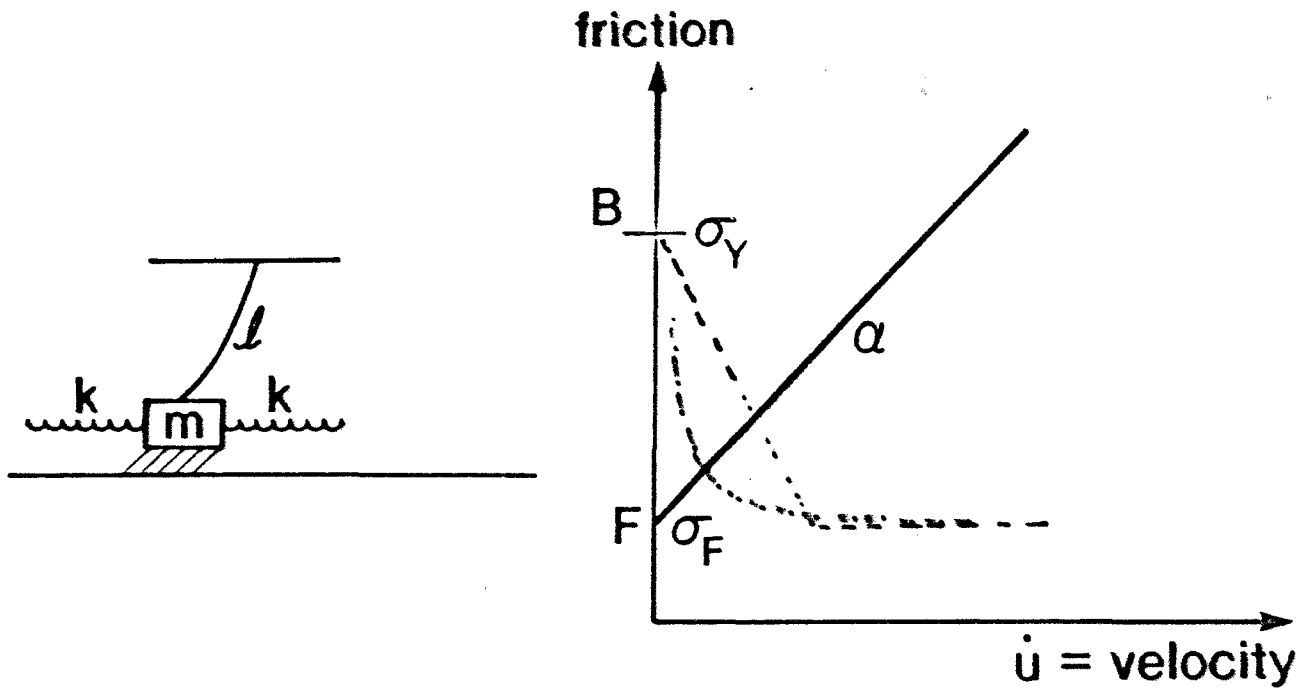
$$\text{Green's } f^{\wedge} \Rightarrow e^{-\frac{\alpha t}{2}} I_0(\alpha \sqrt{t^2 - x^2/c^2})$$





$$m \ddot{u}_n + k (2u_n - u_{n-1} - u_{n+1}) + l(u_n - l \dot{u}) + \alpha \dot{u}_n = f_n$$

$$\alpha = 2\sqrt{l m}$$



Dispersion

2-4

$$m\ddot{u} + k(2u_n - u_{n-1} - u_{n+1}) + \ell u_n = B_n - (F_n + \alpha \dot{u}_n)$$

$$\rho \frac{\partial^2 u}{\partial t^2} - \mu \frac{\partial^2 u}{\partial x^2} + \frac{\lambda u}{d^2} + \alpha \frac{\partial u}{\partial t} = (\sigma_Y - \sigma_F)$$

$$u = u_0 e^{i(kx - \omega t)}$$

Continuum Limit

$$\omega = -\frac{i\alpha}{2\rho} \pm \sqrt{\frac{\mu}{\rho} k^2 + \frac{\lambda}{\rho d^2} - \frac{\alpha^2}{4\rho^2}}$$

$$\text{if } \alpha = \frac{2\sqrt{\lambda\rho}}{d}$$

$$u = u_0 \exp\left(-\left(\frac{\alpha}{2\rho}t\right)\right) \exp\left(i\omega\left(\frac{x}{c} - t\right)\right) \quad c = \sqrt{\frac{\mu}{\rho}}$$

$\ell/k \Rightarrow$ Measure of dissipation

$$(1) \quad \mu \frac{\partial^2 u}{\partial x^2} - \rho \frac{\partial^2 u}{\partial t^2} = -f(x)$$

we consider
f = const

$$(2) \quad \frac{du}{dt} \Big|_x \quad \vee \quad \frac{du}{dx} \Big|_t = 0$$

v = velocity
at edge of edge

$$u = [u]$$

at crack tip
[u] = 0
 $\frac{d[u]}{dt} = 0$

$$(3) \quad \mu \frac{\partial^4 u}{\partial x^4} + \rho v \frac{\partial^4 u}{\partial t^4} = -g(x)$$

$$c^2 = \mu / \rho$$

$$\mu \frac{\partial u}{\partial x} (1 - \frac{v^2}{c^2}) = -g(x)$$

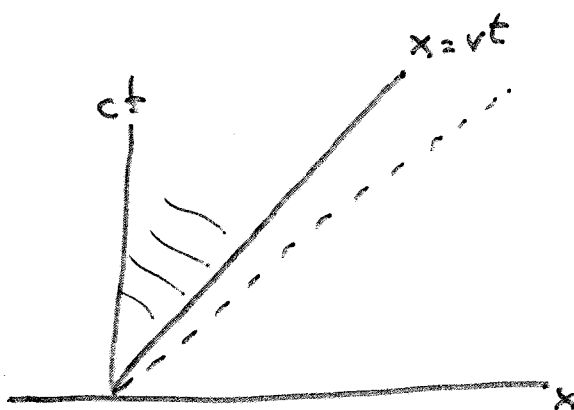
unilateral fracture: $x > 0$
with const. velocity

$$u = \frac{f}{2\mu} x (vt - x)$$

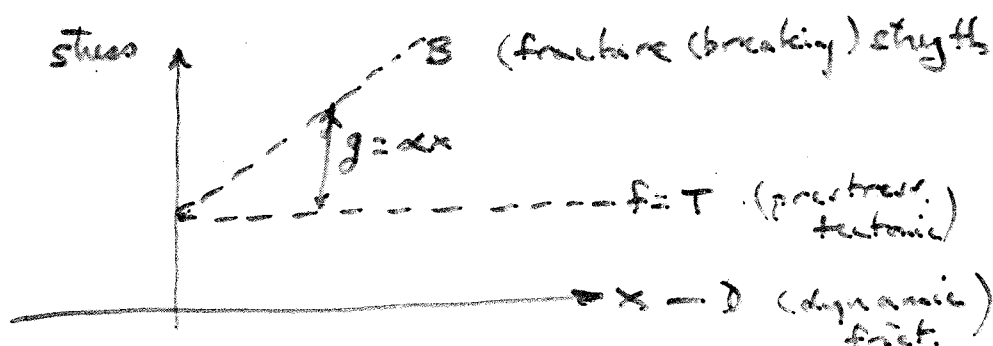
$$vt > x$$

$$\frac{v^2}{c^2} = 1 - \frac{2\alpha}{f}$$

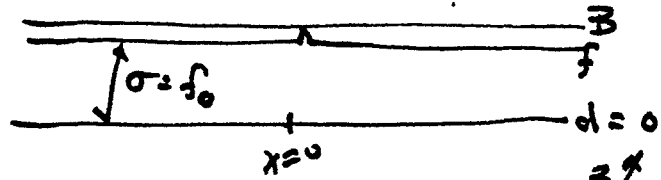
$$g = \alpha x$$



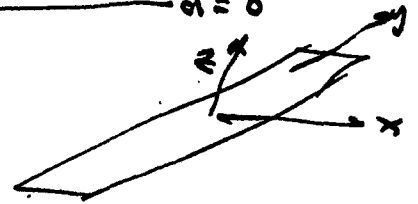
$$\frac{dU(x,t)}{dt} = \frac{\partial U}{\partial t} + \frac{\partial U}{\partial x} \frac{dx}{dt} \quad (*)$$



Consider 2-D antiplane crack in case $g=0$



$$\mu \nabla^2 u_y - \rho \frac{\partial^2 u_y}{\partial t^2} = -f_0 \quad \text{on } y=0$$



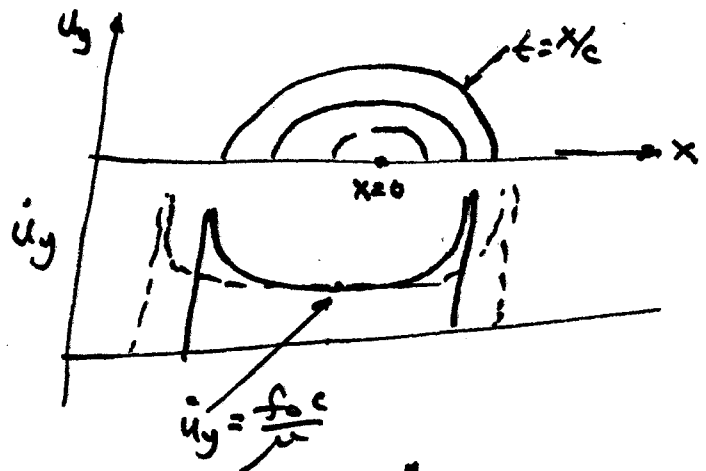
solution

$$u_y = \frac{f_0}{\mu} \sqrt{c^2 + t^2 - x^2}$$

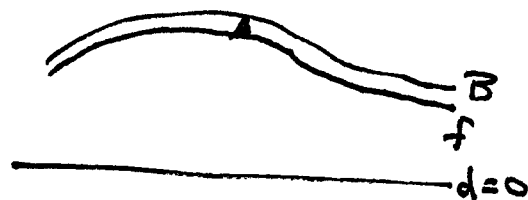
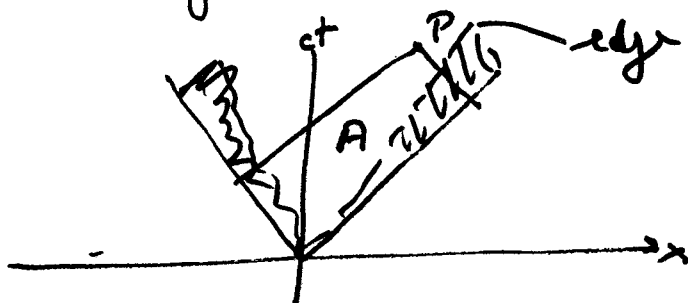
$$c = \sqrt{\frac{\mu}{\rho}} = V_s$$

$$\dot{u}_y = \frac{f_0 c}{\mu} \frac{ct}{\sqrt{c^2 + t^2 - x^2}}$$

$$y = B - f$$



If $g \neq 0$ but f is not const.



$$Q_P(x, t) = \int_A \frac{f(x) dx dt}{\sqrt{c^2 t^2 - x^2}}$$

$$t_r = (t - t_0)$$

$$x_r = (x - x_0)$$

these 2-D models include effects of energy loss through radiation

this crack never stops. We need $g(x) \neq 0$

1-D

$$\mu \frac{\partial^2 u}{\partial x^2} - \rho \frac{\partial^2 u}{\partial t^2} = f(x) = \lambda(vt - u)$$

Green's f^n is now Heaviside f^n

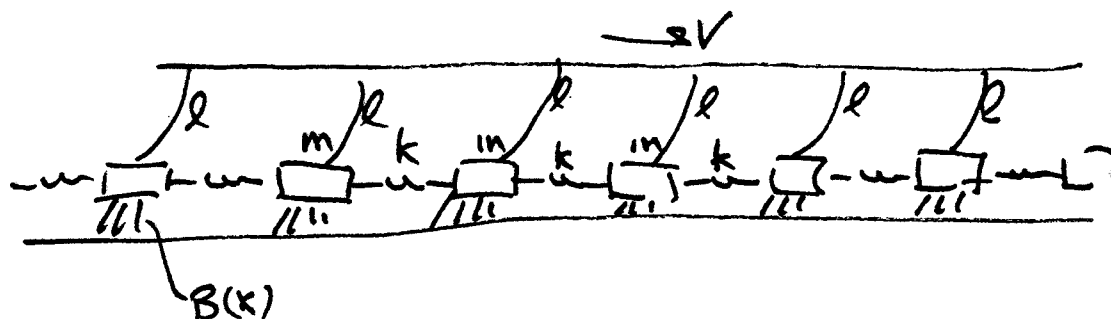
$$u = \int_A f(x) dx dt H(ct - x)$$

But if u is the u in fast time, we solve

$$\mu \frac{\partial^2 u}{\partial x^2} - \rho \frac{\partial^2 u}{\partial t^2} + \lambda u = f(x)$$

which is the Klein-Gordon eqn

No energy loss due to radiation



1-D

(Wave eq) $\frac{\partial^2 u}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} = - \frac{f(x,t)}{\mu}$

no radiation energy loss

(Telegraphist eq) $\frac{\partial^2 u}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} - \alpha \frac{\partial u}{\partial t} = - \frac{f(x,t)}{\mu}$

add friction to simulate radiation

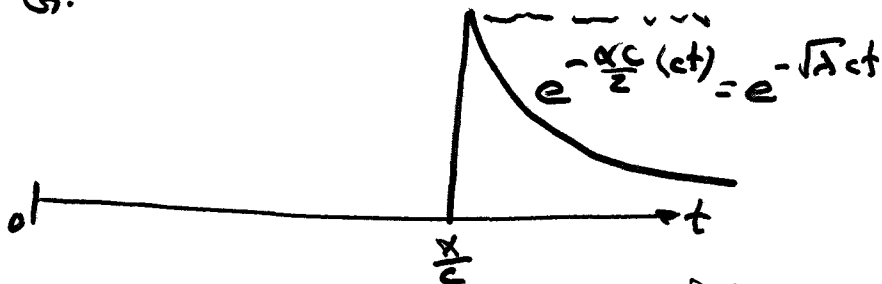
$u_{\text{far field}} \sim \int \dot{u} d\vec{x}$
crack surface
(dispersive)

(Telegraphic + Klein Gordon eq) $\frac{\partial^2 u}{\partial x^2} - \frac{1}{c^2} \frac{\partial^2 u}{\partial t^2} - \alpha \frac{\partial u}{\partial t} + \beta u = - \frac{f(x,t)}{\mu}$

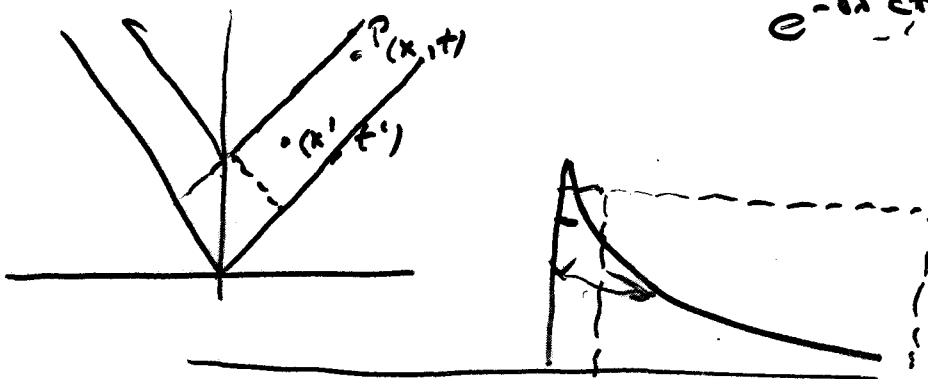
add stiffness to eliminate dispersion

$\alpha \geq 2\sqrt{\beta}$
(critical damping)

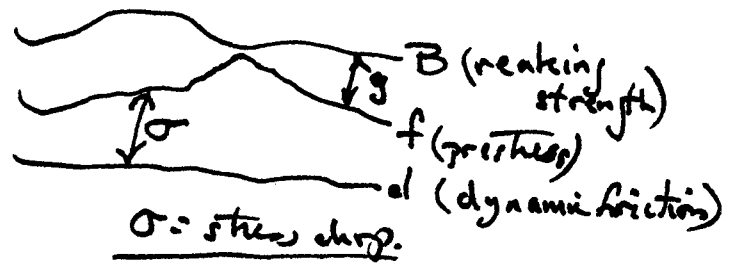
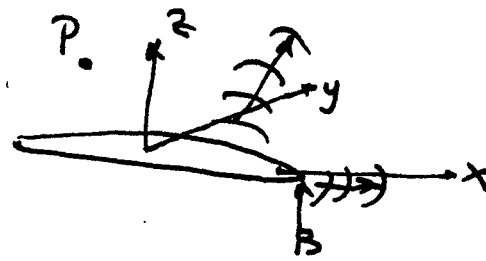
G:



$e^{-\sqrt{\beta} ct} H(ct - x)$



Dynamic crack
sends out
elastic waves



assume homogeneous elastic medium

$$(\lambda + 2\mu) \nabla \nabla \cdot \vec{u}_p - \mu \nabla \times \nabla \times \vec{u}_p - \rho \frac{\partial^2 \vec{u}_p}{\partial t^2} = -\sigma(x, y)$$

σ increases with time during slow time.

* at time of fracture

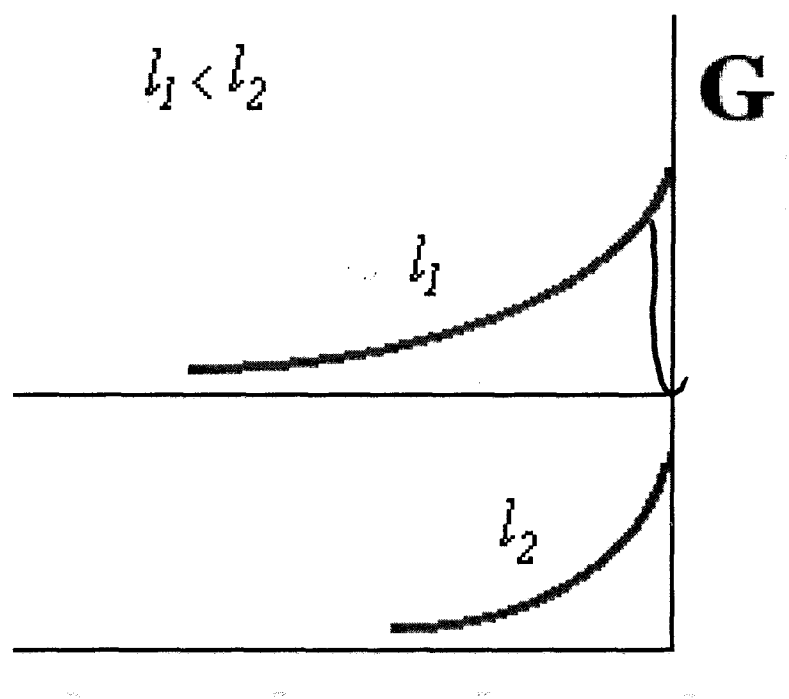
The fracture must supply enough stress to overcome
the fracture strength excess at the crack tip

$$g = B - f$$

if $g = 0$ the crack grows sonically (what does that mean?)

if $g > 0$ The crack is subsonic (")

The Green's function



(scalar) elastic wave equation
in 1-D

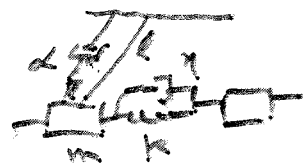
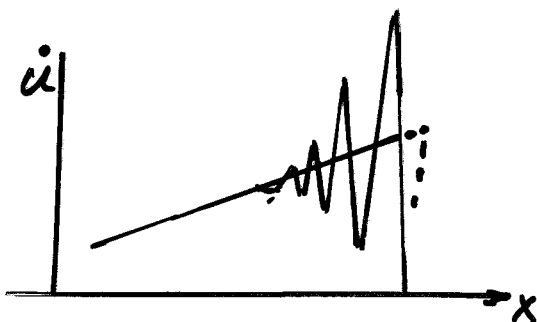
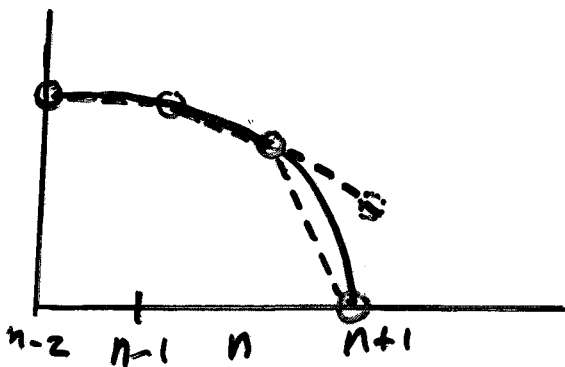
$$\mu \frac{\partial^2 u}{\partial x^2} = \rho \frac{\partial^2 u}{\partial t^2}$$

finite difference $\frac{m}{a} \ddot{u}_n$

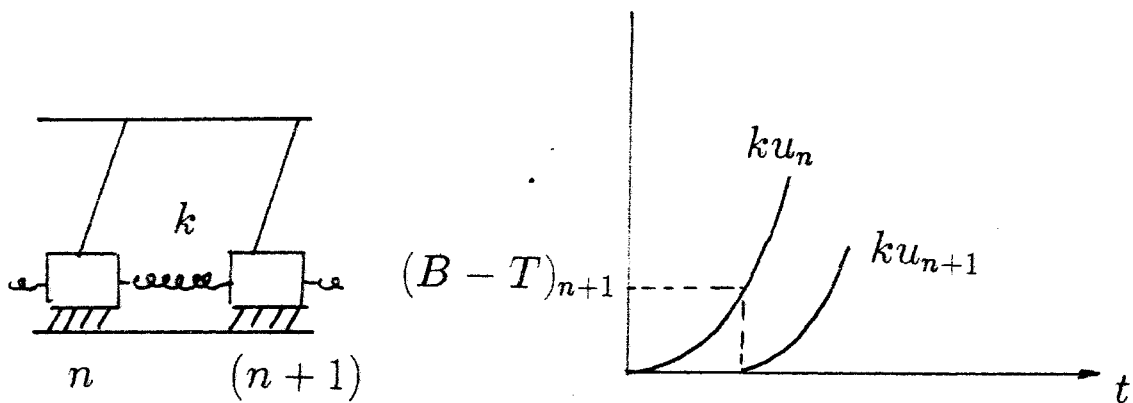
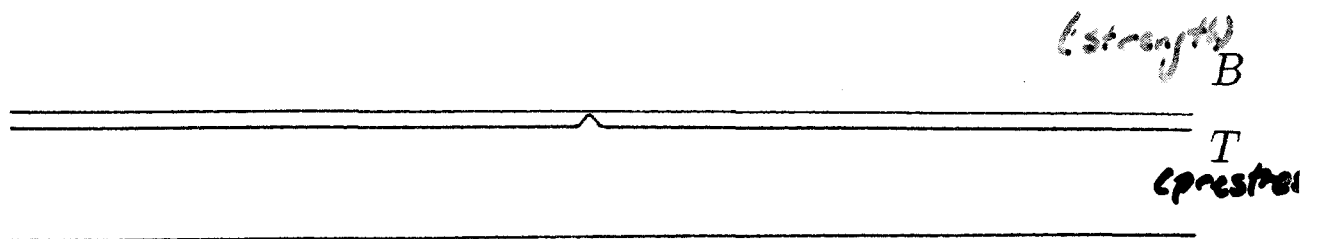
$$\mu \left[\frac{(u_{n+1} - u_n) - (u_n - u_{n-1}))}{a} \right]$$

$$= -k [2u_n - u_{n-1} - u_{n+1}]$$

○ — — — ○ — — — ○ — — — ○ ...



$$-k [u_{n+1} - 2u_n + u_{n-1}] - \eta [\dot{u}_{n+1} - 2\dot{u}_n + \dot{u}_{n-1}] = f_n + m \ddot{u}_n$$

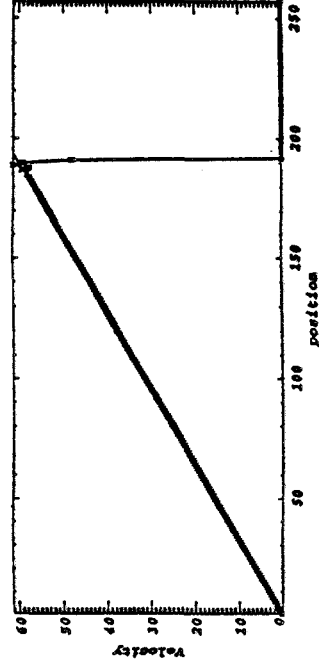
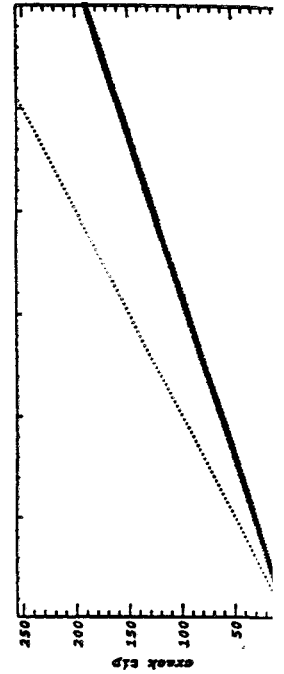


$$k \frac{\partial u}{\partial x} \left(1 - \frac{v^2}{c^2}\right) = -q$$

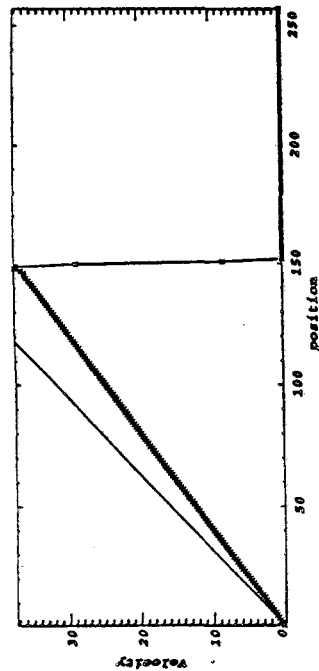
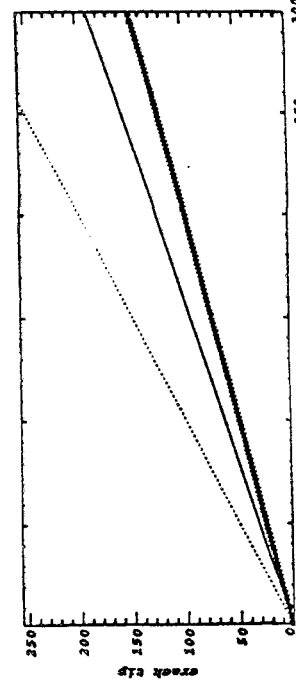
$$k(u_n - u_{n+1}) = (Br. Str. - T_{pre})_{n+1} = 0?$$

$$ku_n \left(1 - \frac{a^2}{V_s^2 t^2}\right) = (B - T)_{n+1}$$

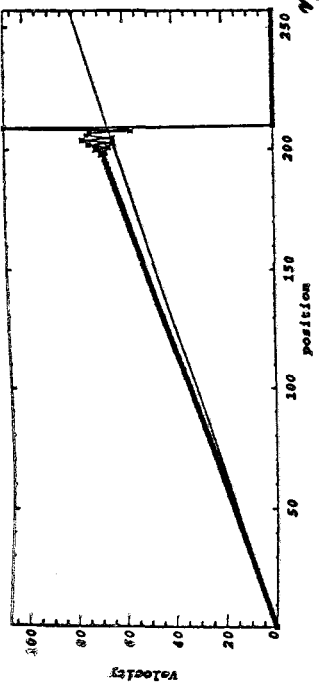
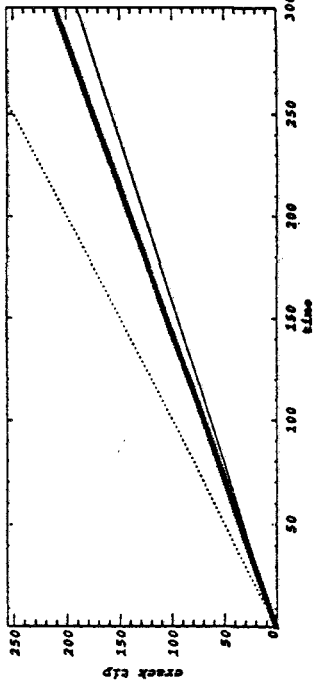
$\eta = 1$



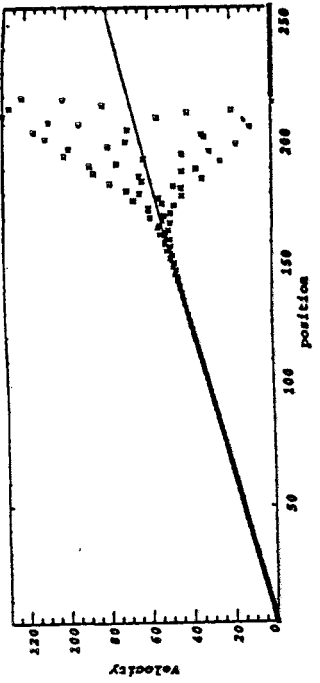
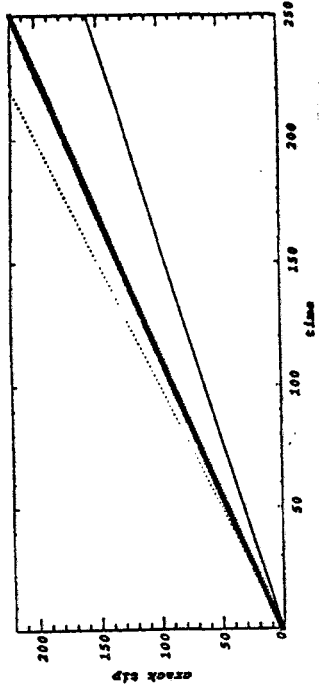
$\eta = 1$

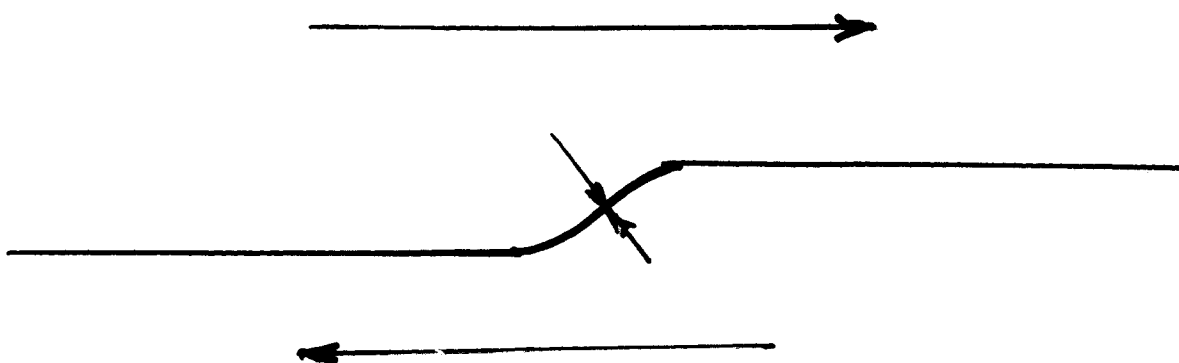


$\eta = 10$

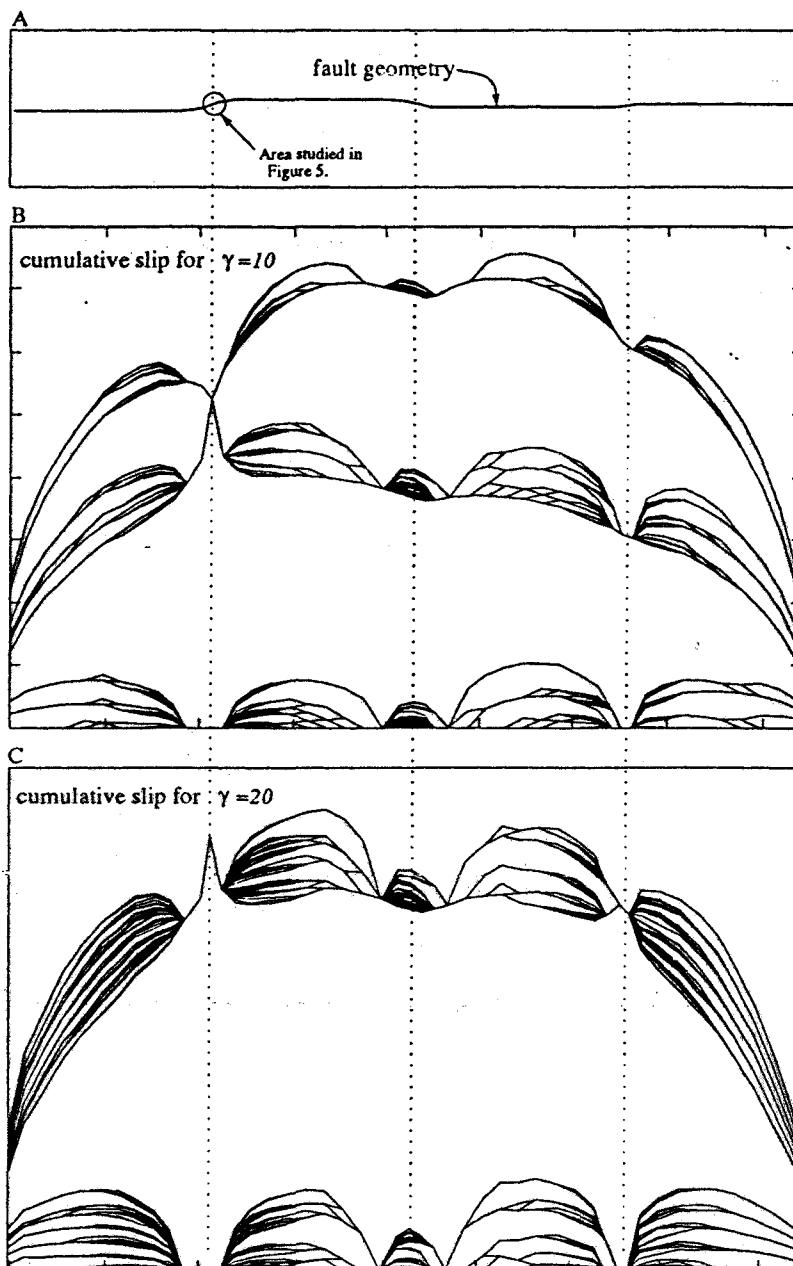


$\eta = 10$





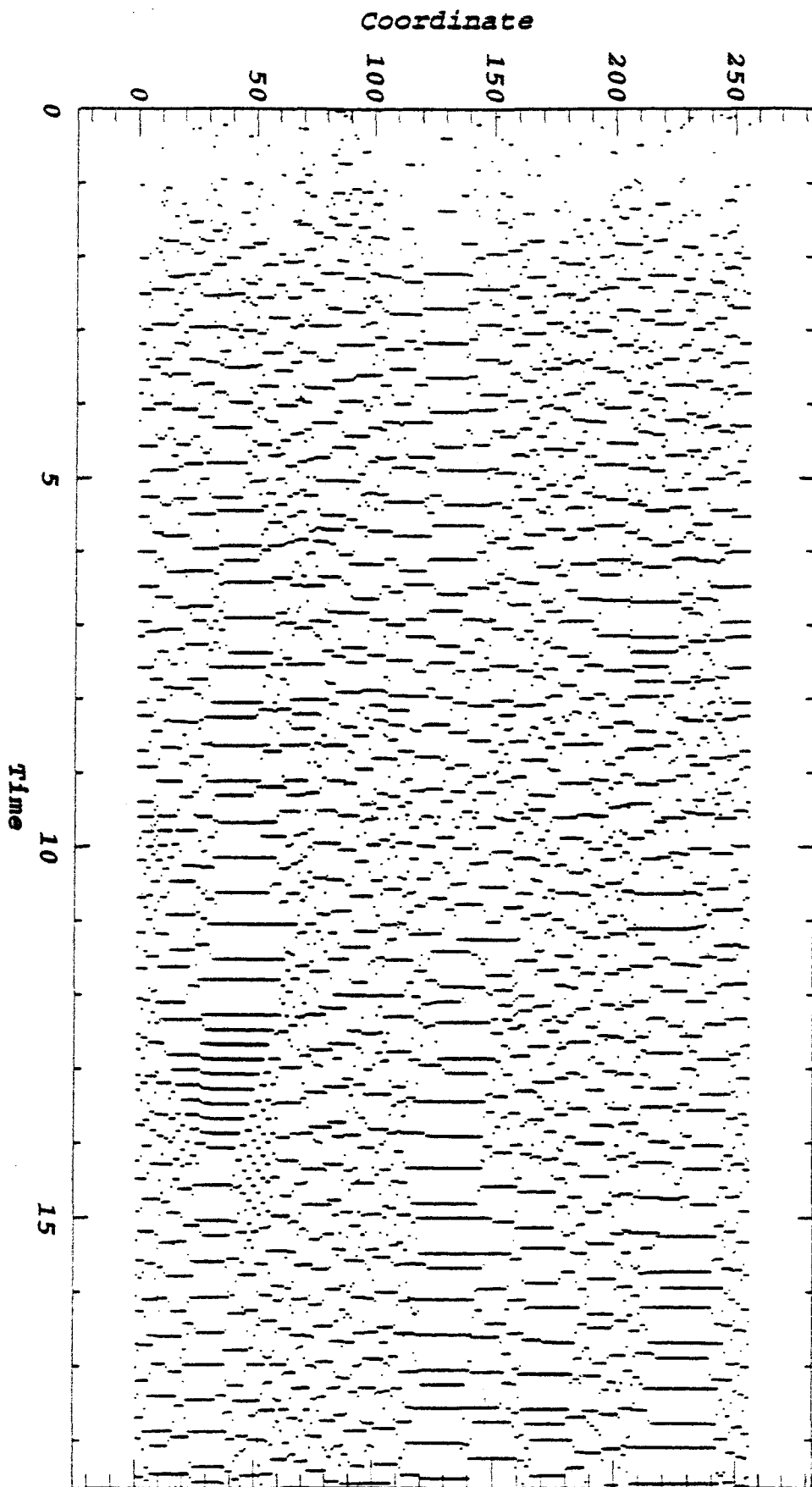
$$\sigma_L = 2\sigma_n$$

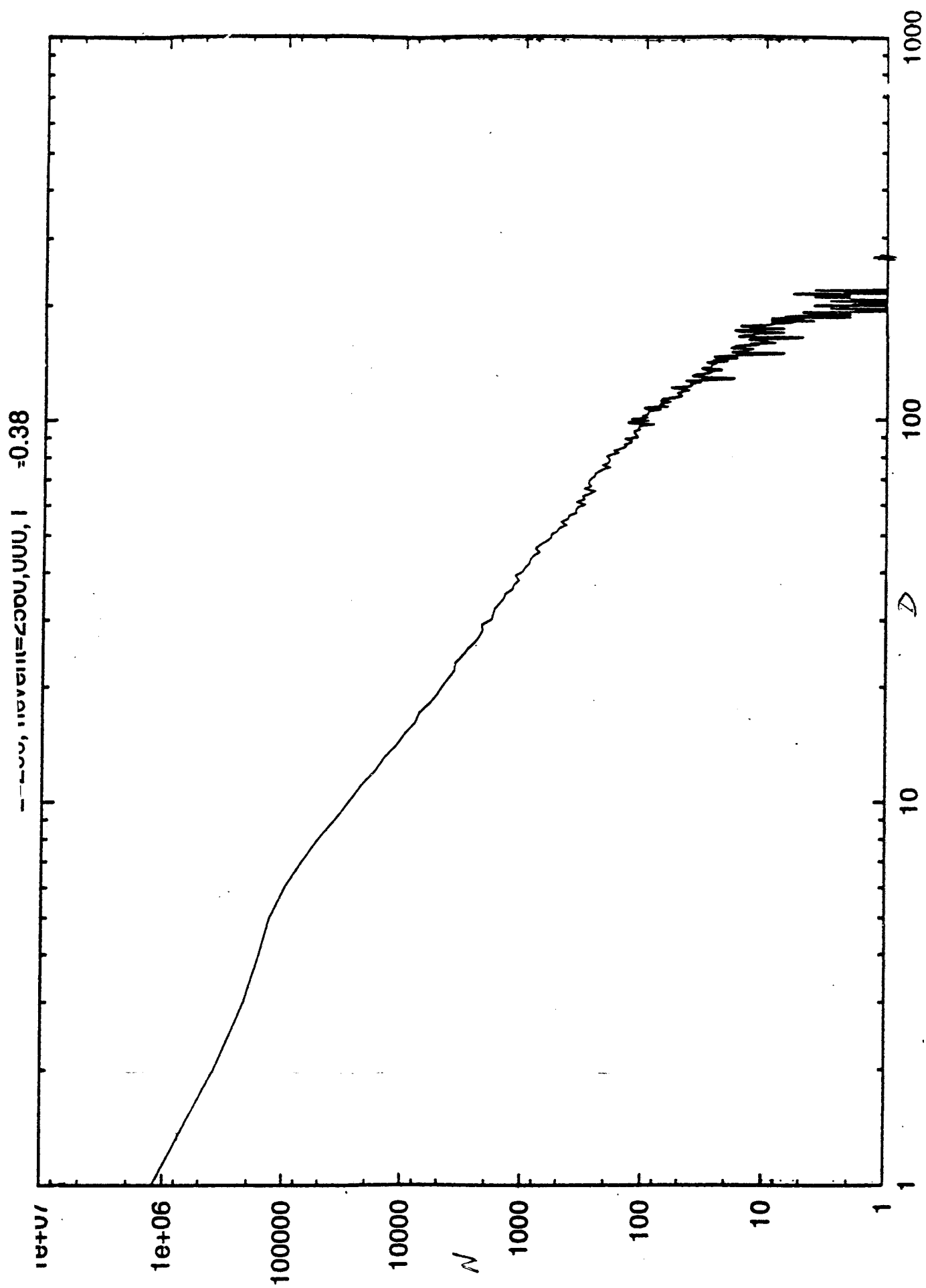


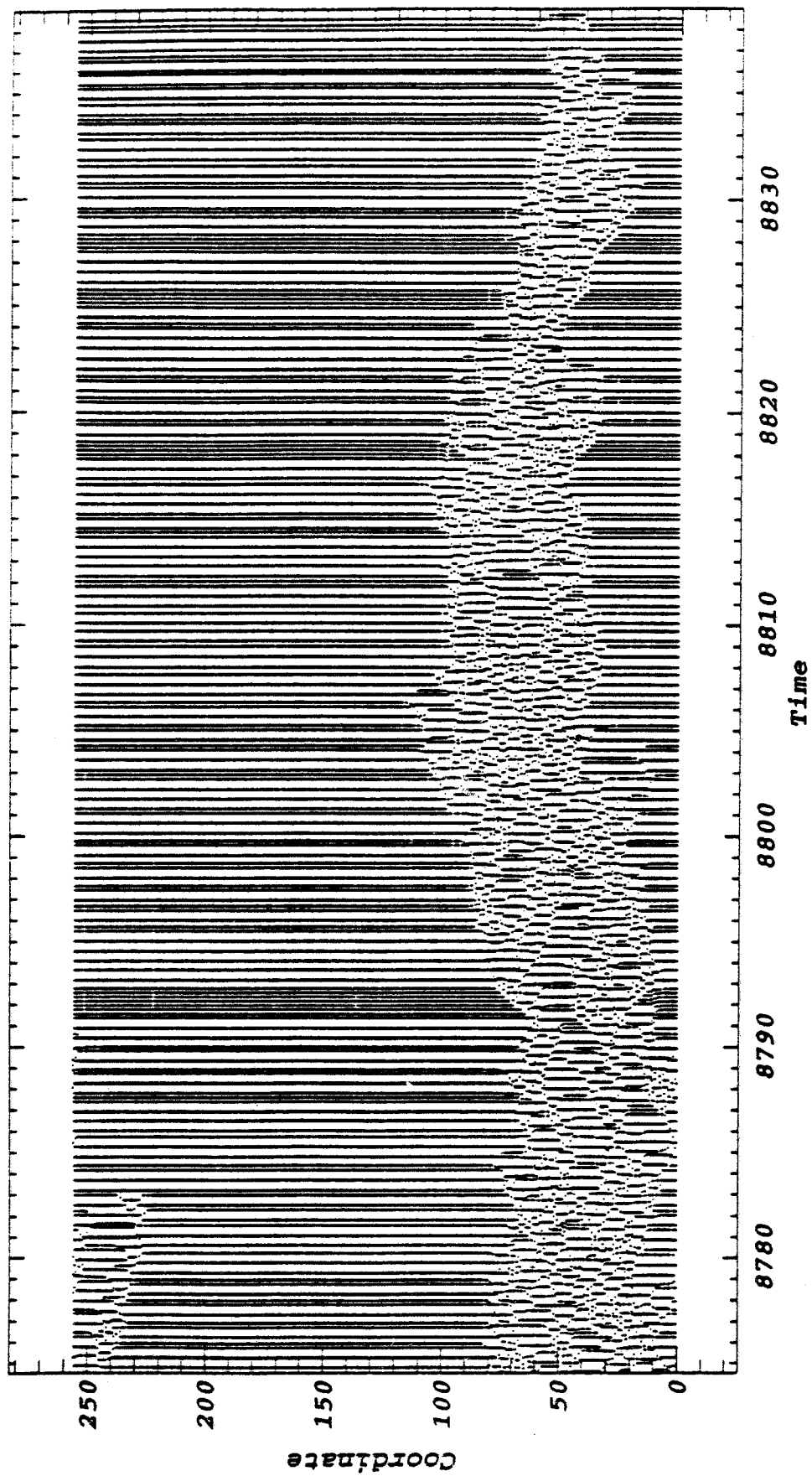
2-D antiplane

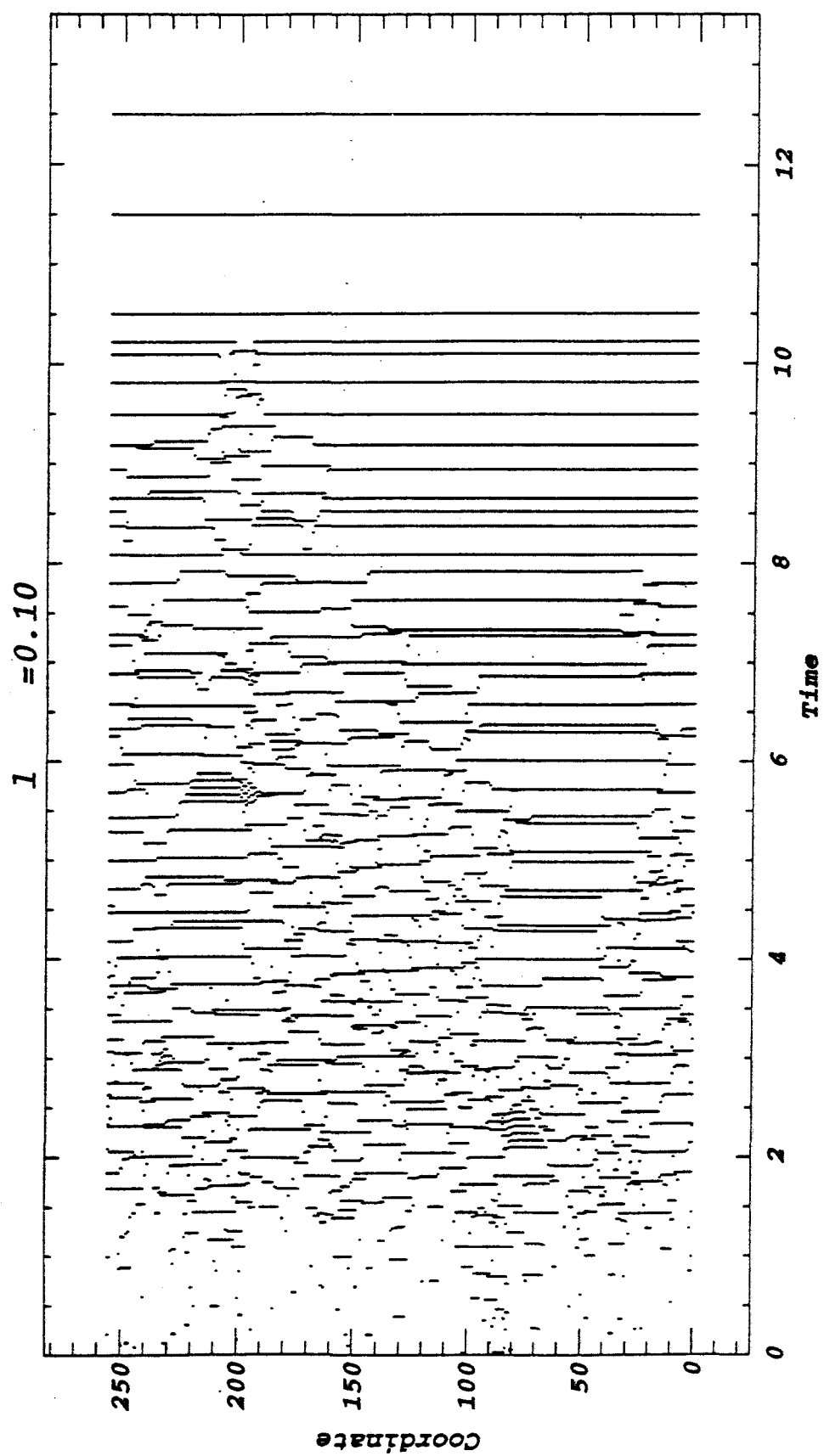
Tests of 1-D system

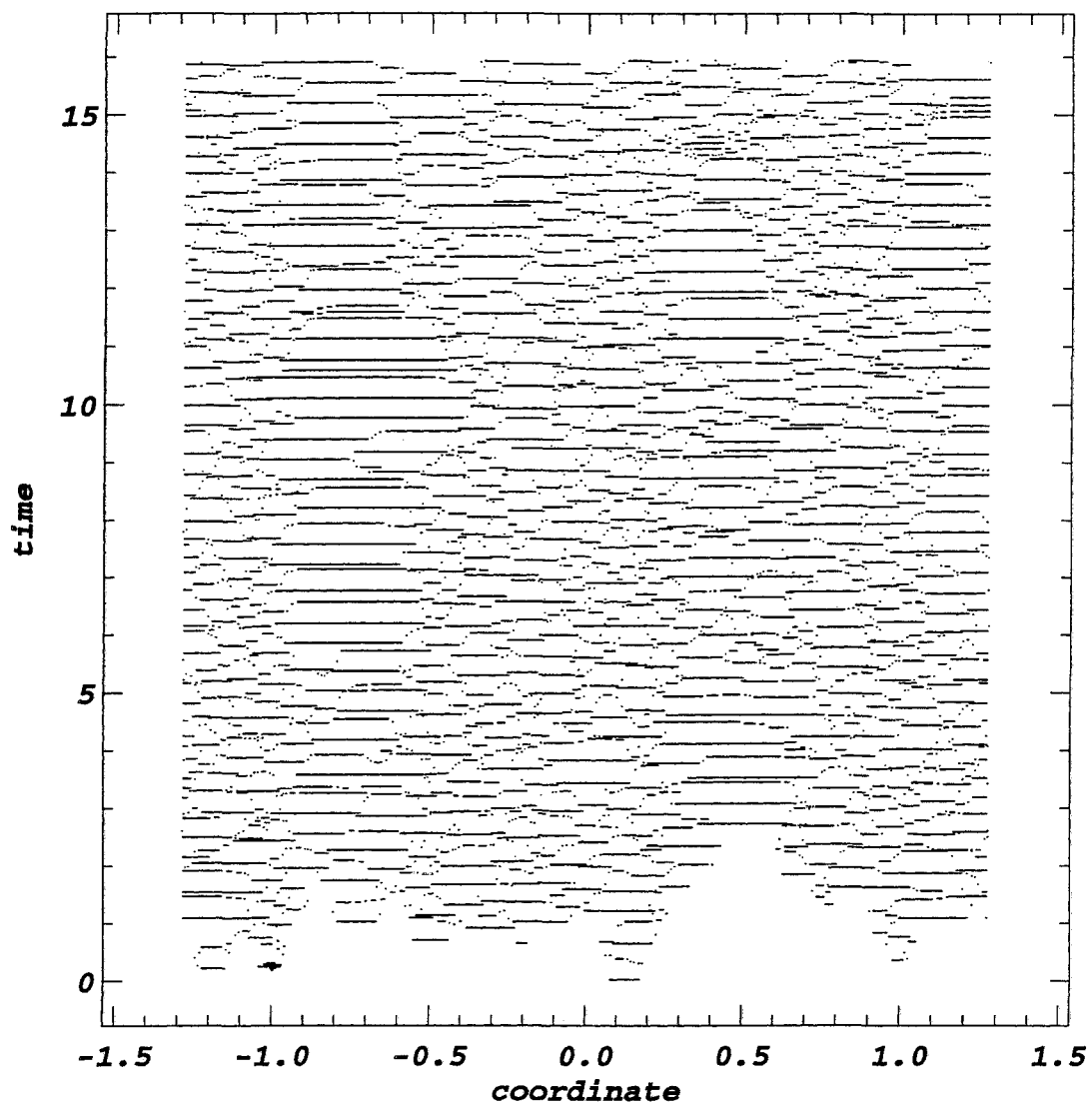
1. Uniform B (homogeneous)
2. Localized fluctuations in B
3. Can we neglect dynamics?
4. Stability of Pattern
5. Fractal (self-affine) B .
6. Two coupled faults
7. Random Power Law B





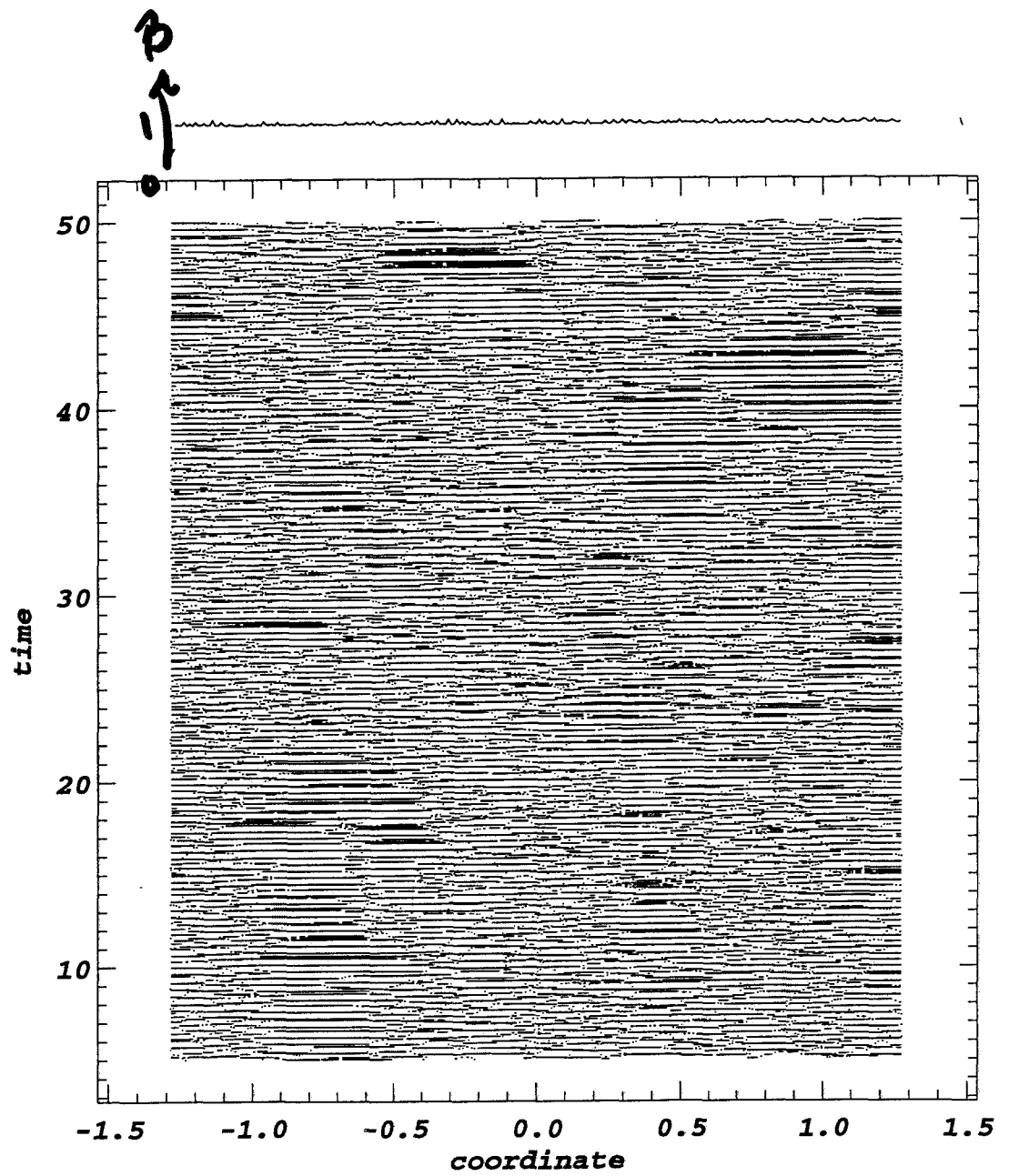




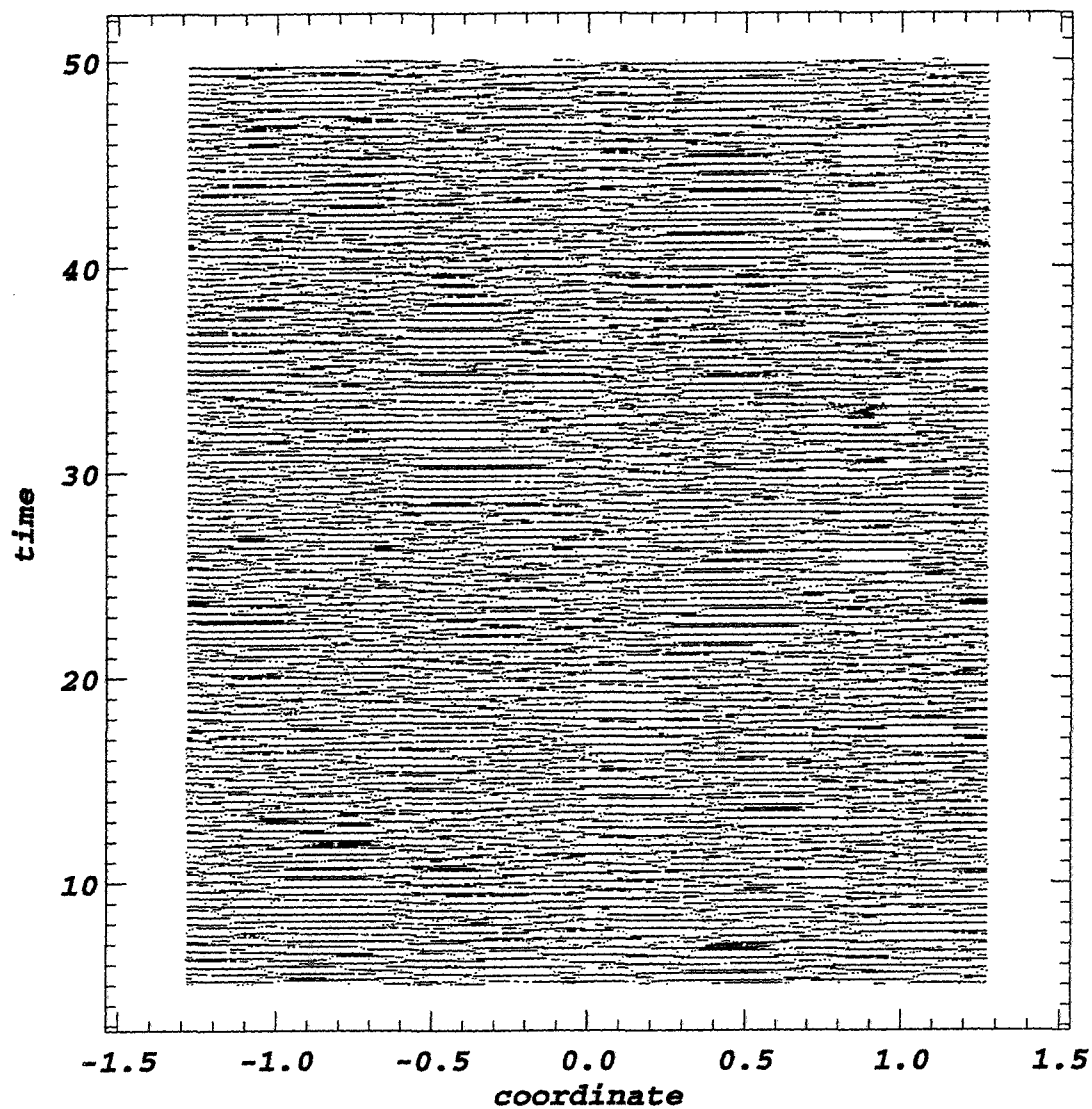


1.08:1.00+0.12

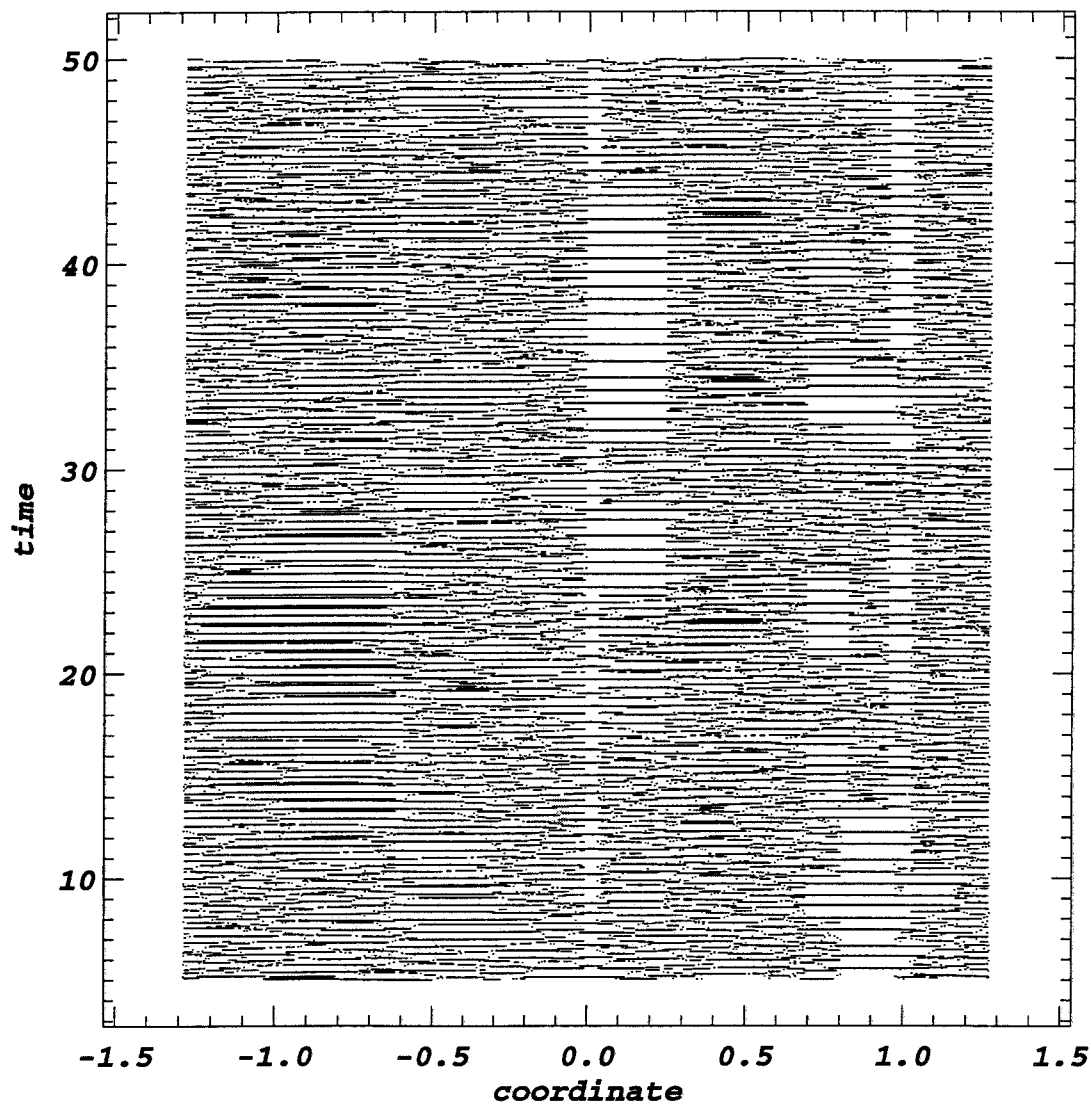
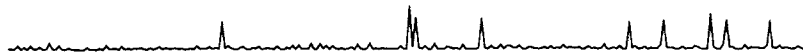
Q-22



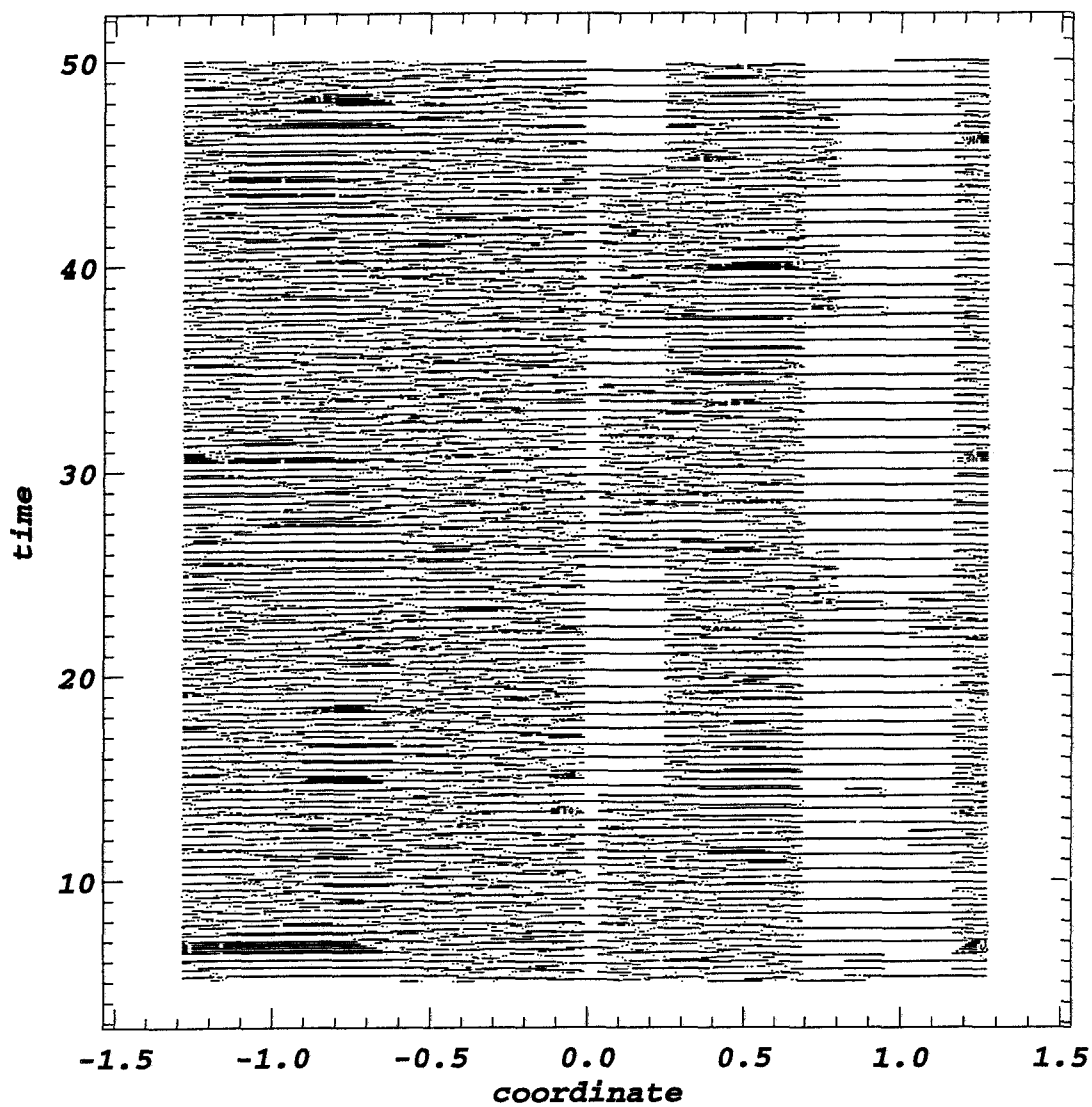
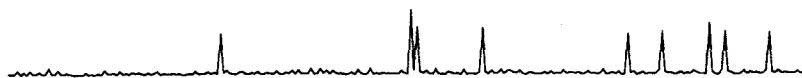
$$1.08:1.00+0.12$$



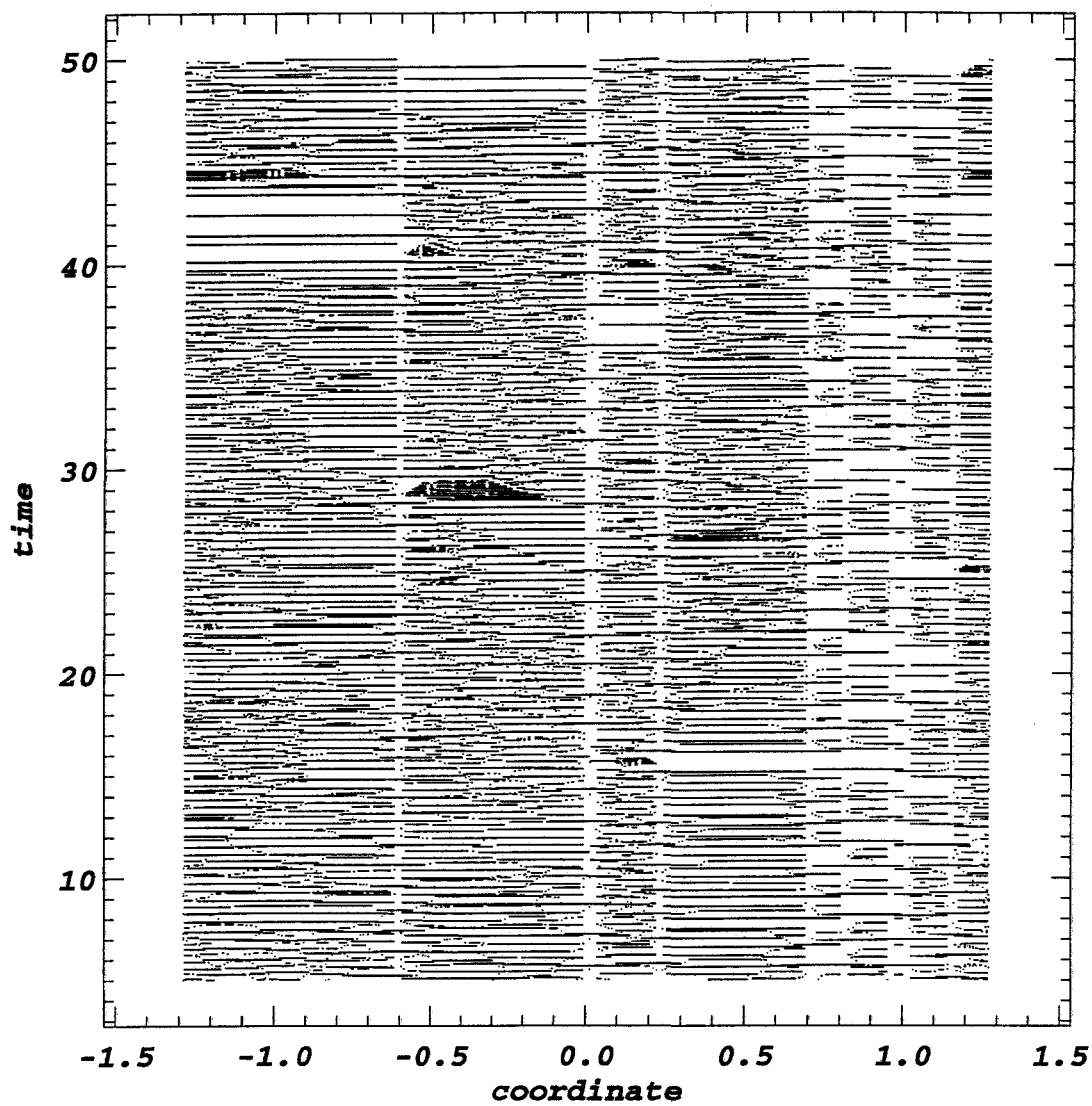
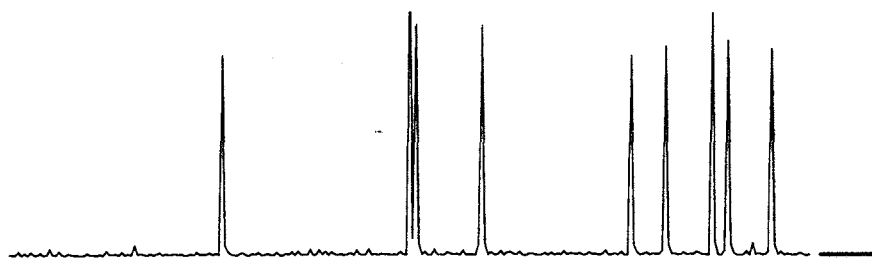
1.4:1.00+0.12



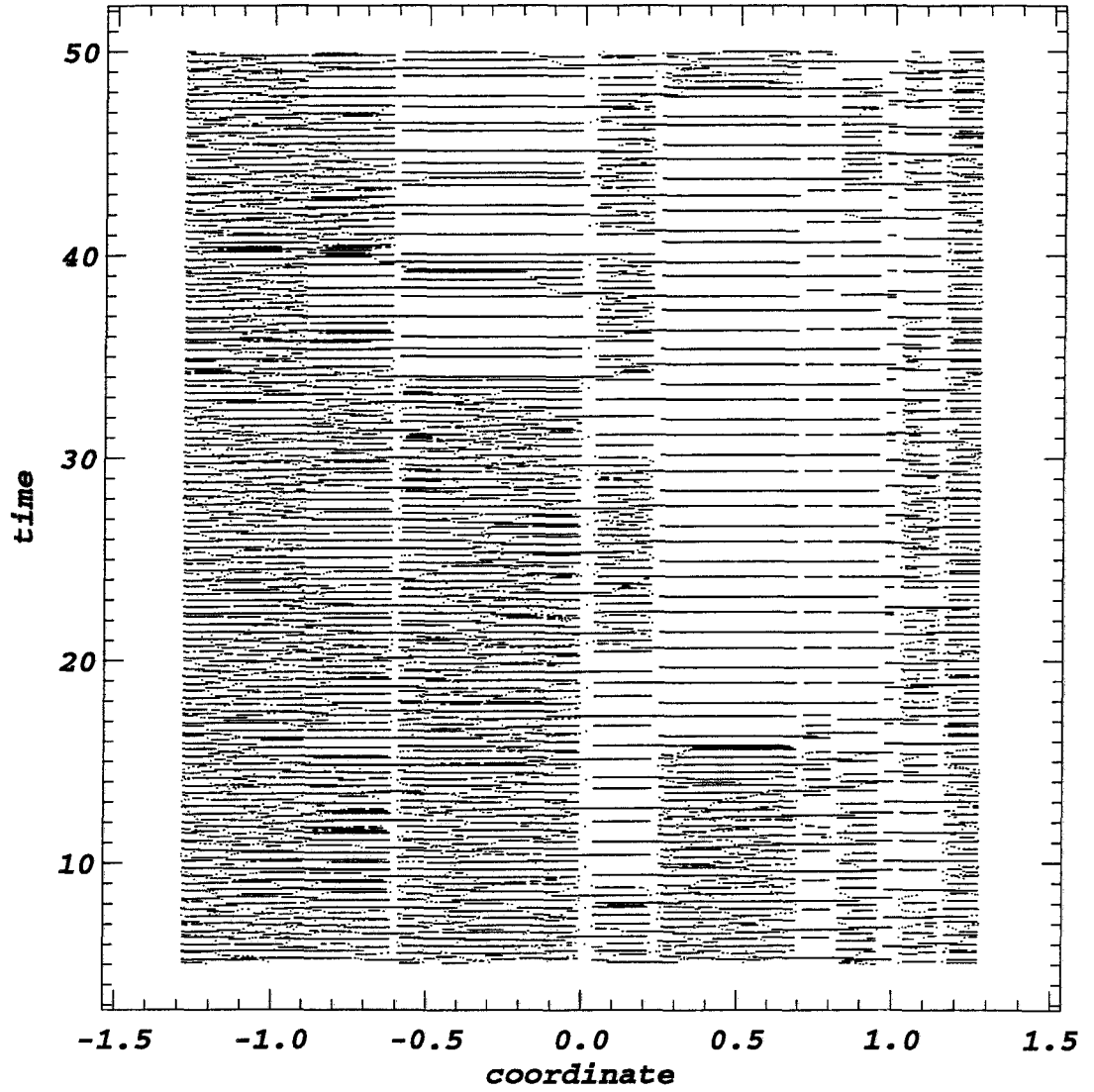
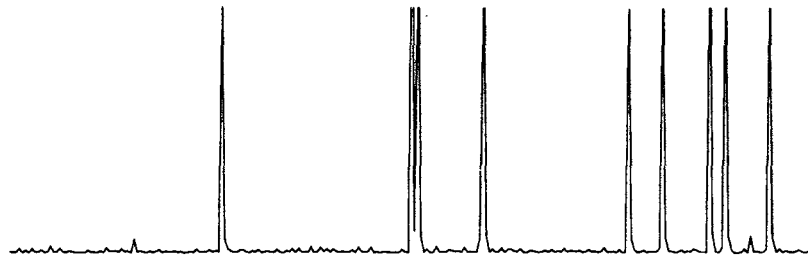
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2.2:1.00+0.12

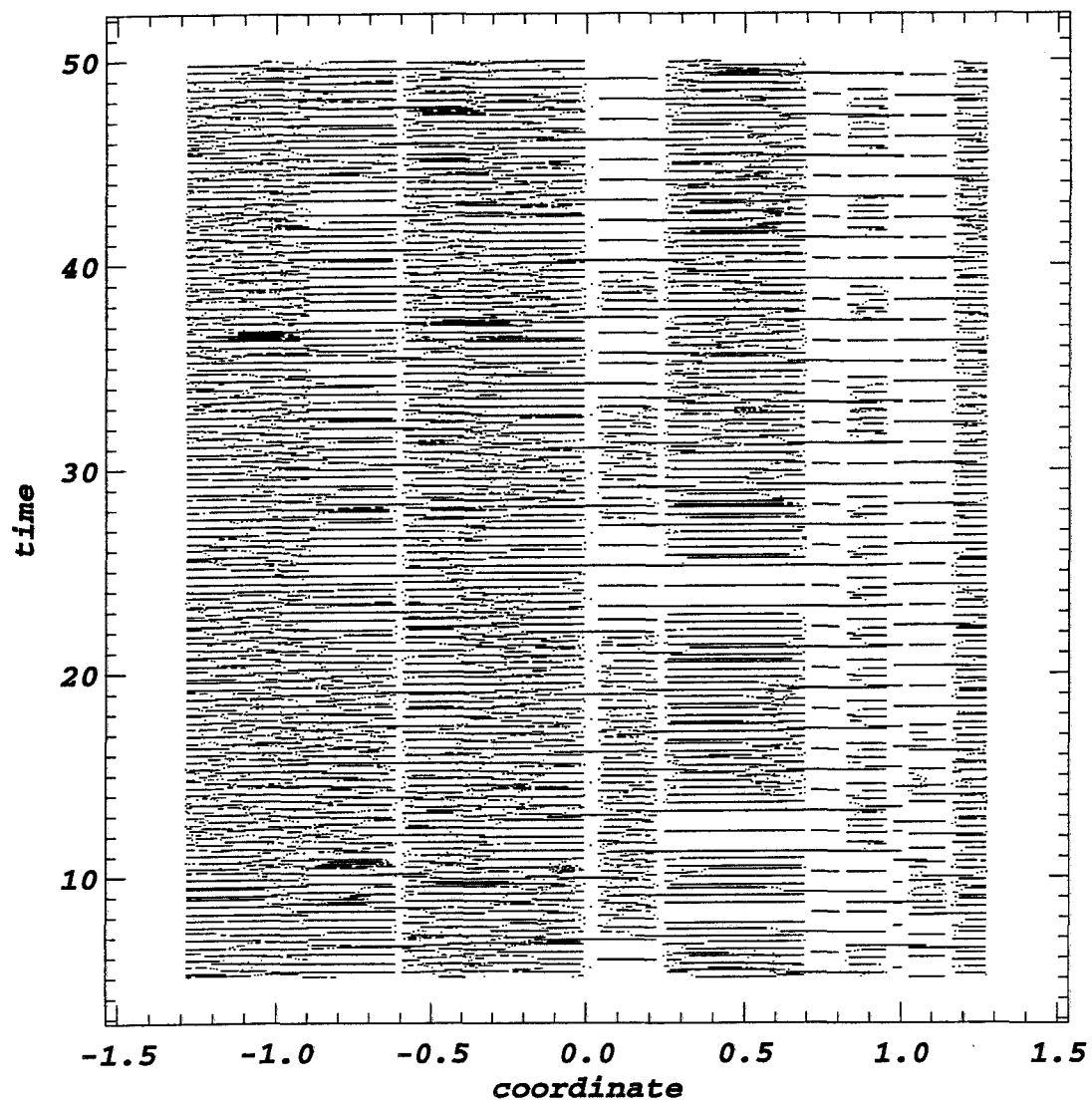
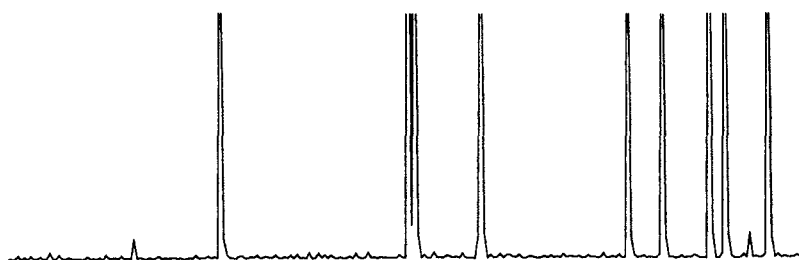


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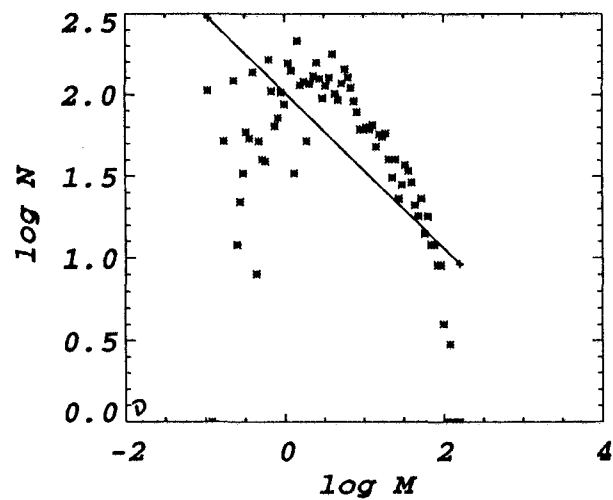
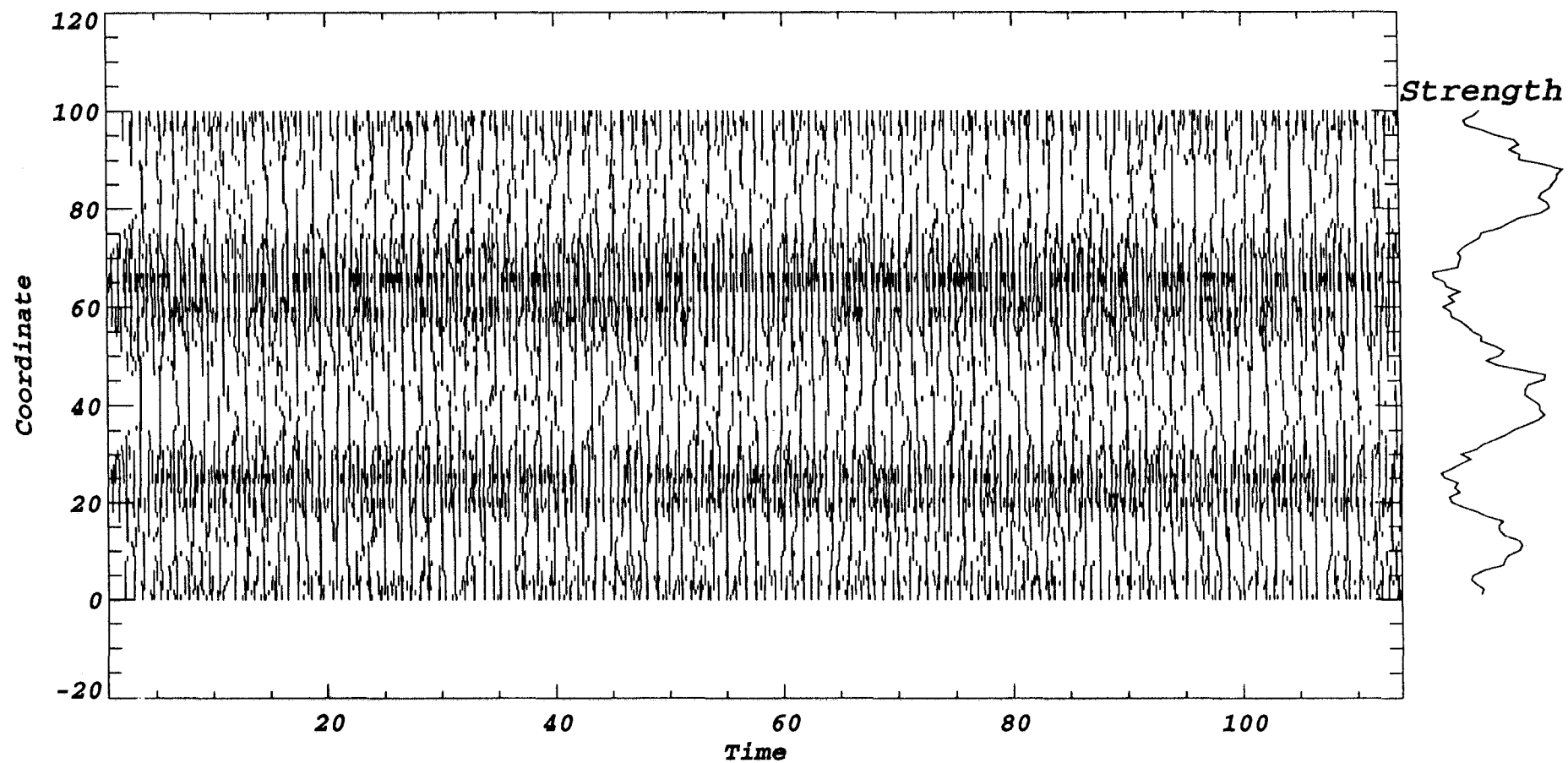
-18

9.0:1.00+0.12

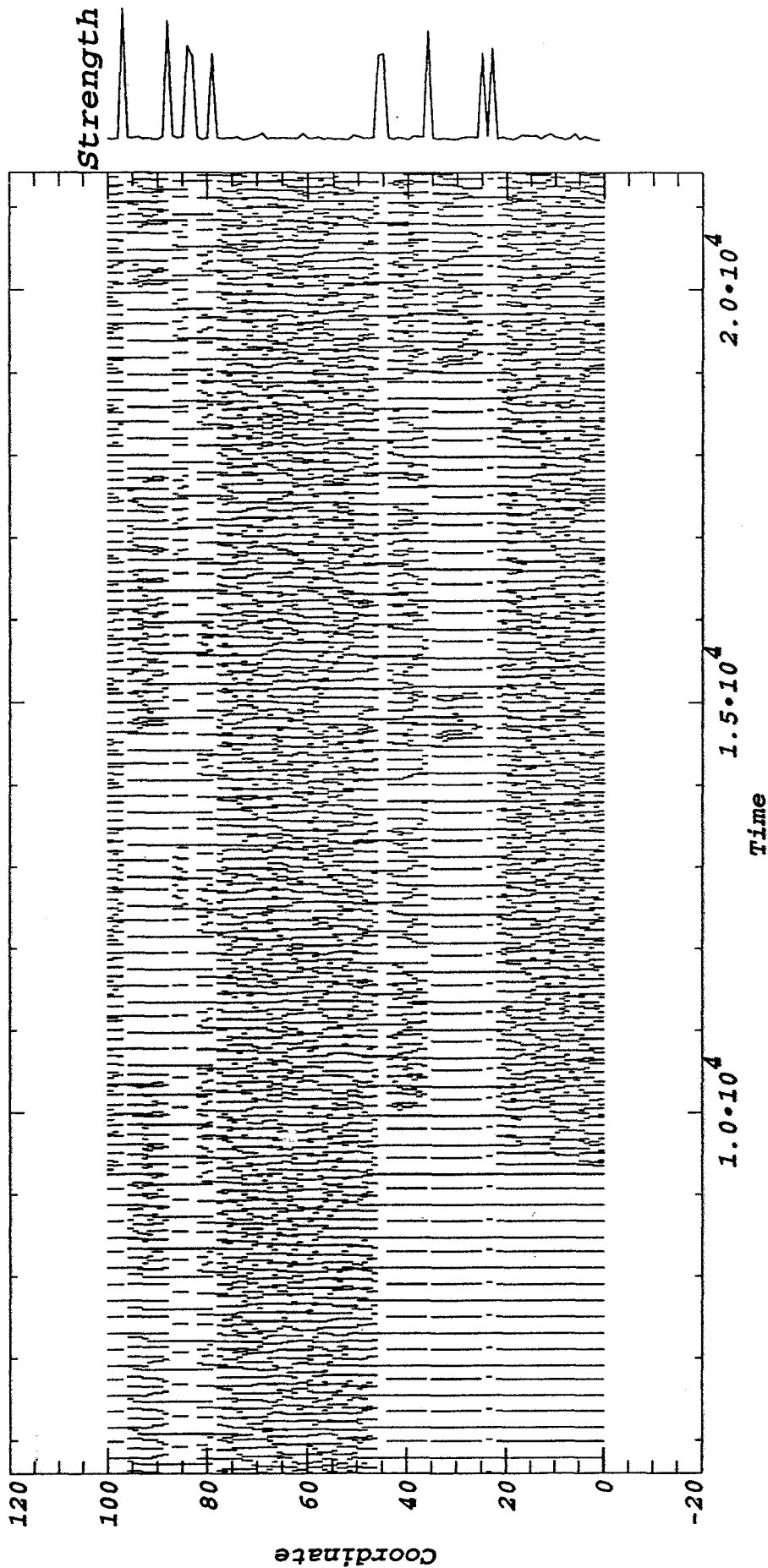


R-29

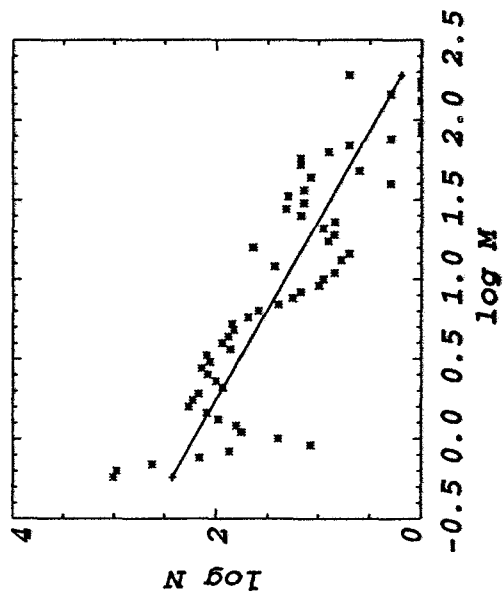


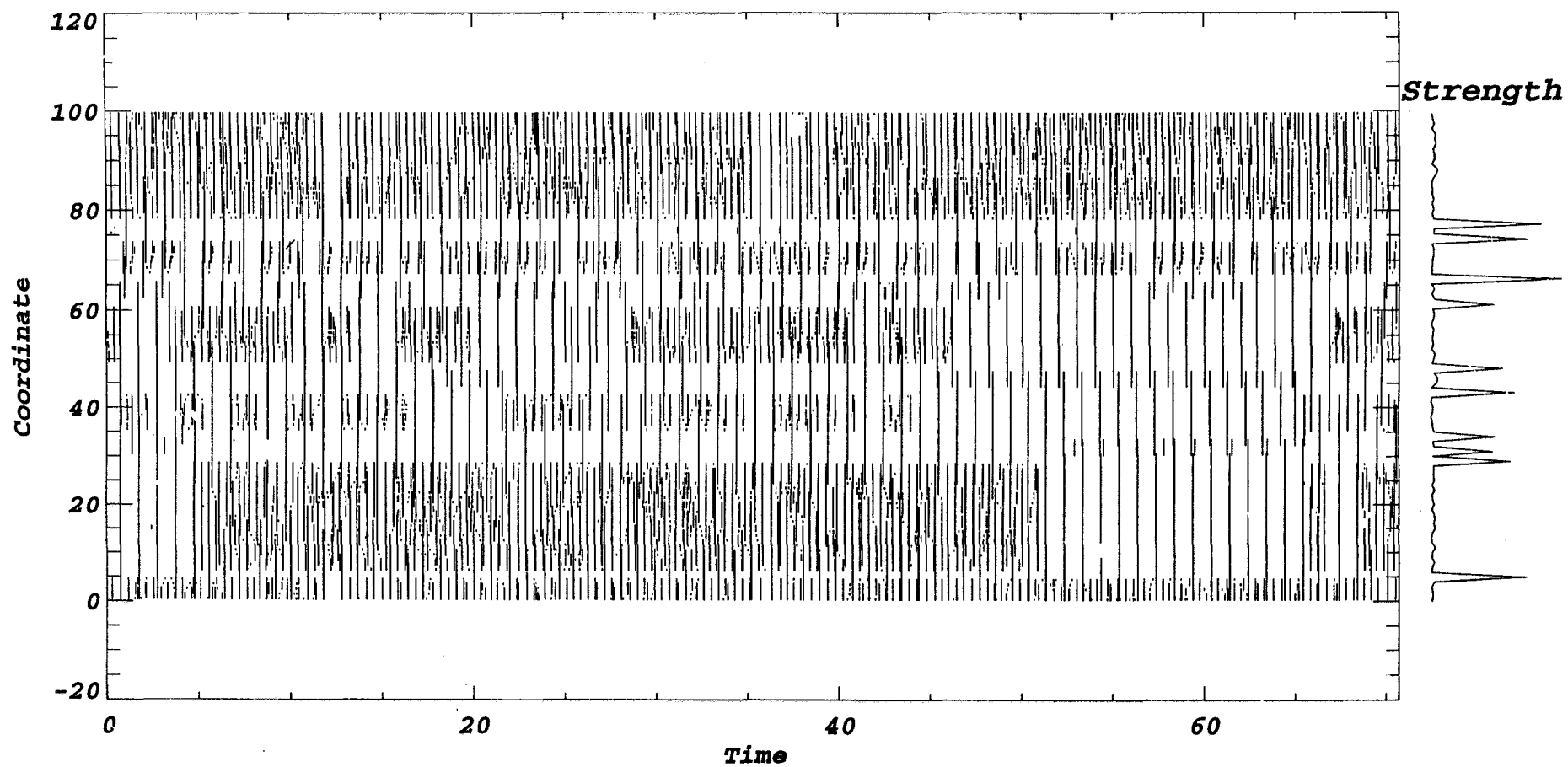


$\alpha=1.00,$ $l_{fast}=0.25$
 $Bratio = 9.0,$ $p=1.30$
 $b_{max}=4.50$ (#88), $b_{min}=0.50$ (#67)
 $b_{dyn}=0.00,$ $iseed=123457$
 5000 events, 100 masses
 $slope = -0.4780$ 1 s.d. = 0.063535

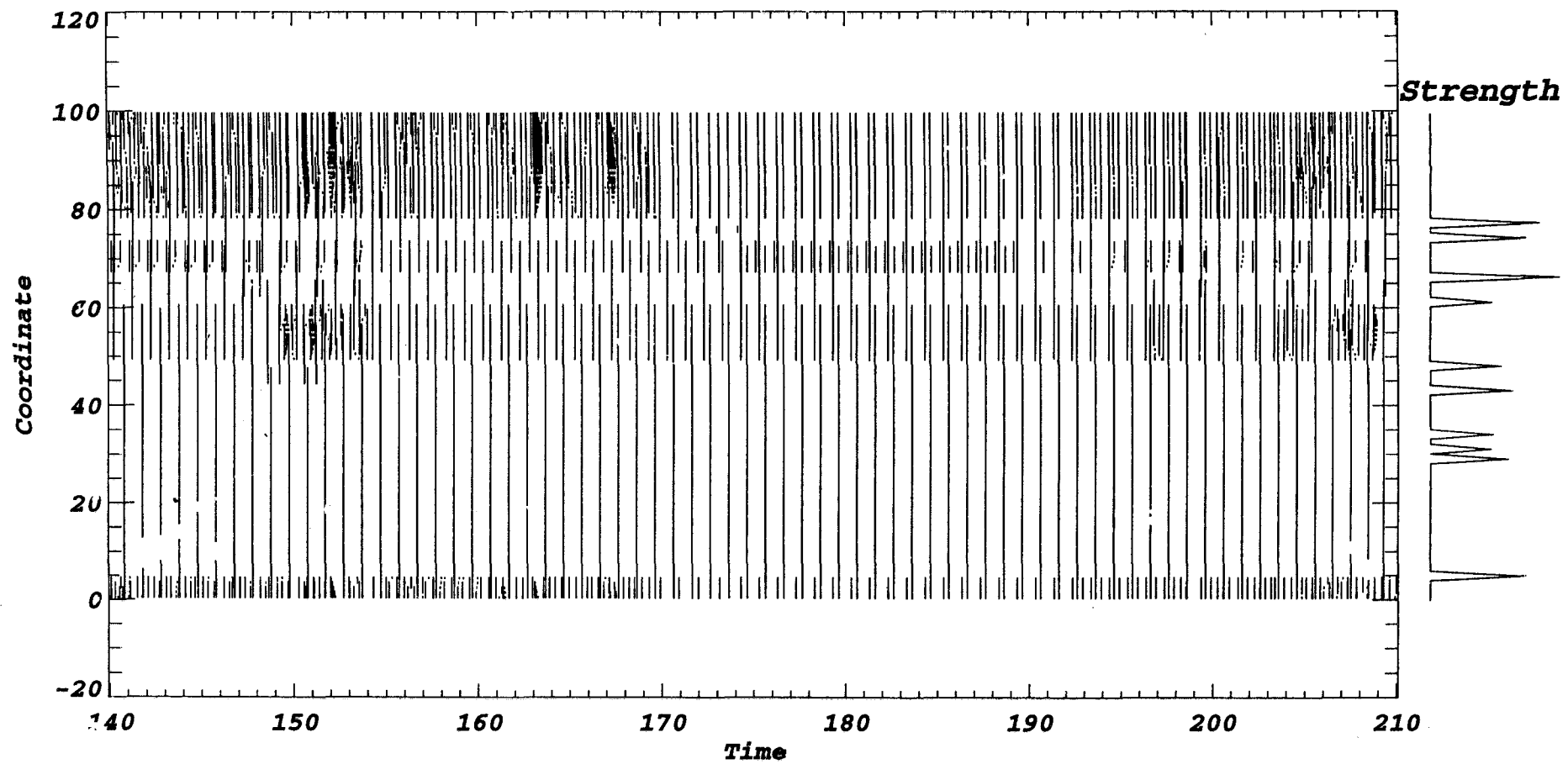


$\alpha=1.41$, $l_{fast}=0.50$
 $Bratio = 5.0$, $p=1.20$
 $bmax=5.00$ (#97), $bmin=1.00$ (# 5)
 $bdyn=0.00$, $iseed=712345$
 6000 events, 100 masses
 $slope = -0.8869$ 1 s.d. = 0.067799



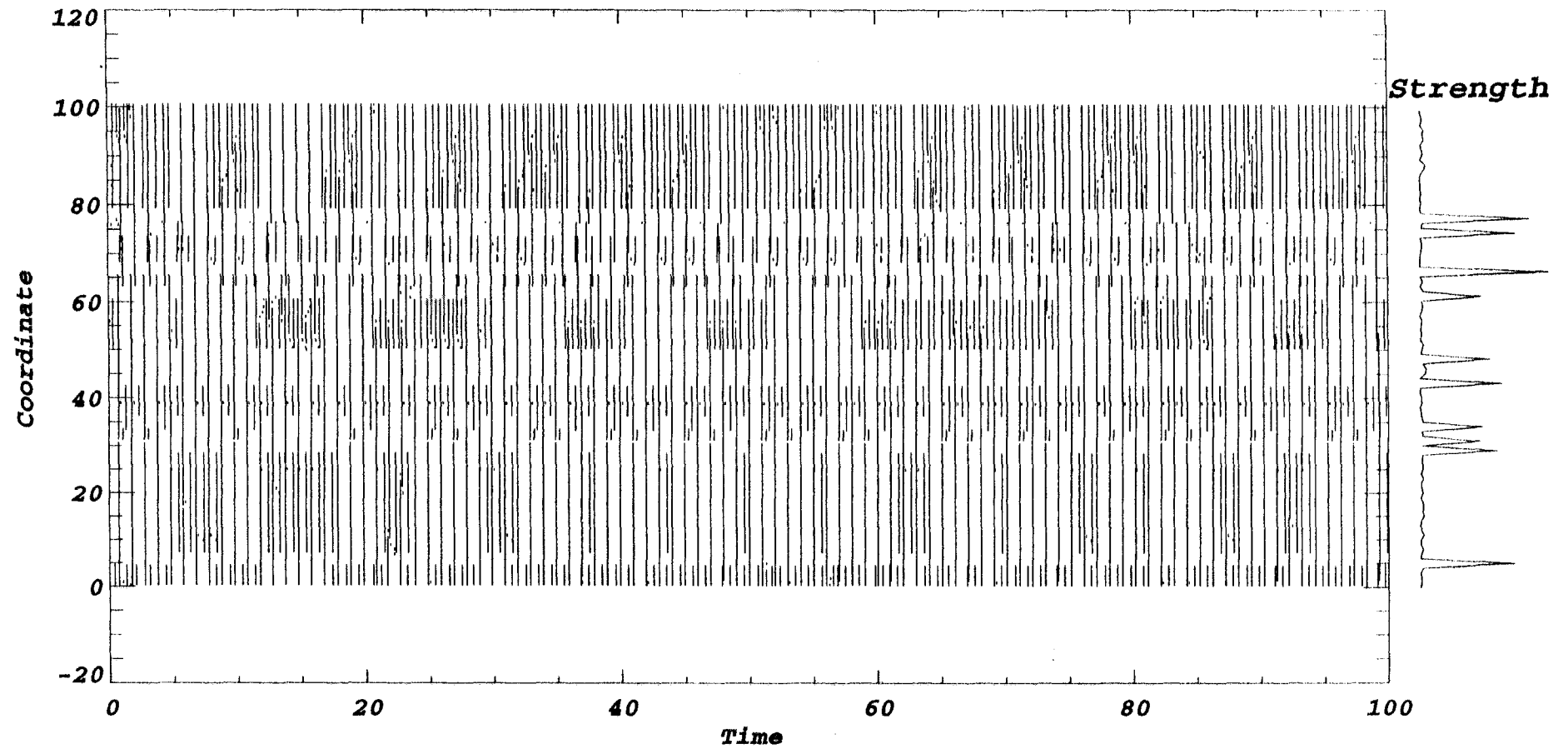


$$\alpha = 0.75$$

 $\alpha = 0.6$

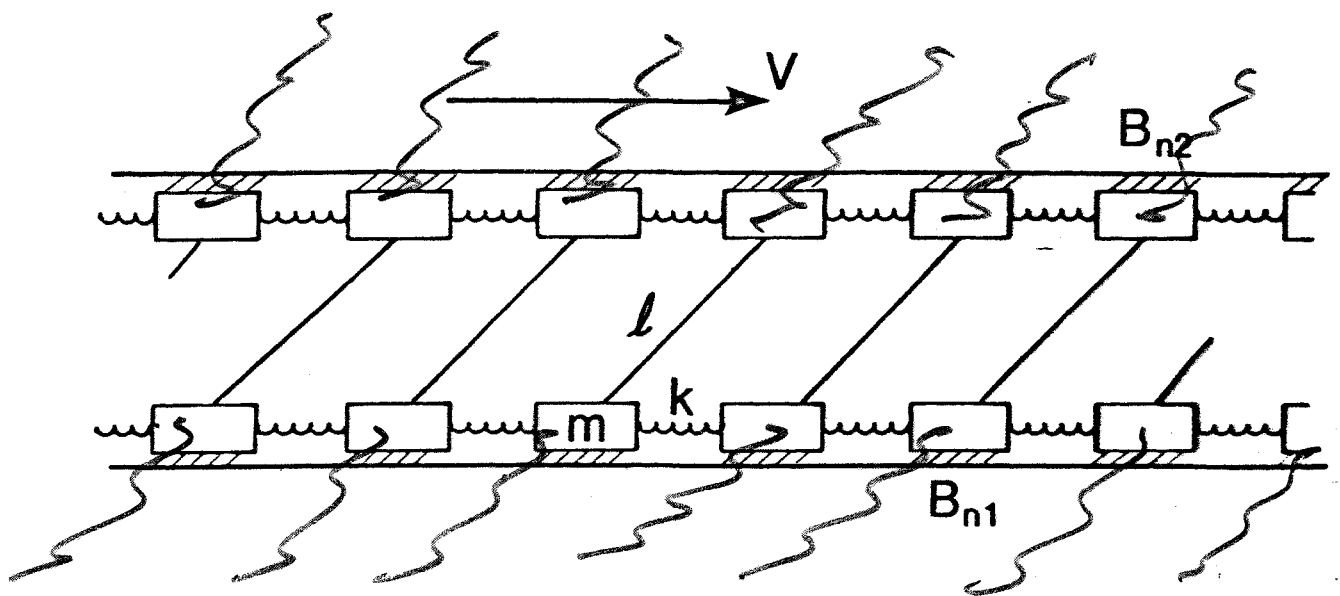
18-E

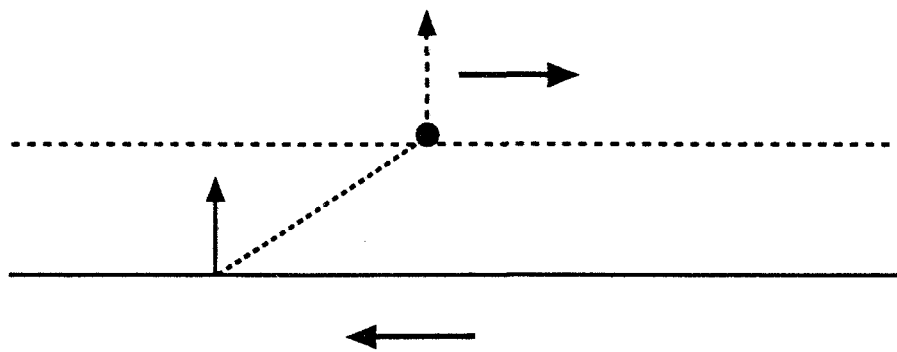
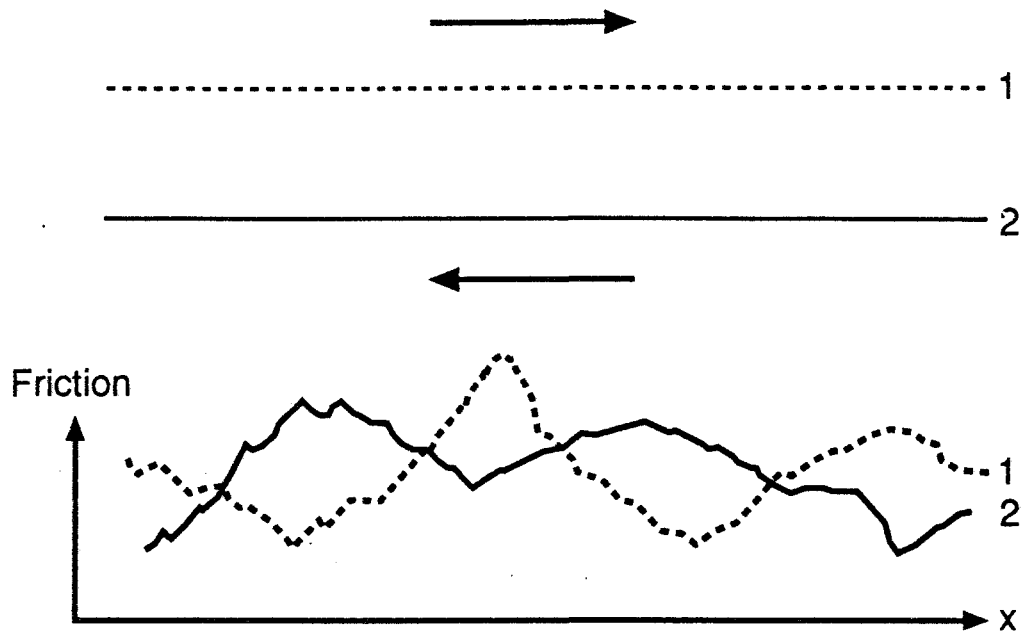
8.5.

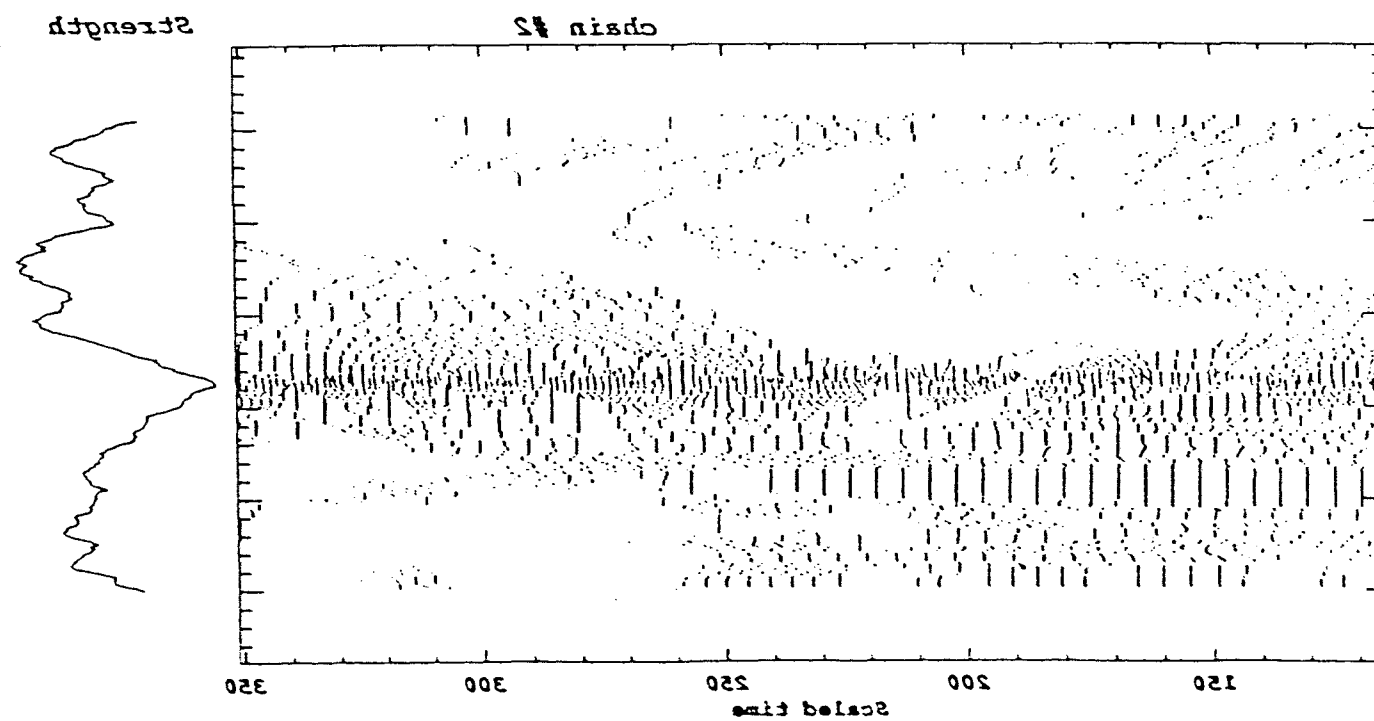
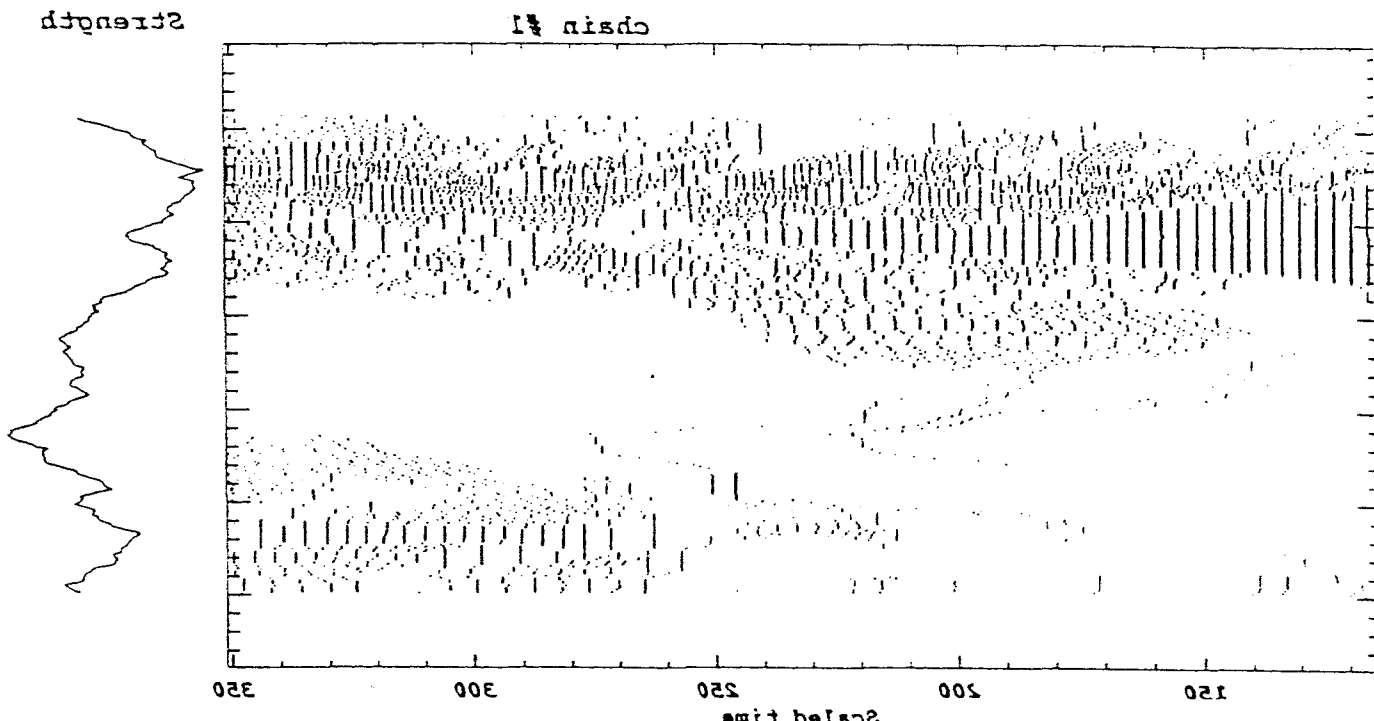
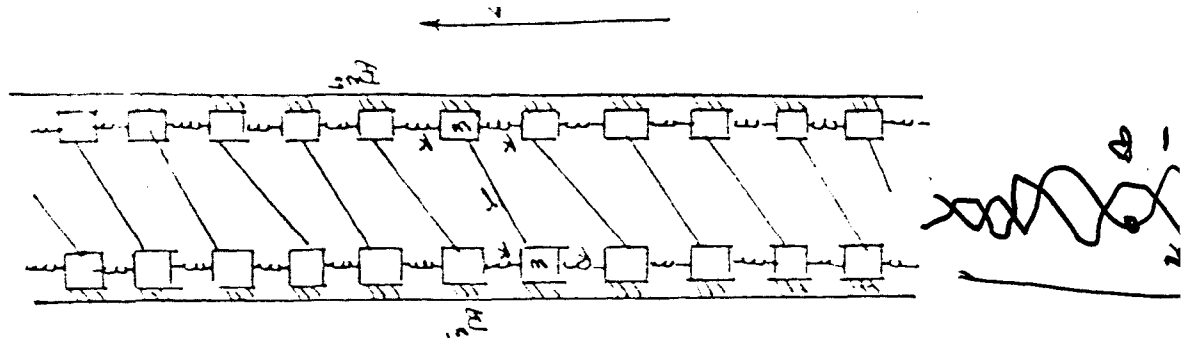


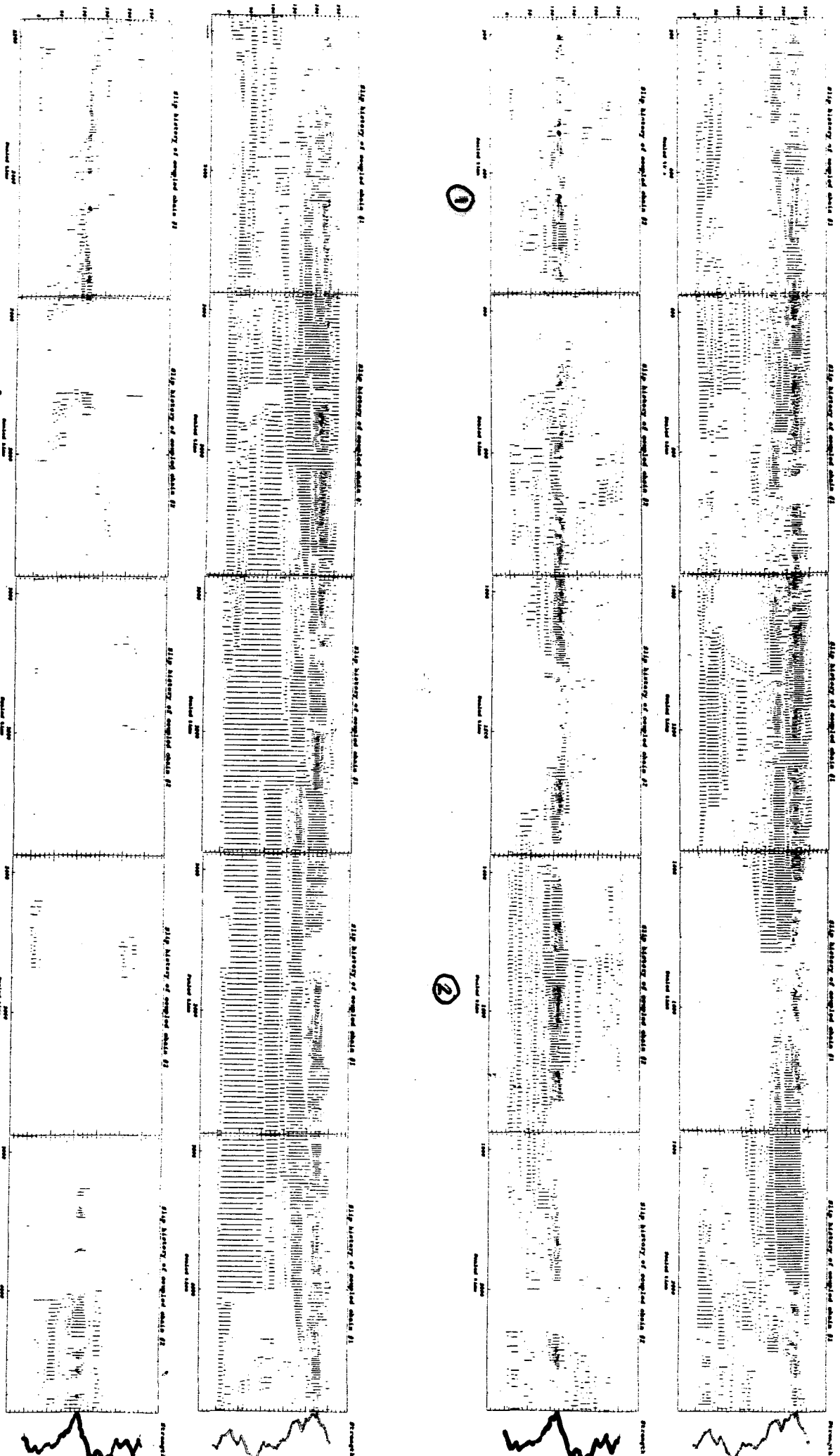
8.5.

**IF ONE FAULT WAS FUN, HOW ABOUT
TWO?**



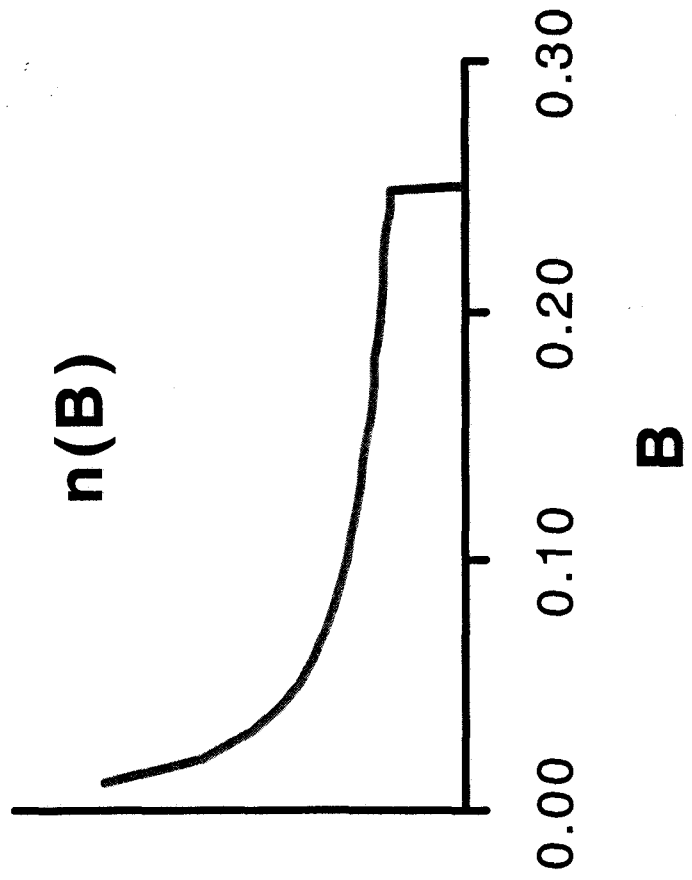




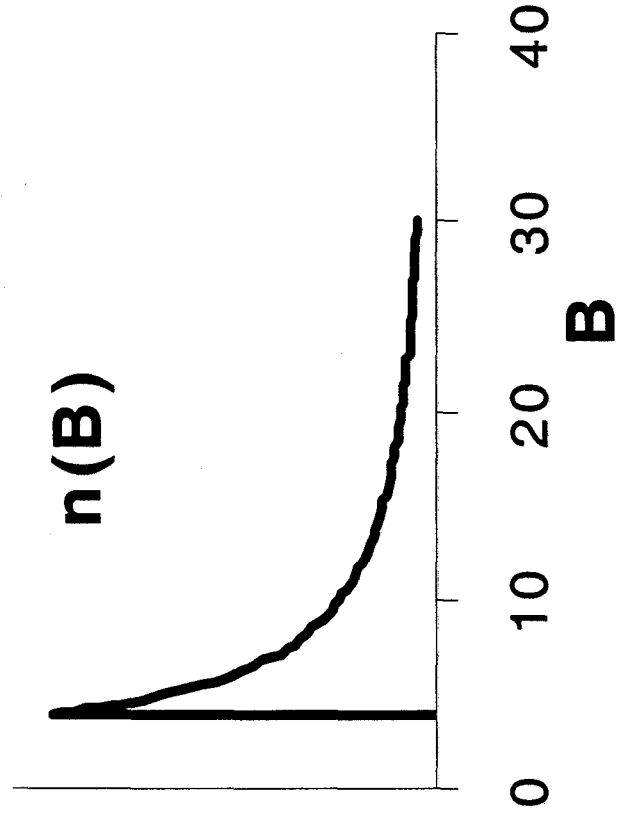


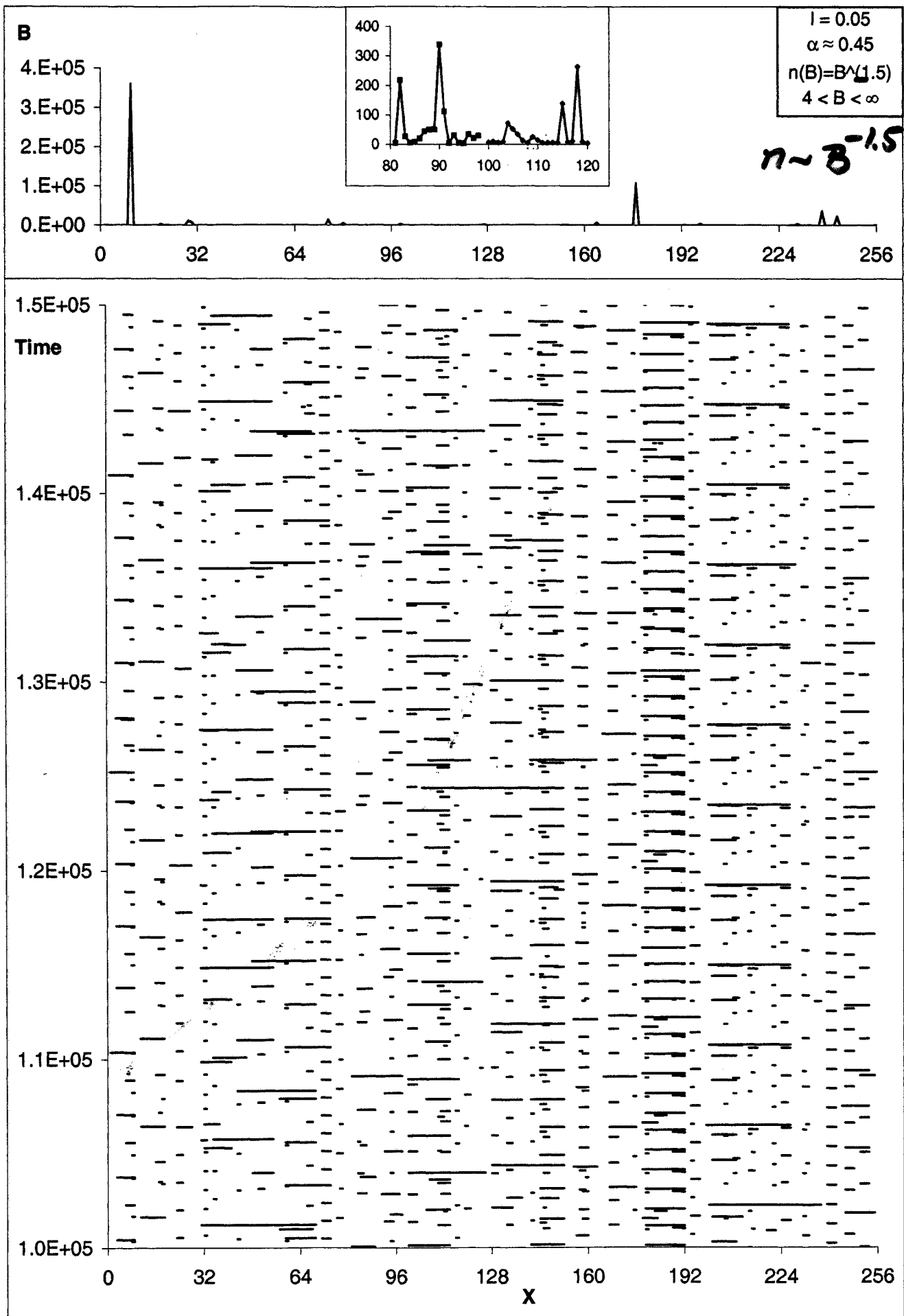
Distributions of the Breaking Strength

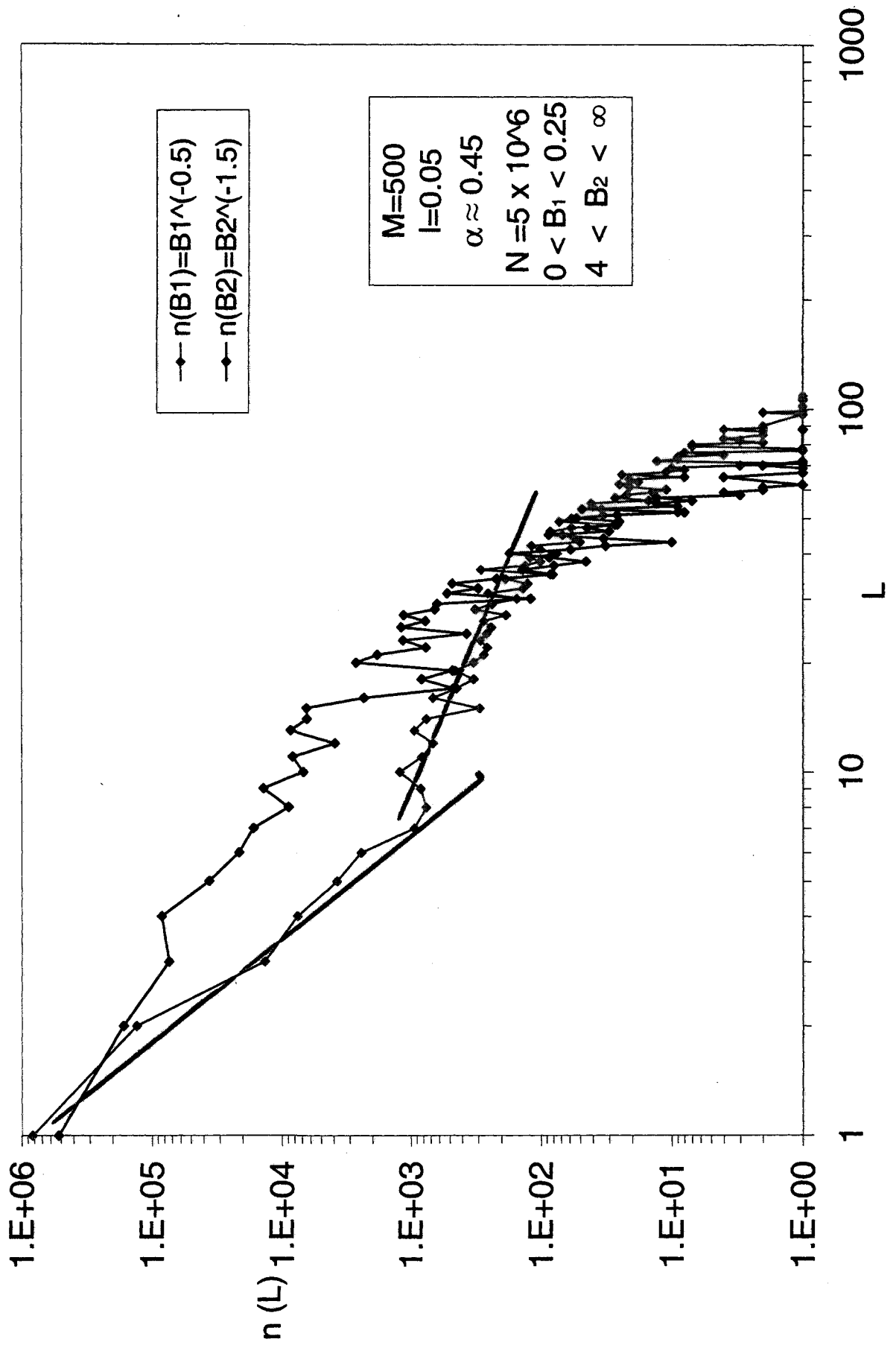
$$n(B) \sim B^{-0.5}$$



$$n(B) \sim B^{-1.5}$$







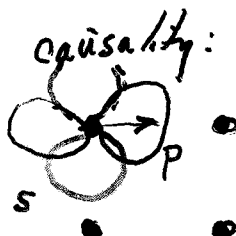
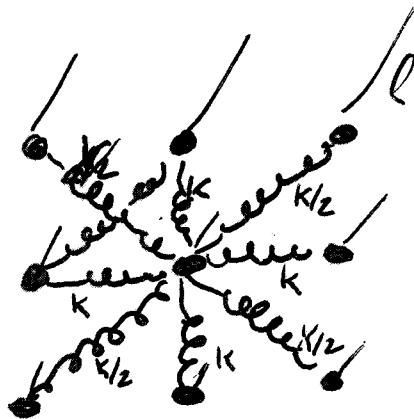
The question of self-healing pulses

2-D models: In-plane slip

$$\rho \ddot{\vec{u}} = (1+2\mu) \nabla \nabla \cdot \vec{u} - \mu \nabla \times \nabla \times \vec{u} - \ell(\vec{u} - V_0 t \hat{e}_x) \\ - \alpha \vec{u} + \vec{T} - f_{\text{fric}} \frac{\vec{u}}{|\vec{u}|} \\ \alpha = 2\sqrt{\ell\rho}$$

$$\rho \ddot{u} = (1+2\mu) \frac{\partial^2 u}{\partial x^2} + \mu \frac{\partial^2 u}{\partial y^2} + (1+\mu) \frac{\partial^2 v}{\partial x \partial y} - \ell(u - V_0 t) \\ - \alpha \frac{\partial u}{\partial t} + T_x - f \frac{\dot{u}}{\sqrt{\dot{u}^2 + \dot{v}^2}}$$

$$\rho \ddot{v} = (1+2\mu) \frac{\partial^2 v}{\partial y^2} + \mu \frac{\partial^2 v}{\partial x^2} + (1+\mu) \frac{\partial^2 u}{\partial x \partial y} - \ell v \\ - \alpha \frac{\partial v}{\partial t} + T_y - f \frac{\dot{v}}{\sqrt{\dot{u}^2 + \dot{v}^2}}$$

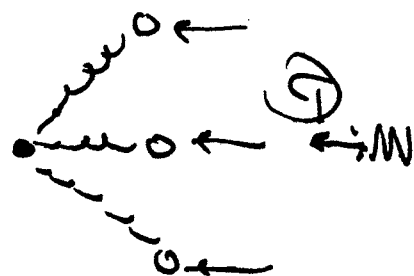
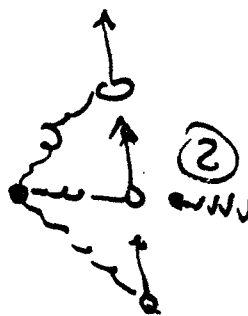
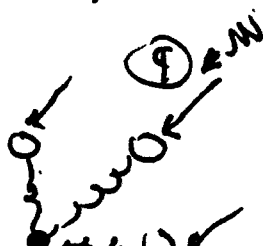
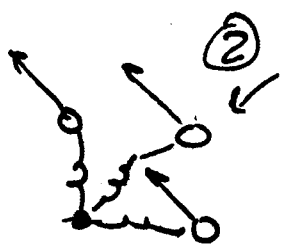
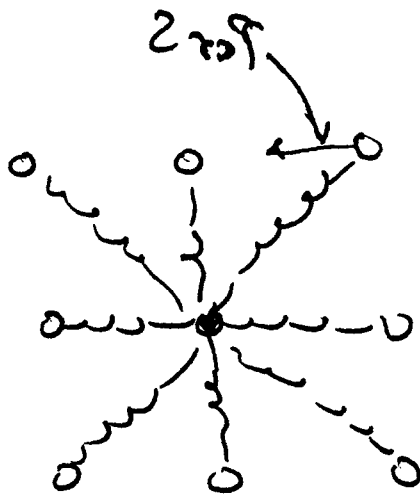


1820
Cauchy
Poisson

P. rate = $\frac{1}{4}$
12m

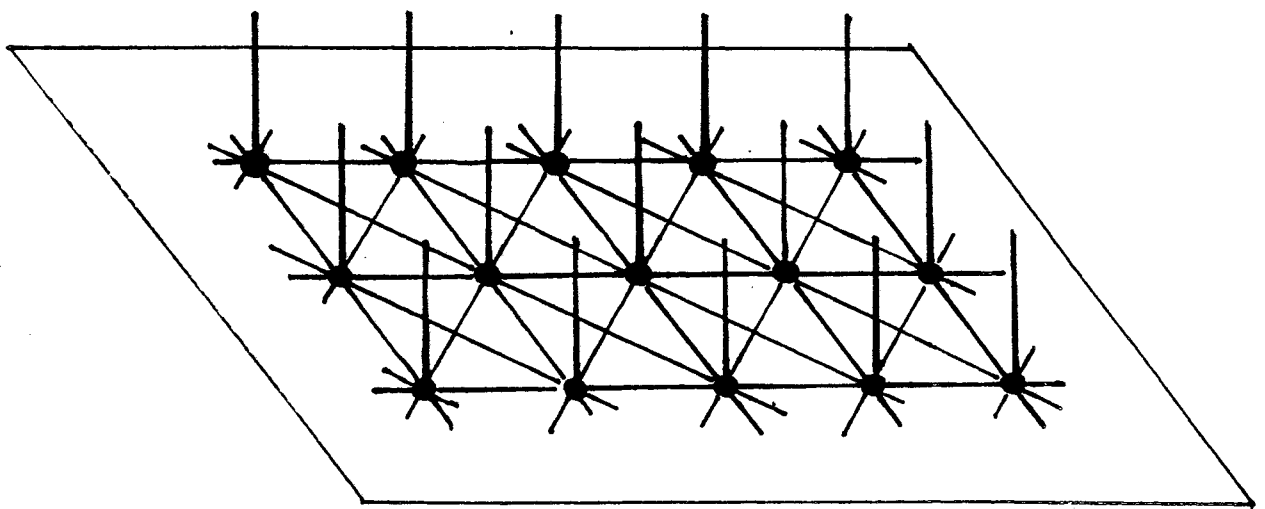
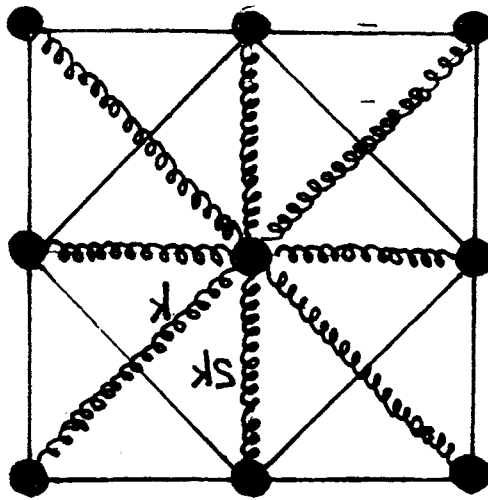
$$p = \left(\frac{1}{\sqrt{1-v^2}} - 1 \right) m c^2$$

is it a
in the
case

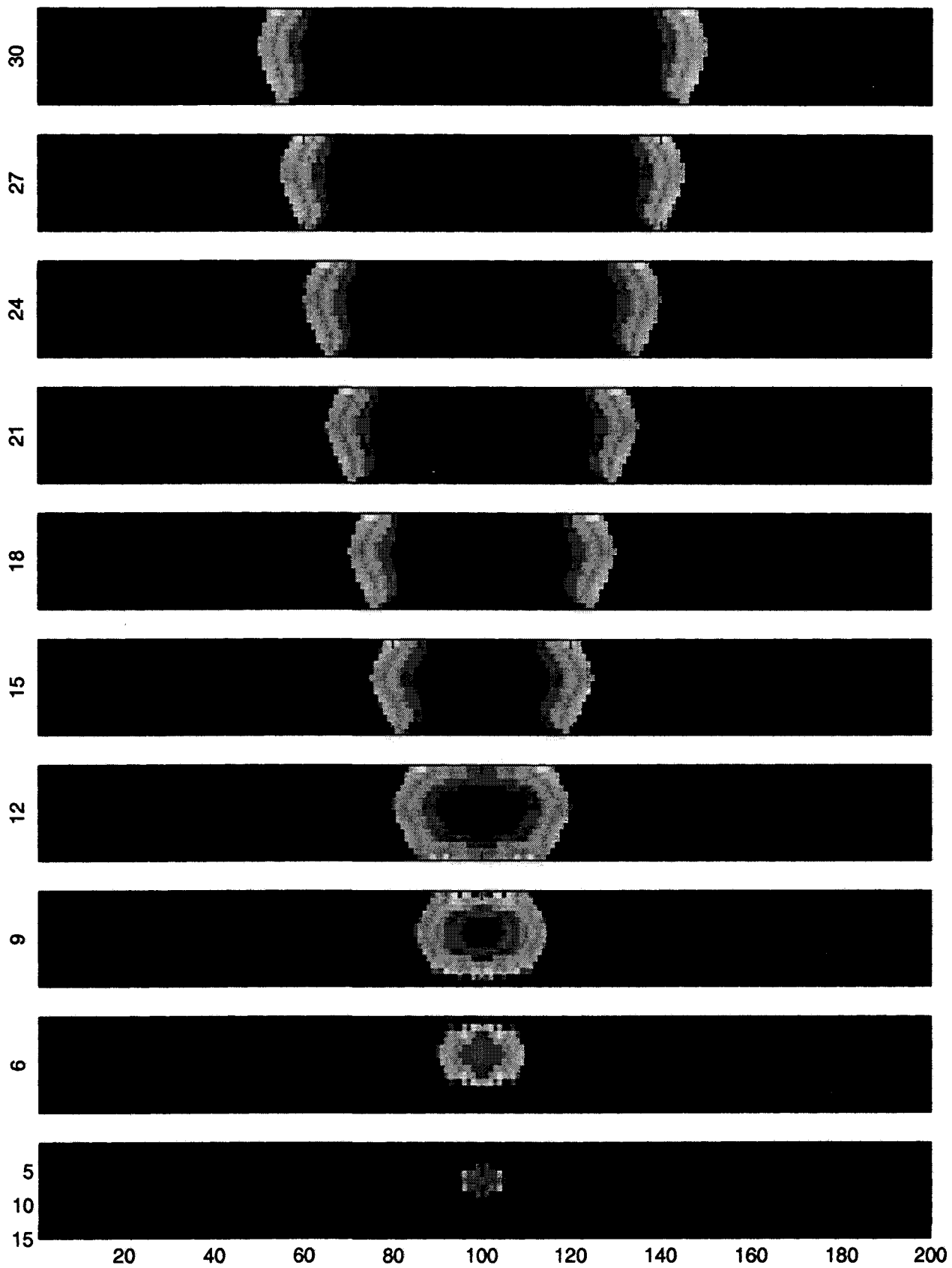


is it a
in the
case

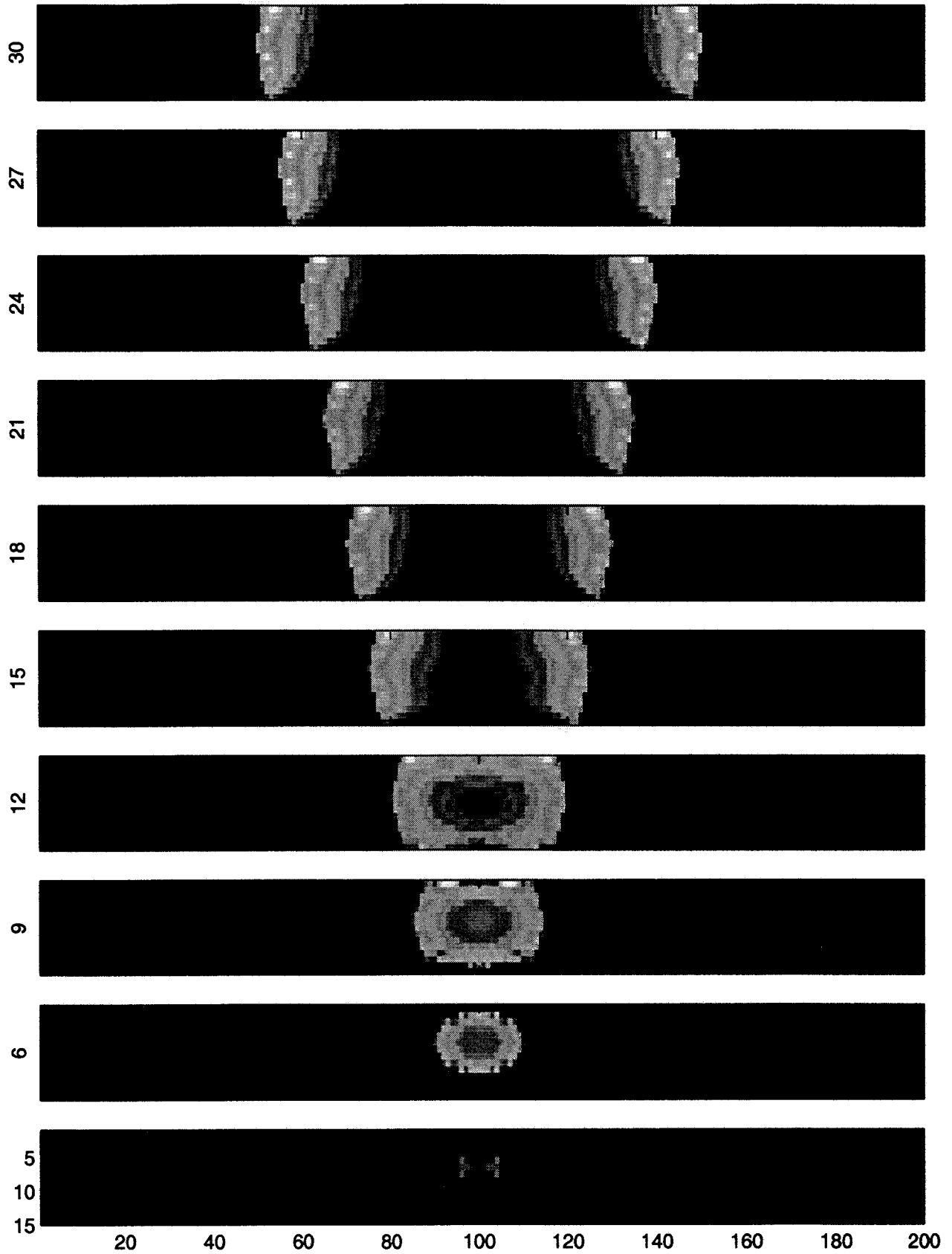
$$p = \left(\frac{1}{\sqrt{1-v^2}} - 1 \right) m c^2$$



$B=3.0, f=2.9, f_{100,7}=3.0$
 $l=0.2$

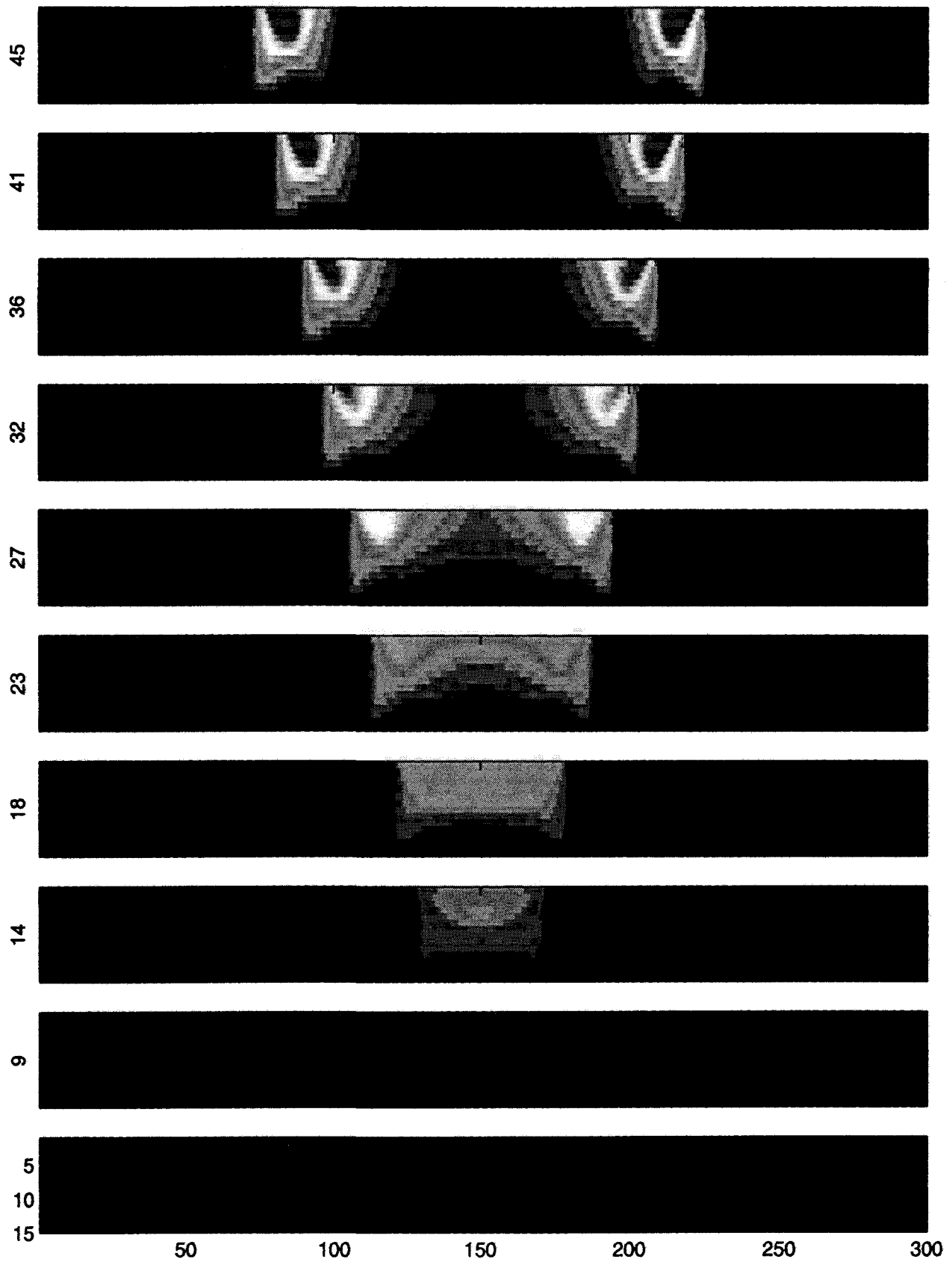


$B=3.0, f=2.9, f_{100,7}=3.0$
 $l=0.1$

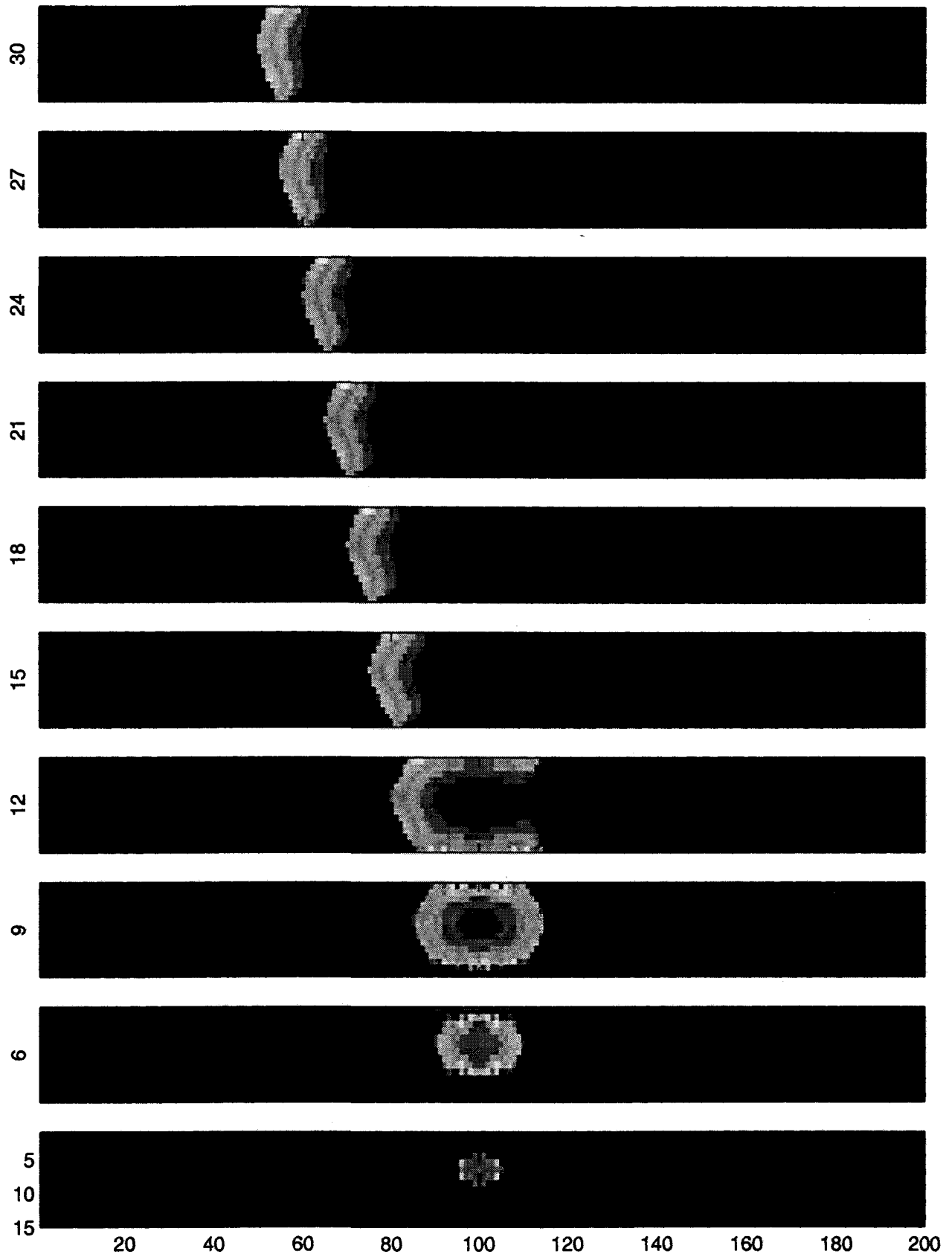


$$B=3.0, f=2.9, f_{150,7}=3.0$$

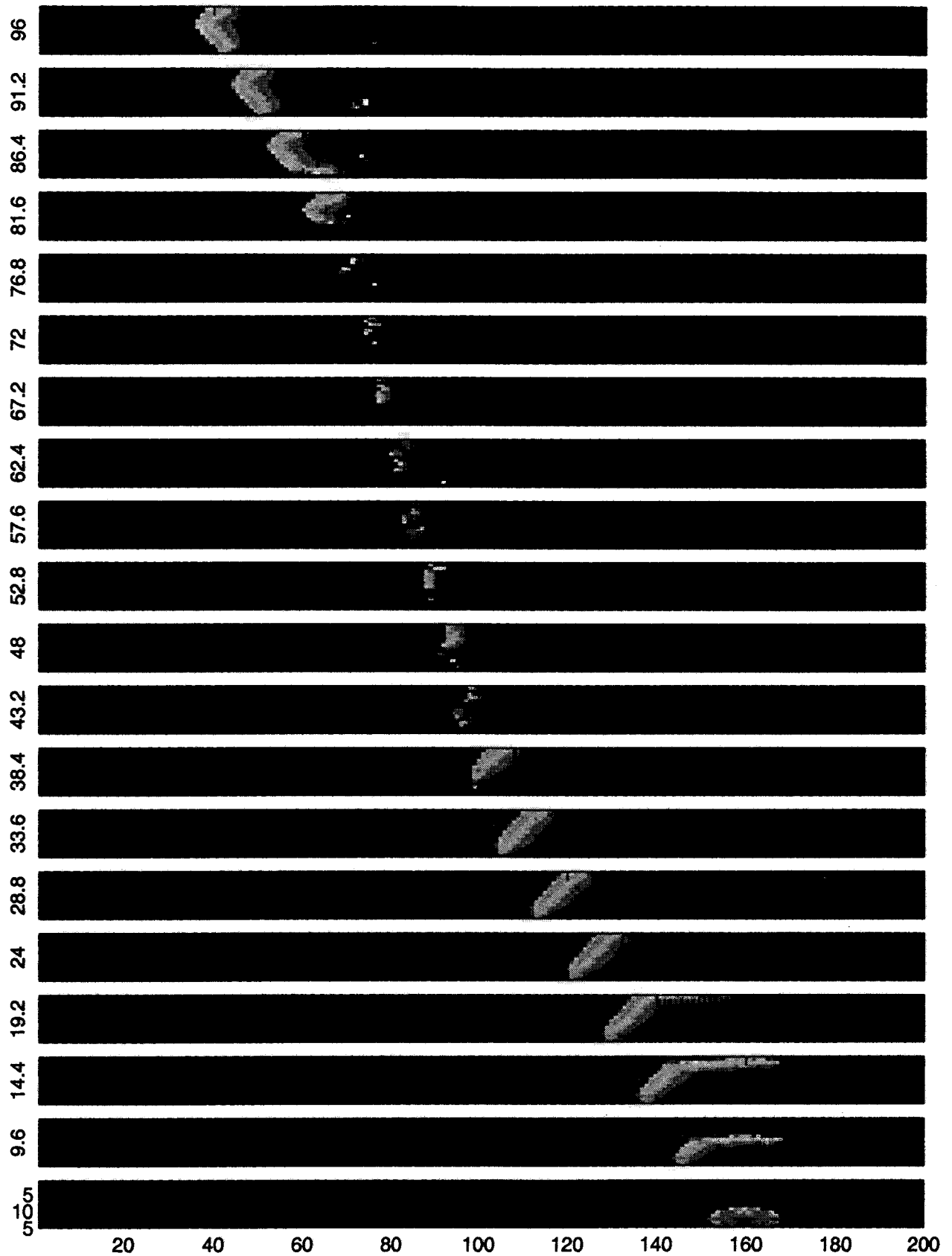
$$l=10^{-5}$$

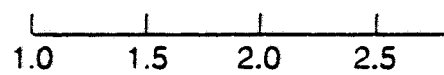
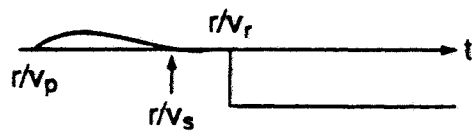
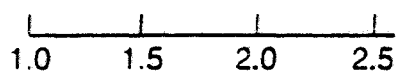
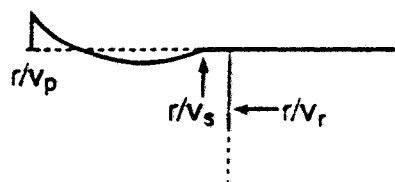
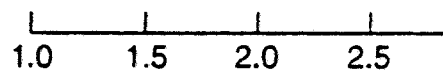
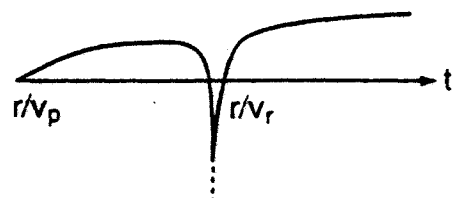
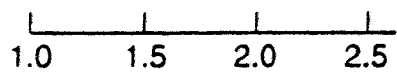
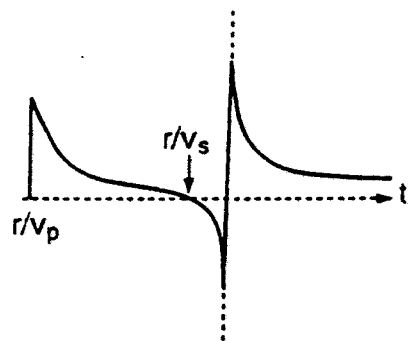


$B=3.0, f=2.9, f_{100,7}=3.0$
 $l=0.2$, wall at $x=115$



$B=3.0, f=2.9, f_{160,13}=3.0$
 $l=0.2$, wall at $x=170$
 slowzone ($70 < x < 100$) $B=\text{ran}(4.0 < \text{ran} < 7.0)$





a)

b)

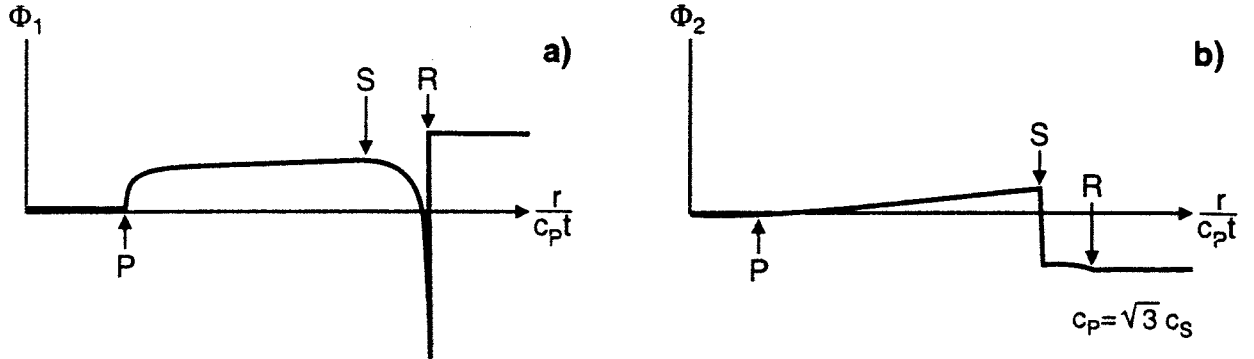


Figure 3. Half-space Green's function components for a linear crack.
a) Radial slip along the prolongation of the crack. b) Transverse slip on the normal to the crack.
Both functions to be multiplied by $1/r$.

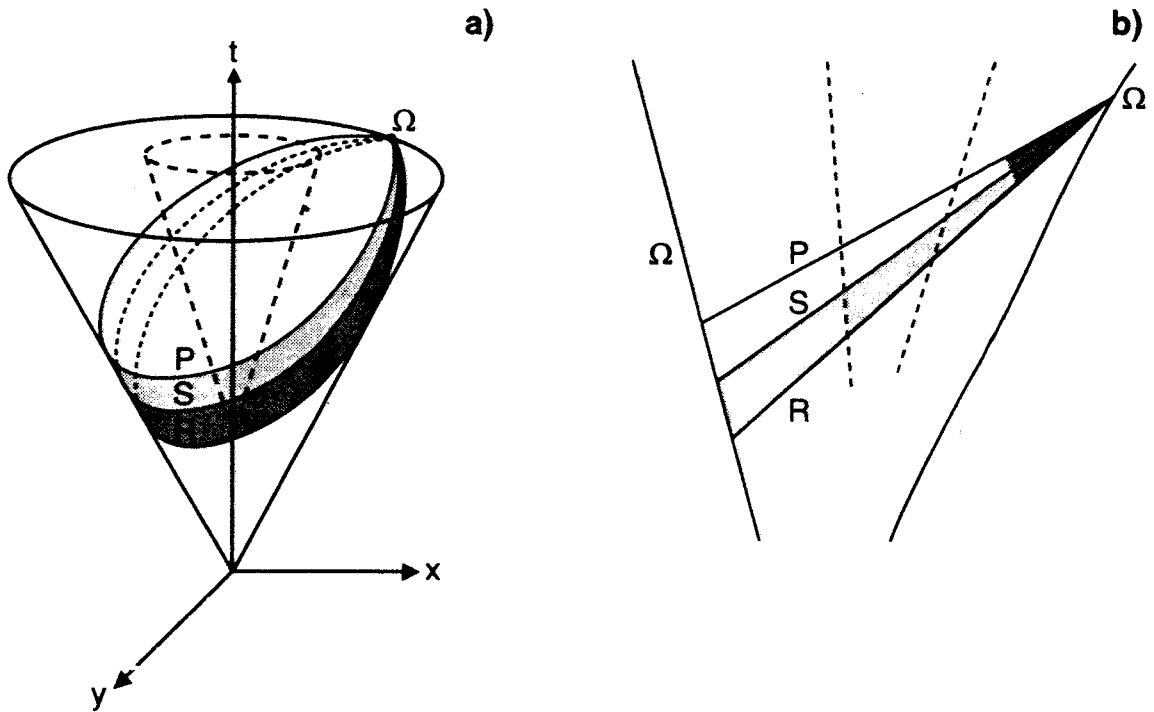


Figure 4. a) Intersection of surface Ω with three backward cones with P- S- and R-wave slopes.
b) Plane cross-section of a) showing region of integration (shaded): integrate over zone between P and R cones close to edge and between S and R cones at greater distance.

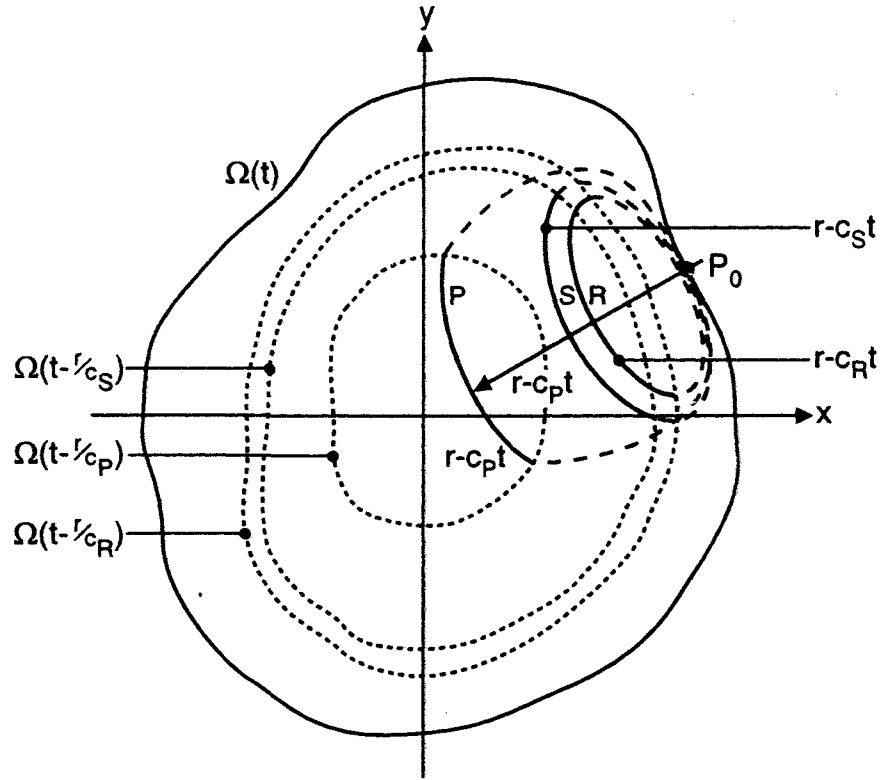
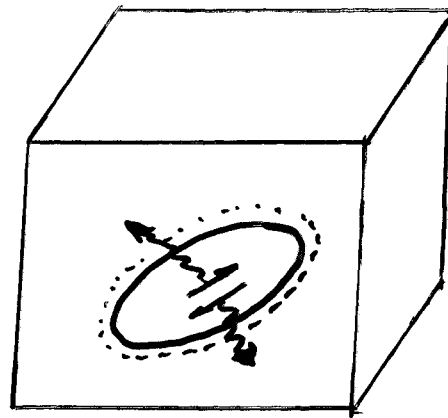
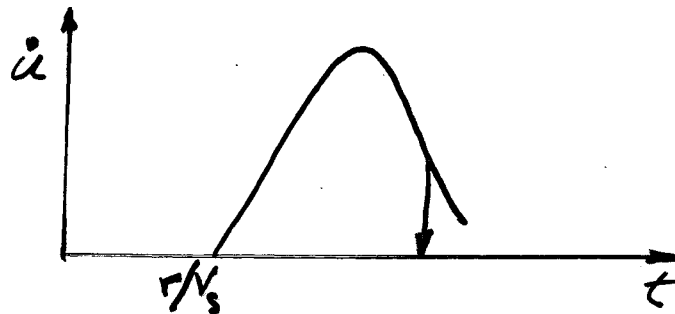
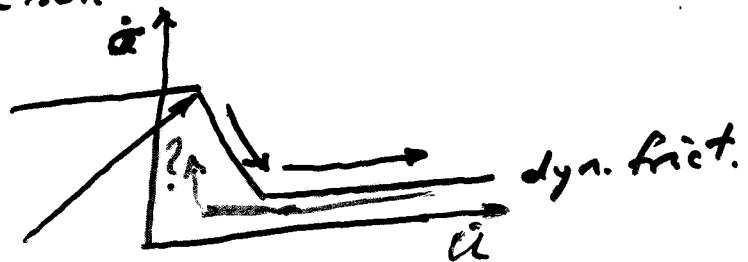


Figure 5. Growth of a 2-D crack with sub-R wave speed at its edge. All points outside a circular arc P of radius $c_P t$ centered at P_0 transmit no slip motions to P_0 . No points outside arcs S, R of radius $c_S t$, $c_R t$ transmit slip motions earlier than the arrival of the S-R wave. The three cones intersect the edge at times indicated by (dots) curves. The path of these intersections is given by the larger dashes. Integration takes place in the region interior to the closed curve P.

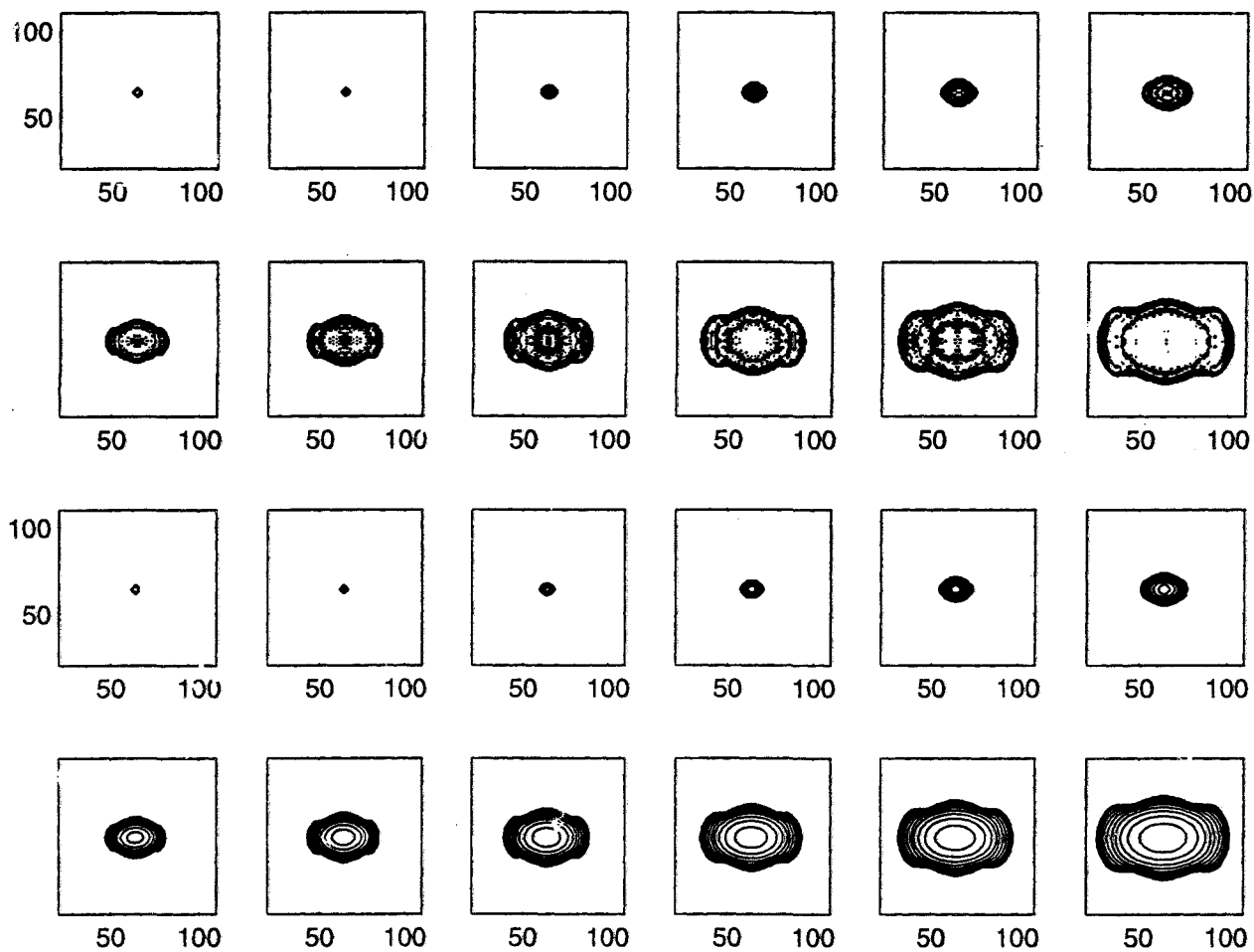
SELF-HEALING PULSES



Internal friction

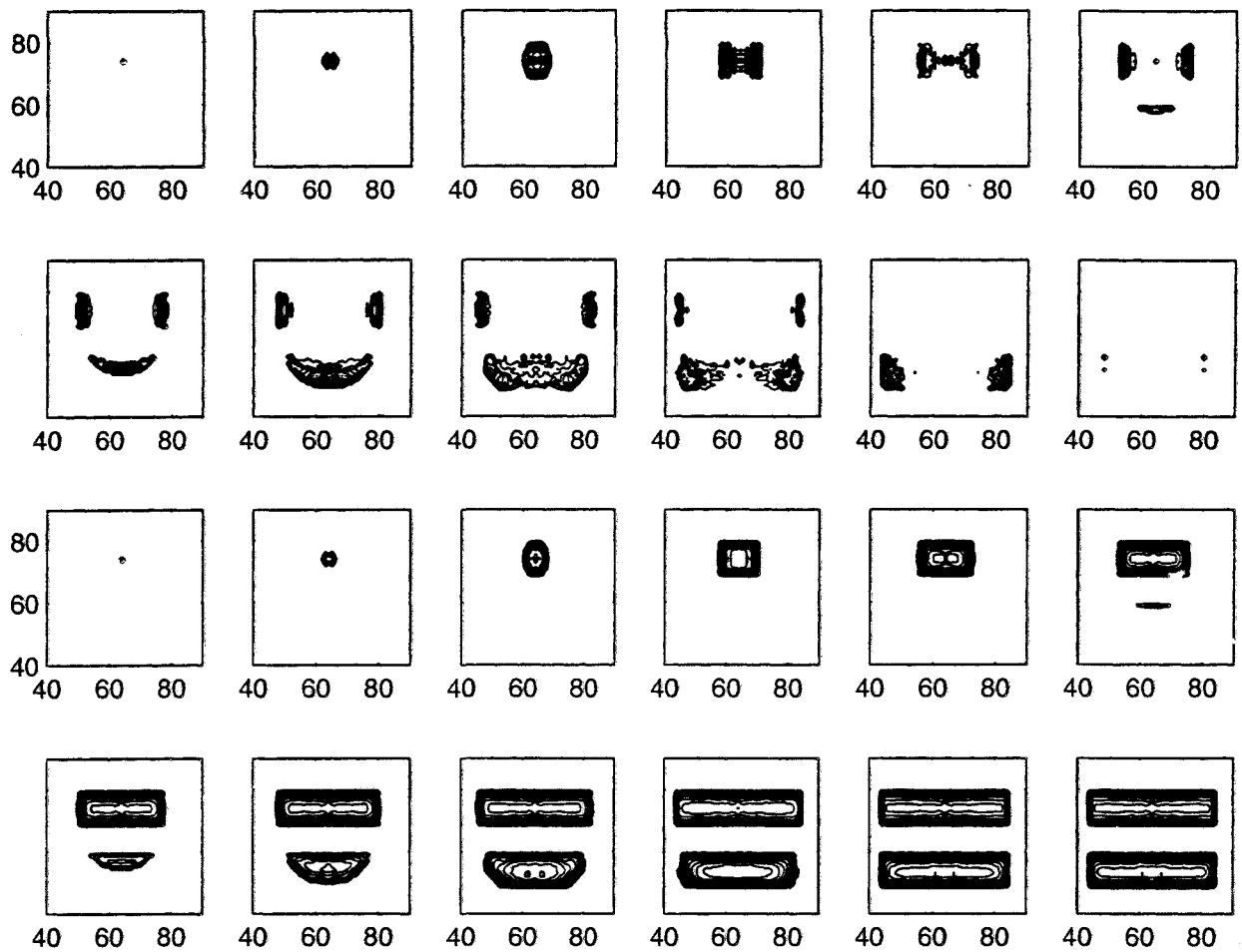


Insufficient Nucleation

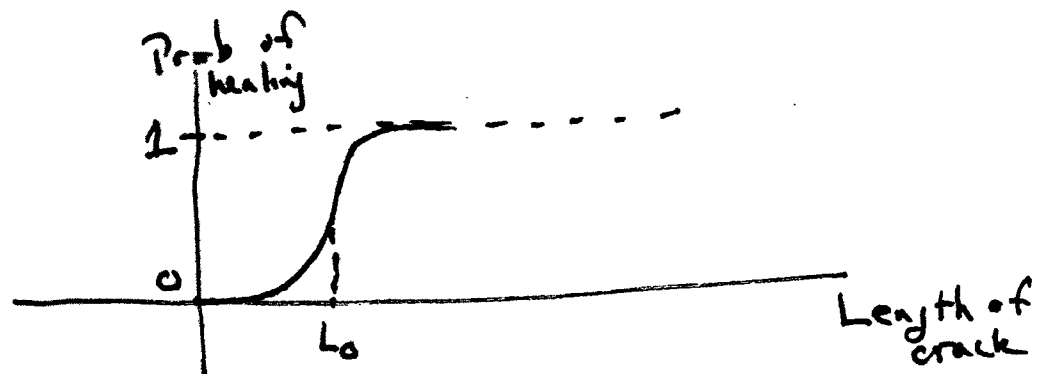
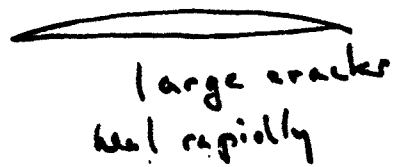
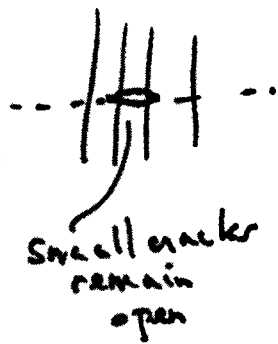
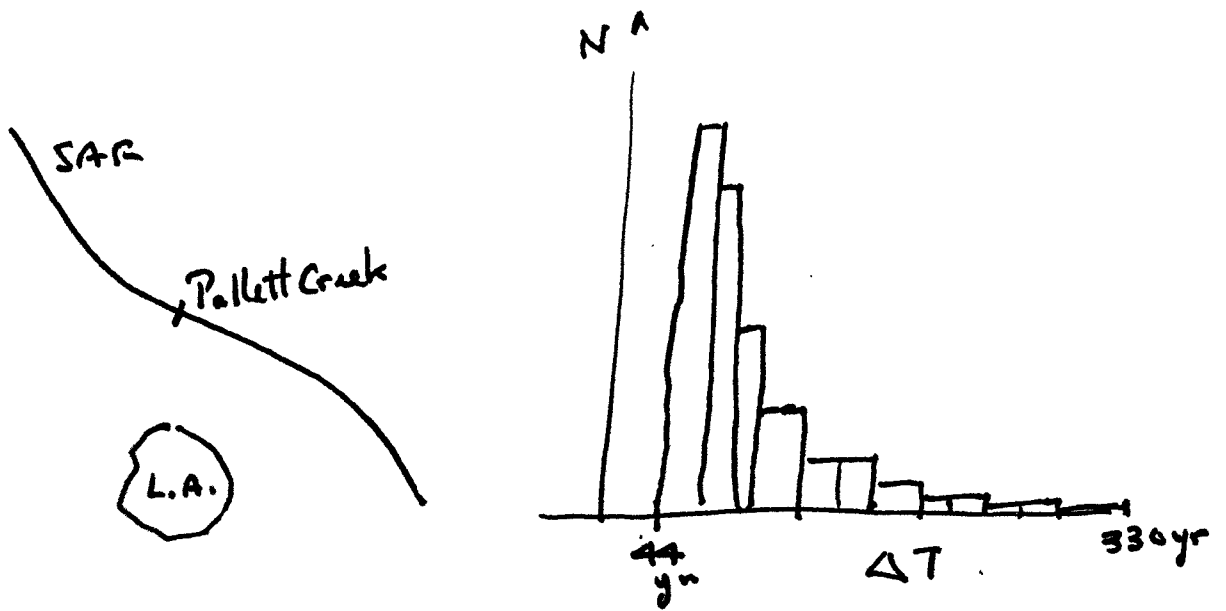


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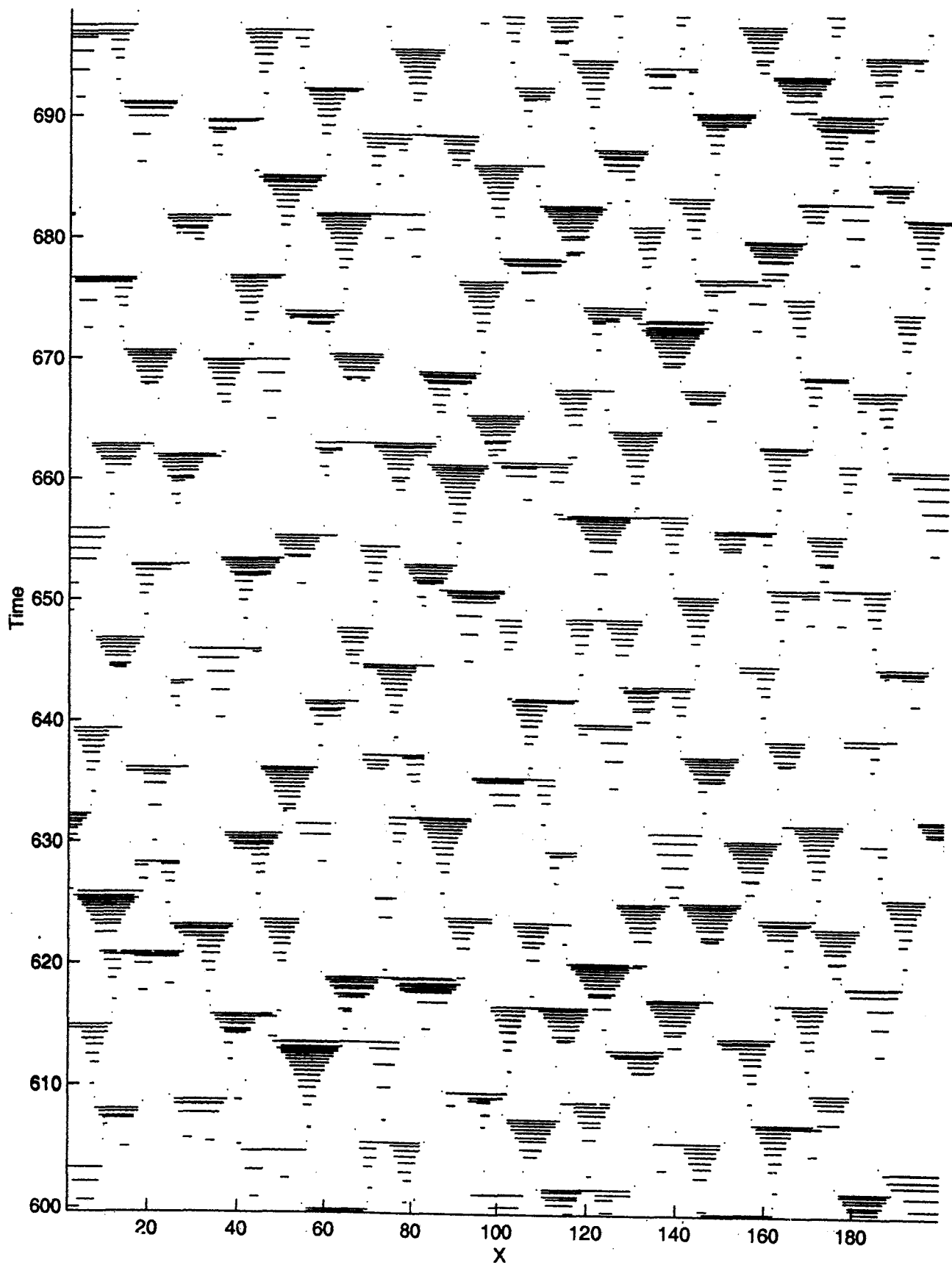
linear slip weakening



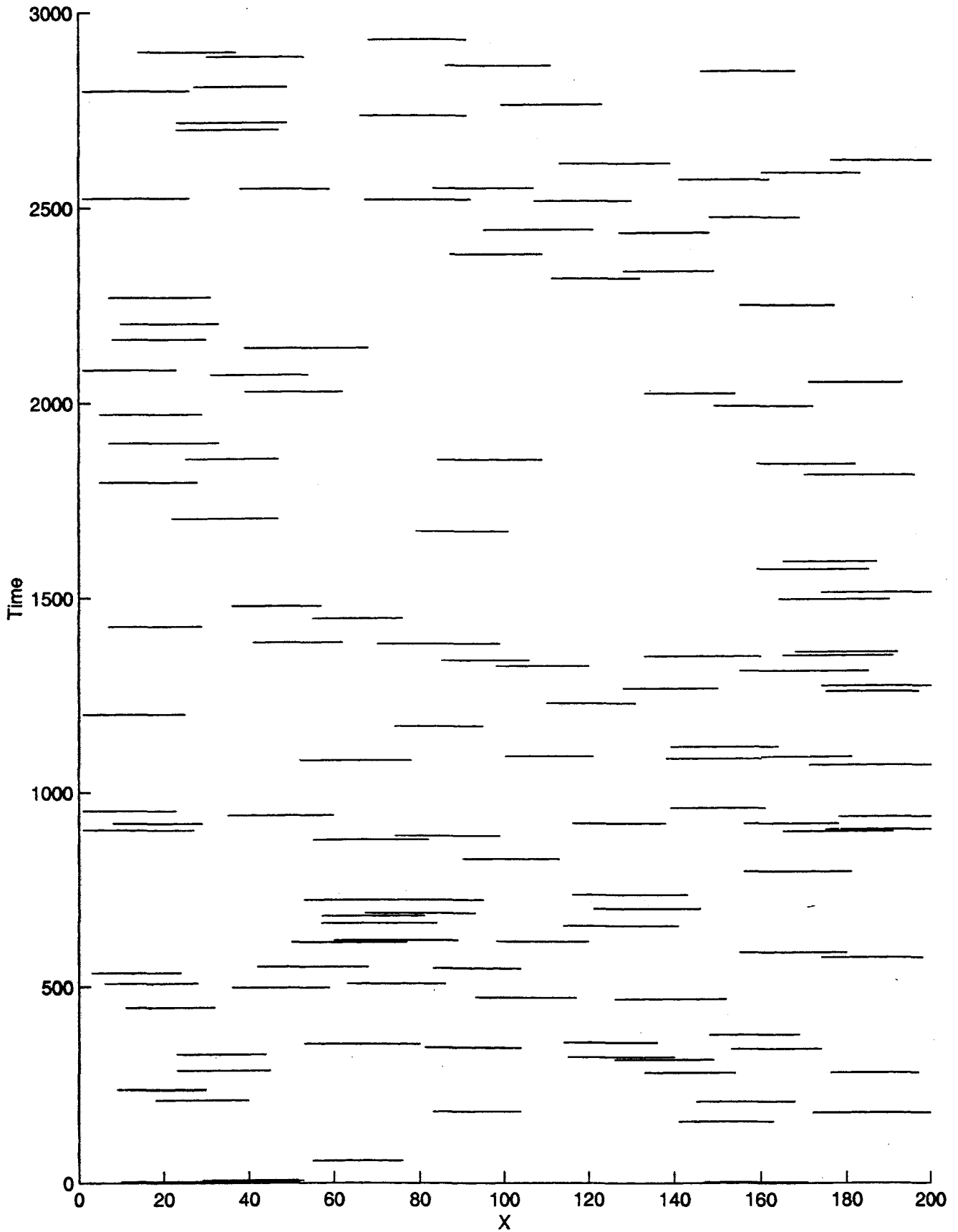
$$\text{Prob. healing} = \frac{2}{\pi} \tan^{-1} \frac{\beta L}{L_0}$$

$$\text{or } \tanh \frac{\beta L}{L_0}$$

X-T Map
 $p=3, L_0=15$
 $N(B)=B^{-0.5}, l=0.5$



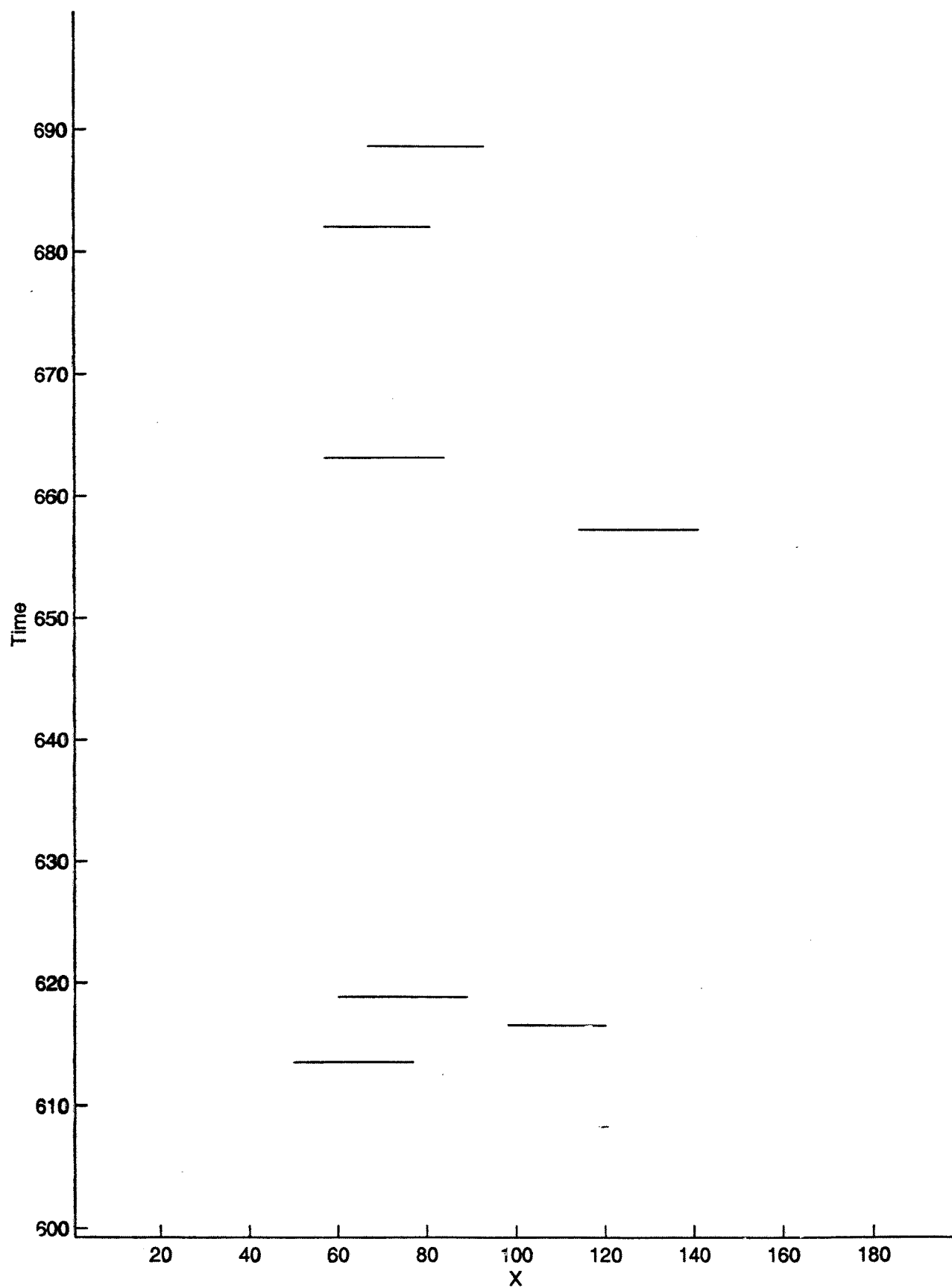
X-T Map ($L > 20$)
 $p=3, L_o=15$
 $N(B)=B^{-0.5}, l=0.5$



X-T Map ($L > 20$)

$p=3, L_o=15$

$N(B)=B^{-0.5}, l=0.5$



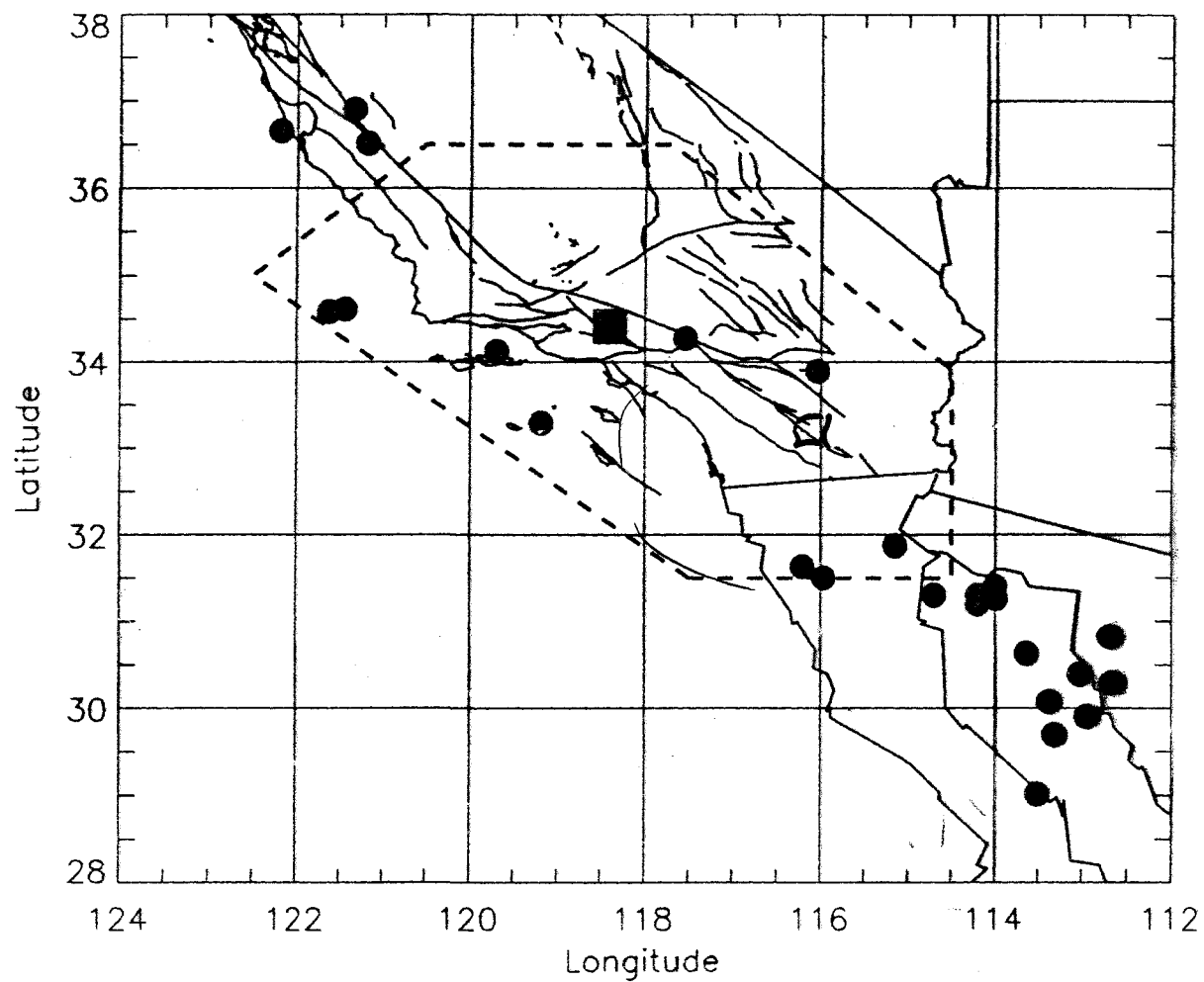
CONCLUSIONS

1. Dynamics is important
2. Fault irregularities are important and lead to localization
3. Differential rates of healing hold promise for identification of fluctuations in pattern and b) precursors.
4. Models are only as good as the assumptions in their construction. They should be used as learning tools for the study of earthquakes. Their use to simulate the real earth is very premature.

Major problems for the future

1. Fast 3-D systems (2-D cracks in 3-D media)
2. Non-planar $\Rightarrow$ fast enough to do interactive seismicity $\Rightarrow$ statistical studies
3. Granular media
4. Coarse graining!
5. Fault Networks
6. Interaction of short and long-term organization
7. Long-range interaction

Figure 1



Seismicity $6.3 \geq M \geq 4.7$ in Southern California
between Borrego Mountain and San Fernando
earthquakes
(4-9-68) to (2-9-71)

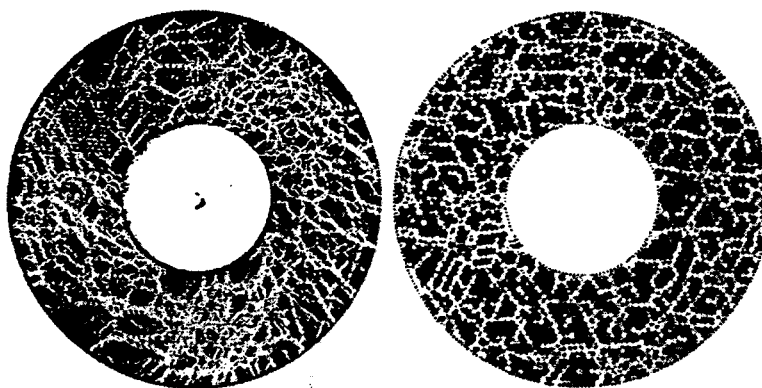
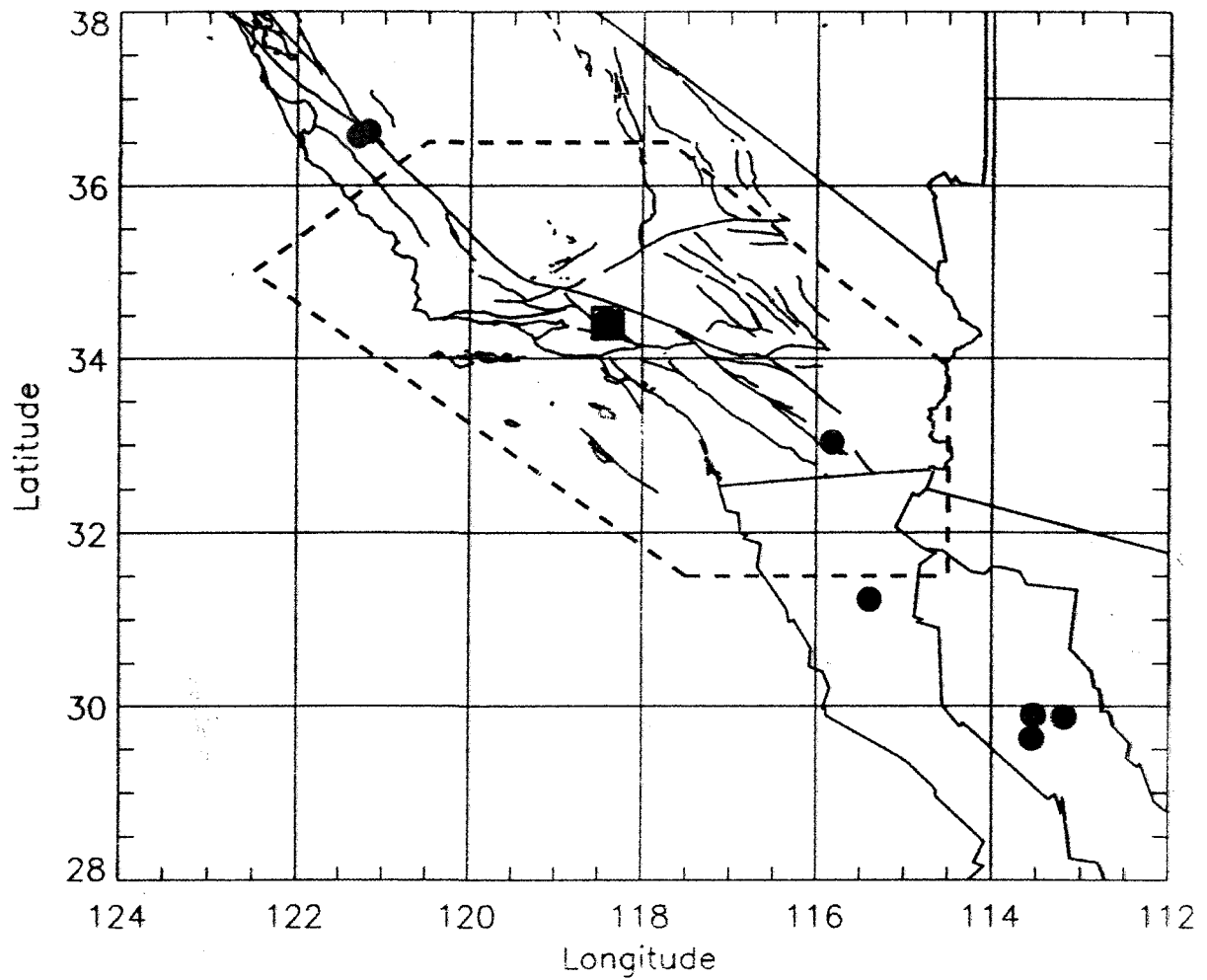


Figure 4. Pictures of the force-chains. (left) is an experiment showing the transparent light intensity. (right) is a simulation showing the potential energy stored in all contacts of one particle.



Seismicity $6.3 \geq M \geq 4.7$ in Southern California
after San Fernando earthquake
(2-9-71) to (2-8-73)

PHYSICS OF THE EARTHQUAKE SOURCE: SUMMARY

- **Inhomogeneity of geometry is an important determinant of seismicity**
- **Relaxation of stress at barriers must be understood**
- **Models of seismicity appear to be unstable with regard to many important ingredients**

We have to get the geometry and the physics correct

What is the level of sensitivity that is needed so that the systems stabilize?

- **It is doubtful that seismic time series are stationary, because of space-time interactions**

We must consider the entire network of faults and not merely one linear structure

It is doubtful that the strain rates on individual faults are constants over time

- **Dynamics and Dissipation are important ingredients in modeling seismicity**
- **Interaction on multiple time scales**
- **Fluid migration in faults plays an important part in the evolution of seismicity**
- **It is premature to construct the definitive model of seismicity of Southern California. Models that claim to give the "solution" to problems of seismicity are too simplistic, unstable, and unreliable.**