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Emergent gravity as the nematic dual of Lorentz-invariant Elasticity

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These are preliminary lecture notes, intended only for distribution to participants.

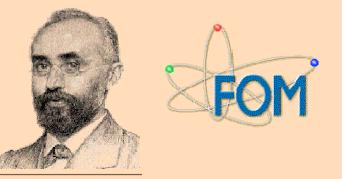
Emergent gravity as the nematic dual of Lorentz-invariant Elasticity

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Instituut-Lorentz for theoretical physics

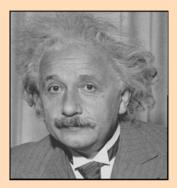




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Emergent Einstein Gravity





$$S = -\frac{c^3}{16\pi G} \int dV \sqrt{-g} R + S_{matte}$$

Einstein's space time = the Lorentz invariant topological nematic superfluid (at least in 2+1D)

A medium characterized by:

- emergent general covariance
- absence of torsion- and compressional rigidity
- presence of curvature rigidity (topological order)

Plan of talk



- 1. Plasticity (defected elasticity) and differential geometry
- 2. Fluctuating order and high Tc superconductivity
- 3. Dualizing non-relativistic quantum elasticity
 3.a Quantum nematic orders
 3.b Superconductivity: dual Higgs is Higgs
- 4. The quantum nematic world crystal and Einstein's space time



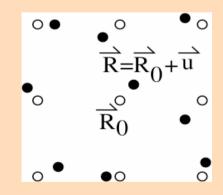
Quantum elastic action, isotropic medium

$$S = \mu \left[u_{xx}^{2} + 2u_{xy}^{2} + u_{yy}^{2} + \frac{\nu}{1 - \nu} (u_{xx} + u_{yy})^{2} + (\partial_{\tau} u_{x})^{2} + (\partial_{\tau} u_{y})^{2} \right]$$

Shear modulus μ Poisson ratio μ Compression modulus $c_{ph}^2 = 2\mu/\rho = 1, \hbar = 1, u_{ab} = (\partial_a u_b + \partial_b u_a)/2$

Describes transversal- (T) and longitudinal (L) phonon,

$$S = \mu \left[\left(\frac{q^2}{2} + \omega^2 \right) | u^T |^2 + \left(\frac{q^2}{1 - \nu} + \omega^2 \right) | u^L |^2 \right]$$



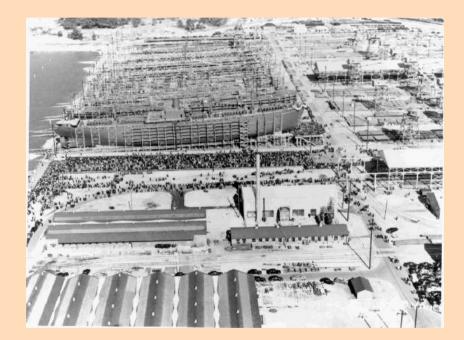
 $\kappa = \mu (1 + \nu) / (1 - \nu)$

Elasticity and topology: the dislocation





J.M. Burgers (Delft): Discovery of the topological excitation



War time needs (Peierls, Mott, Friedel, ...)

The Singularities



Dislocation:

Restores translational invariance

Destroys shear rigidity

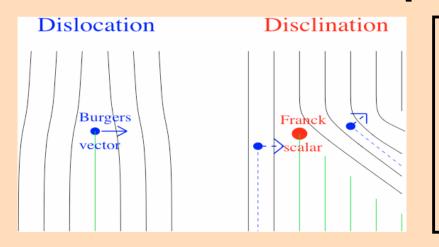
Topological charge: Burgers vector

Disclination:

Restores rotational invariance

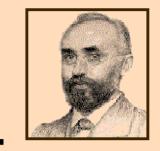
Destroys *curvature* rigidity, like mass source in gravity (!)

Topological charge: Franck 'scalar'



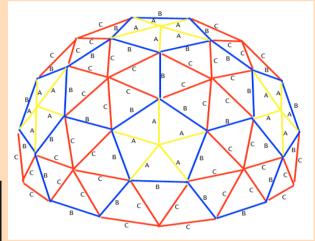
Disclinations are 'bad' (difficult)

Disclinations 'liberate' **non-abelian** nature of the euclidean group (diffeomorphism)



Engineering curvature





Disclination in 'buckystuff': like conical singularity in 2+1 D gravity Fluctuating geometry = simplexes with fluctuating edge-lengths "Regge Calculus"

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The mathematical machine





Hagen Kleinert (FU Berlin)

Abelian Higgs duality

Theory of plasticity in 3D (classical): similarity with **euclidean gravity!**

GAUGE FIELDS IN CONDENSED MATTER

Hagen Kleinert

Vol. I SUPERFLOW AND VORTEX LINES Disorder Fields, Phase Transitions

Vol. II STRESSES AND DEFECTS Differential Geometry. Crystal Melting

World Scientif

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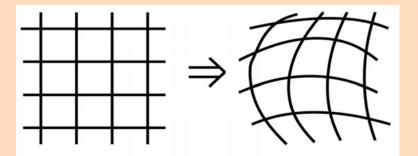
Elasticity and general covariance



General covariance: infinitisimal coordinate transformation $e_{a}^{\ \mu} = \delta_{a}^{\ \mu} \rightarrow \delta_{a}^{\ \mu} - \partial_{a}\xi^{\mu}$ $g_{\mu\nu} = e_{\mu}^{\ a}e_{a\nu} = \delta_{\mu\nu} \rightarrow \delta_{\mu\nu} + (\partial_{\mu}\xi_{\nu} + \partial_{\nu}\xi_{\mu})$

General covariance = gauge invariance under elastic deformations

$$g_{ij} = \delta_{ij} \to \delta_{ij} + \left(\partial_i u_j + \partial_j u_i\right)$$



Plasticity and differential geometry



Covariant derivative:

Connection, linearized:

$$D_{\nu}v^{\mu} = \partial_{\nu}v^{\mu} + \Gamma_{\nu\lambda}^{\mu}v^{\lambda}$$

Curvature tensor:

Associate: $\xi^{\lambda} \rightarrow u^{\lambda}$

$$\Gamma_{\mu\nu}^{\ \lambda} = \partial_{\mu}\partial_{\nu}\xi^{\lambda}$$
$$R_{\mu\nu\lambda\kappa} = \left(\partial_{\mu}\partial_{\nu} - \partial_{\nu}\partial_{\mu}\right)\partial_{\lambda}\xi_{\kappa}$$

==> Einstein tensor corresponds with disclination current!

$$\Theta_{\mu\nu} \equiv G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R_{\kappa}^{\kappa}$$

'Bad' news: torsion = dislocation currents

$$S_{\mu\nu\lambda} = \frac{1}{2} \left(\partial_{\mu} \partial_{\nu} - \partial_{\nu} \partial_{\mu} \right) \xi_{\lambda} \qquad J_{\mu a} = \varepsilon_{\mu\nu\lambda} S_{\nu\lambda a}$$

Crystal = geometry with curvature and torsion.



Non-linear plasticity

Use gravity techniques to solve problems associated with large defect densities/plastic deformations (K. Kondo, 1952)

Substitute covariant derivatives for derivatives

$$\partial_{\nu}v^{\mu} \to D_{\nu}v^{\mu} = \partial_{\nu}v^{\mu} + \Gamma_{\nu\lambda}^{\ \mu}v^{\lambda}$$

Use full curvature, torsion tensors instead of linearized tensors

Program not greatly successful when it matters (e.g. glasses).

The universe as a strange crystal

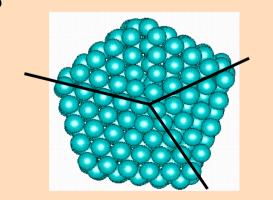


Special 'crystal': isotropic elastic medium in space and time directions (Euclidean signature)

(a) Lorentz-invariance

(b) Curvature is not quantized, Franck vectors are ...

 $\frac{2\pi}{3}$ disclination in a triangular lattice



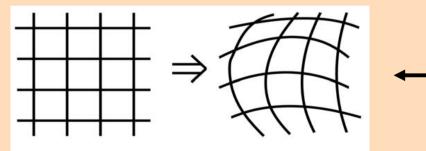
Deep geometrical/topological similarity with space-time (also: Bais et al, 'quantum doubles': crystal ==> Witten's 2+1 D gravity)

Why the universe is not a 'Lorentz' crystal



(a) Crystal 'geometry' is characterized by torsion, the universe is not ...

- (b) Disclinations are (quadratically) confined: curvature costs infinite energy!
- (c) Deadly: crystals have no general covariance, the flat metric is preferred !!!



Elastic deformation costs energy: action is not gauge invariant ...

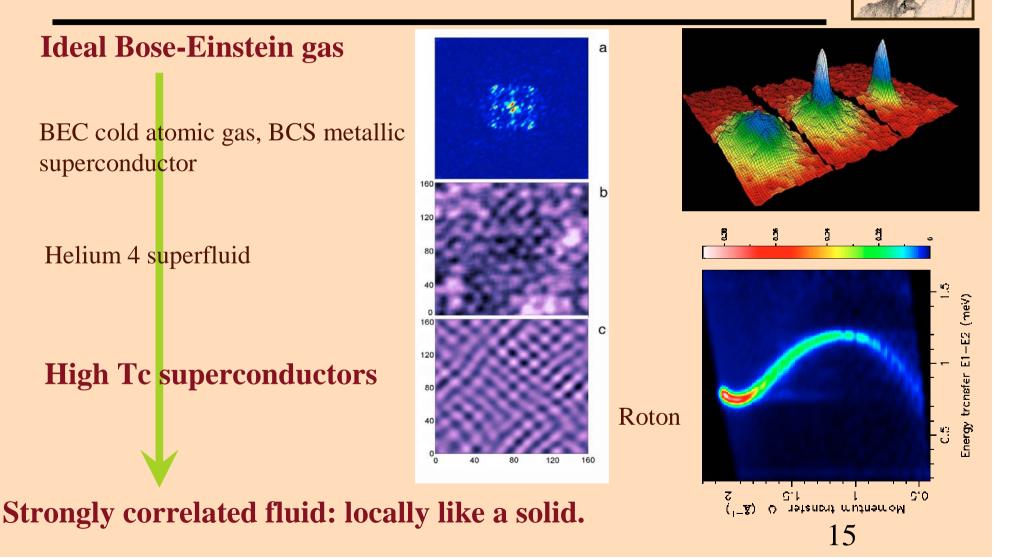
These problems seem to be cured in the quantum NEMATIC world crystal ... (at least in 2+1D)

Plan of talk



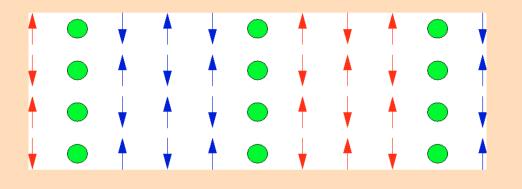
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Correlated superconductors



Stripe order: charge, spin, domain walls

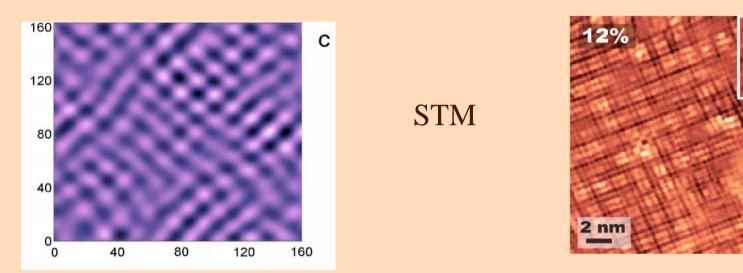




- -- Charge order (e.g. STM)
- -- Spin order (neutrons,NMR)
- -- 'Topological order', 'domainwall-ness', 'antiphaseboundariness'



Electrons coming to a standstill



Kapitulnik et al (Stanford)

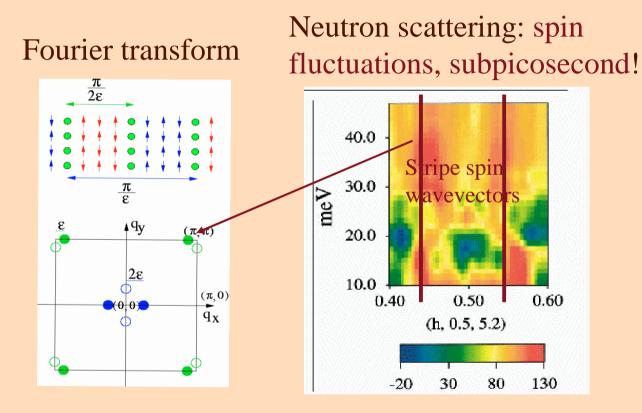
Davis et al (Cornell)

These 'stripes' are ubiquitous in doped Mott insulator (nickelates, manganites, ...)

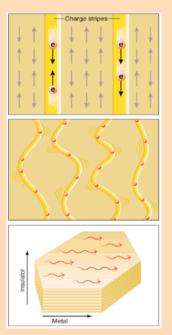
Cuprates: stripes are extremely quantum mechanical ...

Quantum Fluctuating stripe order





YBCO Tc=60K: Mook et al, Oak Ridge

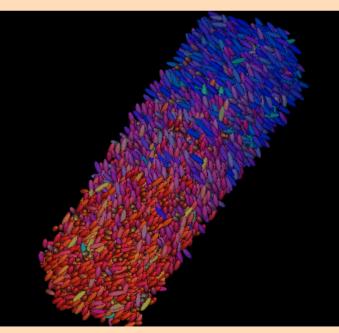


JZ, Science 286, 251 (1999)

Nematic superfluids

Kivelson, Fradkin, Emery, Nature 393, 550,1998

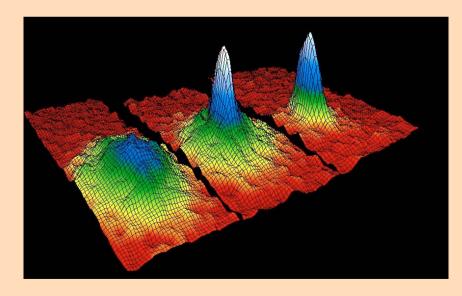
Nematic order







Bose condensation



The Singularities



Dislocation:

Restores translational invariance

Destroys shear rigidity

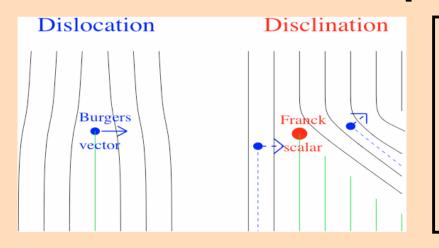
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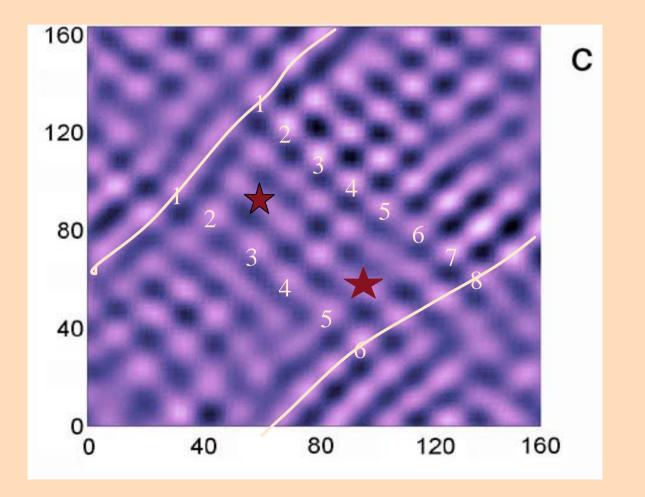


Disclinations are 'bad' (difficult)

Disclinations 'liberate' **non-abelian** nature of the euclidean group (diffeomorphism)



Dislocations: example



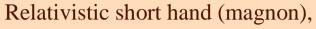
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XY-electromagnetism duality in 2+1D



Consider quantum phase dynamics (XY in 2+1D),

$$S = \frac{1}{g} (\partial_{\mu} \varphi)^2 \mod(2\pi) \quad H = \sum_{i} (n_i)^2 - J \sum_{\langle ij \rangle} \cos(\varphi_i - \varphi_j)$$

Hubbard-Stratanovich auxiliary field ξ_{μ}

$$S = g\xi_{\mu}\xi_{\mu} + i\xi_{\mu}\partial_{\mu}\varphi$$

Divide in smooth and multivalued field configurations, $\varphi = \varphi_{sm} + \varphi_{MV}$

$$S = g\xi_{\mu}\xi_{\mu} + i\xi_{\mu}\partial_{\mu}\varphi_{MV} + i\xi_{\mu}\partial_{\mu}\varphi_{sm} \qquad g\xi_{\mu}\xi_{\mu} + i\xi_{\mu}\partial_{\mu}\varphi_{MV} - i\varphi_{sm}(\partial_{\mu}\xi_{\mu})$$

$$\varphi_{sm} \text{ acts like Lagrange multiplier} ==> \xi_{\rho} \text{ onserved} ==> \text{ imposed by gauge field} \qquad A_{\mu}$$

$$\partial_{\mu}\xi_{\mu} = 0 \qquad \Rightarrow \xi_{\mu} = \varepsilon_{\mu\nu\lambda}\partial_{\nu}A_{\lambda}$$

$$S = gF_{\mu\nu}F^{\mu\nu} + iA_{\mu}J^{\mu}_{V}$$

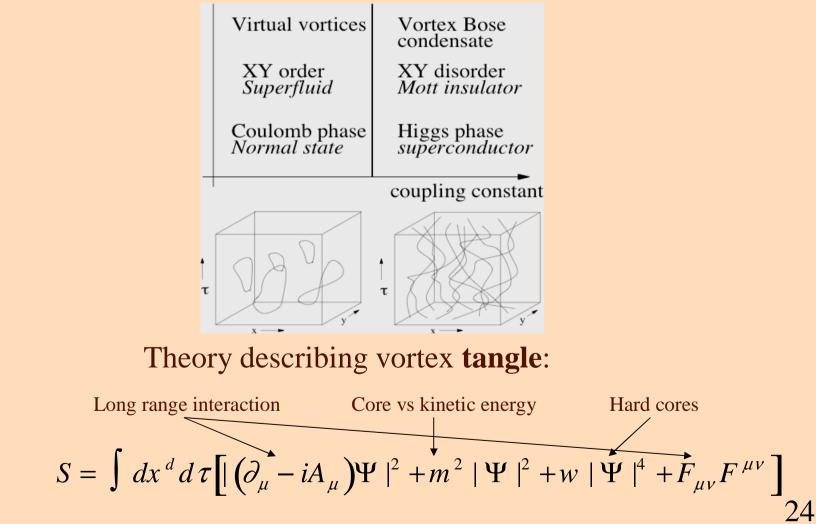
$$J_{V}^{\mu} = \varepsilon_{\mu\nu\lambda}\partial_{\nu}\partial_{\lambda}\varphi \quad \Leftrightarrow \quad \oint d\varphi = 2\pi n$$

Vortex current:





Disorder field theory



Dualize elasticity: stress gauge fields (Kleinert)

Quantum elasticity: $S = C_{\mu\nu ab} \partial_{\mu} u^a \partial_{\nu} u^b$

Hubbard-Stratanovich = stress (σ_{μ}^{a}) - strain ($\partial_{\mu}u^{a}$) duality, $S = \sigma_{\mu}^{a}C_{\mu\nu ab}^{-1}\sigma_{\nu}^{b} + i\sigma_{\mu}^{a}\partial_{\mu}u_{sm}^{a} + i\sigma_{\mu}^{a}\partial_{\mu}u_{MV}^{a}$

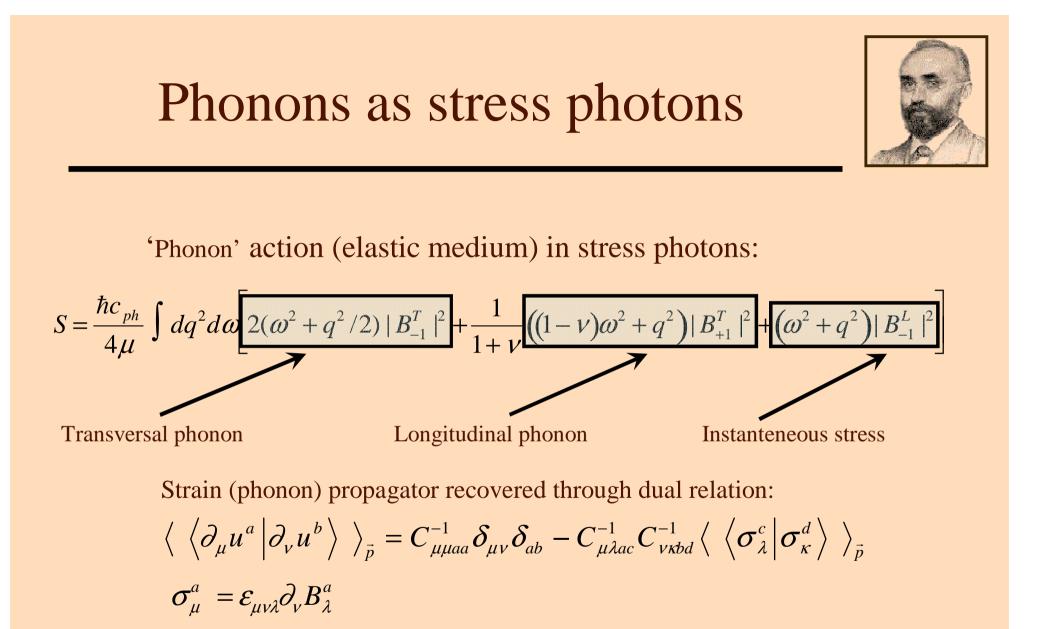
Integrate over smooth displacement fields u_{sm}^a

$$\partial_{\mu}\sigma^{a}_{\mu}=0 \quad \Rightarrow \quad \sigma^{a}_{\mu}=\mathcal{E}_{\mu\nu\lambda}\partial_{\nu}B^{a}_{\lambda}$$

Conservation of stress imposed by 'stress photons' B^a_{μ} (pseudo tensors)

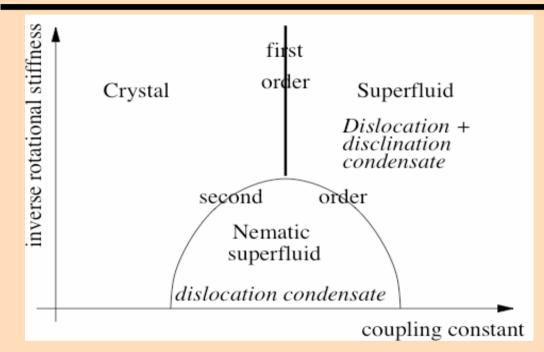
Dual action: $S = [(\varepsilon \partial B) \ C^{-1} (\varepsilon \partial B)] + iB^a_\mu J^a_\mu$ $J^a_\mu = \varepsilon_{\mu\nu\lambda} \partial_\nu \partial_\lambda u^a_{MV} \iff \oint du^a = b^a$ J^a_μ are dislocation currents, $\vec{b} = (b_x, b_y)$ Burgers vector.





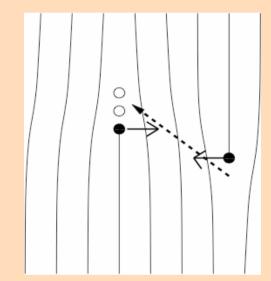


Nelson-Halperin-Young + hbar



Nematic ('hexatic') quantum order:

Dislocations bose condense Disclinations stay massive Idealization: interstitials non-existent.



Particle transport at dislocation collsions

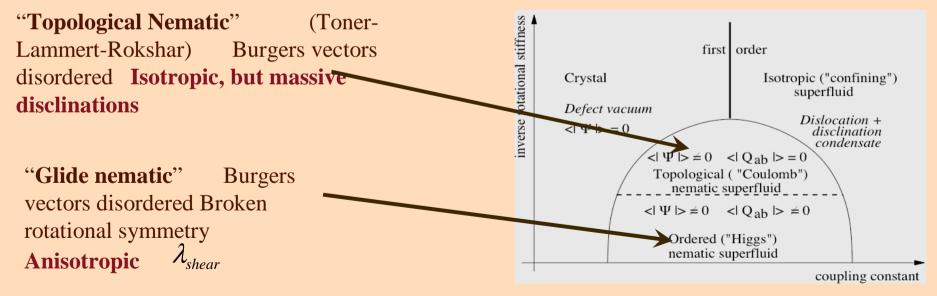
The dual (dislocation) condensate



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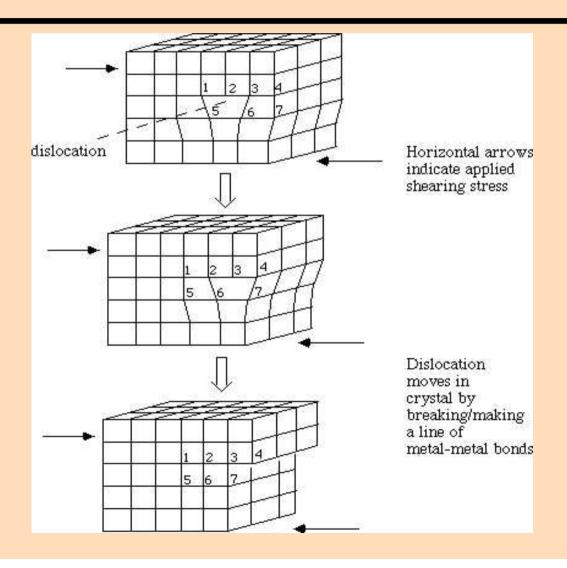
Dislocation Meissner Phase: $\Psi = |\Psi|e^{i\Psi}, \langle |\Psi|^2 \rangle \neq 0 \Rightarrow L_{eff} = L_{Meissner} + L_{Maxwell} + L_{Director}$ $L_{Meissner} = \frac{q_0^2 |n_T(Q_{ab})|^2}{2\mu} \left[|B_{-1}^T|^2 + 4\frac{\hat{\omega}^2}{1+\hat{\omega}^2} |B_{-1}^L|^2 - 2\frac{\hat{\omega} (1-\hat{\omega}^2)}{1+\hat{\omega}^2} (B_{+1}^{T*}B_{-1}^L + h.c.) \right]$ $L_{Maxwell} = \left[(\mathcal{E}\partial B) C^{-1} (\mathcal{E}\partial B) \right] L_{Director} = \left[(\partial Q)^2 + m_Q^2 Q^2 + w_Q Q^4 \right]$

 $\lambda_{shear} = 1/q_0$: shear penetration depth



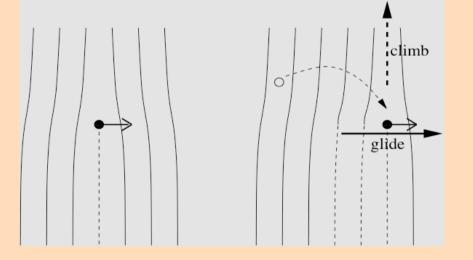


Dislocations and shear





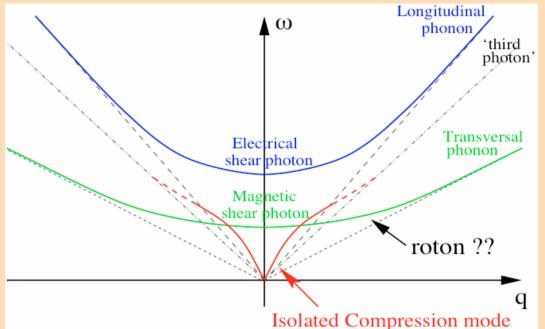
Dynamics: glide principle



'topological dynamics': propagation only possible along Burgers vector

Field theory: requirement for **finite compression** modulus in liquid

Topological nematic superfluid: excitations



Superfluid hydrodynamics:

Isolated massless compression, massive shear: Euler fluid Periodicity (vortex quantization): inherited from dislocation condensate



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Superconductivity: photons vs. stress-photons



Charged elastic medium (bosonic Wigner crystal): couple in EM gauge fields

$$S = \int dx^2 d\tau \left[n_e e \,\vec{u} \bullet \vec{E} + F_{\mu\nu} F^{\mu\nu} \right] \quad E_{x,y} = \pm \left(\partial_{x,y} A_\tau - \frac{1}{c} \partial_\tau A_{x,y} \right) \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

 $n_e^2 e^2$

Dualize in stress photons, focus on magnetic sector:

$$L_{tot} = L_{AA} + L_{AB} + L_{BB}$$

$$L_{AA} = \left(\frac{1}{\lambda_{L}^{2}} + \frac{\omega^{2}}{c^{2}} + q^{2}\right) |A_{-1}|^{2} + \dots |A_{+1}|^{2}$$

$$L_{AB} = -\frac{n_{e}e}{\rho c^{2}} B_{-1}^{T} A_{-1} + f\left(A_{+1}, B_{-1}^{L}, B_{+1}^{T}\right)$$

$$L_{BB} = \frac{1}{4\mu} \left[\left(2\omega^{2} + q^{2} + \frac{2}{\lambda_{shear}^{2}}\right) |B_{-1}^{T}|^{2} + g\left(B_{-1}^{L}, B_{+1}^{T}\right) \right]$$

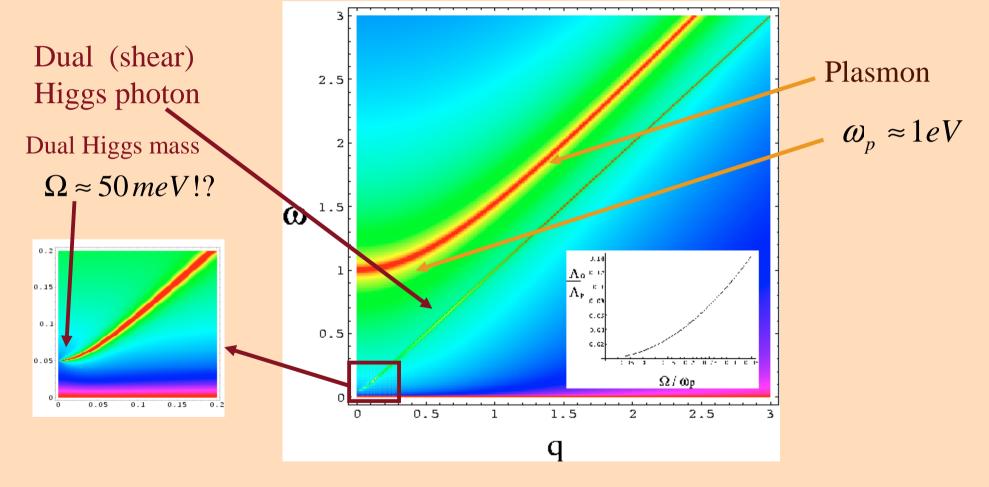
bare London penetration depth, **superconductor**??

Effective EM action: integrate out stress photons

Shear length finite (fluid): Crystal: Meissner term eaten compensation incomplete, electromagnetic Meissner 'liberated'!!

The dual Higgs boson and electron loss





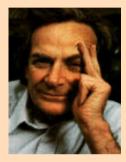
Superfluid hydrodynamics





Landau

Superfluid: quantized Euler fluid $\partial_t \vec{u} + (\vec{u} \bullet \vec{\nabla})\vec{u} = \vec{\nabla}p, \text{"mod}(2\pi)$ "



Feynman

Footnote (this talk): this is the zero temperature hydrodynamics of a solid which has lost its rigidity against long range shear forces.

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Emergent gravity: general covariance



Distances measured by hopping from lattice site to lattice site, metric: $g_{\mu\nu} = \delta_{\mu\nu} + (\partial_{\mu}u_{\nu} + \partial_{\nu}u_{\mu})$

General covariance: metric is defined modulo local translations

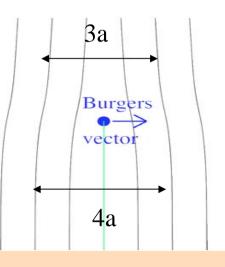
Dislocation condensate:

Coherently delocalized dislocations ==> distances defined modulo local translations!

Bonus:

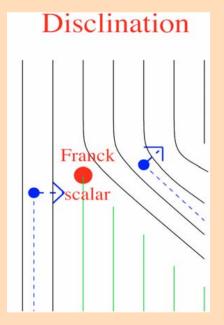
Dislocations represent torsion, dislocation condensate = Riemann space without torsion (like general relativity)!

Dislocation



Emergent gravity: curvature





Represent curvature (e.g., in 2+1D literally like conical defects)

Disclination current (2+1D):

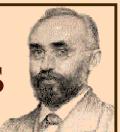
 $\Theta_{\mu\nu} = \varepsilon_{\mu\kappa\lambda}\partial_{\kappa}\partial_{\lambda}\omega_{\nu}, \quad \omega_{\mu} = \frac{1}{4}\varepsilon_{\mu\nu\lambda}(\partial_{\nu}u_{\lambda} - \partial_{\lambda}u_{\nu})$

corresponds with the (linearized) Einstein tensor:

$$\Theta_{\mu\nu} \cong G_{\mu\nu} = R_{\nu\mu} - \frac{1}{2}g_{\nu\mu}R$$

In "solid": disclinations are confined (infinite energy) In nematic superfluid: deconfined but massive ...

Dynamics: double curl gauge fields



Recall: 'conventional' stress photons ==> only sources are disclinations

$$\sigma_{\mu\nu} = \varepsilon_{\mu\kappa\lambda}\partial_{\kappa}B_{\lambda\nu} \to iB_{\mu\nu}J_{\mu\nu}, \quad J_{\mu\nu} = \varepsilon_{\mu\kappa\lambda}\partial_{\kappa}\partial_{\lambda}u_{\nu}^{P}$$

Disclination currents: one 'order' higher in duality

$$\Theta_{\mu\nu} = \varepsilon_{\mu\kappa\lambda}\partial_{\kappa}\partial_{\lambda}\omega_{\nu}, \ \omega_{\mu} = \frac{1}{4}\varepsilon_{\mu\nu\lambda}(\partial_{\nu}u_{\lambda} - \partial_{\lambda}u_{\nu})$$

Kleinert: double curl stress gauge fields (two forms)

$$\sigma_{\mu\nu} = \varepsilon_{\mu\kappa\lambda}\varepsilon_{\nu\alpha\beta}\partial_{\kappa}\partial_{\alpha}h_{\lambda\beta}$$

==> $S_{WC} = \int d^{2}x d\tau \left[\frac{1}{4\mu}\left(\sigma_{\mu\nu}^{2} - \frac{v}{1+v}\sigma_{\mu\mu}^{2}\right) + ih_{\mu\nu}\eta_{\mu\nu}\right]$

Sources are truely conserved $(\partial_{\mu}\eta_{\mu\nu} = 0)$ 'defect currents'

$$\eta_{\mu\nu} = \theta_{\mu\nu} + \varepsilon_{\lambda\mu\kappa} \partial_{\lambda} J_{\nu\kappa}$$

The nematic 'Lorentz' crystal



Dislocation melting of the 2+1D 'Lorentz' (space-time isotropic) crystal:

(a) Consider topological nematic ==> space-time isotropy.

(b) No preferred time direction ==> glide constraint is impossible ==>

Dislocations turn into sources of compressional 'photons' ==> sound acquires a Higgs mass!

Symmetric = simple version of non-relativistic nematics



Input: $S_{WC} = \int d^2 x \, d\tau \left[\frac{1}{4\mu} \left(\sigma_{\mu\nu}^2 - \frac{\nu}{1+\nu} \sigma_{\mu\mu}^2 \right) + ih_{\mu\nu} \eta_{\mu\nu} \right] \qquad \sigma_{\mu\nu} = \varepsilon_{\mu\kappa\lambda} \varepsilon_{\nu\alpha\beta} \partial_{\kappa} \partial_{\alpha} h_{\lambda\beta} \\ \eta_{\mu\nu} = \theta_{\mu\nu} + \varepsilon_{\lambda\mu\kappa} \partial_{\lambda} J_{\nu\kappa}$

Condense dislocations 'isotropically', $S_{dislo} = \int d^2 x d\tau \left[\frac{m_d^2}{2} J_{\mu\nu}^2 + i J_{\mu\nu} \varepsilon_{\mu\kappa\lambda} \partial_{\kappa} h_{\lambda\nu} \right]$

$$S_{eff,space} = \int d^2x d\tau \left[\frac{1}{4\mu} \left(\sigma_{\mu\nu}^2 - \frac{\nu}{1+\nu} \sigma_{\mu\mu}^2 \right) + \frac{1}{2m_d^2} \sigma_{\mu\nu} \frac{1}{\partial^2} \sigma_{\mu\nu} \right]$$

$$S_{discl} = \int d^2 x d\tau \left[\frac{m_{\theta}^2}{2} \theta_{\mu\nu}^2 + i h_{\mu\nu} \theta_{\mu\nu} \right]$$

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Geometrical meaning



Consider dual 'stress' geometry: $h_{\mu\nu} = g_{\mu\nu} - \delta_{\mu\nu}$

Stress tensor turns into Einstein tensor:
$$\sigma_{\mu\nu} \equiv G_{\mu\nu}$$

 $S_{eff,space} = \int d^2x d\tau \left[\frac{1}{4\mu} \left(G_{\mu\nu}^2 - \frac{v}{1+v} G_{\mu\mu}^2 \right) + \frac{1}{2m_d^2} G_{\mu\nu} \frac{1}{\partial^2} G_{\mu\nu} \right]$

Non-linear generalization $\partial_{\mu} \rightarrow D_{\mu}$, etc; identify $m_d^2 = 8 \pi G$

$$\rightarrow \int d^2 x dt \sqrt{-g} \frac{1}{2m_d^2} G_{\mu\nu} \frac{1}{-D^2} G_{\mu\nu} \rightarrow -\frac{c^3}{16\pi G} \int d^2 x dt \sqrt{-g} R$$

At long distances this becomes exactly the Einstein action Incompressible (2+1 D): shear and compression massive, curvature rigidity is still present.

Gravitating matter



Normal matter: gravity is uniformly attractive $S_{matter} = \int d^2x d\tau [h_{\mu\nu}T_{\mu\nu}]$

T - symmetric Belinfante energy-momentum tensor

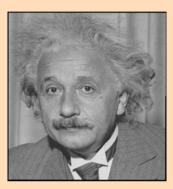
No condensed matter analogy !

Disclinations: massive excitations 'guarding' the curvature rigidity of space $S_{discl} = \int d^2x d\tau \left[\frac{m_{\theta}^2}{2} \theta_{\mu\nu}^2 + ih_{\mu\nu} \theta_{\mu\nu} \right]$

Anti-dislocations do antigravity ... Startrek!

Emergent Einstein Gravity





$$S = -\frac{c^3}{16\pi G} \int dV \sqrt{-g} R + S_{matter}$$

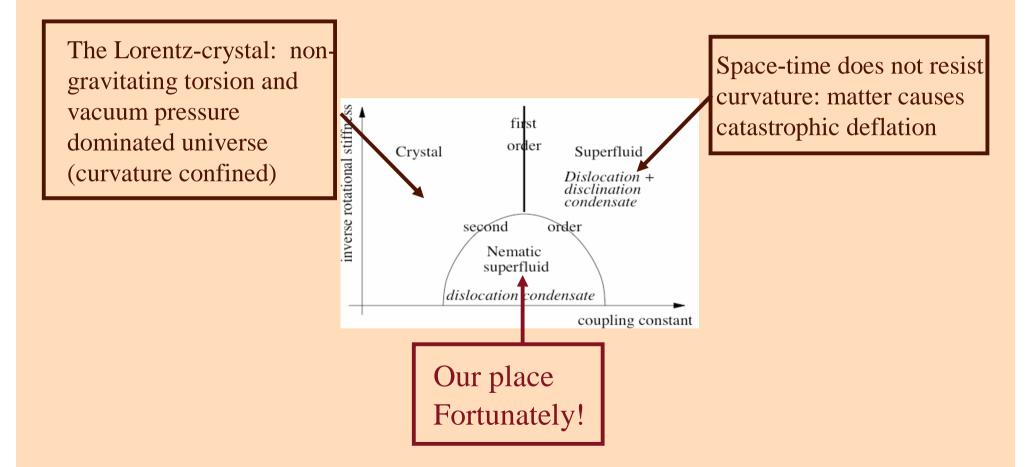
Einstein's space time = the Lorentz invariant topological nematic superfluid (at least in 2+1D)

A medium characterized by:

- emergent general covariance
- absence of torsion- and compressional rigidity
- presence of curvature rigidity (topological order)

Plastic Cosmology: the competing phases





Conclusions



- Condensed matter physics: strongly correlated superconductors The quantum nematic orders The Meissner phase as the dual dislocation condensate
- Waiting for experiments
- Relativistic generalization: emergent gravity. Makes sense in 2+1D, 3+1D generalization?
- Allegory or the holy truth? Subject for the Theology Department!

J. Zaanen, Z. Nussinov and S.I. Mukhin, Ann. Phys. (NY) 310, 181 (2004) (cond-mat/0309397) ; H. Kleinert and J. Zaanen, Phys. Lett. A 324, 361 (2004) (cond-mat/0309379); V. Cvetkovic, S.I. Mukhin, J. Zaanen, in preparation.