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Summer School and Miniconference on  
**Dynamical Mean-Field Theory for Correlated Electrons:  
Applications to Real Materials, Extensions and Perspectives**  
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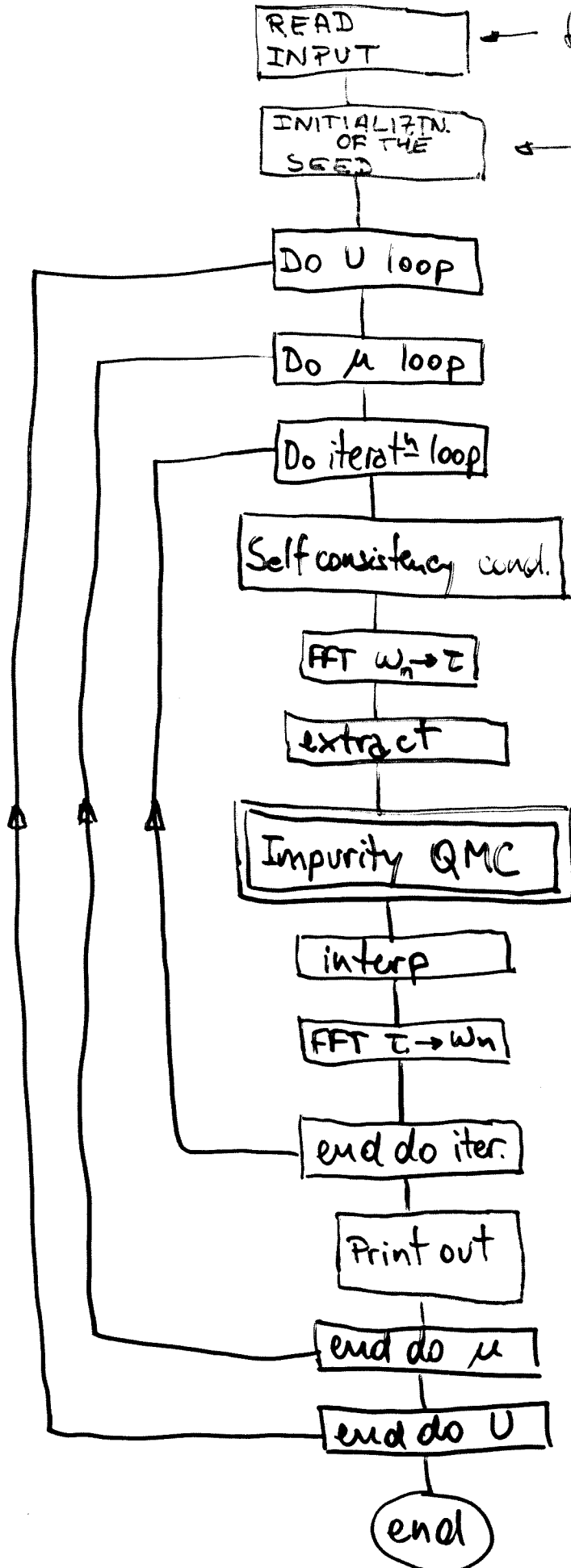
## **Metal-insulator transitions in the Hubbard model**

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These are preliminary lecture notes, intended only for distribution to participants  
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# QMC code - Flow diagram



fort. 50

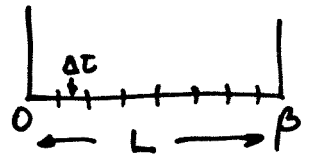
← { read old  $G^0$  (fort. 4)  
or  
generate new  $G^0$  guess.

$$[G_0^{(n+1)}]^{-1} = [G_0^{(n)}]^{-1} + \Sigma^{(n)}$$

$$G(\omega) = \int d\epsilon \frac{\rho^0(\epsilon)}{\omega - \epsilon - \Sigma(\omega)}$$

QMC (Blazekembecker, Scalapino, Sugar '81)  
(Hirsch & Fye '87)

$$Z = e^{-C_{\sigma}^{\dagger}(\tau) G_{0_{\tau\tau'}}^{-1} C_{\sigma}(\tau) + U M_{\uparrow}(\tau) M_{\downarrow}(\tau)}$$



$$Z = \sum_{S=\pm 1} e^{-C_{\sigma}^{\dagger}(\tau) G_{0_{\tau\tau'}}^{-1} C_{\sigma}(\tau) + \lambda S(\tau) (M_{\uparrow}(\tau) - M_{\downarrow}(\tau))}$$

$\lambda = \lambda(U)$

$$Z = \sum_{[s]} \det [G_{\uparrow}^{-1}[s]] \det [G_{\downarrow}^{-1}[s]]$$

$$G_{\sigma}^{-1}[s] = G_{0_{\tau\tau'}}^{-1} + \sigma \lambda s \delta_{\tau\tau'}$$

$$G_{\sigma} = \frac{1}{Z[s]} \sum G_{\sigma}[s] \det [G_{\uparrow}^{-1} G_{\downarrow}^{-1}]$$

$$\langle G_{\sigma} \rangle = \sum_s G_{\sigma}[s] P(s) ; R = e^{-\Delta s} = \frac{\det [G_{\uparrow}(s) G_{\downarrow}(s)]}{\det [G_{\uparrow}(s') G_{\downarrow}(s')]} \quad s \rightarrow s'$$

\* exact up to the Trotter break-up error  $\propto \Delta\tau^2$

rule of thumb  $\rightarrow \frac{\Delta\tau U}{2} < 1$

$$\beta = \Delta\tau L \approx \frac{1}{2} 128 = 64$$

$T_{qmc} \approx 10^{-2}$

$\sim 1/2$  hour

~~CRAY YMP~~ ('93)

pentium III ('99)

No tricks in the "impurity" routine (QMC)  
(Fye & Hirsch)

\* autocorrelation  $\sim 2$  so store every other sweep

\* warm up (thermalization of the  $S(\tau)$  pseudo spins)  $\sim 500$  sweeps

\* "dirty" vs "clean" inversion

$$G_{\tau\tau'}^{-1} = G_{0\tau\tau'}^{-1} + \lambda S(\tau) \delta_{\tau\tau'}$$

need to store  $G_{\tau\tau}$  (invert matrix)

"dirty"  $\rightarrow$  Sherman-Morrison formula  $L^2$  operations

"clean"  $\rightarrow$  direct inversion (Gauss Jordan)  $L^3$  operations

bottle neck !

$\sim 500$  dirty sweeps every other clean

is OK in general.

\* "wrap around"

$$G_{\tau, \tau'}^i \quad i = 1, \dots, N_{\text{store}}$$

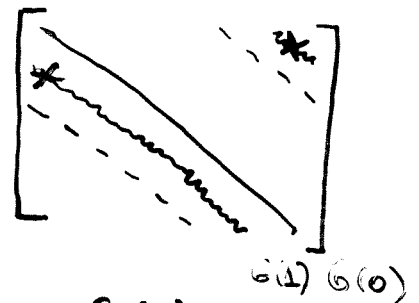
$$G(\tau) = \frac{1}{L \times N_{\text{store}}} \sum_i^{N_s} \sum_{\tau'}^L G_{\tau+\tau, \tau'}^i$$

$$G(-\tau) = -G(\beta - \tau)$$

$$\tau' + \tau = \text{mod}_L(\tau' + \tau)$$

$$1, L = 1 - L \rightarrow -G(1 - L + L) = -G(1)$$

$$2, 1 = 2 - 1 \rightarrow G(1)$$



Very nice but... \*  $T \rightarrow 0$  is numerically expensive  
 \* imaginary axis results.

$\Rightarrow$  need to analytically continue <sup>\* noisy \*</sup> data to the real axis. It's a mathematically ill-posed problem. Something can be done using Maximum-Entropy methods.

# Tricks

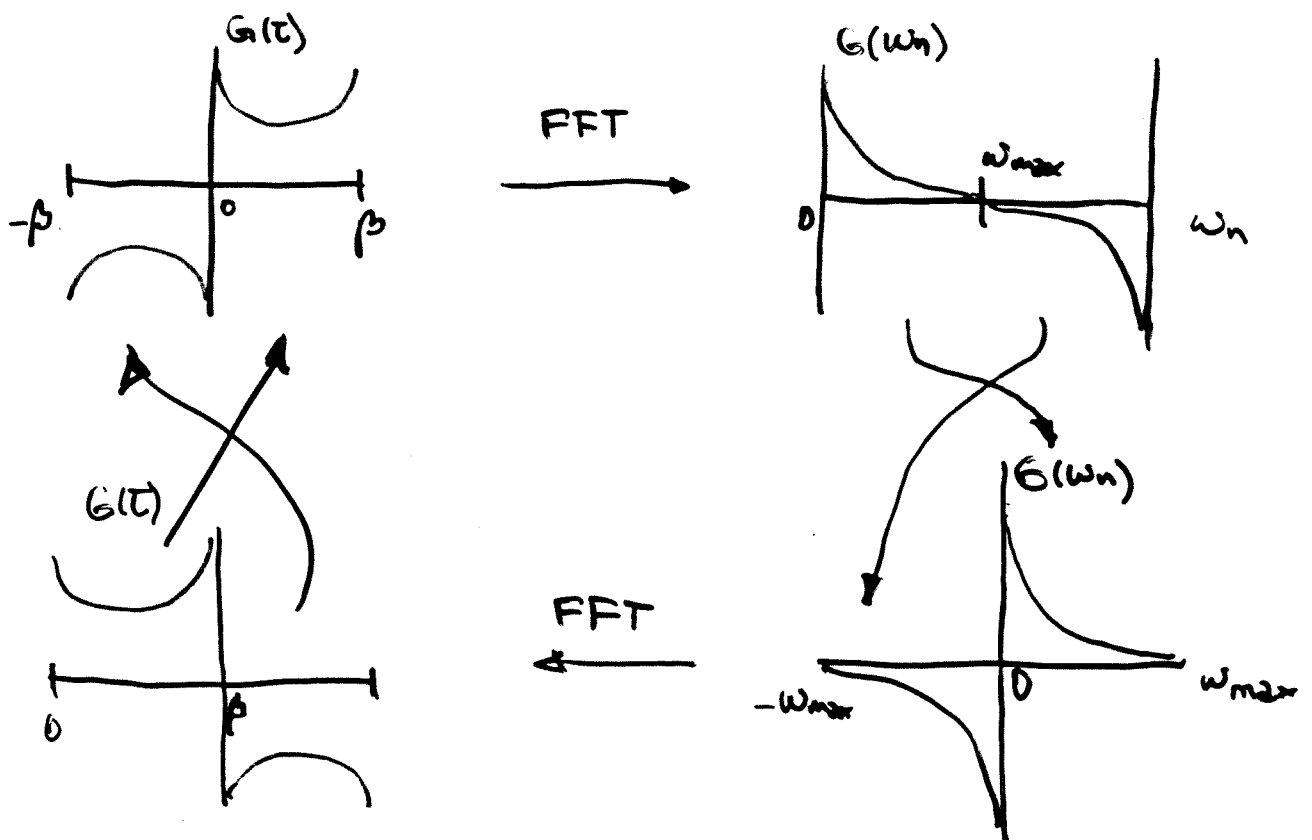
1) Self consistency condition

$$G(\omega_n) = \frac{1}{i\omega_n + \text{sg}(\omega_n) i\Delta}$$

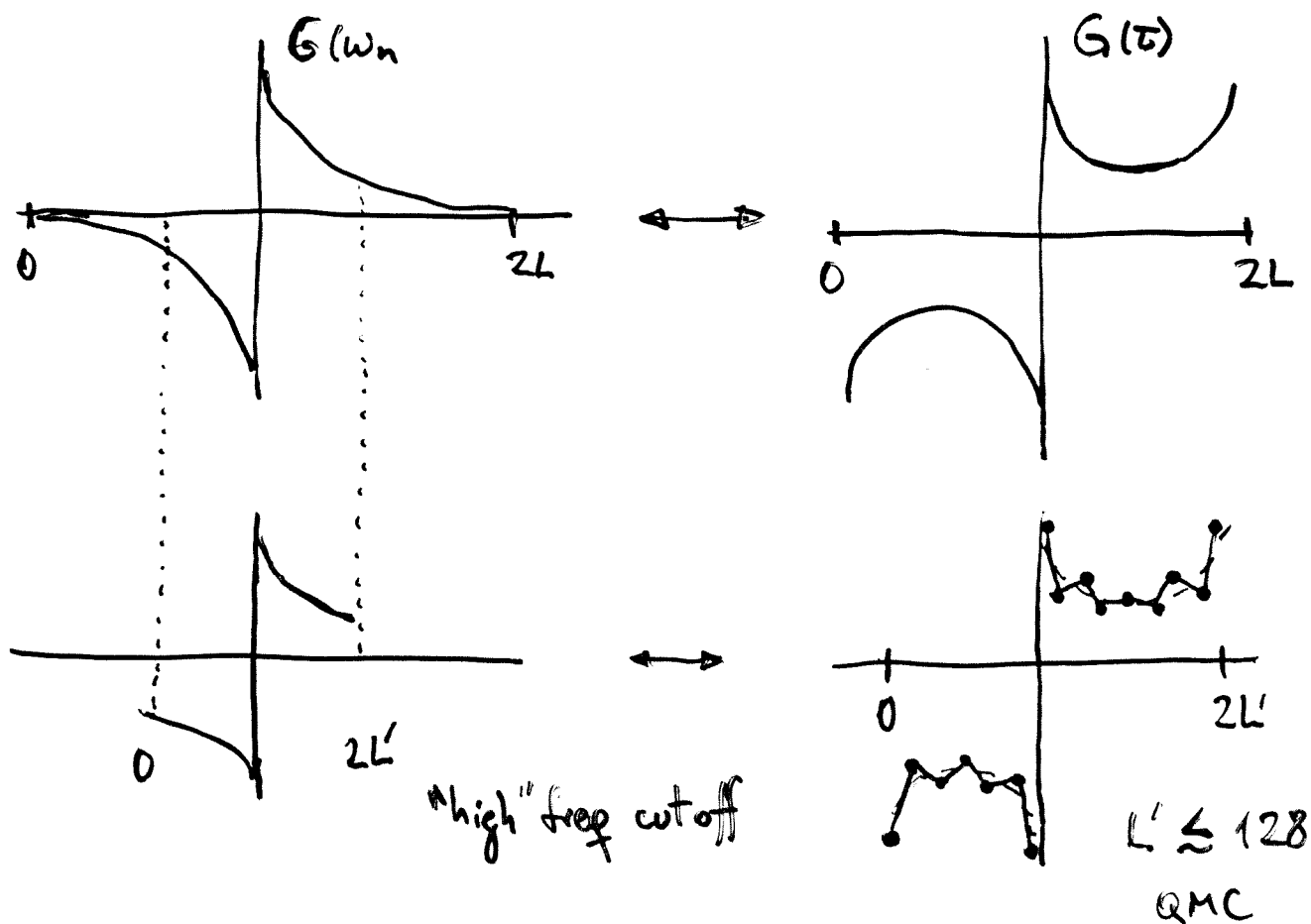
$$\Rightarrow G(\omega_n) = \frac{1}{i\omega_n - \mu - \Sigma(\omega_n) + \text{sg} \sqrt{(i\omega_n - \mu - \Sigma(\omega_n))^2 - D^2}}$$

$$\text{sg} = \text{sg}(\omega_n - \text{Im}[\Sigma]) \cdot \text{sg}(\text{Im}[\sqrt{\dots}])$$

2) FFT  $\rightarrow$  cycling



### 3) FFT → extract - interp



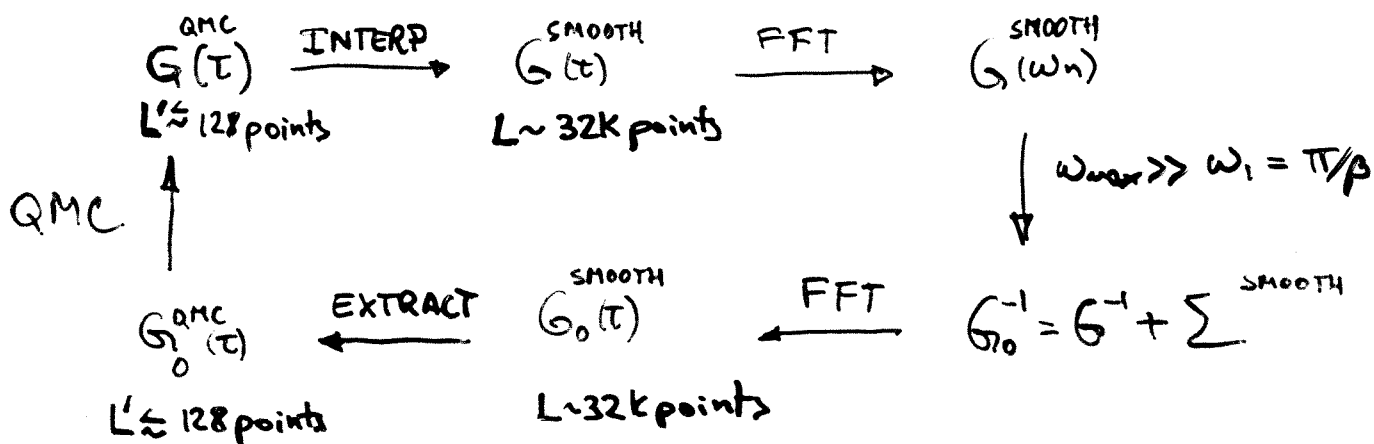
The trick is to interpolate the QMC result  $G(\tau)$

$$G(\tau) = \frac{1}{\beta} \sum_n e^{i\omega_n \tau} G(\omega_n)$$

is continuous  
 $-\beta \leq \tau \leq \beta$

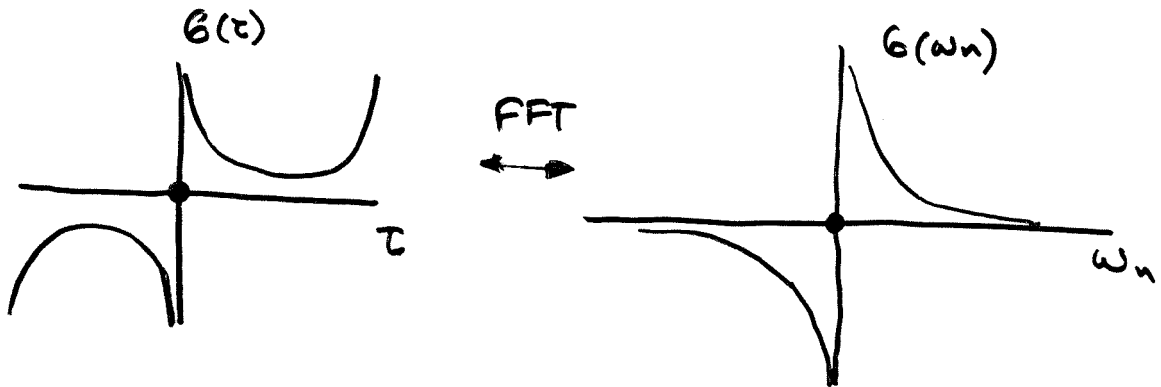
$$G(\omega_n) = \int_0^\beta e^{-i\omega_n \tau} G(\tau)$$

is discrete.



4) FFT  $\leftrightarrow$  QMC  $G(0^+)$  ?

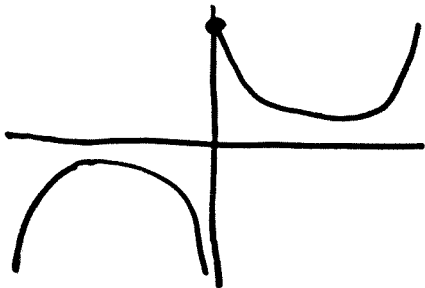
p-h symmetry for the sake of the argument.



$$G(0^+) = 1 - n$$

$n = \text{part number}$

$$G(0^+) - G(0^-) = 1$$



$$\text{QMC } G(0) \equiv G(0^+)$$

Trick  $G_0^{\text{QMC}}(0^+) \equiv G_0^{\text{QMC}}(0) = G_0^{\text{SMOOTH}}(0) + \frac{1}{2}$

then

$$G^{\text{SMOOTH}}(0) = G_0^{\text{QMC}}(0) - \frac{1}{2}$$

why? FFT for the  $\omega_n$  or  $\tau = 0$  takes the average  $0^-$  &  $0^+$

$$G^{\text{SMOOTH}}(0) = \frac{G(0^+) + G(0^-)}{2} = \frac{G(0^+) + G(0^+) - 1}{2} = G(0^+) - \frac{1}{2}$$

\* independent of  $n$



Real systems are usually degenerate

$$\begin{aligned} d\text{-band} &\rightarrow E_g - 2 \text{ fold} \\ &\quad \hookrightarrow t_{eg} - 3 \text{ fold.} \end{aligned}$$

$\Rightarrow$  need to implement multi-orbital impurity

Two-band QMC code (2-orbital)

since  $t_{vv'} = t_{vv} \delta_{vv'}$

$$G_{\sigma}(\tau) \rightarrow G_{\sigma v}(\tau)$$

the generalization is easy.

\* Flow diagram is identical

\* relevant changes in the impurity routine.

1-orbital case

$$H-S \quad e^{-\Delta\tau U n_{\uparrow} n_{\downarrow} + \Delta\tau \frac{U}{2} (n_{\uparrow} + n_{\downarrow})} = \frac{1}{2} \sum_{s=\pm} e^{\lambda s (n_{\uparrow} - n_{\downarrow})}$$

2-orbital

$$U n_{1\uparrow} n_{1\downarrow} ; U n_{2\uparrow} n_{2\downarrow} ; U n_{1\uparrow} n_{2\uparrow} ; U n_{2\downarrow} n_{1\downarrow} ; U n_{1\uparrow} n_{2\downarrow} ; U n_{1\downarrow} n_{2\uparrow}$$

$\Rightarrow$  need to do 6 H-S trafo

$$\Rightarrow S_1(\tau) ; S_2(\tau) ; S_3(\tau) ; S_4(\tau) ; S_5(\tau) ; S_6(\tau)$$

⑧

$$e^{\sum_{\substack{\nu, \sigma \\ s, s'}}' -\Delta\tau U(n_{\nu\sigma} - \frac{1}{2})(n_{\nu'\sigma'} - \frac{1}{2})} = \frac{6U}{4}$$

$$= \left(\frac{1}{2}\right)^6 \sum_{s^1, \dots, s^6} e^{\{\lambda s^1(n_{1\uparrow} - n_{1\downarrow}) + \lambda s^2(n_{2\uparrow} - n_{2\downarrow}) + \dots\}}$$

$$= \left(\frac{1}{2}\right)^6 \sum_{s^1, \dots, s^6} e^{\{\lambda(s^1 + s^3 + s^5)n_{1\uparrow} + \lambda(-s^1 + s^4 + s^6)n_{1\downarrow} + \lambda(s^2 - s^4 - s^5)n_{2\uparrow} + \lambda(-s^2 - s^3 - s^6)n_{2\downarrow}\}}$$

$$G_{1\uparrow}^{-1} = G_{1\uparrow}^{0-1}(\tau, \tau') + \lambda (s^1(\tau) + s^3(\tau) + s^5(\tau)) \delta_{\tau\tau'}$$

$$G_{1\downarrow}^{-1} = G_{1\downarrow}^{0-1}(\tau, \tau') + \lambda (-s^1(\tau) + s^4(\tau) + s^6(\tau)) \delta_{\tau\tau'}$$

$$G_{2\uparrow}^{-1} = \begin{matrix} : & : & : \\ : & : & : \\ : & : & : \end{matrix}$$

$$G_{2\downarrow}^{-1} = \begin{matrix} : & : & : \\ : & : & : \\ : & : & : \end{matrix}$$

$$\Rightarrow \chi f(4, 6) \equiv \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & -1 & -1 & 0 \\ 0 & -1 & -1 & 0 & 0 & -1 \end{pmatrix}$$

$$Vn(L, 6) \equiv \begin{pmatrix} | & | & | & | & | & | \\ V_1 & V_2 & V_3 & V_4 & V_5 & V_6 \\ | & | & | & | & | & | \end{pmatrix}$$

$$V_i = \lambda s_i$$

$$G = \begin{bmatrix} G_{1\uparrow} & & & \\ & G_{1\downarrow} & & \\ & & G_{2\uparrow} & \\ & & & G_{2\downarrow} \end{bmatrix}$$

$$Z = \sum \det(G_{1\uparrow}^{-1}) \det(G_{1\downarrow}^{-1}) \det(G_{2\uparrow}^{-1}) \det(G_{2\downarrow}^{-1})$$

$S_{\tau}^i \rightarrow -S_{\tau}^i$  will affect only 2  $G^{-1}$ :  $u_{\tau}^i$  &  $u'_{\tau}^i$

$$R \rightarrow \frac{\det [G_{\sigma}^u(s) G_{\sigma'}^u(s)]}{\det [G_{\sigma}^u(s') G_{\sigma'}^u(s')]}$$

3 orbitals ( $t_{2g}$ )  $\binom{6}{2} = 15$   $s^i$  fields

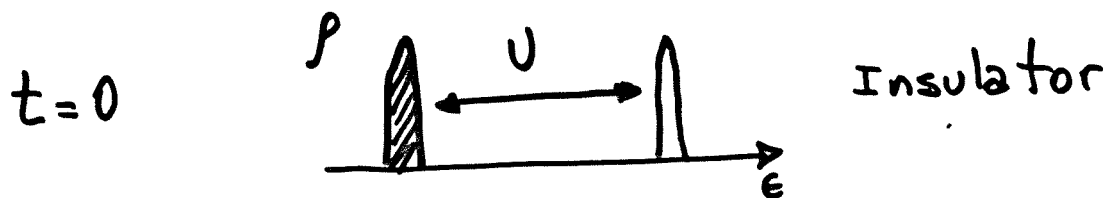
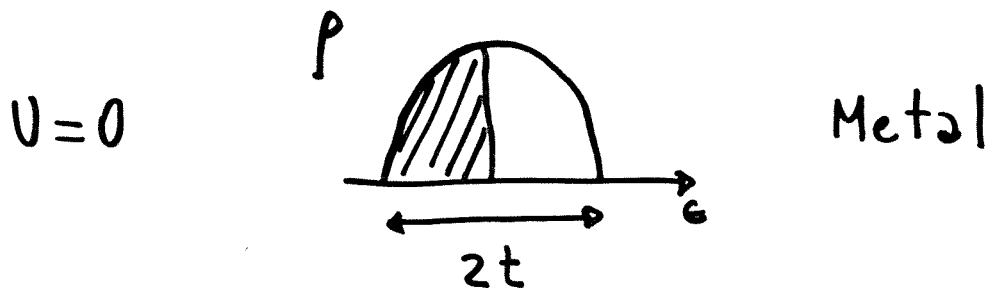
5 orbitals (full d-band)  $\binom{10}{2} = 45$   $s^i$  fields

parallelization is easy

Hubbard model has the basic ingredients

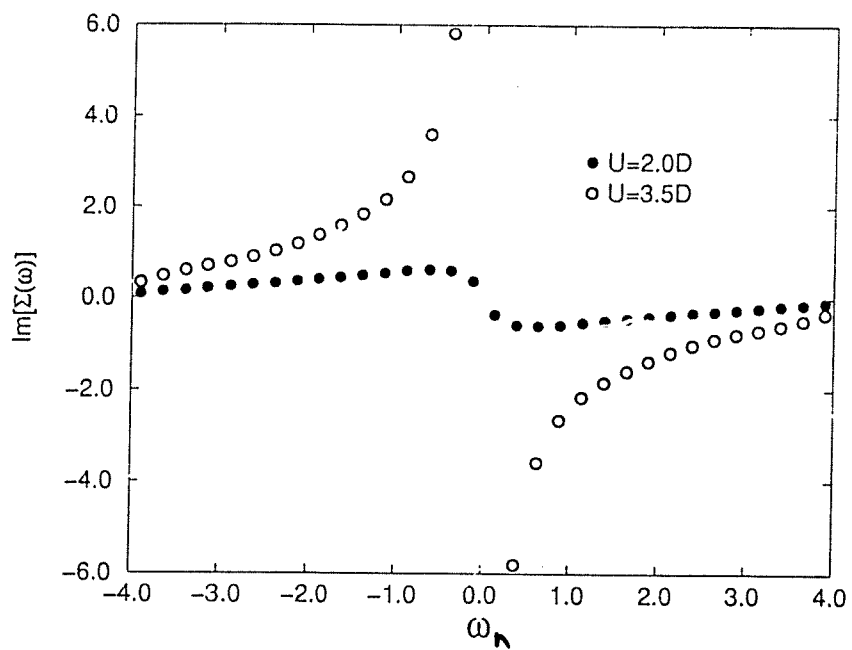
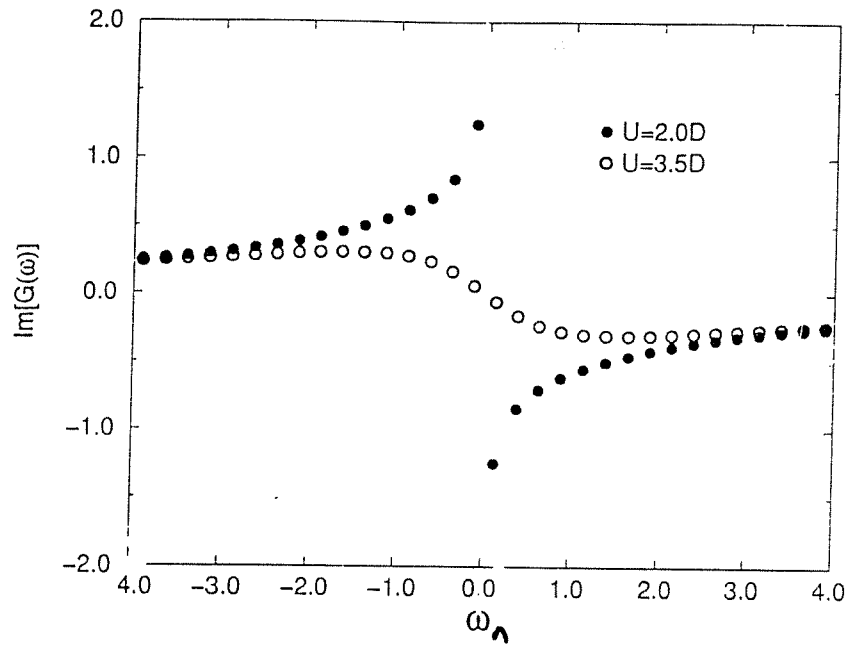
$$H = -t \sum_{\langle ij \rangle} c_{i\sigma}^{\dagger} c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

\* PM phase,  $1/2$  filling

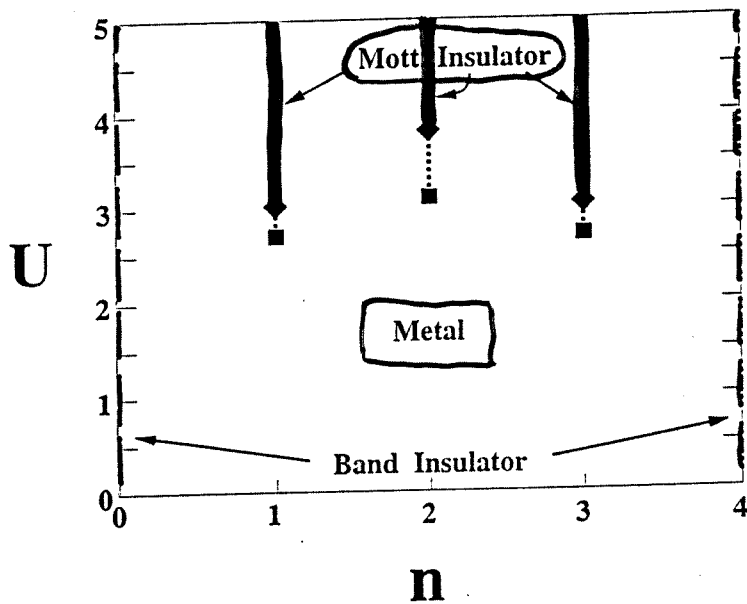


\* so far solution exists in  $d=1$   
(always insulator,  $U \neq 0$ )

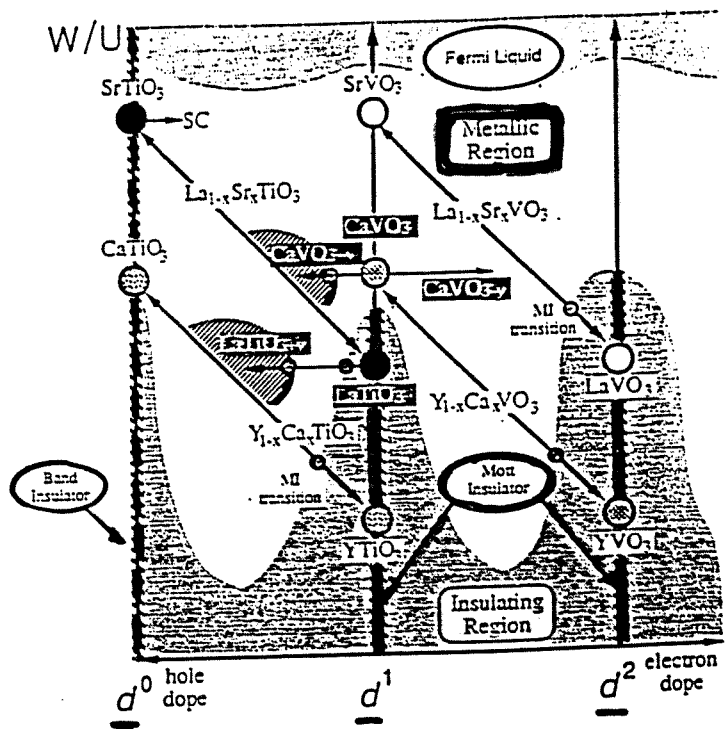
# Metal Insulator Transition (QMC)



# Phase diagram of 2-band degenerate Hubbard model (PM)



Schematic Diagram of Carrier concentration vs. Electron correlation



Ti	4-	3-	(2+)
V	5+	4+	3+
Nb	5+	(4+)	3+
Cr	6-	5-	4-

# Nuts & bolts of the QMC-DMFT code

Input file: fort.50

```
0.5d0,0.0d0,1
1.d0,1,0.1d0,1,0.1d0
2.0d0
2.0d0
2.0d0
2.0d0
2.0d0
1,1
1,1
20000,20000,1000
```

```
dtaureal,du,nu
d,nloop,dmu,nmu,xmu0
u(1)
...
u(6)
input,imet
igf,inp
nsweep,nsweep0,ndirty
```

```
input=1 reads seed (fort.20)
      =0 generates new seed
imet=1 met seed
      =0 ins seed (use only at 1/2 filling)
igf=1 prints out the gf's
inp=1 prints out the part number
nsweep= number of sweeps
nsweep0= number of sweeps in the last iteration
ndirty= number of dirty updates
```

Output files

```
'fort.12  G (tau)'  
'fort.13  Go(tau)'  
'fort.60  Im G (w)'  
'fort.61  Re G (w)'  
'fort.40  Im Go(w)'  
'fort.41  Re Go(w)'  
'fort.30  Im Se(w)'  
'fort.41  Re Se(w)'  
'fort.80  <docc>'  
'fort.90  <occ>'  
'fort.3   seed'
```