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**International Centre for Theoretical Physics**

  
United Nations  
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**SMR.1670 - 2**

# **INTRODUCTION TO MICROFLUIDICS**

**8 - 26 August 2005**

**Gas Micro Flows**

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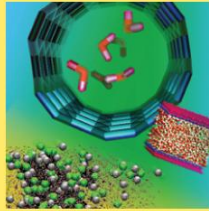
**Shear Driven Flows**

**A. Beskok**  
**Texas A&M University. U.S.A.**

INTERDISCIPLINARY APPLIED MATHEMATICS

## Microflows and Nanoflows

Fundamentals and Simulation



George Karniadakis  
Ali Beskok  
Narayan Aluru

 Springer

# Ali Beşkök

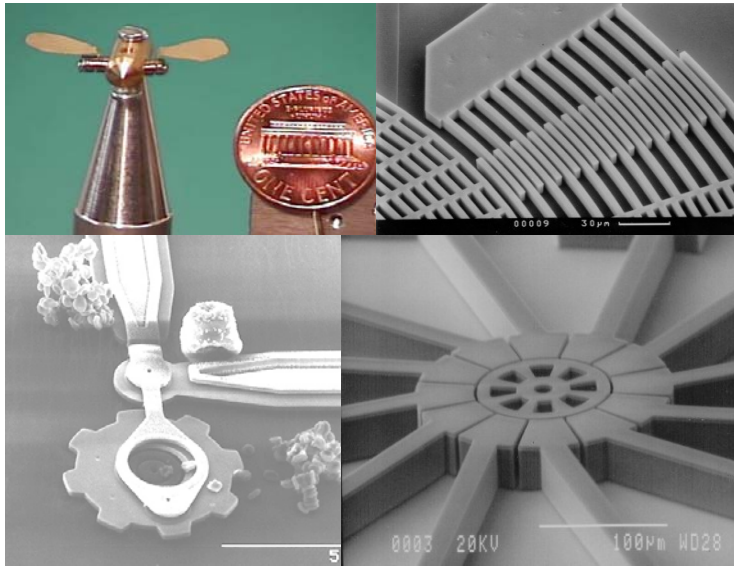
Texas A&M University  
Mechanical Engineering Department  
College Station, TX 77843  
abeskok@tamu.edu

## Introduction to Microfluidics

8-26 August, 2005

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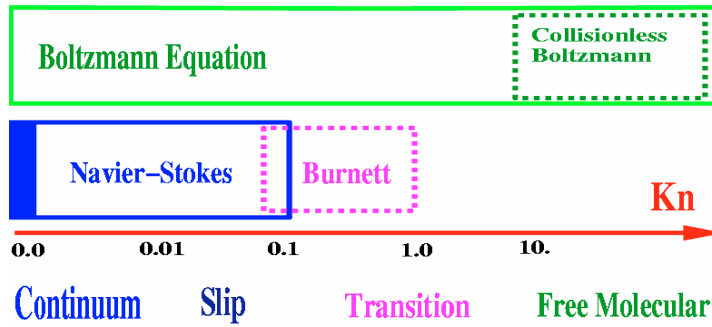
## Gas Micro Flows: Examples



Mean free path  
 $\lambda$   
an *intrinsic*  
length scale

$$l \approx 1\mu\text{m}$$
$$\lambda \approx 0.065\mu\text{m}$$
$$\text{Kn} = \frac{\lambda}{l}$$

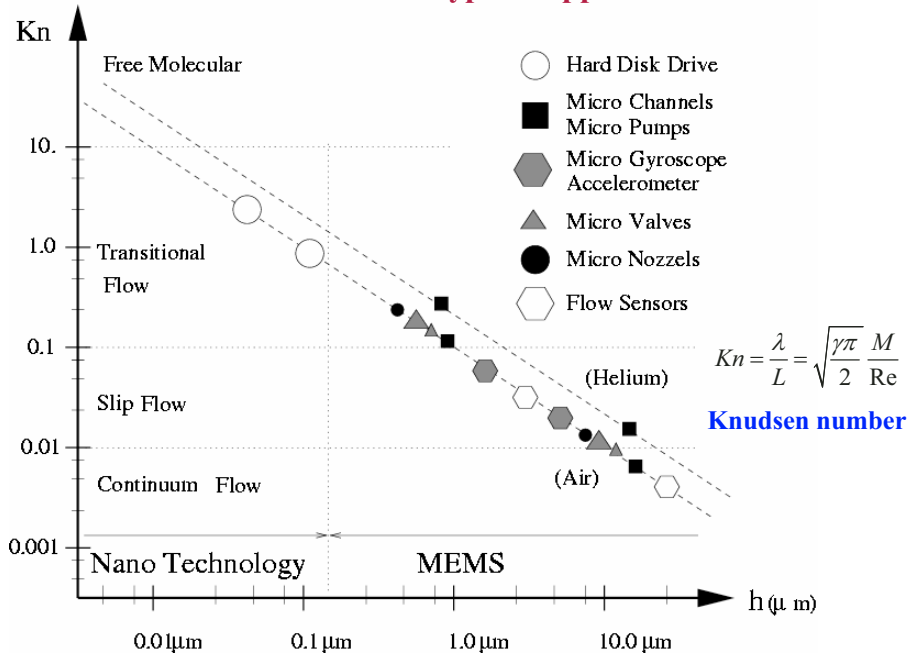
## FLOW REGIMES



$$Kn = \frac{\lambda}{L} \quad \text{Local Knudsen Number}$$

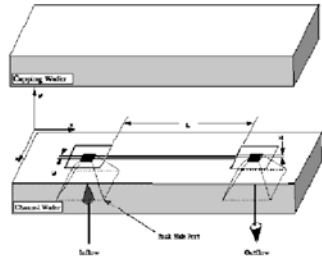
•Chapman-Enskog expansion:  $f = f_0(1 + \underline{aKn} + \underline{bKn^2} + \dots)$

### Gas Micro Flows: Typical Applications

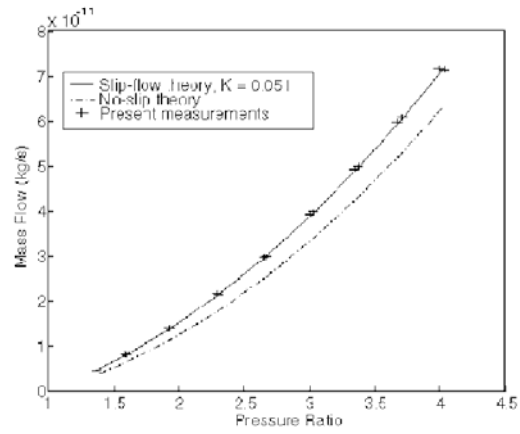


## Experimental Results

## Micro Channel Measurements

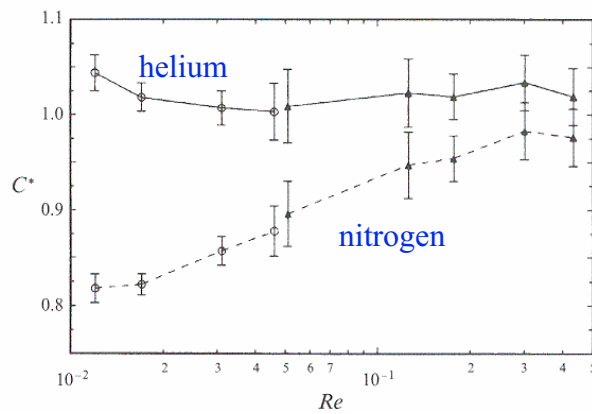


Courtesy of K. Breuer



$$\frac{\dot{M}_{slip}}{\dot{M}_{no-slip}} = 1 + 12 \left( \frac{2 - \sigma}{\sigma} \right) \frac{Kn_{out}}{\Pi + 1}, \quad \Pi = \frac{P_{in}}{P_{out}}$$

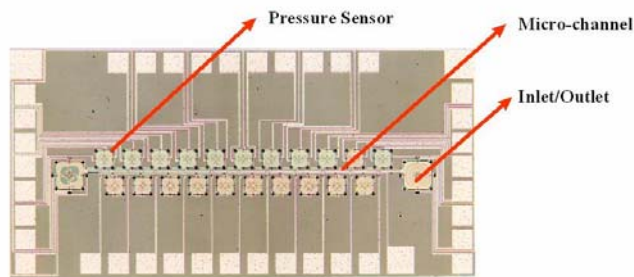
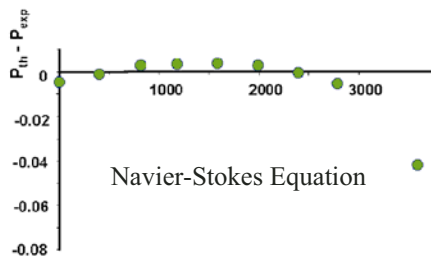
## Deviations from Continuum



• **Microchannel:** 0.51 microns (Bau et al., U Penn, 1988)

- $C^* = Po_{ex} / Po_{th}$  where  $Po = C_f Re$
- $Po = 64$  (pipe)
- $Po = 96$  (2D channel)

## Pressure Measurements in Early Transition Regime

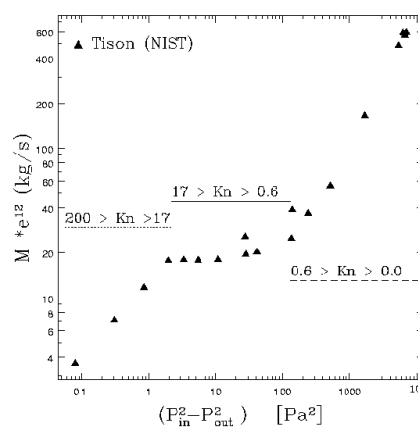
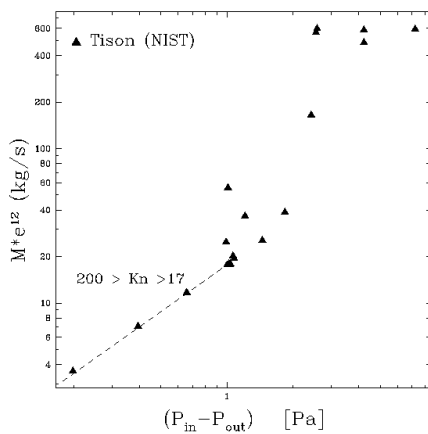


Kn=0.15

*Courtesy of C.M. Ho*

## Mass Flow Rate versus Pressure Drop (Smooth Tubes)

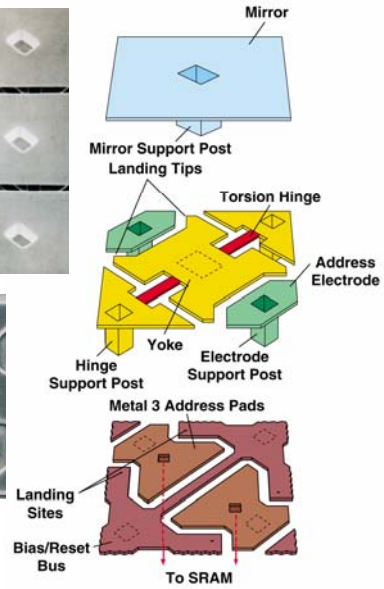
•Pipe Flow (2mm x 200 mm; gas at low pressure)



## A Realistic Geometry: Digital Micro-Mirror Device™

### Digital Light Processing™

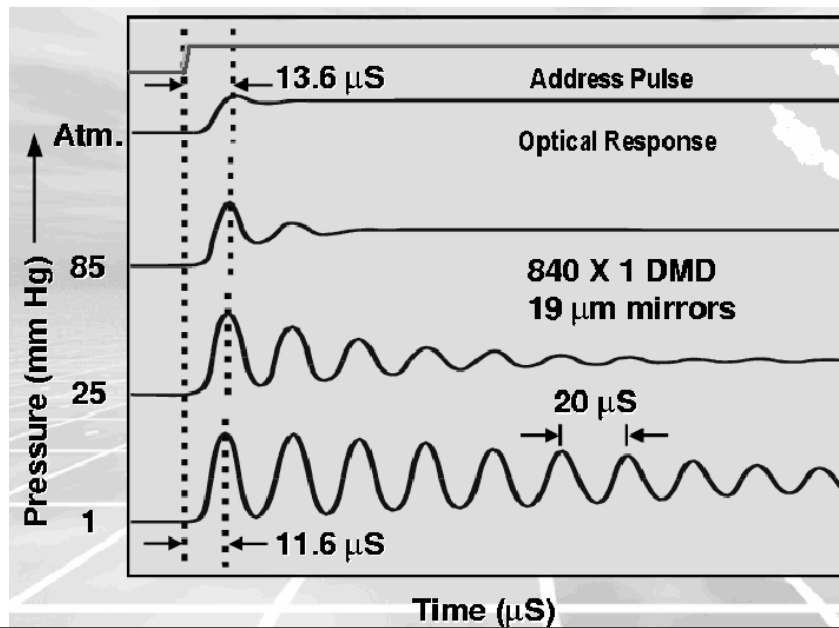
- 848 x 600 pixels
- 1280 x 1024 pixels
- 16µm x 16µm w/ 1 µm separation



Courtesy of Texas Instruments

## DMD™ Air Damping Effects & Transient Response

Courtesy of Texas Instruments



## Challenges

- Fundamental understanding of transport in slip, transition and free molecular flow regimes (including the compressibility, rarefaction, viscous heating & thermal creep)
- Engineering models for prototype flows
- Simulation methods for gas micro flows & model verification.

## Review of Molecular Magnitudes for Gas Flows

Consider Air at 0°C, and 1 atm

$$P = nk_b T, \quad (\text{Perfect Gas Law})$$

$$n \approx 2.69 \times 10^{25} \text{ molecules/m}^3 \quad (\text{Number Density})$$

$$\delta = n^{-3} \approx 3.3 \times 10^{-9} \text{ m} \quad (\text{Mean Molecular Spacing})$$

$$d \approx 3.7 \times 10^{-10} \text{ m} \quad (\text{Molecular Diameter})$$

}  $\delta/d \gg 1$  Dilute Gas

$$\lambda = 1/\sqrt{2} \pi n d^2 \approx 6.5 \times 10^{-8} \text{ m} \quad (\text{Mean Free Path})$$

$$\bar{c} = \sqrt{3RT} \approx 486 \text{ m/s} \quad (\text{Mean Square Molecular Speed})$$

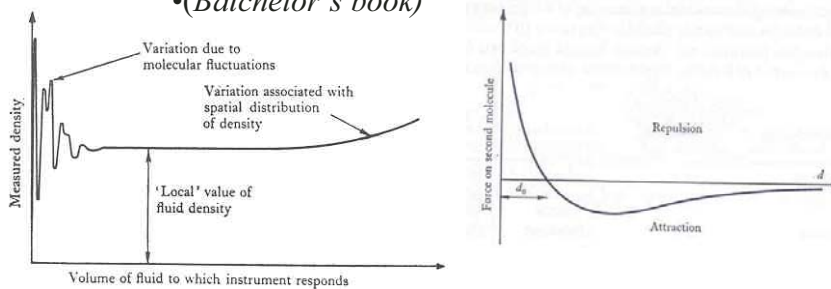
$$t_c \approx 10^{-10} \text{ s} \quad (\text{Mean Collision time})$$

## The Continuum Hypothesis

- How small should a volume of fluid be so that we can assign it *mean* properties?
- At what scales will the statistical fluctuations be significant?
- Are the low-pressure rarefied gas flows *dynamically similar* to the gas micro-flows?

## The Continuum Hypothesis

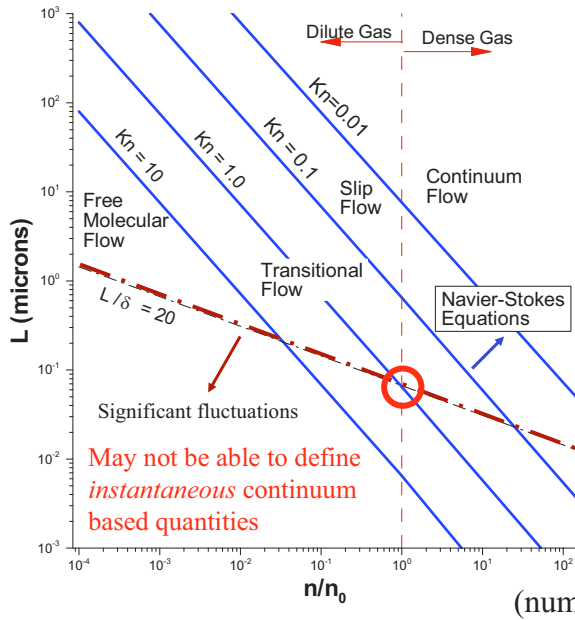
•(Batchelor's book)



Type	Force (molec)	Motion ( $d_0$ )	Structure (molec)	statistics
Solid	Strong	$\ll 1$	ordered	quantum
Liquid	Medium	$O(1)$	Semi-order	quantum+ classical
gas	weak	$\gg 1$	disordered	classical



# The Continuum Hypothesis

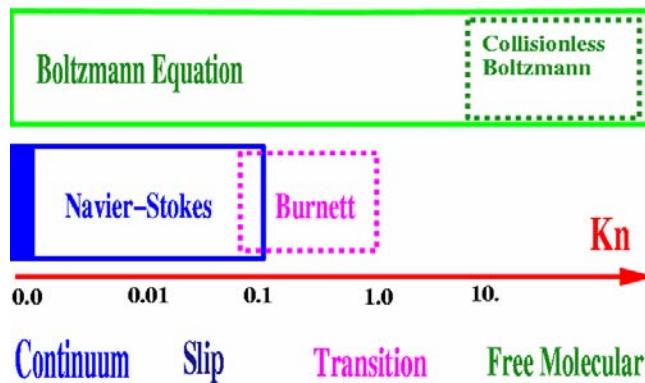


1% Statistical Fluctuations for sample volume that contains 10,000 molecules

At standard conditions, a sample volume of  $3.7 \times 10^{-10} \text{ m}^3$  results in 1% statistical fluctuations. This corresponds to a cubic volume with side 65nm.

# Continuum & Slip Flow Regimes

$$Kn < 0.1$$



## Conservation Laws

$$\frac{\partial}{\partial t} \begin{pmatrix} \rho \\ \rho u_1 \\ \rho u_2 \\ E \end{pmatrix} + \frac{\partial}{\partial x_1} \begin{pmatrix} \rho u_1 \\ \rho u_1^2 + p + \sigma_{11} \\ \rho u_1 u_2 + \sigma_{12} \\ (E + p + \sigma_{11}) \cdot u_1 + \sigma_{12} \cdot u_2 + q_1 \end{pmatrix} + \frac{\partial}{\partial x_2} \begin{pmatrix} \rho u_2 \\ \rho u_1 u_2 + \sigma_{21} \\ \rho u_2^2 + p + \sigma_{22} \\ (E + p + \sigma_{22}) \cdot u_2 + \sigma_{21} \cdot u_1 + q_2 \end{pmatrix} = 0$$

### Constitutive Equations: (Navier-Stokes level stress tensor)

$$\sigma_{ij}^{N-S} = -\mu \left( \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right) + \mu \frac{2}{3} \frac{\partial u_m}{\partial x_m} \delta_{ij} - \zeta \frac{\partial u_m}{\partial x_m} \delta_{ij},$$

## Compressible Navier Stokes Equations

$$\frac{\partial}{\partial t} \begin{pmatrix} \rho \\ \rho u \\ \rho v \\ E \end{pmatrix} + \frac{\partial}{\partial x} \begin{pmatrix} \rho u \\ \rho u^2 + p \\ \rho uv \\ (E + p)u \end{pmatrix} + \frac{\partial}{\partial y} \begin{pmatrix} \rho v \\ \rho vu \\ \rho v^2 + p \\ (E + p)v \end{pmatrix} = \frac{1}{\text{Re}} \frac{\partial}{\partial x} \begin{pmatrix} 0 \\ \frac{2}{3} \mu \left( 2 \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) \\ \mu \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ \frac{2}{3} \mu \left( 2 \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) \cdot v + \mu \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \cdot u + \frac{\kappa \gamma}{\text{Pr}} \cdot \frac{\partial T}{\partial y} \end{pmatrix} + \frac{1}{\text{Re}} \frac{\partial}{\partial y} \begin{pmatrix} 0 \\ \mu \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ \frac{2}{3} \mu \left( 2 \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) \\ \frac{2}{3} \mu \left( 2 \frac{\partial v}{\partial y} - \frac{\partial u}{\partial x} \right) \cdot v + \mu \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \cdot u + \frac{\kappa \gamma}{\text{Pr}} \cdot \frac{\partial T}{\partial y} \end{pmatrix}$$

$p = (\gamma - 1) \rho T$      **Ideal gas equation of state**

$E = \rho \left( T + \frac{1}{2} (u^2 + v^2) \right),$

$T_o = U_o^2 / C_v$       $\frac{\mu}{\mu_o} = \left( \frac{T}{T_o} \right)^{3/2} \frac{T_o + S_1}{T + S_1}$

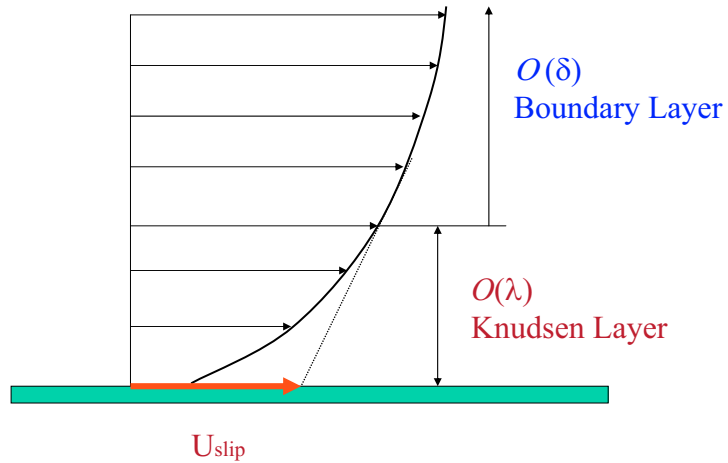
## **GOVERNING EQUATIONS** **Compressible Navier-Stokes Equations**

- Valid for continuum **and** slip flow regimes ( $Kn < 0.1$ )
- Newtonian fluid
- Thermal stresses (derived from Boltzmann) **not** included

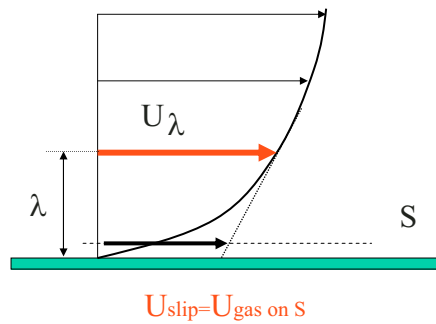
$$\frac{\partial^2 T}{\partial x_i \partial x_j} - \frac{1}{3} \frac{\partial^2 T}{\partial x_k^2} \delta_{ij}$$

How About the Boundary  
Conditions?

## Why Slip ?



## Tangential velocity of gas near an isothermal surface



$$U_s = \frac{1}{2} [U_\lambda + (1 - \sigma) U_\lambda + \sigma U_w]$$

Can be used as a generalized slip model

Tang velocity of impinging molecules


Tang velocity of reflected molecules

### Taylor series expand $U_\lambda$

$$u_s = \frac{1}{2} \left[ u_s + \lambda \left( \frac{\partial u}{\partial n} \right)_s + \frac{\lambda^2}{2} \left( \frac{\partial^2 u}{\partial n^2} \right)_s + \dots \right] + \frac{1}{2} \left\{ (1 - \sigma_v) \left[ u_s + \lambda \left( \frac{\partial u}{\partial n} \right)_s + \frac{\lambda^2}{2} \left( \frac{\partial^2 u}{\partial n^2} \right)_s + \dots \right] + \sigma_v \cdot u_w \right\},$$

Rearrange

$$u_s - u_w = \frac{2 - \sigma_v}{\sigma_v} \left[ \lambda \left( \frac{\partial u}{\partial n} \right)_s + \frac{\lambda^2}{2} \left( \frac{\partial^2 u}{\partial n^2} \right)_s + \dots \right].$$

$$U_s = \frac{1}{2} [U_\lambda + (1 - \sigma) U_\lambda + \sigma U_w]$$


Nondimensionalize

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} \left[ Kn \left( \frac{\partial U}{\partial n} \right)_s \right] \text{ Truncate}$$

### First Order Slip Boundary Conditions

$$u_s - u_w = \frac{2 - \sigma_v}{\sigma_v} \frac{1}{\rho(2RT_w/\pi)^{1/2}} \left[ \tau_i + \frac{3 Pr(\gamma - 1)}{4 \gamma \rho RT_w} (-q_s) \right]$$

$$T_s - T_w = \frac{2 - \sigma_T}{\sigma_T} \left[ \frac{2(\gamma - 1)}{\gamma + 1} \right] \frac{1}{R\rho(2RT_w/\pi)^{1/2}} (-q_n),$$

Thermal Creep

Tangential Momentum Accommodation Coefficient

$$\sigma_v = \frac{\tau_i - \tau_r}{\tau_i - \tau_w} \quad \sigma_v = 0 \text{ is called } \mathbf{specular reflection},$$

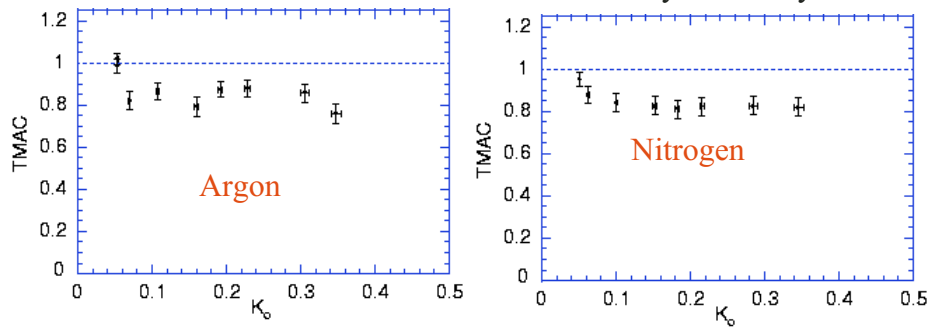
$$\frac{\partial u_s}{\partial n} \rightarrow 0 \text{ as } \sigma_v \rightarrow 0,$$

$\sigma_v = 1$  is called **diffuse reflection**.

$$\sigma_T = \frac{dE_i - dE_r}{dE_i - dE_w}, \quad \text{Energy Accommodation Coef.}$$

## Accommodation Coefficients

• Courtesy of Kenny Breuer

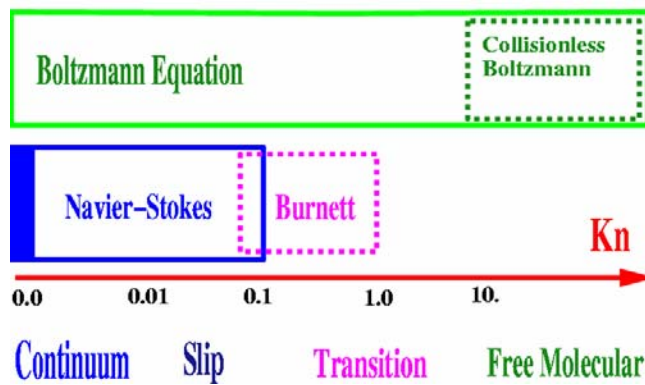


- ★  $\sigma_v=0$  corresponds to *specular reflection*
- ★  $\sigma_v=1$  corresponds to *diffuse reflection*

$$\sigma_v = \frac{\tau_i - \tau_r}{\tau_i - \tau_w}$$

References: *Seidl & Steible (1974); Lord (1976)*

## Transition Regime Flows



## Conservation Laws

$$\frac{\partial}{\partial t} \begin{pmatrix} \rho \\ \rho u_1 \\ \rho u_2 \\ E \end{pmatrix} + \frac{\partial}{\partial x_1} \begin{pmatrix} \rho u_1 \\ \rho u_1^2 + p + \sigma_{11} \\ \rho u_1 u_2 + \sigma_{12} \\ (E + p + \sigma_{11}) \cdot u_1 + \sigma_{12} \cdot u_2 + q_1 \end{pmatrix} + \frac{\partial}{\partial x_2} \begin{pmatrix} \rho u_2 \\ \rho u_1 u_2 + \sigma_{21} \\ \rho u_2^2 + p + \sigma_{22} \\ (E + p + \sigma_{22}) \cdot u_2 + \sigma_{21} \cdot u_1 + q_2 \end{pmatrix} = 0$$

## Governing Equations: Burnett Equations

Stress Tensor:

$$\sigma_{ij} = -2\mu \frac{\bar{\partial} u_i}{\partial x_j} + \frac{\mu^2}{p} \left[ \omega_1 \frac{\partial u_k}{\partial x_k} \frac{\bar{\partial} u_i}{\partial x_j} + \omega_2 \left( \frac{D}{Dt} \frac{\bar{\partial} u_i}{\partial x_j} - 2 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right) + \omega_3 R \frac{\partial^2 T}{\partial x_i \partial x_j} \right] + \frac{\mu^2}{p} \left[ \omega_4 \frac{1}{\rho T} \frac{\partial p}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_5 \frac{R}{T} \frac{\partial T}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_6 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right]$$

Where bar defines a non-divergent symmetric tensor:

$$\bar{f}_{ij} = (f_{ij} + f_{ji}) / 2 - \delta_{ij} f_{mm} / 3$$

Boundary Conditions: The Burnett equations are derived via a second-order Chapman-Enskog expansion in  $Kn$ , and they require second-order slip conditions. See Schamberg's second-order slip models.

## A Regularized Slip Condition

Perturbation expansion of the velocity field

$$U = U_0 + KnU_1 + Kn^2U_2 + Kn^3U_3 + \mathcal{O}(Kn^4).$$

Plug in to the generalized slip model

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} \left[ Kn \left( \frac{\partial U}{\partial n} \right)_s + \frac{Kn^2}{2} \left( \frac{\partial^2 U}{\partial n^2} \right)_s + \dots \right]$$

$$\mathcal{O}(1) : \quad U_0|_s = U_w$$

$$\mathcal{O}(Kn) : \quad U_1|_s = \frac{2 - \sigma}{\sigma} (U_0')|_s$$

$$\mathcal{O}(Kn^2) : \quad U_2|_s = \frac{2 - \sigma}{\sigma} \left( \frac{1}{2} U_0'' + U_1' \right) |_s$$

$$\mathcal{O}(Kn^3) : \quad U_3|_s = \frac{2 - \sigma}{\sigma} \left( U_2' + \frac{1}{2} U_1'' + \frac{1}{6} U_0''' \right) |_s,$$

**Postulate a regularized slip condition**

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} \frac{Kn}{1 - B(Kn) Kn} \left( \frac{\partial U}{\partial n} \right), \quad (1)$$

$$B(Kn) = B|_0 + \left. \frac{dB}{dKn} \right|_0 Kn + \dots = b + Kn c + \dots$$

For  $BKn \ll 1$  Use Geometric Series Expansion

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} Kn \frac{\partial U}{\partial n} [1 + bKn + (b^2 + c)Kn^2 + \dots]. \quad (2)$$

Perturbation expansion of the velocity field

$$U = U_0 + KnU_1 + Kn^2U_2 + Kn^3U_3 + \mathcal{O}(Kn^4).$$



Using (2) We get

$$\mathcal{O}(1) : U_0|_s = U_w$$

$$\mathcal{O}(Kn) : U_1|_s = \frac{2 - \sigma_v}{\sigma_v} (U_0')|_s$$

$$\mathcal{O}(Kn^2) : U_2|_s = \frac{2 - \sigma_v}{\sigma_v} (bU_0' + U_1')|_s$$

$$\mathcal{O}(Kn^3) : U_3|_s = \frac{2 - \sigma_v}{\sigma_v} (U_2' + bU_1' + (b^2 + c)U_0')|_s.$$

$$\mathcal{O}(1) : U_0|_s = U_w$$

$$\mathcal{O}(Kn) : U_1|_s = \frac{2 - \sigma}{\sigma} (U_0')|_s$$

$$\mathcal{O}(Kn^2) : U_2|_s = \frac{2 - \sigma}{\sigma} \left( \frac{1}{2} U_0'' + U_1' \right) |_s$$

$$\mathcal{O}(Kn^3) : U_3|_s = \frac{2 - \sigma}{\sigma} \left( U_2' + \frac{1}{2} U_1'' + \frac{1}{6} U_0''' \right) |_s,$$

Choose to match the second order terms

$$b = \frac{U_o''}{2U_o'} \Big|_s = \frac{1}{2} \frac{\left( \frac{\partial \omega}{\partial n} \right)_0}{\omega_0}$$

- The parameter  $b$  in equation (2.39) is the ratio of the vorticity flux to the wall vorticity, obtained in no-slip flow conditions. The value of  $b$  for simple flows can be found analytically.

$$U_s - U_w = \frac{2 - \sigma_v}{\sigma_v} \frac{Kn}{1 - BKn} \frac{\partial U}{\partial n} = \frac{2 - \sigma_v}{\sigma_v} \frac{Kn}{1 - bKn} \frac{\partial U}{\partial n} + \mathcal{O}(Kn^3),$$

$$U_s - U_w = \frac{(2 - \sigma)}{\sigma} \left( \frac{Kn}{1 - bKn} \right) \frac{\partial U}{\partial n}$$

This is a second-order slip BC in Kn.  
Regularized for large Kn

## Summary of Slip Boundary Conditions

$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} Kn \frac{\partial U}{\partial n}$ 
Maxwell 1879

$\rightarrow U_g = \frac{1}{2} [U_\lambda + (1-\sigma)U_\lambda + \sigma U_w]$  Generalized model

$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} \left\{ Kn \frac{\partial U}{\partial n} + \frac{Kn^2}{2} \frac{\partial^2 U}{\partial n^2} \right\}$  Second-order Model

$\rightarrow U_g - U_w = \frac{(2-\sigma)}{\sigma} \left( \frac{Kn}{1-bKn} \right) \frac{\partial U}{\partial n}$ 
Regularized model  
Second-order if  $b = \frac{U''_o}{2U'_o}|_s$

## Notes

- In the small Reynolds number limit, i.e.,  $Re \ll Kn \ll 1$ , asymptotic analysis of the Boltzmann equation shows that a consistent set of governing equations and boundary conditions up to  $\mathcal{O}(Kn^2)$  is the Stokes system with second-order slip boundary conditions, see section 15.4.2 and for details (Sone, 2002; Aoki, 2001).
- Rarefaction effects both in the aforementioned limit as well as in the limit of  $Re \sim \mathcal{O}(1) \rightarrow M \sim \mathcal{O}(Kn)$  come in only through the boundary condition. This has been proven rigorously using the Boltzmann equation in (Sone, 2002).
- The high-order boundary conditions proposed include Maxwell's first-order slip conditions (2.18, 2.19), as the leading order term. Hence, these results are correct up to  $\mathcal{O}(Kn)$  in the slip flow regime, irrespective of the formal order of the utilized slip conditions.
- The general boundary condition for slip (equation (2.43)) converges to a finite value for large  $Kn$  unlike the first-order Maxwell's boundary condition.

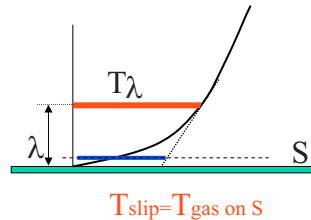
For non isothermal surfaces include thermal creep effects

$$u_s = \frac{1}{2}[u_\lambda + (1 - \sigma_v)u_\lambda + \sigma_v u_w] + \frac{3}{4} \frac{Pr(\gamma - 1)}{\gamma \rho R T_w} (-q_s).$$

### Temperature Jump:-

$$T_g - T_w = \frac{2 - \sigma_T}{\sigma_T} \left[ \frac{2\gamma}{\gamma + 1} \right] \frac{Kn}{Pr} \left( \frac{\partial T}{\partial n} \right) \quad \text{von Smoluchowski}$$

$$T_g = \frac{\frac{(2 - \sigma_T)}{Pr} \frac{2\gamma}{(\gamma + 1)} T_\lambda + \sigma_T T_w}{\sigma_T + \frac{(2 - \sigma_T)}{Pr} \frac{2\gamma}{(\gamma + 1)}}$$



## Pressure Driven Flows

### Slip Flow Regime

$$Kn < 0.1$$

## 1-D Analysis of Pressure Driven Gas Microflows



$$\rho_i u_i = \rho_o u_o, \quad \text{Continuity}$$

$$(P_i - P_o)h - 2L\tau = \dot{M}(u_o - u_i). \quad \text{Momentum (per unit width)}$$

$$\frac{(P_i - P_o)}{P_o} = \frac{\Delta P}{P_o} = 2\frac{L}{h} \frac{\tau}{P_o} + \frac{\dot{M}(u_o - u_i)}{hP_o},$$

where  $\frac{\Delta P}{P_o}$  represents the non-dimensional pressure drop. Concentrating on the term  $\frac{\dot{M}}{hP_o} = (\rho_o u_o)/P_o$  and using the continuity equation ( $u_o = u_i \rho_i / \rho_o$ ) and the equation of state for an ideal gas ( $\rho_i / \rho_o = P_i / P_o$ , assuming isothermal conditions) we obtain

$$\frac{\Delta P}{P_o} = 2\frac{L}{h} \frac{\tau}{P_o} + \frac{\rho_o u_o u_i}{P_o} \left( \frac{P_i}{P_o} - 1 \right).$$

Since  $P_o = \rho_o RT$ , and  $c_s^2 = \gamma RT$ , where  $c_s$  is the speed of sound, the above equation can be simplified as

$$\frac{\Delta P}{P_o} = 2\frac{L}{h} \frac{\tau}{P_o} + \gamma M_o M_i \frac{\Delta P}{P_o}, \quad (4.2)$$

where  $M$  denotes the Mach number at respective locations. Rearranging, we obtain

$$\frac{\Delta P}{P_o} (1 - \gamma M_o M_i) = 2\frac{L}{h} \frac{\tau}{P_o}.$$

Without further simplification we see that the inertial terms in the momentum equation (right-hand-side of equation (4.1)) can be neglected if  $\gamma M_o M_i \ll 1$ . To this end, we note that:

$$\frac{\Delta P}{P_o}(1 - \gamma M_o M_i) = 2 \frac{L}{h} \frac{\tau}{P_o}.$$

1. In microchannels with  $\frac{L}{h} \simeq 10^3 \sim 10^4$  relatively large pressure drops can be sustained for small Mach number flows.
2. Since the Mach number in microflows is usually small, the inertial effects are small. Therefore, we expect semi-analytic formulas based on balancing the pressure drop with drag on the channel walls to work reasonably well. (This is not true for micronozzles; see section 6.6.)
3. If the diffusion term is simplified by approximating the wall shear stress as  $\tau \sim \mu u/h$  and recognizing  $\mu/P_o \sim \lambda/c_s$ , we obtain

$$\frac{\Delta P}{P_o}(1 - \gamma M_o M_i) \simeq 2 \frac{L}{h} M_o Kn_o. \quad (4.3)$$

The above relation indicates the relative importance of compressibility effects in the slip flow regime.

In order to identify the relative importance of inertial terms in the momentum equation compared to the diffusion terms we compare their respective magnitudes

$$\frac{\rho u \frac{\partial u}{\partial x}}{\mu \frac{\partial^2 u}{\partial y^2}} \sim \frac{\rho u^2/L}{\mu u/h^2} = \frac{\rho u h}{\mu} \left( \frac{h}{L} \right) = Re \left( \frac{h}{L} \right).$$

A similar estimate can be obtained by taking the ratio of inertial terms to diffusion terms in equation (4.3) as

$$\frac{\frac{\Delta P}{P_o}(\gamma M_o M_i)}{\frac{L}{h} M_o Kn_o} \simeq \frac{M_i}{Kn_o} \frac{h}{L} \frac{\Delta P}{P_o} \simeq \frac{M_i}{Kn_i} \frac{h}{L} \frac{\Delta P}{P_i} \simeq \frac{h}{L} Re \frac{\Delta P}{P_i},$$

where we have used

$$Kn_o = \frac{P_i}{P_o} Kn_i, \quad \text{and} \quad Kn \sim \frac{M}{Re},$$

in order to arrive at the third and the fourth equations, respectively. Therefore, the above two estimates are similar, with an exception of the term

$$\frac{\Delta P}{P_i} = \frac{P_i - P_o}{P_i},$$

which is always smaller than unity. This analysis verifies that for relatively low  $Re$  flows ( $Re \leq \mathcal{O}(1)$ ) in large aspect ratio channels ( $L/h \gg 1$ ) the inertial effects in the momentum equation can be neglected. Under such conditions the momentum equation in the streamwise direction is reduced to the familiar form

$$\frac{dP}{dx} = \mu \frac{\partial^2 u}{\partial y^2}$$



$$\text{at } y=0 \text{ \& } y=h \quad U_g - U_w = \frac{(2-\sigma)}{\sigma} Kn \frac{\partial U}{\partial n}$$

Can you solve for the velocity profile?

**Using the second-order effects, we get**

$$U(y) = \frac{h^2}{2\mu} \frac{dP}{dx} \left[ \frac{y^2}{h^2} - \frac{y}{h} + \frac{2-\sigma_v}{\sigma_v} (Kn^2 - Kn) \right],$$

Mass flowrate

$$\dot{M} = \rho \int_0^h U(y) dy,$$

Equivalent  
⊗(Kn) Result

$$\dot{M} = \frac{h^3 P_o^2}{24\mu RTL} \left[ (\Pi^2 - 1) + 12 \frac{2-\sigma_v}{\sigma_v} (Kn_o(\Pi - 1) - Kn_o^2 \log_e \Pi) \right],$$

$\Pi$  is  $P_{in}/P_{out}$  Also note that  $p/\mu \propto \lambda$  &  $pKn = p_o Kn_o$

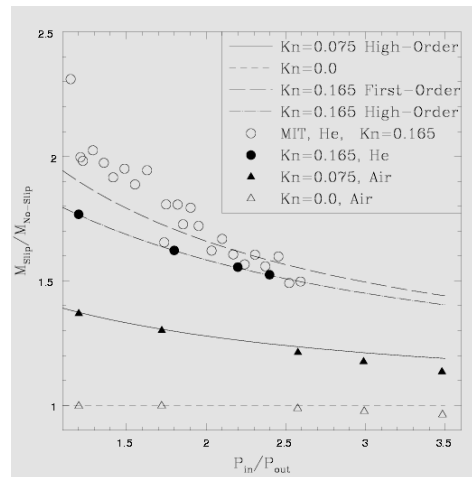
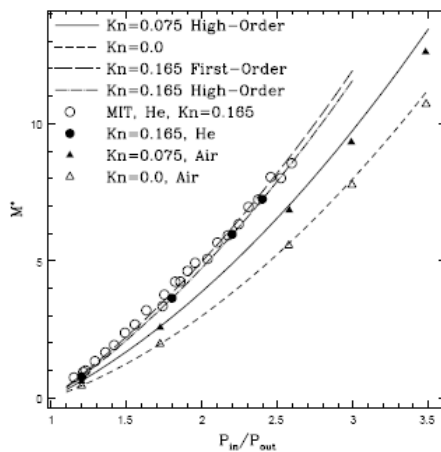
$$\dot{M}_{ns} = \frac{h^3 P_o^2}{24\mu RTL} (\Pi^2 - 1).$$

$$\frac{\dot{M}}{\dot{M}_{ns}} = 1 + 12 \frac{2 - \sigma_v}{\sigma_v} \frac{Kn_o}{\Pi + 1} - 12 \frac{2 - \sigma_v}{\sigma_v} Kn_o^2 \frac{\log_e \Pi}{\Pi^2 - 1}. \quad \mathcal{O}(Kn^2)$$

$$\frac{\dot{M}_{slip}}{\dot{M}_{no-slip}} = 1 + 12 \left( \frac{2 - \sigma}{\sigma} \right) \frac{Kn_{out}}{\Pi + 1}, \quad \Pi = \frac{P_{in}}{P_{out}} \quad \mathcal{O}(Kn)$$

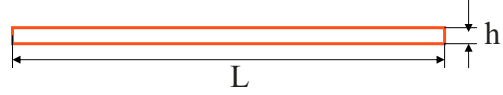
Which one is a better fit to the experimental data?

### Increase in the mass flowrate compared to the No-Slip Flow



We see that the effect of the second order term is to *reduce* the increase in mass flowrate due to the first-order slip. This is in *disagreement* with the experimental data, since the flowrate increases faster than the predictions of the first order slip theory in the transition flow regime.

## Pressure distribution in a micro channel



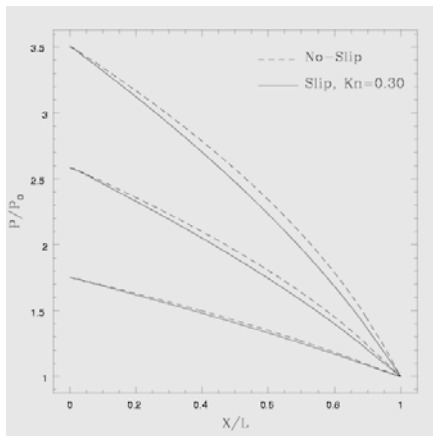
$$\dot{M} = \frac{h^3 P_o^2}{24\mu R T L} \left[ (\Pi^2 - 1) + 12 \frac{2 - \sigma_v}{\sigma_v} (Kn_o (\Pi - 1) - Kn_o^2 \log_e \Pi) \right],$$

$\Pi$  is  $P_{in}/P_{out}$  Also note that  $p/\mu \propto \lambda$  &  $pKn = p_o Kn_o$

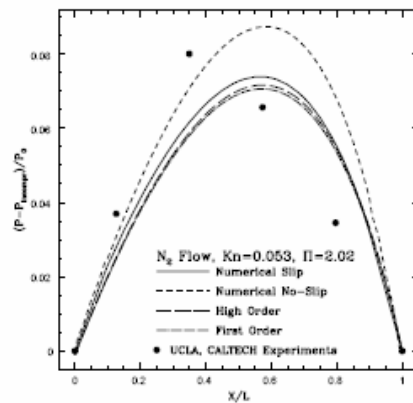
$$1 - \tilde{P}^2 + 12 \frac{1 - \sigma_v}{\sigma_v} Kn_o (1 - \tilde{P}) + 12 \frac{2 - \sigma_v}{\sigma_v} Kn_o^2 \log_e(\tilde{P}) = B(L - x),$$

where  $B$  is a constant such that  $\tilde{P}(0) = \Pi$ . Here we have defined  $\tilde{P} = P/P_o$ , i.e., the pressure at a station  $x$  normalized with the outlet pressure. The above equation provides an implicit relation for  $\tilde{P}$ ; the pressure distribution for a first-order boundary condition is obtained explicitly by neglecting the second-order terms ( $\mathcal{O}(Kn^2)$ ) in equation (4.9).

## Compressibility vs Rarefaction



Decrease in the pressure gradient compared to the No-Slip flow



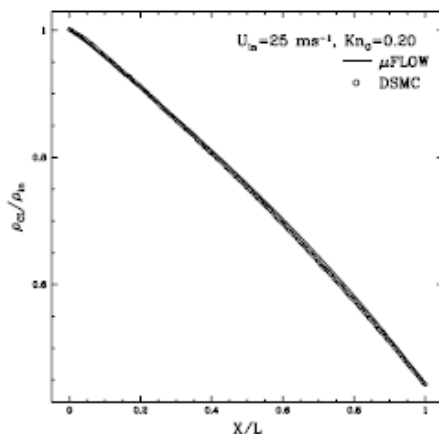
Yet it is still difficult to make comparisons with the experiments



## Model Verification Using the DSMC & LB

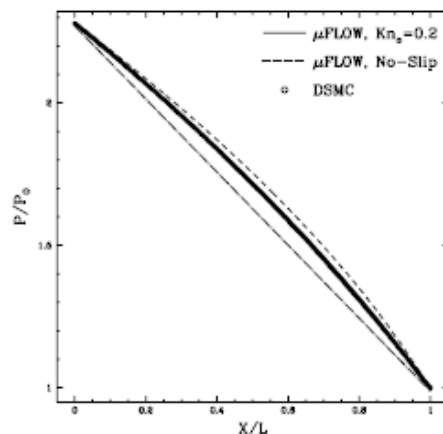
- Tried to validate various slip models using experimental data, but experimental data have large noise...
- Perhaps we can verify these models by comparisons with atomistic simulations (DSMC) & solutions of the linearized Boltzmann equation.
- Need to see what happens to the
  - Velocity profile?
  - Mass flow rate?
  - Pressure distribution?
- Compare DSMC/LB & continuum based models.

## DSMC Simulations in Slip Flow Regime

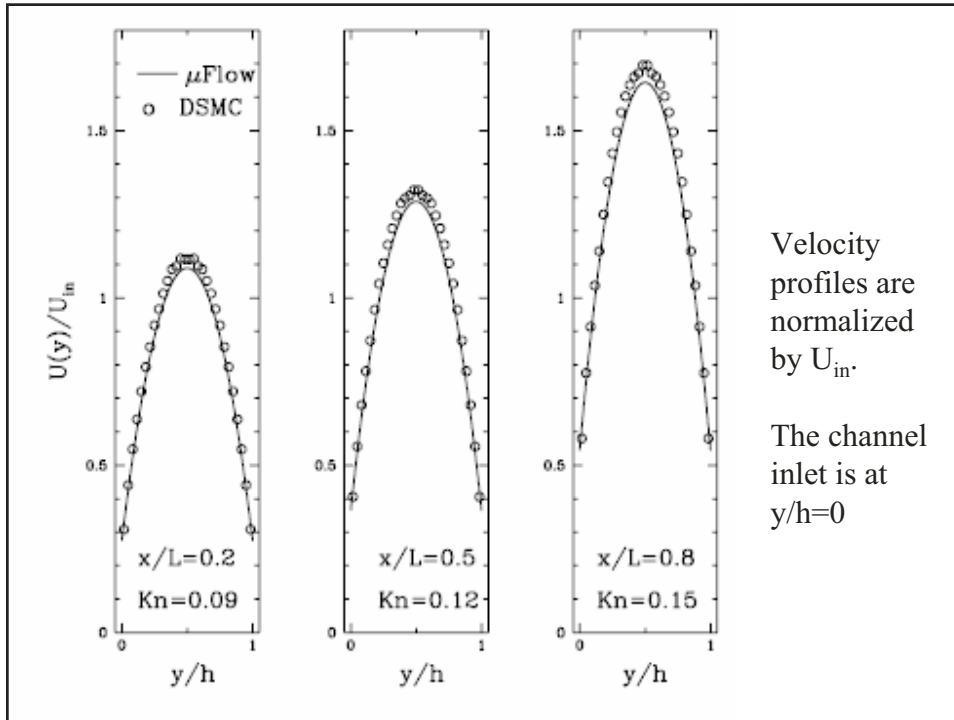


Using

$$U_g = \frac{1}{2} [U_\lambda + (1 - \sigma) U_\lambda + \sigma U_w]$$



There is a decrease in the pressure gradient compared to the noslip flow



### Comparison of various slip models for pressure driven gas flow

$$U_s - U_w = C_1 Kn \left( \frac{\partial U}{\partial n} \right)_s - C_2 Kn^2 \left( \frac{\partial^2 U}{\partial n^2} \right)_s,$$

Author	$C_1$	$C_2$
Cercignani (Cercignani and Daneri, 1963)	1.1466	0.9756
Cercignani (Hadjiconstantinou, 2003a)	1.1466	0.647
Deissler (Deissler, 1964)	1.0	9/8
Schamberg (Schamberg, 1947)	1.0	$5\pi/12$
Hsia and Domoto (Hsia and Domoto, 1983)	1.0	0.5
Maxwell (Kennard, 1938)	1.0	0.0
Equation (2.29)	1.0	-0.5

$$U(x, y) = -\frac{dP}{dx} \frac{h^2}{2\mu} \left[ -\left( \frac{y}{h} \right)^2 + \left( \frac{y}{h} \right) + C_1 Kn + 2C_2 Kn^2 \right]$$

$$\dot{Q}(x) = -\frac{dP}{dx} \frac{h^3}{2\mu} \left( \frac{1}{6} + C_1 Kn + 2C_2 Kn^2 \right)$$

Shows the shape of the velocity profile

$$U^*(y, Kn) \equiv \frac{U(x, y)}{\bar{U}(x)} = \frac{-\left( \frac{y}{h} \right)^2 + \frac{y}{h} + C_1 Kn + 2C_2 Kn^2}{\frac{1}{6} + C_1 Kn + 2C_2 Kn^2},$$

$$U^*(y, Kn) \equiv \frac{U(x, y)}{\bar{U}(x)} = \frac{-\left(\frac{y}{h}\right)^2 + \frac{y}{h} + C_1 Kn + 2C_2 Kn^2}{\frac{1}{8} + C_1 Kn + 2C_2 Kn^2},$$

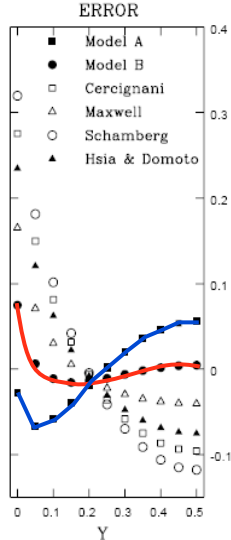
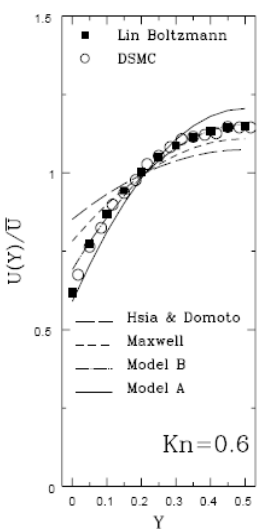
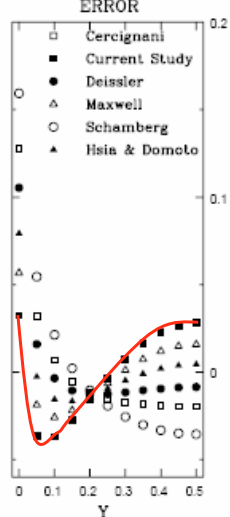
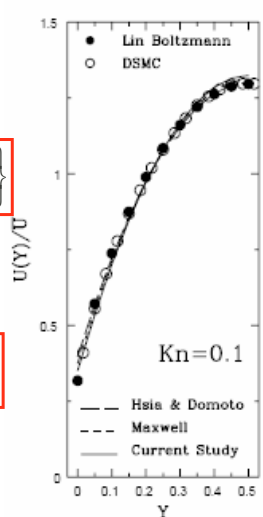
$$E = U_{Model} - U_{LB},$$

$$U_s - U_w = \frac{(2-\sigma)}{\sigma} \left\{ Kn \frac{\partial U}{\partial n} + \frac{Kn^2}{2} \frac{\partial^2 U}{\partial n^2} \right\}$$



$$U_s = \frac{1}{2} [U_\lambda + (1-\sigma)U_\lambda + \sigma U_w]$$

Since the velocity profile is parabolic



$$U_s - U_w = \frac{(2-\sigma)}{\sigma} \left\{ Kn \frac{\partial U}{\partial n} + \frac{Kn^2}{2} \frac{\partial^2 U}{\partial n^2} \right\}$$

$$U_s - U_w = \frac{(2-\sigma)}{\sigma} \left( \frac{Kn}{1-bKn} \right) \frac{\partial U}{\partial n}$$

## Observations

- *In the model of equation (2.29) the second-order slip contribution leads to a reduction in the volumetric flowrate compared to the first-order model.*
- *Other models with second-order slip conditions can predict flowrate accurately but only at the expense of accuracy in the velocity profile.*

$$U_g - U_w = \frac{(2-\sigma)}{\sigma} \left\{ Kn \frac{\partial U}{\partial n} + \frac{Kn^2}{2} \frac{\partial^2 U}{\partial n^2} \right\}$$

This inconsistency becomes more dominant for large Kn flows, and cannot be resolved by simply using a slip correction!

## Transition & Free Molecular Flow Regimes

$$Kn > 0.1$$

## Governing Equations: Burnett Equations

Stress Tensor: 
$$\sigma_{ij} = -2\mu \frac{\bar{\partial}u_i}{\partial x_j} + \frac{\mu^2}{p} \left[ \omega_1 \frac{\partial u_k}{\partial x_k} \frac{\bar{\partial}u_i}{\partial x_j} + \omega_2 \left( \frac{D}{Dt} \frac{\bar{\partial}u_i}{\partial x_j} - 2 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right) + \omega_3 R \frac{\partial^2 T}{\partial x_i \partial x_j} \right]$$

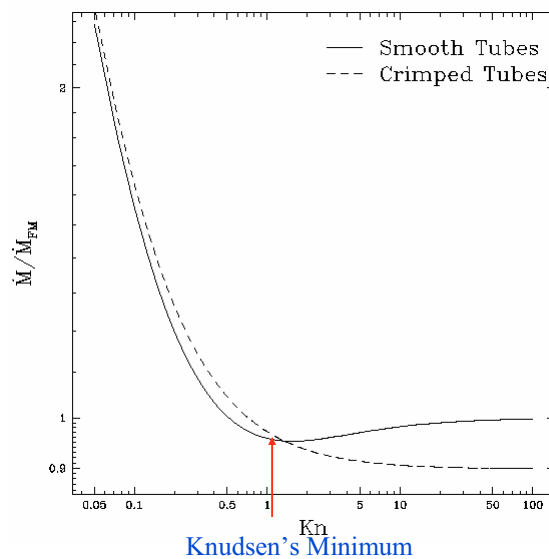
$$+ \frac{\mu^2}{p} \left[ \omega_4 \frac{1}{\rho T} \frac{\partial p}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_5 \frac{R}{T} \frac{\partial T}{\partial x_i} \frac{\partial T}{\partial x_j} + \omega_6 \frac{\partial u_i}{\partial x_k} \frac{\partial u_k}{\partial x_j} \right]$$

Where bar defines a non-divergent symmetric tensor:

$$\bar{f}_{ij} = (f_{ij} + f_{ji})/2 - \delta_{ij} f_{mm}/3$$

Boundary Conditions: The Burnett equations are derived via a second-order Chapman-Enskog expansion in Kn, and they require second-order slip conditions. (sections 2.3, 4.4 and 5.1 in K & B (2002).

## Transition and Free Molecular Flow Regimes and Knudsen's Minimum



In 1909, Knudsen discovered that there is a minimum, when

$$\frac{\dot{Q}}{P_1 - P_0}$$

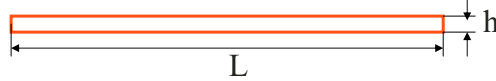
is plotted against the average pressure!

$$\dot{M}_{FM} = \frac{4}{3} a^3 \frac{\Delta P}{L} \sqrt{\frac{2\pi}{RT}}$$

Pipe Flows

## Channel Flow Analysis for $Kn > 0.1$ Flows

Analysis of the Burnett Equations for Isothermal Flow ( $\varepsilon = h/L \ll 1$ )



$$P_x \left[ 1 - \frac{2\pi\gamma}{3} Kn_{out}^2 M_{out}^2 \left( \frac{P_{out}}{P} \right)^2 U_y^2 \right] = U_{yy} + O(\varepsilon)$$

$$P_y \left[ 1 - \frac{\pi\gamma}{3} Kn_{out}^2 M_{out}^2 \left( \frac{P_{out}}{P} \right)^2 U_y^2 \right] = \frac{4\sqrt{\pi\gamma/2}}{3} Kn_{out} M_{out} \left( \frac{P_{out}}{P} \right) U_y U_{yy} + O(\varepsilon)$$

If  $Kn_{out} \leq 1$  &  $M_{out} \ll 1$

$P_x = U_{yy}$  → Parabolic Velocity Profile?

$$P_y = \frac{4\sqrt{\pi\gamma/2}}{3} Kn_{out} M_{out} \left( \frac{P_{out}}{P} \right) U_y U_{yy}$$

## A Unified Flow Model for Pressure Driven Gas Micro Flows

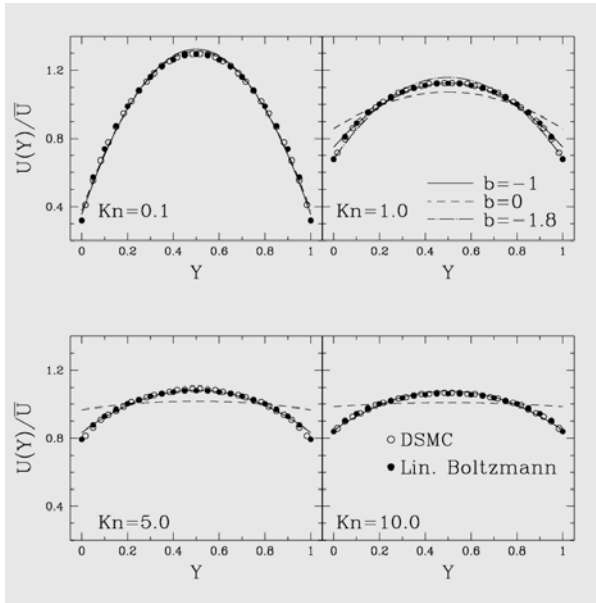
$$U(x, y) = \mathcal{F} \left( \frac{dP}{dx}, \mu_o, h, \lambda \right) \left[ -\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + U_s \right], \quad \text{Parabolic profile w/ } U_s$$

$$U(x, y) = \mathcal{F} \left( \frac{dP}{dx}, \mu_o, h, \lambda \right) \left[ -\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + \left(\frac{2 - \sigma_v}{\sigma_v}\right) \frac{Kn}{1 - bKn} \right]. \quad \text{Regularized model}$$

$$\bar{U}(x) = \mathcal{F} \left( \frac{dP}{dx}, \mu_o, h, \lambda \right) \left[ \frac{1}{6} + \left(\frac{2 - \sigma_v}{\sigma_v}\right) \frac{Kn}{1 - bKn} \right] \quad \text{Averaged velocity}$$

$$U^*(y, Kn) \equiv U(x, y) / \bar{U}(x) = \left[ \frac{-\left(\frac{y}{h}\right)^2 + \frac{y}{h} + \frac{Kn}{1 - bKn}}{\frac{1}{6} + \frac{Kn}{1 - bKn}} \right] \quad \text{Normalized velocity}$$

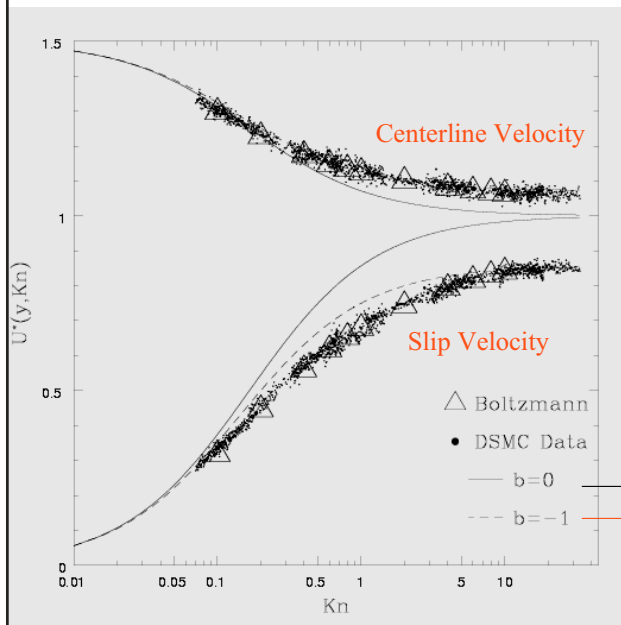
## Transitional & Freemolecular Flow Regimes via Direct Simulation Monte Carlo (DSMC)



Velocity Distributions

$$U(Y,Kn) = \frac{\left[ -\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + \frac{Kn}{1-bKn} \right]}{\left[ \frac{1}{6} + \frac{Kn}{1-bKn} \right]}$$

## Universal Velocity Scaling

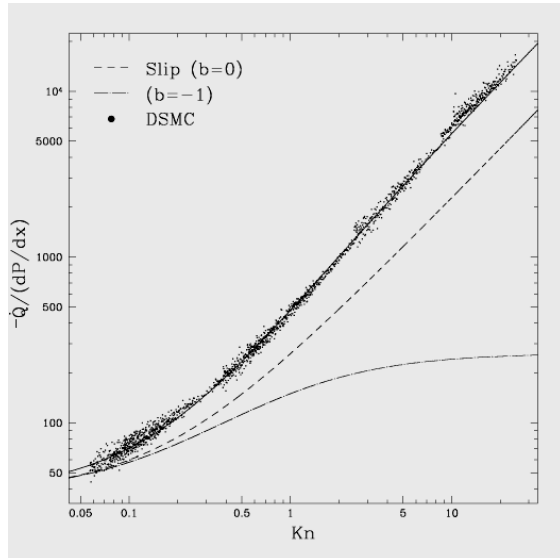


$$U(Y,Kn) = \frac{\left[ -\left(\frac{y}{h}\right)^2 + \left(\frac{y}{h}\right) + \frac{Kn}{1-bKn} \right]}{\left[ \frac{1}{6} + \frac{Kn}{1-bKn} \right]}$$

Maxwell's

New Model

## Modeling Flowrate



### Volumetric Flowrate

$$\dot{Q} = G\left(\frac{dP}{dx}, \mu, h, \lambda\right)$$

### Using Navier-Stokes w/ Slip

$$\frac{\dot{Q}}{W} = -\frac{h^3}{12\mu} \frac{dP}{dx} \left[ 1 + \frac{6Kn}{1-bKn} \right]$$

### Correct for Rarefaction

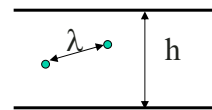
$$\frac{\dot{Q}}{W} = -\frac{h^3}{12\mu} \frac{dP}{dx} \left[ 1 + \frac{6Kn}{1-bKn} \right] C_r(Kn)$$

Rarefaction Coefficient

## Rarefaction Coefficient (Cont.)

Physical Meaning *l*: Switch over of characteristic length in definition of apparent diffusion coefficient

Absolute Viscosity:  $\mu_0 \approx \rho \lambda \bar{v}$



Free Molecular Flow Diffusion Coef:  $\mu \approx \rho h \bar{v}$

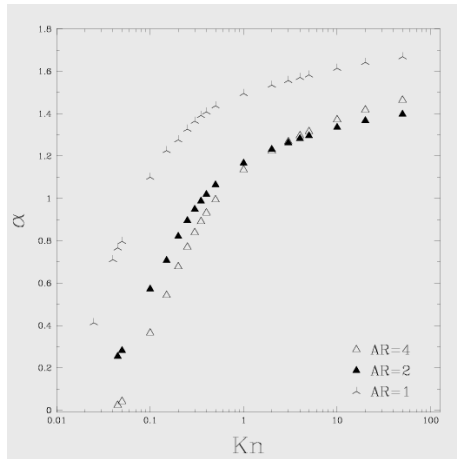
A Hybrid Model: 
$$\mu = \rho \bar{v} \frac{1}{1/h + 1/\lambda} = \frac{\rho \bar{v} \lambda}{1 + Kn} = \frac{\mu_0}{1 + Kn}$$

$$C_r(K) = 1 + \alpha Kn, \quad \alpha = \alpha(Kn)$$

A similar model can be developed by considering the intermolecular and molecule/wall collision frequencies. See Karniadakis & Beskok, sec. 5.3.2



## Rarefaction Coefficient



$$C_r(Kn) = 1 + \alpha Kn$$

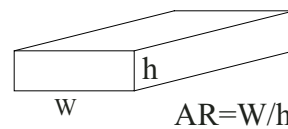
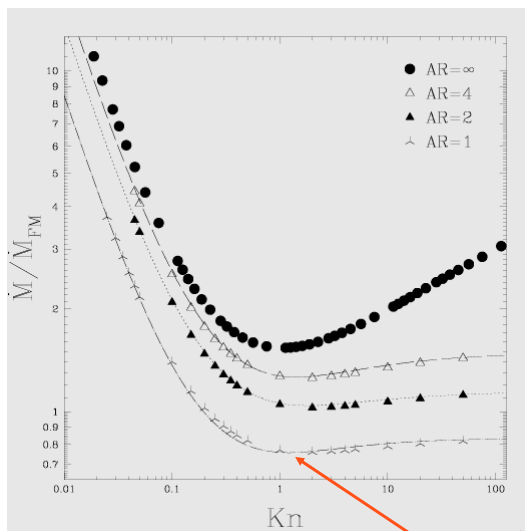
$$\alpha \Rightarrow 0 \text{ as } Kn \Rightarrow 0$$

$$\alpha \Rightarrow \alpha_o \text{ as } Kn \Rightarrow \infty$$

$$\alpha = \alpha_o \frac{2}{\pi} \tan^{-1}(\alpha_1 Kn^\beta)$$

$\alpha_1$  &  $\beta$  are empirical parameters

## Flowrate Scaling in Arbitrary Aspect-Ratio Rectangular Ducts (Based on the Free Molecular Limit)



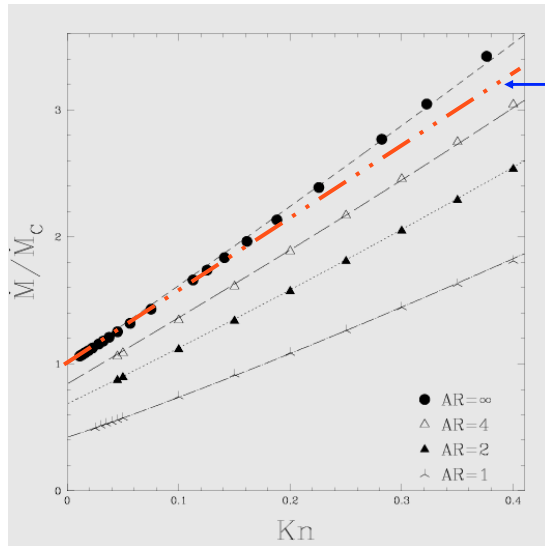
$$\frac{\dot{M}}{\dot{M}_{FM}} = \frac{C(AR)}{6Kn} (1 + \alpha Kn) \left[ 1 + \frac{6Kn}{1 - bKn} \right]$$

$$\dot{M}_{FM} = \frac{h^2 W}{\sqrt{2RT_o}} \frac{\Delta P}{L}$$

$$C(AR) = \left[ 1 - \frac{192(AR)}{\pi^5} \sum_{i=1,3,5,\dots}^{\infty} \frac{\tanh(i\pi/2(AR))}{i^5} \right]$$

Knudsen's Minimum

## Flowrate Scaling in Arbitrary Aspect-Ratio Rectangular Ducts (Based on the Continuum Limit)



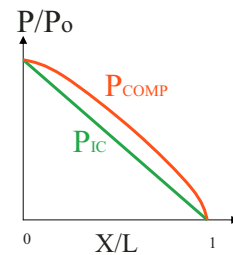
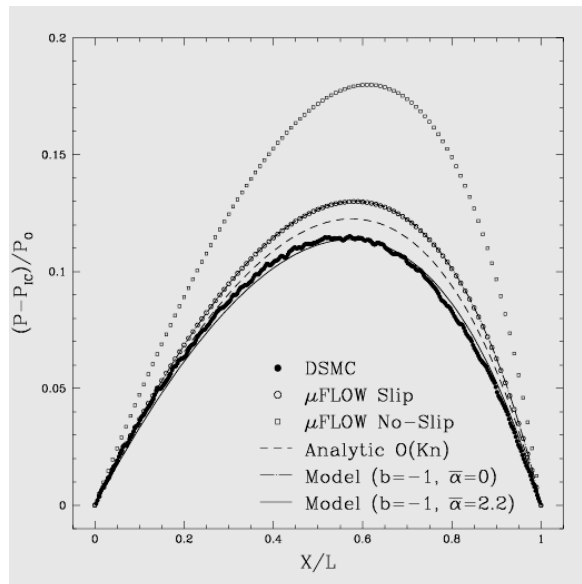
First-Order Theory

$$\frac{\dot{M}}{M_{FM}} = C(AR)(1 + \alpha Kn) \left[ 1 + \frac{6Kn}{1 - b Kn} \right]$$

$$C(AR) = \left[ 1 - \frac{192(AR)}{\pi^3} \sum_{i=1,3,5,\dots}^{\infty} \frac{\tanh(i\pi/2(AR))}{i^3} \right]$$

- AR=∞
- △ AR=4
- ▲ AR=2
- △ AR=1

## Channel Flow, Nonlinear Pressure Distribution



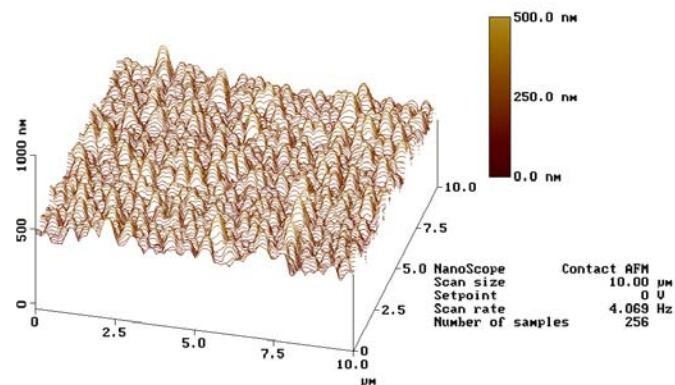
## Regarding the new model

- Model accurately predicts the volumetric flowrate, velocity and pressure distribution for rectangular ducts (and circular pipes) in the entire Knudsen regime, including the Knudsen's minimum.
- The new model is based on the hypothesis that the velocity distribution remains parabolic in the transition regime, which is supported by the asymptotic analysis of Burnett equations.

- The general slip boundary condition (equation (2.43)) gives the correct non-dimensional velocity profile, where the normalization is obtained using *the local channel averaged velocity*. This eliminates the flowrate dependence in modeling the velocity profile. For channel flows, using equation (2.39), we obtain  $b = -1$  in the slip flow regime. Evidence based on comparisons of the model with the DSMC and Boltzmann solutions shows that  $b = -1$  in the *entire* Knudsen regime.
- In order to model the flowrate variations with respect to the Knudsen number,  $Kn$ , we introduced the rarefaction correction factor as  $C_r = 1 + \alpha Kn$ . This form of the correction factor was justified using two independent arguments: First, the apparent diffusion coefficient; and second, the ratio of intermolecular collisions to the total molecular collisions. We must note that  $\alpha$  cannot be a constant. Physical considerations to match the slip flowrate require  $\alpha \rightarrow 0$  for  $Kn \leq 0.1$ , while  $\alpha \rightarrow \alpha_0$  in the free molecular flow regime. The variation of  $\alpha$  between zero and a known  $\alpha_0$  value is approximated using equation (4.34) that introduced two empirical parameters  $\alpha_1$  and  $\beta$  to the new model.

Therefore, the unified model employs two empirical parameters ( $\alpha_1$  and  $\beta$ ) and two known parameters  $b = -1$  and  $\alpha_0$ . Although this empiricism is not desired, the  $\alpha$  value in  $C_r$  varies from zero in the slip flow regime to an *order one* value of  $\alpha_0$  as  $Kn \rightarrow \infty$ . Finally, the model is adopted to the finite aspect ratio rectangular ducts using a standard aspect ratio correction given in equation (4.35).

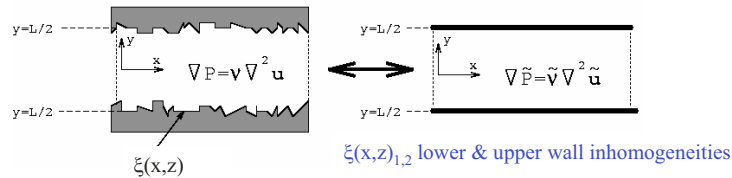
## Modeling Roughness in Micro-Geometries



- Regularized roughness
- Equivalent effect
- Random walls

## Modeling Roughness Effects

- Model the extra chaotic motion due to the rough boundary using correlation function of surface inhomogeneities

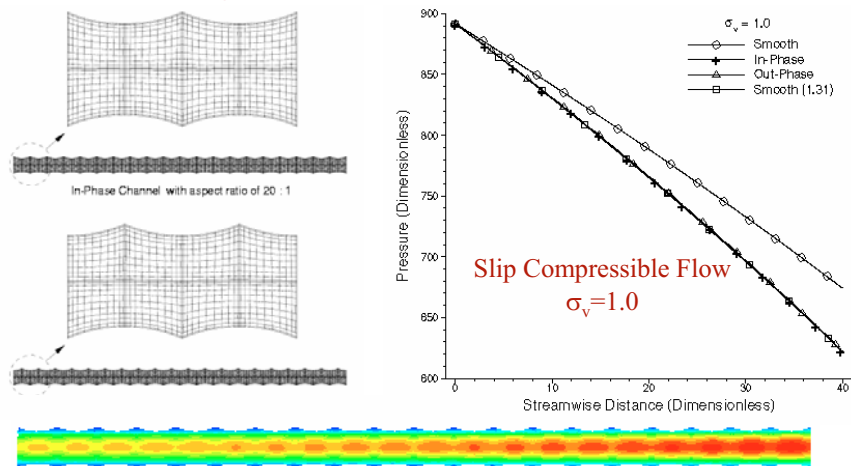


- Use Migdal transformation from nuclear physics

$$Y = \frac{L[y - 1/2[\xi_2(x, z) - \xi_1(x, z)]]}{L - [\xi_1(x, z) + \xi_2(x, z)]}$$

- Renormalize the viscosity to account for extra diffusion
- Solve new equation in simple domain

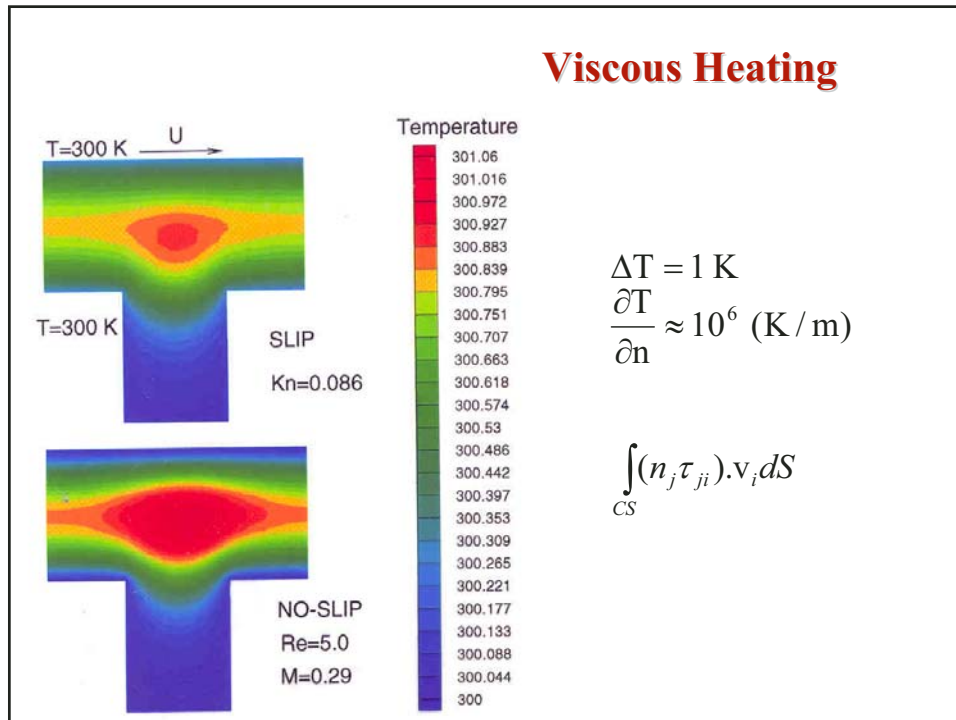
## Roughness Effect on Pressure Drop



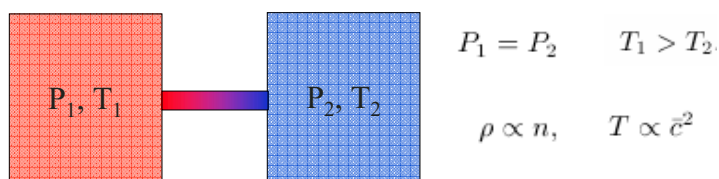
- $Re = 0.36$ ; with enhanced viscosity  $Re = 0.276$
- Enhanced viscosity factor: 1.31

*Courtesy of Karniadakis*

## Viscous Heating



## Thermally Induced Flows: Thermal Creep/Transpiration (O. Reynolds & Maxwell)

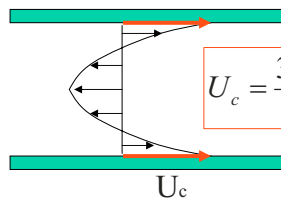


Mass Flux from hot & cold ends are:  $mn_1\bar{c}_1$  and  $mn_2\bar{c}_2$

Using  $P = \rho RT$  and  $\frac{P_1}{P_2} = 1$ .

$$\frac{mn_1\bar{c}_1}{mn_2\bar{c}_2} \simeq \frac{\rho_1}{\rho_2} \left(\frac{T_1}{T_2}\right)^{0.5} = \frac{P_1}{P_2} \left(\frac{T_2}{T_1}\right)^{0.5} = \left(\frac{T_2}{T_1}\right)^{0.5} \leq 1,$$

Hence, there is creeping flow from **cold** to **hot**



$$U_c = \frac{3\mu R}{4P} \frac{\partial T}{\partial s}$$

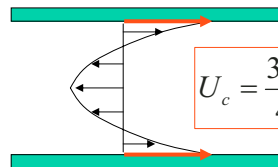
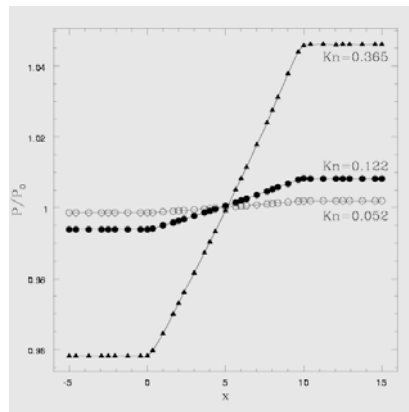
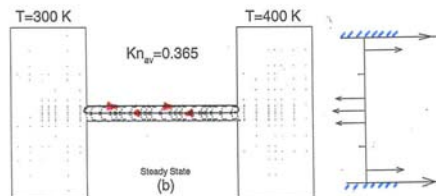
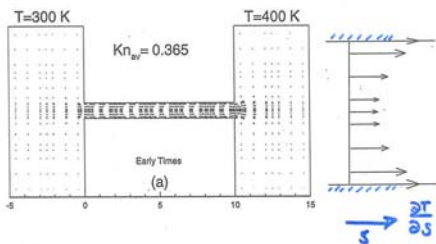
$$U_s = \frac{1}{2} [(2 - \sigma)U_\lambda + \sigma U_w] + U_c.$$

$$\dot{M} = -\frac{h^3 P}{12\mu RT} \frac{dP}{dx} \left[ 1 + 6 \frac{2 - \sigma_v}{\sigma_v} (Kn - Kn^2) \right] + \frac{3\mu h}{4T} \frac{dT}{dx}.$$

We conclude that thermal creep can change the mass flowrate in a channel. If the pressure gradient and the temperature gradient along the channel walls act along the same direction the flowrate is decreased; otherwise the flowrate is increased.

- Therefore, it is possible to have non-zero flowrate in a microchannel even in the case of zero pressure gradient.
- Also there can be no net flow under a pressure difference

### Thermally Induced Flows: Thermal Creep



$$U_c = \frac{3\mu R}{4P} \frac{\partial T}{\partial s}$$

Similar ideas are used in Knudsen compressors  $U_c$

# A Unified Slip Model for Shear Driven Flows

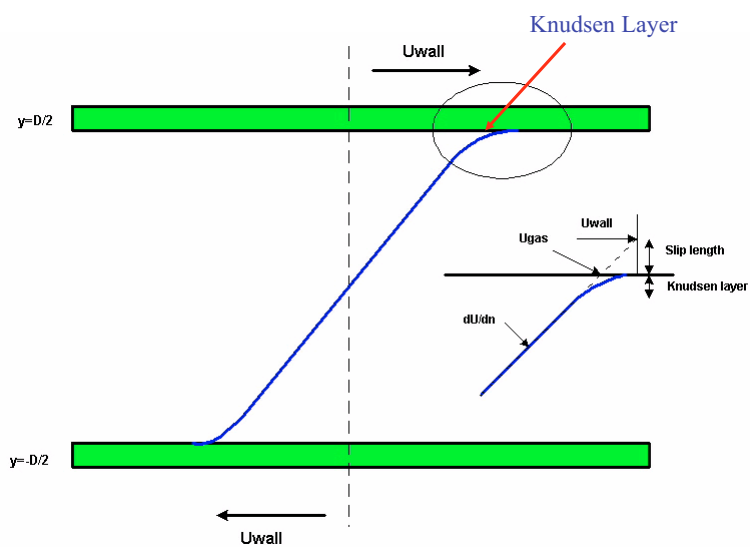
Ali Beskok

Texas A&M University  
Mechanical Engineering Department

College Station, TX 77845  
abeskok@tamu.edu

in collaboration with  
Pradipkumar Bahukudumbi & Jae Hyun Park

## Shear Driven Flows: Linear Couette Flow





## Shear Driven *Steady* Flows: Linear Couette Flow

**Governing equation :**  $\frac{\partial^2 u(y)}{\partial y^2} = 0$  ← Based on Navier-Stokes

**Boundary conditions :**

$$u - u_w = \frac{2 - \sigma_v}{\sigma_v} \alpha \lambda \frac{\partial u}{\partial y}$$

where,  $\alpha$  is the slip coefficient and  $\lambda$  is the mean-free path.

$\alpha = 1$  [Maxwell's classical velocity slip formulation]

$\alpha = 1.111$  [Obtained by Ohwada et.al. for hard-sphere molecules using the linearized Boltzmann equation]

### Analytical expression for the velocity profile ( $u_c$ )

$$u_c = \frac{2U_o}{1 + 2 \frac{2 - \sigma_v}{\sigma_v} \alpha Kn} \frac{y}{D}$$

where, we have defined  $Kn = \lambda/D$ .

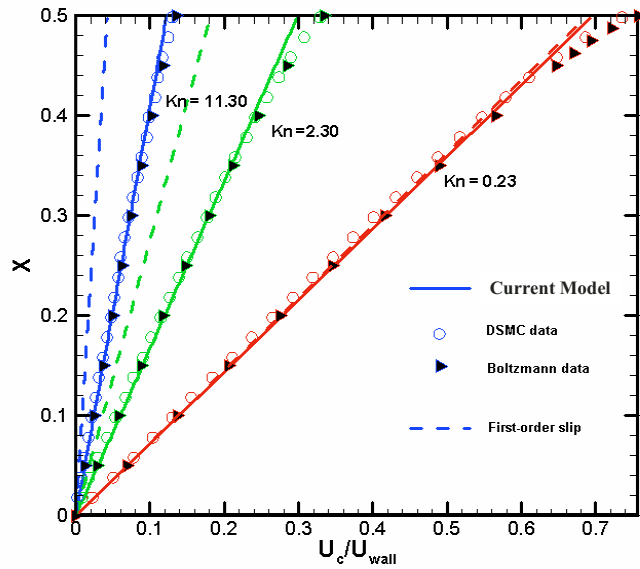
#### Generalized Slip coefficient

$$\alpha_m = \beta_0 + \beta_1 \tan^{-1}(\beta_2 Kn^{\beta_3})$$

$$\beta_0 = 1.2977; \beta_1 = 0.71851; \beta_2 = -1.17488; \beta_3 = 0.58642$$

- Extends the validity of first-order slip condition.
- Converges to the first order slip condition for  $Kn < 0.1$ .

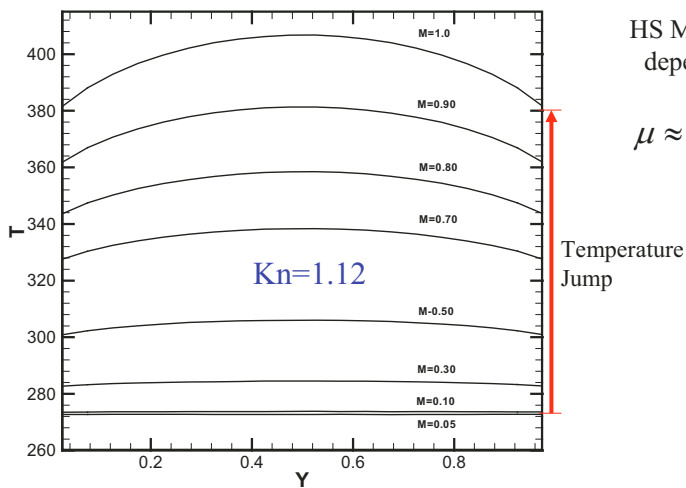
### Velocity Distribution of Plane Couette Flows



- The Model is valid for  $Kn < 12$ .
- It is appropriate for (nearly) isothermal flows, hence  $M < 0.3$

Upper half of the channel is shown

### Viscous Heating: Plane Couette Flow Temperature Distribution

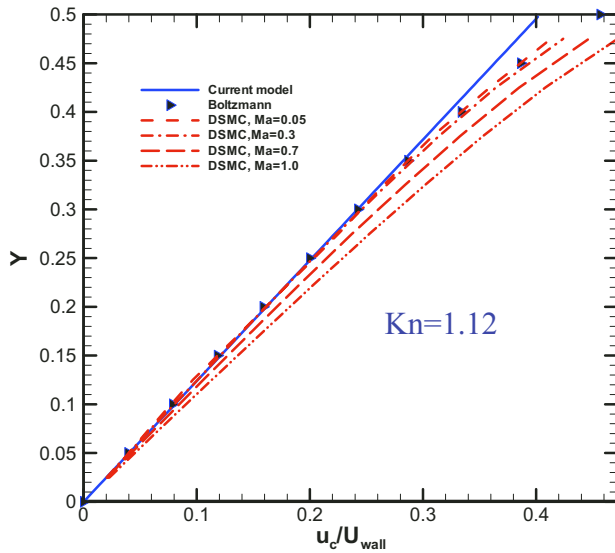


HS Model viscosity dependence on T

$$\mu \approx \mu_o \left( \frac{T}{T_o} \right)^{1/2}$$

A significant increase in mean temperature with Mach number is observed, indicating dominant viscous heating effects

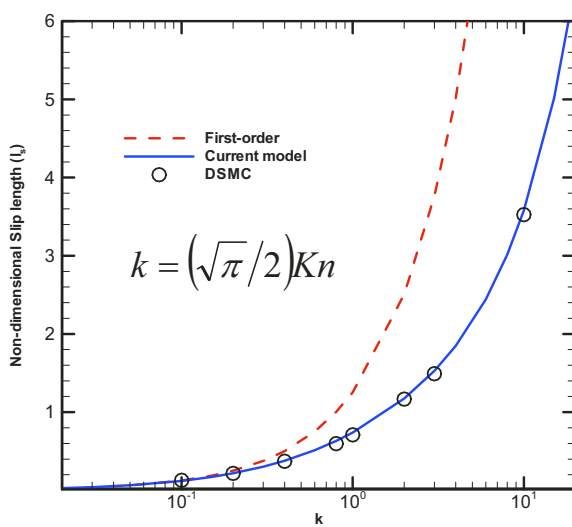
### Viscous Heating Induced Compressibility Effects



New Velocity model is valid for  $Ma < 0.3$ .

Upper half of the channel is shown

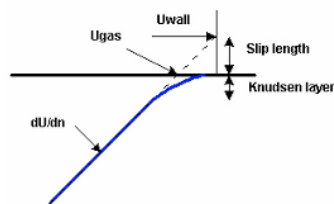
### Variation of Normalized Slip-Length with Knudsen Number



$$l_s = \frac{u(y = D/2) - U}{D \frac{\partial u(y)}{\partial y}}$$

$$l_s = \alpha Kn$$

Distance from the wall, where the extrapolated bulk flow velocity is equal to the wall velocity.



### Shear-Stress Model :

- Least-square fit to linearized Boltzmann solution of Sone *et al* (1990).
- Uniformly converges to correct asymptotic limits as  $Kn \rightarrow 0$  and  $Kn \rightarrow \infty$ .
- Accurate prediction of shear stress for  $Kn < \infty$  and for  $Ma < 0.3$ .

$$\text{Free-molecular shear stress : } \tau_{xy,\infty} = -\rho_o U \sqrt{\frac{2RT_w}{\pi}}$$

$$\text{Continuum shear stress : } \tau_{xy,cont} = -2\mu \frac{U}{D}$$

where, the dynamic viscosity  $\mu = \sqrt{\frac{2RT_w}{\pi}} \rho_o \lambda$  is independent of pressure.

### Shear-stress Model (contd.):

$$\frac{\tau_{xy}}{\tau_{xy,\infty}} = \pi_{xy} = \frac{aKn^2 + 2bKn}{aKn^2 + cKn + b}$$

$$\frac{\tau_{xy}}{\tau_{xy,cont}} = \Pi_{xy} = \frac{1}{2} \frac{aKn + 2b}{aKn^2 + cKn + b}$$

$$a = 0.529690; b = 0.602985; c = 1.627666$$

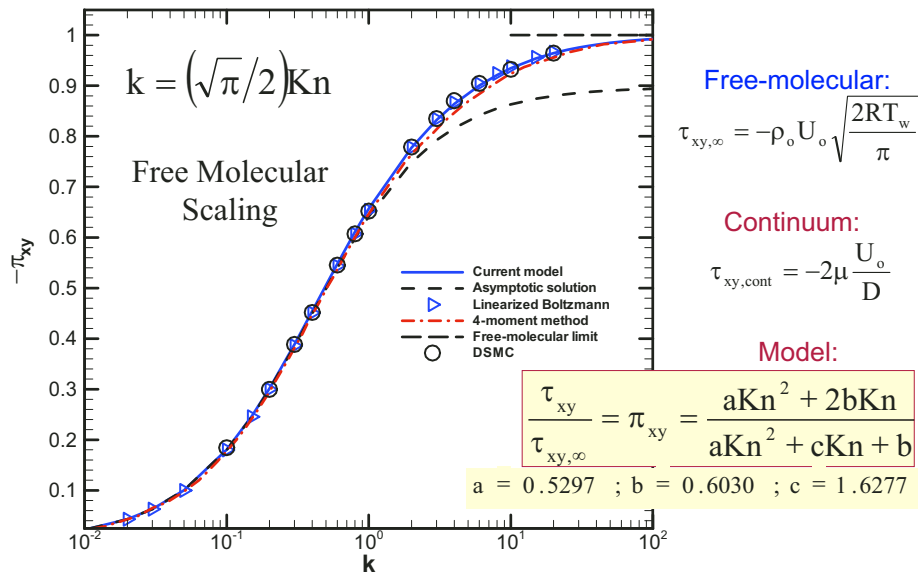
Asymptotic expansions for  $Kn \rightarrow \infty$  and  $Kn \rightarrow 0$

$$\pi_{xy} = 1 + \frac{2b-c}{a} \frac{1}{Kn} + \frac{-b - \left(\frac{-2b-c}{a}\right)c}{a} \frac{1}{Kn^2} + O(Kn^{-3}) \quad \text{as } \mathbf{Kn} \rightarrow \infty$$

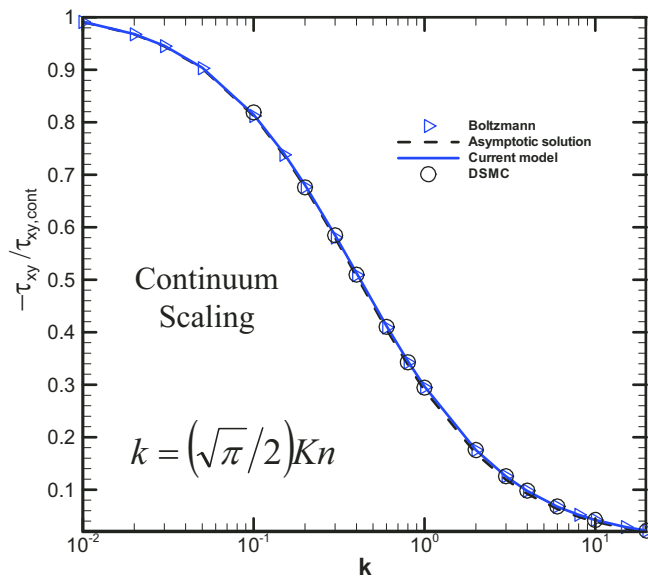
$$\Pi_{xy} = 1 + \frac{a-2c}{2b} Kn + \frac{\left(\frac{2c-a}{b}\right)c - 2a}{2b} Kn^2 + O(Kn^3) \quad \text{as } \mathbf{Kn} \rightarrow 0$$

$$\frac{a-2c}{2b} = -2.2601 \approx -2\alpha$$

### Normalized Shear-Stress Variation with Kn



### Normalized Shear-Stress Variation with Kn



## Shear Stress & Velocity Model Correlation

Define an effective viscosity:

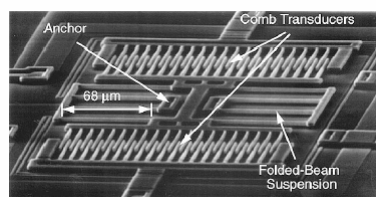
$$\mu_{\text{eff}} = \frac{\tau_{\text{model}}}{\left(\frac{du}{dy}\right)_{\text{model}}} = \frac{\mu_0 U_0}{2} \frac{aKn + 2b}{aKn^2 + cKn + b} (1 + 2\alpha_m Kn)$$

$\left(\frac{du}{dy}\right)^{-1}$  of steady flow

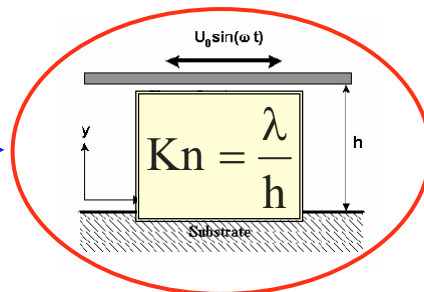
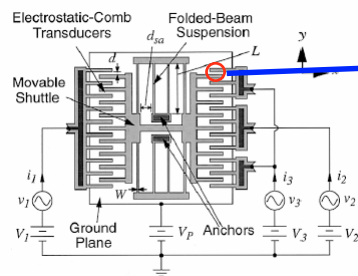
Shear stress of steady flow

## Laterally Oscillating MEMS Structures

Nguyen and Howe (1999): Comb-micro-resonator



SEM of a 100-KHz folded-beam, comb-micro-resonator



Oscillatory Couette Flow

## Motivations

□ Recent experiments in ultra high vacuum chambers ( $P < 10^{-8}$  mbar) to achieve **high quality factor Q** (Yang, et al., 2000).

→ Expect significant **rarefaction effects**.

□ Recent micro-resonators at **10-100 MHz** (Mattila, et al., 2002; Wang et al., 2000). Comparable to gas *collision frequency* ( $\sim 1$  GHz).

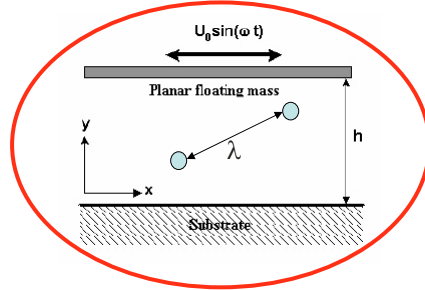
→ Expect strong **nonequilibrium effects**.

⇒ **Accurate analysis of oscillatory Couette flow in the entire Knudsen regime and wide frequency range is essential for improved design.**

## Organization

- Develop empirical models for velocity slip & shear stress for steady Couette flows, using hard-sphere direct simulation Monte Carlo (**HS-DSMC**),
- Extend the empirical model to quasi-steady oscillatory Couette flows and validate using the **HS-DSMC**,
- Using **HS-DSMC**, study high-frequency oscillatory Couette flows, and validate the DSMC results for  $Kn \rightarrow \infty$ , using the analytical solutions of the **unsteady collisionless Boltzmann equation**.

## Schematic of Oscillatory Couette Flow



**Oscillatory Couette Flow**

**Physical Parameters:**

$\nu, \omega, \lambda, h$

**Nondimensional parameters**

$$\text{Kn} = \frac{\lambda}{h} \quad \text{: Knudsen \#}$$

$$\beta = \sqrt{\frac{h^2 \omega}{\nu}} \quad \text{: Stokes \#}$$

**Continuum-Based Approach**

$$\frac{\partial u}{\partial t} = \nu \frac{\partial^2 u}{\partial y^2}$$

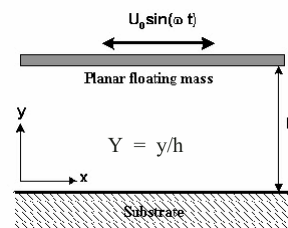
$$u - u_w = \frac{2 - \sigma_v}{\sigma_v} \alpha \lambda \frac{\partial u}{\partial y} \quad ; \quad y = 0, h$$

### Velocity Response:

$$u(y, t) = \text{Im}\{V(y) \exp(j\omega t)\}$$

$$\beta = \sqrt{\frac{\omega h^2}{\nu}} \quad \text{Stokes number}$$

$$u(Y) = C_1 \sinh(\sqrt{j}\beta Y) + C_2 \cosh(\sqrt{j}\beta Y)$$



Solution with the generalized slip boundary condition:

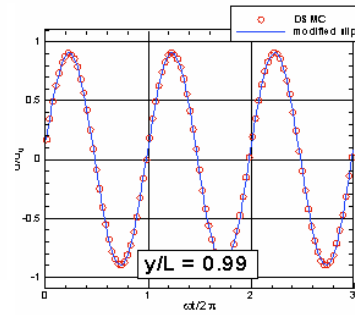
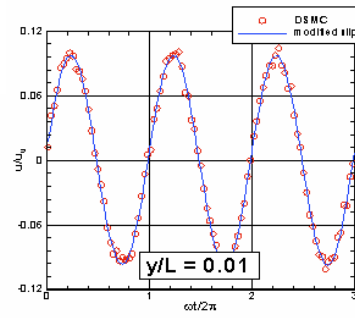
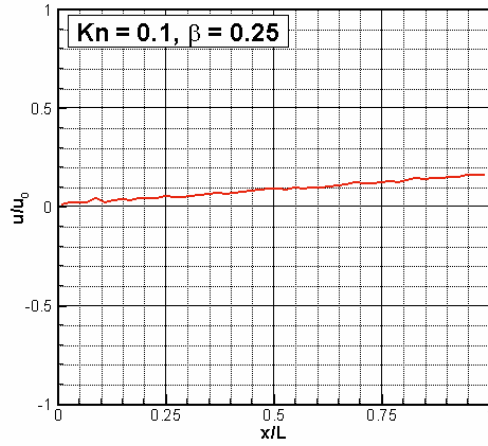
$$u(Y) = \text{Im}\left\{ U_0 \frac{\sinh(\sqrt{j}\beta Y) + \sqrt{j}\beta \alpha_m \text{Kn} \cosh(\sqrt{j}\beta Y)}{(1 + j\beta^2 \alpha_m^2 \text{Kn}^2) \sinh(\sqrt{j}\beta) + 2\sqrt{j}\beta \alpha_m \text{Kn} \cosh(\sqrt{j}\beta)} \exp(j\omega t) \right\}$$

$\alpha_m$  : Generalized slip coefficient

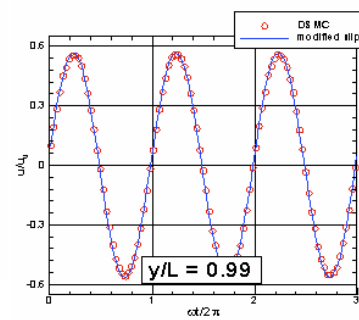
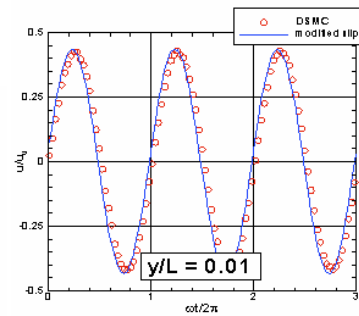
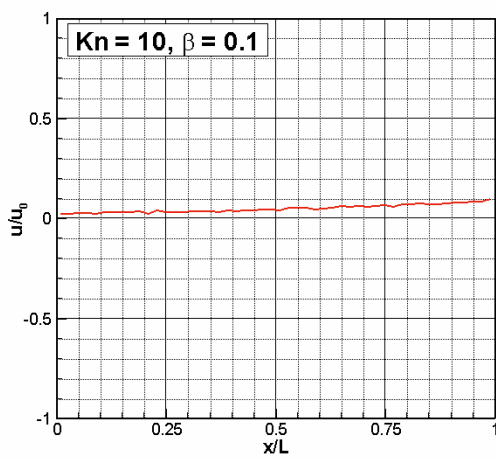
$U_0$  : Amplitude of lateral oscillations.



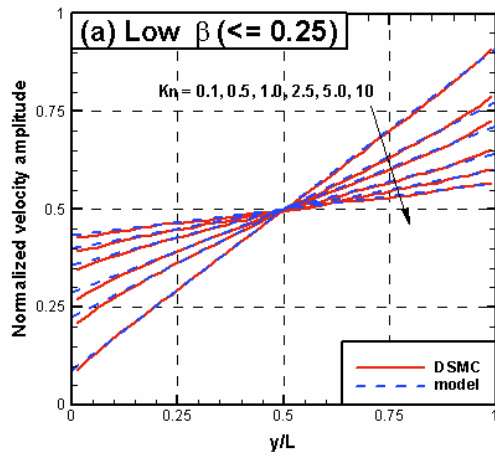
### Quasi-Steady Flow: $Kn=0.1, \beta=0.25$



### Quasi-Steady Flow: $Kn=10, \beta=0.1$



## Model Summary for Quasi-Steady Flows

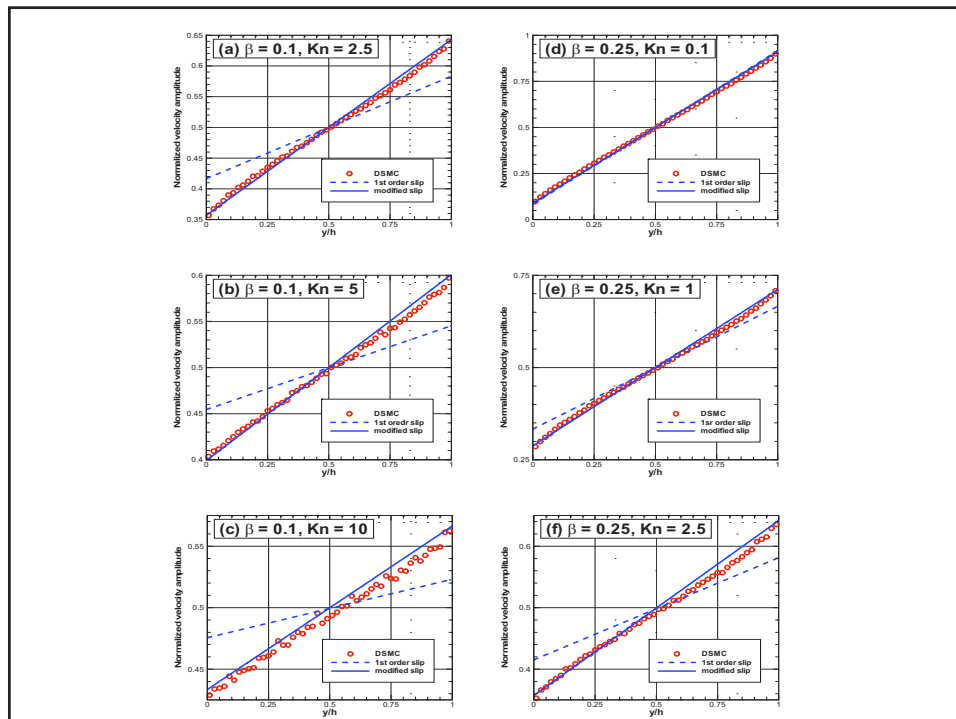


$\beta < 0.25 \Rightarrow$  **Quasi-steady**

Slip on the top and bottom walls are equal, and the velocity profile passes through  $(V,Y)=(0.5,0.5)$

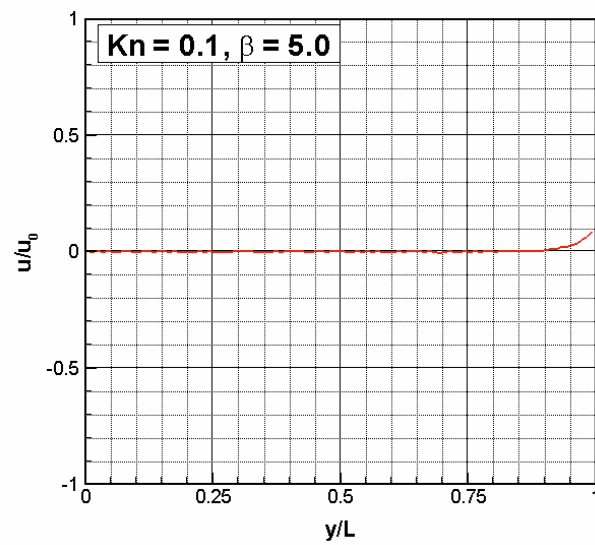
The velocity model is valid for  $Kn < 12$ , for  $\beta < 0.25$ .

Bahukudumbi, Park, Beskok, *Microscale Thermophysical Engineering*, Vol 7(4), 2003.

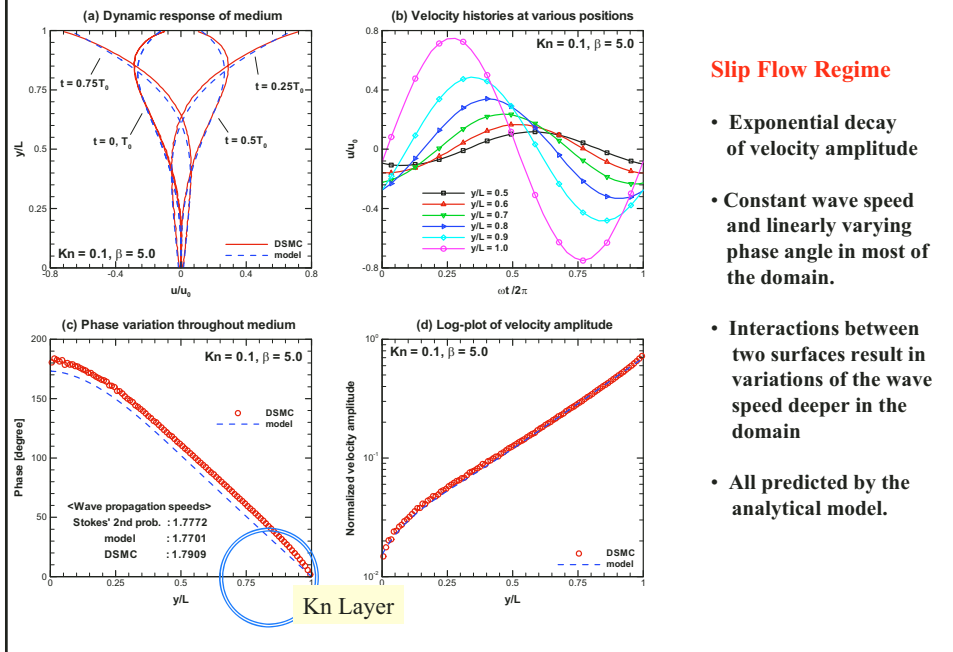


# High Frequency Oscillations in Slip Flow Regime

High Frequency Slip Flows ( $Kn \leq 0.1$  &  $\beta \geq 0.25$ )



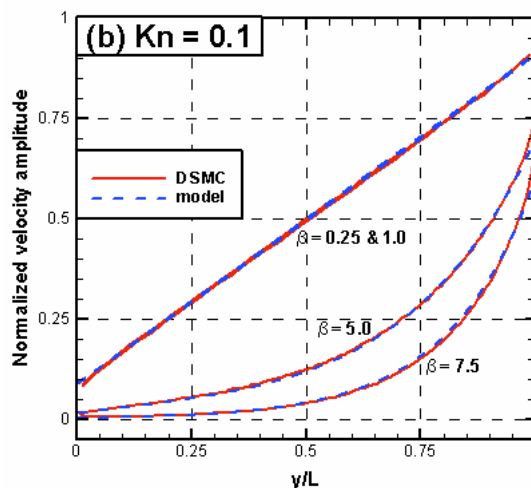
## Details of Dynamic Response ( $Kn = 0.1$ & $\beta = 5.0$ )



### Slip Flow Regime

- Exponential decay of velocity amplitude
- Constant wave speed and linearly varying phase angle in most of the domain.
- Interactions between two surfaces result in variations of the wave speed deeper in the domain
- All predicted by the analytical model.

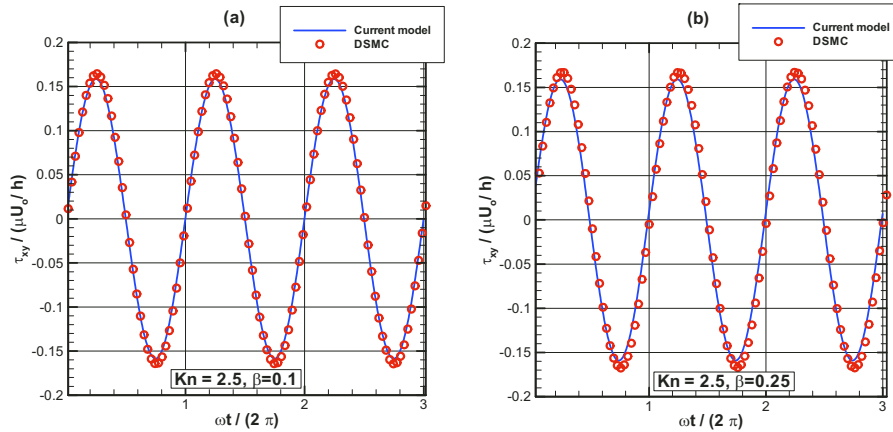
## Model Summary for High Frequency Slip Flows ( $Kn \leq 0.1$ & $\beta \geq 0.25$ )



The velocity model is also valid for  $Kn < 0.1$ , for  $\beta > 0.25$   
 → High frequency slip flow.

For  $\beta > 5.0$ , we observe bounded Stokes layers in the slip flow regime.

## Time history of shear stress at the oscillating wall



Shear stress on the oscillating plate:

The shear stress model is valid for:

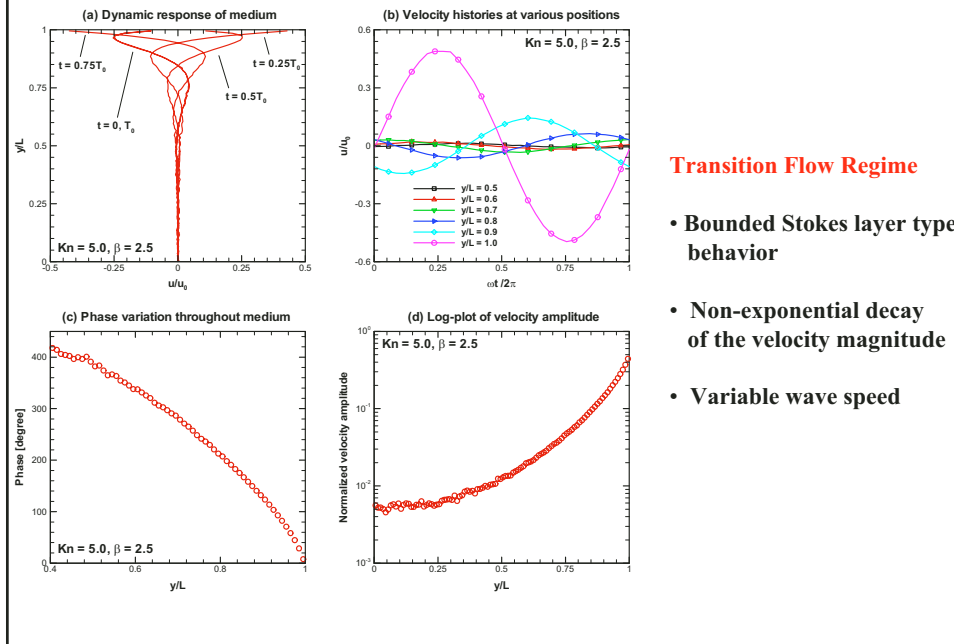
a)  $Kn < 0.1$  &  $\beta > 0.25$  → High frequency slip flow

b)  $Kn < 12$  &  $\beta < 0.25$  → quasi-steady flow

$$\tau_{xy} = \mu_{\text{eff}} \left( \frac{du_{\text{oscillating}}}{dy} \right)_{y=h}$$

**High Frequency flows in the *transition*  
and *free molecular* flow regimes**

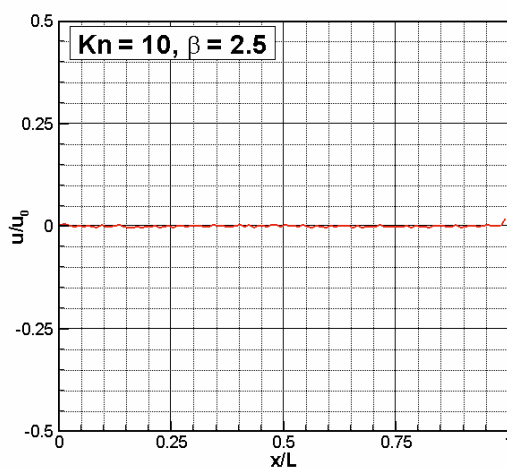
## Details of Dynamic Response ( $Kn = 5.0$ & $\beta = 2.5$ )



### Transition Flow Regime

- Bounded Stokes layer type behavior
- Non-exponential decay of the velocity magnitude
- Variable wave speed

## Dynamic Response of Free Molecular Flow

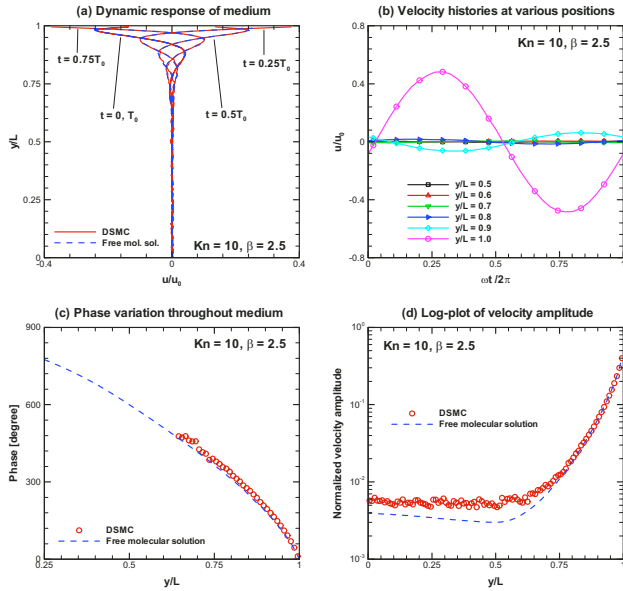


### Transitional/Free Molecular Characteristics

- Bounded layers ( $\beta$  &  $Kn$ )
- Non-exponential decay of the velocity magnitude
- Variable wave speed
- Slip Velocity increases with ( $\beta$  &  $Kn$ )
- As  $Kn \rightarrow \infty$ , slip velocity and shear stress for *oscillatory flows* reach to the *steady free molecular solution*

Park et al, *Physics of Fluids*, 2004

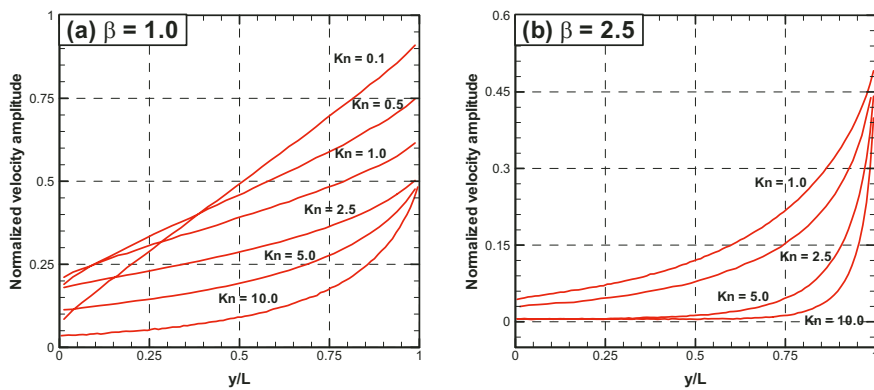
## Details of Dynamic Response ( $Kn = 10, \beta = 2.5$ )



### Transitional/Free Molecular Flow Regimes

- Bounded Stokes layer type behavior
- Non-exponential decay of the velocity magnitude
- Variable wave speed

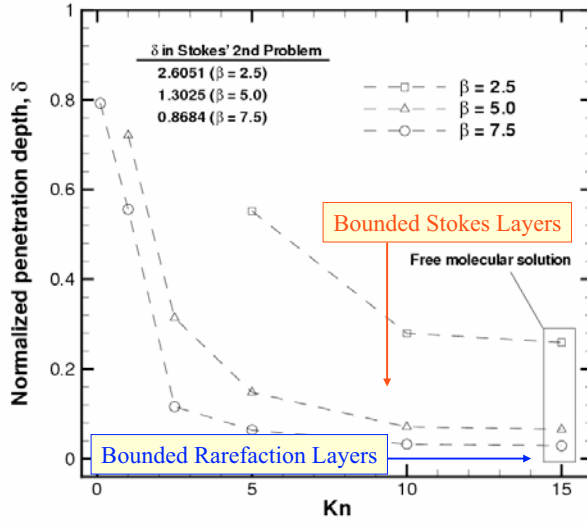
## Effects of $Kn$ for fixed $\beta$



**Increasing  $Kn$  for fixed  $\beta$  results in *bounded rarefaction layers!***

Park, Bahukudumbi, Beskok, *Physics of Fluids*, Vol 16 No 2, 2004.

## Penetration Depth Variation for Bounded Layers



$\delta$  is the distance, where

$$\frac{|U|}{U_0} = 0.01.$$

$\delta$  values are smaller than that of the continuum flows.

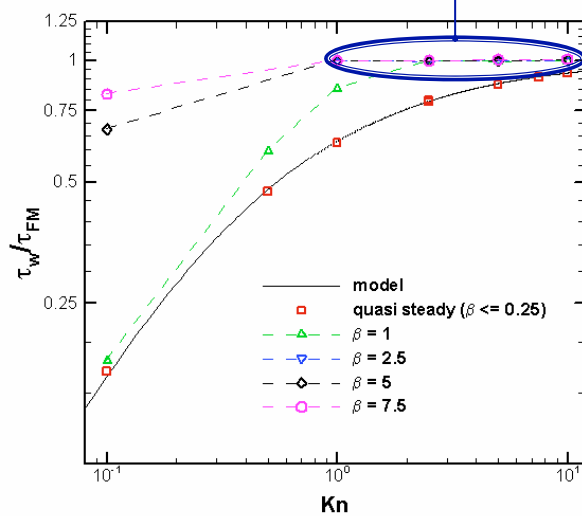
For fixed Kn,  $\delta$  is reduced by increasing  $\beta$ .

For fixed  $\beta$ ,  $\delta$  is reduced by increasing Kn

Introduction of  $\delta$  requires length scale change for redefinition of Kn

## Effects of Kn & $\beta$ on Wall Shear Stress

Saturation due to length scale change from  $h$  to  $\delta$ .



Solution of the Boltzmann equation and the DSMC both predict that the shear stress in oscillatory flows in the free molecular flow regime reaches

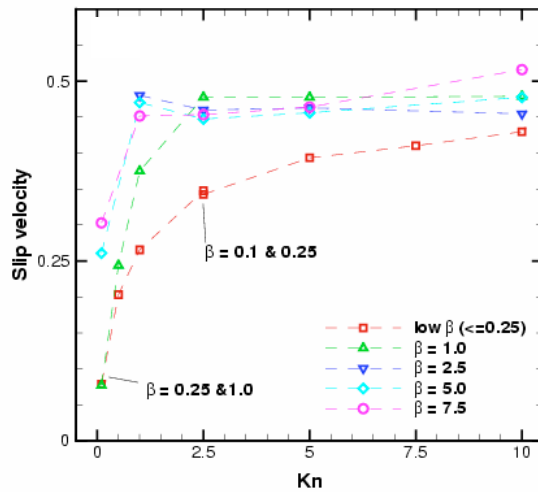
$$\tau_{FM} = \frac{1}{2} \rho_0 u_0 \sqrt{\frac{2k_B T_w}{\pi m}}$$

as ( $Kn \rightarrow \infty$ ).

This is the value for the steady Couette Flow!



## Slip Velocity



Solution of the Boltzmann equation and the DSMC both predict that the slip velocity in oscillatory flows in the free molecular flow regime reaches

$$U_g|_w = \frac{1}{2} U_o$$

as  $(Kn \rightarrow \infty)$ .

This is the value for the steady Couette Flow!

## Viscous Dissipation

□ Viscous dissipation :  $D = \frac{1}{\omega} \int_0^{2\pi} \tau_w(\omega t) u_w(\omega t) d(\omega t)$

□ Dimensionless Dissipation :  $D^* = \frac{D_{cd}}{D}$

$$D_{cd} = \frac{\pi}{\omega} u_0^2 \left( \frac{\mu_0}{L} \right) \quad \mu_0 : \text{absolute viscosity of fluid}$$

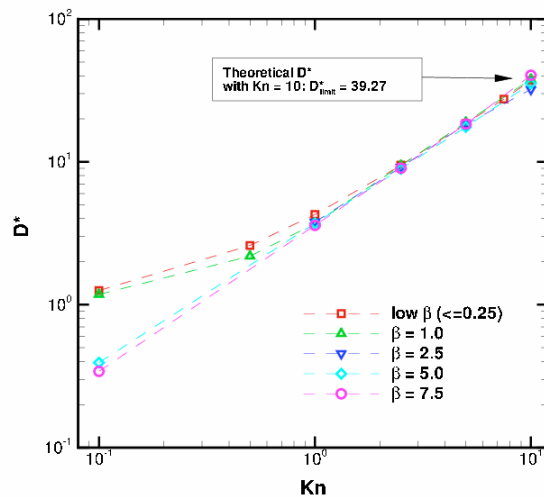
$D_{cd}$  is from steady Couette Flow

In the free molecular flow limit  $\tau_{FM} = \frac{1}{2} \rho_0 u_0 \sqrt{\frac{2k_B T_w}{\pi m}}$

$$U_g|_w = \frac{1}{2} U_o$$

This gives:  $D^* = \frac{5\pi}{16} Kn$  as  $Kn \rightarrow \infty$

## Effects of Kn & $\beta$ on Viscous Dissipation



- $D^*$  increases with increased Kn
- $D^*$  increases with decreased  $\beta$

At  $Kn=10$

$$D^* = \frac{5\pi}{16} Kn = 39.27$$

## Conclusions

- We have developed an unsteady DSMC algorithm to study laterally oscillating gas micro flows.
- We have developed analytical models for:
  - a) Quasi-steady flows ( $\beta < 0.25$ ) at *any* Kn
  - b) Slip flows ( $Kn < 0.1$ ) at *any*  $\beta$

These models predict the flow dynamics and dissipation accurately.
- DSMC results are verified using the *unsteady*, Boltzmann solutions  
Shear stress and slip velocity for oscillatory Couette flows converge to that of the steady Couette flow for  $Kn \rightarrow \infty$
- For  $\beta > 0.25$  and  $Kn > 0.1$ , DSMC data shows rich nonequilibrium physics that can't be predicted by the existing analytical models.  
*First observation of the bounded rarefaction layers*