

$$\frac{d^4\sigma}{d^3x d\omega} \xrightarrow{\text{EXPERIMENT}} S(\vec{x}, \omega) \xrightarrow{\text{THEORY}} (1 + n(\omega)) \chi''(\vec{x}, \omega)$$

"SUSCEPTIBILITY"
(Im-PART)

$$\downarrow F_\omega$$

$$\langle B_{\vec{x}}^+(0) B_{\vec{x}}(t) \rangle_T$$

$$B_{\vec{x}}(t) = \sum_j e^{-i\vec{x} \cdot \vec{x}_j(t)} b_j$$

$$B_{\vec{x}}^+ = B_{-\vec{x}}$$

$$\downarrow F_{\vec{x}}$$

$$\int d^3x' \langle \tilde{B}(\vec{x}-\vec{x}', 0) \tilde{B}(\vec{x}', t) \rangle_T$$

$$= G(\vec{x}, t)$$

CORRELATION-FCT. (van Hove)

$$G(\vec{x}, t) = G^*(-\vec{x}, -t)$$

THERMAL AVERAGE $\langle \rangle_T$
- CANONICAL ENSEMBLE

SAMPLE : THERMODYN. EQUILIBRIUM
TEMPERATURE T , $\beta = 1/kT$

PARTITION FCT. : $e^{-\beta F} = \text{sp} e^{-\beta H} = Q$

EXPECTATION VALUE
OF AN

OBSERVABLE $\hat{O}$ $\langle \hat{O} \rangle_T = \frac{1}{Q} \text{sp}(e^{-\beta H} \hat{O})$

$$\langle \hat{O} \rangle_T = e^{\beta F} \text{sp}(e^{-\beta H} \hat{O}) = \sum_m p_m \langle m | \hat{O} | m \rangle$$

WITH $p_m = e^{-\beta(\hbar\omega_m - F)}$; $\sum_m p_m = 1$

SCATT. PROCESS $\longrightarrow$ ISENTROPIC

INTERACTION HAMILTONIAN

GENERAL FORM

$$H = H_0 + H_1(t)$$

H_0 : INCIDENT FIELD

FREE SAMPLE

$$H_1 = \int d^3x \, Q(\vec{x}, t) J(\vec{x})$$

H_1 : INTERACTION WITH SAMPLE

Q : EXT. "POTENTIAL" — SCALAR, SPINOR
VECTOR —

DEPEND. ON PROBE

J : COUPLING BETWEEN PROBE - SAMPLE

— DENSITY, CHARGE-DENS., MAGN.-DENS.
CURRENT-DENS.

ELECTRO-MAGNETIC

$$H_1 = \frac{e}{c} \int d^3x \, \vec{A}(\vec{x}, t) \vec{J}(\vec{x})$$

$$\vec{J}(\vec{x}) = \sum_i \frac{1}{2m} (\vec{p}_i \delta(\vec{x} - \vec{x}_i) + \delta(\vec{x} - \vec{x}_i) \vec{p}_i)$$

IN PRESENCE OF $\vec{A}$: $\vec{p}_i \longrightarrow \vec{p}_i - \frac{e}{m} \vec{A}(\vec{x}_i)$

NEUTRON - MAGNETIC

$$H_1 = \mu_B \int d^3x \, \vec{B}(\vec{x}, t) \vec{m}(\vec{x}) \quad \vec{B} = -\gamma \frac{\mu_N}{c} \vec{\nabla} \wedge (\vec{G}_N \wedge \vec{\nabla} \frac{1}{|\vec{x}|})$$

i SPIN

$$\vec{m}(\vec{x}) = 2 \sum_i \vec{S}_i^e \delta(\vec{x} - \vec{x}_i)$$

ii ORBITAL
CURRENT

$$\vec{m}(\vec{x}) = \sum_i (\vec{x} \wedge \vec{p}_i) \delta(\vec{x} - \vec{x}_i)$$

NEUTRON - NUCLEAR (NOT NUCL. MAGNETISM)

$$H_1 = \sum_i [A + B (\vec{G}_m \cdot \vec{I}_i)] \int d^3x \, \chi_G(\vec{x}, t) \rho(\vec{x})$$

NO UNIVERSAL
COUPLING

$$\rho(\vec{x}) = \delta(\vec{x} - \vec{x}_i)$$

LINEAR RESPONSE

HAMILTONIAN :

$$H = H_0 + H_1(t)$$

H_0 : SAMPLE
(UNPERTURBED)

$$i\hbar \partial_t \rho = [H, \rho]$$

DYNAMICS IN DIRAC - (INTERACTION)

REPRESENTATION

i) OPERATORS DETERM. BY H_0 : $\hat{O}(t) = e^{\frac{i}{\hbar} H_0 t} \hat{O} e^{-\frac{i}{\hbar} H_0 t}$

ii) STATES DETERMINED BY H_1 : $i\hbar \partial_t \rho_I = [H_1^{(I)}(t), \rho_I]$

$$\rho = \sum_m \rho_m |m\rangle \langle m|$$

$$\rho^{(I)}(t) = \rho(0) - \frac{i}{\hbar} \int_{-\infty}^t d\tau [H_1^{(I)}(\tau), \rho_0] - \frac{1}{\hbar^2} \int_{-\infty}^t d\tau \int_{-\infty}^{\tau} d\tau' [H_1^{(I)}(\tau) [H_1^{(I)}(\tau') \rho_0]] + \dots + O(H_1^3)$$

$\downarrow$ $O(H_1)$

LINEAR RESPONSE

FOR ANY OBSERVABLE $\hat{O}$

$$\langle \hat{O} \rangle_T = \text{Sp}(\hat{O}^{(I)}(t) \rho_0) - \frac{i}{\hbar} \int_{-\infty}^t d\tau \text{Sp}(\hat{O}^{(I)}(\tau) [H_1^{(I)}(\tau), \rho_0])$$

OR

$$\langle \delta \hat{O} \rangle_T = -\frac{i}{\hbar} \int_{-\infty}^t d\tau \langle [\hat{O}^{(I)}(t) H_1^{(I)}(\tau)] \rangle_T$$

WITH $\rho_0 \sim e^{-\beta H_0}$

e.g. ELECTRO - MAGNET. PERTURBATION

$$H_1^{(I)}(\tau) = \int d^3x J_\mu(x) A^\mu(x)$$

$$\langle \delta J_\rho \rangle_T = \int d^4x' K_{\rho\mu}(x-x') A^\mu(x')$$

RETARDED RESPONSE KERNEL $\rightarrow -\frac{i}{\hbar} \theta(x_0 - x'_0) \langle [J_\rho^+(x) J_\mu(x')] \rangle_T$

EXTERN. FIELD OF PROBE : $A^\mu(x)$

- a : i) POTENTIAL OF ELECTR. MAGN. FIELD
(SYNCHR. RADIATION , X-RAYS)
- ii) POTENTIAL OF MAGN. DIPOL
(NEUTRONS)
- iii) SPINOR OR SCALAR - WAVE FCT.
(NEUTRONS)

$\theta(x_0 - x'_0)$ TAKES CARE OF CAUSALITY
RETARDATION : OUTPUT LATER THEN INPUT

$$\langle [J_\rho^+(x) J_\mu(x')] \rangle_T = \frac{4}{2i} \text{Im} \langle J_\rho^+(x) J_\mu(x') \rangle_T$$

Im - PART OF CORREL. -
FUNCTION OF "CURRENT" -
FLUCTUATIONS

e.g. FOR NUCLEAR NEUTRON SCATTERING

$$\langle \tilde{p}_{\vec{x}}(0) \tilde{p}_{\vec{x}}^+(z) \rangle_T \xrightarrow{\text{Im - PART}} \langle [\tilde{p}_{\vec{x}}(0) \tilde{p}_{\vec{x}}^+(z)] \rangle_T$$

RETARDATION

$$\downarrow$$

$$\theta(z) \langle [\tilde{p}_{\vec{x}}(0) \tilde{p}_{\vec{x}}^+(z)] \rangle_T$$

$$\langle [p_{\vec{x}}(0) p_{\vec{x}}^+(z)] \rangle_T \xrightarrow{f_T^{-1}} (1 - e^{-\hbar\omega \cdot \beta}) S(\vec{x}, \omega)$$

$$\theta(z) \langle [p_{\vec{x}}(0) p_{\vec{x}}^+(z)] \rangle_T \xrightarrow{f_T^{-1}} ?$$

GENERALIZED
SUSCEPTIBILITY

Re - PART $\longleftrightarrow$ Im - PART

$$[1 + n(\omega)] \text{Im} \tilde{K}(\omega, \vec{k}) = \pi S(\omega, \vec{k})$$

$$1 + n(\omega) = (1 - e^{-\beta \hbar \omega})^{-1}$$

$$n(\omega) = \frac{1}{e^{\beta \hbar \omega} - 1}$$

REMINDER :

$$\begin{aligned} \text{Im } \gamma &: \langle [\tilde{p}_x(0) p_x^\dagger(\tau)] \rangle_T \\ &= \langle \tilde{p}_x(0) p_x^\dagger(\tau) - p_x^\dagger(\tau) p_x(0) \rangle_T \\ &\quad \downarrow \mathcal{F}^{-1} \quad \downarrow \mathcal{F}^{-1} \\ &\quad S(\omega, \vec{k}) \quad S(\omega, \vec{k}) e^{-\beta \hbar \omega} = S(-\omega, \vec{k}) \end{aligned}$$

$$\text{Im} \tilde{K}(\omega, \vec{k}) \sim (S(\omega, \vec{k}) - S(-\omega, \vec{k}))$$

ENERGY : $\uparrow$ IN $\uparrow$ OUT

$\text{Im} \tilde{K}$: ABSORPTIVE PART OF GENERALIZED SUSCEPTIBILITY

$S(-\omega, \vec{k})$ ONLY RELEVANT FOR THERMALLY EXCITED SAMPLES ($T \neq 0$) ("ANTI-STOKES")

ω -INTERVAL (≤ 0) WITH $\text{Im} \tilde{K} \neq 0$ DEPENDS ON $\vec{k}$ (SEE PLOT FOR KINEMAT. ACCESS).

S (DIFF. CROSS-SECTION) $\longrightarrow$ DETERMINES $\text{Im} \tilde{K}^R$
? WHAT ABOUT $\text{Re} \tilde{K}^R$?

CAUSALITY COND. $\longleftarrow$
OF LINEAR RESPONSE
DISPERSION RELATIONS $\longrightarrow$ KRAMERS KRONIG

WRITE

$$\phi(\tau) = \langle [\hat{\rho}_x(0) \hat{\rho}_x^\dagger(\tau)] \rangle_T = \text{Im} \langle \rho_x(0) \rho_x^\dagger(\tau) \rangle_T$$

$$\boxed{\begin{array}{l} \text{ANTISYM. IN } \tau \\ -\phi(\tau) = \phi(-\tau) \end{array}}$$

$$\tilde{K}^R(\omega) = \int_{-\infty}^{+\infty} d\tau e^{-i\omega\tau} \Theta(\tau) \phi(\tau)$$

USE

$$\frac{1}{2\pi i} \lim_{\epsilon \rightarrow 0} \int_{-\infty}^{+\infty} d\zeta e^{i\zeta\tau} \frac{1}{\zeta - i\epsilon}$$

$$\frac{1}{2\pi i} \int_{-\infty}^{+\infty} d\tau \int_{-\infty}^{+\infty} d\zeta \frac{e^{i\tau(\zeta - \omega)}}{\zeta - i\epsilon} \phi(\tau)$$

SUBSTITUTE

$$\zeta \rightarrow \omega - \zeta \quad \frac{e^{-i\zeta\tau}}{\omega - \zeta - i\epsilon}$$

FROM ANTISYM. OF $\phi(\tau)$

$$\int_{-\infty}^{+\infty} e^{-i\zeta\tau} \phi(\tau) d\tau = -2i \int_0^{\infty} d\tau \sin(\zeta\tau) \phi(\tau) = -2i \chi''(\omega) \sim S(\omega, \epsilon)$$

AND

$$\lim_{\epsilon \rightarrow 0} \frac{1}{\eta - i\epsilon} = P\left(\frac{1}{\eta}\right) + i\pi \delta(\eta)$$

$$\chi(\omega) = \int_{-\infty}^{+\infty} \frac{d\zeta \chi''}{\omega - \zeta - i\epsilon} = i \int_{-\infty}^{+\infty} d\zeta \delta(\omega - \zeta) \chi''(\zeta) + \frac{P}{\pi} \int_{-\infty}^{+\infty} \frac{\chi''(\zeta)}{\omega - \zeta} d\zeta$$

KRAMERS - KRONIG (DISPERSION) - RELATIONS FOLLOW :

$$\boxed{\begin{array}{l} \chi'(\omega) = \frac{P}{\pi} \int_{-\infty}^{+\infty} \frac{\chi''(\omega)}{\omega - \zeta} d\zeta \\ \chi''(\omega) = -\frac{P}{\pi} \int_{-\infty}^{+\infty} \frac{\chi'(\omega)}{\omega - \zeta} d\zeta \end{array}}$$

$$\tilde{K}^R(\omega) = \chi'(\omega) + i\chi''(\omega)$$

THIS IS A

CONSEQUENCE OF CAUSALITY (RETARDATION)

HENCE :

Im PART KNOWN (MEASURED) $\longrightarrow$ Re - PART

THE RETARDED KERNEL (RESPONSE) IN THE LEHMANN REPRESENTATION

$$K_{\alpha\beta}^{(R)}(x) = -\frac{i}{\hbar} \Theta(x_0) \langle [J_{\alpha}^{\dagger}(x) J_{\beta}(0)] \rangle_T$$

↓
F - TRANSFORM

$$\tilde{K}_{\alpha\beta}^{(R)}(k) = \left(\frac{1}{2\pi}\right)^2 \int d^4x K_{\alpha\beta}^{(R)}(x) e^{ikx}$$

USE i) INTEGR. REPRESENT. OF $\Theta(x_0)$
ii) EXTENSION (TRANSL. INV.)
 $\tau \longrightarrow \tau + i\beta\hbar$

THEN

$$\text{Im } \tilde{K}_{\alpha\beta}(\omega, \vec{x}) \sim \sum_{n,m} \delta^{(3)}(\vec{x} - \vec{r}_n - \vec{r}_m) e^{-\beta(\hbar\omega_{nm} - F)} (1 - e^{-\beta\hbar\omega_{nm}}) \\ \times \langle m | J_{\alpha}^{\dagger} | n \rangle \langle n | J_{\beta} | m \rangle \delta(\omega - \omega_{nm})$$

$$\sum_{n,m} e^{\beta F} \longrightarrow \frac{1}{Q} \text{Sp}(\quad)$$

$$J_{\alpha}^{\dagger} J_{\beta} \longrightarrow A_{\alpha\beta}^{nm} : \text{TRANSITION PROBAB. } W_{n \rightarrow m}$$

$$\text{Im } \tilde{K}_{\alpha\beta}(\omega) \sim \sum_{n,m} (p_n - p_m) W_{n \rightarrow m} \sim (S(\omega) - S(-\omega))$$

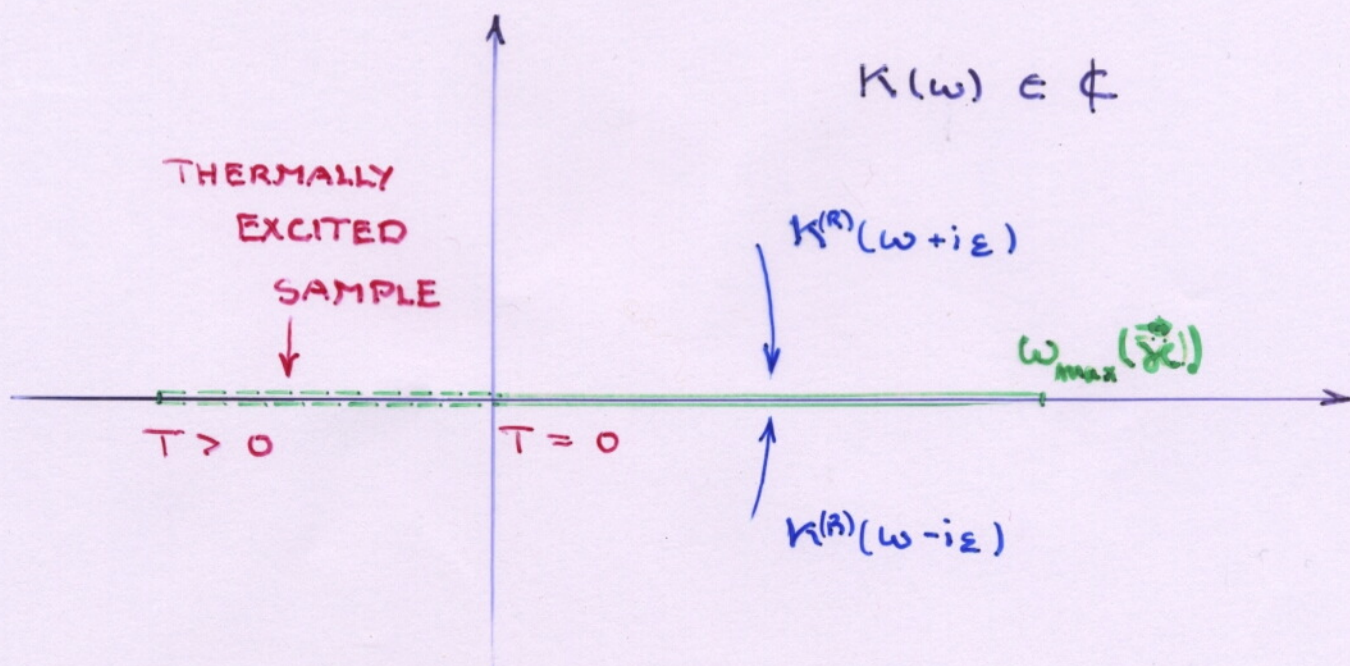
↑ ↑
ENERGY: IN OUT

↓
WRITE

$\rho(\omega)$; AND USE KRAMERS - KRONIG

$$K^R(\omega) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{d\omega' \rho(\omega')}{(\omega' - \omega) - i\epsilon}$$

LEHMANN SPECTRAL REPRESENTATION

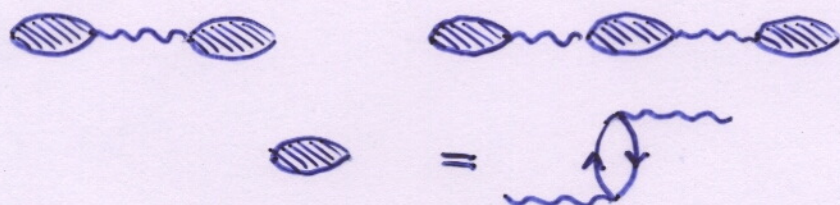


$$K^R(\omega + i\varepsilon) - K^R(\omega - i\varepsilon) = \text{Im} K^R(\omega) \sim \delta(\omega - \omega')$$

DISC $\rho(\omega)$ ON Re-AXIS $\sim S(\omega, \tilde{\chi})$

PHYS. CROSS-SECTION

INTERNAL DEGREES OF FREEDOM OF THE SAMPLE CONTRIBUTE TO THE SPECTRAL FUNCTION $\rho(\omega)$



$$\text{Im} \tilde{K}(\omega) \sim \underbrace{\langle m | J^\dagger | m \rangle \langle m | J | m \rangle}_{\text{EXCITED STATES OF SPECIMEN}}$$

EXCITED STATES OF SPECIMEN

INTERNAL DEGREES OF FREEDOM

FLUCTUATIONS OF UNPERTURBED SAMPLE



RESPONSIBLE FOR ENERGY TRANSFER TO PERTURBED SAMPLE
(DISSIPATION)

FLUCTUATION

TIME CORRELATION :

$$\begin{aligned}\varphi(t'-t) &= \langle J(t') J^\dagger(t) \rangle \\ &= \frac{1}{2\pi} \int_{-\infty}^{+\infty} d\omega \int_{-\infty}^{+\infty} d\omega' \langle \tilde{J}(\omega) \tilde{J}^\dagger(\omega') \rangle e^{i(\omega t + \omega' t')} \\ &\quad \downarrow \text{Q.M. (NOT - C - NB.)} \\ &\quad \boxed{\langle \{ \tilde{J}(\omega) \tilde{J}^\dagger(\omega') \} \rangle_T} \quad \text{POWER - SPECTR. OF FLUCTUATION}\end{aligned}$$

FROM INVARIANCE UNDER t - TRANSL.

$$\langle \{ \tilde{J}(\omega) \tilde{J}^\dagger(\omega') \} \rangle_T \sim \delta(\omega + \omega')$$

DEFINITION : $\langle J^2 \rangle_\omega$

$$\frac{1}{2} \langle \tilde{J}(\omega) \tilde{J}^\dagger(\omega') + \tilde{J}^\dagger(\omega') \tilde{J}(\omega) \rangle_T = 2\pi \langle J^2 \rangle_{\omega, T} \delta(\omega + \omega')$$

SAME PROCEDURE AS FOR COMMUTATOR LEADS TO :

$$\boxed{\langle J^2 \rangle_{\omega, T} = \frac{1}{2} \left(1 + \frac{\hbar\omega}{2kT} \right) \text{Im} \tilde{K}(\omega)}$$

SQUARED FLUCTUATION
OF UNPERTURBED SYSTEM
IN THERMAL EQUILIBRIUM

LINEAR DISSIPATIVE
RESPONSE TO AN
EXTERNAL PERTURB.

$$\left(1 + \frac{\hbar\omega}{2kT} \right) \begin{matrix} \rightarrow 1 & kT \ll \hbar\omega \\ \rightarrow \infty & kT \gg \hbar\omega \end{matrix}$$

$$\downarrow \frac{1}{2} \frac{1 + e^{-\hbar\omega/kT}}{1 - e^{-\hbar\omega/kT}} = \left\{ \frac{1}{2} + \frac{1}{e^{\hbar\omega/kT} - 1} \right\}$$

ZERO POINT
ENERGY

ENERGY CONTENT
OF SAMPLE