(High-Tc) Superconductivity and Vortex Lattice Structures

Joël Mesot Laboratory for Neutron Scattering ETHZ & Paul Scherrer Institute 5232 Villigen, PSI





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Introduction
Low-T_c superconductors
High-T_c cuprate superconductors
Electronic and magnetic excitations
The Abrikosov phase



Ann. Henri Poincaré 4 (2003) 1 - 22

Many-Body Physics: Unfinished Revolution

Piers Coleman

Abstract. The study of many-body physics has provided a scientific playground of surprise and continuing revolution over the past half century. The serendipitous discovery of new states and properties of matter, phenomena such as superfluidity, the Meissner, the Kondo and the fractional quantum hall effects, have driven the development of new conceptual frameworks for our understanding about collective behavior, the ramifications of which have spread far beyond the confines of terrestrial condensed matter physics- to cosmology, nuclear and particle physics. Here I shall selectively review some of the developments in this field, from the cold-war period, until the present day. I describe how, with the discovery of new classes of collective order, the unfolding puzzles of high temperature superconductivity and quantum criticality, the prospects for major conceptual discoveries remain as bright today as they were more than half a century ago.



Macroscopic Measurements

Transport, Specific heat, Magnetisation

• • •



Macroscopic Measurements	Theoretical Model
Transport, Specific heat, Magnetisation	→ Hamiltonian
•••	$\begin{array}{c} H = H_e + H_{mag} + H_{ph} + H_{e-ph} \\ + \dots \end{array}$



Macroscopic Measurements	Theoretical Model
Transport, Specific heat, Magnetisation	 Hamiltonian
	$H = H_e + H_{mag} + H_{ph} + H_{e-ph} + \dots$
	Eigenvalues E

Eigenstates $|\Gamma_i\rangle$







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Neutrons
$$\frac{d^2\omega}{d\Omega d\omega} \approx \left| \left\langle \Gamma_m \left| \hat{\mathbf{J}}_{\perp} \right| \Gamma_n \right\rangle \right|^2 \delta(h\omega + E_{\Gamma_n} - E_{\Gamma_m}) ,$$



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(Macroscopic properties) (electronic, magnetic...)



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Microscopic measurements (Inelastic neutron scattering Photoemission, raman, NMR...)



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Microscopic measurements (Inelastic neutron scattering Photoemission, raman, NMR...)

Microscopic model (understanding of interactions)



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Normal metals





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Normal metals

resistance = losses = bad efficiency





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Normal metals

resistance = losses = bad efficiency





Normal conductors





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Normal conductors





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Normal conductors



Superconductivity





Superconductivity



Meissner effect (1933)





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Levitation: examples



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Levitation: examples









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But what is the mechanism producing superconductivity



Isotope effect

 $T_c \approx M^{-\alpha} \longrightarrow \text{phonons are involved } (\omega_{ph} \approx M^{-0.5})$

Table 1: measured coefficients α of the isotopic effect $T_c - M^{-\alpha}$

	α		α
Hg	0.50±0.03	Cd	0.50±0.10
T1	0.50±0.10	Мо	0.33±0.05
Sn	0.47±0.02	Ru	0.00±0.10
Pb	0.48±0.01	Os	0.20±0.05



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	?			



BCS Theory (1957)



• Cooper pairs (bosons) condense into a macroscopic coherent ground-sate





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"normal state"





"normal state"



"Superconducting state"





"normal state"



"Superconducting state"





"normal state"



"Superconducting state"





QuickTime^T TIFF (LZW) dec
Normal vs Superconducting electrons

"normal state"



"Superconducting state"





How can we experimentally prove the e-phonon interaction?

Study the dynamics of:

-electrons --> tunneling spectroscopy, photoemission

-lattice (phonons) ---> neutron scattering



Neutrons+Photons+Muons @ PSI





Neutrons+Photons+Muons @ PSI





Electrons in a periodic potential (metals)

(Free electron: $E=1/2mv^2=k^2/2m$)



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Electrons in a periodic potential (metals)

(Free electron: $E=1/2mv^2=k^2/2m$)

a) No interactions --> delta function



b) Weak interactions--> Renormalized quasiparticles $m^*, \,\omega(\mathbf{k}) = \omega_0(\mathbf{k}) + \Sigma'(\omega, \mathbf{k}) \qquad \tau(\mathbf{k}, \omega) \sim \frac{1}{\Sigma''(\mathbf{k}, \omega)}$











Evidence for e-Phonon Interaction: Tunneling Spectroscopy





Evidence for e-Phonon Interaction: Tunneling Spectroscopy





Phonon DOS



Phonons



QuickTime[™] and a TIFF (LZW) decompress are needed to see this parture The coherent displacement of atoms can be visualized by the ratio:

$$u_n / u_{n+1} = e^{-iqa}$$



a) Zone-boundary: $q=\pi/a$



$$u_n / u_{n+1} = -1$$

b) Zone center: q=0



$$u_n / u_{n+1} = 1$$
 $\lambda = \infty$



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Connection to "real world" ? Debye-Modell for small q (q<<π/a)

$$\sin\left(\frac{qa}{2}\right) \approx \frac{qa}{2}$$

$$\omega \approx \sqrt{\frac{\beta}{M}} aq$$

"Density" $\rho=M/a$, elastic constant $c=\beta a$

$$\omega \approx \sqrt{\frac{\beta}{M}} aq = \sqrt{\frac{c}{\rho}} aq = \mathbf{v}q$$

v= sound velocity



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NN+NNN...

$$M_{n} = F_{n} = \sum_{j} \beta_{1}(u_{n+j} + u_{n-j}) + \beta_{0}u_{n} \qquad u_{n} = \xi e^{i(\omega t + qna)}$$





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Neutron Scattering $\frac{d^2\sigma}{d\Omega d\omega} = \frac{4\pi^3}{v_o} \cdot \frac{k'}{k} \sum_{s,\mathbf{q}} \frac{1}{\omega_s(\mathbf{q})} \left[\sum_{\mathbf{d}} \frac{\langle b_{\mathbf{d}} \rangle}{\sqrt{M_{\mathbf{d}}}} e^{-W_{\mathbf{d}}(\mathbf{0})} e^{i\mathbf{Q}\cdot\mathbf{d}} \left[\mathbf{Q} \cdot \mathbf{e}_{\mathbf{d},s}(\mathbf{q}) \right] \right]$ $\left\{ \left[n_{s}(\mathbf{q})+1\right] \delta\left\{ \omega-\omega_{s}(\mathbf{q})\right\} \sum \delta(\mathbf{Q}-\mathbf{q}-\tau) \right\}$ $+ n_{s}(\mathbf{q}) \delta \{\omega + \omega_{s}(\mathbf{q})\} \sum \delta(\mathbf{Q} + \mathbf{q} - \tau) \}$ $n_s(\mathbf{q}) = \left| \exp\left\{\frac{\mathbf{h}\omega_s(\mathbf{q})}{k_T}\right\} - 1 \right|^2$. Bose-Einstein statistics

Nuclear, Inelastic, Coherent



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Phonon density-of-state





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Nuclear Incoherent Neutron Scattering

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{1}{4M} \cdot \frac{k_f}{k_i} \Big[\langle b^2 \rangle - \langle b \rangle^2 \Big] e^{-2W(\mathbf{Q})} \left\langle \left(\mathbf{Q} \cdot \mathbf{e}_s(\mathbf{q}) \right)^2 \right\rangle \frac{g(\omega)}{\omega} \left\{ \operatorname{coth}\left(\frac{h\omega}{2k_B T} \right) \pm 1 \right\}$$

 $\mathbf{Q}=\mathbf{k}_{i}-\mathbf{k}_{f}=$ momentum transfer $\hbar \omega = E_{i} - E_{f} =$ energy transfer b= scattering length $\mathbf{e}_{s}(\mathbf{q})=$ phonon eigenvector W(\mathbf{Q})=Debye-Waller factor











Full understanding of superconductivity (1986)?



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J. M. Ziman (1972)



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Full understanding of superconductivity (1986)?

J. M. Ziman (1972)

"SC was long considered the most extraordinary and mysterious of the properties of metals; but the theory of Bardeen, Cooper and Schrieffer –the BCS theory- has explained so much that we can say that we now understand the superconducting state almost as well as we do the normal 'state'."



The 1986 revolution



2D-Structure La(2-x)Sr(x)CuO(4)Ο CuO₂ Cu CuO_2 La(Sr) **▲**c-axis CuO_2







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The Power of Neutron Diffraction

$$\left(\frac{d\sigma}{d\Omega}\right)_{inc} = \left[\left\langle b^{2}\right\rangle - \left\langle b\right\rangle^{2}\right] \sum_{j=j'} e^{-i\mathbf{Q}\left(\hat{\mathbf{R}}_{j'}-\hat{\mathbf{R}}_{j}\right)} = N\left[\left\langle b^{2}\right\rangle - \left\langle b\right\rangle^{2}\right]$$

$$\left(\frac{d\sigma}{d\Omega}\right)_{coh} = N_{0} \frac{(2\pi)^{3}}{v_{0}} \sum_{\tau} |\mathbf{F}_{\tau}|^{2} \delta(\mathbf{Q}-\tau),$$
Structure factor $\mathbf{F}_{\tau} = \sum_{d} b_{d} e^{i\mathbf{Q}\mathbf{d}}$

$$\tau = \text{reciprocal lattice vec}$$

$$d = \text{position of atom d}$$
in unit cell



or

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OuickTime[™] and a TIFF (LZW) decompresso are needed to see this meture

YBa₂Cu₃O₆

"Apex" model

"Plane" model





Phase Diagram of HTSC

HTSC: a doped antiferromagnet Undoped Cu²⁺: $3d^9$ --> 1 hole/1 spin.





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d-wave gap?

 $2\Delta(0)=3.5 \text{ k}_{\text{B}}\text{T}_{\text{c}}$



$$\Delta(k) = \Delta_0 [\operatorname{cosk}_y - \operatorname{cosk}_y]$$



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Angle Resolved Photoemission (ARPES)







OuickTime[™] and TIFF (LZW) decompre

Surface-Interface-Spectroscopy beamline @ SLS-PSI





Spectral function





Spectral function



 $I(\mathbf{k},\omega) = \mathbf{M}(\mathbf{k},\omega) f(\omega) A(\mathbf{k},\omega)$

 $A(\mathbf{k}, \omega) =$ spectral function $f(\omega) =$ Fermi function $M(\mathbf{k}, \omega) =$ matrix elements



Spectral function



 $I(\mathbf{k},\omega) = \mathbf{M}(\mathbf{k},\omega) f(\omega) A(\mathbf{k},\omega)$

 $A(\mathbf{k}, \omega) =$ spectral function $f(\omega) =$ Fermi function $M(\mathbf{k}, \omega) =$ matrix elements

$$\underline{\text{Self-energy }\Sigma(,\omega)} = \frac{1}{\pi} \frac{|\Sigma''(\mathbf{k},\omega)|}{\left[\omega - \varepsilon_{\mathbf{k}} - \Sigma'(\mathbf{k},\omega)\right]^{2} + \left[\Sigma''(\mathbf{k},\omega)\right]^{2}} + \frac{|\Sigma''(\mathbf{k},\omega)|^{2}}{\tau^{-1}(\mathbf{k},\omega)}$$



Angle Resolved Photoemission (ARPES)





Angle Resolved Photoemission (ARPES)







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Magnetism: undoped HTSC

2D-square lattice ---> Heisenberg Hamiltonian $H = \sum_{\langle ij \rangle} JS_i S_j$







Magnetism: undoped HTSC

2D-square lattice ---> Heisenberg Hamiltonian

$$\mathbf{H} = \sum_{\langle ij \rangle} \mathbf{J} \mathbf{S}_i \mathbf{S}_j$$



$$\hbar\omega(\mathbf{q}) = 2J \left[1 - \gamma^2(\mathbf{q})/4\right]^{1/2}$$

$$\gamma(\mathbf{q}) = \cos(q_x a) + \cos(q_y a)$$

Kittel, Quantum Theory of Solids (Wiley, NY, 1963)



Magnetism: undoped HTSC

2D-square lattice ---> Heisenberg Hamiltonian



THE WITCH WE WITCH WITCH



 $H = \sum JS_i S_j$

(ij)





















Fluctuation-dissipation theorem

$$S_{\alpha\beta}(\mathbf{Q},\omega) = \frac{1+n(\omega)}{\pi(\gamma\mu_B)^2} \chi_{\alpha\beta}^{\prime\prime}(\mathbf{Q},\omega)$$



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$La_{1.86}Sr_{0.14}CuO_4$ (normal state)

Mason et al., PRL 68 (1992) 1414



Overdoped LSCO (x=0.17, T_c=37 K)



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Overdoped LSCO (x=0.17, T_c=37 K)







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Overdoped LSCO (x=0.17, T_c=37 K)

E-scans, $Q=(1/2, 1/2+\delta)$







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Spin gap: temperature scans at $\Delta E=2 \text{ meV}$

Overdoped (x=0.17, T_c=37 K)





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Spin gap: temperature scans at $\Delta E=2$ meV

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MAPS @ ISIS -RAL


Mook *et al.*, Nature **395** (1998) 580



High-Energy (2004)



High-Energy (2004)

Underdoped YBCO

non-SC LBCO





High-Energy (2004)

Opt LSCO /YBCO

Underdoped YBCO

non-SC LBCO







What is the nature of the spin excitations?



What is the nature of the spin excitations?

Fermi nesting





What is the nature of the spin excitations?

Fermi nesting









Fermi Nesting Scenario

$$\chi_0(q,\omega) = \sum_k \left(1 - \frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}} \right) \left(\frac{f(E_{k+q}) + f(E_k) - 1}{\omega - (E_{k+q} + E_k) + i\delta} \right)$$
$$E_k = \sqrt{\varepsilon_k^2 + \Delta_k^2}$$



Fermi Nesting Scenario $\chi_0(q,\omega) = \sum_k \left(1 - \frac{\varepsilon_k \varepsilon_{k+q} + \Delta_k \Delta_{k+q}}{E_k E_{k+q}} \right) \left(\frac{f(E_{k+q}) + f(E_k) - 1}{\omega - (E_{k+q} + E_k) + i\delta} \right)$ $E_{k} = \sqrt{\varepsilon_{k}^{2} + \Delta_{k}^{2}}$ Δ_k k_x (0,0) $(\pi, 0)$ $(0, \pi)$ (π,π)









Renormalized Susceptibility

M. Lavagna PRB **49** (94) 4235, D. Z. Liu, PRL **75** (95) 4130, N. Bulut, PRB **53** (96) 5149, J. Brinckmann, PRL **82** (99) 2915, Norman, PRB **61** (00) 14751

+interactions (RPA)

$$\chi(q,\omega) = \frac{\chi_0(q,\omega)}{1 - J(q) \chi_0(q,\omega)}$$

$$J(q) = J(\cos(q_{\chi}a) + \cos(q_{\gamma}a))/2$$



Renormalized Susceptibility

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Fermi nesting approach:



YBCO

Cond-mat/0302347

Schneider et al.

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Is magnetism relevant for HTSC?





Is magnetism relevant for HTSC?





Electronic renormalization (ARPES)?



Origin of the electronic renormalization?



Collective mode = resonance ?



ARPES (Bi2212) PRL **83** (1999) 3709



Collective mode = resonance ?





Low- vs high-T_c Superconductors



Low- vs high-T_c Superconductors





A complex and fascinating problem!







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Meissner effect (magnetic)



















OuickTime[™] and a TIFF (LZW) decompress



Flux lines (magnetic)



Abrikosov Lattice





Abrikosov Lattice






Abrikosov Lattice









Diffraction of neutrons from flux lines ----> Bragg law: λ =2d sin(Θ)



Diffraction of neutrons from flux lines ----> Bragg law: λ =2d sin(Θ)

$$d = \alpha \sqrt{\frac{\Phi_0}{B}}$$

square: $\alpha = 1.000$ hexagonal: $\alpha = 1.075$



Diffraction of neutrons from flux lines ----> Bragg law: λ =2d sin(Θ)

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square: $\alpha = 1.000$ hexagonal: $\alpha = 1.075$

B=1 Tesla -----> d=455 Å
$$\lambda$$
=10 Å -----> Θ =0.63 degrees
SMALL ANGLES!!!



Diffraction of neutrons from flux lines ----> Bragg law: $\lambda = 2d \sin(\Theta)$ $d = \alpha \sqrt{\frac{\Phi_0}{R}}$ B=1 Tesla ----> d=455 Å $\lambda = 10 \text{ Å} \quad \text{----->} \Theta = 0.63 \text{ degrees}$ $\alpha = 1.000$ square: SMALL ANGLES!!! hexagonal: α =1.075 Iris for beam Primary beam collimation stop Neutron guide 2Θ Sample Velocity selector 2-dimensional (mechanical) position sensitive Kч detector 2Θ OuickTime[™] and TIFF (LZW) decompre-

11-Tesla Magnet





HTSC low fields



Johnson et al. PRL 82 (1999) 2792



HTSC low fields



Johnson *et al*. PRL **82** (1999) 2792

Bi₂Sr₂CaCu₂O₈ (B=0.05 T)



Cubitt et al., Nature 365 (1993) 407



$La_{2-x}Sr_{x}CuO_{4}$ (x=0.17)

{**1,1**}=(Cu-O-Cu)





$La_{2-x}Sr_{x}CuO_{4}$ (x=0.17)

{**1**,**1**}=(Cu-O-Cu)







$La_{2-x}Sr_{x}CuO_{4}$ (x=0.17)









R. Gilardi *et al.*, PRL **88** (2002) 217003



Vortex structure in d-wave superconductors

M. Ichioka et al., Phys. Rev. B 53 (1996) 15316



Crossover hexagonal to square in YBCO at higher fields

B=9 Tesla



•S. Brown, T. Forgan, unpublished •Keimer et al., PRL **75** (1994) 3459



Crossover hexagonal to square in YBCO at higher fields

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•S. Brown, T. Forgan, unpublished •Keimer et al., PRL **75** (1994) 3459

$$\frac{H_{cross}(YBCO)}{H_{cross}(LSCO)} \approx 22.5$$



Crossover hexagonal to square in YBCO at higher fields

B=9 Tesla



 $\frac{H_{cross}(YBCO)}{H_{cross}(LSCO)} \approx 22.5$

Lattice orientation

YBCOLSCONodalAnti-nodal

•S. Brown, T. Forgan, unpublished •Keimer et al., PRL **75** (1994) 3459



Predictions from theoretical works:

A.J. Berlinsky et al., Phys. Rev. Lett. 73 (1995) 2200

N. Schopohl and K. Maki, Phys. Rev. B 52 (1995) 490

M. Ichioka. N. Hayashi, N. Enomoto, and K. Machida, Phys. Rev. B 53 (1996) 15316



-d-wave: increased importance of vortex core anisotropy?

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N. Nakai et al., PRL 89 (2002) 237004



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-Anisotropy of the Fermi velocity?

N. Nakai et al., PRL 89 (2002) 237004

-Presence of stripes?



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Vortex structure in d-wave superconductors

Fourfold symmetry of current and magnetic field distribution around a vortex



M. Ichioka et al., Phys. Rev. B 53 (1996) 15316



J. Shiraishi et al., PRB 59 (1999) 449,



Vortex structure in d-wave superconductors

Fourfold symmetry of current and magnetic field distribution around a vortex



































Interplay/Competition between Fermi velocity anisotropy & gap anisotropy





Interplay/Competition between Fermi velocity anisotropy & gap anisotropy



