



# Neutron Scattering in Transition Metal Oxides

---

C. Ulrich

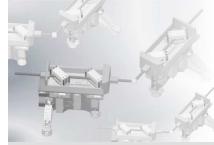
*Department Prof. B. Keimer*  
*Max-Planck-Institut für Festkörperforschung, Stuttgart, Germany*

- **physics of the  $3d$  electrons**
- **strongly correlated electrons**
- **spin, charge, orbital degrees of freedom**

$\text{LaMnO}_3$ : a material we understand today

some current frontiers:

- orbital dynamics
- colossal magnetoresistance
- high temperature superconductivity

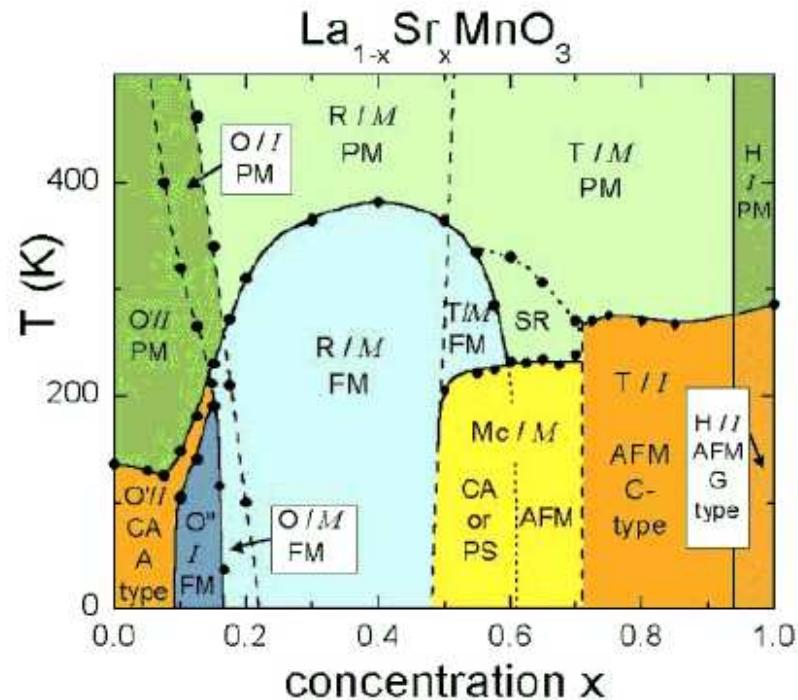


# Physics of the 3d Electrons



## Strongly Correlated Electrons

- high temperature superconductivity
- colossal magnetoresistance

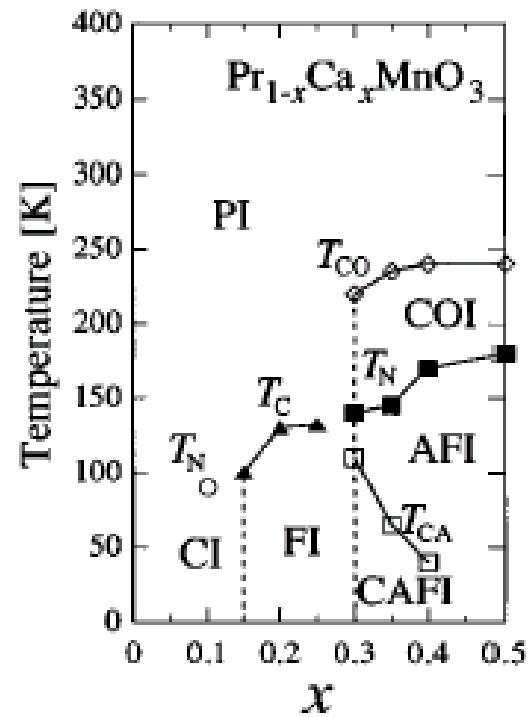


interplay between  
**spin, charge and orbital**  
degrees of freedom

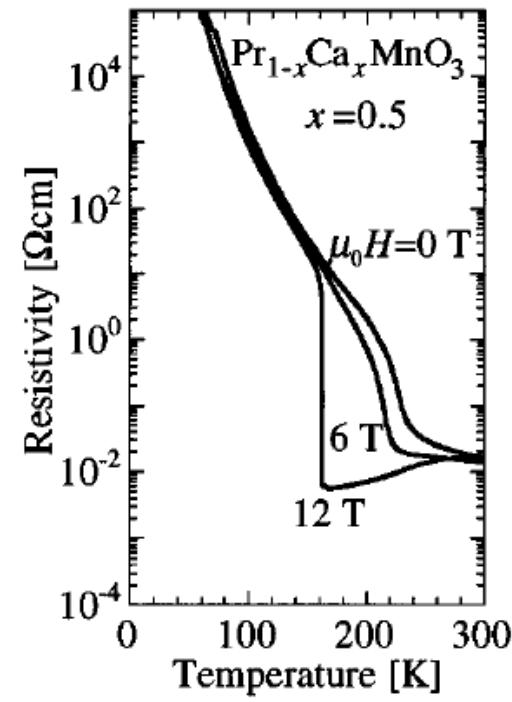
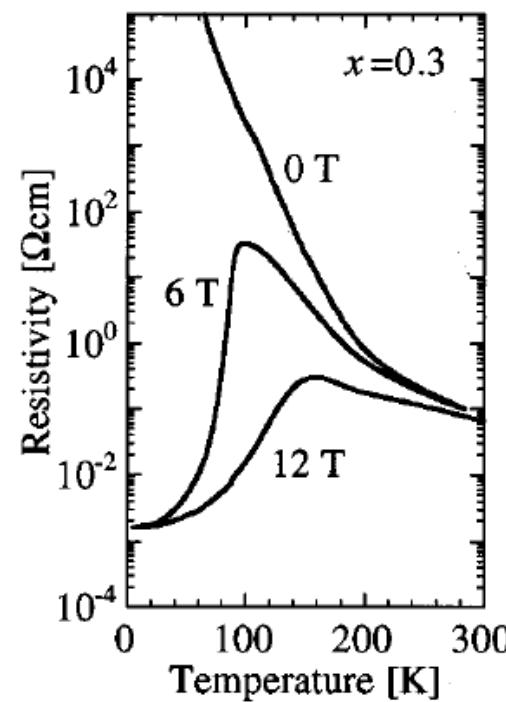
J. Hemberger *et al.*,  
PRB **66**, 94410 (2002)



# Colossal Magnetoresistance



Manganese oxide:  $\text{Pr}_{1-x}\text{Ca}_x\text{MnO}_3$



Tomioka et al., PRB 53, 1689 (1996).



# 50 years strongly correlated electrons



PHYSICAL REVIEW

VOLUME 100, NUMBER 2

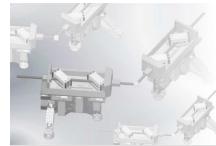
OCTOBER 15, 1955

## Neutron Diffraction Study of the Magnetic Properties of the Series of Perovskite-Type Compounds $[(1-x)\text{La}, x\text{Ca}]\text{MnO}_3$ †

E. O. WOLLAN AND W. C. KOEHLER  
*Oak Ridge National Laboratory, Oak Ridge, Tennessee*  
(Received May 9, 1955)

## Theory of the Role of Covalence in the Perovskite-Type Manganites $[\text{La}, M(\text{II})]\text{MnO}_3$ †

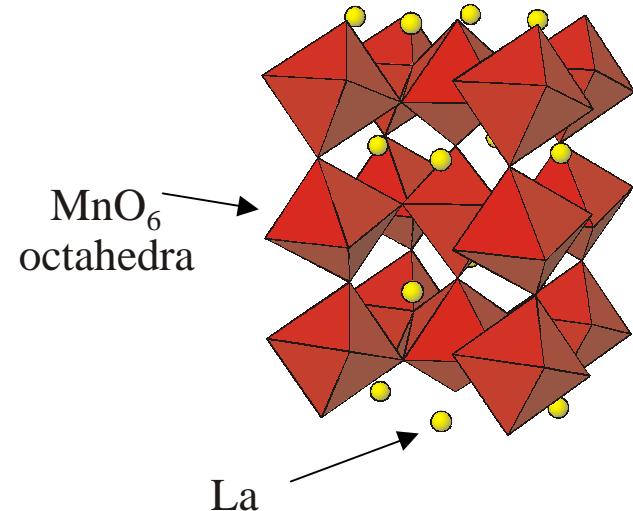
JOHN B. GOODENOUGH  
*Lincoln Laboratory, Massachusetts Institute of Technology, Lexington, Massachusetts*  
(Received May 16, 1955)



# Crystal Structure



## 3D - perovskite structure

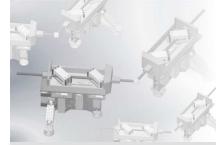


- Pm $\bar{3}$ m** ideal cubic perovskite  
**Pbnm**  $\text{GdFeO}_3$  - type distortion

- Tilt and rotation of the  $\text{TiO}_6$  octahedra
- Distortion of the  $\text{TiO}_6$  octahedra

$\text{LaMnO}_3$   
 $\text{LaTiO}_3 / \text{YTiO}_3$   
 $\text{LaVO}_3 / \text{YVO}_3$

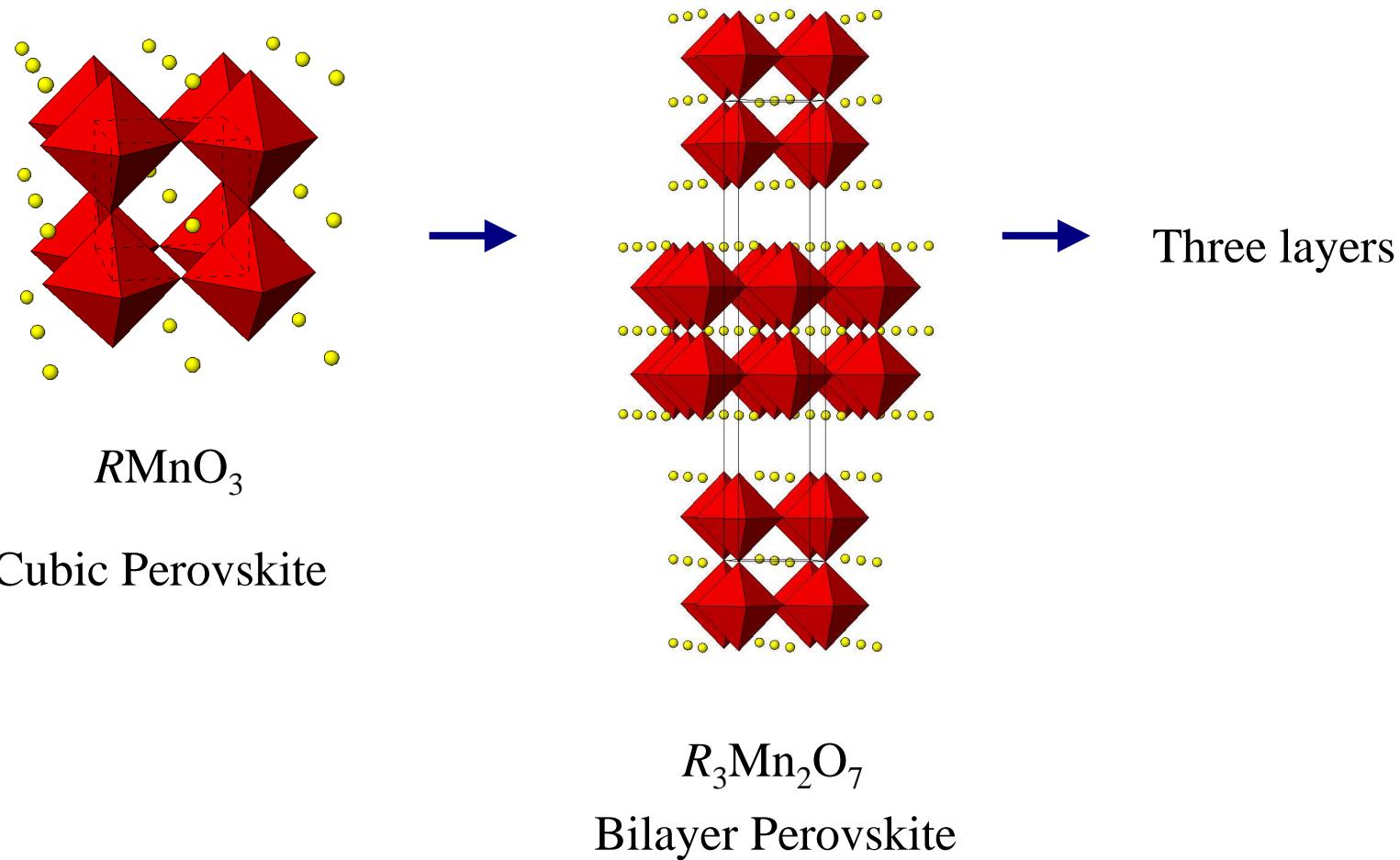
(Jahn-Teller Distortion)

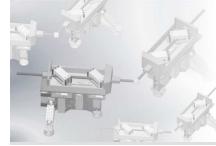


# Crystal Structure: Perovskite

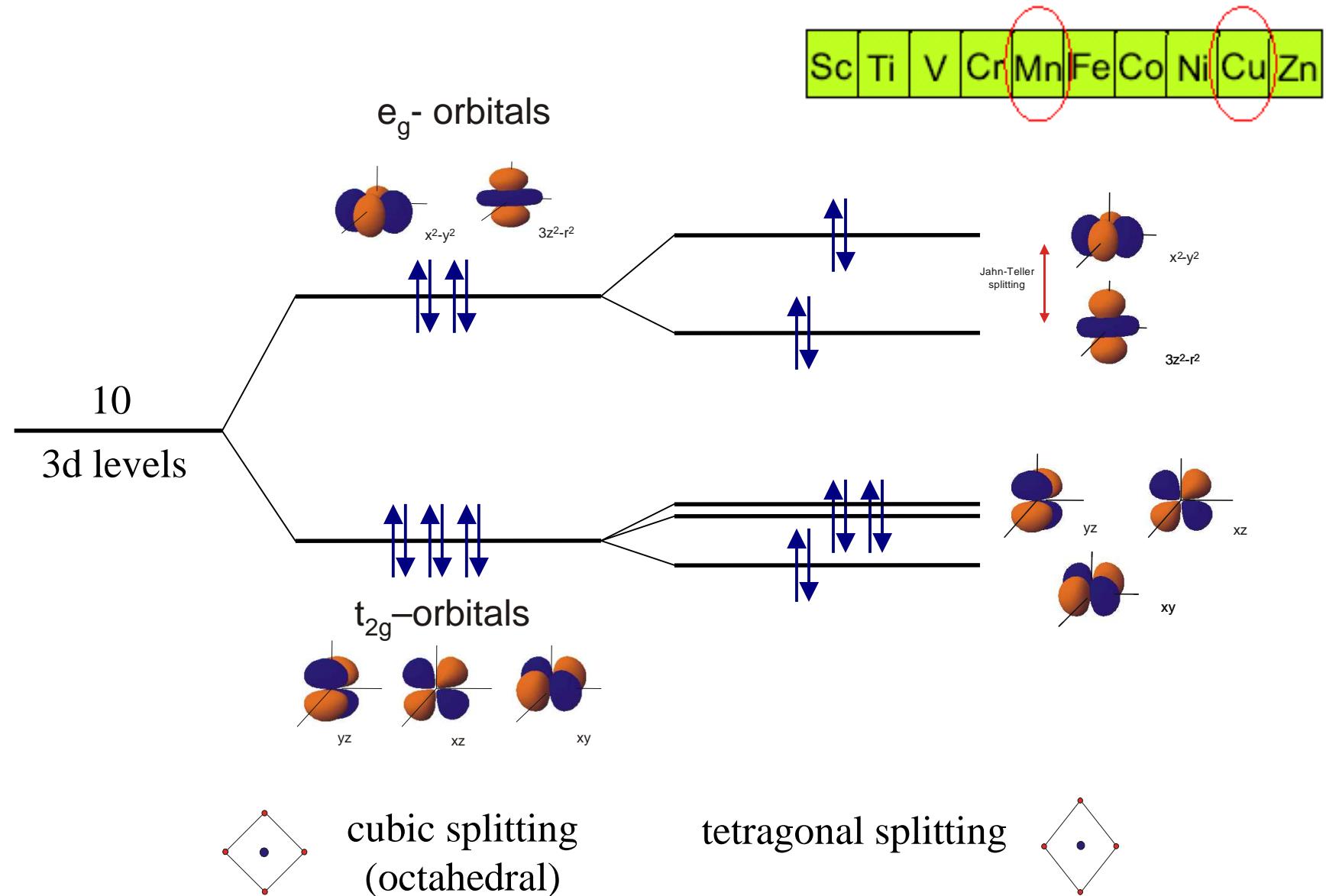


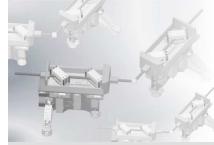
## Ruddlesden-Popper Series



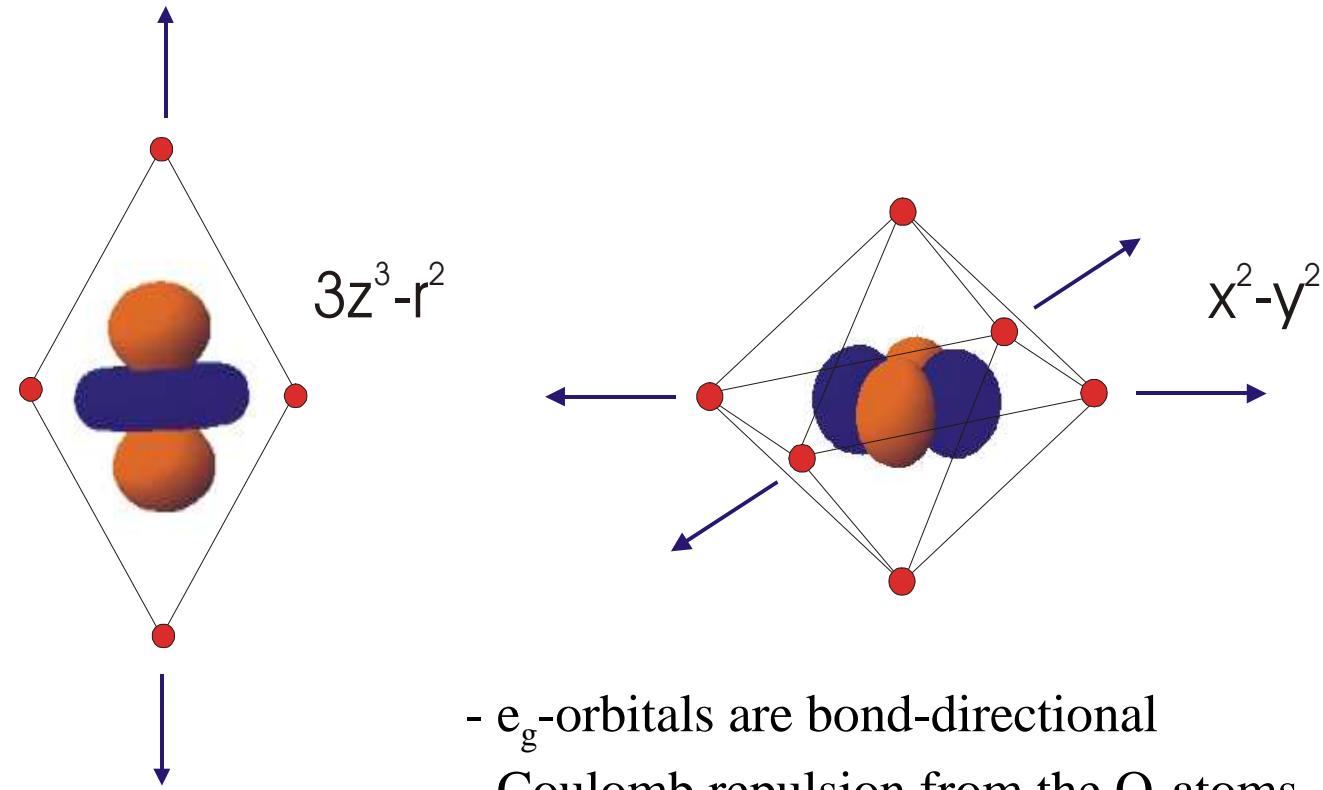


# Crystal Field Splitting of the 3d levels





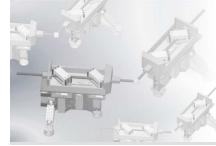
# Jahn-Teller Distortion



- $e_g$ -orbitals are bond-directional
- Coulomb repulsion from the O-atoms
- **distortion of the octahedra**

**Strain versus Coulomb energy**

Distortion of the  $\text{MnO}_6$  octahedra in  $\text{LaMnO}_3$  (manganites):  $\Delta d = c-a \sim 0.25 \text{ \AA}$

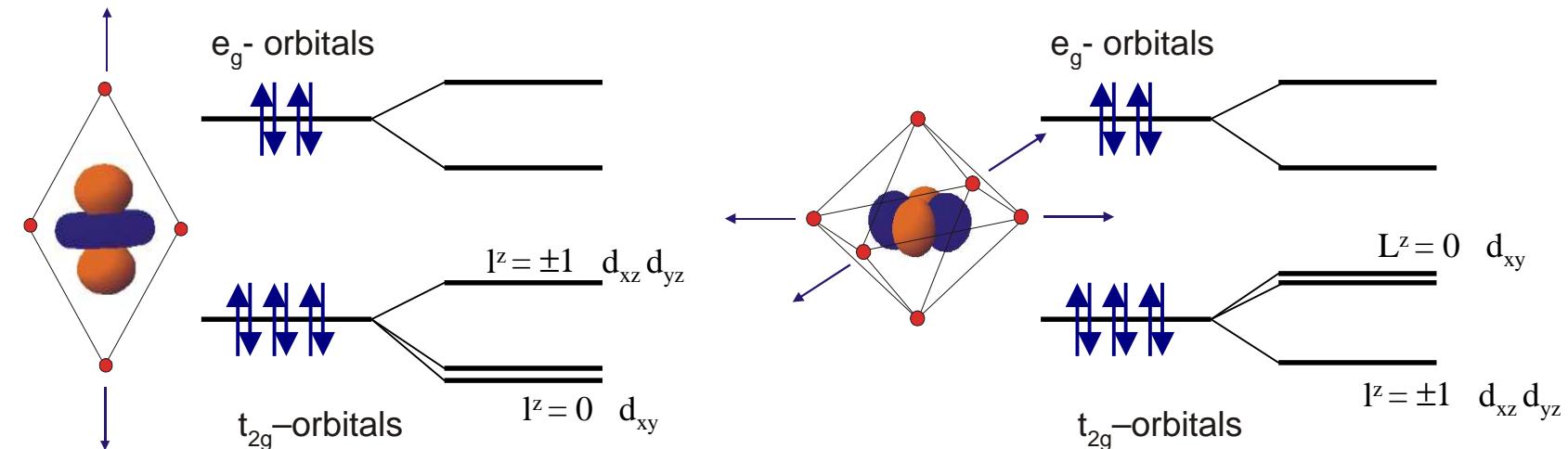


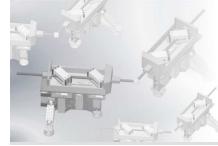
# Jahn-Teller Distortion



- Distortion of the octahedra
- Crystal symmetry is changed
- Lifting of degeneracy

## Splitting of the electronic levels





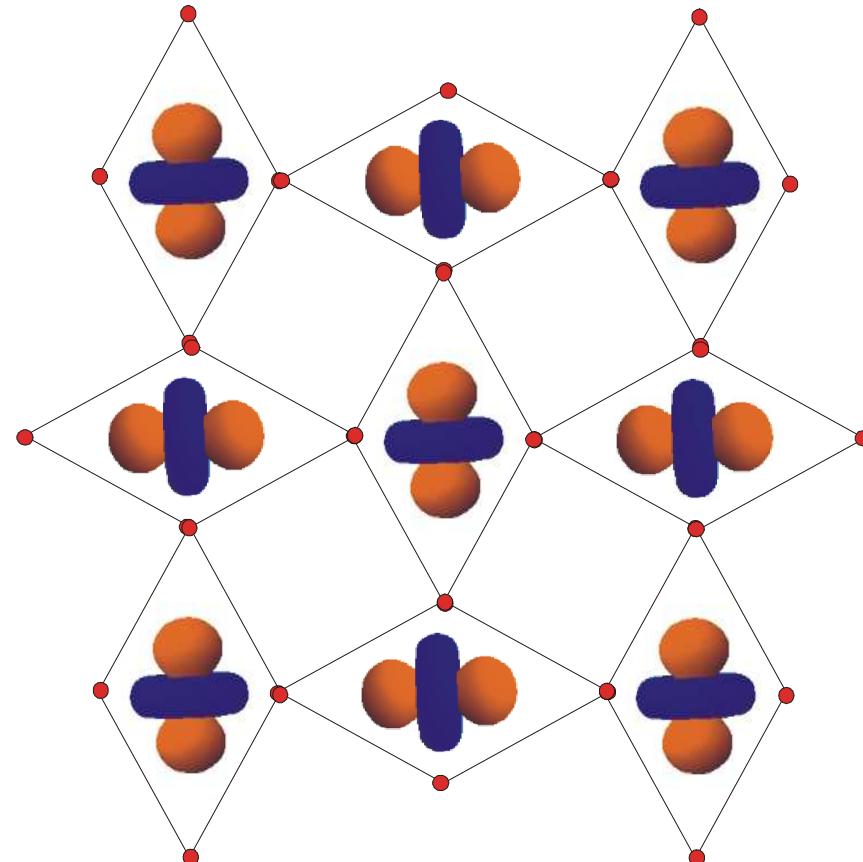
# *Cooperative Jahn-Teller Distortion*



Distortion of the octahedra

## Change in Crystal Symmetry

- Distortion
  - Tilt
  - Rotation
- of the octahedra





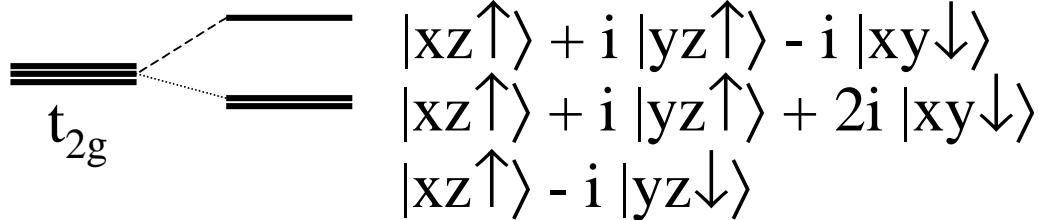
*The orbital degeneracy in ground state is lifted by:*



- crystal field splitting caused by lattice distortions  
**(Jahn-Teller Effect)**

- spin-orbit coupling

$\lambda \sim 20 \text{ meV}$



- orbital ordering with **complex** combinations of wave functions
- **unquenched** orbital angular momentum

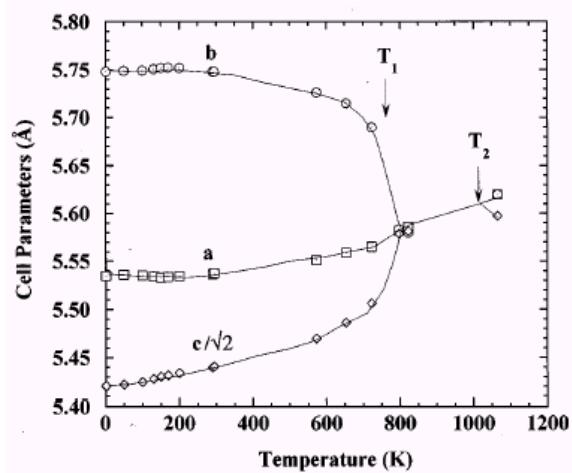
- Superexchange Interaction



# Orbital Order in $\text{LaMnO}_3$



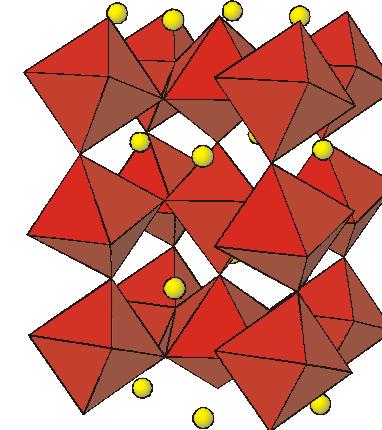
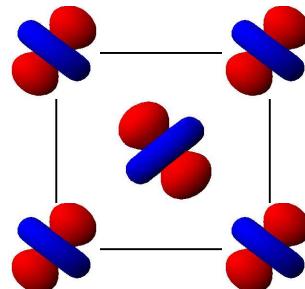
## elastic neutron scattering



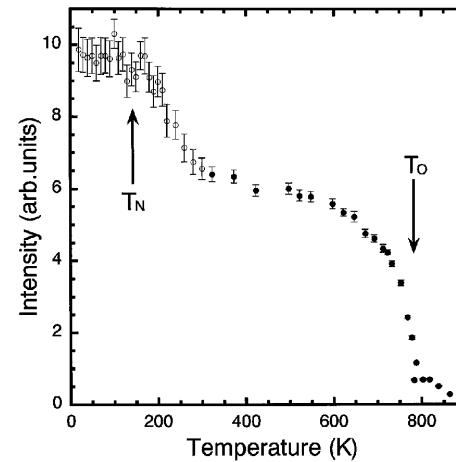
J. Rodriguez-Caravajal *et al.*,  
PRB **57**, 3189 (1998)

$$T_{\text{OO}} = 780 \text{ K}$$

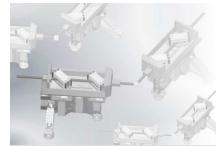
$T < T_{\text{OO}}$ : orbital order  
locks in exchange interactions



## resonant X-ray scattering



Y. Murakami *et al.*, PRL **81**, 582 (1998)

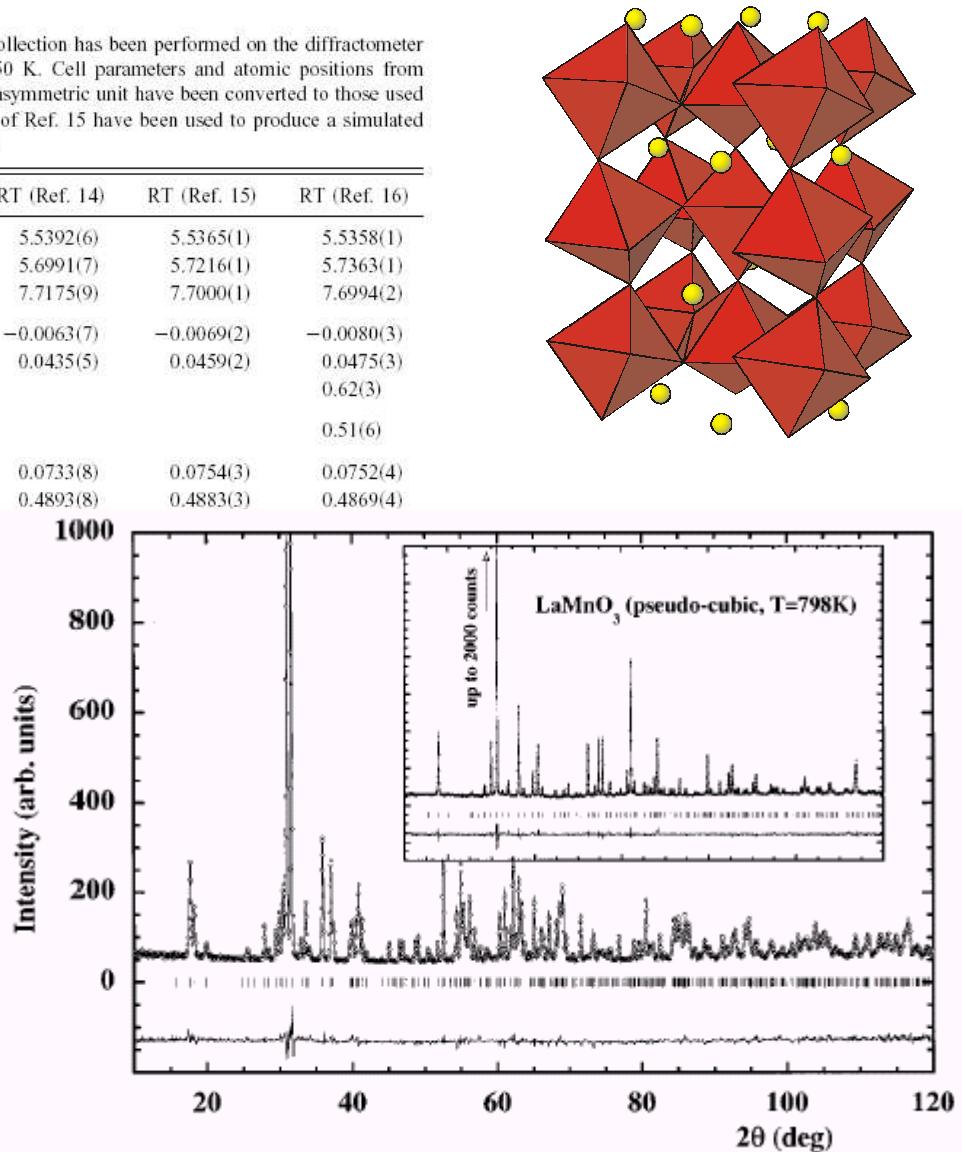


# Neutron diffraction in $\text{LaMnO}_3$



TABLE I. Structural data of  $\text{LaMnO}_3$  (*Pbnm*) at three selected temperatures. Data collection has been performed on the diffractometer 3T2, using a wavelength of 1.22 Å. The Jahn-Teller transition takes place at  $T_{\text{JT}}=750$  K. Cell parameters and atomic positions from references have been put in the *Pbnm* setting. The representative atom positions of the asymmetric unit have been converted to those used in our work. The structural parameters described in the monoclinic space group  $P2_1/n$  of Ref. 15 have been used to produce a simulated neutron diffraction pattern that has been refined using the *Pbnm* space group (see text).

	RT	$T=573$ K	$T=798$ K	RT (Ref. 11)	RT (Ref. 14)	RT (Ref. 15)	RT (Ref. 16)
$a$ (Å)	5.5367(1)	5.5520(2)	5.5817(3)	5.537(2)	5.5392(6)	5.5365(1)	5.5358(1)
$b$ (Å)	5.7473(1)	5.7269(2)	5.5834(2)	5.743(1)	5.6991(7)	5.7216(1)	5.7363(1)
$c$ (Å)	7.6929(2)	7.7365(2)	7.8896(4)	7.695(2)	7.7175(9)	7.7000(1)	7.6994(2)
$x$ (La)	-0.0078(3)	-0.0063(3)	-0.0046(9)	-0.009(1)	-0.0063(7)	-0.0069(2)	-0.0080(3)
$y$ (La)	0.0490(2)	0.0443(2)	0.0217(3)	0.050(1)	0.0435(5)	0.0459(2)	0.0475(3)
$B$ (La)(Å $^2$ )	0.34(2)	0.80(2)	1.26(3)				0.62(3)
$B$ (Mn)(Å $^2$ )	0.21(3)	0.46(4)	0.84(4)				0.51(6)
$x[\text{O}(1)]$	0.0745(3)	0.0725(3)	0.0687(10)	0.071(1)	0.0733(8)	0.0754(3)	0.0752(4)
$y[\text{O}(1)]$	0.4874(3)	0.4885(3)	0.4890(8)	0.489(1)	0.4893(8)	0.4883(3)	0.4869(4)
$B[\text{O}(1)](\text{\AA}^2)$	0.50(3)	1.00(4)	1.87(7)				
$x[\text{O}(2)]$	0.7256(2)	0.7257(2)	0.7229(6)	0.725(1)			
$y[\text{O}(2)]$	0.3066(2)	0.3038(2)	0.2831(5)	0.309(1)			
$z[\text{O}(2)]$	0.0384(2)	0.0378(2)	0.0386(4)	0.039(1)			
$B[\text{O}(2)](\text{\AA}^2)$	0.43(3)	0.91(2)	1.67(5)				
$R_p$ :	8.98	10.4	14.3				
$R_{wp}$ :	9.04	10.0	11.3				
$\chi^2$ :	2.35	2.40	2.63				
$R_{\text{Nucf}}(\%)$ :	5.16	5.32	4.14				



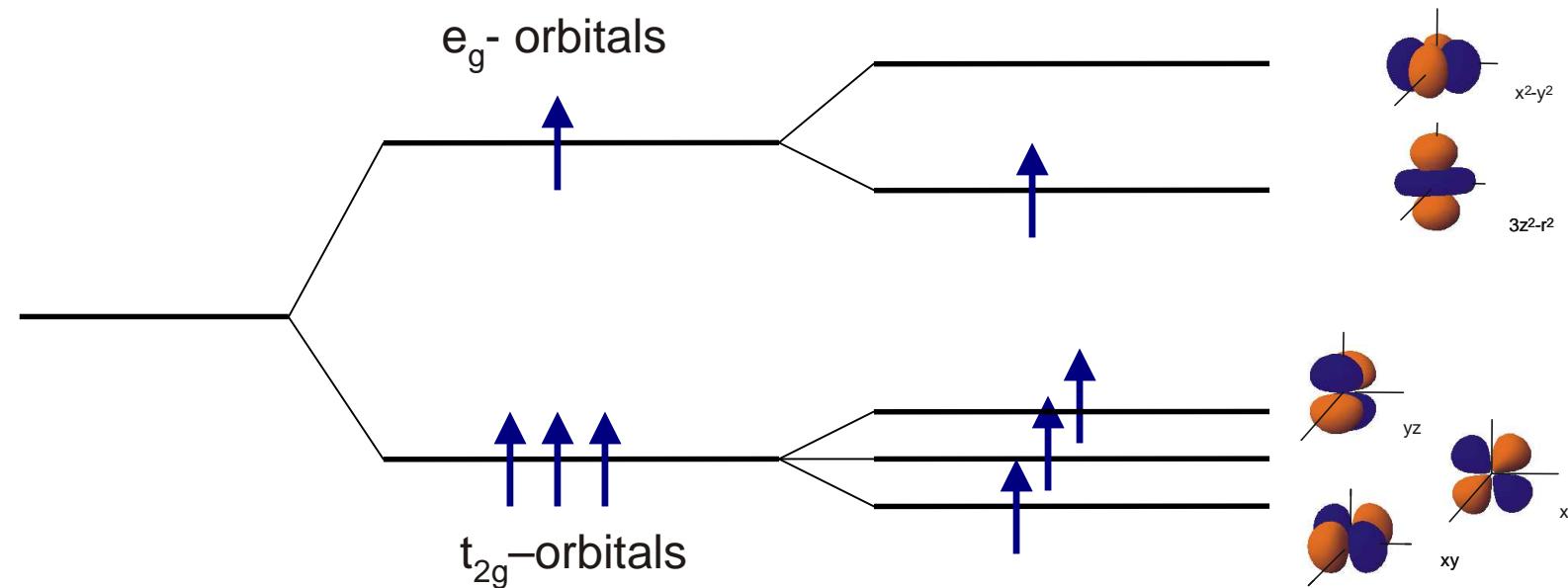
J. Rodriguez-Caravajal *et al.*,  
PRB **57**, 3189 (1998)



# *LaMnO<sub>3</sub>: high spin state 3d<sup>4</sup>, t<sub>2g</sub><sup>3</sup> e<sub>g</sub><sup>1</sup> S = 2*



LaMnO<sub>3</sub> Mn<sup>3+</sup>



**Hund's Rules:**

- largest value of total spin S
- largest value of total angular momentum L
- $J = |L - S|$  less than half filling
- $J = |L + S|$  more than half filling

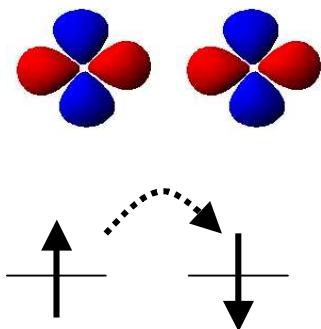


# *Superexchange Interaction*



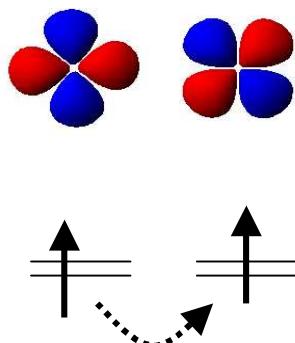
## Goodenough-Kanamori Rules

strong  
antiferromagnetic



electron cannot delocalize  
without considering  
the Pauli principle

weak  
ferromagnetic



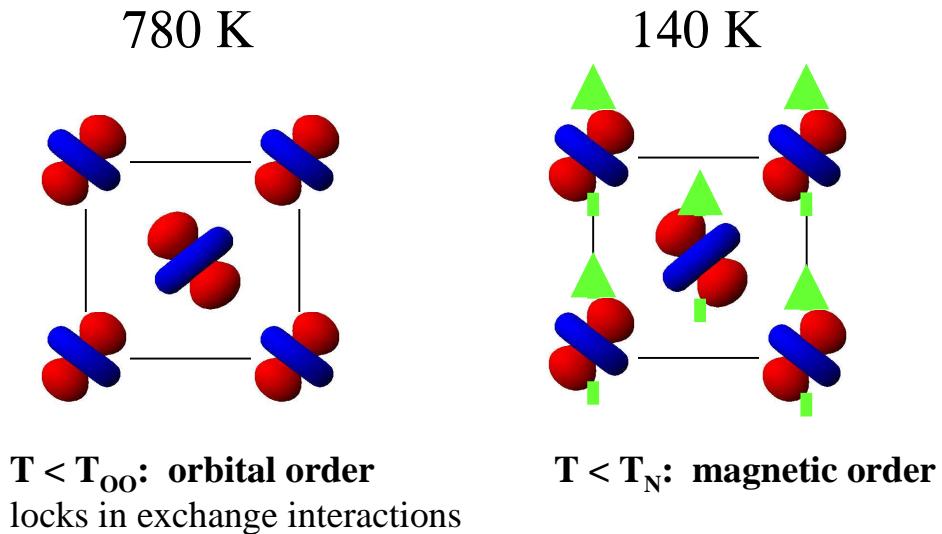
intra-atomic Hund's  
rule favors ferromagnetic  
alignment in intermediate state

Spin: antiferromagnetic  
OO: ferromagnetic-type

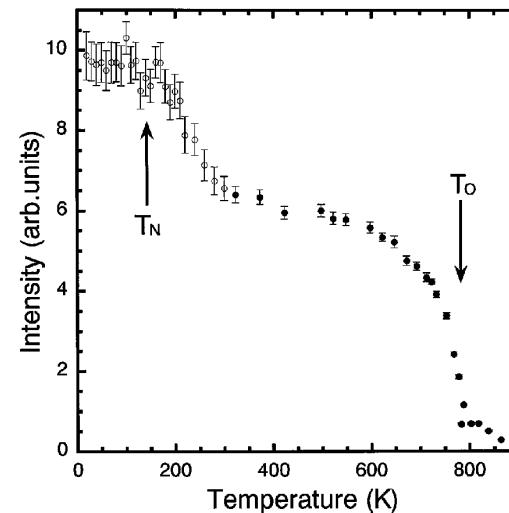
Spin: ferromagnetic  
OO: antiferromagnetic-type



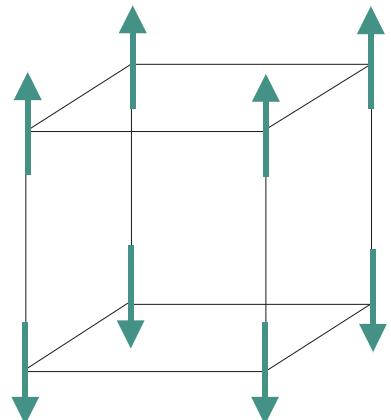
# Magnetic Order in $\text{LaMnO}_3$



resonant X-ray scattering

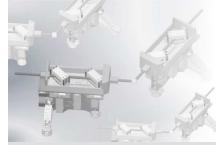


Y. Murakami *et al.*, PRL **81**, 582 (1998)

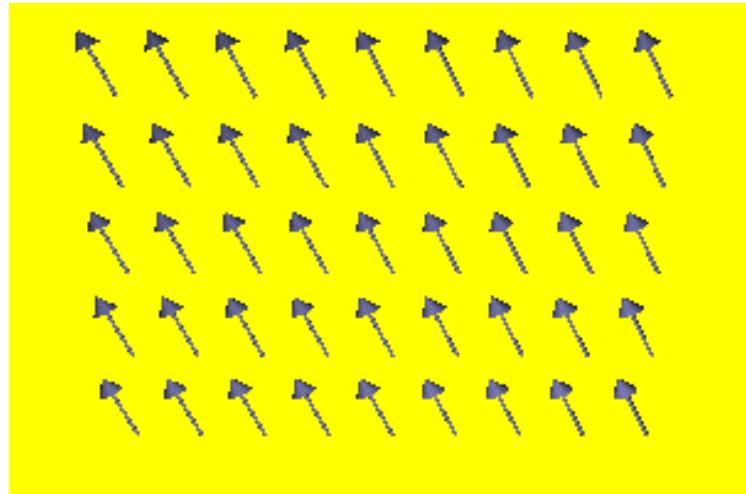


## $\text{LaMnO}_3$ A-type Antiferromagnet

- ferromagnetic within the ab-plane
- antiferromagnetic along the c-axis



# Inelastic Neutron Scattering

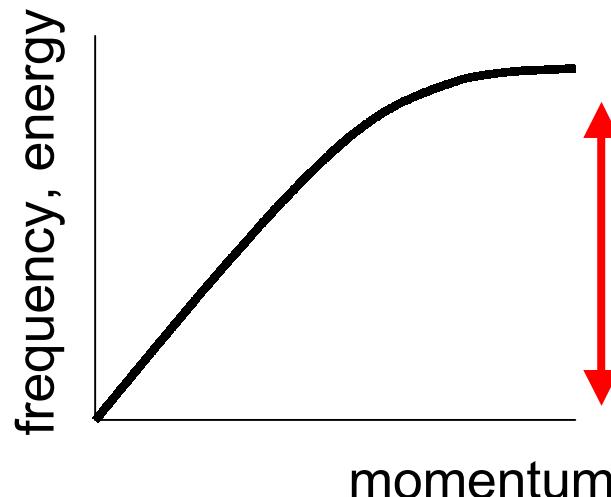


Heisenberg Hamiltonian:

$$H = \sum_{ij} J \mathbf{S}_i \cdot \mathbf{S}_j$$

$J$  = exchange interaction

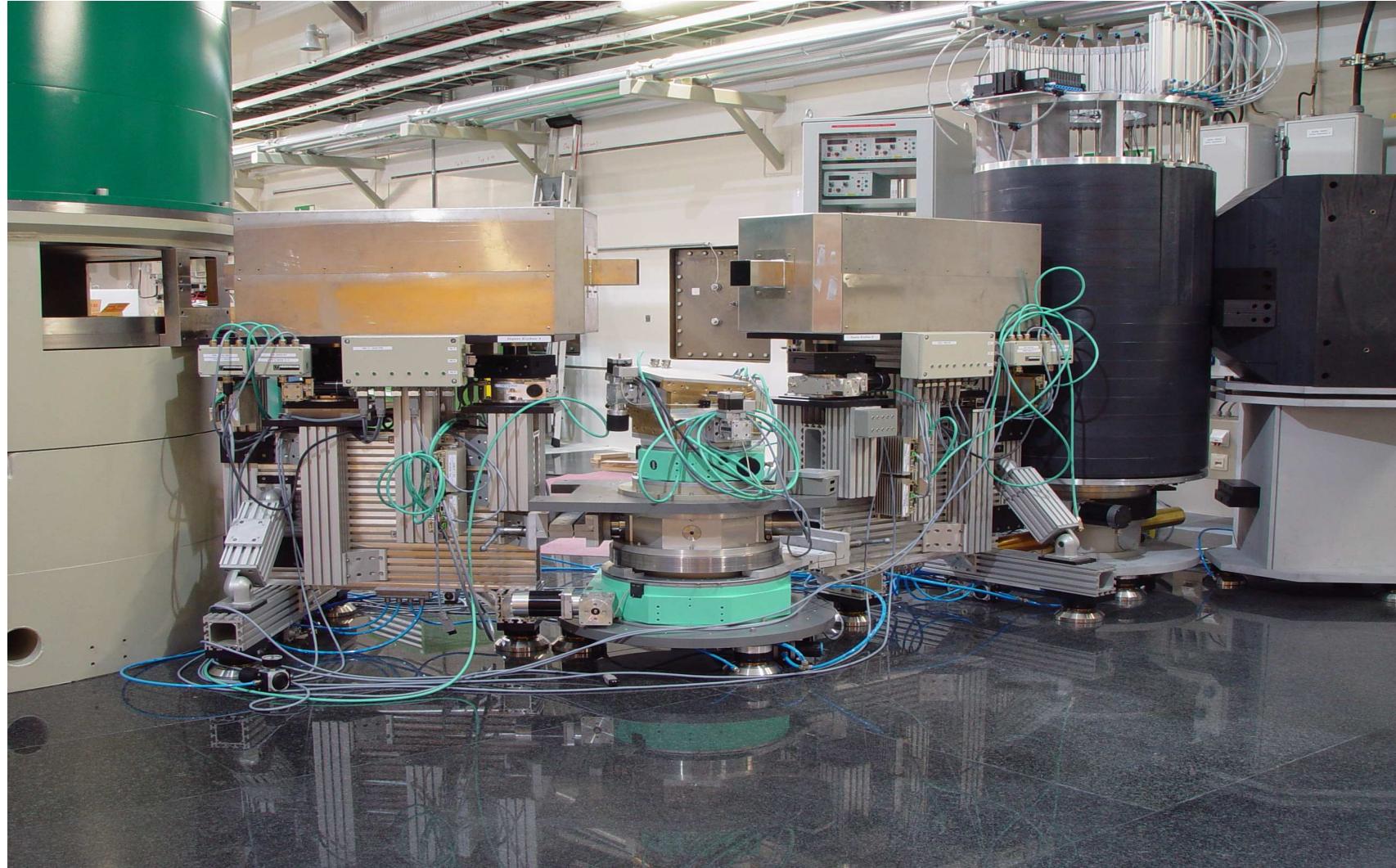
**magnon dispersion**

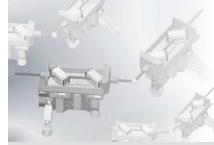


band width  $\propto J$

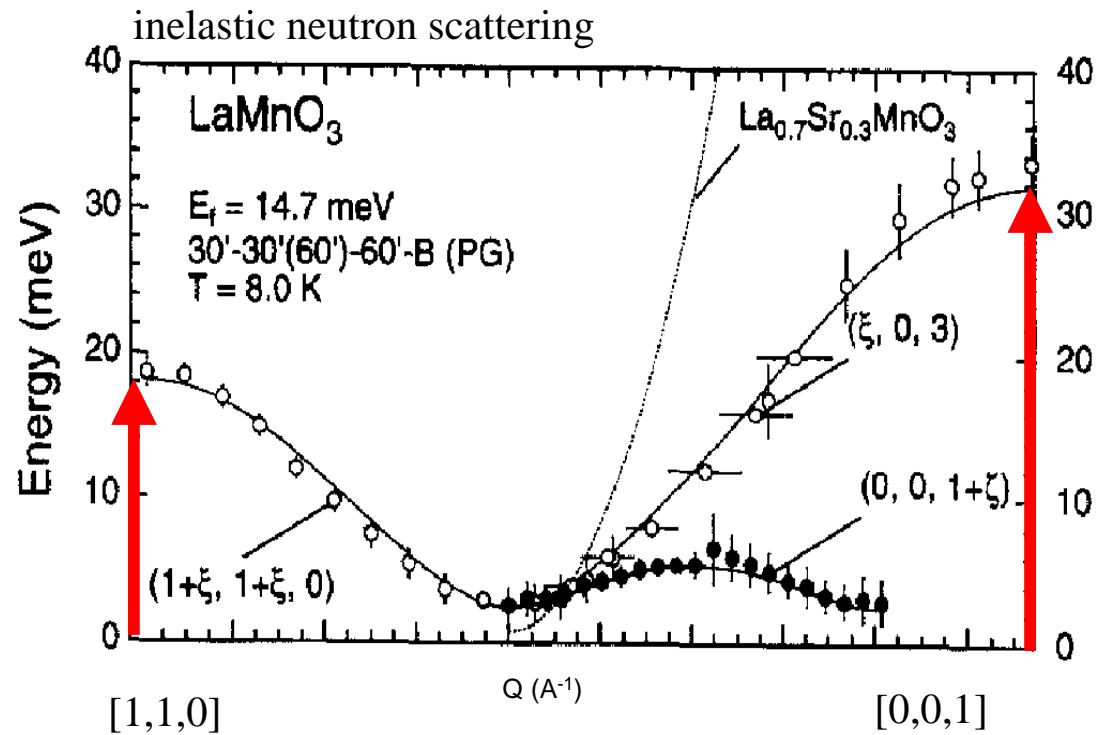


# *TRISP Spectrometer at FRM-II*





# Magnon Dispersion Relation in $\text{LaMnO}_3$



$T < T_N$ : anisotropic spin wave spectrum  
reflects the orbital ordering pattern

K. Hirota *et al.*, Physica B **237**, 36 (1997)



# *What are correlated electrons?*



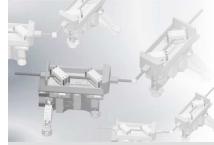
electron-electron Coulomb interactions

very weak → independent electrons: **ordinary metal**

very strong → electron crystal:      **Mott insulator**

**“strongly correlated electrons”** in d- or f-electron metals:

- transport dominated by electron-electron interactions,  
very different from ordinary metals
- new theory of metals?



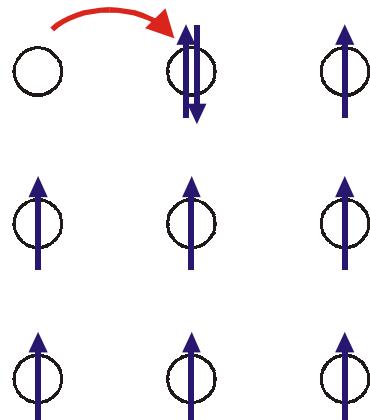
# Metal-Insulator Transition



Due to the screening of the outer electron shell, the intersite Coulomb interaction plays a dominant role for 3d-electrons.

Energy gain due to electron hopping is comparable to the Coulomb repulsion.

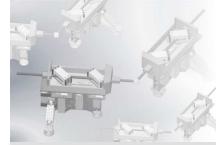
**Consider a half filled conduction band:**



**ideal metal:**

$$H = -t \sum_{\langle j,l \rangle} \sum_{\sigma} (c_{j\sigma}^\dagger c_{l\sigma} + c_{l\sigma}^\dagger c_{j\sigma})$$

kinetic energy due to  
electron-hopping

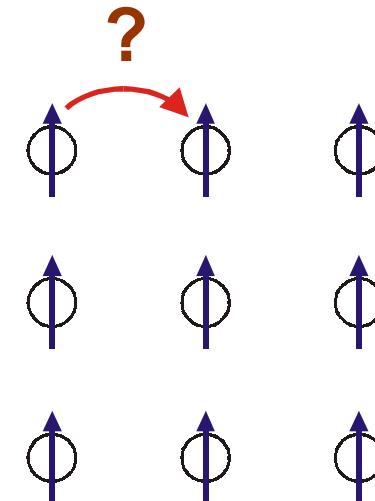


# Metal-Insulator Transition

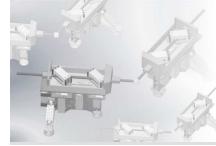


## Hubbard Model

- the kinetic energy (electron hopping) wishes to delocalize the electrons  
⇒ metallic behavior
- the Coulomb repulsion wants to localize the electrons.  
⇒ insulating behavior



$$H = \underbrace{-t \sum_{\langle j,l \rangle} \sum_{\sigma} (c_{j\sigma}^\dagger c_{l\sigma} + c_{l\sigma}^\dagger c_{j\sigma})}_{Hopping} + \underbrace{U \sum_j \hat{n}_{j\uparrow} \hat{n}_{j\downarrow}}_{Coulomb}$$



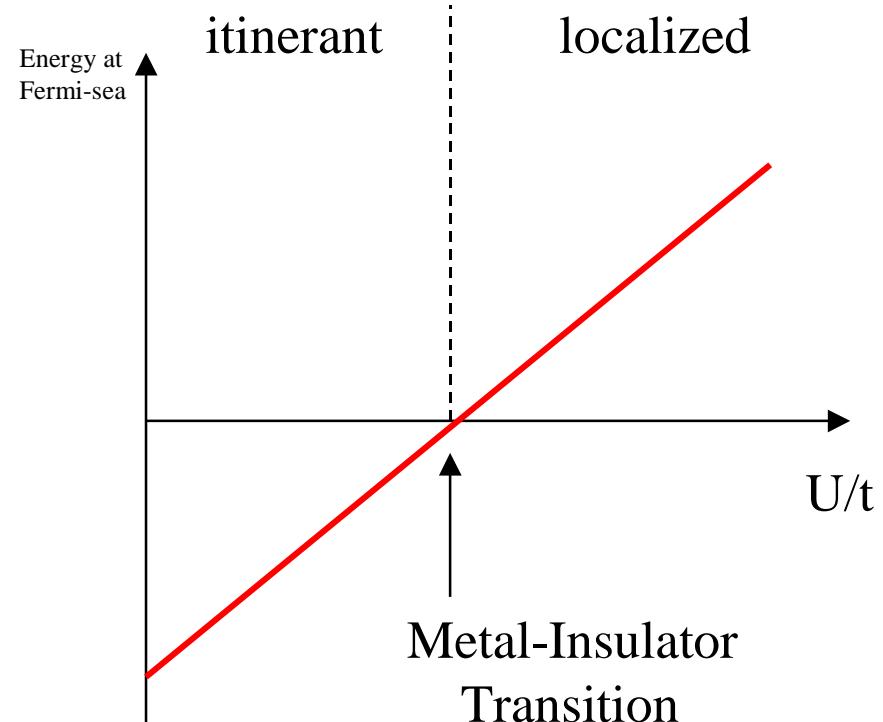
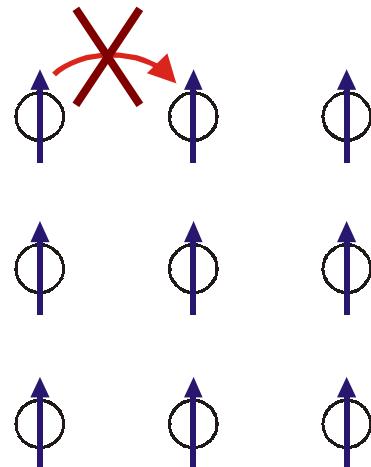
# Metal-Insulator Transition

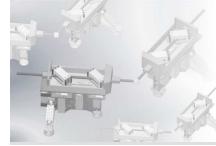


## Mott-Hubbard Transition

Balance between:

- $t$  Hopping Term
- $U$  Coulomb Repulsion

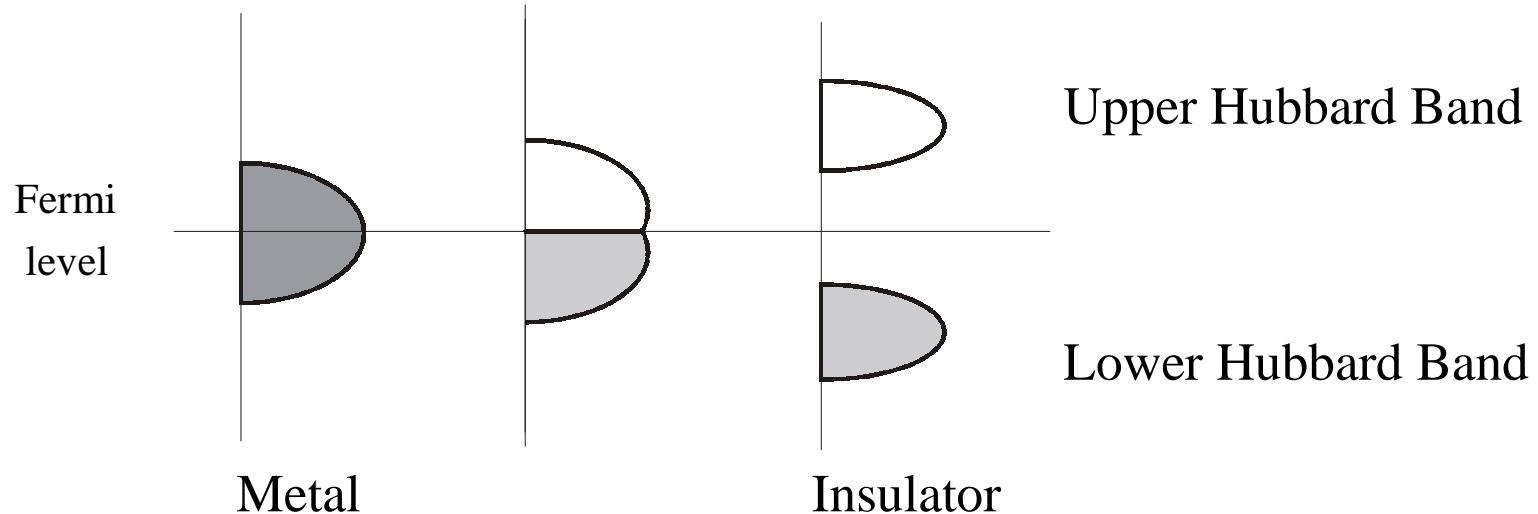




# *Metal-Insulator Transition*



## **Mott-Hubbard Transition:**      **Band Theory**



### **Final Step:**

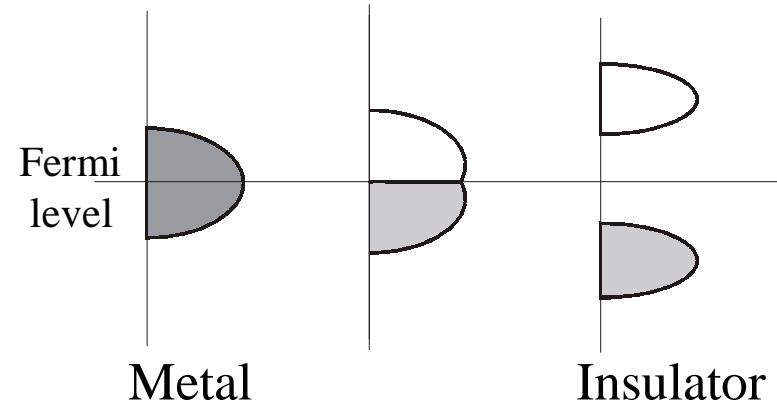
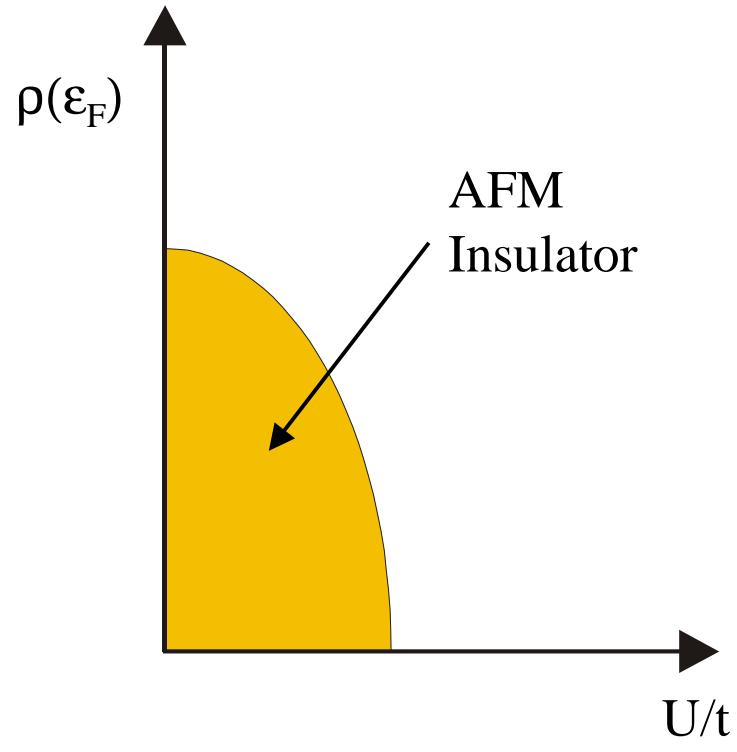
The localized electrons tend to order magnetically!



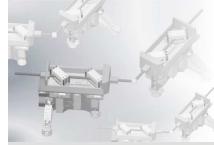
# Metal-Insulator Transition



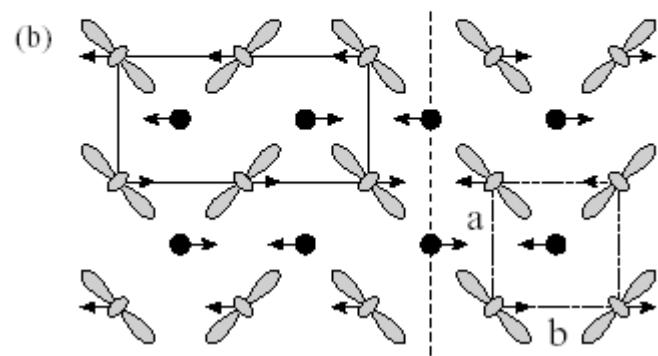
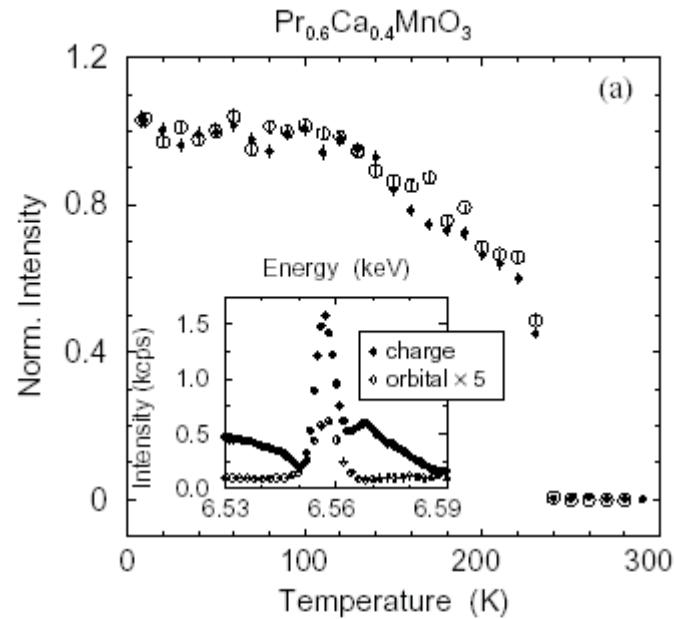
## What drives the phase transition?



- doping
- temperature
- external parameters  
(magnetic field, stress, ...)



# Charge Order

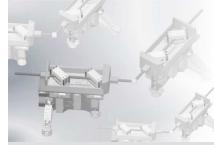


**Pr<sub>0.6</sub>Ca<sub>0.4</sub>MnO<sub>3</sub>**

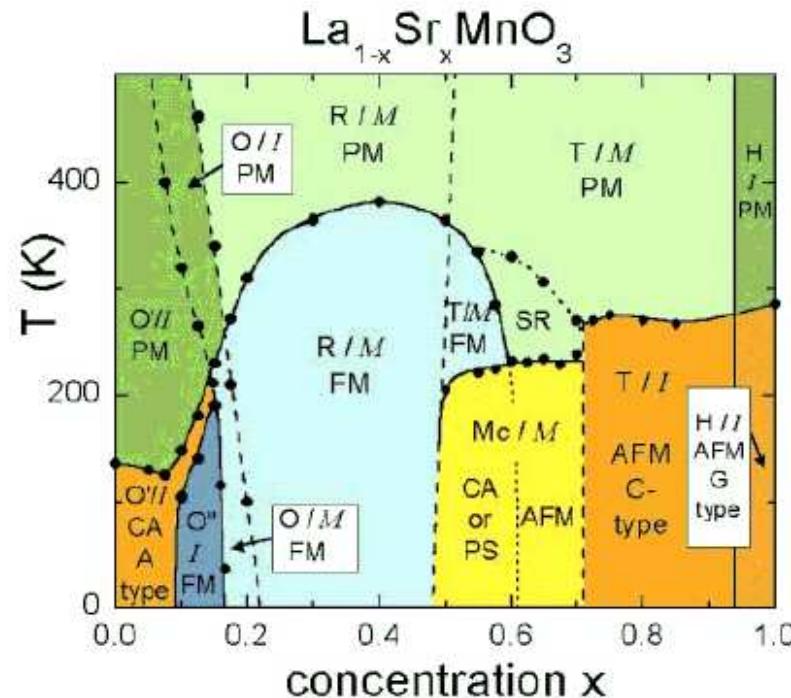
- Mn<sup>4+</sup> (d<sup>3</sup>)
- Mn<sup>3+</sup> (d<sup>4</sup>)

Resonant X-ray scattering  
of charge and orbital order

M.v. Zimmermann et al.,  
PRL 83, 4872 (1999)



# Spin, Charge and Orbital Order



## Structural:

- O orthorhombic
- O' orthorhombic Jahn-Teller
- O'' orthorhombic Orbital Order
- R rhombohedral
- T tetragonal
- Mc monoclinic
- H hexagonal

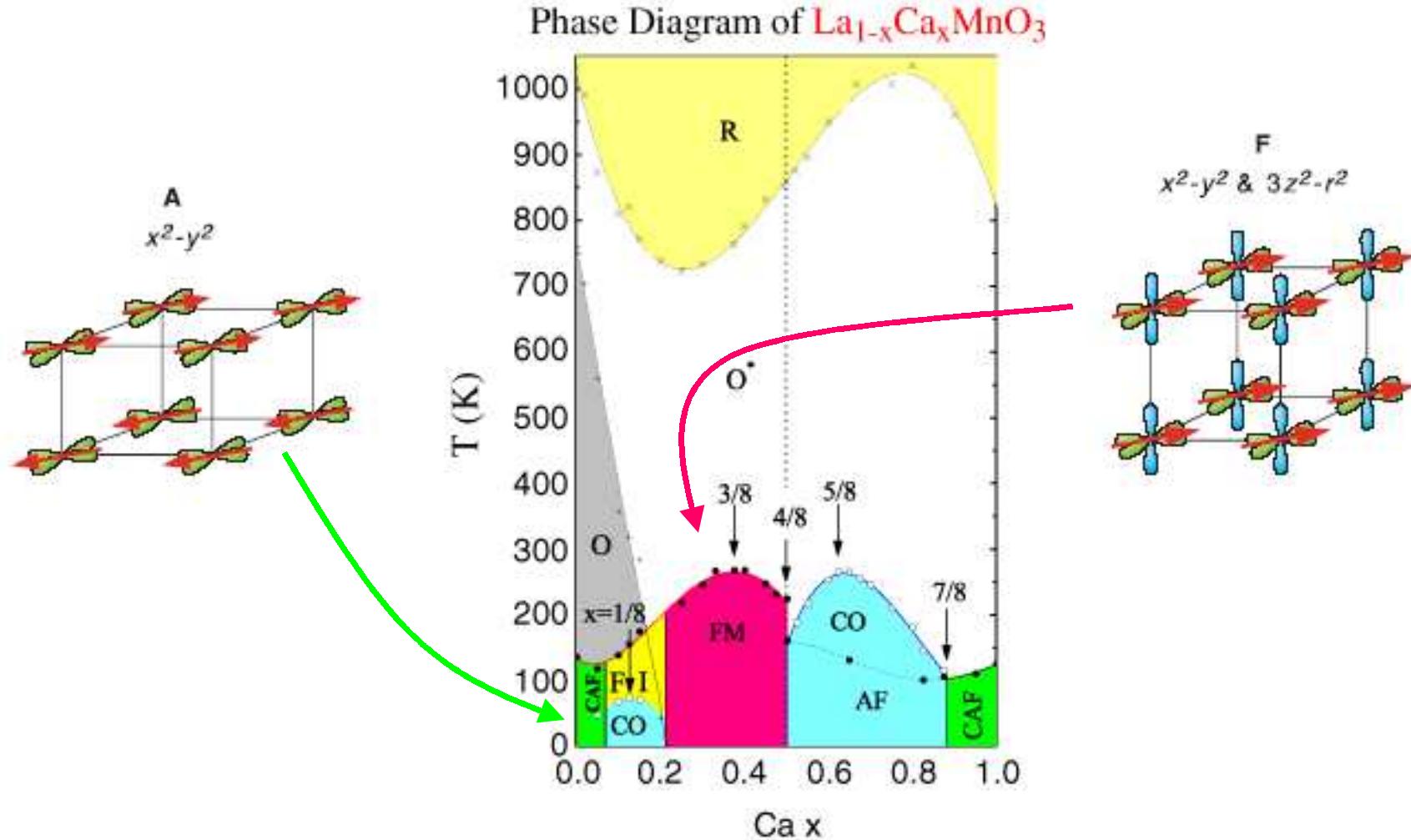
PM	paramagnetic
SR	short range
CA	canted
AFM	antiferromagnetic
FM	ferromagnetic

I	insulating
M	metallic

J. Hemberger *et al.*,  
PRB **66**, 94410 (2002)



# Spin, Charge and Orbital Order





*After 50 years strongly correlated electrons*



**LaMnO<sub>3</sub> well understood!**

**Are Transition Metal Oxides well understood?**

**NOT AT ALL!!**

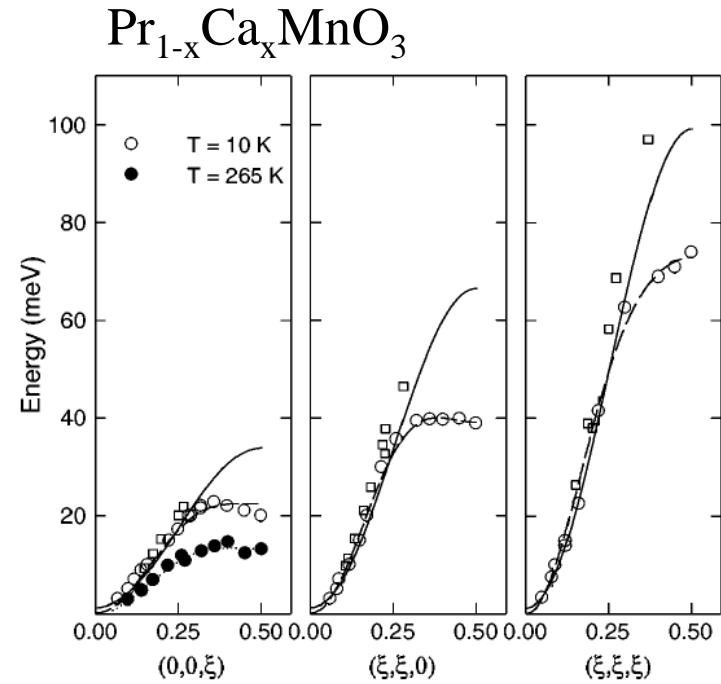
- HTc superconductors (cooperates)
- Unconventional HTc superconductors
- Colossal Magnetoresistance
- Frustrated Magnets
- Quantum Magnetism
- Orbital Excitations



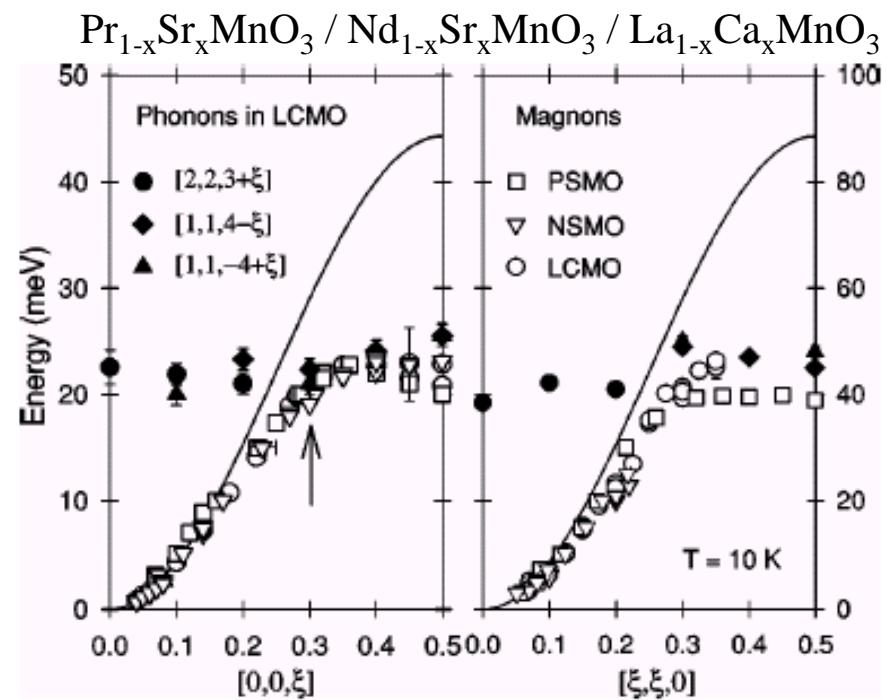
# *LaMnO<sub>3</sub>: unsolved problems*



## Inelastic Neutron Scattering: Spin Wave Dispersion



Hwang *et al.*, PRL **80**, 1316 (1998)



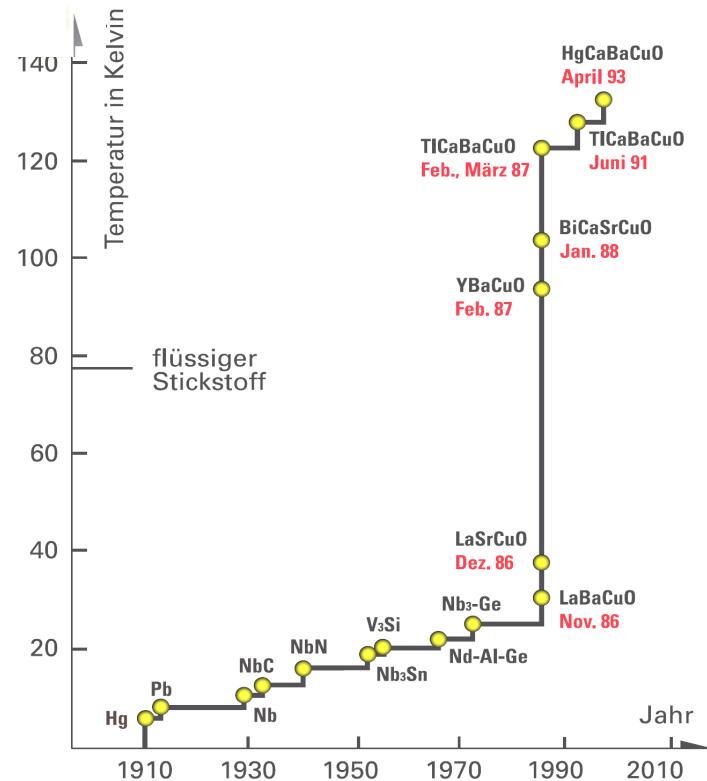
Dai *et al.*, PRB **61**, 9553 (1998)

large deviations from predictions of simple Heisenberg model

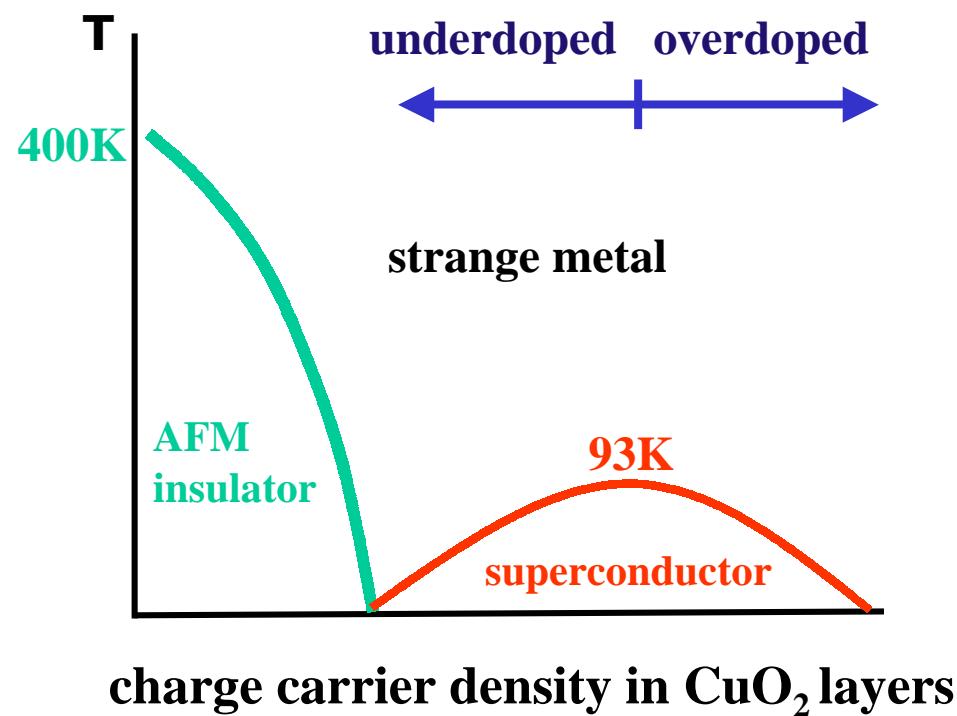
- interaction with phonons?
- low-lying orbital excitations?

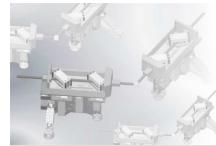


# High Temperature Superconductors



HTc superconductivity

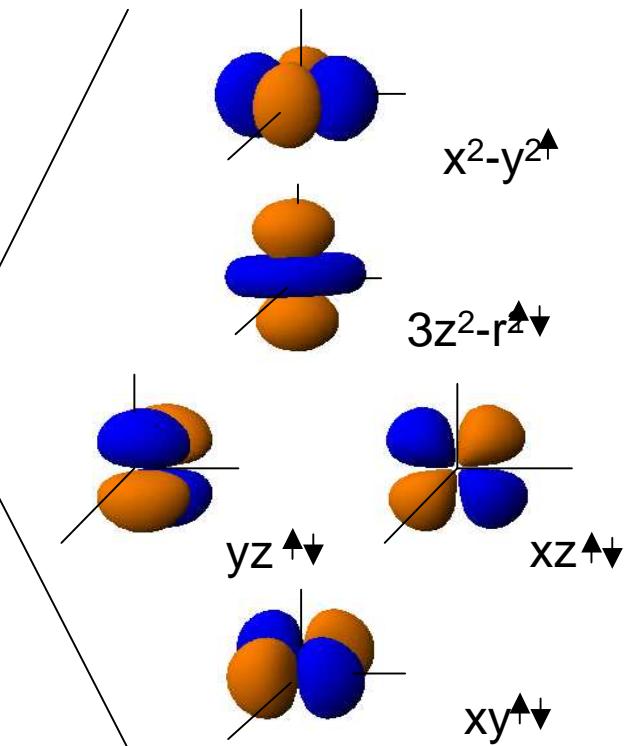
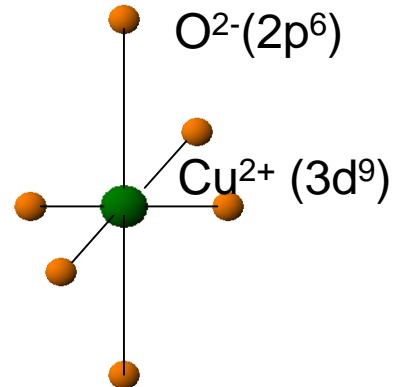
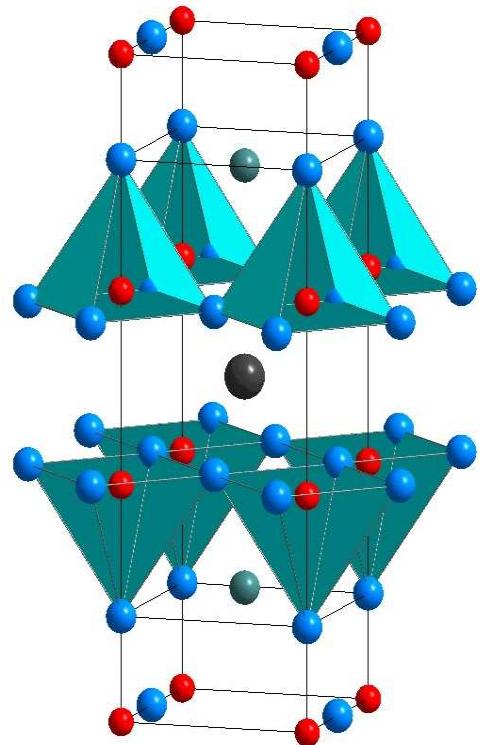




# Electronic Structure of $YBa_2Cu_3O_7$



$T_c = 93 \text{ K}$



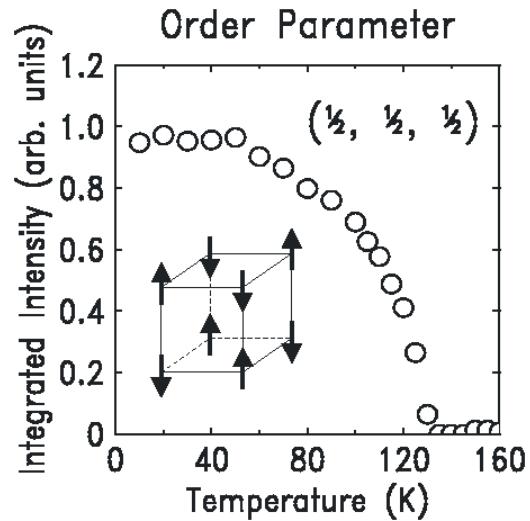


# Orbital physics is more complicated!



## Spin Structure in $\text{LaTiO}_3$

$\text{Ti}^{3+}$   $3d_1$



$G_x$ -type antiferromagnet  
**0.53  $\mu_B$  /  $\text{Ti}^{3+}$  ion**  
along the a-axis

**Inelastic Neutron Scattering:**  
Integrated intensity of the  
( $\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ) antiferromagnetic  
Bragg reflection.  
G-type spin structure.

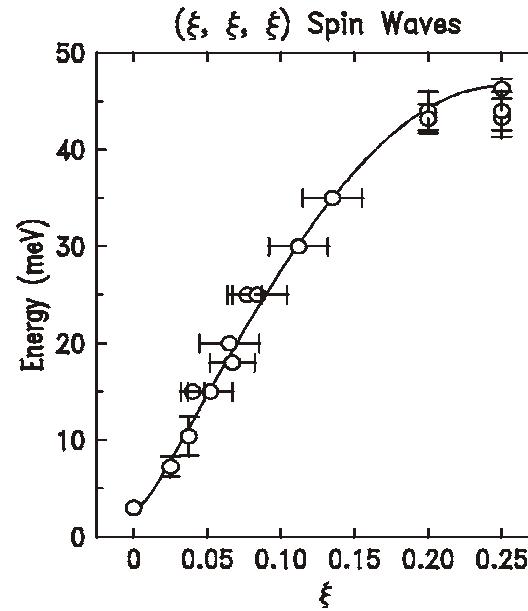
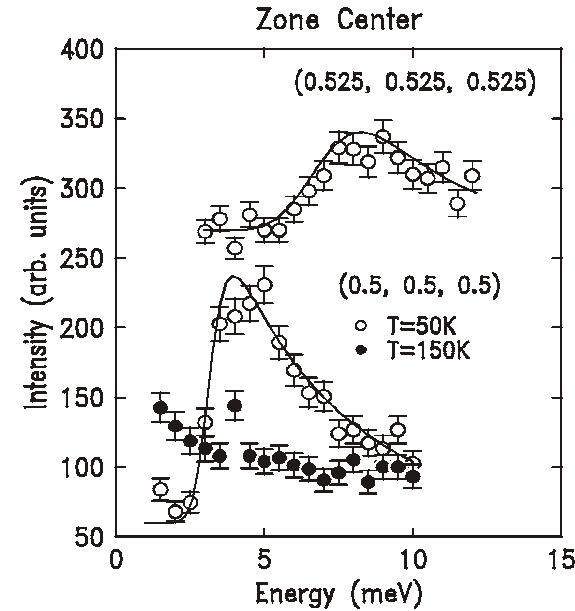
expected for a 3D  $\text{Ti}^{3+}$  ion:  
 $\mu_0 = 0.85 \mu_B / \text{Ti}^{3+}$

2D perovskite  $\text{Cu}^{2+}$ :  
 $\mu_0 = 0.60 \mu_B / \text{Cu}^{2+}$

B. Keimer *et al.*, PRL **85**, 3946 (2000).  
C. Ulrich, M. Reehuis, J. Hemberger (2004).



# Inelastic Neutron Scattering: Spin wave dispersion in $\text{LaTiO}_3$



Magnon Dispersion Relation of  $\text{LaTiO}_3$  along the (1,1,1) direction.  
Isotropic Heisenberg model with nearest neighbor superexchange.  
 $J = 15.5 \text{ meV}$        $\text{Spin Gap } \Delta = 3.3 \text{ meV}$

B. Keimer *et al.*, PRL 85, 3946 (2000).



# The Ferromagnetic Insulator $YTiO_3$



$\text{LaTiO}_3$ : larger bond angle  $\theta \sim 156^\circ$  (AFM)  
⇒ wide band

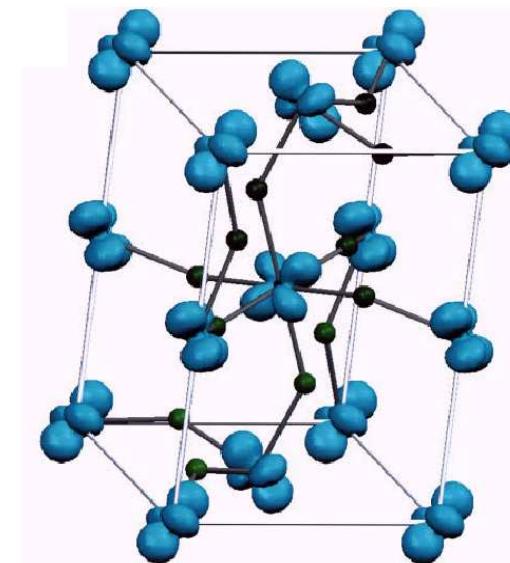
$\text{YTiO}_3$ : smaller bond angle  $\theta \sim 142^\circ$  (FM)  
⇒ narrow band  
smaller Ti-Ti hopping  
weakened superexchange

- spin ferromagnetism as predicted by electronic band structure calculations
- Goodenough-Kanamori rules obeyed

Theory:  
Sawada & Terakura  
Mizokawa et al.

$$|\psi\rangle_{1,3} = c_1|yz\rangle \pm c_2|xy\rangle$$
$$|\psi\rangle_{2,4} = c_1|xz\rangle \pm c_2|xy\rangle$$

Proposed  
Ti  $3d^1$ -orbitals

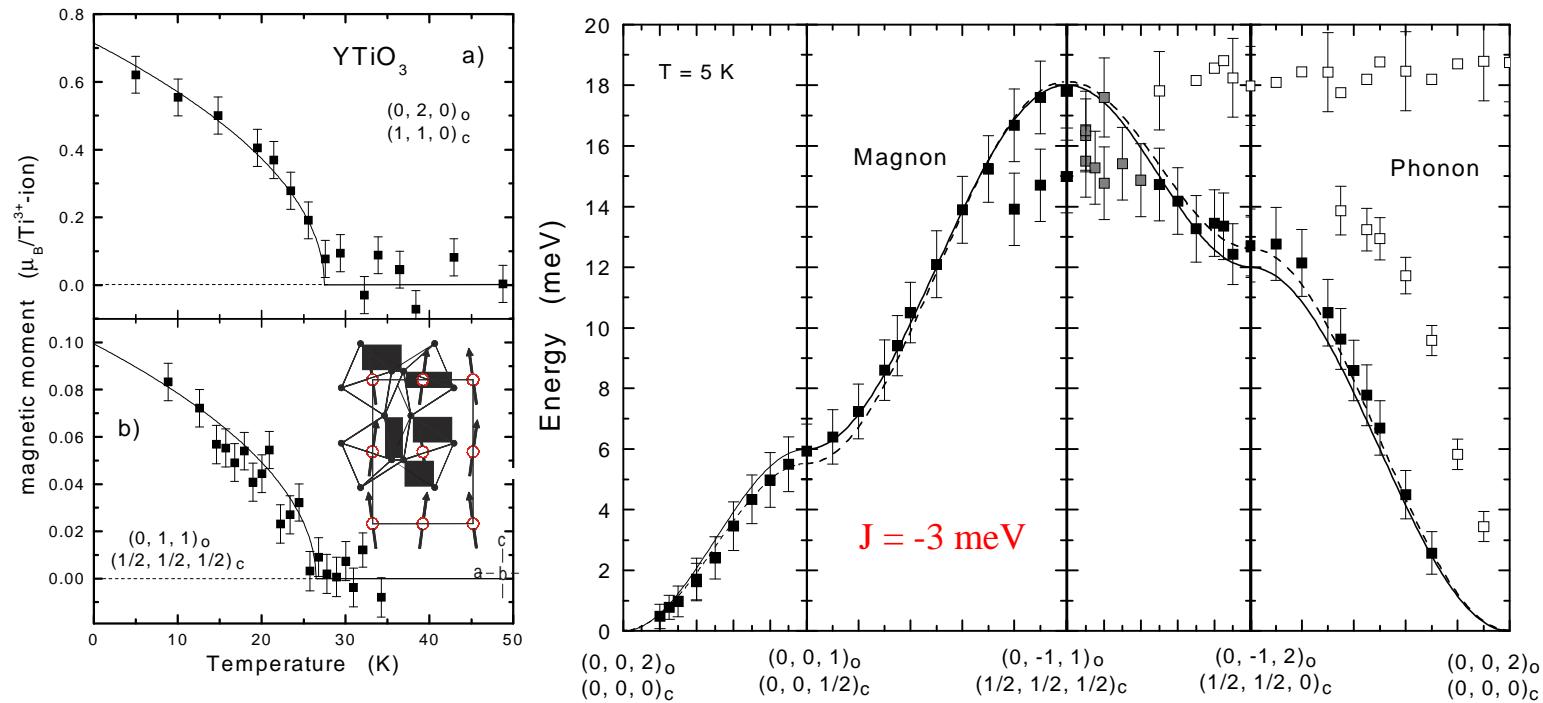


Experiment:  
Akimitsu et al. (neutrons)  
Itoh et al. (NMR)

⇒ anisotropic spin wave spectrum expected



# Inelastic Neutron Scattering in $YTiO_3$



Ferromagnet:

$$T_C = 27 \text{ K}$$

$$\mu_0 = 0.715 \mu_B/Ti^{3+}$$

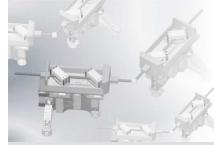
fit to a Heisenberg model with  
**isotropic** ferromagnetic exchange

$$E = 6 S J (1 - \gamma_q)$$

$$J = -3.0 \text{ meV}$$

$$\text{magnon gap} = 0.16 \text{ meV}$$

C. Ulrich *et al.*, PRL **89**, 167202 (2002).



# Orbital Fluctuations in $\text{LaTiO}_3$



## $t_{2g}$ orbitals versus $e_g$ orbitals

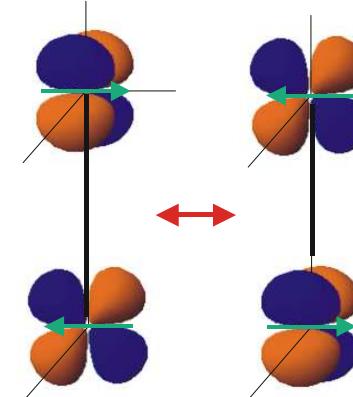
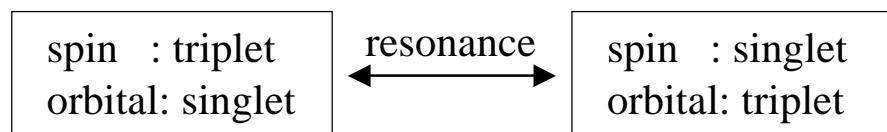
- not bond directional: JT - coupling is relatively weak
- two equivalent orbitals on every bond : quantum resonance
- large degeneracy : fluctuations are enhanced

## $t_{2g}$ -superexchange in $\text{LaTiO}_3$

c - bond :

$$\frac{4t^2}{U} \left( S_i S_j + \frac{1}{4} \right) \left( \tau_i \tau_j + \frac{1}{4} n_i n_j \right)_{ab}$$

spin                      pseudospin on orbital doublet



## Spin-orbital resonance

## orbital fluctuations:

with fixed exchange parameter  $J = 15.5$  meV from neutron scattering:

- antiferromagnetic state
- reduced magnetic moment of  $0.5 \mu_B$
- isotropic spin dynamic with a spin gap of 3 meV

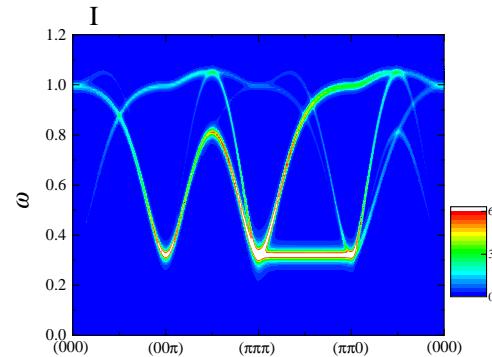
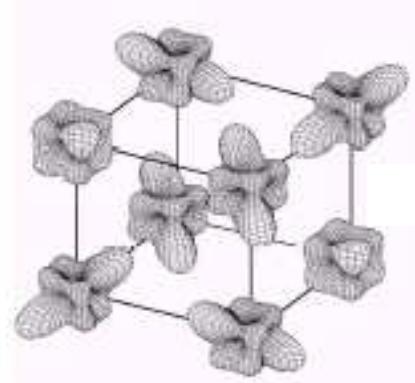
G. Khaliullin, S. Maekawa,  
PRL 85, 3950 (2000).



# *New Orbitally Ordered States*



derived from superexchange model with spin ferromagnetism imposed (Khaliullin & Okamoto, PRL 2002)



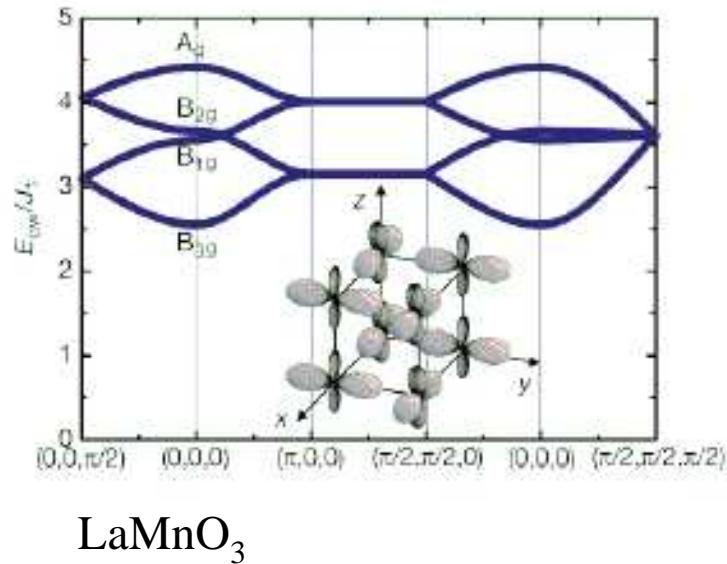
- reduced anisotropy due to strong orbital quantum fluctuations
- naturally explains spatially isotropic magnon dispersions, small magnon gap
- prediction: **ORBITONS** (i.e. orbital wave)



# Collective orbital excitations: Orbitons



Collective orbital excitation



**Observed by Raman light scattering?**

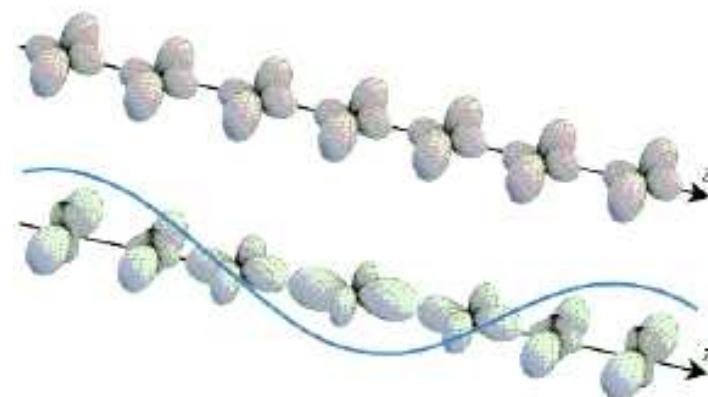
E. Saitoh *et al.*, Nature **410**, 180 (2001).

**Assigned to two phonon excitations (IR)**

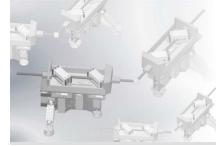
M. Grüninger *et al.*, Nature **418**, 39 (2002).

orbital wave

Dispersion  
Energy gap



in analogy to  
magnons or phonons

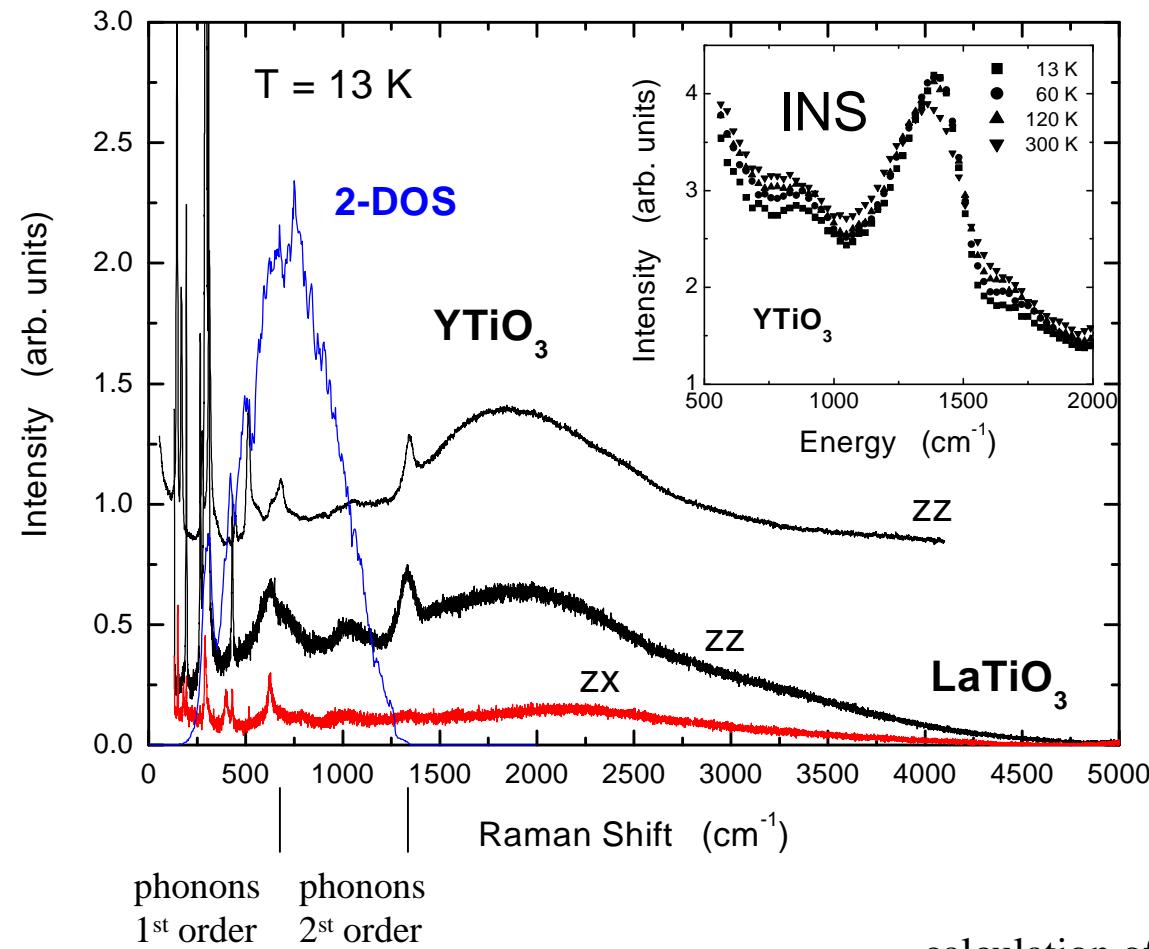


# Raman Scattering in $\text{LaTiO}_3$ and $\text{YTiO}_3$

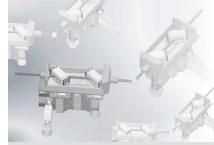


high energy Raman peak at 230 meV in  $\text{LaTiO}_3$  and  $\text{YTiO}_3$

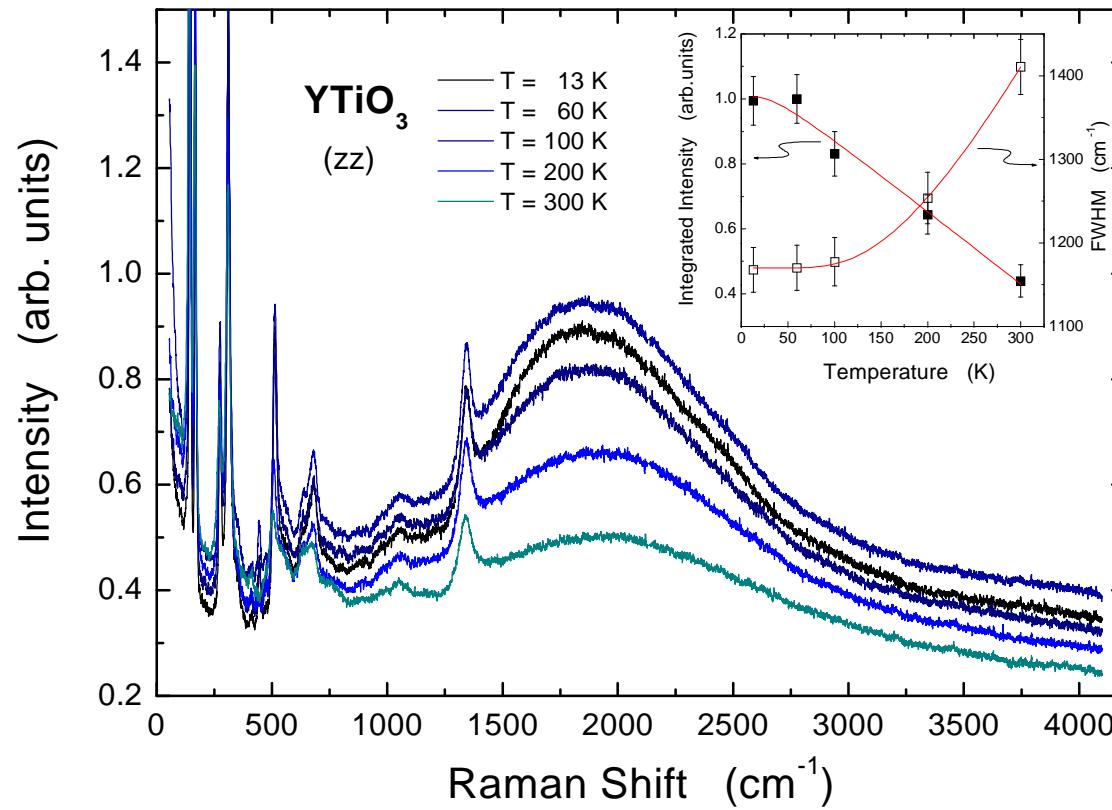
possibly two-orbiton excitation



calculation of the phonon-DOS  
diploma-thesis: Mael Guennou

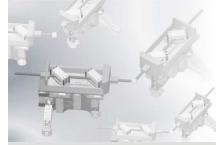


# Temperature dependence of the Raman spectrum

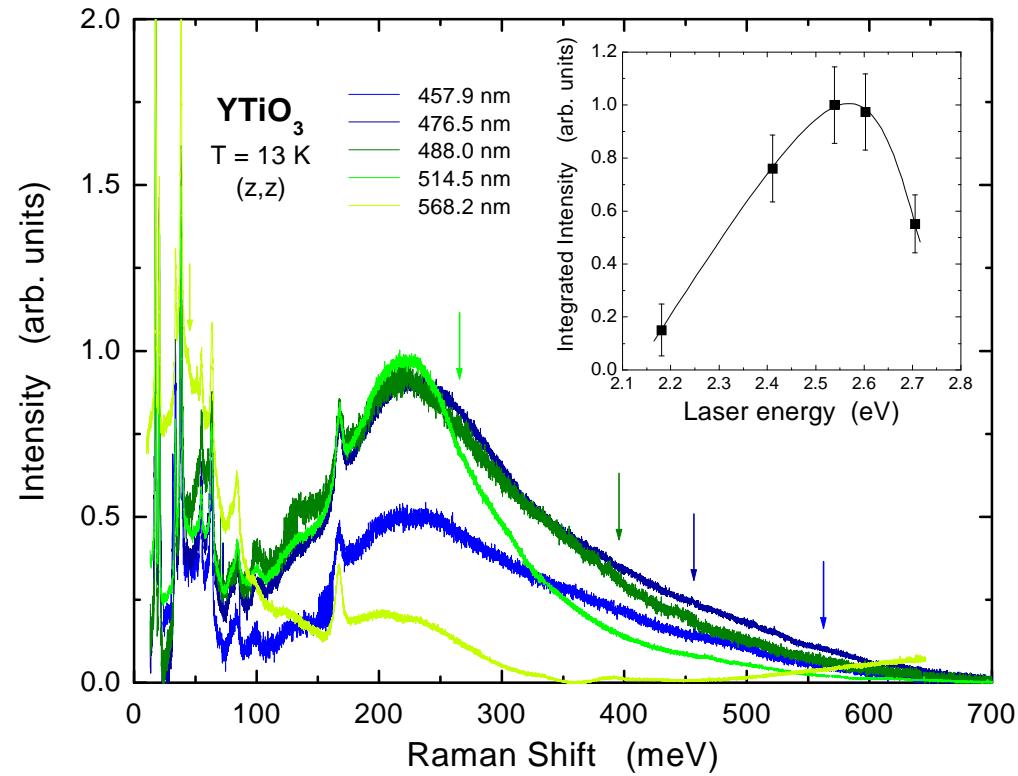


not a two magnon excitation  
not a polaron (both are insulators!)  
also seen in IR transmission

(M. Grüninger, cond-mat/0503405)

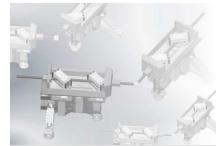


# Laser-Line dependence of the Raman spectrum



**Raman resonance in the energy range of the  
optical d<sub>i</sub>-d<sub>j</sub> intersite transition**

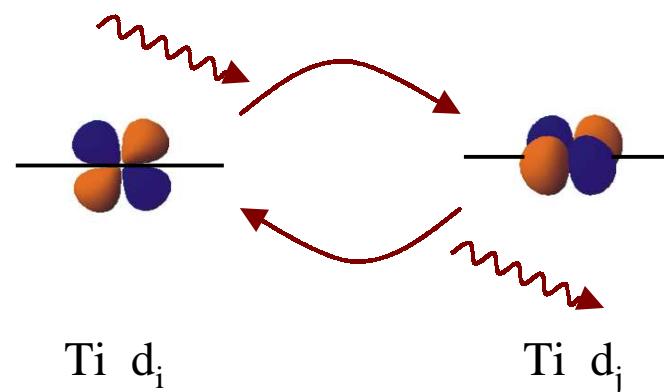
Okimoto *et al.*, PRB **51**, 9581 (1995)



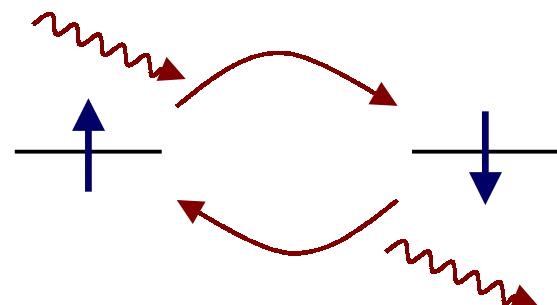
# Two Orbiton Raman scattering process



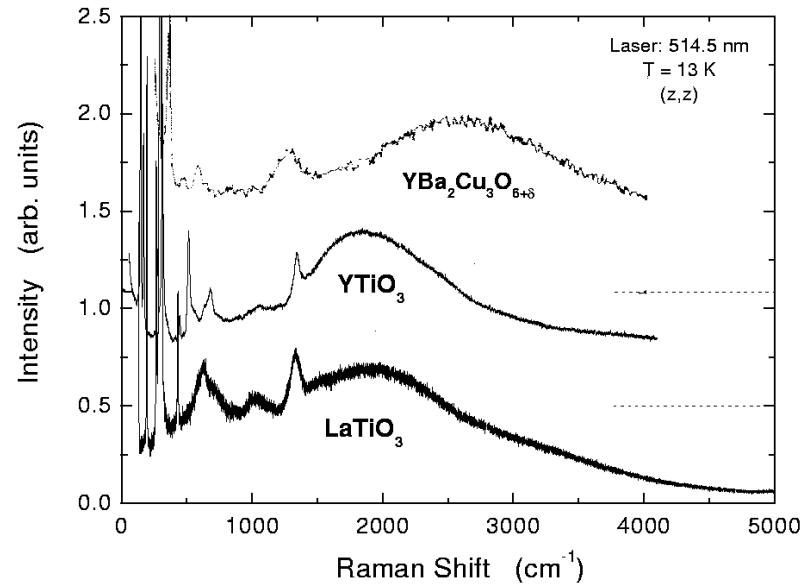
## Two-orbiton process



## Two-magnon process



## 2-orbiton excitations



$\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$  insulating AF

K.B. Lyons *et al.*,  
Phys. Rev. Lett. **60**, 732 (1987).



# *Conclusions*



**neutron scattering is an excellent technique to study  
the interplay between spin, charge, and orbitals**

**Elastic neutron scattering - Nuclear**

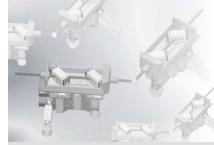
- crystals structure
- orbital order

**Elastic neutron scattering - Magnetic**

- magnetic moment
- spin direction

**Inelastic Neutron Scattering - Magnetic**

- spin gap energies
- spin wave dispersion
- strength of the exchange interaction



# Collaborators:



## Theory:

G. Khaliullin, P. Horsch, A.M. Oles,  
*Max-Planck-Institut FKF, Stuttgart, Germany.*

S. Maekawa, S. Okamoto,  
*Tohoku University, Sendai, Japan.*  
*RIKEN, Saitama, Japan.*

J. Sirker,  
*Universität Dortmund, Germany.*

## Raman Light Scattering

A. Gößling, M. Grüninger  
*Universität zu Köln, Germany.*

## Crystal Growth:

S. Miyasaka, Y. Taguchi, Y. Tokura,  
*University of Tokyo, Japan.*

H. Roth, M. Cwik, T. Lorenz  
*Universität zu Köln, Germany.*

J. Hemberger, F. Lichtenberg  
*Universität Augsburg, Germany.*

**ILL**

**Inelastic neutron scattering:**  
A. Ivanov, M. Ohl, M. Rheinstaedter,  
W. Schmidt,  
*Institut Laue-Langevin, Grenoble, France.*

**LLB**

P. Bourges, D. Reznik, Y. Sidis,  
*Laboratoire Léon Brillouin, Saclay, France.*

**NIST**

J.W. Lynn,  
*NIST, Gaithersburg, Maryland.*

**HMI**

**Neutron diffraction:**  
M. Reehuis,  
*Hahn-Meitner-Institut, Berlin, Germany.*

**ESRF**

**Synchrotron x-ray diffraction:**  
P. Pattison,  
*ESRF, Grenoble, France.*

**NSLS**

**Resonant x-ray diffraction:**  
J.P. Hill, D. Gibbs, M.v. Zimmermann,  
*NSLS, Brookhaven National Lab., New-York.*