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**International Centre for Theoretical Physics**

  
United Nations  
Educational, Scientific  
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International Atomic  
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**SMR. 1698/1**

## **WORKSHOP ON PLASMA PHYSICS**

**7 - 11 March 2005**

# **Plasma Diagnostics**

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These are preliminary lecture notes, intended only for distribution to participants.

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RUHR-UNIVERSITÄT BOCHUM

INSTITUT FÜR EXPERIMENTALPHYSIK V

# Plasma Diagnostics

H.-J. Kunze

Laboratory plasmas:

Size:

$10^{-16} \text{ m}^3$  (micropinch) to  $50 \text{ m}^3$  (tokamak)

Density:  $10^{14}$  to  $10^{30} \text{ m}^{-3}$

Temperature:  $10^3$  to  $10^8 \text{ K}$

(1 eV = 11600 K)

*Numerous diagnostic techniques:*

Some general books:

Principles of Plasma Diagnostics

I. H. Hutchinson

Cambridge University Press, 1987

Plasma Diagnostics

W. Lochte-Holtgreven

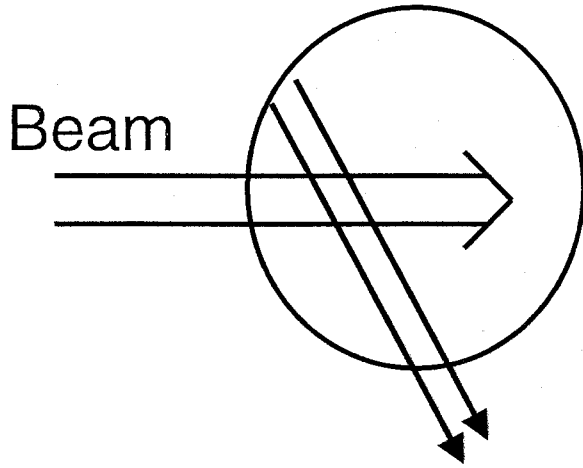
North-Holland Publ., Amsterdam, 1968

Plasma Diagnostic Techniques

R. H. Huddlestone and S. L. Leonard

Academic Press, New York, 1965

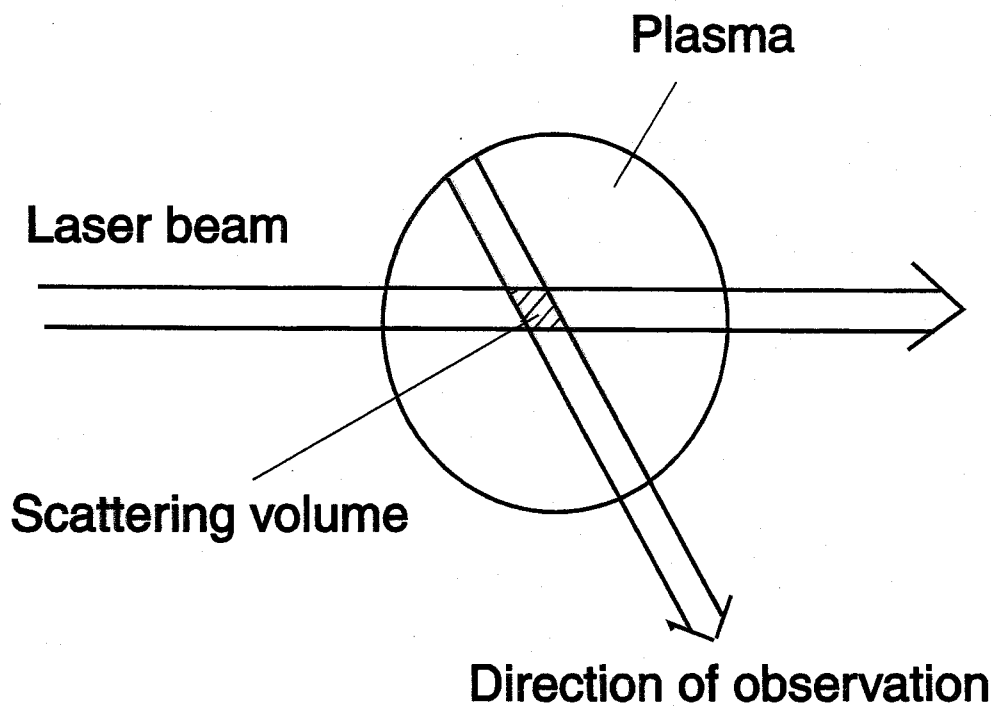
Injection of neutral particle beams:



Specific local information from the intersection region can be identified

Direction of observation

Laser beam:



Laser beam interacts with  
*electrons*  
*ions*  
*atoms*  
*molecules*

*Due to*

tunability and possible high power of lasers interactions are numerous inclusive heating of plasma

a)  $\Rightarrow$  Modification of primary transmitted beam

b)  $\Rightarrow$  Scattering

a) Laser atomic absorption spectroscopy  
(LAAS)

with tunable diode lasers much applied in very low density plasmas

modification of phase  $\Rightarrow$  interferometry

$$\int n_e ds$$

plane of polarization  $\Rightarrow$  Faraday rotation

$$\int n_e B ds$$

Scattering by atoms, ions and molecules

Laser induced fluorescence (LIF)

Rayleigh scattering

Raman scattering

CARS

**Scattering by electrons --- Thomson scattering**

During 40 years now scattering by the plasma electrons has matured into one of the most powerful diagnostic methods for the determination of plasma parameters

Latest development this year:

scattering of x-rays by plasmas  
near solid state density

Reviews:

H.-J. Kunze, in *Plasma Diagnostics*, ed. W. Lochte-Holtgreven, 1968

D. E. Evans and J. Katzenstein

Rep. Prog. Phys. **32**, 207 (1969)

W. DeSilva and G. C. Goldenbaum in *Methods of Experimental Physics 9A*

Ed. H. R. Griem and R. H. Lovberg, 1970

J. Sheffield, *Plasma Scattering of Electromagnetic Radiation*, 1975

S. Glenzer et al.

*Demonstration of Spectrally Resolved X-Ray Scattering in Dense Plasmas*

Phys. Rev. Lett. **90**, 175002 (2003)

# Incoherent scattering—Thomson scattering

Theory well understood:

Electromagnetic waves are focused into the plasma

⇒ charged particles oscillate and radiate like dipoles

because of small mass ( $m_e \ll M$ ) essentially only *electrons* contribute

Cross-section

$$\sigma_{TH} = \frac{8}{3} \pi r_e^2 \cong \frac{2}{3} 10^{-24} \text{ cm}^2$$

Extremely small

⇒ high intensities are needed

- a) to have enough scattered photons (*or nowadays long observation times !*)
- b) scattered light to be above plasma radiation

⇒ **lasers**



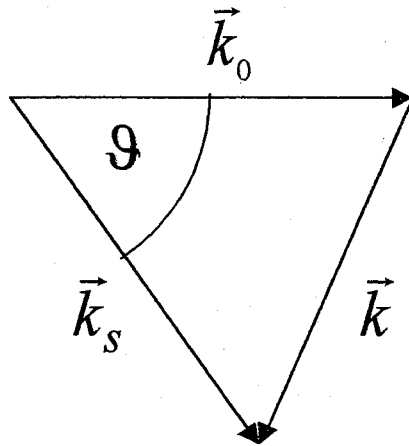
Scattered intensities add incoherently (at low densities)

$$\frac{d\sigma}{d\Omega} = n_e \sigma_e = n_e r_e^2 \sin^2 \vartheta$$

i.e.  $\Rightarrow \propto n_e$

Motion of the moving electron

$\Rightarrow$  Doppler shift



$\vec{k} = \vec{k}_s - \vec{k}_0$  is the scattering vector

$$k \approx 2 k_0 \sin \frac{\vartheta}{2}$$

$$\omega_s - \omega_0 = \omega = \vec{k} \cdot \vec{v}$$

Spectrum mirrors thus the velocity distribution function of the electrons in the direction of  $k$ !

i.e. we obtain  $f_e(v_k)$ ,

and if  $f_e(v_k)$  is Maxwellian  $\Rightarrow T_e$

Profile is Gaussian

Half width 
$$\Delta\lambda_{1/2} = 4\lambda_0 \sin(\vartheta/2) \sqrt{\frac{2kT_e}{mc^2} \ln 2}$$

Typical half width for  $\lambda_0 = 694.3 \text{ nm}$ ,  $\vartheta = 90^\circ$ ,

$$kT_e = 1 \text{ eV}$$

$$\Delta\lambda_{1/2} = 3.24 \text{ nm}$$

Powerful diagnostic technique

for  $n_e$ ,  $T_e$ ,  $f_e(v)$

For some time experiments were carried out with pulsed ruby lasers at  $\lambda_0 = 694.3 \text{ nm}$

- scattered light was detected by

polychromator and photomultipliers

$\Rightarrow$  spectrum and  $T_e$

- *Absolute calibration*: Rayleigh scattering in gases with known cross-section  $\Rightarrow n_e$

### Problems:

- *Stray light*: Brewster entrance and exit windows,  
baffle system on entrance and exit side  
beam dump for laser  
viewing dump

- *Heating* of plasma by laser beam

*Heating: absorption by*

*inverse Bremsstrahlung*

Later: high-repetition rate NdYAG lasers at  $1.06\mu\text{m}$

or second harmonic at 532 nm

yielded time evolution of plasma parameters

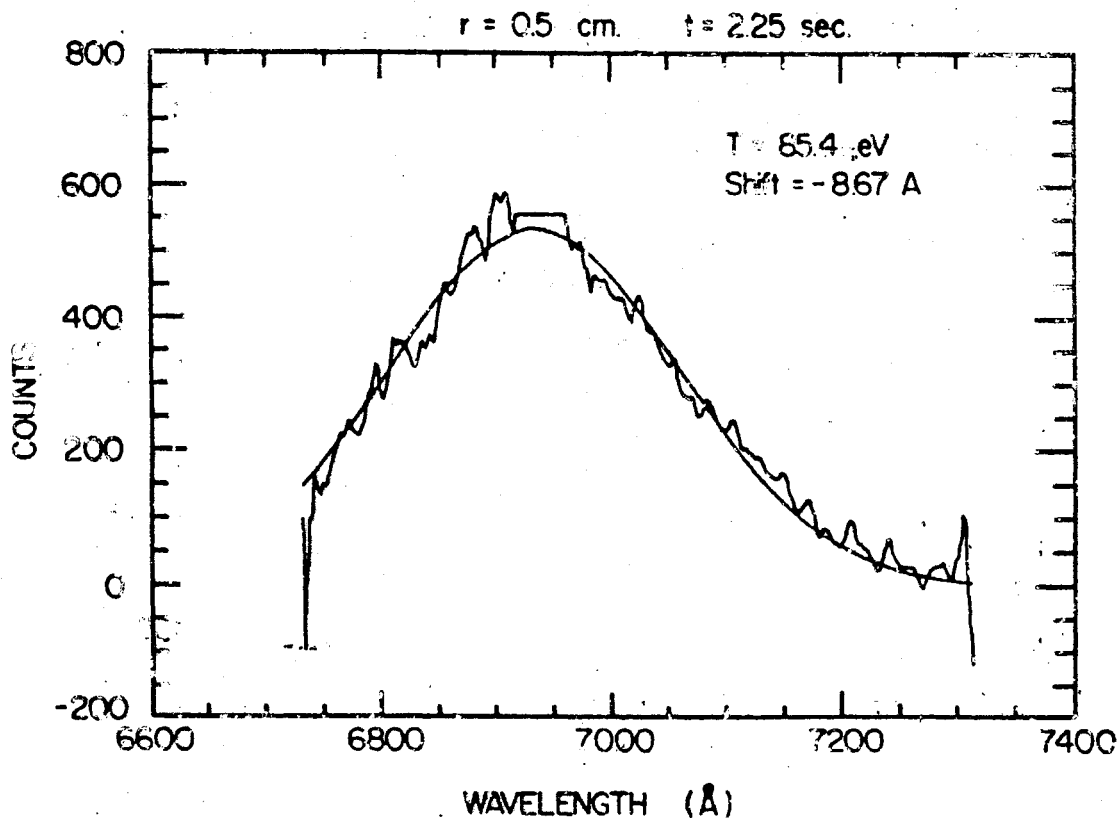
excimer lasers

dye lasers

*Detector improvement:*

Optical multichannel analyzer (OMA)

CCD cameras: spectral and spatial image

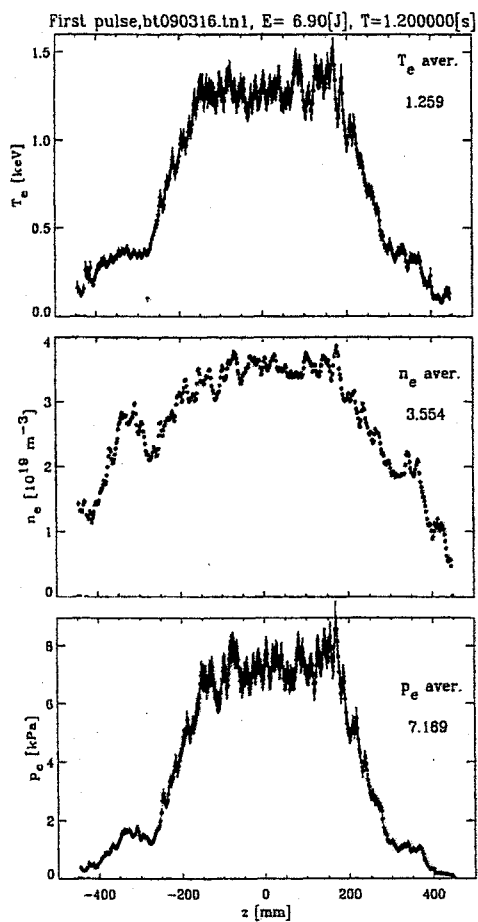


**FIG.** Scattering spectrum obtained from one discharge for a high-density plasma ( $\sim 10^{16} \text{ cm}^{-3}$ ). The shift parameter is obtained from a least squares fit to a Gaussian. The first-order relativistic correction predicts a  $-9.4 \text{ \AA}$  shift. The temperature is determined from a fit to the relativistically corrected Gaussian.

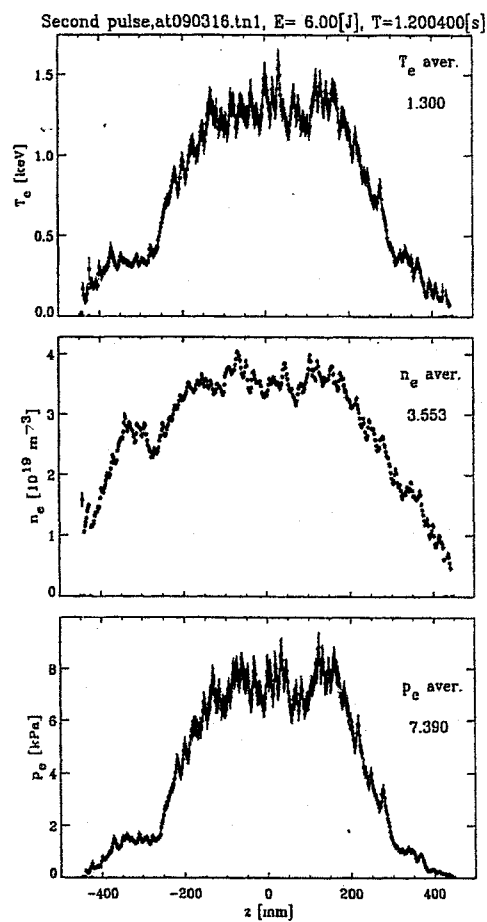
K. C. Maffei and H. R. Griem

3030

# Measurements



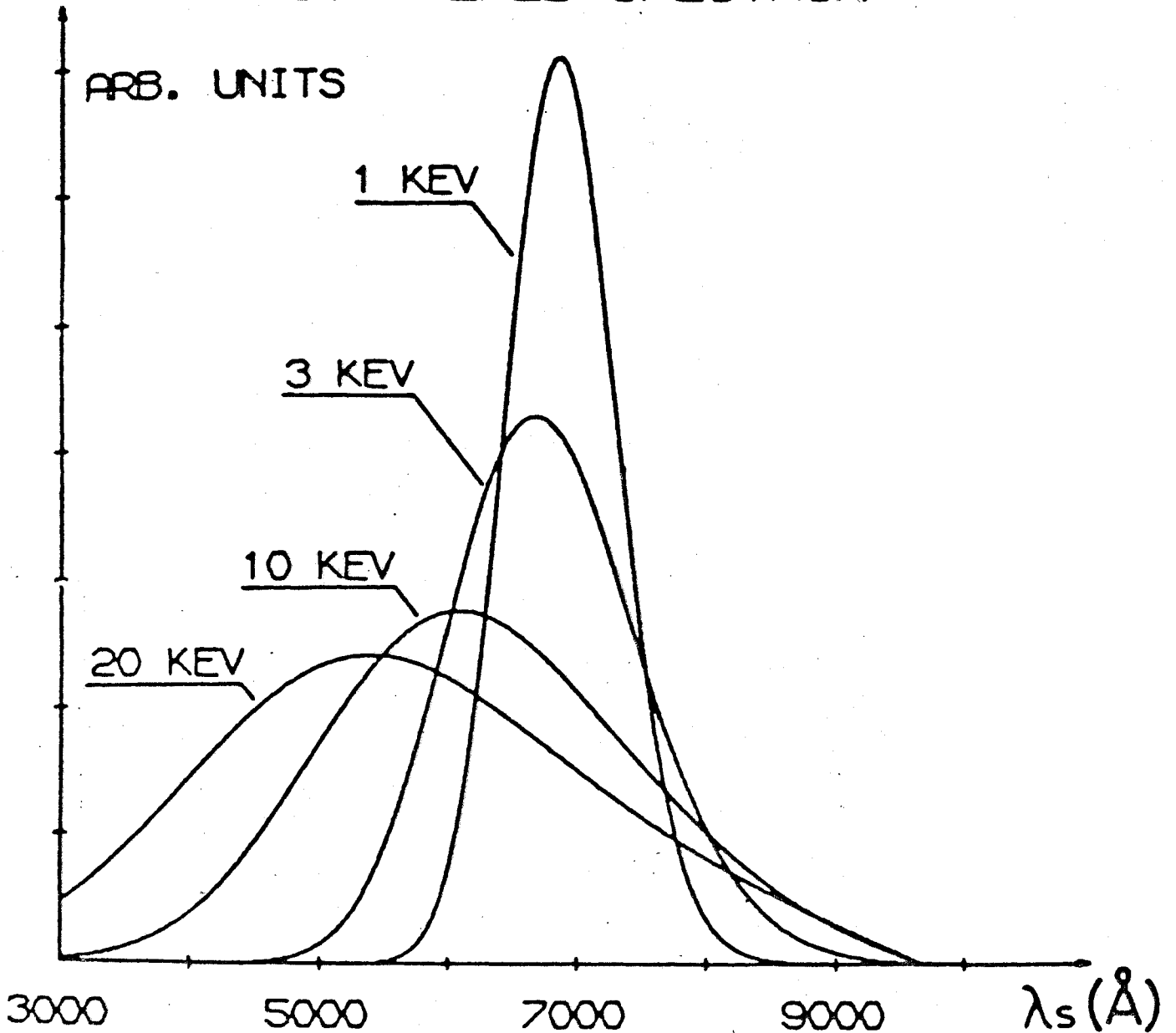
TEXTOR 90316,  $t=1.2000$  s, first laser pulse.  
Magnetic islands,  $m/n = 2/1$ .



TEXTOR 90316,  $t=1.2004$  s,  
second laser pulse.

At higher temperatures →  
relativistic treatment

### SCATTERED SPECTRUM



Calculated scattering spectra at  $\theta = 90^\circ$  for various temperatures. Incident wavelength  $\lambda_0 = 694,3$  nm.

Scattering on large fusion devices:  
Activation will be a problem !

Salzmann and Hirsch proposed back-scattering plus subnanosecond laser pulses plus time-of-flight analysis with high-speed detectors.

L I D A R : l i d a r : l i g h t d e t e c t i o n a n d r a n g i n g

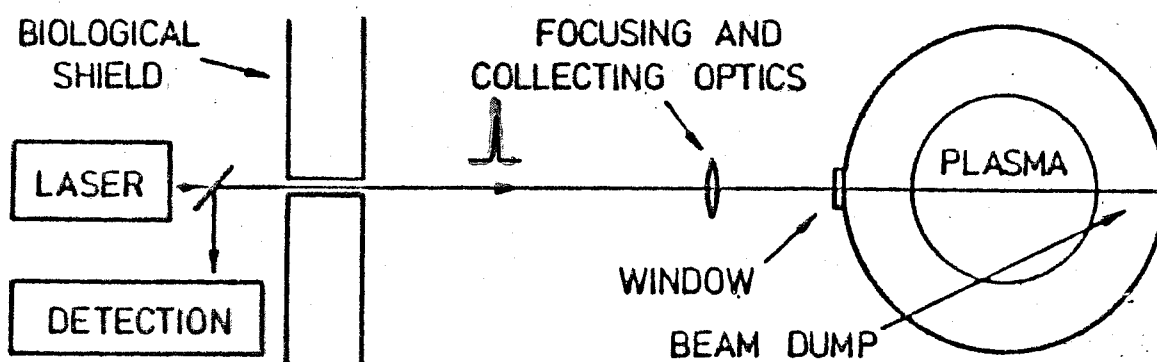


FIG. 1. Scheme of the Thomson backscattering arrangement.

Spatial resolution: pulse duration of laser and response time of detection system

System at tokamak JET :

5 Joule ruby laser with 300 p s duration



## Low density plasmas

At low electron densities number of scattered photons becomes too small

⇒ different approach !

Use of low laser power *but* laser with high repetition rate

less than *one* photon arriving at a detector per laser pulse

synchronized photon counting

heating by laser has to be negligible

Nd:YAG system, frequency doubled at 532 nm, 10 kW, 70 ns duration, 10 kHz repetition rate

Problems:

Rayleigh scattering off neutrals

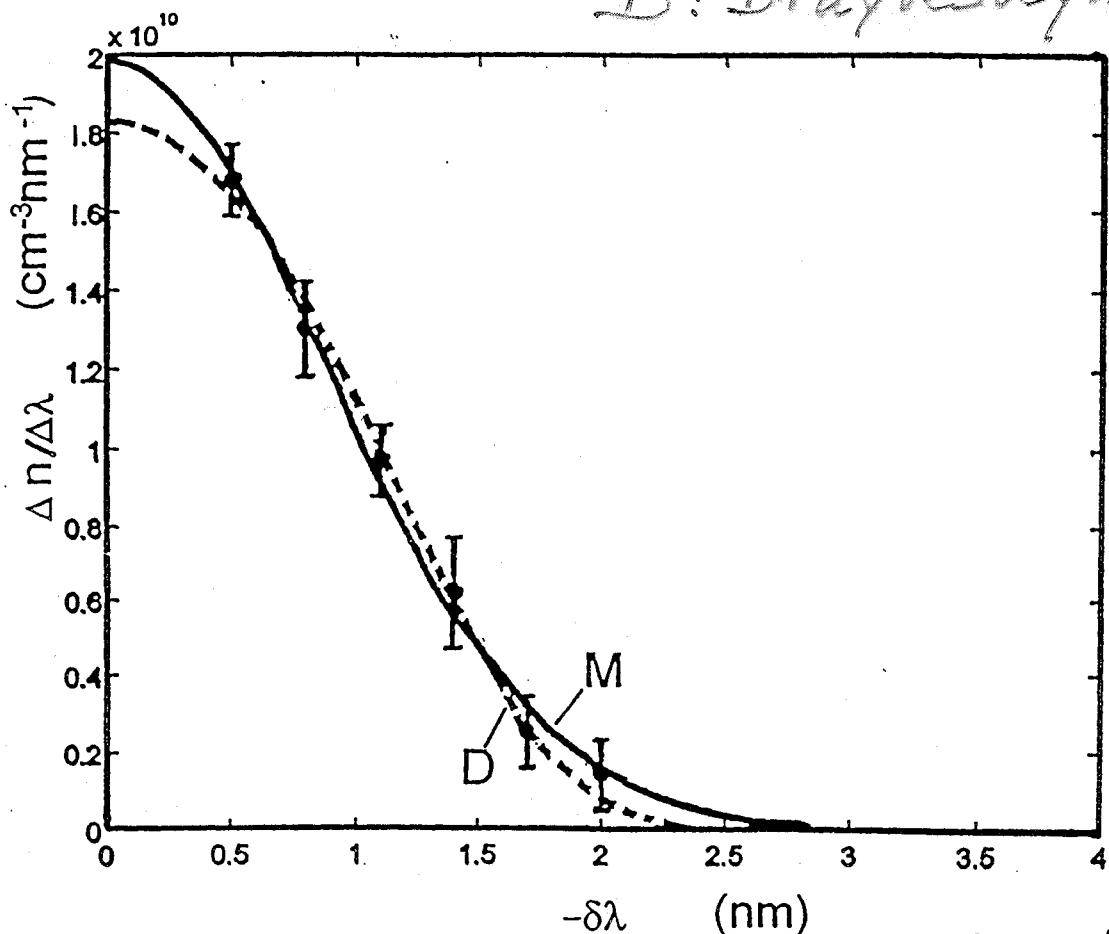
Stray light (proper design of arrangement and diameter of apertures at entrance and exit of laser, operating at TEM<sub>00</sub> mode and imaging near field pattern into plasma, light dump)

Continuum background (bremsstrahlung due elastic electron atom collisions)

LIF from excitation of molecules

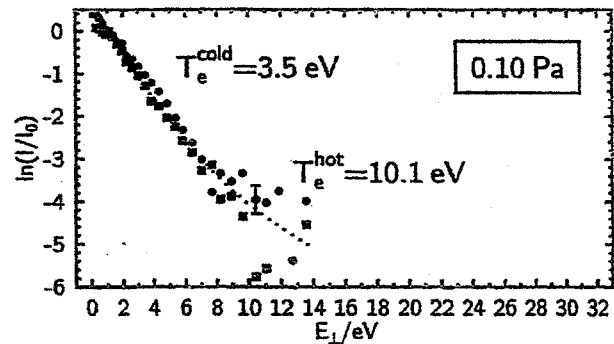
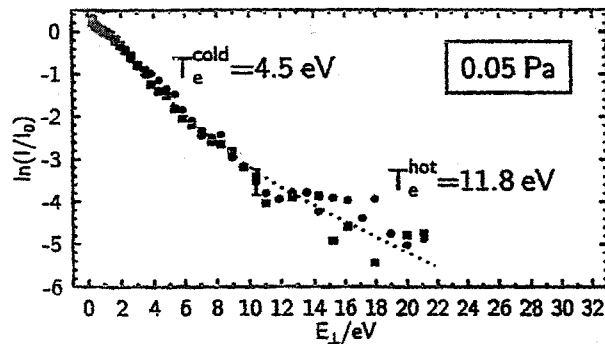
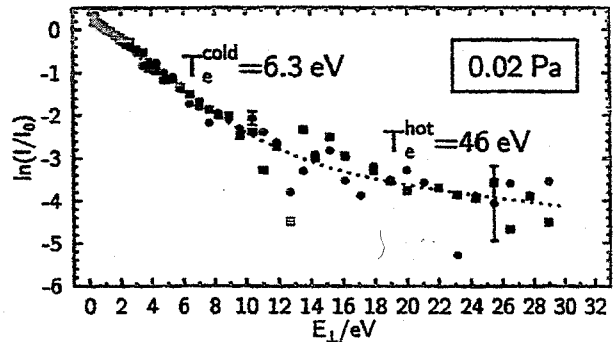
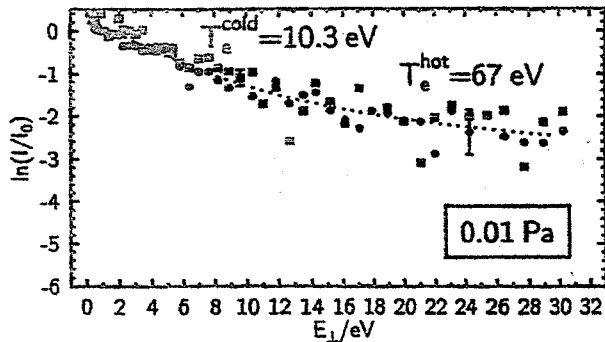
Spectrum:

Fits: M: Maxwellian  
D: Drayvesteyn



Weßeling and Kronast: J. Phys. D 29, 1035 - (1996)

Hemmers et al, Düsseldorf  
 ECR discharge,  
 NdYAG laser, frequency doubled, 10 Hz,  
 11 channel detector system with PM

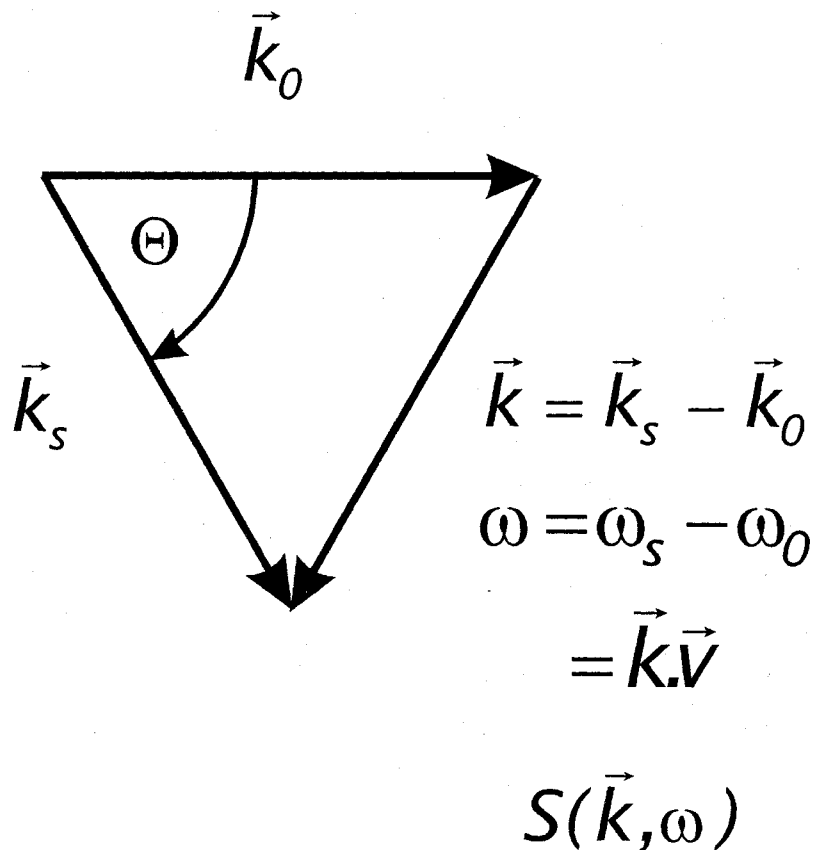
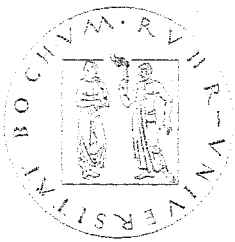


Low energy part:

Maxwellian

High energy part:

overthermal electrons



Inverse scattering vector  $1/k$   
and the Debye length  $\lambda_D$   
are the two relevant scale lengths

$1/k > \lambda_D \rightarrow \alpha = \frac{1}{k\lambda_D} > 1 \rightarrow$  correlations between electrons

$1/k < \lambda_D \rightarrow \alpha = \frac{1}{k\lambda_D} < 1 \rightarrow$  no correlations

- Coherent or collective scattering
- Incoherent scattering

$\vec{k}$  depends on  $\lambda_0$  and scattering angle  $\Theta$

Theory gives scattering cross section:

$$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_e S(\vec{k}, \omega)$$

$S(\vec{k}, \omega)$  spectral density function  
or dynamic form factor

it contains the scattering properties of the whole ensemble of electrons.

In general, it is the time - and space - Fourier transform of the time - dependent electron density pair correlation function

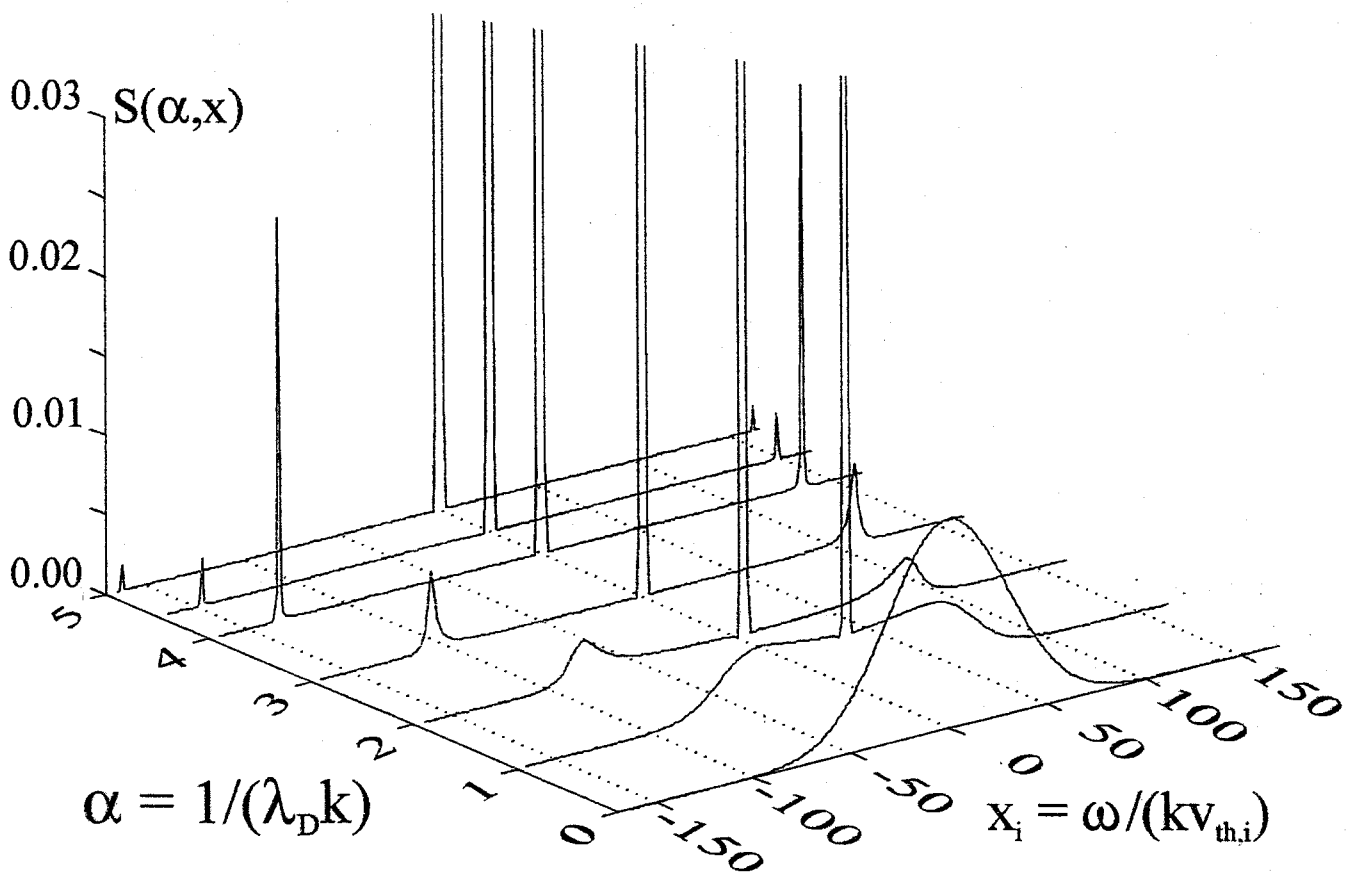
$$S(\vec{k}, \omega) = S_e(\vec{k}, \omega) + S_i(\vec{k}, \omega)$$

$S_e(\vec{k}, \omega)$  *electron feature*

$S_i(\vec{k}, \omega)$  *ion feature*



# Thomson scattering - Form factor



$$S(\vec{k}, \omega) = S_e(\vec{k}, \omega) + S_i(\vec{k}, \omega)$$

Electron feature reflects correlated motion of electrons  $\Rightarrow$  plasma waves

Maxima given by Bohm-Gross dispersion

$$(\omega_s - \omega_0)^2 = \omega_p^2 + \frac{3\kappa T_e}{m_e} k^2$$

relation

Damping depends on  $T_e$ ,

In principle,

*shape of electron feature gives  $n_e$  and  $T_e$ ,*

without absolute calibration!

Problem for large  $\alpha$ :

$$\text{Integral } S_e(k) = \frac{1}{1 + \alpha^2}$$

$$\alpha \geq 1$$

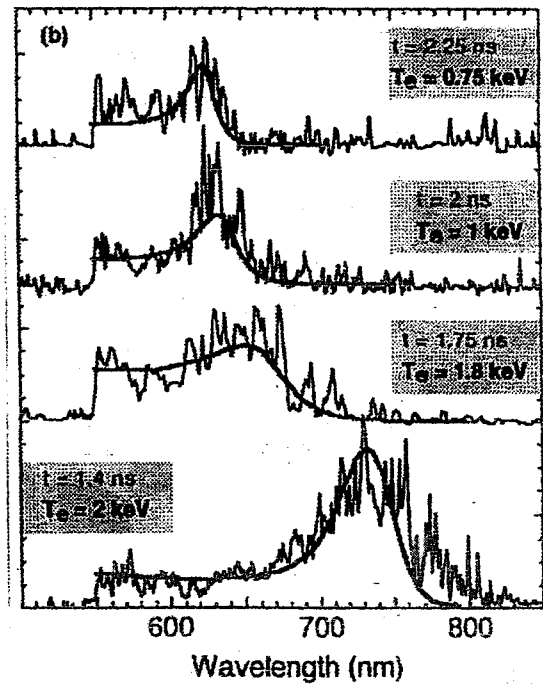
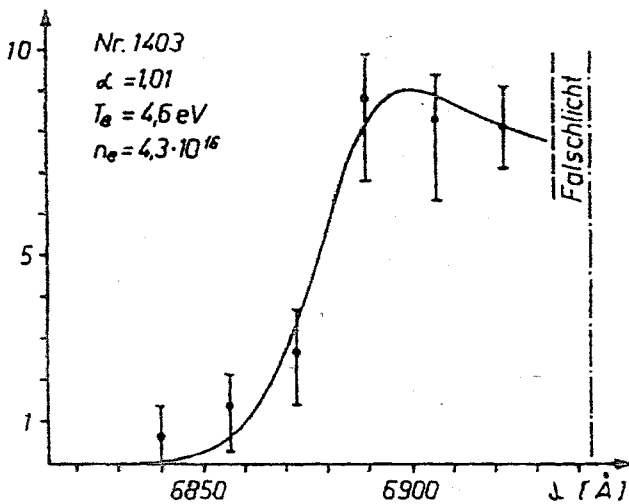
Electron component

$$S_e(\bar{k}, \omega)$$

Shape (!) gives  $n_e$  and  $T_e$  around  $\alpha \approx 1$

Position of maximum given by Bohm-Gross dispersion relation

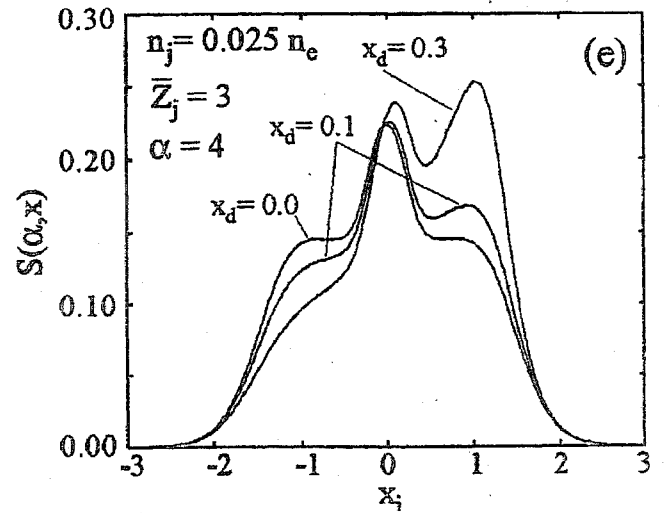
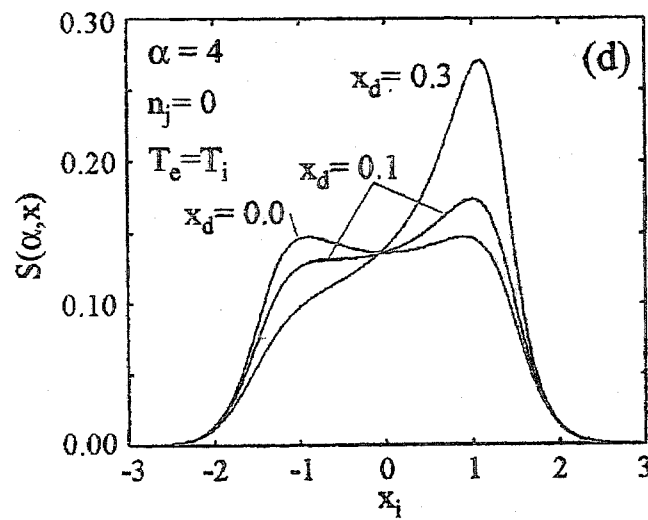
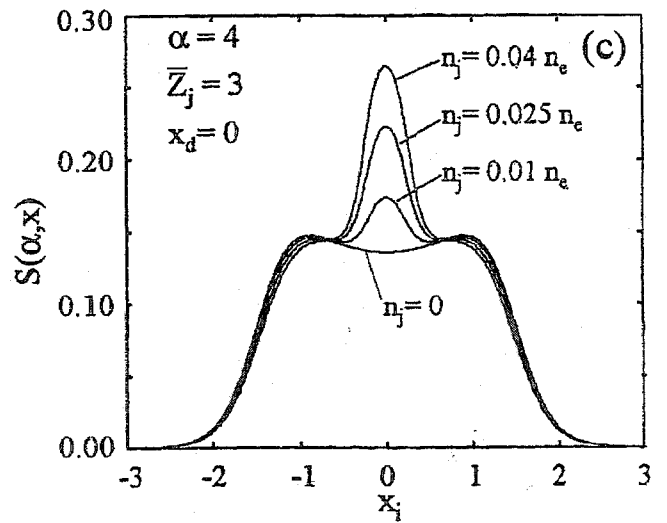
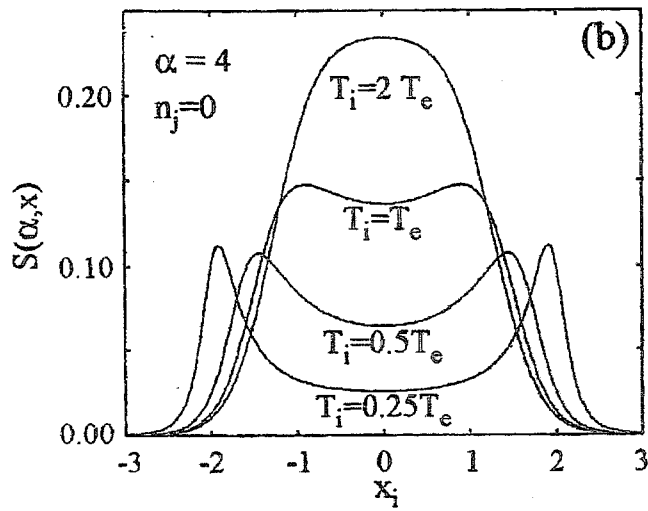
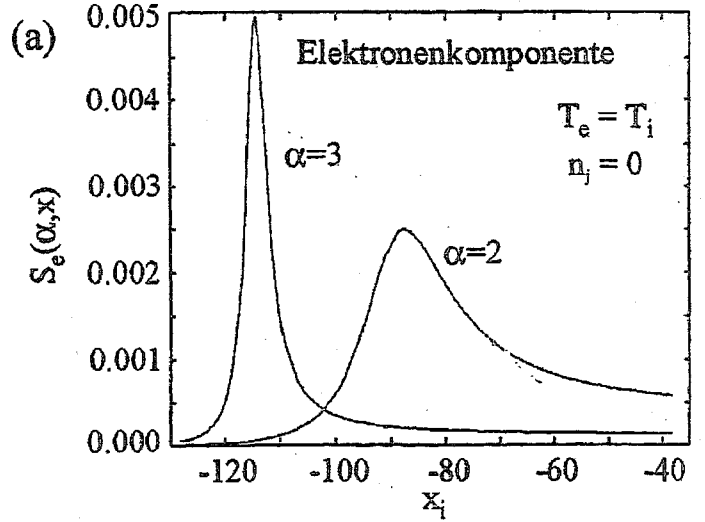
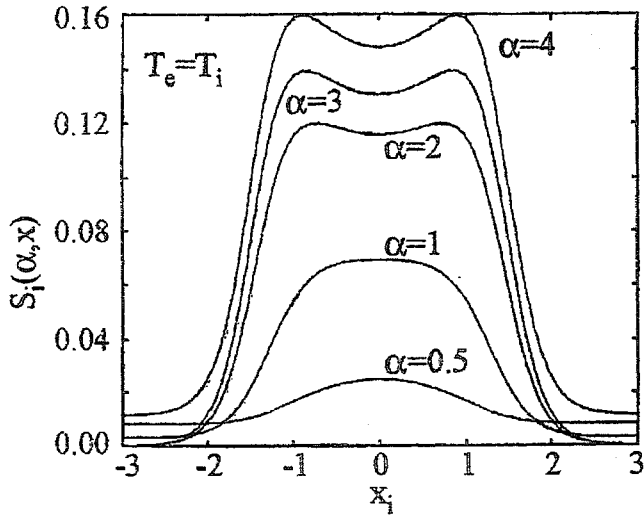
$$(\omega_s - \omega_0)^2 = \omega_p^2 + \frac{3\kappa T_e}{m_e} k^2$$



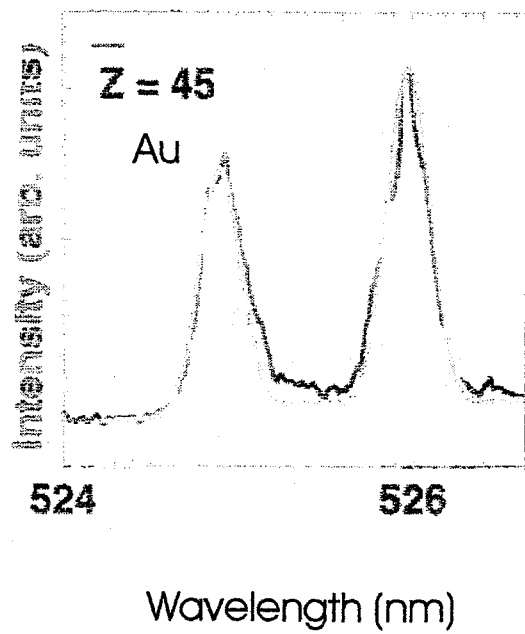
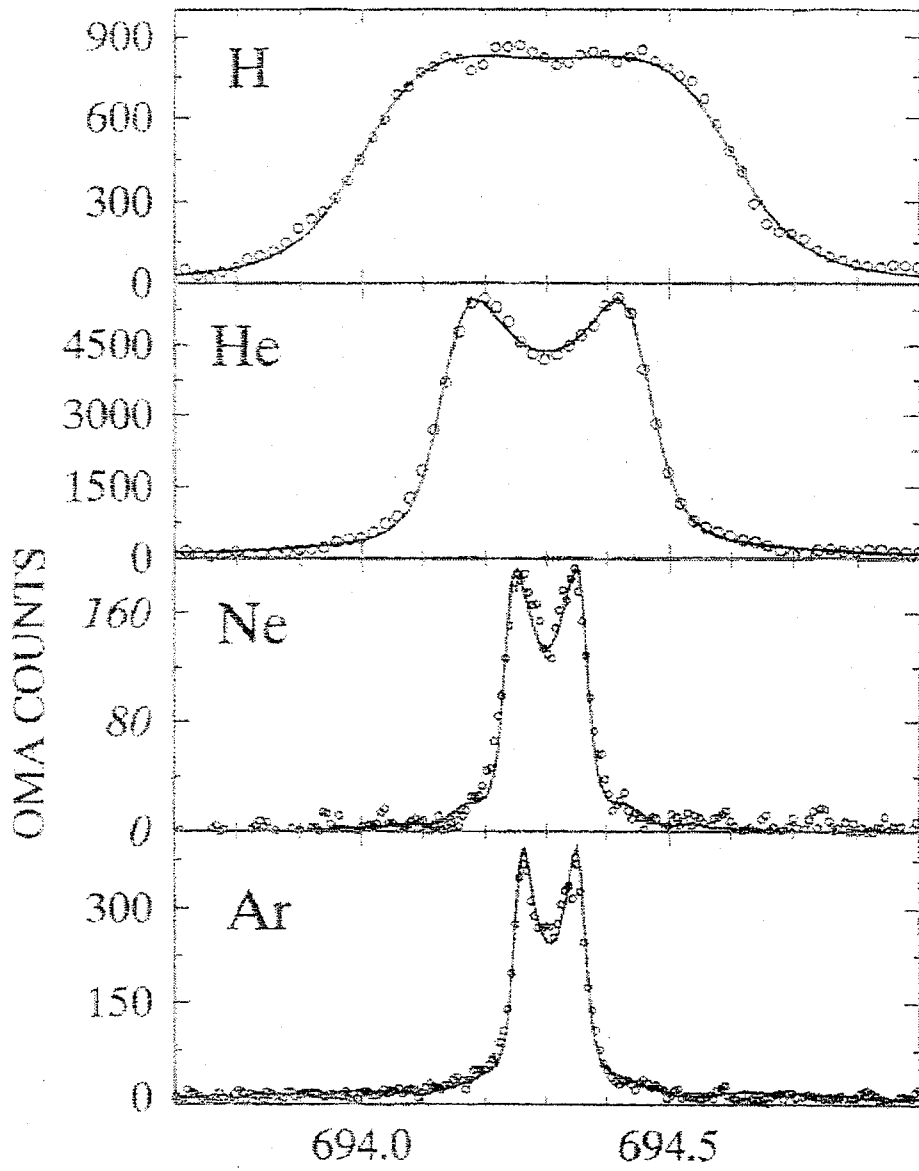
Theta pinch 1964

highly ionized gold plasma  
produced by laser, 1999  
(Livermore, Glenzer et al.)





In addition: macroscopic motion  $\Rightarrow$  shift



Ion feature is determined by motion of the ions

⇒ macroscopic motion of plasma

⇒ thermal motion

⇒ ion acoustics waves

*(it is relatively narrow)*

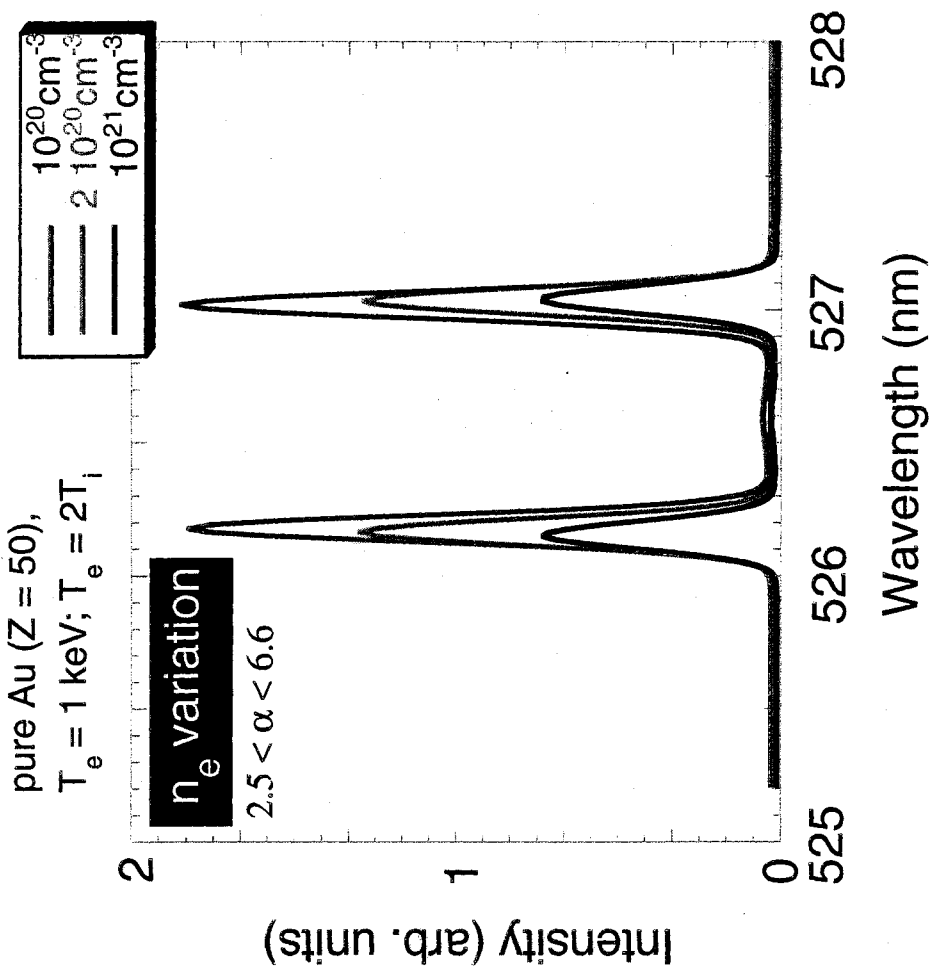
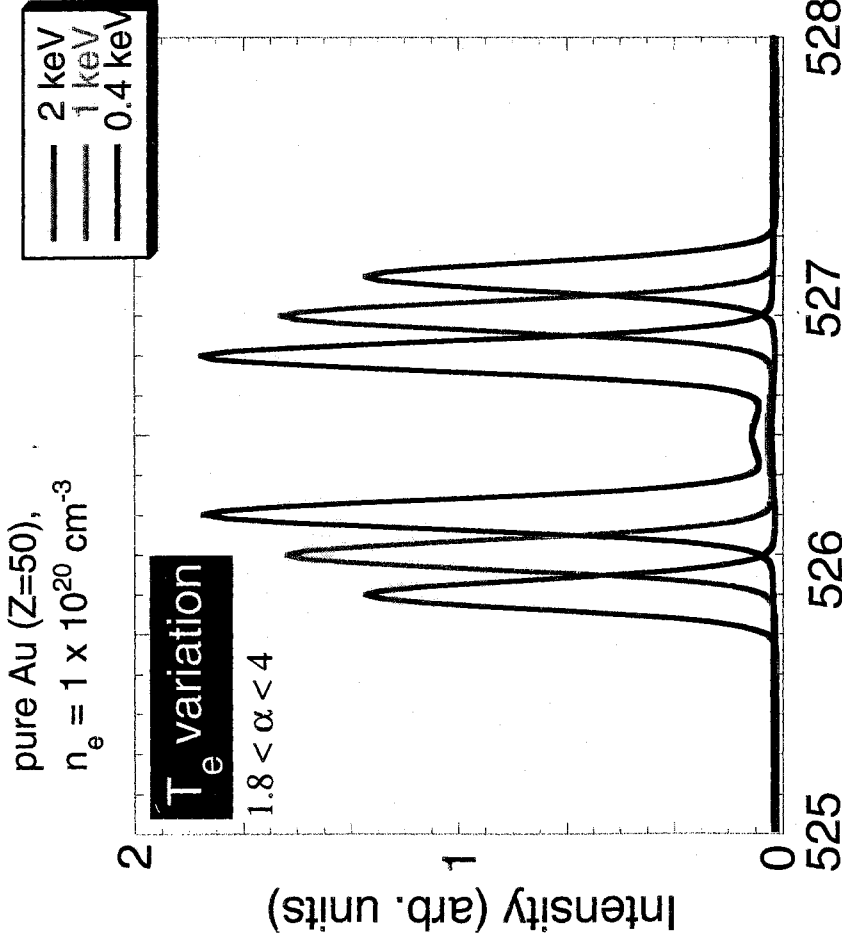
Maxima correspond to resonances and are at

$$(\omega_s - \omega_0)^2 = \left( \frac{Z\kappa T_e}{m_i} \frac{\alpha^2}{1 + \alpha^2} + \frac{3\kappa T_i}{m_i} \right) k^2$$

Shape depends on damping and hence on

$T_e/T_i$

# The separation of the ion acoustic features gives $T_e$

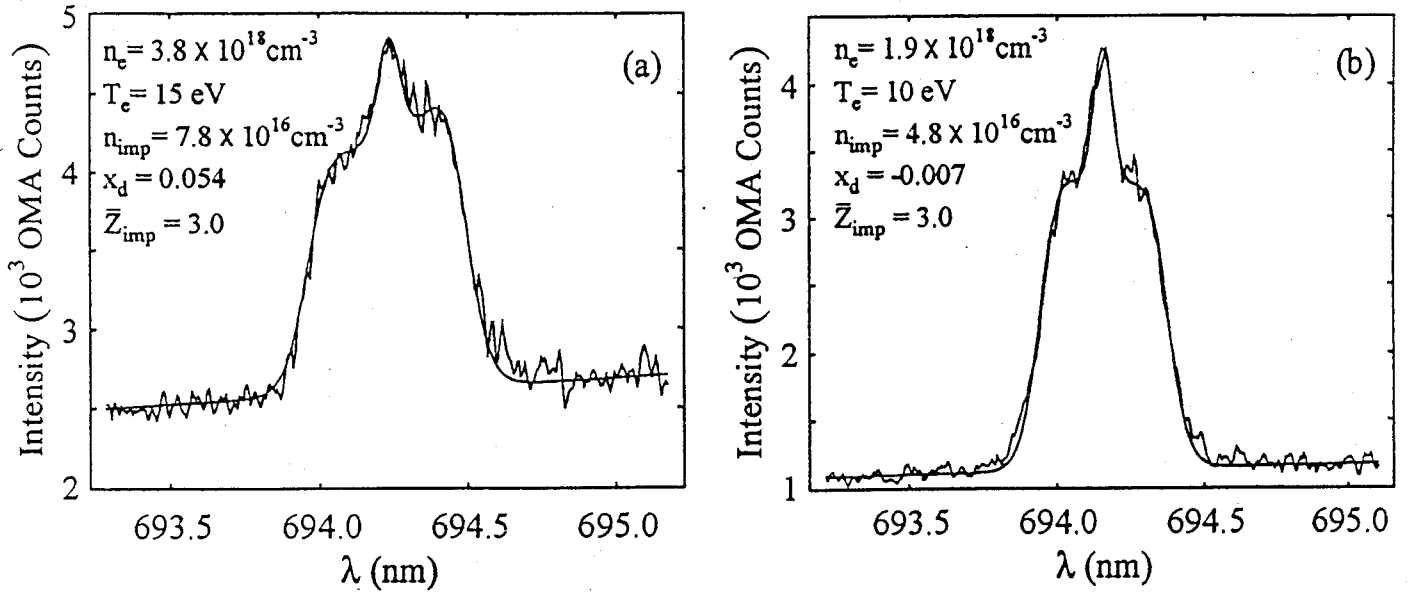


$$\left(\frac{\Delta\omega}{k}\right)^2 = \frac{T_e}{m_i} \left( \frac{Z}{(1 + (k\lambda_D)^2)} + \frac{3T_i}{T_e} \right)$$

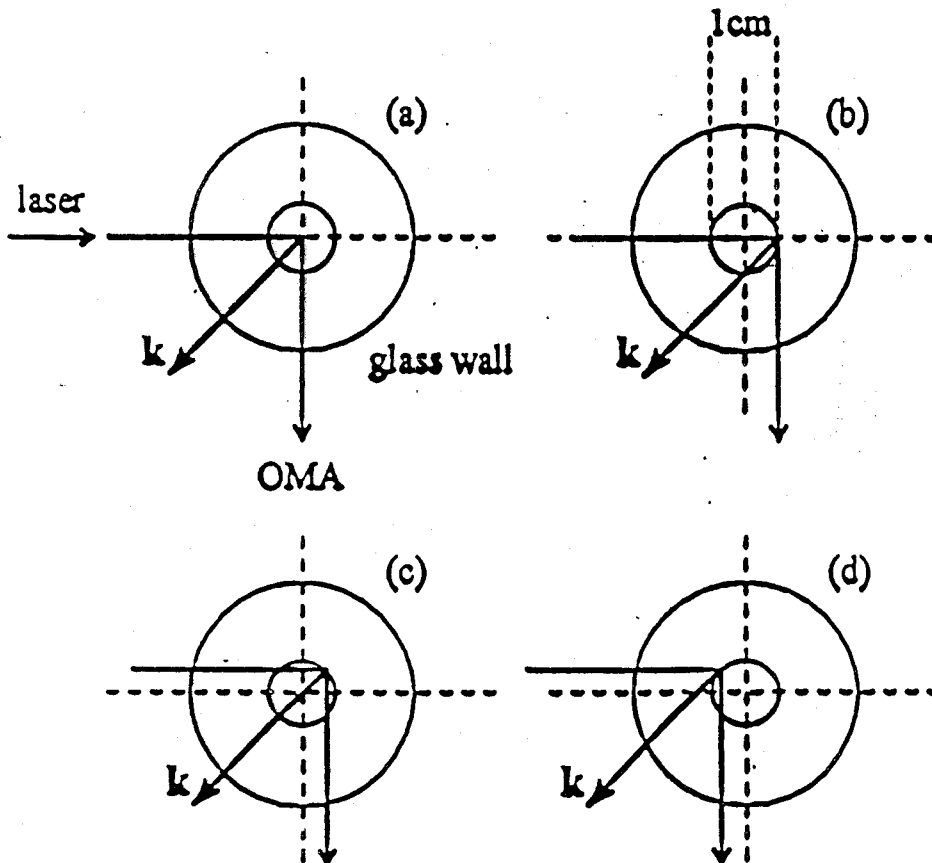
- Wavelength separation of the ion acoustic waves do not depend on density for  $\alpha > 2$

# Investigation of drifts

in the direction of the scattering vektor  $\vec{k}$



Proper selection of scattering direction and scattering volume



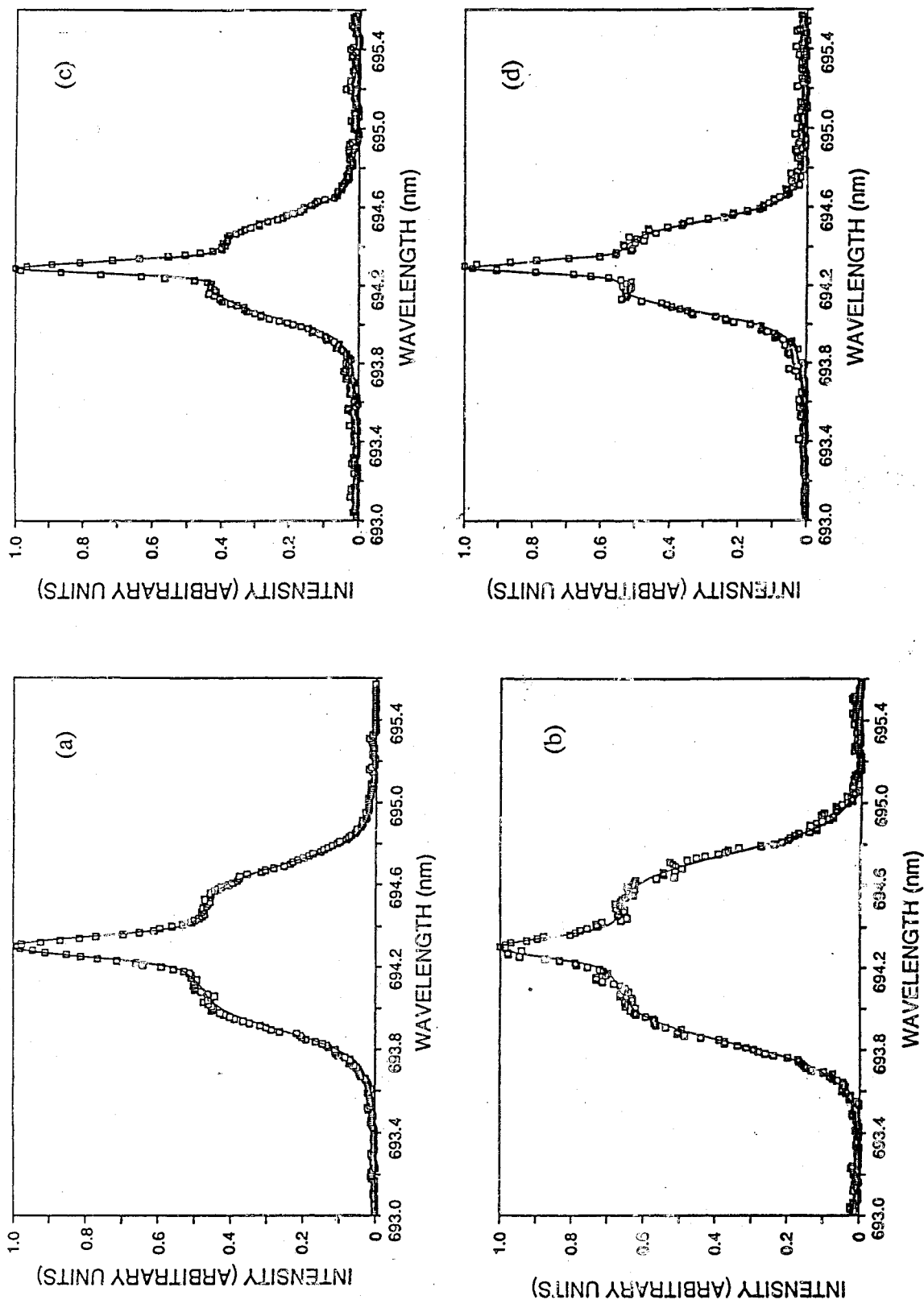
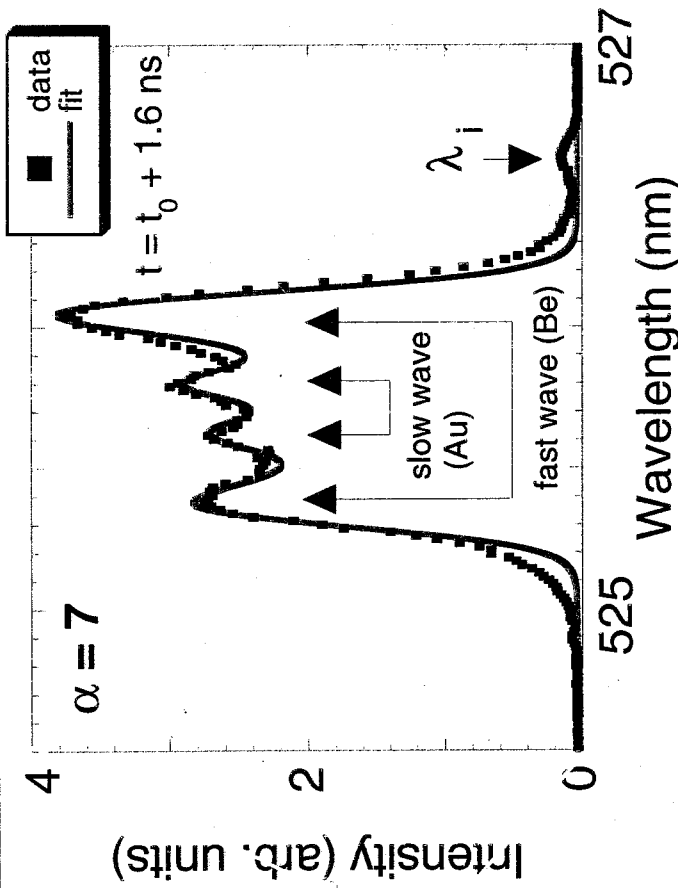
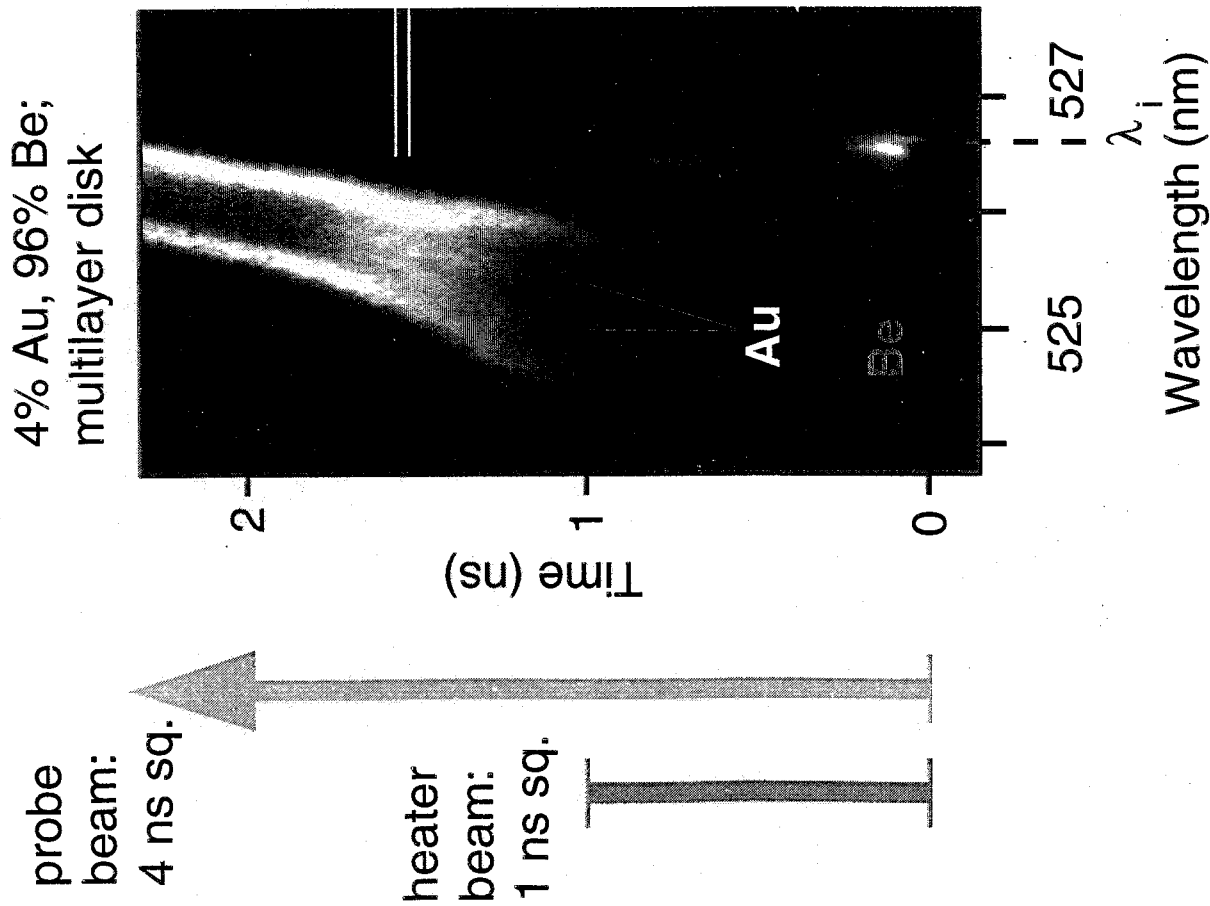


FIG. 5. Measured single-shot scattered light spectra for hydrogen plasmas with noble gas additives. Square points are OMA data, solid line is best fit to theory. Parameters from fitting to theory are (a) 1% argon,  $T_i = 35$  eV,  $n_e = 8 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 7.5$ . (b) 0.29% argon,  $T_i = 46$  eV,  $n_e = 2 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 7.9$ . (c) 4.5% xenon,  $T_i = 17.5$  eV,  $n_e = 2.5 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 3.8$ . (d) 4.8% xenon,  $T_i = 17$  eV,  $n_e = 1 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 2.7$ .

# For disk plasmas with two-species, the observation of two ion-acoustic waves excludes the presence of turbulence



## Fit yields considerable information

- $T_e = 230 \text{ eV}$  ( $\pm 10\%$ ) from the wavelength separation of Be ion acoustic waves;
- $T_i = 260 \text{ eV}$  ( $\pm 10\%$ ) from relative damping of the ion acoustic waves
- $Z_{\text{Au}} = 40$  ( $\pm 15\%$ ) from the wavelength separation of Au ion acoustic waves;
- $[n_e = 2 \times 10^{20} \text{ cm}^{-3}$  from LASNEX]

Wavelength (nm)

S. H. Glenzer et al., Phys. Rev. Lett. 77, 1496 (1996)

Because of the energetic photons now the Compton shift has to be taken into account.

Electron density and temperature could be derived and thus also the ionisation balance !

Future: interesting possibilities to study electronic properties of solid-density plasmas



# Scattering of X-rays by solid-density plasmas

30-kJ Omega laser facility was used

target was a Be cylinder coated with 1  $\mu\text{m}$  thick rhodium

L-shell x-rays from Rh heat the Be cylinder to about 30 eV at densities  $(2-3)\times 10^{23} \text{ cm}^{-3}$

15 other laser beams produce (1 ns delayed) a second Ti plasma, which emits the strong He- $\alpha$  line at 4.75 keV, and scattering of this line by the first plasma is studied.

