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### Integral Quadratic Forms and the Representation Type of an Algebra

(Lecture 4)

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# **INTEGRAL QUADRATIC FORMS AND THE REPRESENTATION TYPE OF AN ALGEBRA**

## **LECTURE 4**

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**Tame algebras and modules on tubes.**

**Standard tubes in Auslander-Reiten quivers.**

Let  $A$  be a finite dimensional  $k$ -algebra. We say that an  $A$ -module  $X$  is a *brick* if  $\text{End}_A(X) = k$ . In particular, a brick is always an indecomposable module.

We recall that two modules  $X_1, X_2$  are said to be *orthogonal* if  $\text{Hom}_A(X_1, X_2) = 0 = \text{Hom}_A(X_2, X_1)$ .

Let  $E_1, \dots, E_s$  be a family of pairwise orthogonal bricks. Define  $\varepsilon(E_1, \dots, E_s)$  as the full subcategory of  $\text{mod } A$  whose objects  $X$  admit a filtration  $X = X_0 \supset X_1 \supset \dots \supset X_m = 0$  for some  $m \in \mathbf{N}$ , with  $X_i/X_{i+1}$  isomorphic to some  $E_j$ , for any  $1 \leq i \leq n$ .

**Lemma.** *The category  $\varepsilon = \varepsilon(E_1, \dots, E_s)$  is an abelian category, with  $E_1, \dots, E_s$  being the simple objects of  $E$ .  $\square$*

An abelian category  $\varepsilon$  is said to be *serial* provided any object in  $E$  has finite length and any indecomposable object in  $\varepsilon$  has a unique composition series.

**Proposition.** *Let  $E_1, \dots, E_s$  be pairwise orthogonal bricks in some module category  $\text{mod } A$ . Assume that (a)  $\tau E_i \cong E_{i-1}$  for  $1 \leq i \leq s$  with  $E_0 = E_s$  and (b)  $\text{Ext}_A^2(E_i, E_j) = 0$  for all  $1 \leq i, j \leq n$ . Then  $\varepsilon = \varepsilon(E_1, \dots, E_s)$  is serial, it is a standard component of  $\Gamma_A$  of the form  $\mathbf{ZA}_\alpha/(n)$ .  $\square$*

With the notation of the Proposition above: we denote by  $E_i[t]$  the unique module in the serial category  $E$  which has socle  $E_i$  and length  $t$ .

A family  $\mathcal{T} = (T_\lambda)_{\lambda \in L}$  of the Auslander-Reiten quiver of an algebra  $A$  is a *standard stable tubular family* if each  $T_\lambda$  is a standard component of the form  $\mathbf{ZA}_\infty/(n_\lambda)$  for some  $n_\lambda$  and for  $\lambda \neq \mu$  the components  $T_\lambda$  and  $T_\mu$  are orthogonal.

**Corollary.** *Let  $\mathcal{T} = (T_\lambda)_{\lambda \in L}$  be a standard stable tubular family in the Auslander-Reiten quiver of  $A$ . Then the additive closure  $\text{add } \mathcal{T}$  of  $\mathcal{T}$  in  $\text{mod } A$  is an abelian category which is serial and is closed under extensions in  $\text{mod } A$ .  $\square$*

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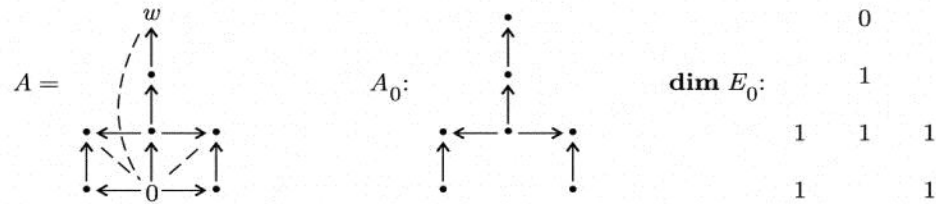
A standard stable tubular family  $\mathcal{T} = (T_\lambda)_{\lambda \in L}$  is said to be *separating* if there are full subcategories  $\mathcal{P}$  and  $\mathcal{I}$  of  $\text{mod } A$  satisfying the following conditions:

- (i) each indecomposable  $A$ -module belongs to one of  $\mathcal{P}$ ,  $\mathcal{T}$  or  $\mathcal{I}$ ;
- (ii) for modules  $X \in \mathcal{P}$ ,  $Y \in \mathcal{T}$  and  $Z \in \mathcal{I}$  we have  $\text{Hom}_A(Z, Y) = 0 = \text{Hom}_A(Z, X)$  and  $\text{Hom}_A(Y, X) = 0$ .
- (iii) each non zero morphism  $f \in \text{Hom}_A(X, Z)$  for indecomposable modules  $X \in \mathcal{P}$ ,  $Z \in \mathcal{I}$ , factorizes through each component  $T_\lambda$ .



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*Example:* Let  $A$  be the algebra given by the quiver with relations below

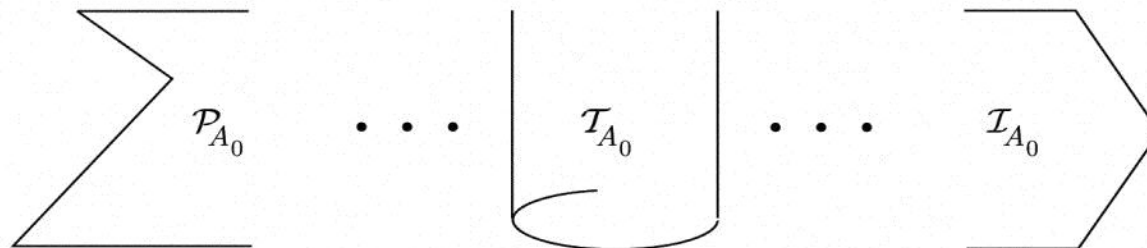


Then  $A$  is the *one-point extension*  $A_0[E_0]$  as follows

$$A_0[E_0] = \begin{bmatrix} A_0 & E_0 \\ 0 & k \end{bmatrix}$$

with the usual matrix operations and where  $E_0$  is considered as an  $A_0 - k$ -bimodule. Moreover  $\text{rad } P_0 = E_0$ .

The algebra  $A_0$  is tame hereditary with an Auslander-Reiten quiver of the shape



where  $\mathcal{P}_{A_0}$  is a preprojective component,  $\mathcal{I}_{A_0}$  a preinjective component and  $\mathcal{T}_{A_0}$  is a separating tubular family of tubular type  $(2, 3, 3)$ . In  $\mathcal{T}_{A_0} = (T_\lambda)_\lambda$  almost all tubes are of rank one with a module on the mouth with

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$$z_0 = \mathbf{dim}: \begin{array}{ccc} & & 1 \\ & & 2 \\ & 2 & 3 & 2 \\ & 1 & & 1 \end{array}$$

The tubes of rank 2 and rank 3 have modules on the mouths with the unique indecomposable  $A_0$ -modules with the indicated dimension vectors:

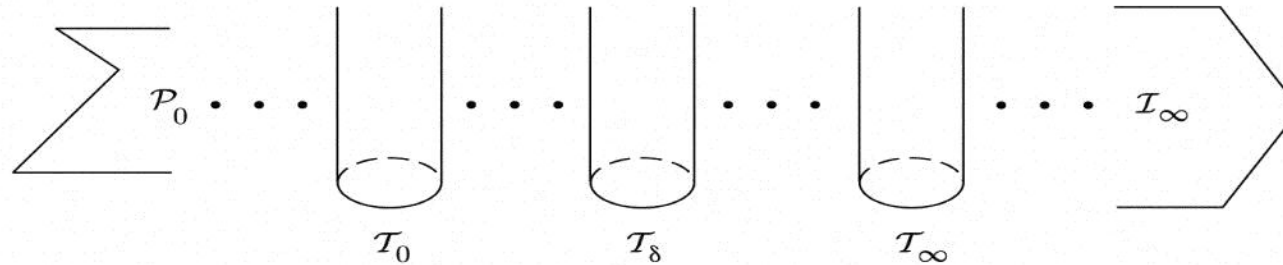
$$\begin{array}{ccc}
 & \begin{array}{ccc} & 0 & \\ & 1 & \\ E_0: & 1 & 1 & 1 \\ & 1 & & 1 \end{array} & \begin{array}{ccc} & & 1 \\ & & 1 \\ E_1: & 1 & 2 & 1 \\ & 0 & & 0 \end{array} \\
 \\
 X_0: & \begin{array}{ccc} & 0 & \\ & 1 & \\ 1 & 1 & 0 \\ 0 & & 0 \end{array} & X_1: & \begin{array}{ccc} & 0 & \\ & 1 & 1 & 1 \\ & 1 & & 0 \end{array} & X_2: & \begin{array}{ccc} & & 1 \\ & & 1 \\ 0 & 1 & 1 \\ 0 & & 1 \end{array} \\
 \\
 Z_0: & \begin{array}{ccc} & 0 & \\ & 1 & \\ 0 & 1 & 1 \\ 0 & & 0 \end{array} & Z_1: & \begin{array}{ccc} & 0 & \\ & 1 & 1 & 1 \\ 0 & & 1 \end{array} & Z_2: & \begin{array}{ccc} & & 1 \\ & & 1 \\ 1 & 1 & 0 \\ 1 & & 0 \end{array}
 \end{array}$$

and where the Auslander-Reiten translation is given by  $\tau_{A_0}E_1 = E_0$ ,  $\tau_{A_0}X_i = X_{i-1}$  and  $\tau_{A_0}Z_i = Z_{i-1}$  cyclically.



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The structure of  $\Gamma_A$  is given as follows:



where  $\mathcal{T}_0 = \bigvee_{\lambda \neq 2} T_\lambda \vee T_2[E_0]$  is the family of tubes  $\mathcal{T}_{A_0}$  with the exception of the tube of rank 2 which appears now ‘inserted’ with the new projective at the extension vertex 0.

For each positive rational number  $\delta = \frac{a}{b}, (a, b)$ ,  $\mathcal{T}_\delta$  is a separating family of tubes of tubular type  $(3, 3, 3)$  with all homogeneous tubes but 2 of rank 3. The homogeneous tubes have modules on the mouths of vector dimension

$$az_0 + bz_\infty$$

when  $z_\infty$  is given by

$$A_\infty: \begin{array}{c} \bullet \\ \uparrow \\ \bullet \leftarrow \bullet \rightarrow \bullet \\ \uparrow \quad \uparrow \quad \uparrow \\ \bullet \leftarrow 0 \rightarrow \bullet \end{array} \quad z_\infty: \begin{array}{ccc} & 1 & \\ & & 1 \\ 1 & 2 & 1 \\ & 1 & 1 & 1 \end{array} \quad \dim E_\infty: \begin{array}{ccc} & & \\ & & \\ 0 & 1 & 0 \\ & 0 & 0 & 0 \end{array}$$

Observe that  $A_\infty$  is tame concealed and  $A = [E_\infty]A_\infty$  is a one-point coextension where the module  $E_\infty$  lies on a regular tube of  $\Gamma_{A_\infty}$ . The algebra  $A$  is typical *tubular algebra* as defined by Ringel.

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**Proposition.** Let  $\mathcal{T} = (T_\lambda)_\lambda$  be a standard separating tubular family for the module category  $\text{mod } A$ . Then

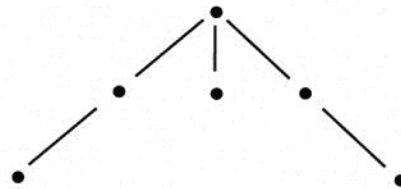
- a) For almost every  $\lambda$ , the tube  $T_\lambda$  is homogeneous.
- b) Let  $T_\lambda$  be a homogeneous tube of the family  $\mathcal{T}$ . Let  $X$  be a module in the mouth of  $T_\lambda$  and  $v = \mathbf{dim} X$ . Then  $q_A(v) = 0$ .

*Proof of (b):* Let  $X$  be a module in the mouth of a homogeneous tube  $T_\lambda$  in  $\mathcal{T}$ . Let  $B$  be the convex closure in  $A$  of  $\cup \text{supp } X$  with  $X \in T_\lambda$ . Since  $B$  is convex in  $A$  and  $\text{gldim } B \leq 2$ , then

$$q_A(\mathbf{dim} X) = q_B(\mathbf{dim} X) = \dim_k \text{End}_A(X) - \dim_k \text{Ext}_A^1(X, X).$$

Since  $T_\lambda$  is standard and  $X \simeq \tau X$ , then  $\text{Ext}_A^1(X, X) \cong D\text{Hom}_A(X, \tau X)$  and we get  $q_A(\mathbf{dim} X) = 0$ . □

*Notation:* Let  $\mathcal{T} = (T_\lambda)_\lambda$  be a standard separating stable tubular family in mod  $A$ . Let  $r(\lambda)$  be the *period* (or *rank*) of the tube  $T_\lambda$ . Consider those  $r(\lambda_1), \dots, r(\lambda_s)$  which are strictly bigger than 1 (finite number by (1.4)). We define the *star diagram*  $T_\tau$  of the family  $\mathcal{T}$  as the diagram with a unique ramification point and  $s$  branches of lengths  $r(\lambda_1), \dots, r(\lambda_s)$ . For example, the tame concealed algebra of tubular type  $(2, 3, 3)$  has the star diagram depicted below.



**Theorem.** [Ringel, Lenzing-de la Peña]

Let  $A = kQ/I$  be a  $k$ -algebra. Let  $n$  be the number of vertices of  $Q$ . Let  $\mathcal{T} = (T_\lambda)_{\lambda \in L}$  be a standard separating stable sincere tubular family in mod  $A$ . Let  $r(\lambda)$  be the rank of the tube  $T_\lambda$ . Then

$$\sum_{\lambda \in L} (r(\lambda) - 1) = n - 2.$$

Moreover,  $A$  is a tame algebra if and only if the star diagram  $\mathbf{T}_r$  is a Dynkin or extended Dynkin diagram.

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## Tubes and isotropic roots of the Tits form.

We say that a property  $P$  is satisfied by almost every indecomposable if for each  $d \in \mathbf{N}$ , the set of indecomposable  $A$ -modules of dimension  $d$  which do not satisfy  $P$  form a finite set of isomorphism classes. The following is a central fact about the structure of the representation-quiver  $\Gamma_A$  of a tame algebra  $A$ .

### **Theorem** [Crawley-Boevey]

*Let  $A$  be a tame algebra. Then almost every indecomposable lies in a homogeneous tube. In particular, almost every indecomposable  $X$  satisfies  $X \simeq \tau X$ .*

*Open problem:* Is it true that an algebra is of tame type if and only if almost every indecomposable module belongs to a homogeneous tube?

**Proposition.** *Let  $A$  be an algebra such that almost every indecomposable lies in a standard tube. Then  $A$  is tame.*

**Proof.** Our hypothesis implies that almost every indecomposable  $X$  satisfies  $\dim_k \text{End}_A(X) \leq \dim_k X$ . We show that this condition implies the tameness of  $A$ .

Indeed, assume that  $A$  is wild and let  $M$  be a  $A - k\langle u, v \rangle$ -bimodule which is finitely generated free as right  $k\langle u, v \rangle$ -module and the functor  $M \otimes_{k\langle u, v \rangle} -$  insets indecomposables. Consider the algebra  $B$  given by the quiver  $t_1 \begin{array}{c} \curvearrowright \bullet \curvearrowleft \\ \curvearrowright \\ \curvearrowleft \end{array} t_2$  and with radical  $J$

satisfying  $J^2 = 0$ . Then there is a  $A - B$ -bimodule  $N$  such that  $N_B$  is free and  $N \otimes_B - : \text{mod } B \rightarrow \text{mod } A$  is fully faithful. Therefore the composition  $F = M \otimes_A (N \otimes_B -)$  is faithful and insets indecomposables. Moreover,  $\dim_k FX \leq m \dim_k X$  for any  $X \in \text{mod } B$  if we set  $m = \dim_k(M \otimes_A N)$ .

Consider also the functor  $H : \text{mod } A \rightarrow \text{mod } B$  sending  $X$  to the space  $X' = X \oplus X$  with endomorphisms

$$X'(t_1) = \begin{bmatrix} 0 & X(w) \\ 0 & 0 \end{bmatrix}, X'(t_2) = \begin{bmatrix} 0 & X(v) \\ 0 & 0 \end{bmatrix} \quad \text{and} \quad X'(t_3) = \begin{bmatrix} 0 & 1_X \\ 0 & 0 \end{bmatrix}$$

This functor insets indecomposables. For the simple  $A$ -modules  $X$  of dimension  $n$ , we get indecomposable  $A$ -modules  $FH(X)$  with

$$\dim_k FH(X) \leq m \dim_k H(X) = 2mn$$

and

$$\dim_k \text{End}_A(FH(X)) \geq \dim_k \text{End}_B(H(X)) = n^2 + \dim_k \text{End}_A(X) = n^2 + 1.$$

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Let  $A = kQ/I$  be a triangular algebra. In case  $A$  is tame, we would like to find the dimensions  $z \in \mathbf{N}^{Q_0}$  where indecomposable modules  $X$  with  $\mathbf{dim} X = z$  and  $X$  in a homogeneous tube exist.

**Proposition.** *Assume that  $A$  is tame and  $q_A(z) = 0$ . Then there is a decomposition  $z = w_1 + \dots + w_s$  with  $w_i \in \mathbf{N}^{Q_0}$  and an open subset  $\mathcal{U}$  of  $\text{mod}_A(z)$  satisfying:*

- (a)  $\dim \mathcal{U} = \dim \text{mod}_A(z)$ .
- (b) *Every  $X \in \mathcal{U}$  has an indecomposable decomposition  $X = X_1 \oplus \dots \oplus X_s$  such that  $\dim X_i = w_i$  and the module  $X_i$  lies in the mouth of a homogeneous tube. Moreover,  $\dim_k \text{Hom}_A(X_i, X_j) = \delta_{ij} = \text{Ext}_A^1(X_i, X_j)$  for  $1 \leq i, j \leq s$ .*

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## Hypercritical algebras.

Let  $q = \sum_{i=1}^n x_i^2 + \sum_{i \neq j} a_{ij} x_i x_j$  be a unit form. Let  $M$  be the symmetric matrix associated with  $q$ .

### Proposition:

*The following are equivalent:*

- (a)  $q$  is weakly non negative
- (b) Every critical restriction  $q^I$  of  $q$  with  $v$  the positive generator of  $\text{rad } q^I$ , satisfies  $v^0 M \geq 0$ .

**Proof:** a)  $\Rightarrow$  b): Assume that  $q^I$  is critical and  $v^0 M$  has its  $j$ -th component negative. Then  $0 \leq 2v^0 + e_j \in \mathbb{Z}^n$  and  $q(2v^0 + e_j) = 2v^0 M e_j^t + 1 < 0$

b)  $\Rightarrow$  a): Assume  $q$  satisfies (b) but not (a). By induction, we may suppose that  $q^{(i)}$  satisfies (a),  $1 \leq i \leq n$ . Let  $0 \ll z$  be such that  $q(z) < 0$ . Let  $q^I$  be a critical restriction. Let  $v$  be the positive generator of  $\text{rad } q^I$ . We can find a number  $a \leq 0$  such that  $0 \leq z + av^0$  and  $(z + av^0)(j) = 0$  for some  $1 \leq j \leq n$ . Then

$$0 \leq q^{(j)}(z + av^0) < av^0 M z^t \leq 0,$$

a contradiction. □

### Corollary:

*The unit form  $q$  is weakly non negative if and only if  $0 \leq q(z)$  for every  $z \in [0, 12]^n$ .* □

**Conjecture:**

*Let  $A$  be a good algebra. Then  $A$  is tame if and only if its Tits form  $q_A$  is weakly non negative.*

Which are the good algebras?

**Theorem** [Brüstle]

*Let  $A$  be tree algebra. Then  $A$  is tame if and only if  $q_A$  is weakly non-negative.*

**Proposition:**

*Let  $A$  be a tree algebra. Then  $q_A$  is weakly non negative if and only if  $A$  has no convex hypercritical subalgebras.*

Let  $A$  be a tree algebra and assume that  $q_A$  is not weakly non negative. Therefore, there is a hypercritical restriction  $q_A^I$ . Then  $I = J \cup \{x\}$  such that  $q_A^I$  is critical and  $q_A(v^0, e_x) < 0$ , where  $v$  is the positive generator of  $\text{rad}q_A^J$ .

Hence,  $q_A^J = q_B$ , where  $B$  is a convex critical subalgebra of  $A$ . Since  $q_A(v^0, e_x) < 0$ , there is an arrow connecting  $x$  and  $A$ . Let  $C$  be the full (convex !) subcategory of  $A$  with vertices  $x$  and those of  $B$ . Therefore  $q_A^I = q_C$  is hypercritical.  $\square$

We recall that a triangular algebra  $A = kQ/I$  is said to satisfy the *separation condition* if every vertex  $x \in Q_0$  has separated radical. The algebra  $A$  is *strongly simply connected* if every convex subcategory  $B$  of  $A$  satisfies the separation condition.

**Theorem** [Brüstle-de la Peña-Skowroński].

*Let  $A$  be a strongly simply connected algebra, then the following are equivalent:*

- (a)  *$A$  is tame*
- (b)  *$q_A$  is weakly non-negative*
- (c)  *$A$  does not contain a full convex subcategory which is hypercritical.* □



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