



The Abdus Salam  
International Centre for Theoretical Physics



SMR.1745- 1

*SPRING SCHOOL ON SUPERSTRING THEORY  
AND RELATED TOPICS*

*27 March - 4 April 2006*

**Integrability in AdS/CFT**

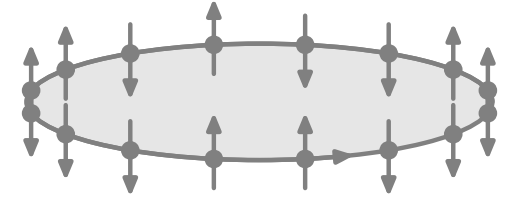
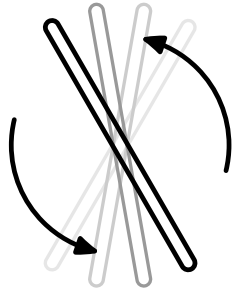
**PART I**

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Please note: These are preliminary notes intended for internal distribution only.

# Integrability in AdS/CFT

## Lecture I: Overview



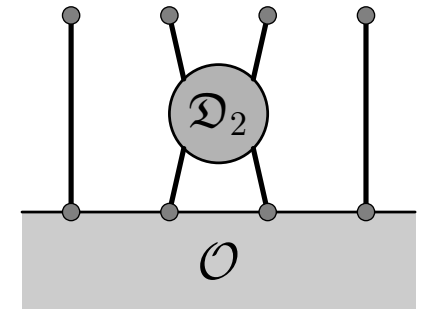
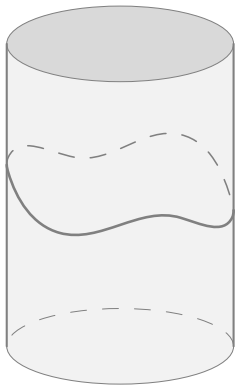
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Spring School

March 27, 2006



# These lectures are about . . .

. . . efficient methods for the planar spectrum of AdS/CFT.

- Understanding the spectrum of classical strings on  $AdS_5 \times S^5$ .
- Avoiding higher-loop calculations in planar  $\mathcal{N} = 4$  gauge theory.

## ★ **Lecture I: Introduction and Overview**

- Spectra of string/gauge theories
- Computing energies by brute force
- Overview of integrable methods

## ★ **Lecture II: Classical String Theory and Spectral Curves**

- From a classical solution to a spectral curve

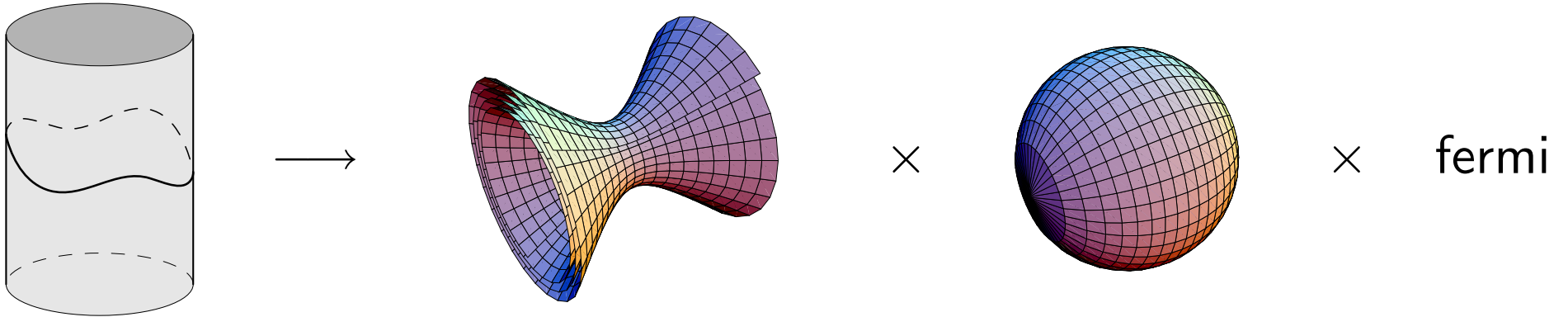
## ★ **Lectures III & IV: Gauge Theory and the Bethe ansatz**

- Integrable spin chains
- Bethe ansatz; S-matrix
- R-matrix formalism

# AdS/CFT Correspondence

# Strings on $AdS_5 \times S^5$

IIB superstrings on the curved  $AdS_5 \times S^5$  superspace



Coset space

$$AdS_5 \times S^5 \times \text{fermi} = \frac{\text{PSU}(2, 2|4)}{\text{Sp}(1, 1) \times \text{Sp}(2)} .$$

Sigma model action on a cylinder (Green-Schwarz superstring)

[Metsaev  
Tseytlin]

$$S_\sigma = \frac{\sqrt{\lambda}}{2\pi} \int d\tau d\sigma \sqrt{-\gamma} \left( \frac{1}{2}(\partial_a \vec{X})^2 - \frac{1}{2}(\partial_a \vec{Y})^2 \right) + \dots$$

# $\mathcal{N} = 4$ Gauge Theory

$U(N)$  gauge field  $A_\mu$ , 4 adjoint fermions  $\Psi_\alpha^a$ , 6 adjoint scalars  $\Phi_m$

$$S_{\mathcal{N}=4} = N \int \frac{d^4x}{4\pi^2} \text{Tr} \left( \frac{1}{4} (\mathcal{F}_{\mu\nu})^2 + \frac{1}{2} (\mathcal{D}_\mu \Phi_m)^2 - \frac{1}{4} [\Phi_m, \Phi_n]^2 + \dots \right).$$

Some remarkable properties:

- Unique action due to maximal supersymmetry,
- single coupling constant  $g \sim \sqrt{\lambda} \sim g_{\text{YM}} \sqrt{N}$  (plus top.  $\theta$ -angle),
- all fields adjoints:  $N \times N$  matrices for  $U(N)$  gauge group,
- all fields massless (pure gauge),
- “finite” theory: beta-function exactly zero, no running coupling,
- unbroken conformal symmetry,
- superconformal symmetry  $\text{PSU}(2, 2|4)$ .

And some more mysterious ones...

# AdS/CFT Correspondence

Conjectured **exact duality** of

[Maldacena  
hep-th/9711200] [Gubser  
Klebanov  
Polyakov] [Witten  
hep-th/9802150]

- IIB string theory on  $AdS_5 \times S^5$  and
- $\mathcal{N} = 4$  gauge theory (CFT).

**Symmetry** groups match:  $PSU(2, 2|4)$ .

**Holography:** Boundary of  $AdS_5$  is conformal  $\mathbb{R}^4$ .

**Prospects:**

- More general AdS/CFT may explain aspects of QCD strings.
- Study aspects of quantum gravity with QFT means.

**No proof yet!**

Many qualitative comparisons. Quantitative tests missing.

Would like to verify quantitatively.

**One prediction: Matching of spectra.**

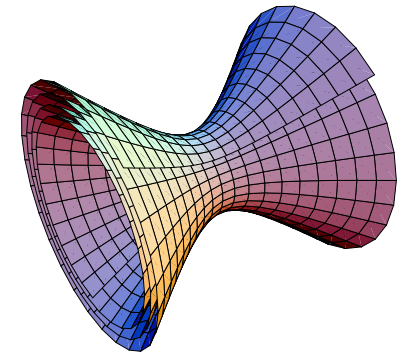
Central motivation for these lectures. Goal: **Obtain spectra** on both sides.

# Spectra of String and Gauge Theory

## String Theory:

States: Solutions of classical equations of motion plus quantum corrections.

Energy: Charge for translation along AdS-time (rotations along unwound circle in figure)



## Gauge Theory:

States: Local operators. Local combinations of the fields, e.g.

$$\mathcal{O} = \text{Tr} \Phi_1 \Phi_2 (\mathcal{D}_1 \mathcal{D}_2 \Phi_2) (\mathcal{D}_1 \mathcal{F}_{24}) + \dots$$

Energy: Scaling dimensions, e.g. two-point function in conformal theory

$$\langle \mathcal{O}(x) \mathcal{O}(y) \rangle = C |x - y|^{-2D(\lambda)}$$

**Matching:** String energies and gauge dimensions match,  $E(\lambda) = D(\lambda)$ .



# Strong/Weak Duality

Problem: Strong/weak duality.

- Perturbative regime of strings at  $\lambda \rightarrow \infty$

$$E(\lambda) = \sqrt{\lambda} E_0 + E_1 + E_2/\sqrt{\lambda} + \dots$$

$E_\ell$ : Contribution at  $\ell$  (world-sheet) loops. Limit: 1 or 2 loops.

- Perturbative regime of gauge theory at  $\lambda \approx 0$ .

$$D(\lambda) = D_0 + \lambda D_1 + \lambda D_2 + \dots$$

$D_\ell$ : Contribution at  $\ell$  (gauge) loops. Limit: 3 or 4 loops.

**Tests impossible** unless quantities are known at **finite  $\lambda$** .

Cannot compare, not even approximately.

**Integrability** may help.

# Spinning Strings

# Classical Spinning Strings

Strings in a flat background are simple...

Mode decomposition leads to free oscillators. Easily quantised.

Curved background:  $AdS_5 \times S^5$  embedded in  $\mathbb{R}^{2,4} \times \mathbb{R}^6$  as  $\vec{Y}^2 = \vec{X}^2 = 1$ .

String sigma model action

[Metsaev  
Tseytlin]

$$S_\sigma = \frac{\sqrt{\lambda}}{2\pi} \int d\tau d\sigma \sqrt{-\gamma} \left( \frac{1}{2}(\partial_a \vec{X})^2 - \frac{1}{2}(\partial_a \vec{Y})^2 + \text{fermi.} \right).$$

Equations of motion, Virasoro constraints (variation by  $\vec{X}, \vec{Y}, \gamma_{ab}$ )

$$\partial^2 \vec{X} = \Lambda \vec{X}, \quad \partial^2 \vec{Y} = \Lambda' \vec{Y}, \quad (\partial_\pm \vec{X})^2 = (\partial_\pm \vec{Y})^2.$$

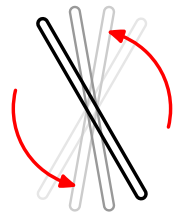
Let's find a classical string solution.

For classical solutions may drop fermions.

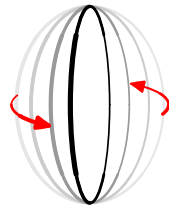
# Spinning Strings Ansatz

Many examples investigated:

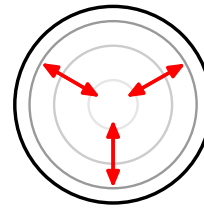
[Gubser, Klebanov, Polyakov] [Frolov, Tseytlin] [Minahan, hep-th/0209047] [Frolov, Tseytlin] . . .



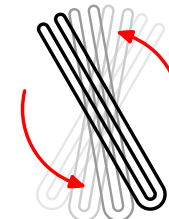
folded



circular



pulsating



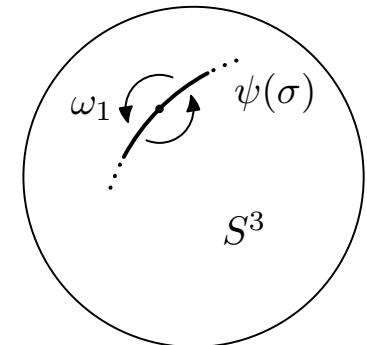
higher modes

A particular ansatz for a spinning strings on  $\mathbb{R} \times S^3$ :

[Frolov, Tseytlin, hep-th/0306143]

- uniform motion in AdS-time: WS energy  $\varepsilon$
- uniform rotation in 12-plane and 34-plane: WS frequencies  $\omega_{1,2}$
- stretched in 12/34 plane: profile  $\psi(\sigma)$ .

$$t(\sigma, \tau) = \varepsilon \tau, \quad \vec{X}(\sigma, \tau) = \begin{pmatrix} \cos \psi(\sigma) \cos \omega_1 \tau \\ \cos \psi(\sigma) \sin \omega_1 \tau \\ \sin \psi(\sigma) \cos \omega_2 \tau \\ \sin \psi(\sigma) \sin \omega_2 \tau \end{pmatrix}.$$



# Solving a Spinning String

Equation of motion (pendulum with  $\vartheta = 2\psi$ ,  $g/L = \omega_1^2 - \omega_2^2$ )

$$\psi'' = (\omega_1^2 - \omega_2^2) \cos \psi \sin \psi.$$

Virasoro constraint (integrated EOM)

$$\psi'^2 = \varepsilon^2 - \omega_1^2 + (\omega_1^2 - \omega_2^2) \sin^2 \psi.$$

Solution (elliptic amplitude  $\text{am}$ , modulus  $k$ , integration constant  $\sigma_0$ )

$$\psi(\sigma) = \text{am}(b(\sigma - \sigma_0), k).$$

Relation between  $(\omega_1, \omega_2)$  and  $(b, k)$

$$\varepsilon^2 - \omega_1^2 = b^2, \quad \omega_2^2 - \omega_1^2 = b^2 k^2.$$

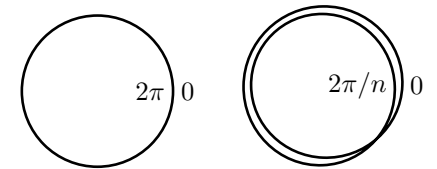
# Periodicity

Closed string must be periodic:  $\psi(\sigma + 2\pi) \equiv \psi(\sigma) \pmod{2\pi}$ .

Understand elliptic functions or reconsider Virasoro

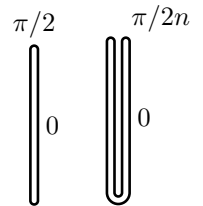
$$\frac{d\psi}{d\sigma} = b\sqrt{1 - k^2 \sin^2 \psi}.$$

Circular string,  $k < 1$ ,  $n$  windings



$$2\pi = \int_0^{2\pi} d\sigma = n \int_0^{2\pi} d\psi \frac{d\sigma}{d\psi} = \frac{4n}{b} \int_0^{\pi/2} \frac{d\psi}{\sqrt{1 - k^2 \sin^2 \psi}} = \frac{4n}{b} K(k).$$

Folded string,  $k > 1$ ,  $n$  folds (folded at  $\pm\psi_0$  with  $\sin \psi_0 = 1/k$ )



$$2\pi = \int_0^{2\pi} d\sigma = 4n \int_0^{\psi_0} d\psi \frac{d\sigma}{d\psi} = \frac{4n}{b} (K(k) + i K(\sqrt{1 - k^2})).$$

New integer parameter: mode number  $n$ . Parameter  $b$  fixed.

# Charges

AdS-Energy

$$E = \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma \dot{t} = \sqrt{\lambda} \varepsilon$$

Charge for rotation in 12-plane (circular string)

$$\begin{aligned} J_1 &= \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma (\dot{X}_2 X_1 - \dot{X}_1 X_2) = \frac{\sqrt{\lambda}}{2\pi} \int_0^{2\pi} d\sigma \omega_1 \cos^2 \psi \\ &= \frac{\sqrt{\lambda} \omega_1}{K(k)} \int_0^{\pi/2} \frac{d\psi \cos^2 \psi}{\sqrt{1 - k^2 \sin^2 \psi}} = \sqrt{\lambda} \omega_1 \frac{E(k) - (1 - k^2) K(k)}{k^2 K(k)}. \end{aligned}$$

Similar for rotation in 34-plane. Invert relations

$$\varepsilon = \frac{E}{\sqrt{\lambda}}, \quad \omega_1 = \frac{J_1}{\sqrt{\lambda}} \frac{k^2 K(k)}{E(k) - (1 - k^2) K(k)}, \quad \omega_2 = \frac{J_2}{\sqrt{\lambda}} \frac{k^2 K(k)}{K(k) - E(k)}.$$

# Large Spin Limit

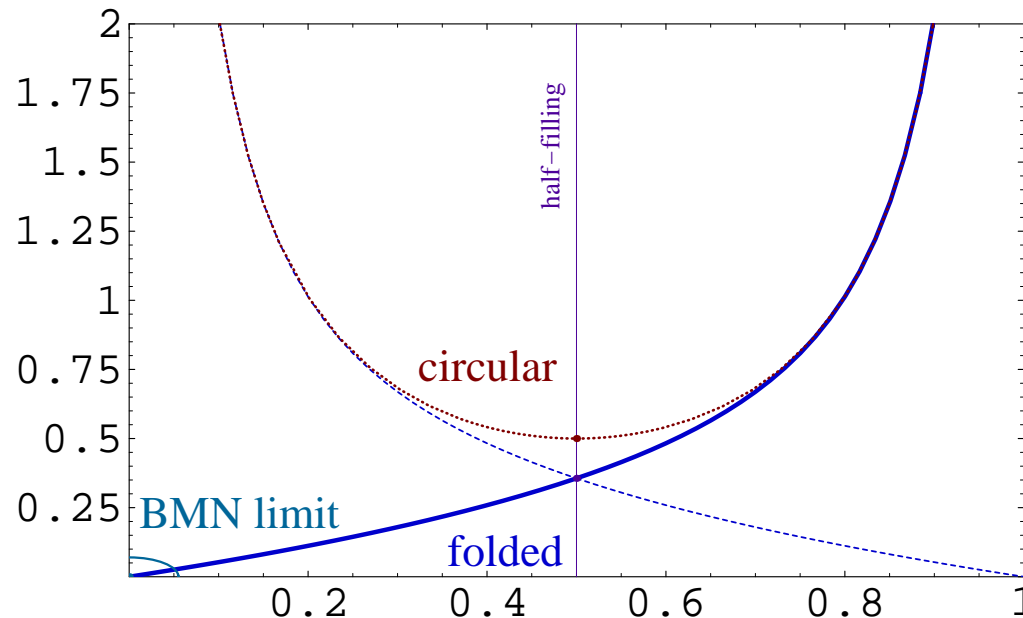
Use relation between  $(\omega_1, \omega_2)$  and  $(b, k)$  to solve for  $E(J_1, J_2)$ .

Expansion (total spin  $J = J_1 + J_2$ , spin ratio  $\alpha = J_2/J$ ).

$$E = J \left( 1 + \frac{\lambda}{J^2} E_1(\alpha) + \frac{\lambda^2}{J^4} E_2(\alpha) + \dots \right)$$

Comparison to perturbative gauge theory?! (**No**:  $\lambda$  is large!)

[ Frolov, Tseytlin  
hep-th/0306143 ]



Plot of  
 $E_1(\alpha)$  vs.  $\alpha$

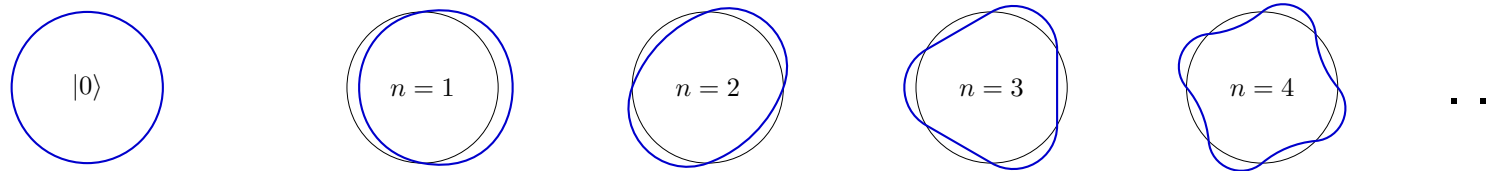


# Quantum Corrections

## Fluctuations

[ Frolov, Tseytlin  
hep-th/0306130 ]

Classical solution is saddle point of action. Quantum states accumulate.  
Find modes by diagonalising second variation of action:  $S_n = \delta^2 S / (\delta \vec{X})^2$ .



Energies of string modes similar to  $e_n \approx \sqrt{1 + (\lambda/J^2)n^2}$ .

[ Berenstein  
Maldacena  
Nastase ]

## Energy Shifts

[ Frolov, Tseytlin  
hep-th/0306130 ] [ Frolov  
Park  
Tseytlin ] [ NB, Tseytlin  
hep-th/0509084 ]

Generically  $E = J E^{(0)}(\sqrt{\lambda}/J) + E^{(1)}(\sqrt{\lambda}/J) + \frac{1}{J} E^{(2)}(\sqrt{\lambda}/J) + \dots$

One-loop energy shift  $E^{(1)}$ : Sum over string modes

$$E^{(1)} = \frac{1}{2} \sum_n e_n^b - \frac{1}{2} \sum_n e_n^f$$

# Open Questions

Obtained perturbative energy for one state, but:

- hard to find suitable ansätze (trial and error),
- hard to solve more general ansätze.
- no two-loop results available.

More generic questions:

- What is the structure of the spectrum?
- Why elliptic functions  $\text{am}, K, E$ ?

Situation improved by **integrability**:

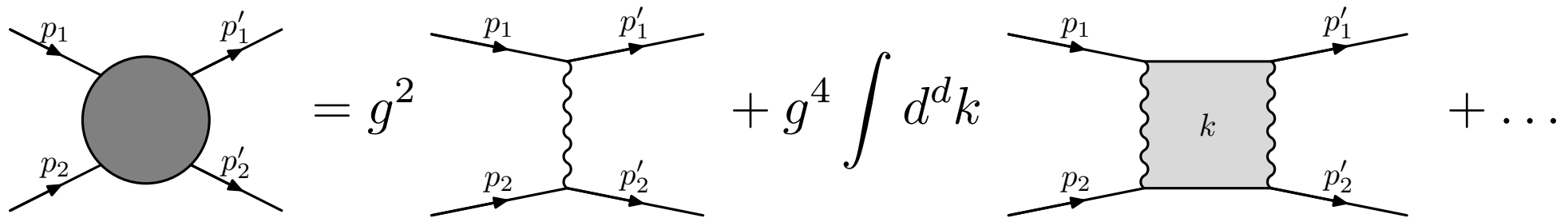
- Generic solution in terms of **spectral curves**.
- Ansätze based on physical properties.
- Understand structure of the spectrum.

Details in **Lecture II**.

# Anomalous Dimensions

# Momentum Space

Usually: Scatter objects with definite momenta



- Integration over loop momenta.
- Field propagator

$$\Delta_m(p) = \frac{1}{p^2 + m^2}.$$

- Order in perturbation theory  $g^{2\ell + E - 2C}$ : Loops are suppressed.
- **Gauge theory:** External legs on-shell and spins transverse.

# Position Space

Dual perspective: Scatter objects with definite positions

The diagram shows a four-point vertex (a grey circle) with external legs labeled  $x_1, x_3, x$ , and  $x_4$ . This is equated to a sum of diagrams representing perturbative corrections. The first term is  $g^2 \int d^{2d}y$  times a diagram with two internal vertices  $y_1$  and  $y_2$  connected by a wavy propagator. The second term is  $g^4 \int d^{4d}y$  times a diagram with four internal vertices  $y_1, y_2, y_3, y_4$  arranged in a loop, with wavy propagators connecting  $y_1-y_2$  and  $y_3-y_4$ . The expansion continues with  $+ \dots$ .

- Integration over vertex positions.
- Massive/massless propagator (useful for **massless theory, CFT**)

$$\Delta_m(x, y) = \frac{K_{d/2-1}(m|x-y|)}{2\pi(2\pi|x-y|/m)^{d/2-1}}, \quad \Delta(x, y) = \frac{\Gamma(d/2-1)}{4\pi^{d/2}|x-y|^{d-2}}.$$

- Order in perturbation theory  $g^V = g^{2\ell+E-2C}$ : Vertices are suppressed. One loop is equivalent to two vertices. One “loop” means  $\mathcal{O}(g^2)$ !
- **Gauge theory**: Correlators of gauge invariant local operators  $\mathcal{O}(x)$

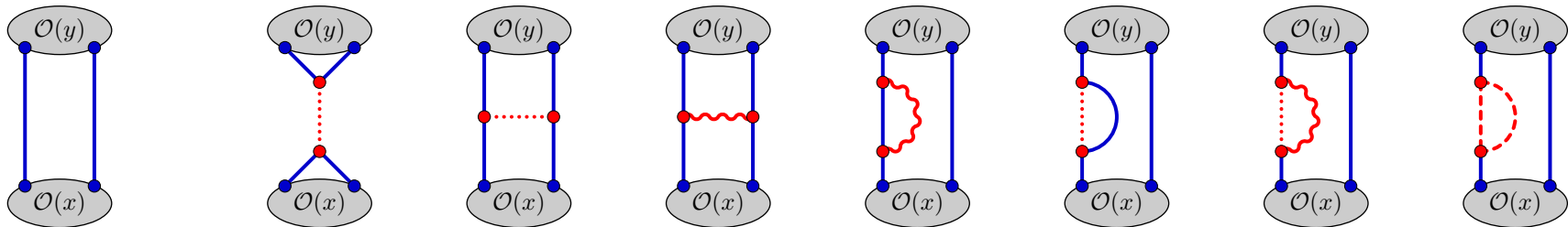
$$F(x_1, x_2, x_3, \dots) = \langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \mathcal{O}_3(x_3) \dots \rangle.$$

# A Sample Operator

Local, gauge invariant combination of the fields, e.g.

$$\mathcal{O}_{kl}^{\text{bare}}(x) = \text{Tr } \Phi_k(x) \Phi_l(x).$$

Two-point function at one loop. Diagrams:



Correlator (in dimensional reduction scheme)

[Bianchi, Kovacs  
Rossi, Stanev]

$$\langle \mathcal{O}_{kl}^{\text{bare}}(x) \mathcal{O}_{mn}^{\text{bare}}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^{4-4\epsilon}} \left( \delta_{k\{m\delta_n\}l} - \frac{6g^2 \delta_{kl}\delta_{mn}}{\epsilon|x - y|^{-2\epsilon}} + \dots \right).$$

Diverges as regulator  $\epsilon \rightarrow 0$ . Renormalisation!

# Renormalisation and Mixing

Correlator

$$\langle \mathcal{O}_{kl}^{\text{bare}}(x) \mathcal{O}_{mn}^{\text{bare}}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^{4-4\epsilon}} \left( \delta_{k\{m\delta_n\}l} - \frac{6g^2 \delta_{kl}\delta_{mn}}{\epsilon|x - y|^{-2\epsilon}} + \dots \right).$$

**Renormalisation:** coefficients divergent & unphysical

$$\mathcal{O}_{kl} = \mathcal{O}_{kl}^{\text{bare}} + \frac{g^2}{2\epsilon} \delta_{kl}\delta_{mn} \mathcal{O}_{mn}^{\text{bare}} + \dots$$

**Mixing:** Correlator is non-diagonal  $\langle \mathcal{O}_{11}(x) \mathcal{O}_{22}(y) \rangle \neq 0$

$$\mathcal{Q}_{kl} = \mathcal{O}_{kl} - \frac{1}{6} \delta_{kl}\delta_{mn} \mathcal{O}_{mn}, \quad \mathcal{K} = \delta_{mn} \mathcal{O}_{mn}.$$

Here: Mixing resolved by representation of  $\mathfrak{so}(6)$ ; 20-plet  $\mathcal{Q}_{kl}$ , singlet  $\mathcal{K}$ .

Usually: Mixing among many states with equal quantum numbers.

# Anomalous Dimensions

Protected operator. Scaling dimension  $D = 2$  is exact (CFT)

[D'Hoker  
Freedman  
Skiba]

$$\langle \mathcal{Q}_{kl}(x) \mathcal{Q}_{mn}(y) \rangle = \frac{2(1 - 1/N^2)}{|x - y|^4}, \quad D = 2.$$

Generic non-protected operator

$$\begin{aligned} \langle \mathcal{K}(x) \mathcal{K}(y) \rangle &= \frac{12(1 - 1/N^2)}{|x - y|^4} \left( 1 + 6g^2 \frac{1 - |x - y|^{2\epsilon}}{\epsilon} + \dots \right) \\ &= \frac{12(1 - 1/N^2)}{|x - y|^4} \left( 1 + 6g^2 \log \frac{1}{|x - y|^2} + \dots \right) \\ &= \frac{12(1 - 1/N^2)}{|x - y|^{2(2+6g^2+\dots)}} \end{aligned}$$

Scaling dimension  $D = 2 + 6g^2 + \dots$  receives quantum correction.

[Anselmi  
Grisaru  
Johansen]



# Fields and Charges

We need large charge  $J$  of  $\mathfrak{so}(6)$  (on  $S^5$ ) to compare to strings.

Charges of the fields:

field	$D_0$	$\mathfrak{so}(3, 1)$	$\mathfrak{so}(6)$
$\Phi$	1	0	1
$\Psi$	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
$\mathcal{D}$	1	1	0

We should consider local operators consisting of many fields. [ Berenstein  
Maldacena  
Nastase ] [ Frolov  
Tseytlin ]

Huge combinatorial problem to

- enumerate all operators which mix,
- evaluate Feynman diagrams (even at tree level),
- resolve mixing.

Some simplification from planar limit.

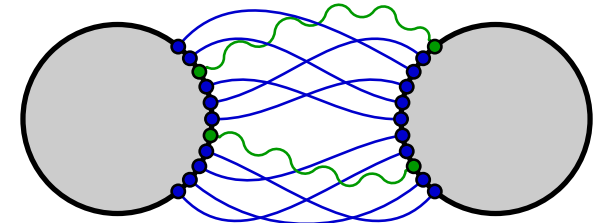
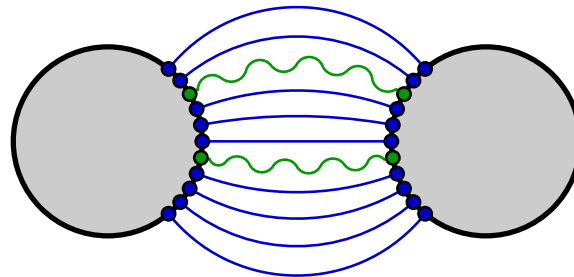
# Loop Expansion, Genus Expansion

't Hooft large- $N$  limit, genus expansion  $1/N^{2h}$  for genus  $h$  diagram.  
Feynman diagrams expanded in  $g$  and  $1/N$ :

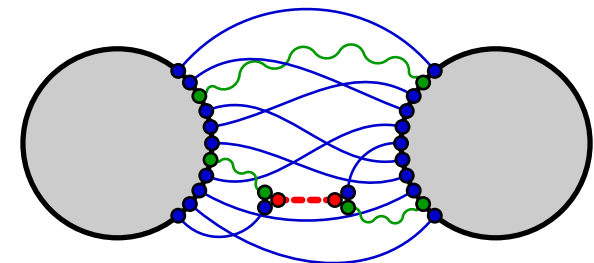
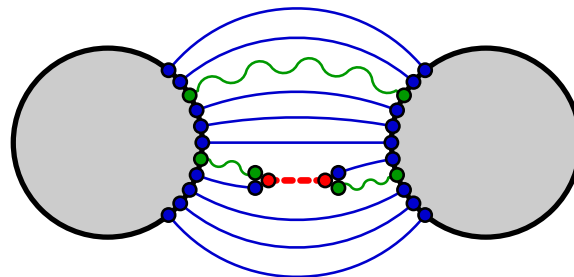
planar  $\mathcal{O}(1/N^0)$   
no crossing lines

non-planar  $\mathcal{O}(1/N^{2h})$   
 $1/N^2$  per crossing

tree level  $\mathcal{O}(g^0)$   
no vertices



higher loop  $\mathcal{O}(g^{2\ell})$   
 $g$  per 3-vertex  
 $g^2$  per 4-vertex



Consider only **planar**  $\mathcal{O}(1/N^0)$  graphs at **arbitrary loop order**  $\mathcal{O}(g^{2\ell})$ .

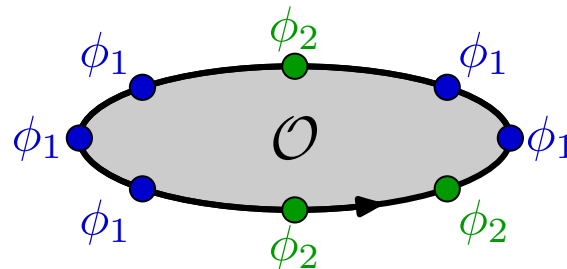
# Spin Chains

Single-trace operator, two complex scalars  $\phi_1, \phi_2$  ( $\mathfrak{su}(2)$  sector)

[Minahan  
Zarembo]

$$\mathcal{O} = \text{Tr } \phi_1 \phi_1 \phi_2 \phi_1 \phi_1 \phi_1 \phi_2 \phi_2$$

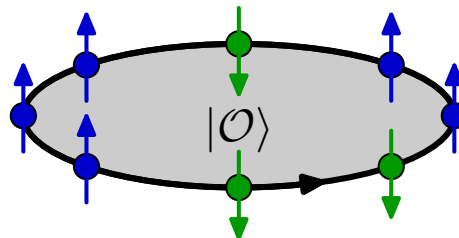
Length  $L$ : # of fields



Identify  $\phi_1 = |\uparrow\rangle$ ,  $\phi_2 = |\downarrow\rangle$

$$|\mathcal{O}\rangle = |\uparrow \uparrow \downarrow \uparrow \uparrow \uparrow \downarrow \downarrow\rangle$$

Length  $L$ : # of sites



Mixing of states  $|\mathcal{O}\rangle = \psi_1 |\mathcal{O}_1\rangle + \psi_2 |\mathcal{O}_2\rangle + \dots$  with equal  $n_\uparrow, n_\downarrow$ .

Multi-trace operators:  $\mathcal{O} = \mathcal{O}_1 \mathcal{O}_2 \dots$  [not important in planar limit].

# Dilatation Generator

Scaling dimensions  $D_{\mathcal{O}}(g)$  as eigenvalues of the dilatation generator  $\mathcal{D}(g)$

$$\mathcal{D}(g) \mathcal{O} = D_{\mathcal{O}}(g) \mathcal{O}.$$

Spin chain picture: Hamiltonian  $\delta\mathcal{D} = g^2\mathcal{H}$  & energies  $\delta D = g^2 E$ .

At **leading order** (one loop): Interactions of **nearest-neighbours**

$$\mathcal{H}_0 = \begin{array}{c} \bullet \quad \bullet \\ \diagdown \quad \diagup \\ \textcircled{\mathcal{D}_2} \\ \diagup \quad \diagdown \\ \bullet \quad \bullet \end{array} = \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \\ | \quad | \quad | \quad | \\ \text{---} \text{---} \text{---} \text{---} \\ \bullet \quad \bullet \quad \bullet \quad \bullet \\ \mathcal{O}(x) \end{array} + \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \\ | \quad \diagdown \quad \diagup \quad | \\ \text{---} \text{---} \text{---} \text{---} \\ \bullet \quad \bullet \quad \bullet \quad \bullet \\ \mathcal{O}(x) \end{array} + \begin{array}{c} \bullet \quad \bullet \quad \bullet \quad \bullet \\ | \quad \text{---} \quad | \quad | \\ \text{---} \text{---} \text{---} \text{---} \\ \bullet \quad \bullet \quad \bullet \quad \bullet \\ \mathcal{O}(x) \end{array} .$$

Regularised action of  $\delta\mathcal{D}$  in  $\mathfrak{su}(2)$  sector: **Heisenberg XXX<sub>1/2</sub> chain** [Minahan Zarembo]

$$\mathcal{H} = \sum_{p=1}^L (\mathcal{I}_{p,p+1} - \mathcal{P}_{p,p+1}) = \sum_{p=1}^L \frac{1}{2} (1 - \vec{\sigma}_p \cdot \vec{\sigma}_{p+1}) .$$

# Higher-Loop Dilatation Generator

Quantum corrections to the dilatation generator:

[<sup>NB</sup>Kristjansen  
Staudacher] [<sup>NB</sup>hep-th/0310252]

$$\mathcal{D}(g) = \mathcal{D}_0 + g^2 \mathcal{D}_2 + g^3 \mathcal{D}_3 + g^4 \mathcal{D}_4 + \dots$$

Interaction with  $I$  in legs &  $O$  out legs is of order  $\mathcal{O}(g^{I+O-2})$ .

$$= \sum_{p=1}^L \dots$$

- Action is homogeneous (along spin chain),
- local (in perturbation theory for sufficiently long chains),
- long-ranged (range grows with order; long-ranged at finite coupling  $g$ )
- dynamic (sites can be created or annihilated).

# Application of Dilatation Generator

Scalars without derivatives:  $\mathfrak{D}_{2(12)} = \mathcal{I}_{(12)} - \mathcal{P}_{(12)} + \frac{1}{2}\mathcal{K}_{(12)}$ .

[Minahan  
Zarembo]

**Example:** Two scalars  $\mathcal{O}_{kl} = \text{Tr } \Phi_k \Phi_l$ :

$$\mathfrak{D}_2 \mathcal{O}_{kl} = 2\mathcal{O}_{kl} - 2\mathcal{O}_{lk} + \delta_{kl} \delta_{mn} \mathcal{O}_{mn} = \delta_{kl} \delta_{mn} \mathcal{O}_{mn}$$

Eigenvalue  $D_2 = 0$ : Eigenstate:  $\mathcal{Q}_{kl} = \mathcal{O}_{kl} - \frac{1}{6} \delta_{mn} \mathcal{O}_{mn}$ ,

Eigenvalue  $D_2 = 6$ : Eigenstate:  $\mathcal{K} = \delta_{mn} \mathcal{O}_{mn}$ .

**Example:** State of  $\mathfrak{su}(2)$  spin chain  $\text{Tr } \phi_1^2 \phi_2^2 + \dots$ :

$$\mathfrak{D}_2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 = +2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 - 2 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2,$$

$$\mathfrak{D}_2 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2 = -4 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 + 4 \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2.$$

Eigenvalue  $D_2 = 0$ : Eigenstate:  $\mathcal{O} = 2 \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 + \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2$ ,

Eigenvalue  $D_2 = 6$ : Eigenstate:  $\mathcal{O} = \text{Tr } \phi_1 \phi_1 \phi_2 \phi_2 - \text{Tr } \phi_1 \phi_2 \phi_1 \phi_2$ .

# Duality to Strings

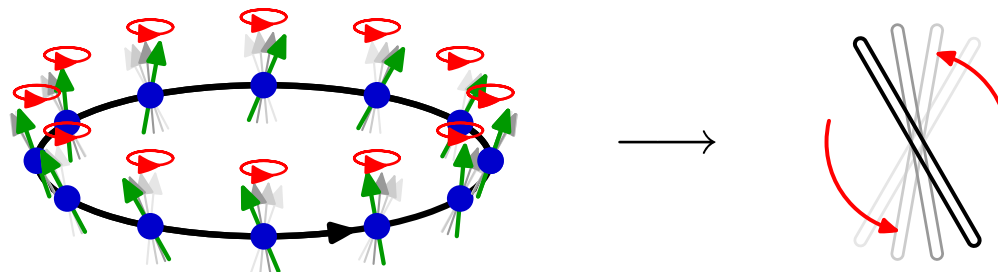
Duality to classical strings in thermodynamic limit:

[ Frolov, Tseytlin ] [ NB, Minahan ]  
[ hep-th/0304255 ] [ Staudacher ]  
[ Zarembo ]

- Number of spin sites  $L \rightarrow \infty$ .
- Number of spin flips  $K \rightarrow \infty$ .
- Ratio  $K/L$  fixed.
- Coherent spins.

Coherent spins for states  $|\uparrow\rangle, |\downarrow\rangle$  specified by points on  $S^3$

[ Kruczenski ]  
[ hep-th/0311203 ]



Thermodynamic limit: Spinning string on  $S^3$ .

Effective theory in thermodynamic limit: Landau-Lifshitz sigma model.

Reliable & exact description: Integrability & Bethe equations.

# Summary Gauge Theory

Spectrum via **two-point functions**

- Hard combinatorics.
- Loop expansion tedious.

Spectrum via **dilatation generator/spin chain Hamiltonian**

- Combinatorics improved, but still hard for long operators.
- Loop expansion improved, but can be constructed to some extent.

Spectrum via **effective Hamiltonians** from Landau-Lifshitz model

- No more combinatorics, but only approximate results for long operators.
- Loop expansion simpler, but needs input.

Situation improved by **integrability**:

- **Bethe equations** to replace combinatorics.
- Loop expansion trivial. Bethe equations for finite coupling may exist.

Details in **Lectures III & IV**.



# Outlook: Bethe Equations

# Spin Flips as Excitations

Identify  $\phi_1 = |\downarrow\rangle$ ,  $\phi_2 = |\uparrow\rangle$ . Vacuum state:

[Bethe, Z. Phys. A71, 205 (1931)]

$$|0\rangle = |\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\downarrow\rangle.$$

A spin flip as a particle (momentum  $p$  or rapidity  $u$ ):

$$|p\rangle = \sum_k e^{ipk} |\downarrow\downarrow\cdots\overset{k}{\downarrow}\uparrow\cdots\downarrow\downarrow\rangle.$$

Dispersion relation

$$\mathcal{H}|p\rangle = e(p)|p\rangle.$$

Additive energy (anomalous dimension)

$$\mathcal{H}|p_1, \dots, p_K\rangle = E|p_1, \dots, p_K\rangle, \quad E = \sum_{j=1}^K e(p_j)$$

# Asymptotic Bethe Equations in a Sector

Higher-loop Bethe equations for sector of  $\{\phi_1, \phi_2\}$ .

[NB, Dippel] [Staudacher] [Staudacher] [hep-th/0412188]

$$1 = \frac{x(u_k - \frac{i}{2})^L}{x(u_k + \frac{i}{2})^L} \prod_{\substack{j=1 \\ j \neq k}}^K \frac{u_k - u_j + i}{u_k - u_j - i}, \quad x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}.$$

Momentum constraint (cyclity of trace) and higher-loop scaling dimension:

$$\prod_{j=1}^K \frac{x(u_j - \frac{i}{2})}{x(u_j + \frac{i}{2})} = 1, \quad D = L + g^2 \sum_{j=1}^K \left( \frac{i}{x(u_j + \frac{i}{2})} - \frac{i}{x(u_j - \frac{i}{2})} \right).$$

**Homework:** Check that the equations for  $L = 4$ ,  $K = 2$  are solved by

$$u_{1,2} = \pm \frac{1}{\sqrt{12}} (1 + 4g^2 - 5g^4 + \dots), \quad D = 4 + 6g^2 - 12g^4 + 42g^6 + \dots$$

# Thermodynamic Limit of Bethe equations

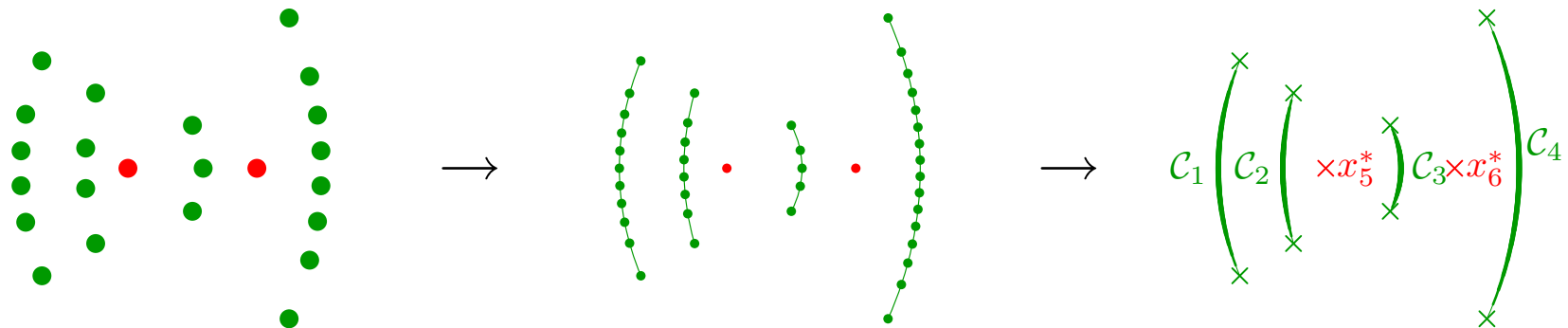
Make contact to strings when:

- Number of spin sites  $L \rightarrow \infty$ .
- Number of spin flips  $K \rightarrow \infty$ .
- Ratio  $K/L$  fixed.
- Coherent spins.

[ Frolov, Tseytlin ] [ NB, Minahan ]  
 [ hep-th/0304255 ] [ Staudacher ]  
 [ Zarembo ]

Rapidities distribute along lines in complex plane

[ Sutherland ] [ NB, Minahan ]  
 [ PRL 74,816 ] [ Staudacher ]  
 [ Zarembo ]



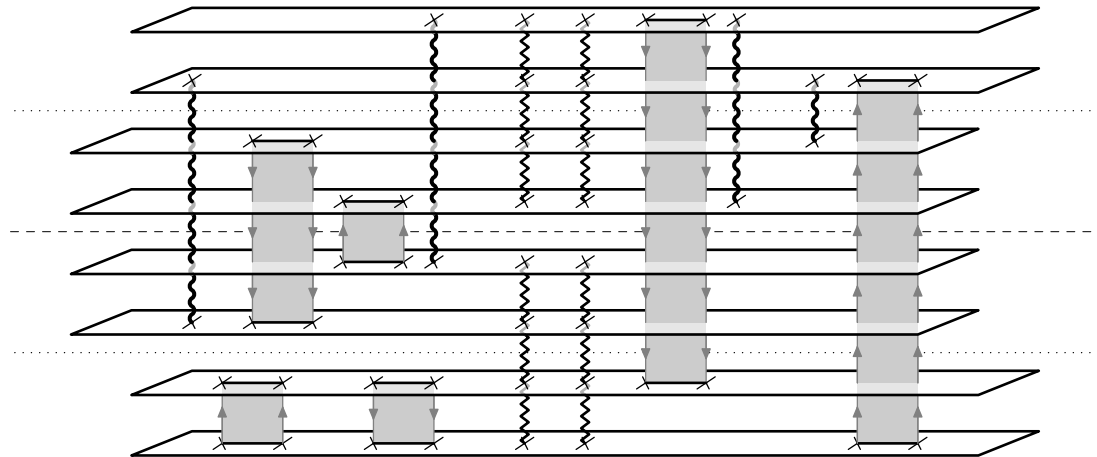
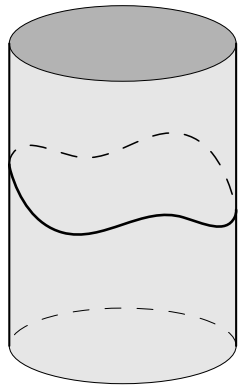
Condensation of roots into branch cuts.

# Outlook: Spectral Curves

# Spectral Transformation

From embedding of world-sheet to spectral curve

[Kazakov, Marshakov]  
[Minahan, Zarembo]



Spectral curve encodes **conserved charges** of a string solution.

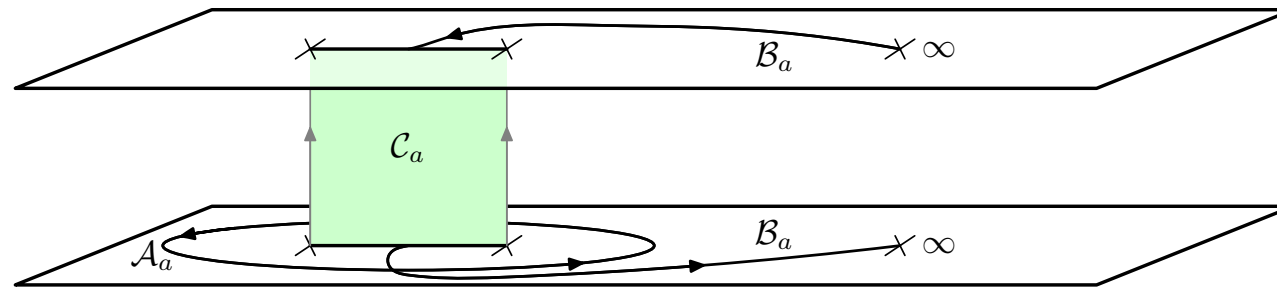
Can read off **Noether charges** (spins & energy) from curve.

Study spectral curves to study the spectrum of classical strings.

# Cycles and Modes

Branch cuts: “mode number”  $n_a \in \mathbb{Z}$  and “amplitude”  $K_a \in \mathbb{R}$

$$\oint_{\mathcal{A}_a} dp = 0, \quad n_a = \frac{1}{2\pi} \int_{\mathcal{B}_a} dp, \quad K_a = -\frac{1}{2\pi i} \oint_{\mathcal{A}_a} \left(1 - \frac{1}{x^2}\right) p(x) dx.$$

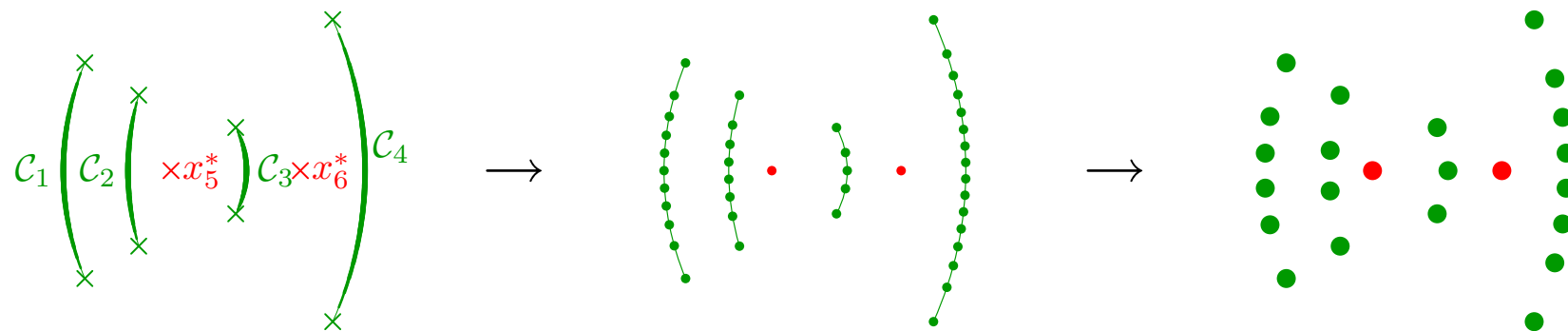


To construct the curve for any solution:

- Make a generic ansatz with a branch cut for each excited string mode.
- Fix the mode number on each cut.
- Fix the amplitude for each cut.
- Read off charges and energy.

# Quantisation/Discretisation

In quantum theory: Branch cuts/poles discretise into a set of Bethe roots



Some educated guesses for Bethe equations exist.

[ Arutyunov  
Frolov  
Staudacher ]



# Complete Asymptotic Bethe Equations

# Asymptotic Bethe Equations for $\mathcal{N} = 4$ SYM

Asymptotic Bethe equations derived from S-matrix. [\[NB, Staudacher\]](#) [\[hep-th/0504190\]](#) [\[hep-th/0511082\]](#)

coupling constant

$$g^2 = \frac{\lambda}{8\pi^2}$$

transformation between  $u$  and  $x$

$$x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}, \quad u(x) = x + \frac{g^2}{2x}$$

$x^\pm$  parameters

$$x^\pm = x(u \pm \frac{i}{2})$$

spin chain momentum

$$e^{ip} = \frac{x^+}{x^-}$$

local charges

$$q_r(x) = \frac{1}{r-1} \left( \frac{i}{(x^+)^{r-1}} - \frac{i}{(x^-)^{r-1}} \right), \quad Q_r = \sum_{j=1}^{K_4} q_r(x_{4,j})$$

anomalous dimension

$$\delta D = g^2 Q_2 = g^2 \sum_{j=1}^{K_4} \left( \frac{i}{x_{4,j}^+} - \frac{i}{x_{4,j}^-} \right)$$

Bethe equations

$$1 = \prod_{j=1}^{K_4} \frac{x_{4,j}^+}{x_{4,j}^-}$$

$$1 = \prod_{\substack{j=1 \\ j \neq k}}^{K_2} \frac{u_{2,k} - u_{2,j} - i}{u_{2,k} - u_{2,j} + i} \prod_{j=1}^{K_3} \frac{u_{2,k} - u_{3,j} + \frac{i}{2}}{u_{2,k} - u_{3,j} - \frac{i}{2}}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{3,k} - u_{2,j} + \frac{i}{2}}{u_{3,k} - u_{2,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{3,k} - x_{4,j}^+}{x_{3,k} - x_{4,j}^-}$$

$$1 = \left( \frac{x_{4,k}^-}{x_{4,k}^+} \right)^{K_0} \prod_{\substack{j=1 \\ j \neq k}}^{K_4} \left( \frac{u_{4,k} - u_{4,j} + i}{u_{4,k} - u_{4,j} - i} \sigma^2(x_{4,k}, x_{4,j}) \right) \prod_{j=1}^{K_3} \frac{x_{4,k}^- - x_{3,j}}{x_{4,k}^+ - x_{3,j}} \prod_{j=1}^{K_5} \frac{x_{4,k}^- - x_{5,j}}{x_{4,k}^+ - x_{5,j}}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{5,k} - u_{6,j} + \frac{i}{2}}{u_{5,k} - u_{6,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{5,k} - x_{4,j}^+}{x_{5,k} - x_{4,j}^-}$$

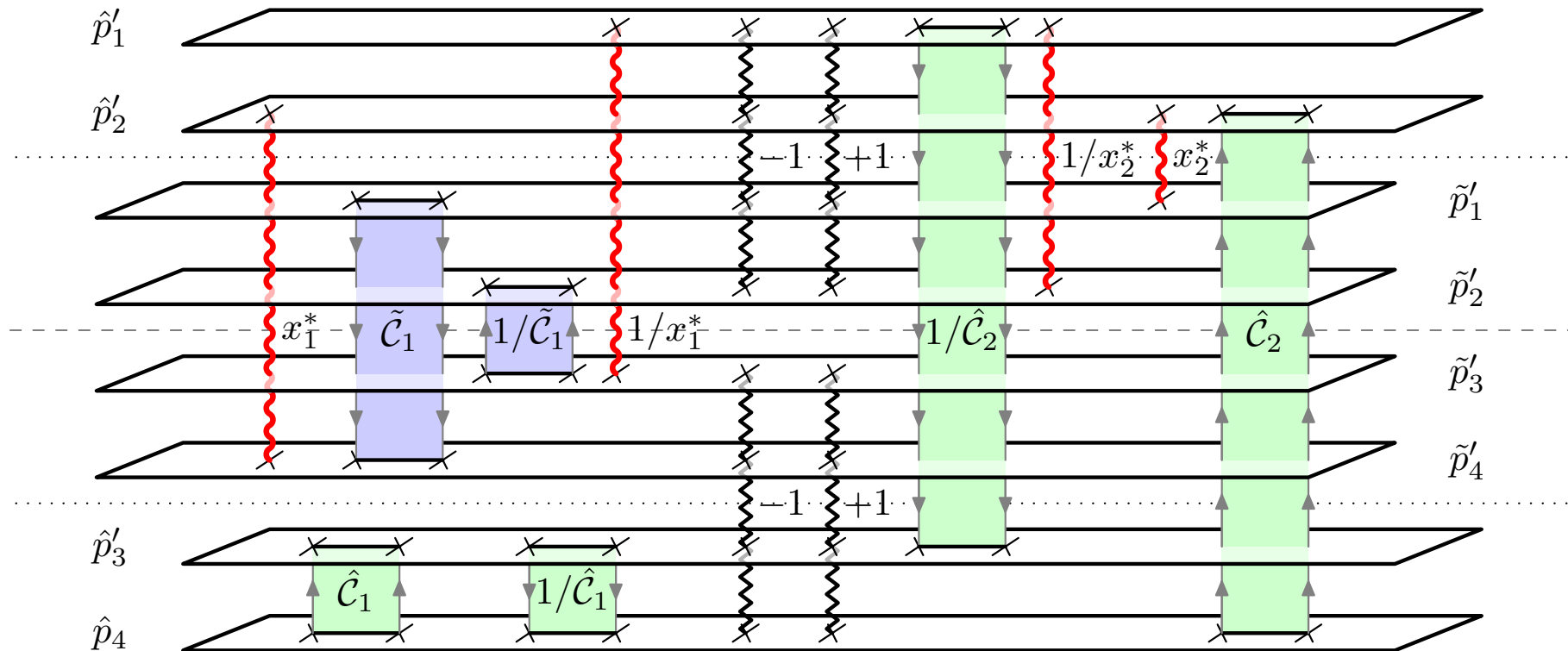
$$1 = \prod_{\substack{j=1 \\ j \neq k}}^{K_6} \frac{u_{6,k} - u_{6,j} - i}{u_{6,k} - u_{6,j} + i} \prod_{j=1}^{K_5} \frac{u_{6,k} - u_{5,j} + \frac{i}{2}}{u_{6,k} - u_{5,j} - \frac{i}{2}}$$

some free parameters in  $\sigma(x_1, x_2)$ , for  $\mathcal{N} = 4$  SYM:  $\sigma = 1$

Should work **asymptotically to  $\mathcal{O}(g^{2K_0})$** . **Tested at three loops.**

Much better than by field theory and Feynman diagrams.

# Complete Algebraic Curve



- $p'(z)$  is a curve of degree  $4 + 4$ .
- Bosonic modes: Square roots, branch cuts (Bose condensates).
- Fermionic excitations: Poles (Pauli principle).
- Branch cuts/poles have associated mode number  $n$  (position in  $\mathbb{C}$ ).
- Branch cuts have associated amplitude (length).

[ NB, Kazakov  
Sakai, Zarembo ]

# Bethe Equations for Quantum Strings

## Conjectured Bethe equations for quantum strings

[ Arutyunov  
Frolov  
Staudacher ] [ NB, Staudacher  
hep-th/0504190 ]

coupling constant

$$g^2 = \frac{\lambda}{8\pi^2}$$

transformation between  $u$  and  $x$

$$x(u) = \frac{1}{2}u + \frac{1}{2}u\sqrt{1 - 2g^2/u^2}, \quad u(x) = x + \frac{g^2}{2x}$$

$x^\pm$  parameters

$$x^\pm = x(u \pm \frac{i}{2})$$

spin chain momentum

$$e^{ip} = \frac{x^+}{x^-}$$

local charges

$$q_r(x) = \frac{1}{r-1} \left( \frac{i}{(x^+)^{r-1}} - \frac{i}{(x^-)^{r-1}} \right), \quad Q_r = \sum_{j=1}^{K_4} q_r(x_{4,j})$$

anomalous dimension

$$\delta D = g^2 Q_2 = g^2 \sum_{j=1}^{K_4} \left( \frac{i}{x_{4,j}^+} - \frac{i}{x_{4,j}^-} \right)$$

## Various $\mathcal{O}(1/L)$ tests

[ NB, Tseytlin  
Zarembo ] [ Hernández, López  
Periáñez, Sierra ] [ NB, Freyhult  
hep-th/0506243 ] [ Schäfer-Nameki  
Zamaklar, Zarembo ] [ NB, Tseytlin  
hep-th/0509084 ]

Bethe equations

$$1 = \prod_{j=1}^{K_4} \frac{x_{4,j}^+}{x_{4,j}^-}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{2,k} - u_{2,j} - i}{u_{2,k} - u_{2,j} + i} \prod_{j=1}^{K_3} \frac{u_{2,k} - u_{3,j} + \frac{i}{2}}{u_{2,k} - u_{3,j} - \frac{i}{2}}$$

$$1 = \prod_{j=1}^{K_2} \frac{u_{3,k} - u_{2,j} + \frac{i}{2}}{u_{3,k} - u_{2,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{3,k} - x_{4,j}^+}{x_{3,k} - x_{4,j}^-}$$

$$1 = \left( \frac{x_{4,k}^-}{x_{4,k}^+} \right)^{K_0} \prod_{j=1}^{K_4} \left( \frac{u_{4,k} - u_{4,j} + i}{u_{4,k} - u_{4,j} - i} \sigma^2(x_{4,k}, x_{4,j}) \right) \prod_{j=1}^{K_3} \frac{x_{4,k}^- - x_{3,j}}{x_{4,k}^+ - x_{3,j}} \prod_{j=1}^{K_5} \frac{x_{4,k}^- - x_{5,j}}{x_{4,k}^+ - x_{5,j}}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{5,k} - u_{6,j} + \frac{i}{2}}{u_{5,k} - u_{6,j} - \frac{i}{2}} \prod_{j=1}^{K_4} \frac{x_{5,k} - x_{4,j}^+}{x_{5,k} - x_{4,j}^-}$$

$$1 = \prod_{j=1}^{K_6} \frac{u_{6,k} - u_{6,j} - i}{u_{6,k} - u_{6,j} + i} \prod_{j=1}^{K_5} \frac{u_{6,k} - u_{5,j} + \frac{i}{2}}{u_{6,k} - u_{5,j} - \frac{i}{2}}$$

function  $\sigma(x_1, x_2)$  for quantum strings, coefficients:  $c_{r,s} = \delta_{r+1,s} + \mathcal{O}(1/g)$

$$\sigma(x_1, x_2) = \exp \left( i \sum_{r < s=2}^{\infty} \left( \frac{1}{2}g^2 \right)^{(r+s-1)/2} c_{r,s}(g) (q_r(x_1) q_s(x_2) - q_r(x_2) q_s(x_1)) \right)$$