

 **Multilayers and microscopy optics**

μXFA beamline and multilayer laboratory


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<http://www.elettra.trieste.it/experiments/beamlines/microfluo/index.html>

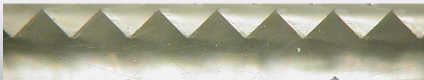

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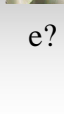
 **X-ray microscopy**

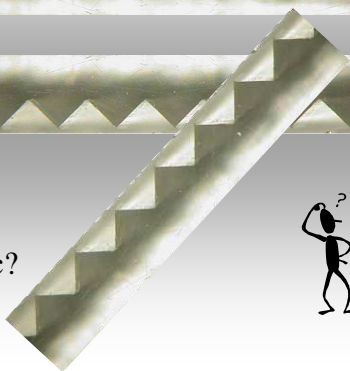
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X-ray microscopy and simple combs?

X-rays

a?  d? 

b?  e? 

c? 

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Structure of lecture

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- possible objectives for x-ray microscopy
- ultimate resolution limit
(more in two weeks: applications)

Which phenomenon cause - reflection


- diffraction
- refraction
- combinations

W.C. Roentgen: There are no refractive lenses for x-rays!

Note: This presentation is neither historically correct as a whole nor complete for these single items. It is limited to (often newer) experiments, which can explain the concepts in the most instructive way.

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Why microscopy with SR?

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A) use unconventional contrast mechanisms


- absorption edges (e.g. in water window)
- dichroic response (helicity)
- others, hopefully you invent them at this school

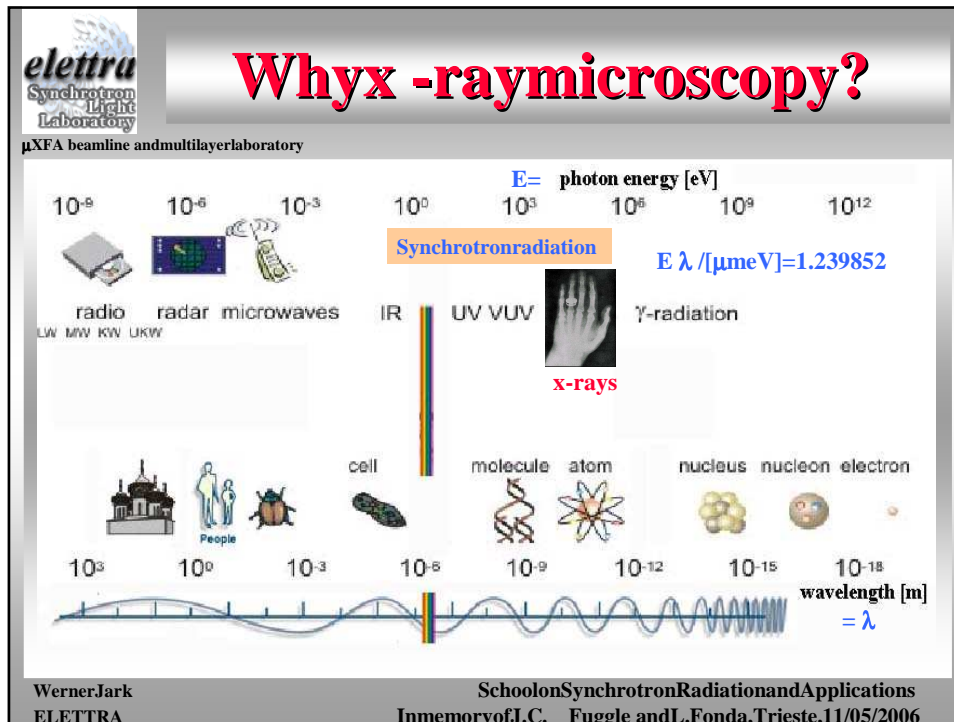
B) invisible: spatial resolution \approx light wavelength

will shorter x-rays reveal the yet unseen atoms?

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Why x-ray microscopy?

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Very lively discussion just started:


What is the ultimately possible spatial resolution?

Please note:

we are here concerned with the smallest size into which we can confine x-rays!

X-ray crystallography instead reveals structures with very high spatial resolution, however, only of crystalline samples. "Lensless" or coherent x-ray diffraction imaging of intrinsically non-crystalline objects promises high resolution (see elsewhere).

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Microscope objectives for visible

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A) Magnifying lens

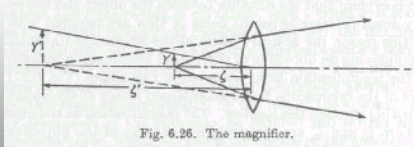


Fig. 6.26. The magnifier.

C) Schwarzschild objective

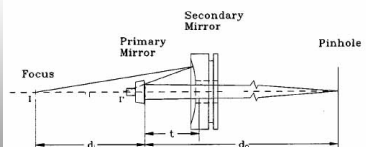


FIG. 1. SO geometry and image formation.

B) Microscope

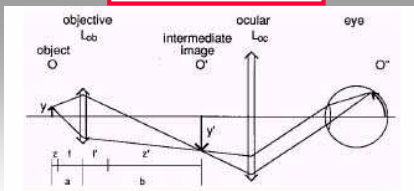


FIGURE 3 Ray path in the microscope from object to observer's eye (see text).

D) numerical aperture

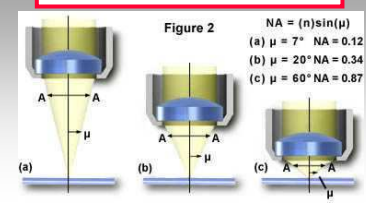



Figure 2 $NA = (n)\sin(\mu)$
 (a) $\mu = 7^\circ$ $NA = 0.12$
 (b) $\mu = 20^\circ$ $NA = 0.34$
 (c) $\mu = 60^\circ$ $NA = 0.87$

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Similarities

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The resolution of a microscope is given by

$R = \lambda / (2NA)$ $NA = \text{numerical aperture}$

Note: depth of focus $\Delta f = R / (2NA) = \lambda / (2NA)^2$

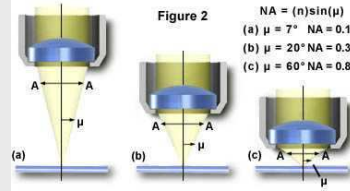



Figure 2 $NA = (n)\sin(\mu)$
 (a) $\mu = 7^\circ$ $NA = 0.12$
 (b) $\mu = 20^\circ$ $NA = 0.34$
 (c) $\mu = 60^\circ$ $NA = 0.87$

MAXIMIZE in any case NA


with refraction, reflection or diffraction

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Characteristic of x-rays



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- wavelength: ca. $\lambda = 0.06 \text{ nm}$ ($E = 20 \text{ keV}$)
- negligible absorption in air
- large variation of absorption in material
- reflected with surface mirror only at grazing incidence Φ
(rule of thumb for Pt coating: $E^* \Phi / [\text{keV} \cdot \text{mrad}] = 80$)
- reflected/monochromatised at lattice planes of highly regular crystal structures

Softer x-rays with $0.6 \text{ nm} < \lambda < 30 \text{ nm}$ ($40 \text{ eV} < E < 2 \text{ keV}$)


- significant absorption in air (vacuum required) and material
- mirrors for reflection
gratings for monochromatisation

What about the comb?

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Some basic properties



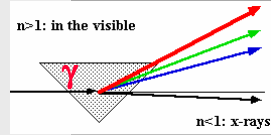
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- refractive index $n = 1 - \delta + i\beta$,
where $i = \sqrt{-1}$ and $\delta, \beta \ll 1$

$r_e = 2.810 \cdot 10^{-13} \text{ m}$, $N_e = \text{no. atoms/unit volume}$
 $f_1 = \text{tabulated atomic scattering factor (e.g. www-cxro.lbl.gov)}$

$$\delta = \frac{r_e \lambda^2 N_e f_1}{2\pi}$$


- in x-ray range: $\delta \propto \lambda^2$ $\beta \propto \lambda^4$
- total reflection angle: $\Phi = \sqrt{2\delta}$
- deflection in a prism: $\Delta = -2\delta / \tan(\gamma)$
- phase retardation: $\Delta\psi = 2\pi l \delta / \lambda \propto \lambda$
- absorption: $\mu \propto \lambda^3$



e.g. for Plexiglass at $\lambda = 0.154 \text{ nm}$ (8.05 keV): $\delta = 4.210 \cdot 10^{-6}$ $1/\mu \approx 1.3 \text{ mm}$
then $\Phi = 2.9 \text{ mrad}$ (0.167°) $\Delta(\gamma = 45^\circ) = -8.4 \mu\text{rad}$ ($1.7''$)
 $l = 36.67 \mu\text{m}$ provide 2π phase shift


What now about the comb?

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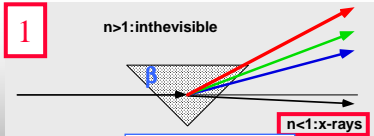


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Combx-raylens:Do -It-Yourself!



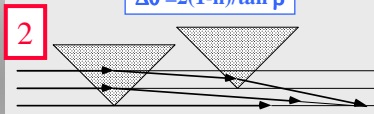
1 $n > 1$: in the visible



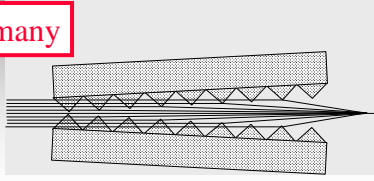
$n < 1$: x-rays

$\Delta\theta = 2(1-n)/\tan\beta$

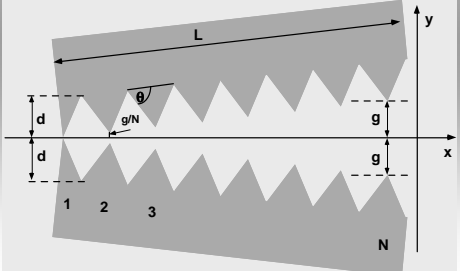
2



many




B.Cederström, R.N.Cahn, M. Danielsson, M.Lundqvist, D.R. Nygren:
[Focusing x-rays with LP's](#)
Nature 404, 951 (2000)



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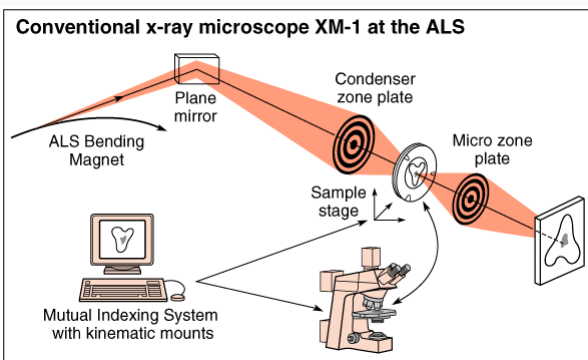
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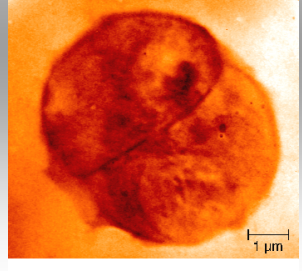
X-ray microscope schemes

Conventional x-ray microscope XM-1 at the ALS



Instrumentation operated by Center for X-ray Optics at ALS, Berkeley, CA (USA)


Fullfield Microscope



90107003 XBO 9802-00404 B1M

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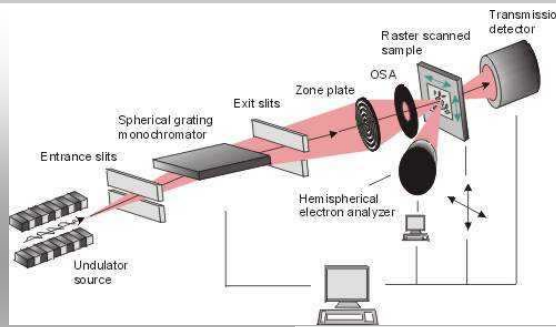
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X-ray microscopes schemes

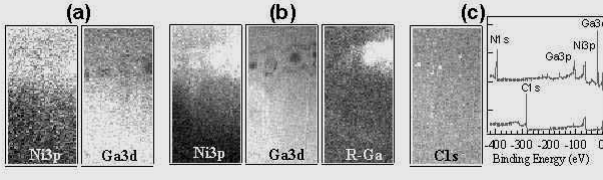
Scanning Microscope

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Ni₃P and Ga₃D images (25x50 μm²) taken at (a) 25°C and (b) after annealing to 300°C, the R-Ga image in (b) manifests lateral variations in the interfacial reaction (c) C1s image and entire spectrum measured in the C-rich spots (bottom) and in the non-defect region (top)

ESCA-microscopy beamline operated at ELETTRA



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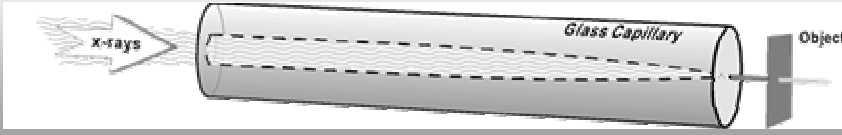
Spatial resolution

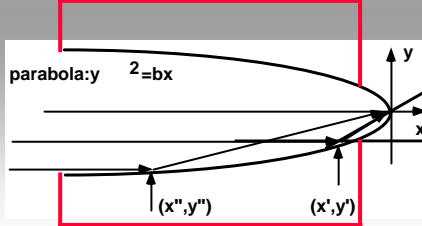
ultimate limit
experimental limit

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Reflection

SINGLE BOUNCE elliptical/parabolic capillary





Achromatic objective


$\Theta = 2 \Phi_{crit} = 2 \sqrt{2 \delta} = NA$

$R_{ult} = \lambda / (4 \sqrt{2 \delta})$

recall: $\delta \approx a \lambda^2$

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Spatial resolution

ultimatelimit

experimentallimit

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Yes: $R=f(Z)$
 $R \approx 4\text{nm}$ for Pt ($Z=78$)


$R_{\text{exp}} \approx 10.000\text{nm}$ (2D)

figure error limited
(Bilderback, CHESS)

no successive figure
correction possible

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Reflection

Beam compression in multibounce capillary

SCIENCE • VOL. 263 • 14 JANUARY 1994
 Fig. 1. Profile of the inner diameter (ID) of a capillary measured with an optical microscope. The entering ID is 22 μm and the exit ID is 3 μm. The calculated trajectories of two rays from a parallel x-ray beam are shown. Ray 1 undergoes 12 successive bounces with a net throughput of 57%, as calculated by a two-dimensional ray-tracing program that includes the x-ray reflectance for each bounce. Ray 2 undergoes 11 reflections with a net throughput of 61%. The average reflectivity per bounce exceeds 95%, and the total deflection angles are 2.3 and 2.2 mrad, respectively.
 Donald H. Bilderback, 201


Yes: $R \approx 10\text{nm}$ for glass
 $R \approx 4\text{nm}$ for Pt

**$R_{\text{exp}} \approx 50\text{nm}$ (2D),
but inefficient**

good efficiency for
 $R_{\text{exp}} \approx 5.000\text{nm}$ (2D)
(commercially available)

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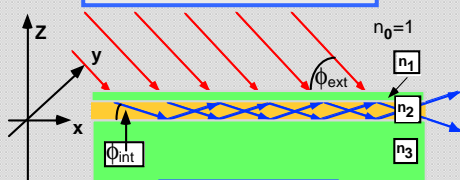
Spatial resolution

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ultimatelimit
experimentallimit

Channelled radiation

X-ray waveguides



chromatic

Fwhm beamsize at exit is $D/2$.
Beam to be reflected internally
 $\phi_{int} > \phi_{crit}$
creates standing wave
 $D = \lambda / 2 \sin \phi_{int}$


Snell's law:
 $\phi_{ext} = \sqrt{\phi_{int}^2 + \phi_{crit}^2}$

for $\delta_2 \ll \delta_3$:
 $D_{min} = \lambda / (2 \sqrt{2 \delta_3})$
 $R_{ult} = \lambda / (4 \sqrt{2 \delta})$
seen before

Bergemann et al, PRL 2003):
There is a natural limit for
channeled radiation!
e.g. waveguides, tapered
capillaries, long slits

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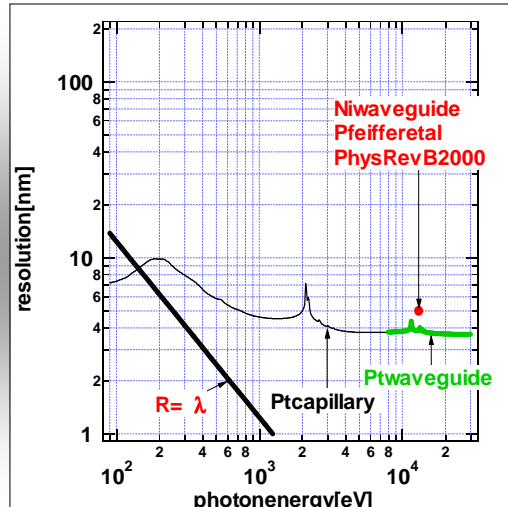
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Spatial resolution

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ultimatelimit
experimentallimit



$D_{exp} = 10 \text{ nm (1D)}$,
but inefficient

$D_{exp} = 70 \text{ nm (R=35nm)}$
is very efficient (1D)
(Jark et al, APL 2001)

2-dim. version is tested
 $R = 35 \text{ nm} \times 65 \text{ nm}$
(Pfeiffer et al, Science 2002)

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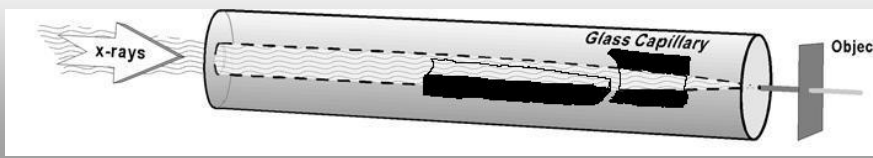
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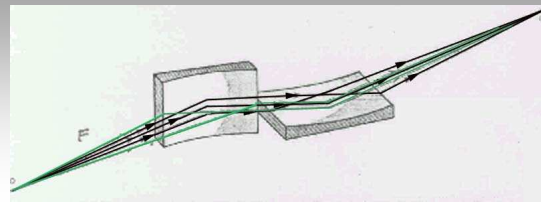
Spatial resolution ultimatelimit
experimentallimit

Backtomirrors

Reflection Cutcapillaryinpieces,accesssurfaces



Crossedmirrorpair(Kirkpatrick-Baezsystem)



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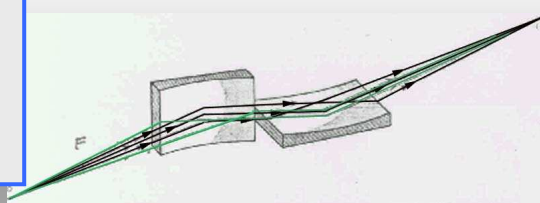
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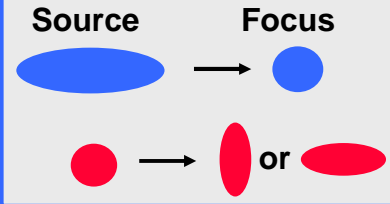
Spatial resolution ultimatelimit
experimentallimit

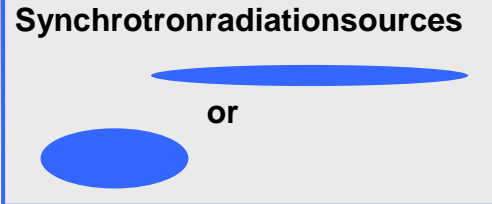
Backtomirrors

Reflection Crossedmirrorpair(Kirkpatrick-Baezsystem)


Achromaticsystem
 horizontalandvertical
 focusingareseparated:
 "astigmatic" system



Source **Focus**


Synchrotronradiationsources


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Spatial resolution

ultimate limit
 experimental limit

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Rule of thumb for crossed mirror pair (Kirkpatrick-Baez system):

Mirror length \approx focal length (details in appendix)


then

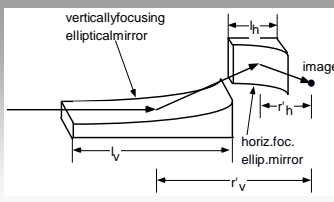
NA \approx 0.4 Φ_{crit} and in practise $R_{ult} = 5 R_{ult, cap}$

Source




Focus





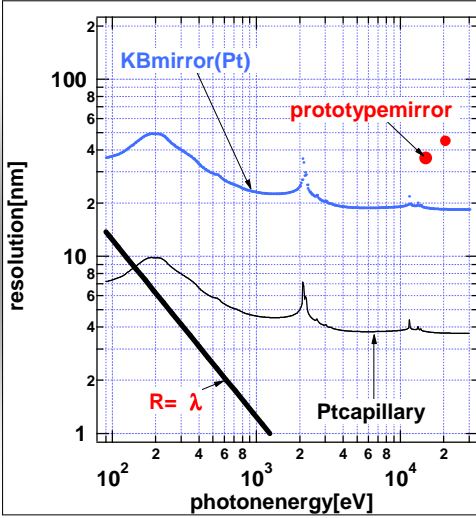
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Spatial resolution

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In practise

$R_{ult} \approx 20 \text{ nm for Pt}$


$R_{exp} = 36 \times 48 \text{ nm @ 15 keV}$
(H. Yumoto, RSI 2005)

figure error limited

Can we increase the angles of incidence?

Yes, in
Multilayer mirrors

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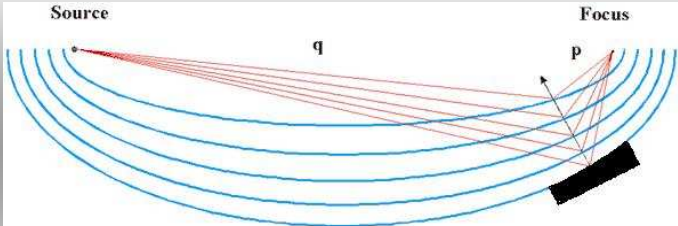


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Multilayercoatings

Reflect the beam at many partly transparent interfaces!
Then we have an artificial crystal with free choice of d.




Commonly known also as Goebel mirrors

see.g. www.bruker-axs.de/products/gd/goebel_mirrors.php

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Field propagation into thin films

Can be calculated

Solution of Parratt (Phys. Rev. 95, 359 (1954))

$E_{\text{avg}} = \text{const}$ at interface

$a_j = \text{amplitude factor}$ $a_j = \exp\left(-i\pi \frac{g_j d_j}{\lambda}\right)$

$d_j = \text{thickness}$, $\lambda = \text{wavelength}$,

$g_j = \tilde{n}_j \sin \theta_j$ $\tilde{\epsilon}_j = \tilde{n}_j^2$ $\tilde{n}_j = 1 - \delta + i\beta$

$$a_j E_j + a_j^{-1} E_j^R = a_{j+1}^{-1} E_{j+1} + a_{j+1} E_{j+1}^R$$

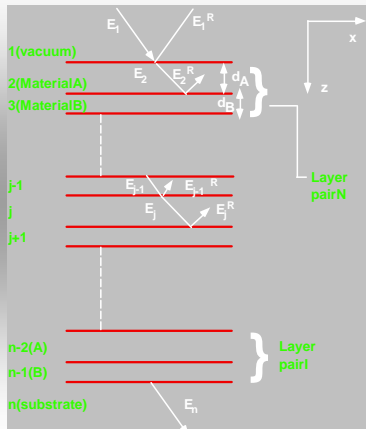
$$g_j (a_j E_j - a_j^{-1} E_j^R) = g_{j+1} (a_{j+1} E_{j+1} - a_{j+1} E_{j+1}^R)$$

Solution => Recursion equation

$$R_{j,j+1} = a_j \left[\frac{R_{j+1,j+2} + J_{j,j+1}}{R_{j+1,j+2} J_{j,j+1} + 1} \right] \quad R = \frac{J}{I_0} = |R_{21}|^2$$

$$R_{j,j+1} = a_j \frac{E_j^R}{E_j} \quad J_{j,j+1}(s-pol) = \frac{E_j^R}{E_j} = \frac{g_j - g_{j+1}}{g_j + g_{j+1}}$$

$$J_{j,j+1}(p-pol) = \frac{g_j / \tilde{n}_j^2 - g_{j+1} / \tilde{n}_{j+1}^2}{g_j / \tilde{n}_j^2 + g_{j+1} / \tilde{n}_{j+1}^2}$$



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Field propagation into thin films

Don't be afraid of the programming

The job is already done by the Center for X-ray Optics
 at LBNL at Berkeley, CA (USA):
 look under
<http://www-cxro.lbl.gov/optical-constant>
<http://www-cxro.lbl.gov/optical-constant/multi2.html>

If you want to analyse data, ask the ESRF for the XOP
 software package, which contains the program
IMD of David Windt

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ultimate limit
 experimental limit


Spatial resolution

Add multilayer coating
 (now is CHROMATIC)
 still $NA \approx 0.4 \Phi$
 but $\lambda = 2d \sin(\Phi)$:
 $R = 2.5d$
 $d \geq 3 \text{ nm}$ is efficient

$R_{\text{exp}} \approx 45 \mu\text{m}$ (1D)
 (Hignette, ESRF, 2005)

figure error limited

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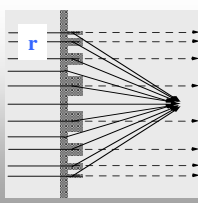
Spatial resolution

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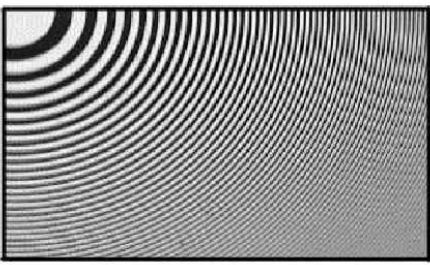
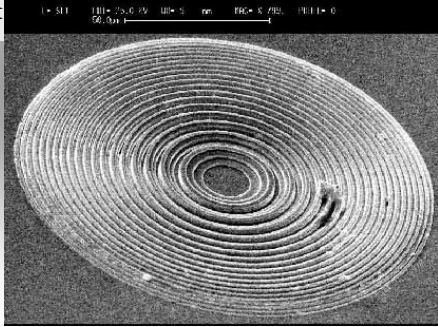
Diffraction

Highly CHROMATIC




Fresnel zoneplates
see Kirz, Schmahl

Zone-plates produced at INFN-TASC laboratory at ELETTRA

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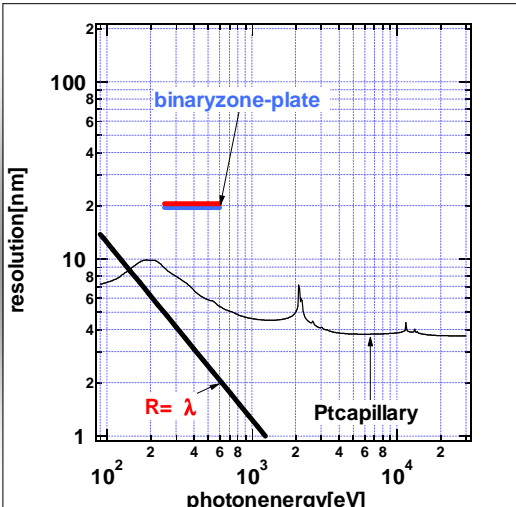
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In memory of J.C. Fuggle and L. Fonda, Trieste, 11/05/2006



Spatial resolution

ultimate limit
experimental limit

μXFA beamline and multilayer laboratory



For binary zoneplates (opaque in soft x-rays)

router most period


$R = r/2$

$R_{exp} \approx 20\text{nm} @ 2\text{nm} (2D)$
(CXRO, Berkeley, 2005)

linewidth limit $\approx 20\text{nm}$
 lithographic processes

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Spatial resolution

ultimate limit
experimental limit

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Rings (thickness) become transparent for x-rays.
Need phase zone plates:

rings retard by π

$t = \lambda / (2\delta)$ and for circular ZP

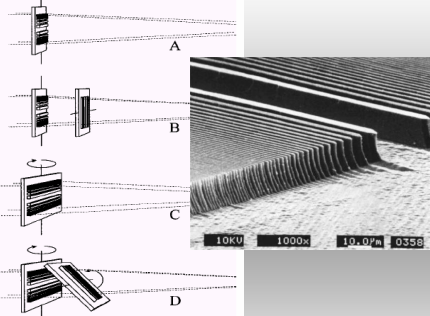
$r_{min} = t/8$ $R_{prac} = r/2 = \lambda / (32\delta)$

$R_{exp} \approx 90 \text{ nm}$ for $r = 206 \text{ nm}$

$t \approx 4.4r$ @ $\lambda = 0.154 \text{ nm}$

(Yun et al, RSI 1999) (2D)

C. David et al, APL 2001




$r_{min} \approx t/50$ but $r_{min} > 40 \text{ nm}$

$R_{prac} = \lambda / (200\delta) > 20 \text{ nm}$

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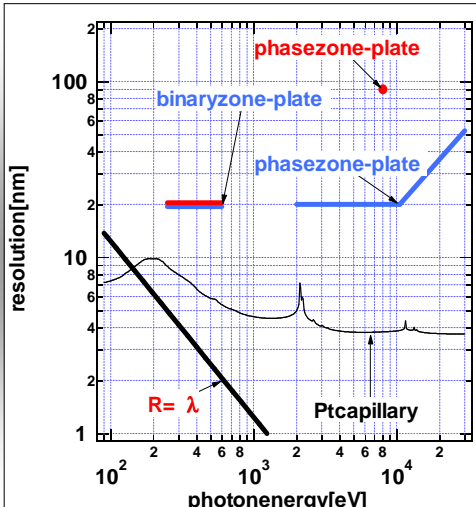
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Spatial resolution

ultimate limit
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μXFA beamline and multilayer laboratory




Phase zone plates have better efficiency than binary zone-plates

out-of-phase zone plates could produce smaller focii above 10 keV with reduced efficiency

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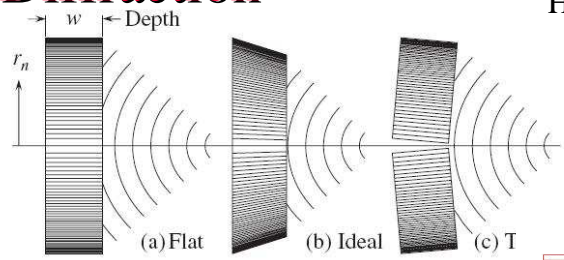
Spatial resolution

ultimate limit

experimental limit

μXFA beamline and multilayer laboratory

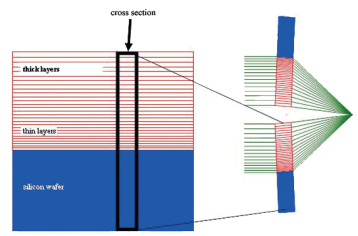
Diffraction



Multilayer Laue lens
H.C. Kangetal, PRL 2006


Ideally beam is reflected at interface to focus

Multilayer deposition starting with thinnest layer (d=10nm) for a total of 12.4 μm



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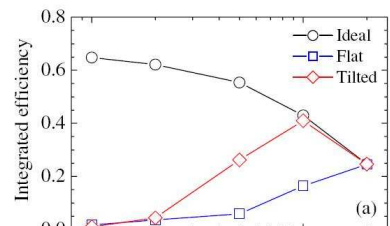


Spatial resolution

ultimate limit

experimental limit

μXFA beamline and multilayer laboratory



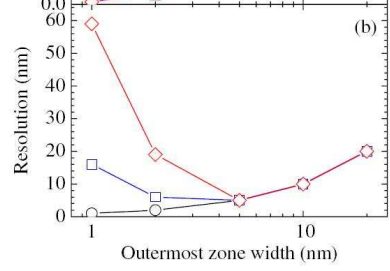
(a)

For 19.5keV photon energy

Flat: phase zone plate

Ideal: tapered interfaces

Tilted: parallel interfaces



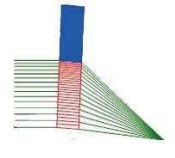
(b)

$R_{ult, tilted} = 5nm$

$R_{ult, ideal} = 1nm$

$R_{exp} = 30nm (1D)$

Experiment at half lens
H.C. Kangetal, PRL 2006



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