



The Abdus Salam  
International Centre for Theoretical Physics



SMR/1758-5

**"Workshop on Ion Beam Studies of Nanomaterials:  
Synthesis, Modification and Characterization"**

26 June - 1 July 2006

**Using energetic ion beams to  
modify properties of carbon nanotubes**

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HELSINGFORS UNIVERSITET  
UNIVERSITY OF HELSINKI

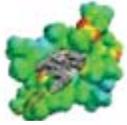
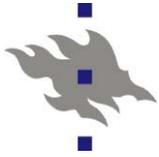


CMS

# Using energetic ion beams to modify properties of carbon nanotubes

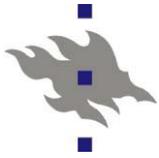
A. V. Krasheninnikov, J. Kotakoski and  
Kai Nordlund

*Accelerator Laboratory, Department of Physical Sciences  
University of Helsinki, Finland*

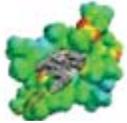


## Contents

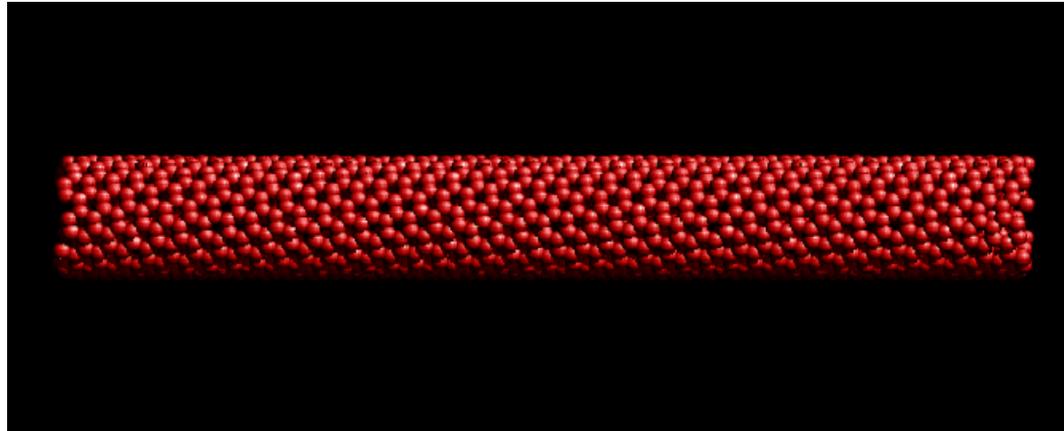
- Background: carbon nanotubes
- Basics of irradiation effects in nanotubes
- KMC model of irradiation of nanotubes
- Modifying electrical properties
- Welding of carbon nanotubes
- Using nanotubes as a high-pressure vessel



## Background: Carbon Nanotubes - What are they?

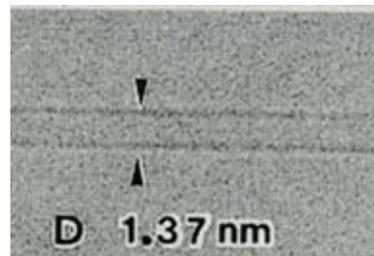


- Hollow tubes of pure carbon
  - “A graphene sheet rolled up to a cylinder”
  - Found in 1991 by Sumio Iijima

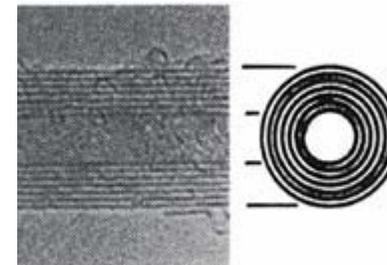


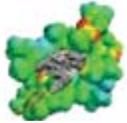
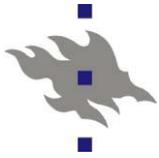
- Can be:

Single-walled



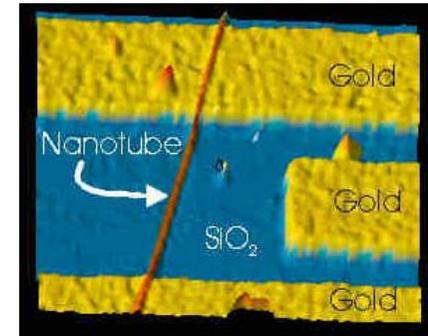
Multi-walled

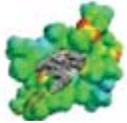
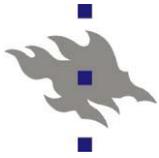




## Background: Carbon Nanotubes - Why are they interesting?

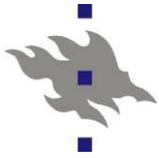
- They have outstanding properties:
  - Tensile strength and Young's modulus unprecedented
  - Electronic properties intriguing: 1D conductor
  - Either metallic or semiconducting: can be used as electronic circuit components
  - Great light emission
  - Functionalizers for polymers
- Already have a wide range of applications
  - E.g. mechanical reinforcement of epoxies:



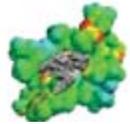


## Ion irradiation of carbon nanotubes

- Ion irradiation can also be used to modify nanostructures such as carbon nanotubes
- The potential benefits are the same as in usual materials:
  - Not limited by thermodynamics
  - Amount of material implanted can be well controlled
  - Penetration depth can be well controlled
- Since 2001 we have theoretically and now also experimentally studied how ion irradiation can be used to modify nanotubes in beneficial ways



## Literature examples of irradiating nanotubes

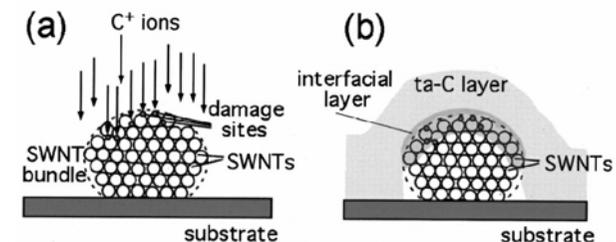
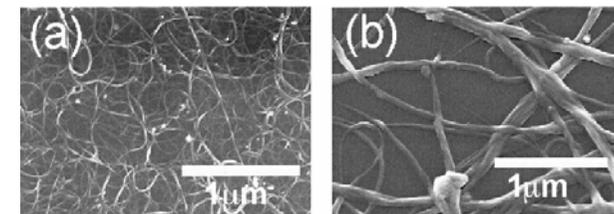
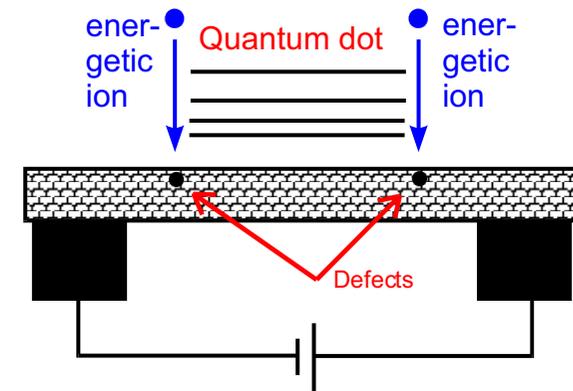


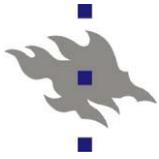
- Electronic transport in quasi-one-dimensional systems; irradiation defects work as tunneling barriers;

[M. Bockrath et al, Science 291 (2001) 283;  
M. Suzuki *et al.*, Appl. Phys. Lett. 81 (2002), 2273]

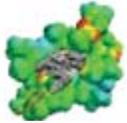
- Self-irradiation with 100 eV C<sup>+</sup> ions: nanotube-amorphous diamond composites;

[H. Schittenhelm, *et al.*, Appl. Phys. Lett. 81 (2002), 2097].

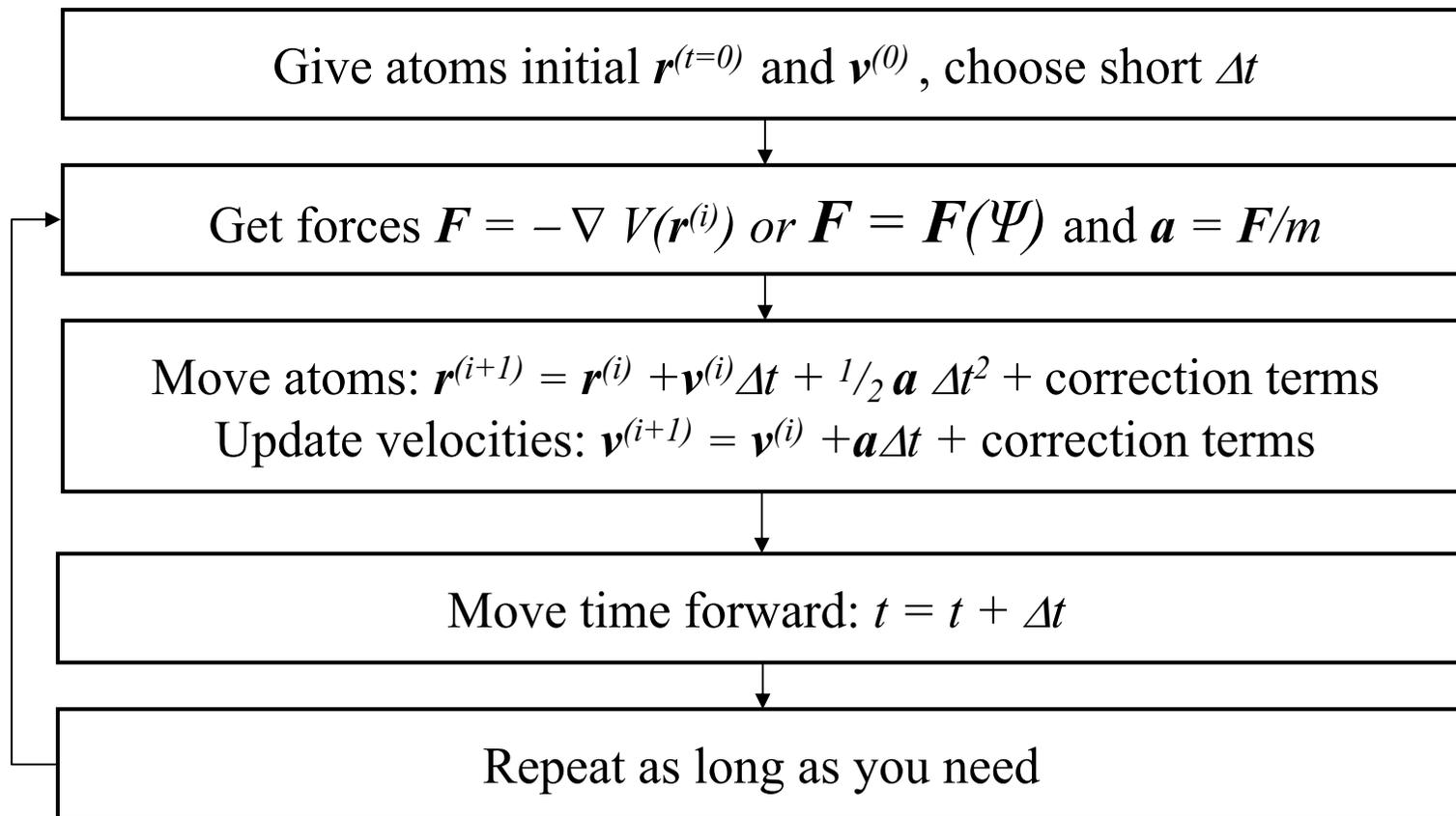


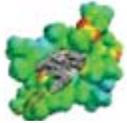
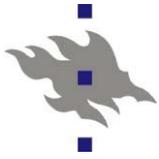


## Main method: Molecular dynamics simulation algorithm

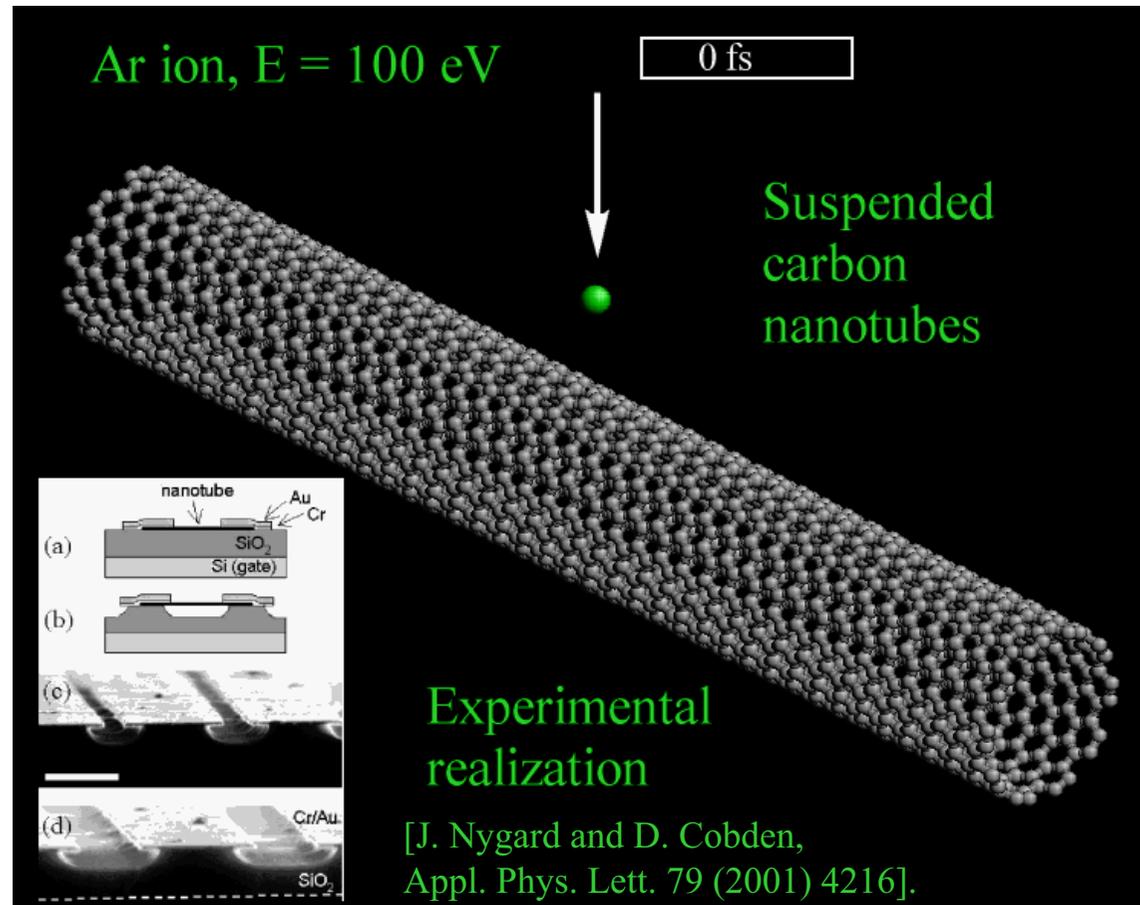


- Simulating atom motion:

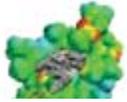
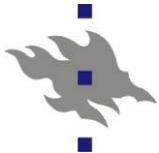




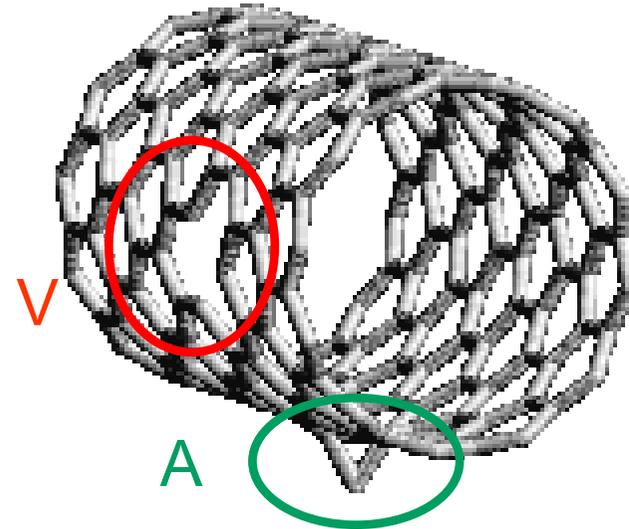
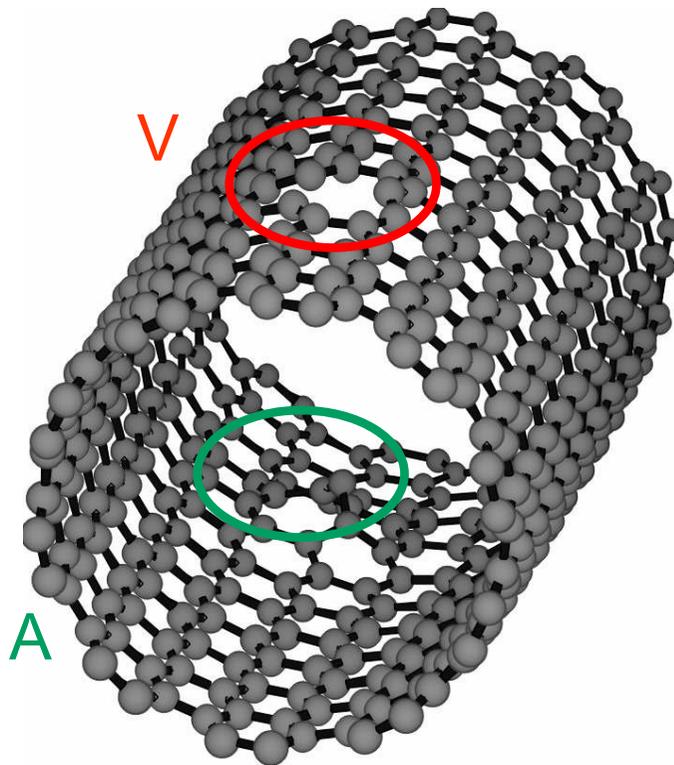
## Ion irradiation of a single nanotube



[A. V. Krasheninnikov *et al.*, *Phys. Rev. B* 63 (2001) 245405]



## Ion irradiation-induced defects in nanotubes

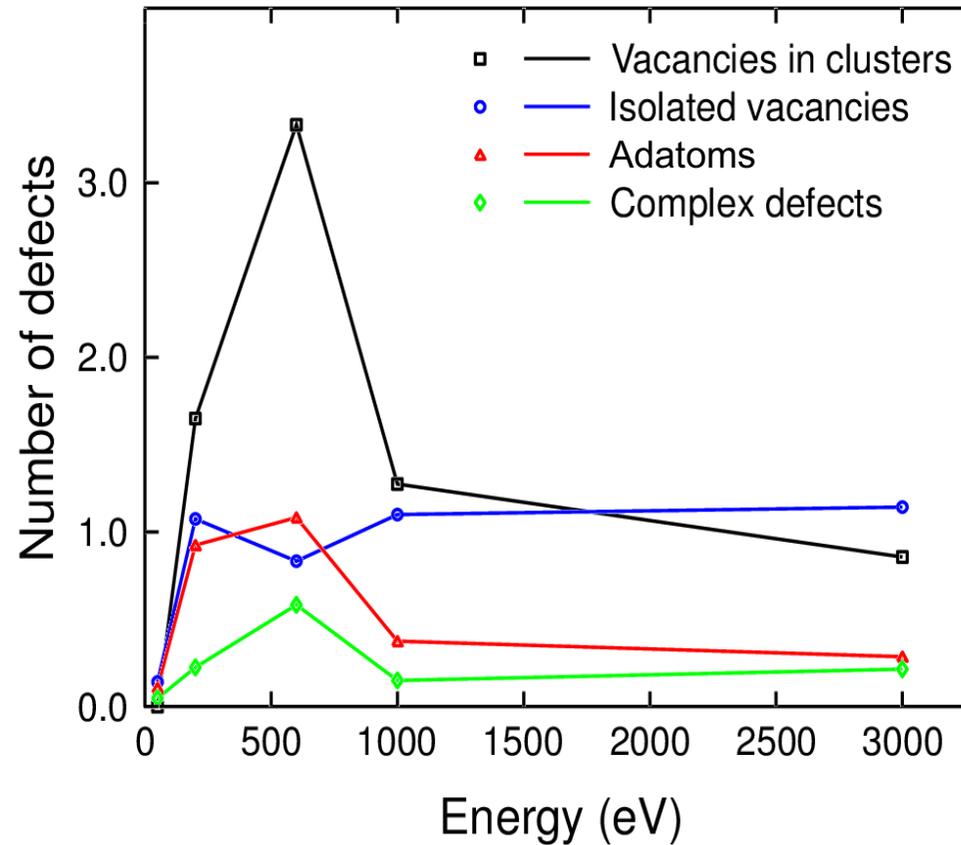


- The most abundant defects in SWNTs are **vacancies**.

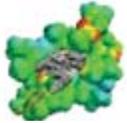
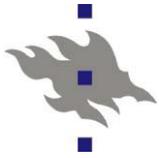
- Carbon atoms absorbed on nanotube walls (**adatoms**) play the role of interstitials.



## Defect production in single nanotubes

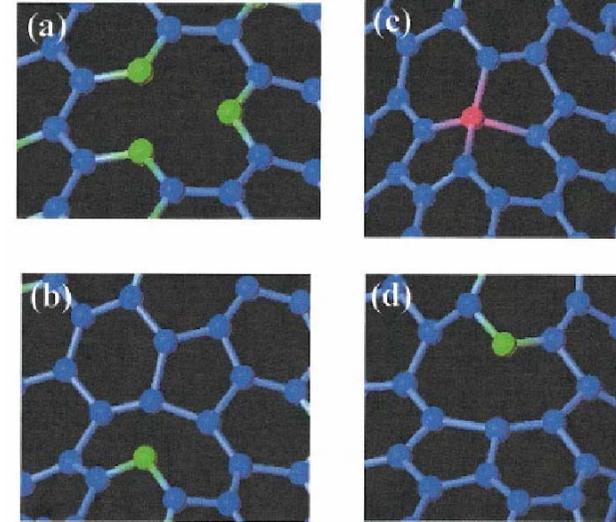


[Krasheninnikov *et al.*, PRB **63**, 245405 (2001)]

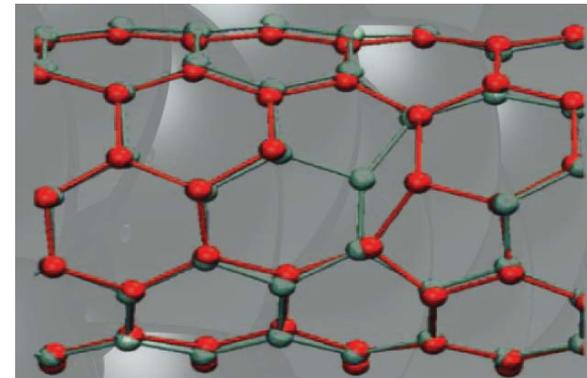


## Defect migration: vacancy

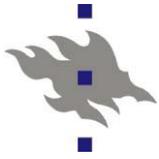
- (a) is the 'normal' vacancy configuration obtained by removing an atom
- Configuration (b) is the ground-state configuration
- (c) is a metastable state with no dangling bonds but high strain energy
- The vacancy can migrate by switching between states (b) and (c)
- Migration energy fairly high,  $\geq 1$  eV
  - But low enough for room T mobility



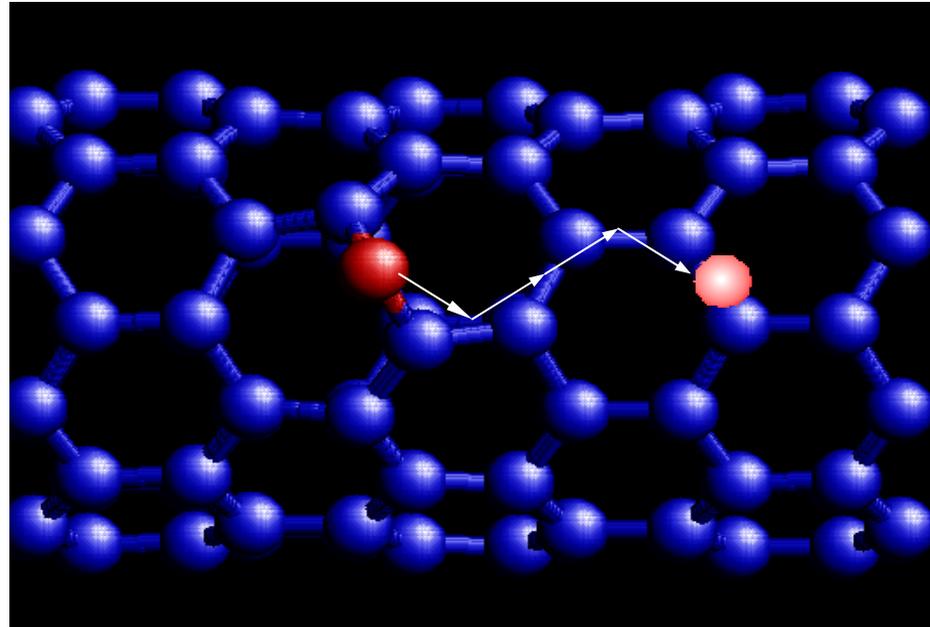
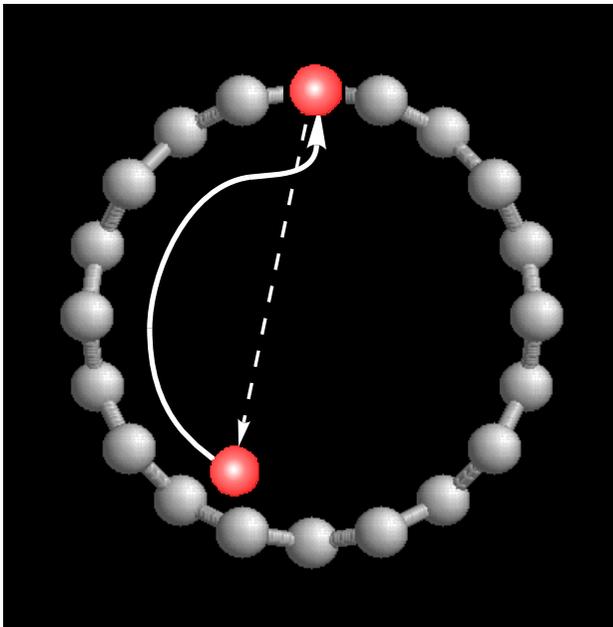
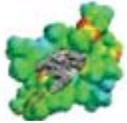
[P.M. Ajayan *et al.*, Phys. Rev. Lett. 81 (1998) 1437]



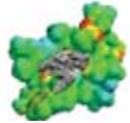
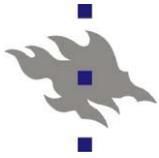
[Krasheninnikov *et al.*, Chem. Phys. Lett 418, 132-138 (2006)] 11



## Interstitial/adatom migration

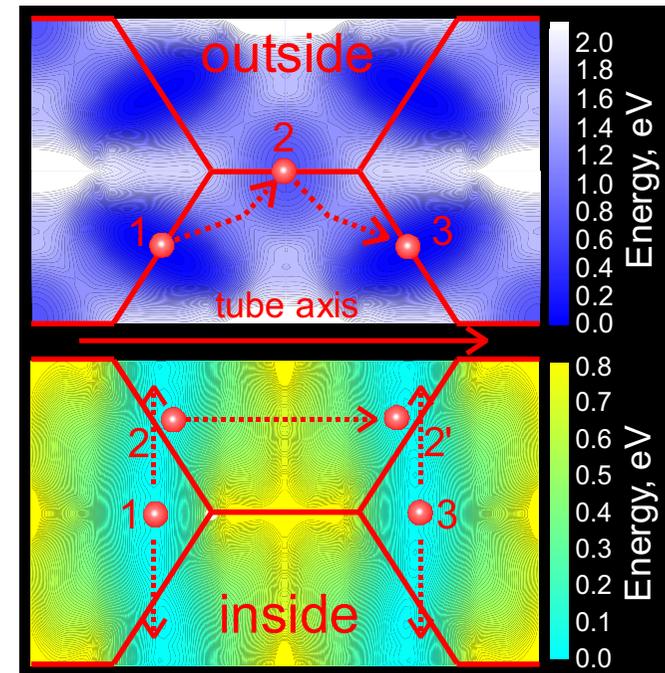
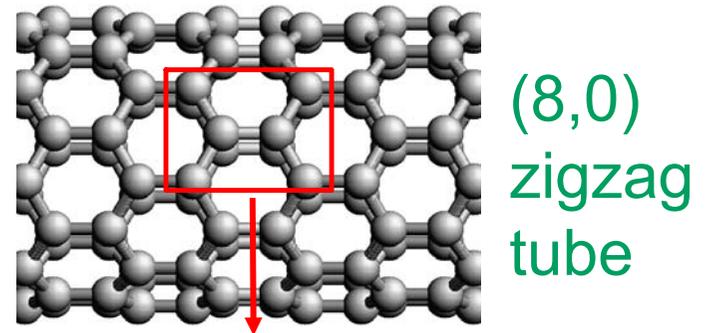


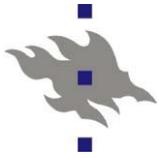
- Knowing adatom migration mechanisms is vitally important for understanding damage annealing!



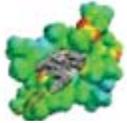
## Interstitial (adatom) migration

- We have simulated adatom (interstitial) migration using TB and DFT methods
- It turns out that both the migration mechanism and activation energy is strongly dependent on the nanotube diameter and chirality
- For instance in a (8,0) zigzag tube:
  - $E_m$  outside tube 0.8 eV
  - $E_m$  inside tube 0.1(!) eV ( $\perp$  to tube axis)
  - $E_m$  inside tube 0.4 eV ( $\parallel$  to tube axis)

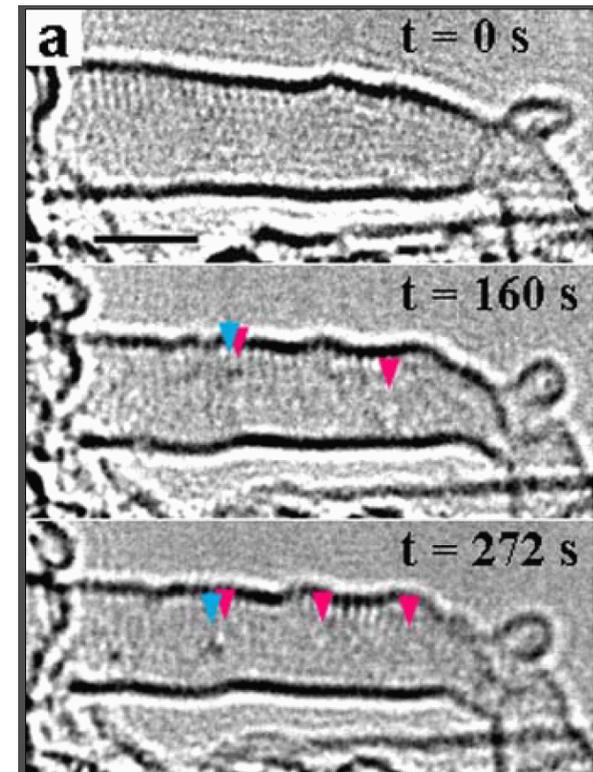




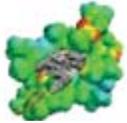
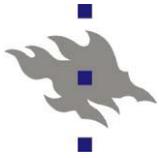
## Experimental confirmation!?



- Our results on defect production and migration can be summarized as:
  - Interstitials migrate very fast
  - Vacancies migrate at room T, and form larger vacancy complexes which are immobile
- This has been confirmed experimentally!?
  - Sumio Iijima's group observed single adatoms and small vacancy clusters in electron-irradiated nanotube-like graphene sheets and *said that they saw directly that adatoms move rapidly at room T, while the vacancy clusters are immobile*

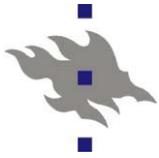


[Kimura-Hashimoto *et al*, Nature **430** (2004) 870]

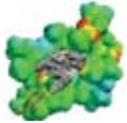


## Kinetic Monte Carlo model for defects in carbon nanotubes

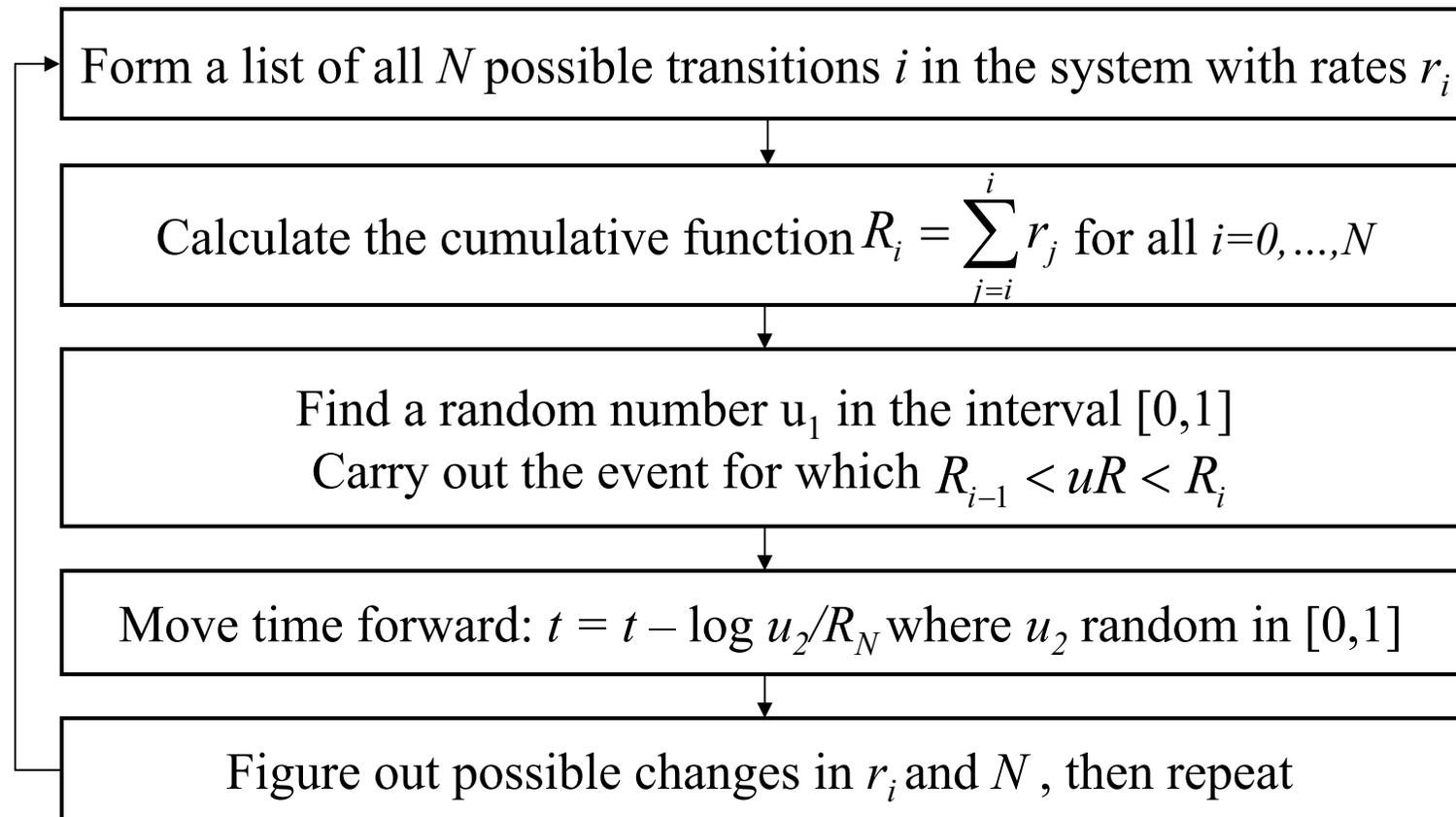
- But how about the long-term migration (like that seen in the experiments of Iijima)
  - Molecular dynamics can be only used on nanosecond time scales
  - One would want to simulate the defect behaviour for macroscopic times
  
- Method of choice: Kinetic Monte Carlo
- Requires as input rates of defect migration
  - But these can be determined from tight-binding or density-functional theory calculations!

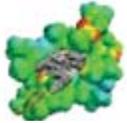
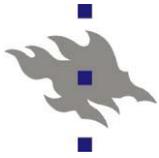


## Method to simulate defect migration: Kinetic Monte Carlo algorithm



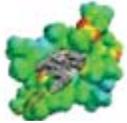
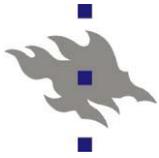
- Simulates time evolution of a set of processes  $i$  with known rates  $r_i$ 
  - Rates can be anything, but typically diffusion-like:  $r=r_0 e^{-\Delta E/kT}$





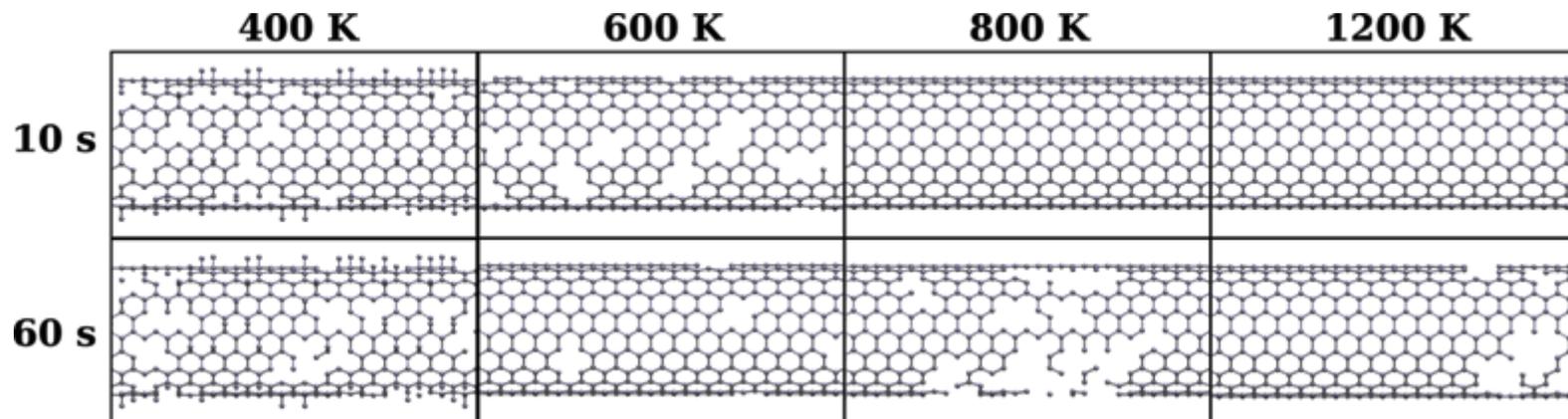
## Determining rates for defects in nanotubes

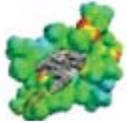
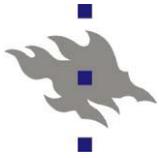
- The migration barriers and hence rates can be determined from the DFT and TB calculations described above
- However, to obtain a full picture one needs data for all relevant migration mechanisms and defects
- We are collecting data systematically for
  - Vacancies:  $V$ ,  $V_2$ ,  $V_3$ ,  $V_4$ , ...
  - Interstitials:  $I$ ,  $I_2$ ,  $I_3$ ,  $I_4$ , ...
  - In- and out-of-plane migration mechanisms
  - Coalescence reactions of like defects
  - Annihilation reactions for unlike defects



## Results from KMC simulations

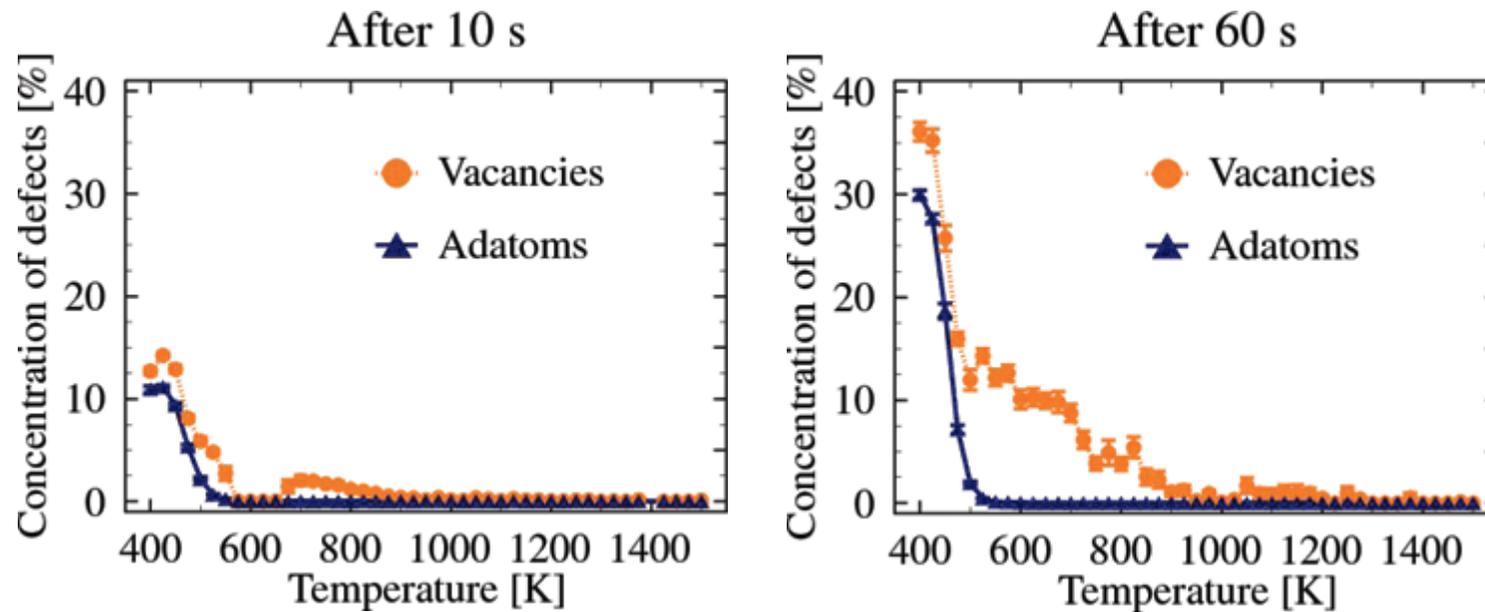
- Snapshots of a (10,10) SWCNT after 10 s and 60s of electron irradiation at temperatures of 400 K, 600 K, 800 K and 1200 K.

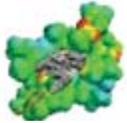
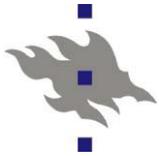




## Quantitative results from KMC simulations

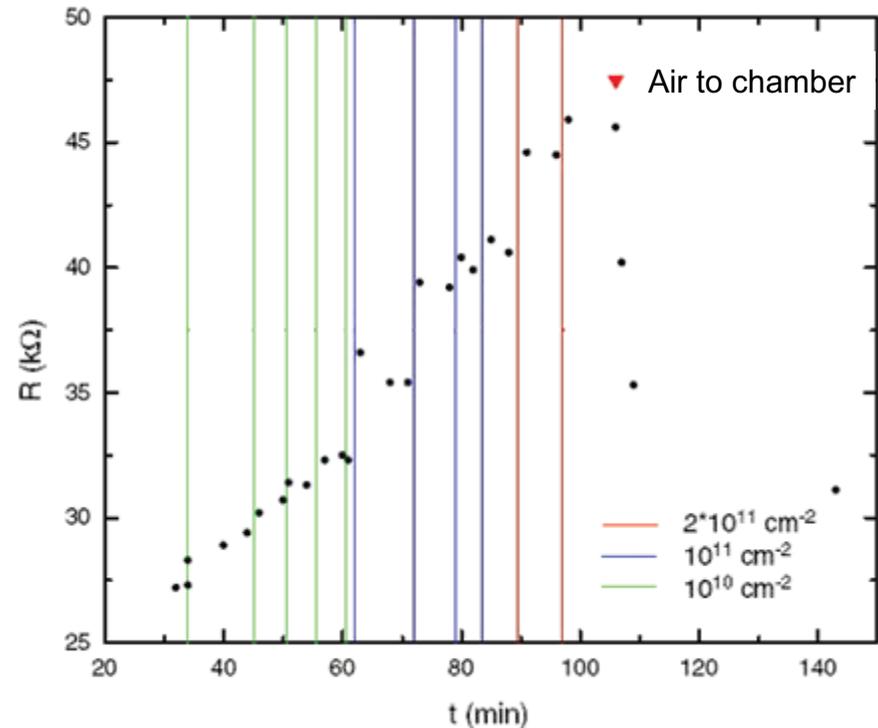
- Defect concentrations after 10 s and 60 s of electron irradiation as a function of the temperature:



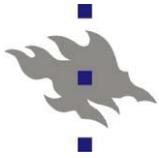


## Electrical effects of ion irradiation

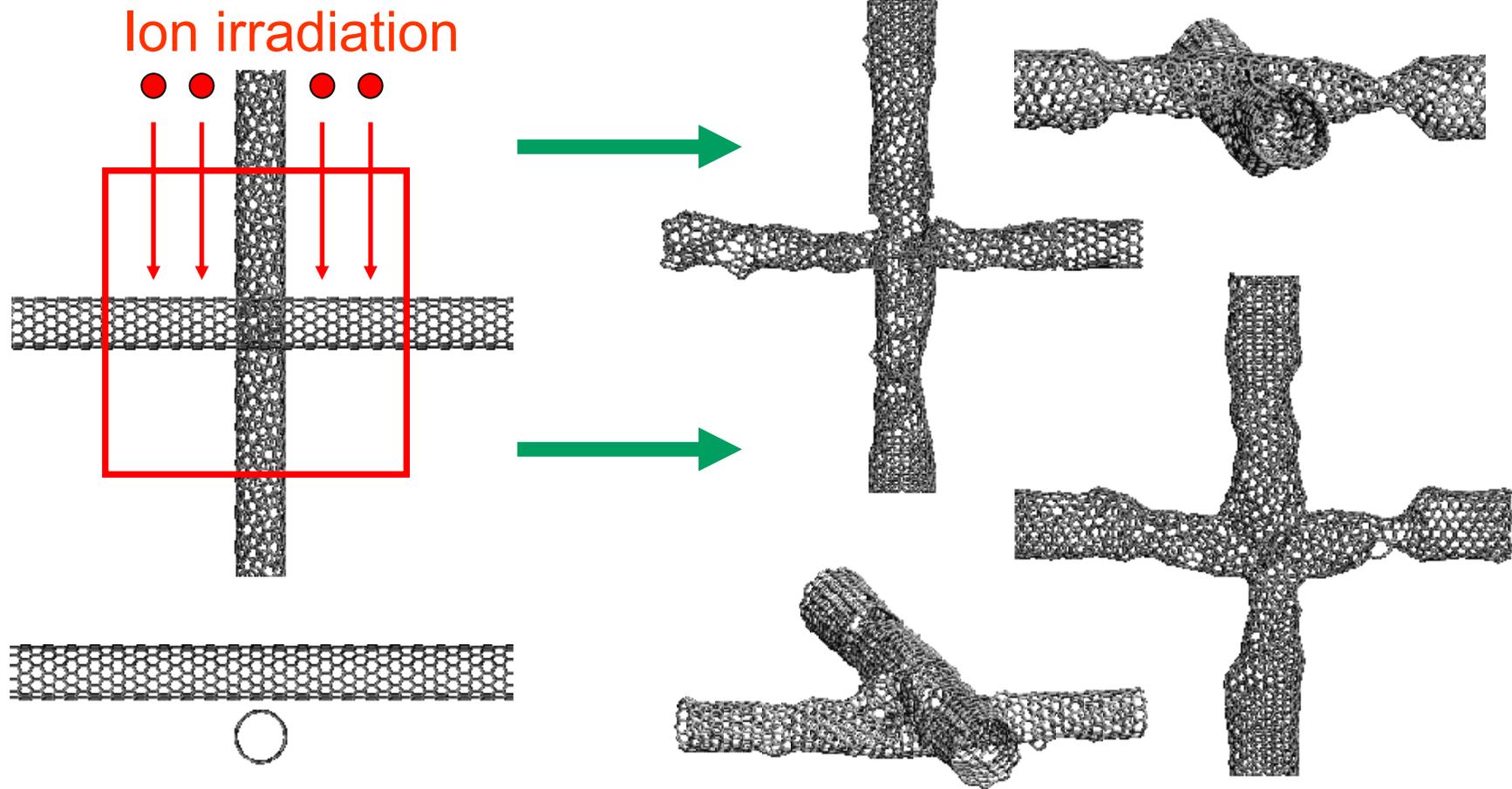
- We are now looking at the effects of the irradiation of single multiwalled tubes on the electrical conductivity
- Green lines: on average one ion hits the tube
  - Note that between some doses no effect => no hit
- Single-ion effects!!



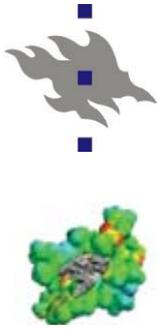
[R. Tarkiainen, F. Wu, P. Hakonen, K. Arstila, K. Nordlund, J. Keinonen, to be published]



## Applications: welding of nanotubes



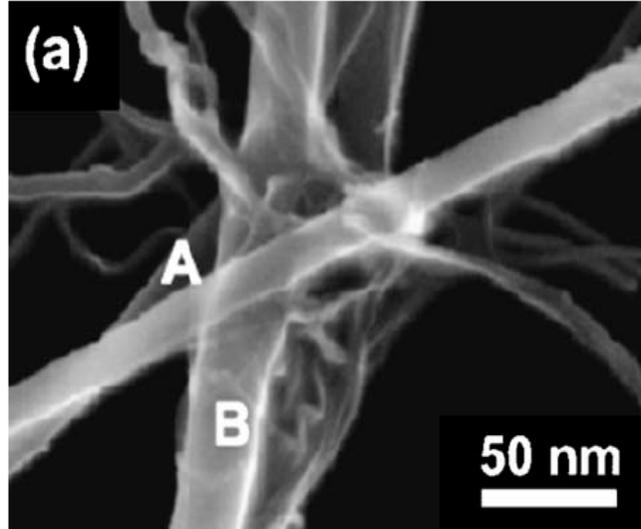
[Krasheninnikov *et al.* Phys. Rev. B 66 (2002) 245403.]



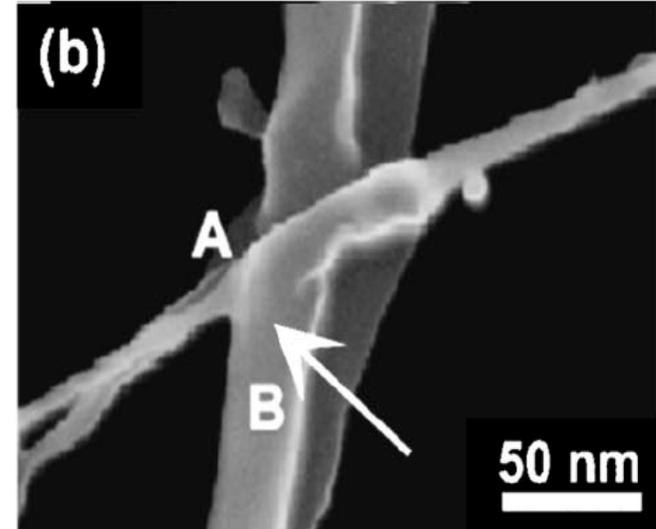
## Experimental confirmation of welding

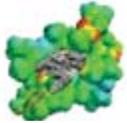
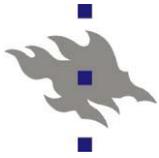
- Raghuvver et al [APL 84 (2004) 4484] have done this experimentally:

Before irradiation



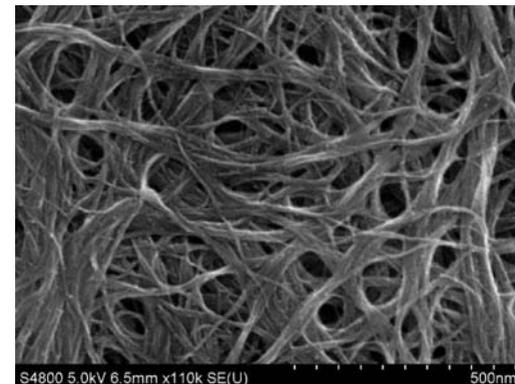
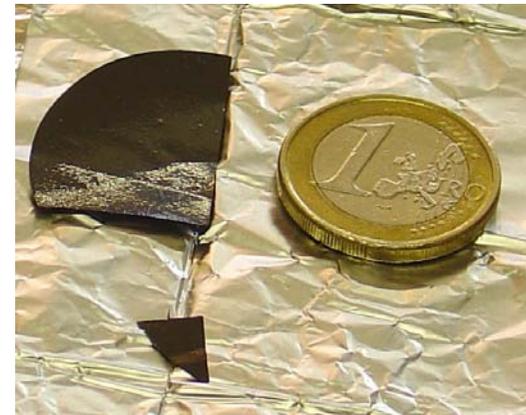
After  $10^{16}$  Ga<sup>+</sup> ions/cm<sup>2</sup>

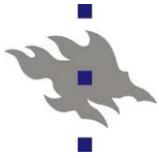




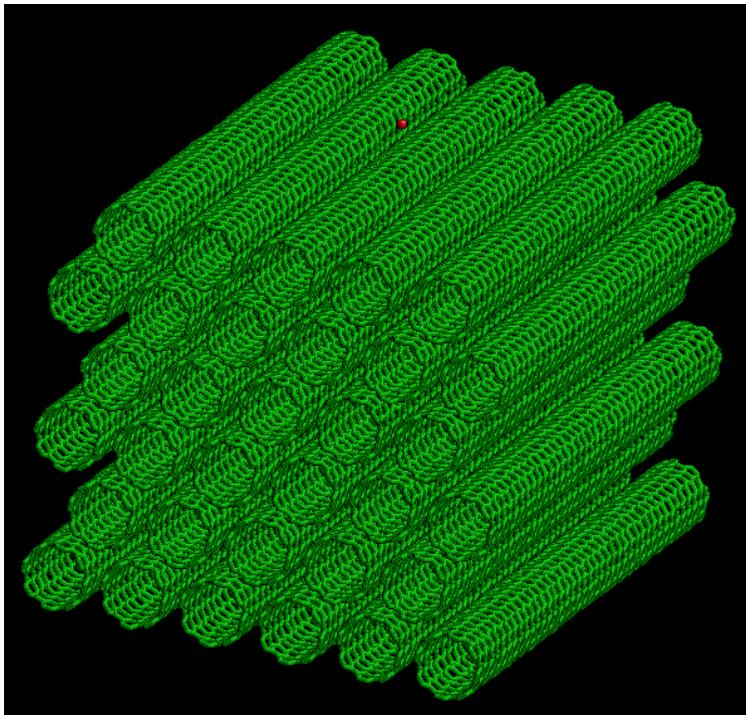
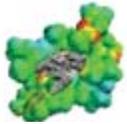
## Strengthening nanotube paper

- Ordinary paper consists of fibers in a mat-like configuration
- It is possible to manufacture paper using nanotubes as the fibers
  - This product is sold commercially:
- It consists of bundles of nanotubes in a fiber network
  - But their stiffness is not very high
- We examined whether irradiation can be used to strengthen it



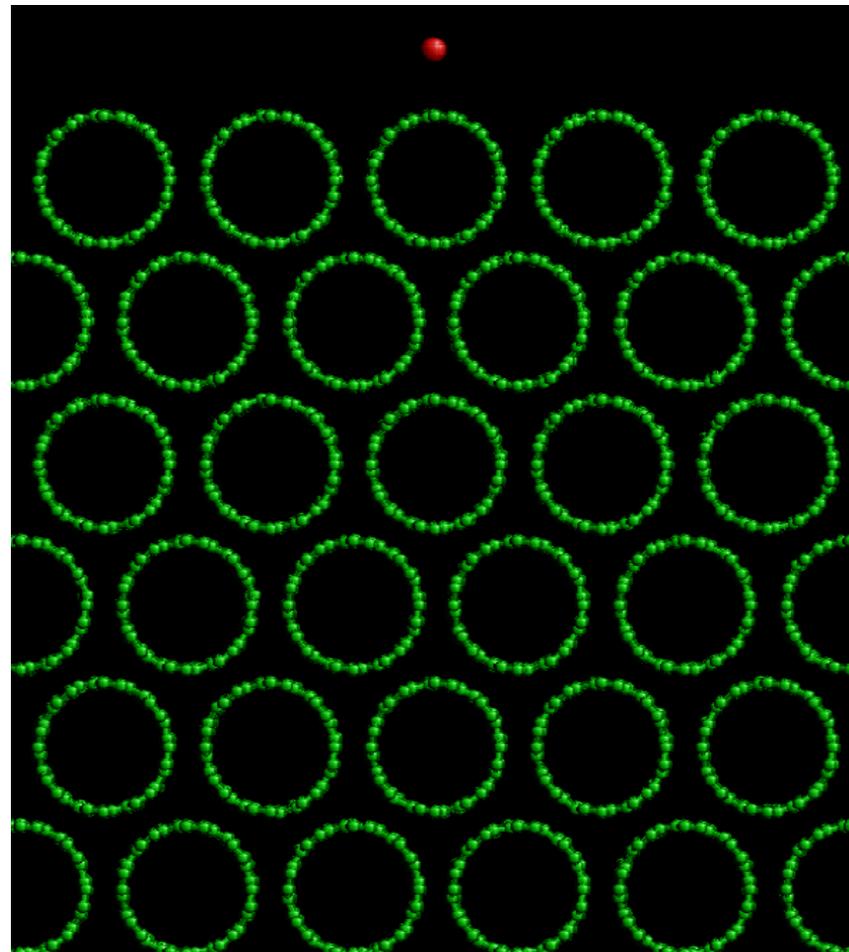


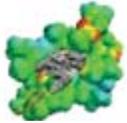
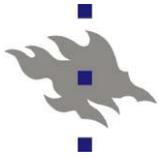
## Strengthening of nanotube paper, 1.



- Irradiation can introduce bonds between nanotubes

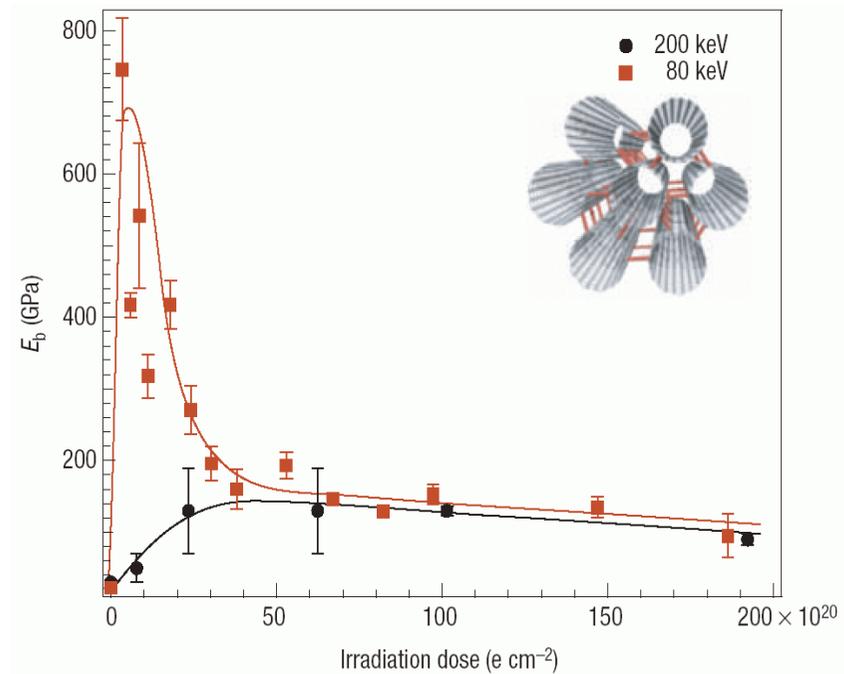
[Salonen et al, NIMB 193 (2002) 608]

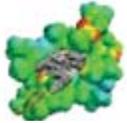
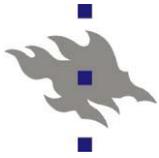




## Experimental confirmation

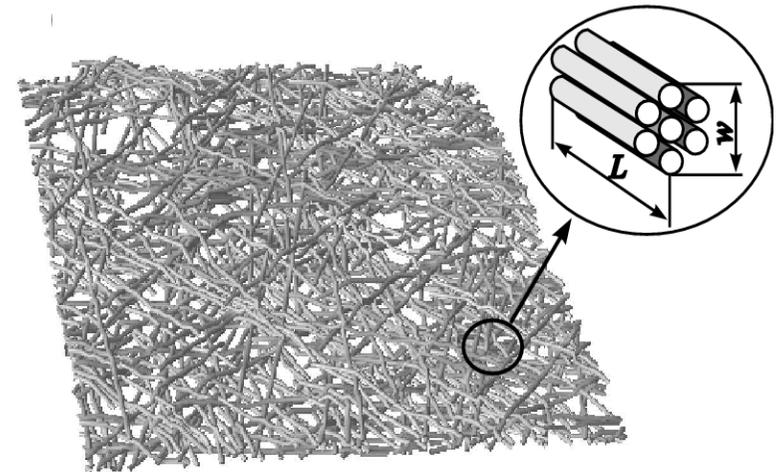
- A similar effect has been observed for electron irradiation, and shown to strengthen the nanotube paper [Kis et al, Nature Materials 3 (2004) 153]

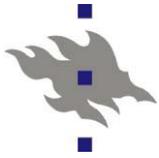




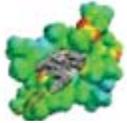
## Strengthening of nanotube paper, 2.

- We constructed a fiber network effective medium theory model of nanotube paper
- The model has a parameter which corresponds to the strength of intertube links
- With van der Waals interactions only:
  - Stiffness  $\sim 1$  Gpa
- With irradiation-induced covalent bonds
  - Stiffness  $\sim 100$  GPa!

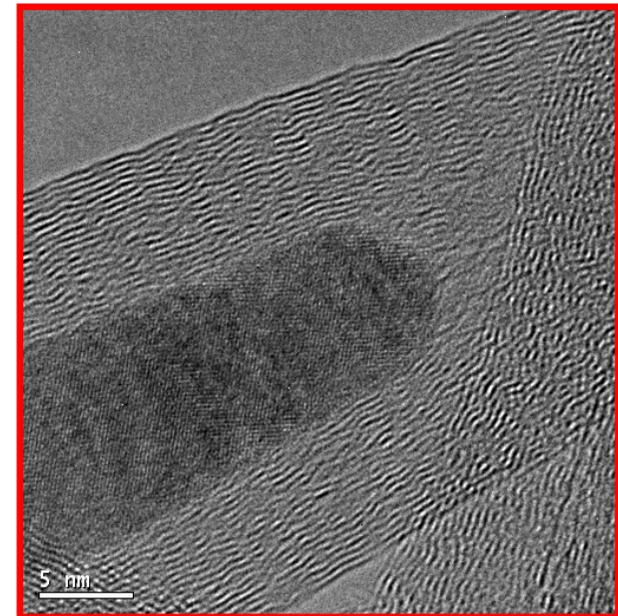




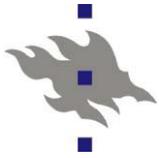
# Irradiation-induced structural transformations in carbon nanotubes encapsulated with metals



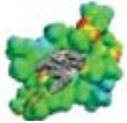
- Experimental co-workers:
  - Florian Banhart, Universität Mainz, Germany (experiment)
  - Pulikel Ajayan, Rensselaer Polytechnic Institute, USA (experiment)
  - Mauricio Terrones, IPYCT, Mexico (experiment)



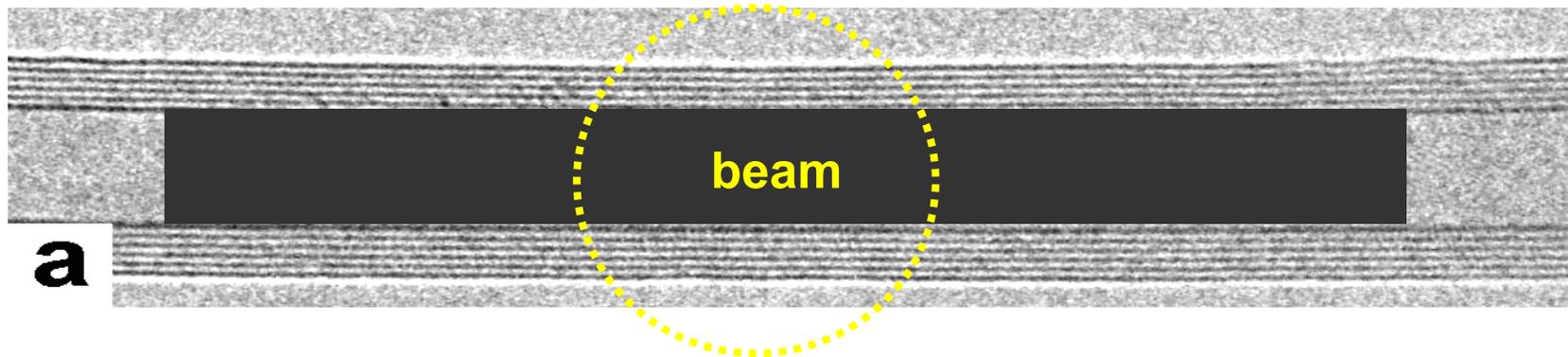
[L. Sun, F. Banhart, A. V. Krasheninnikov et al, Science 312 (2006) 1199]



## Nanotubes encapsulated with metals

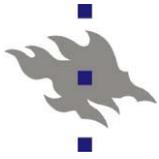


- If we have something (metal) inside nanotubes,

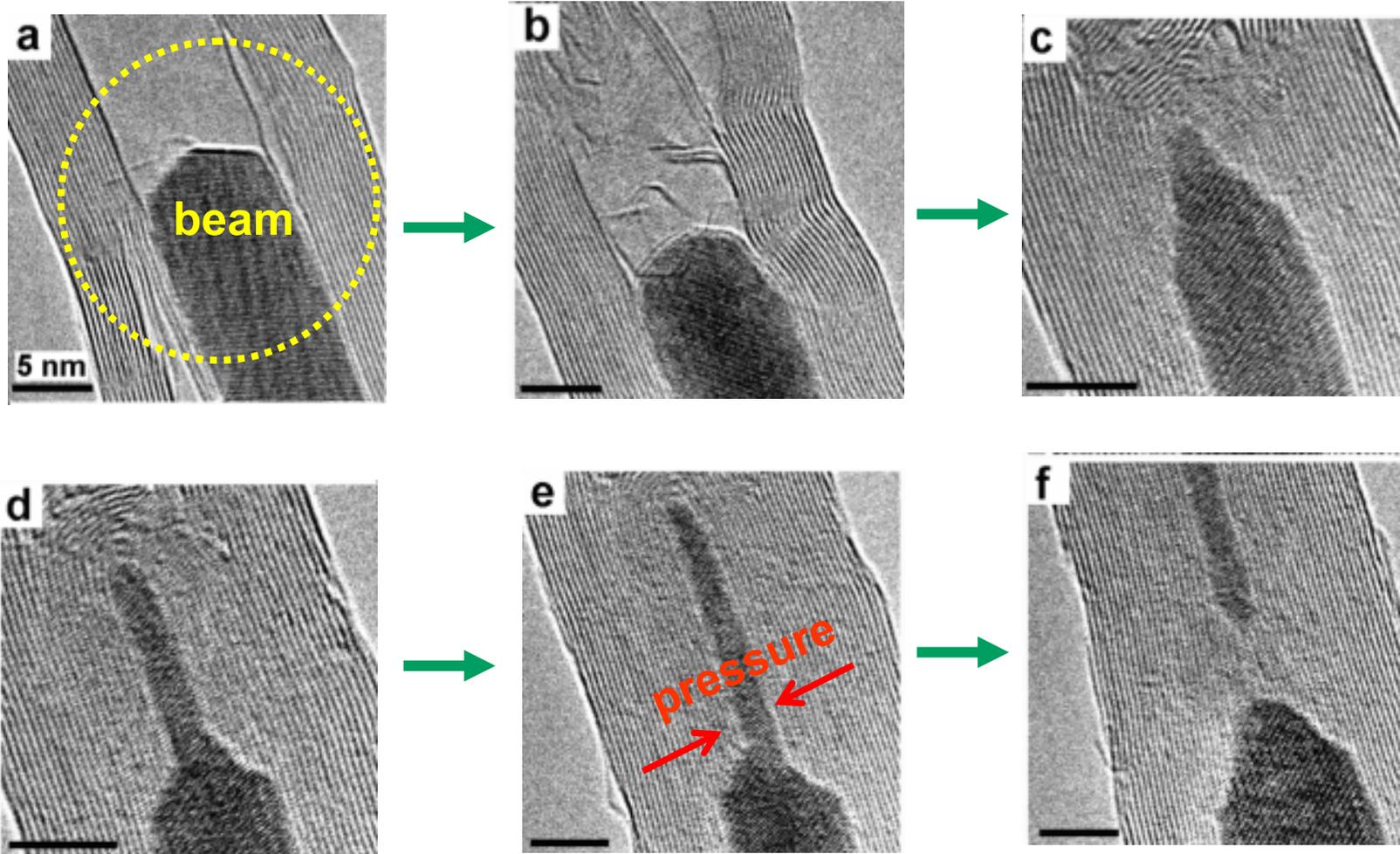
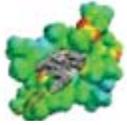


... and we electron irradiate the system at high temperature, what happens?

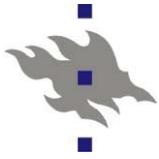
$E = 300 \text{ keV}$   
 $j = 450 \text{ A/cm}^2$   
 $T = 600^\circ\text{C}$



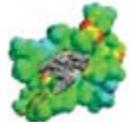
# Answer: irradiation of nanotubes filled with $\text{Fe}_3\text{C}$ [experiments by F. Banhart]



**Contracting shells exert pressure on the tube interior!**

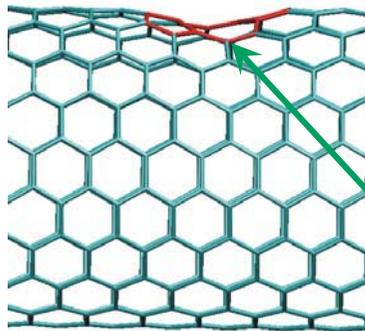


# Origin of the pressure: Nanotube atomic network with double vacancies



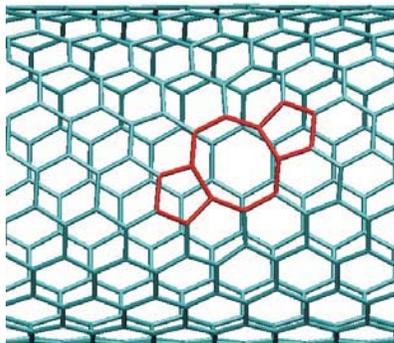
## Individual divacancy

Side view



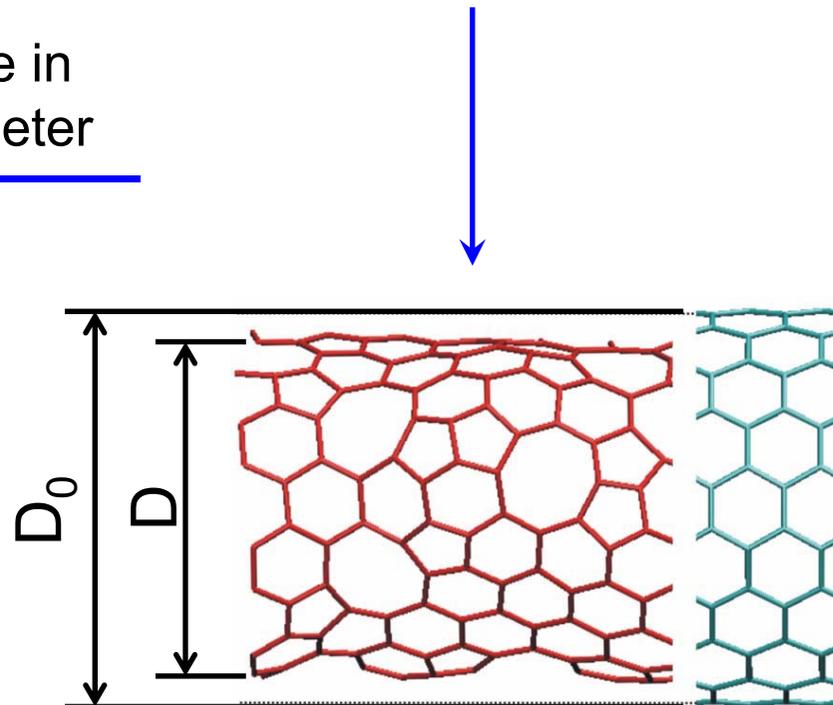
Local decrease in the diameter

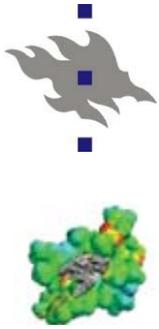
Top view



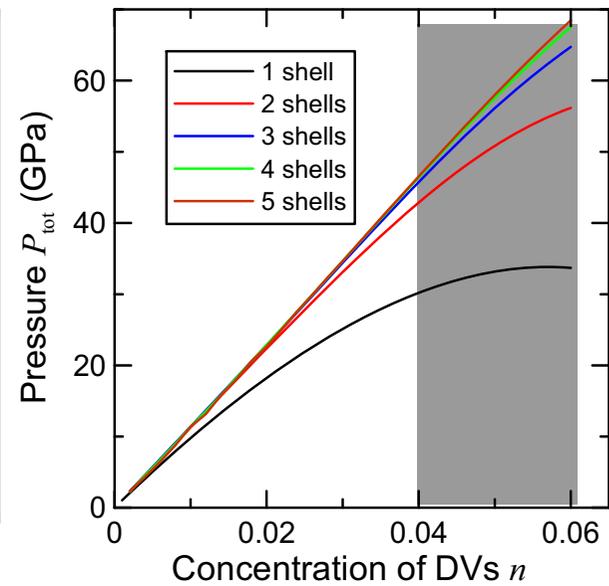
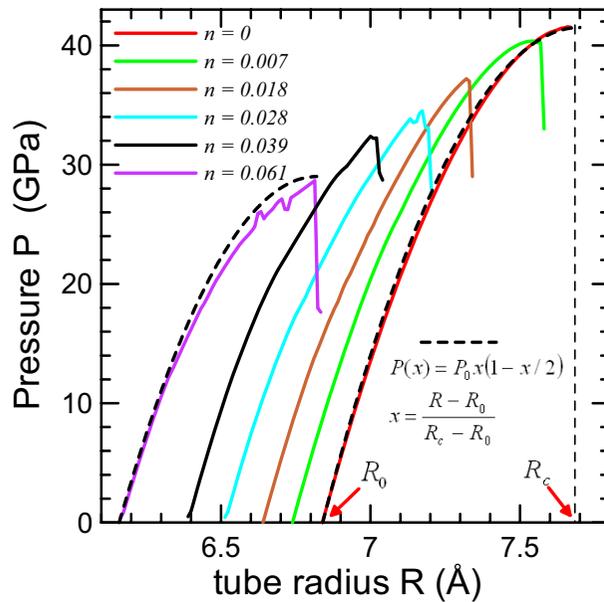
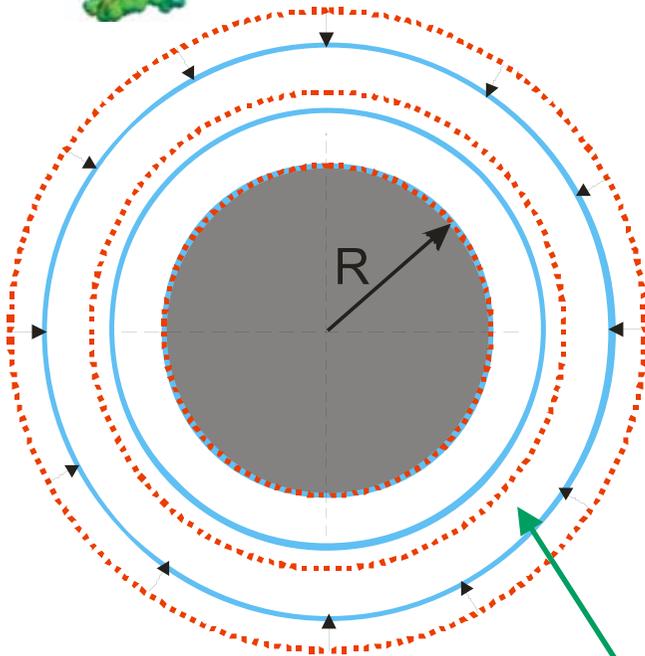
## Many divacancies

Diameter decreases!





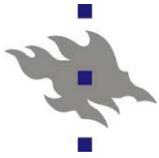
# The origin of the pressure and the maximum pressure



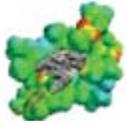
Elasticity theory with the parameters derived from atomistic (DFTB) simulations

Van der Waals interaction between shells

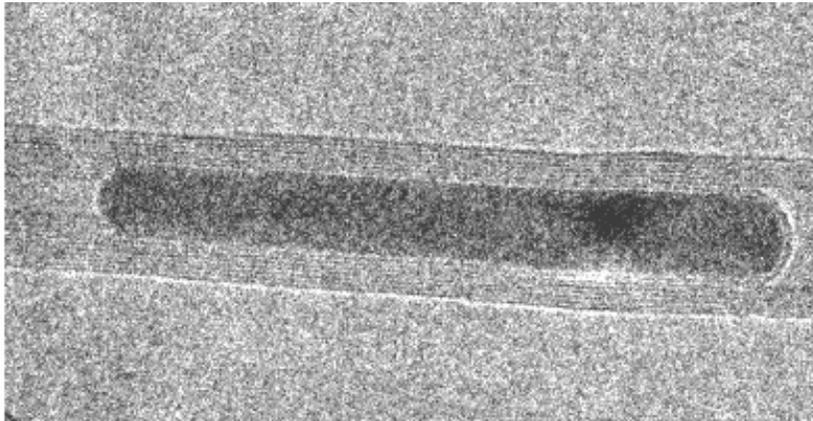
*Contracting shells exert high pressure (~40 GPa) on the tube interior!*



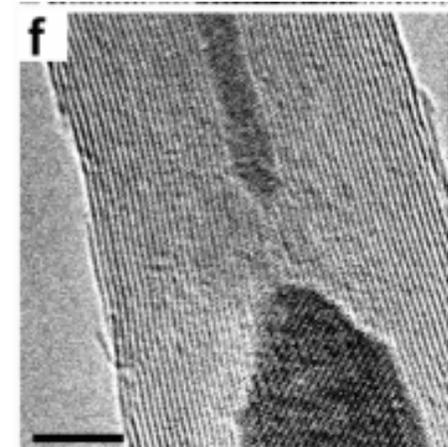
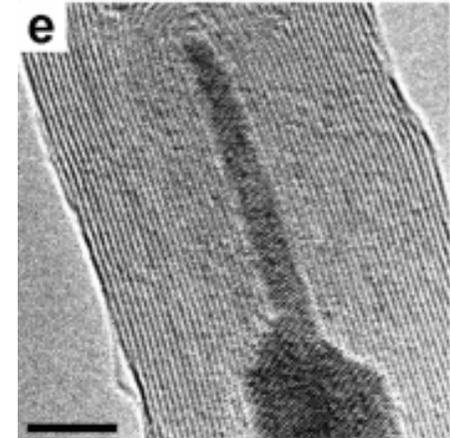
# Transformations of the metal rod inside contracting nanotubes



Experiment:

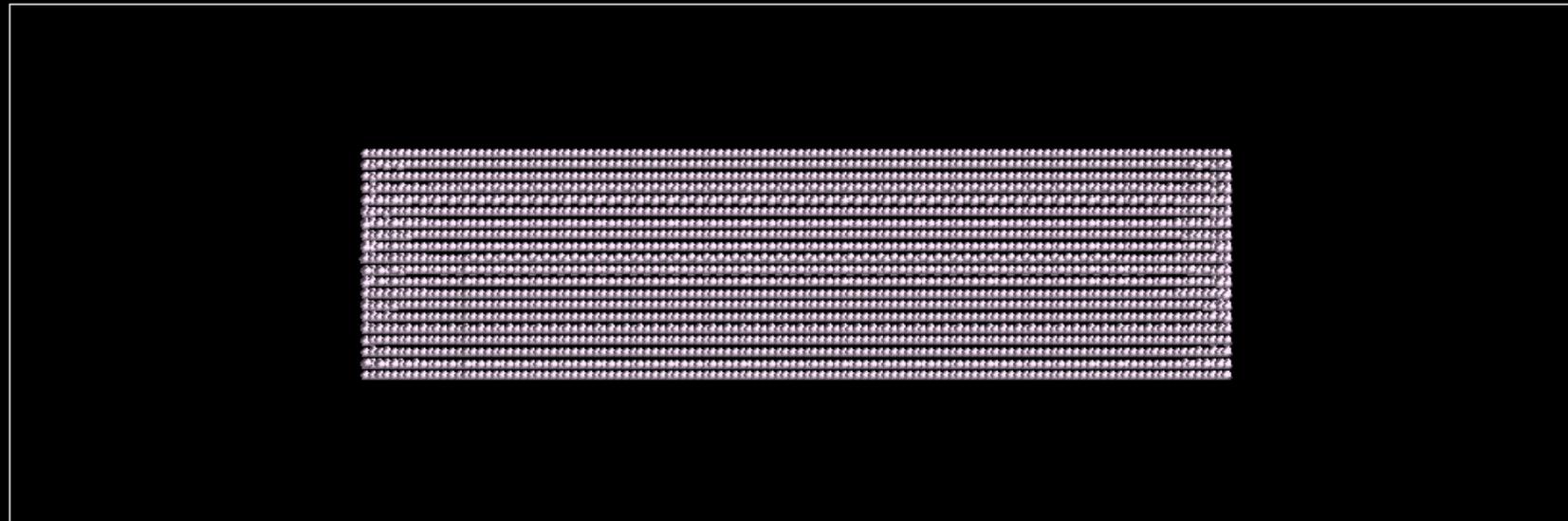


Courtesy of F. Banhart and M. Terrones



# Our simulations show this is explained by dislocations

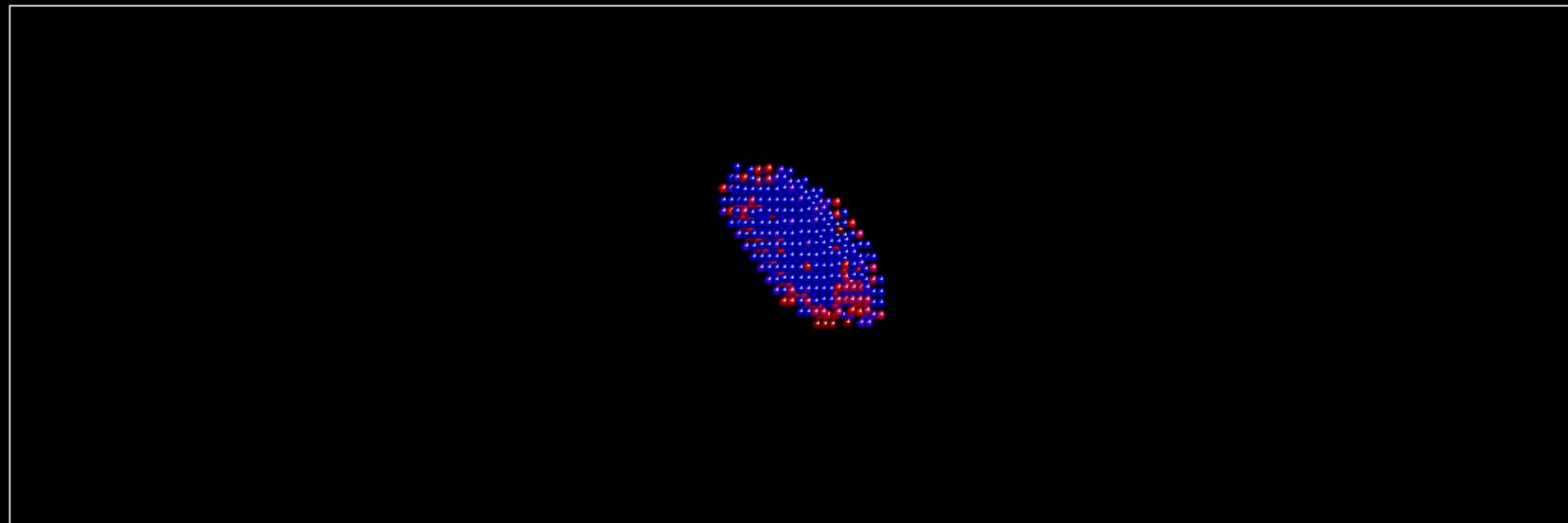
time 4.27



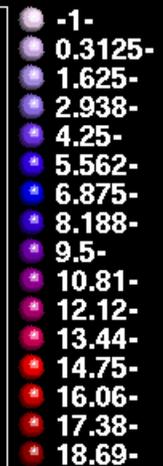
x (-150 - 150) y (-50 - 50) z (-22.75 - 22.756)



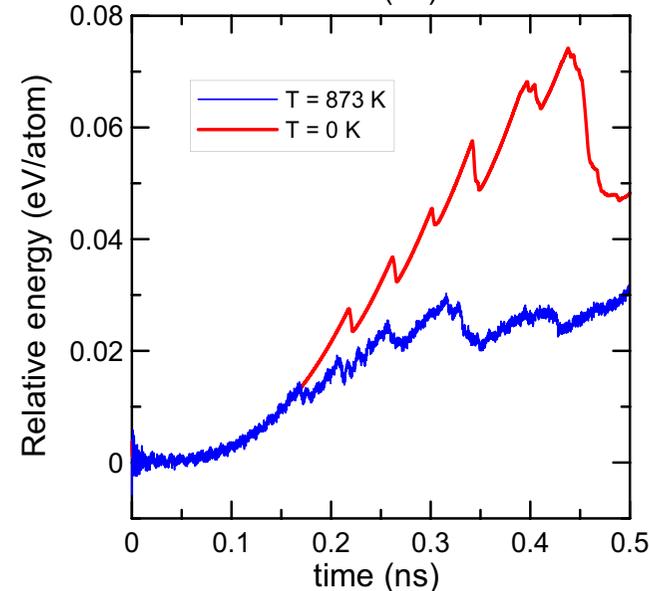
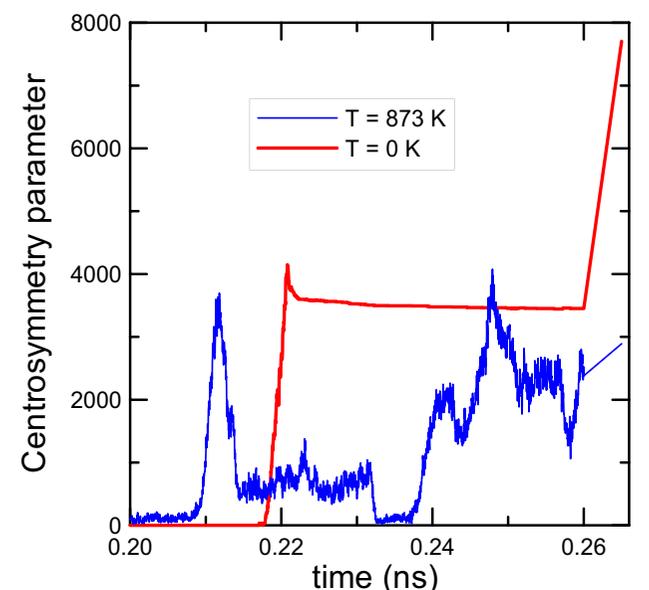
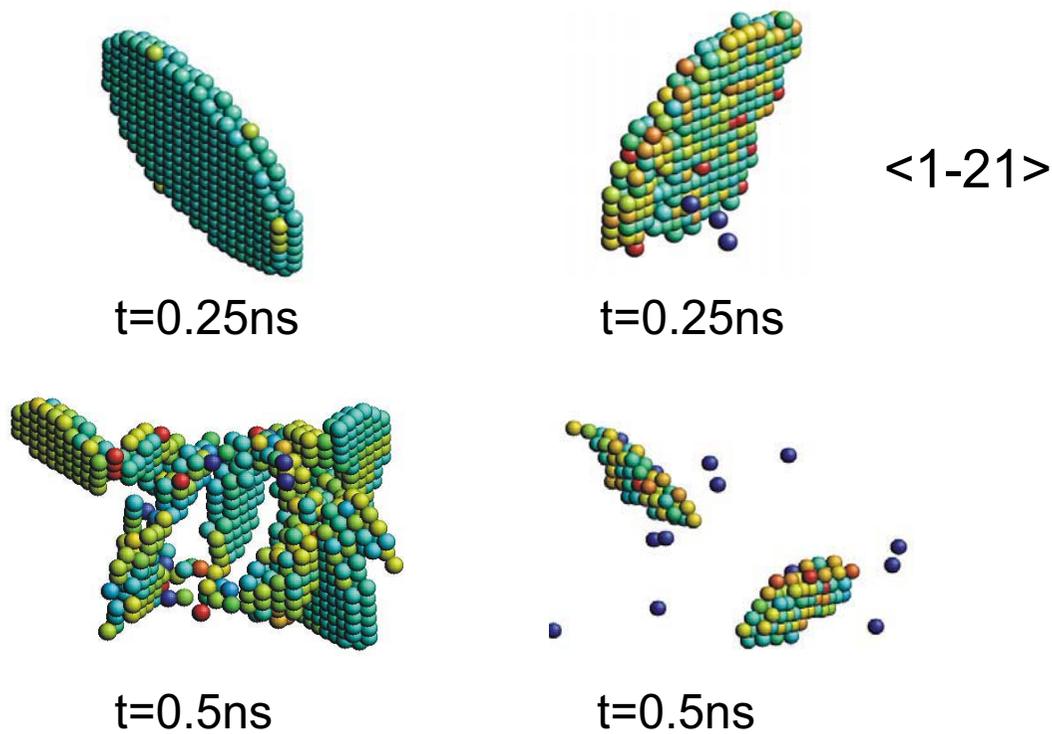
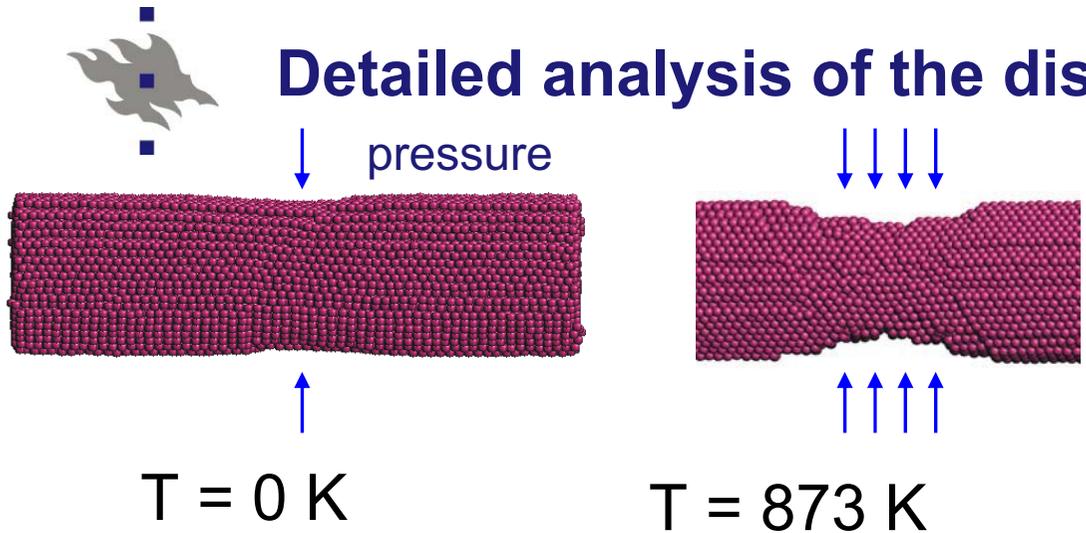
time 9e+04

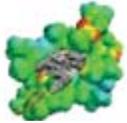
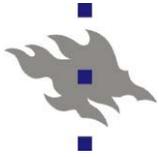


x (-150 - 150) y (-50 - 50) z (-16.024 - 19.387)



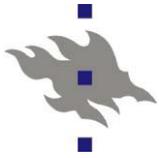
# Detailed analysis of the dislocation activity



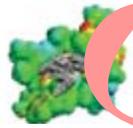


## Conclusions

- Ion irradiation can be used to modify nanotube-surroundings interactions in interesting and potentially useful ways



## The guilty parties



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Jens Pomoell

Antti Kuronen

Juhani Keinonen

Kai Nordlund

Helsinki Univ. Techn.

Risto Nieminen

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Petri Lehtinen

Yuchen Ma

Kimmo Kaski

Maria Sammalkorpi

CSC, Finland

Jan Åström

Mainz

Florian Banhart

Litao Sun

Great thanks to them all!