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**Fourth Stig Lundqvist Conference on  
Advancing Frontiers of Condensed Matter Physics**

**3 - 7 July 2006**

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**High resolution metal cluster photoelectron spectroscopy**

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Fakultat fur Physik  
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D-79104 Freiburg im Breisgau  
GERMANY

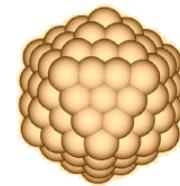
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These are preliminary lecture notes, intended only for distribution to participants



# High resolution metal cluster photoelectron spectroscopy

B.v.Issendorff



Why clusters?

Study of the size dependence of bulk properties

- crystal structure
- electronic structure
- optical, magnetic, chemical properties
- ...

Model systems

- simple metal clusters: finite electron gas in a parabolic trap
- study of few particle dynamics, finite system thermodynamics, ...
- test for theory



# Program

## Introduction

free electron model

## Experiment

Photoelectron spectroscopy on  
size selected clusters

## Results

Simple metals: electronic and geometric  
structure

- Sodium
- Noble metals

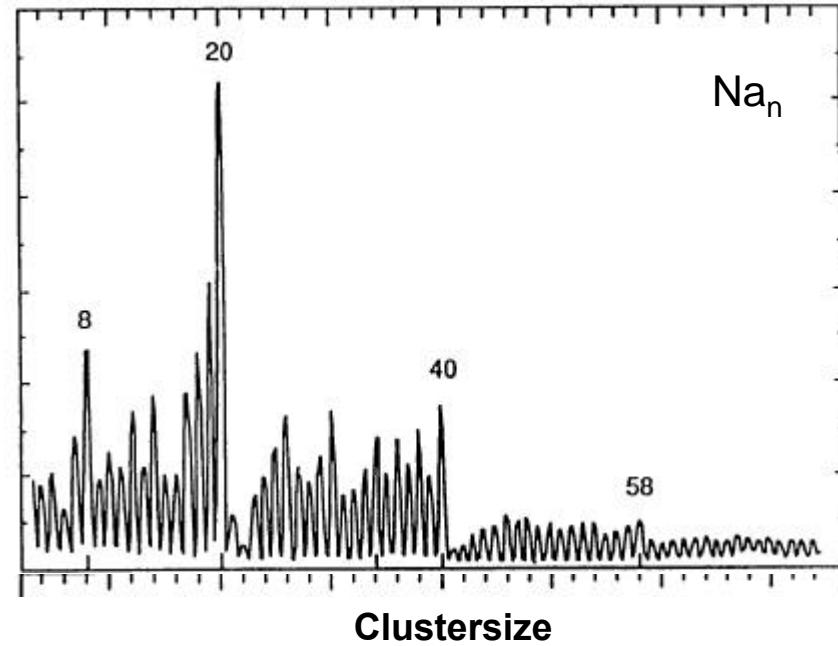
Divalent metals: nonmetal/metal transitions

- Introduction: Mercury
- Zinc
- Strontium

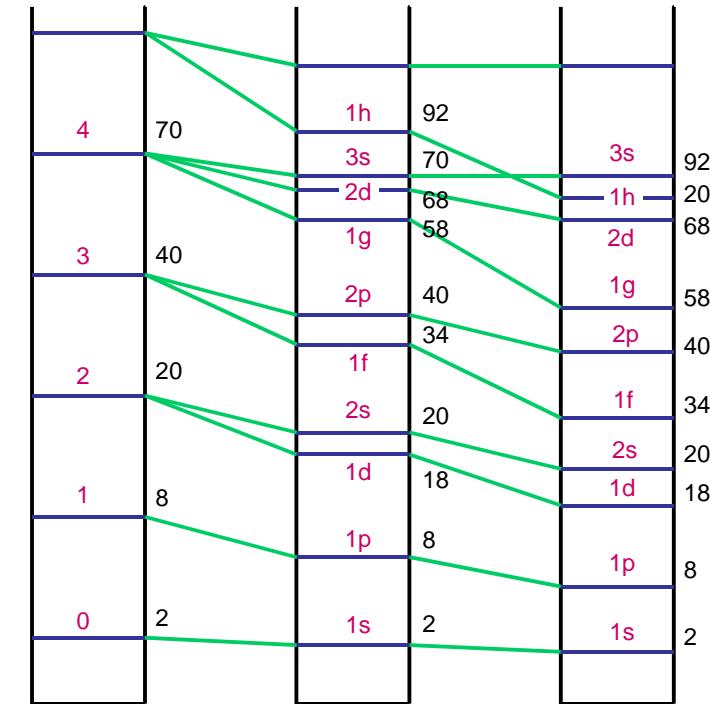
## Summary



# Electron shells: spherical box model



Knight, de Heer, 1984



Harmonic  
Oscillator

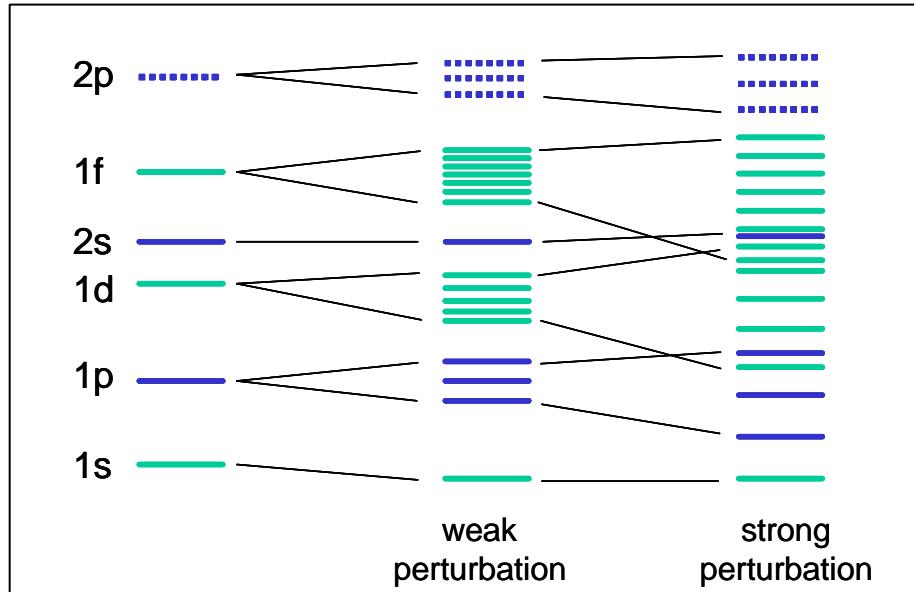
Woods  
Saxon

Box-  
Potential

Electron levels in different spherical model potentials

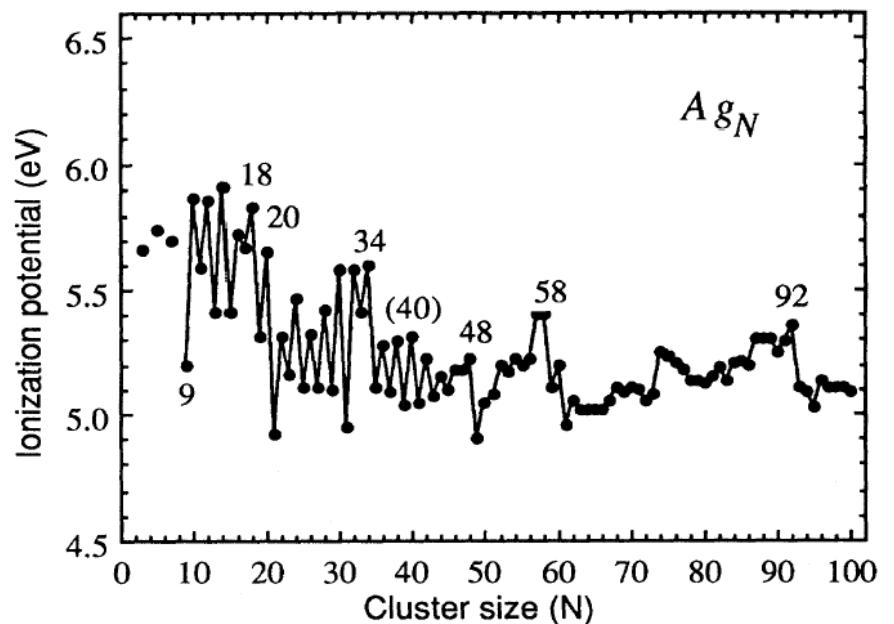


# Shell structure in a real cluster



The atomic structure  
perturbs the electron angular  
momentum eigenstates

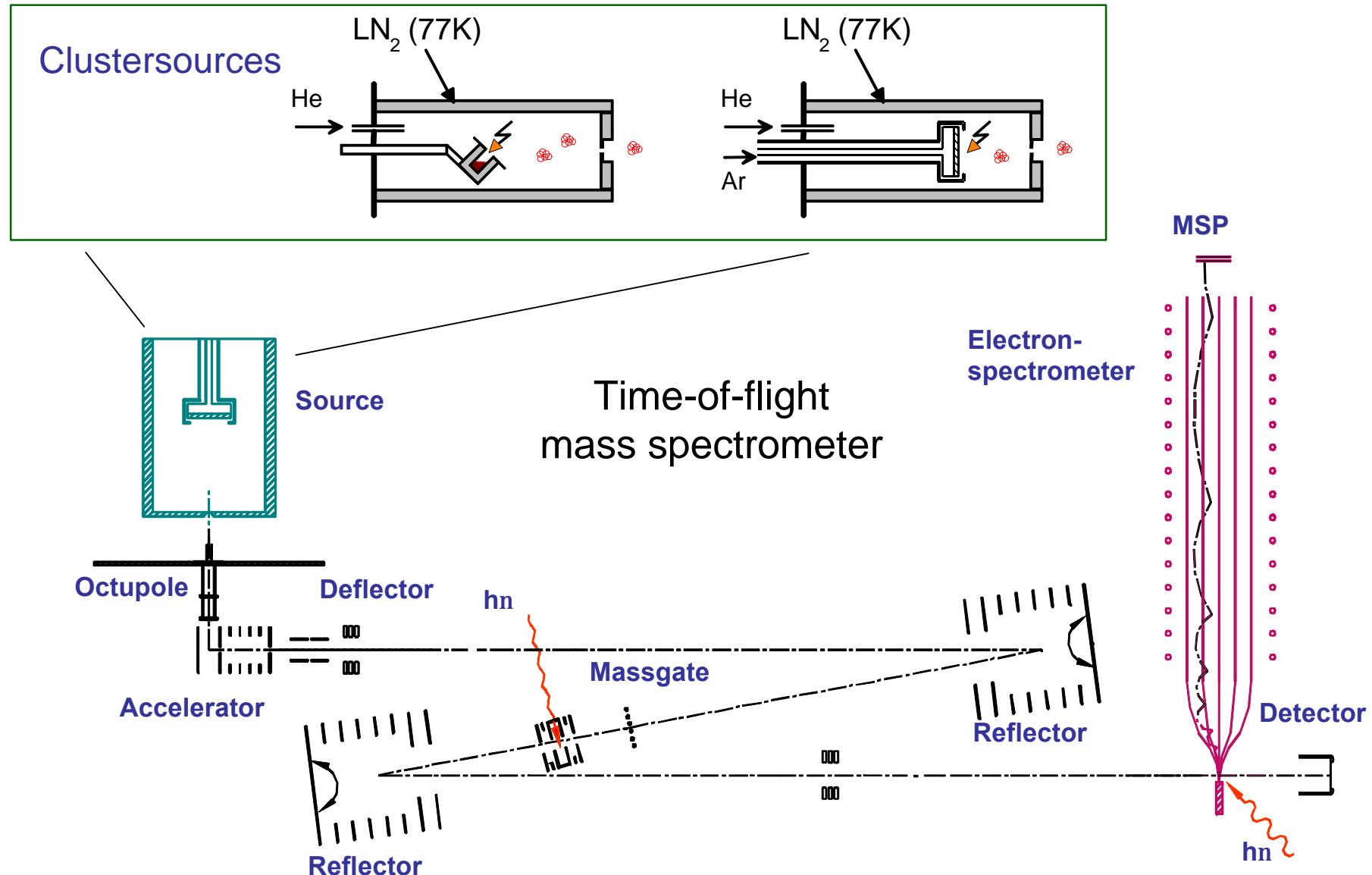
Ionization potentials of silver clusters:  
evidence for perturbed shell structure



Alameddin et al.  
Chem.Phys. Lett. 192, 122 (1992)



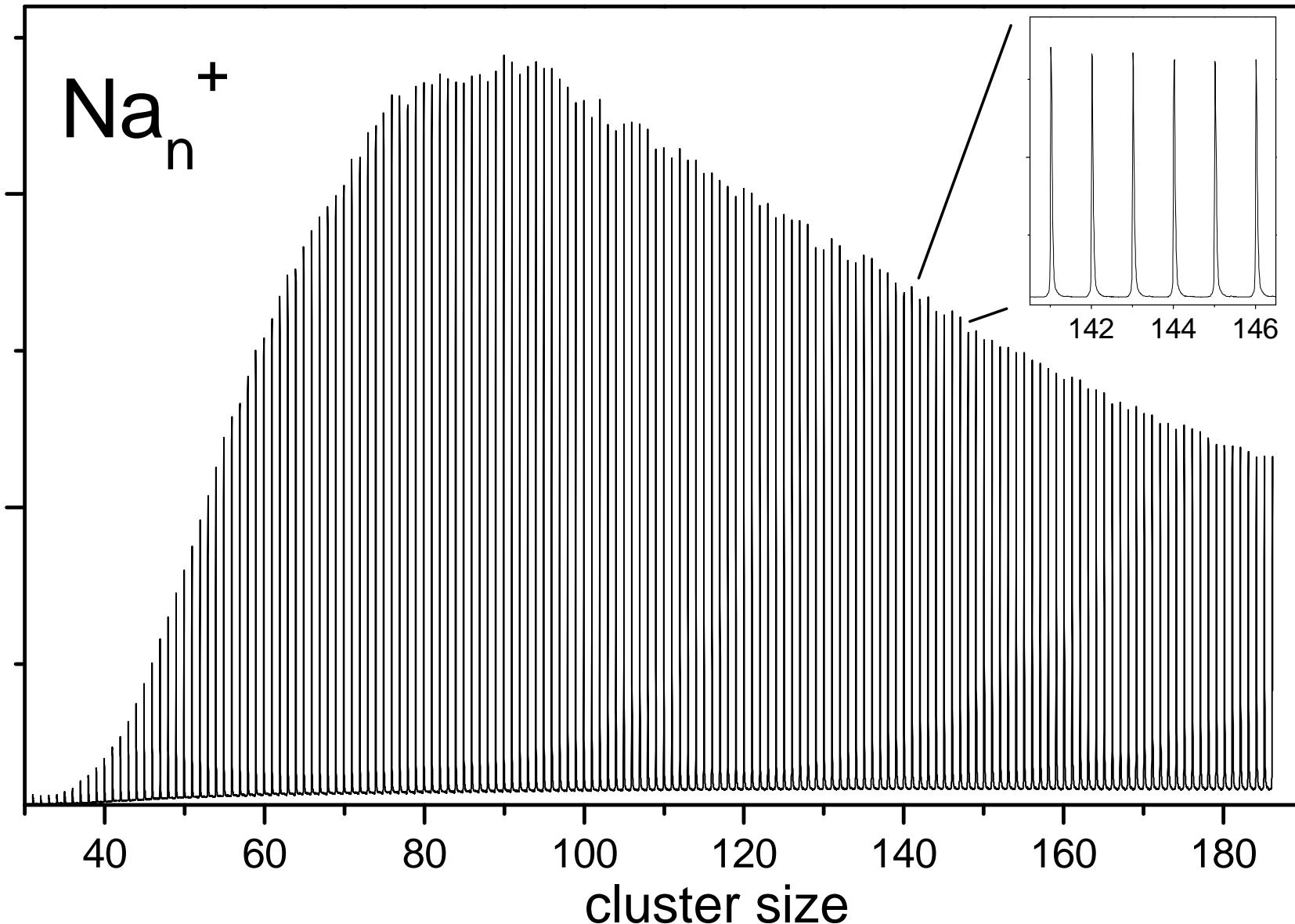
# Experiment





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# Mass spectrum of sodium clusters



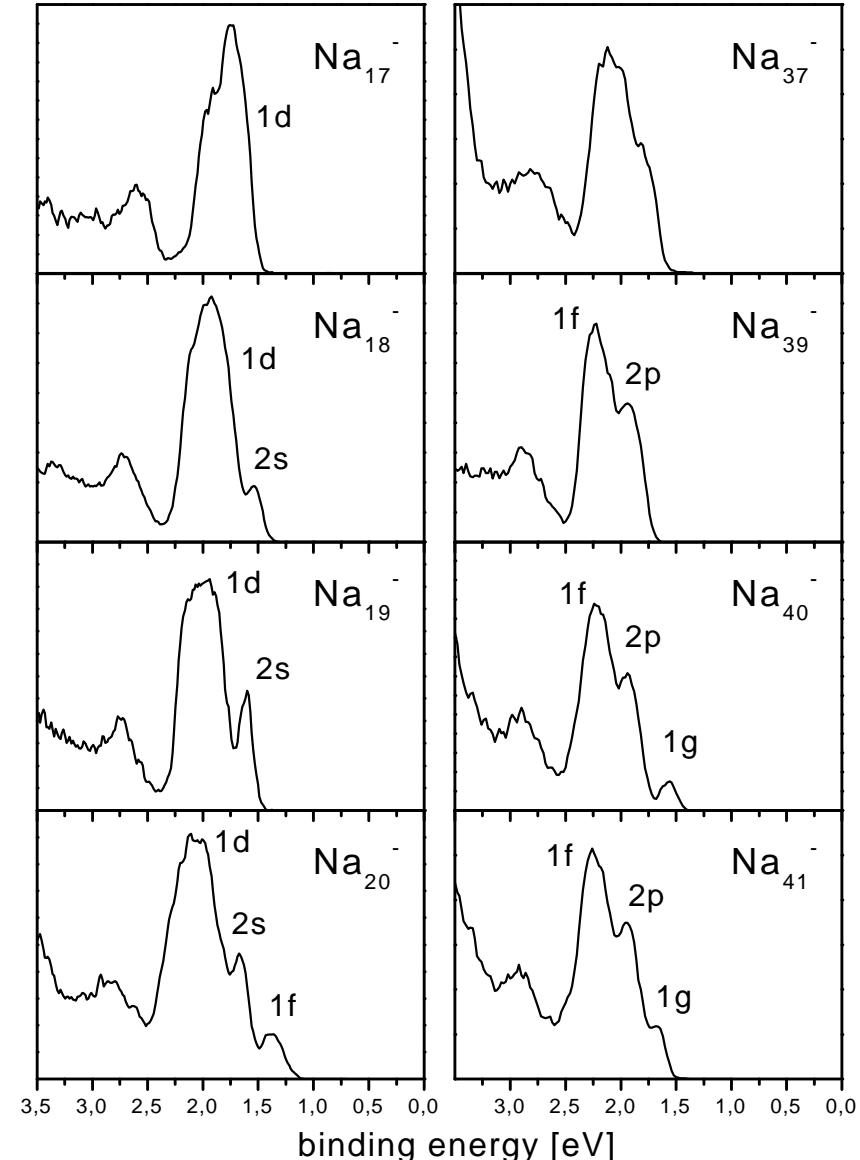


# PES on hot sodium clusters

Jellium levels:

1s	1p	1d	2s	1f	2p	1g
2	8	18	<u>20</u>	34	<u>40</u>	<u>58</u>

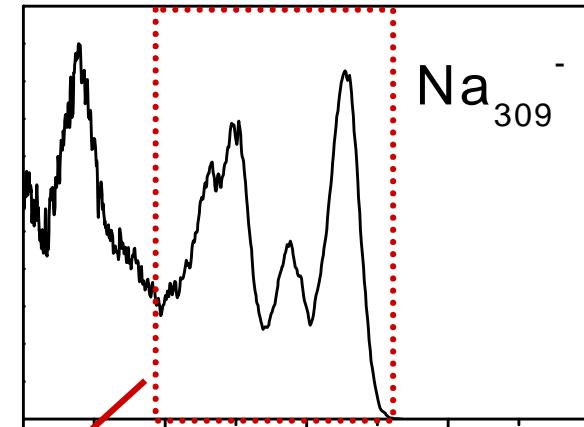
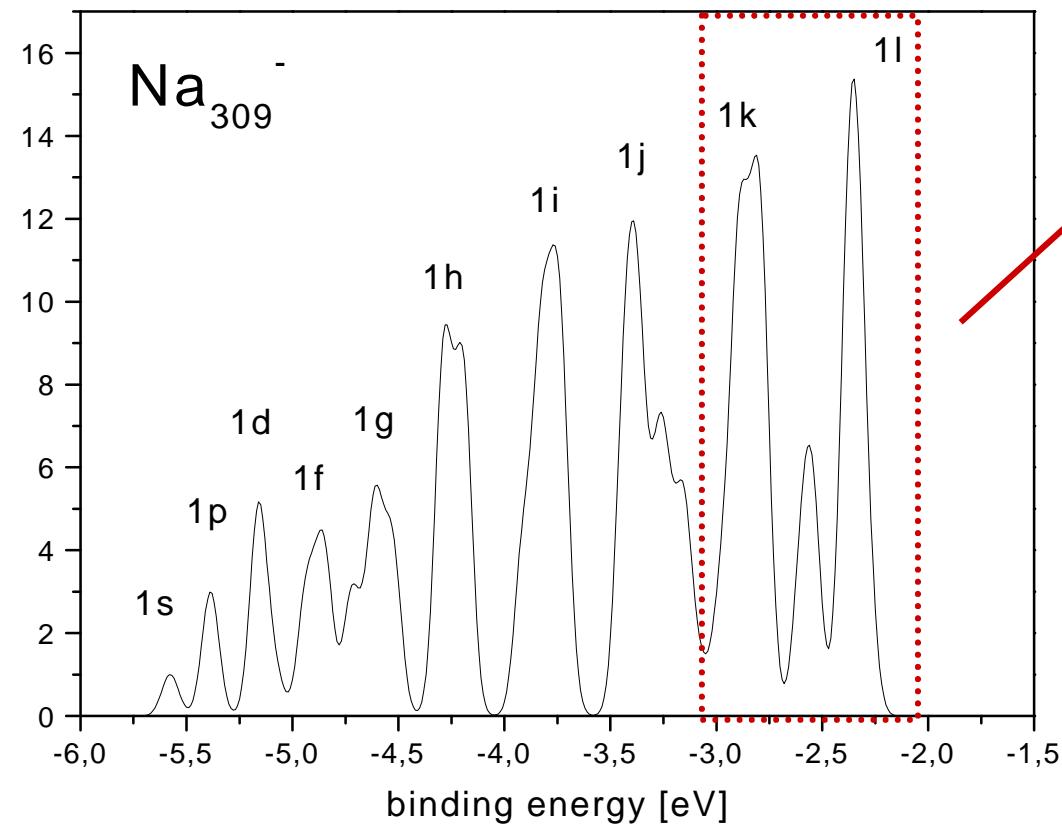
ideal electron shell structure!





# Electron shell structure in a large cluster

Total calculated DOS of the  
icosahedral cluster



measured  
photoelectron  
spectrum

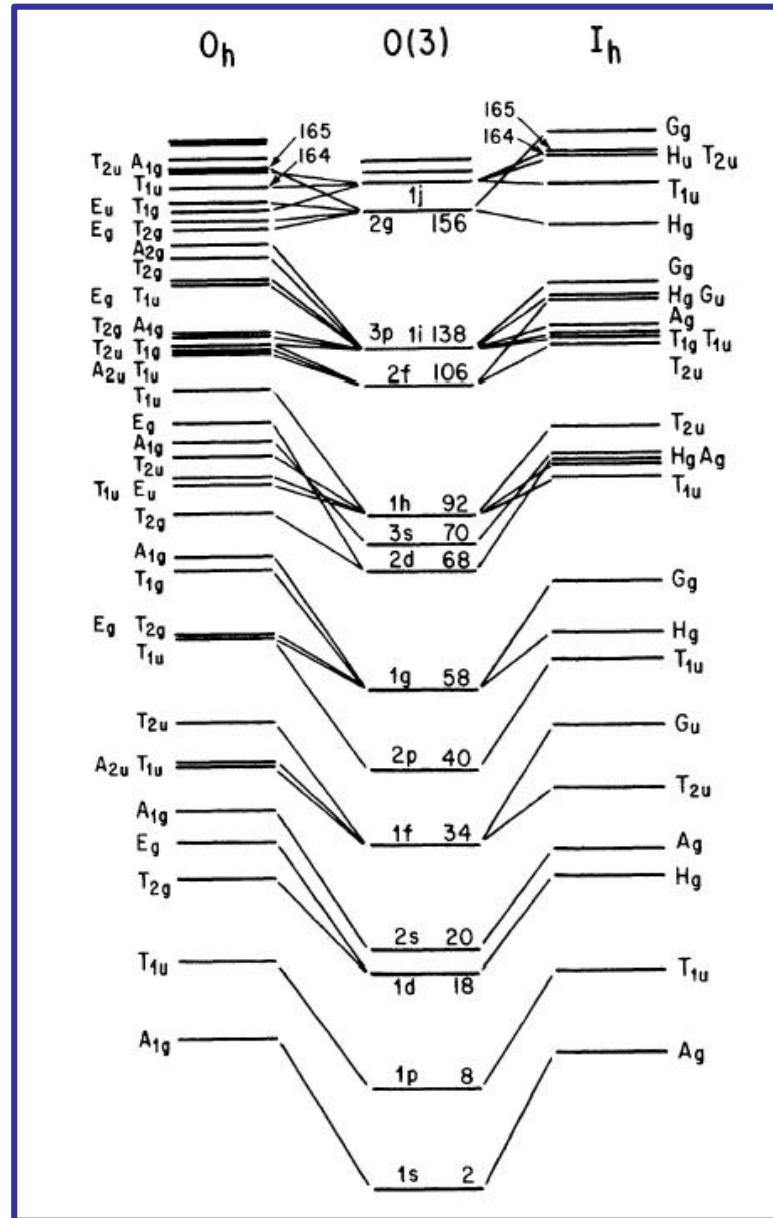
DFT- calculations by  
M. Moseler  
and B. Huber,  
Freiburg



# Crystal field splitting in clusters

Splitting of angular momentum eigenstates

octahedral symmetry



icosahedral symmetry

higher degeneracy!

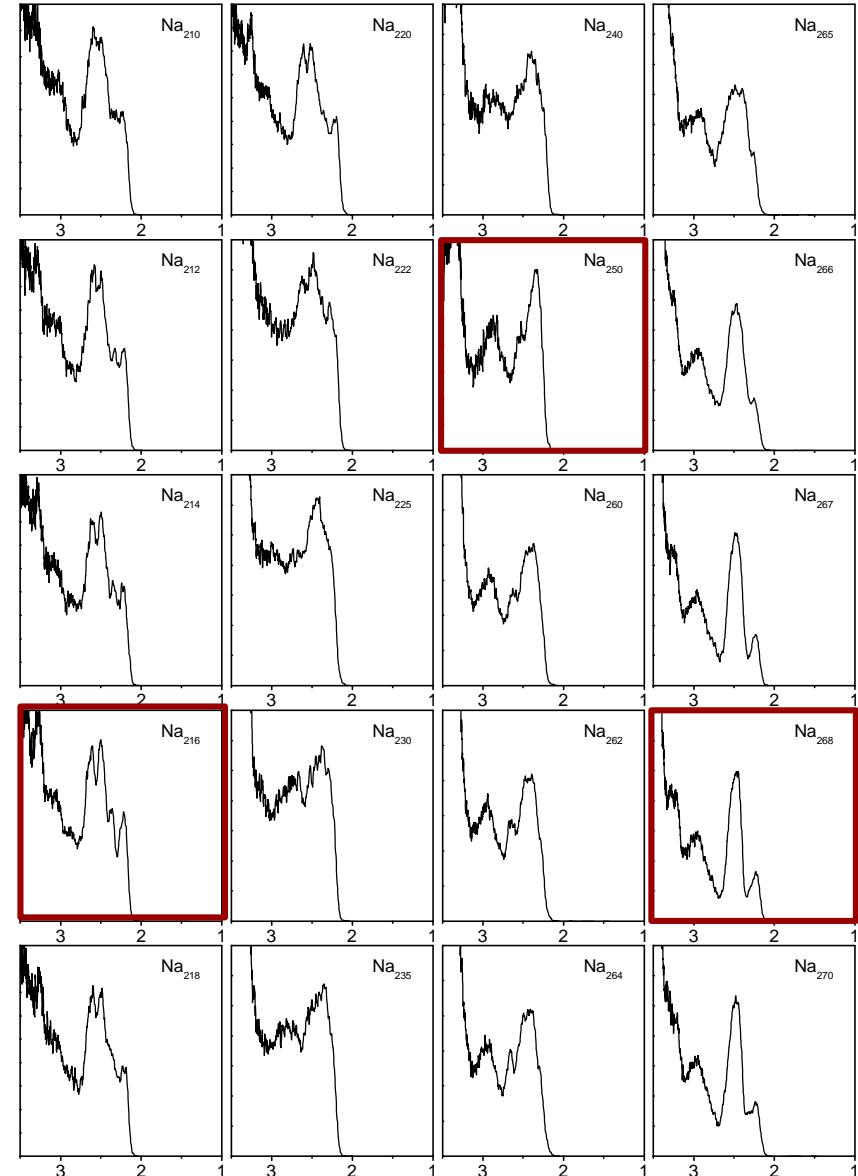


# Size dependence of spectra

Spectra of  $\text{Na}_n^-$  with  
 $n=210-270$ :

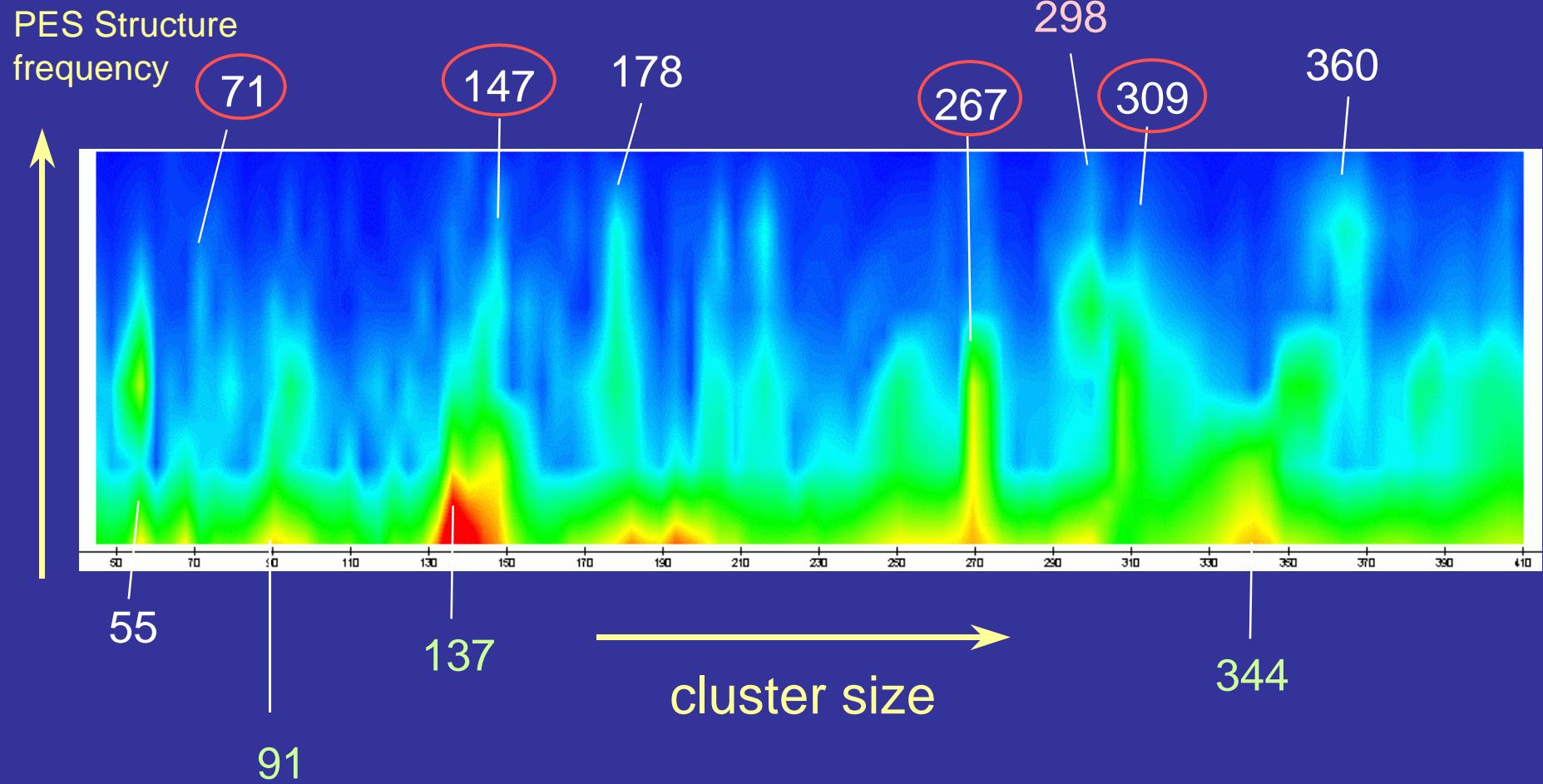
strong variation with size

**highly structured spectra  
indicate high symmetry!**



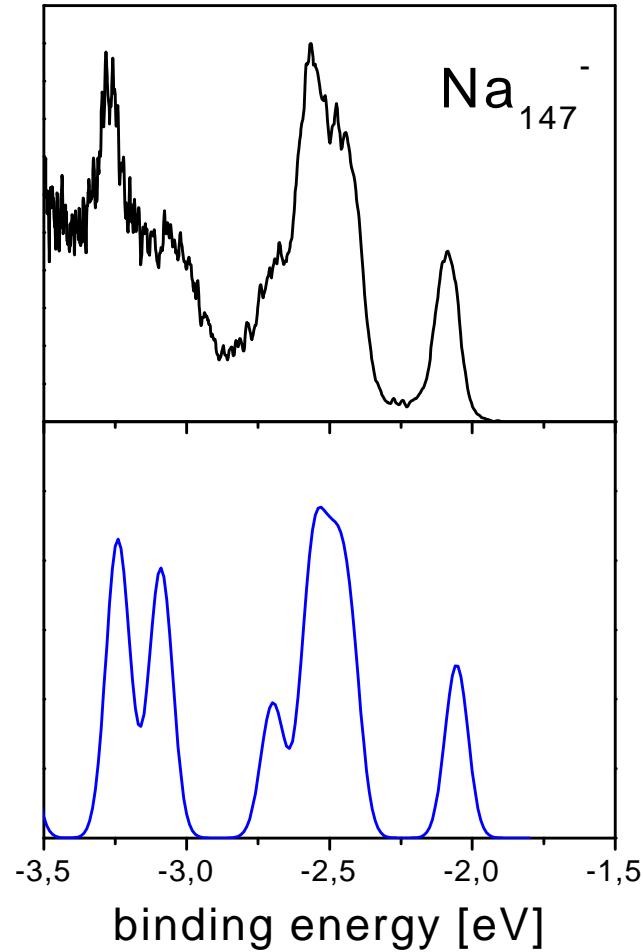


# Fourier Transform PES

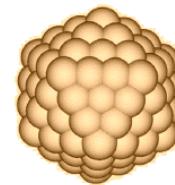




# Comparison with theory

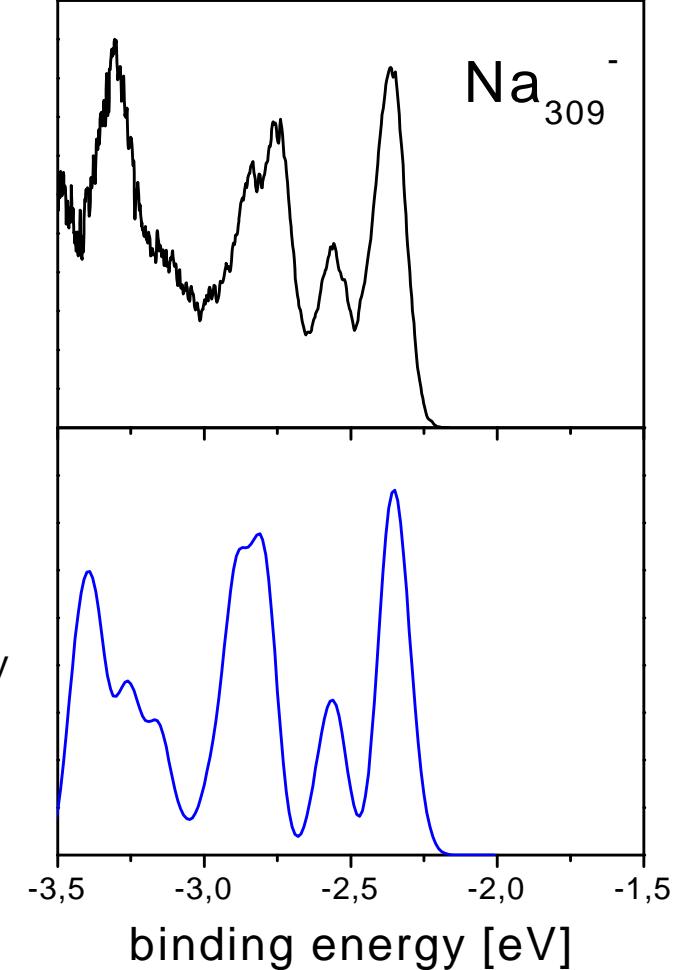


Experiment



Theory

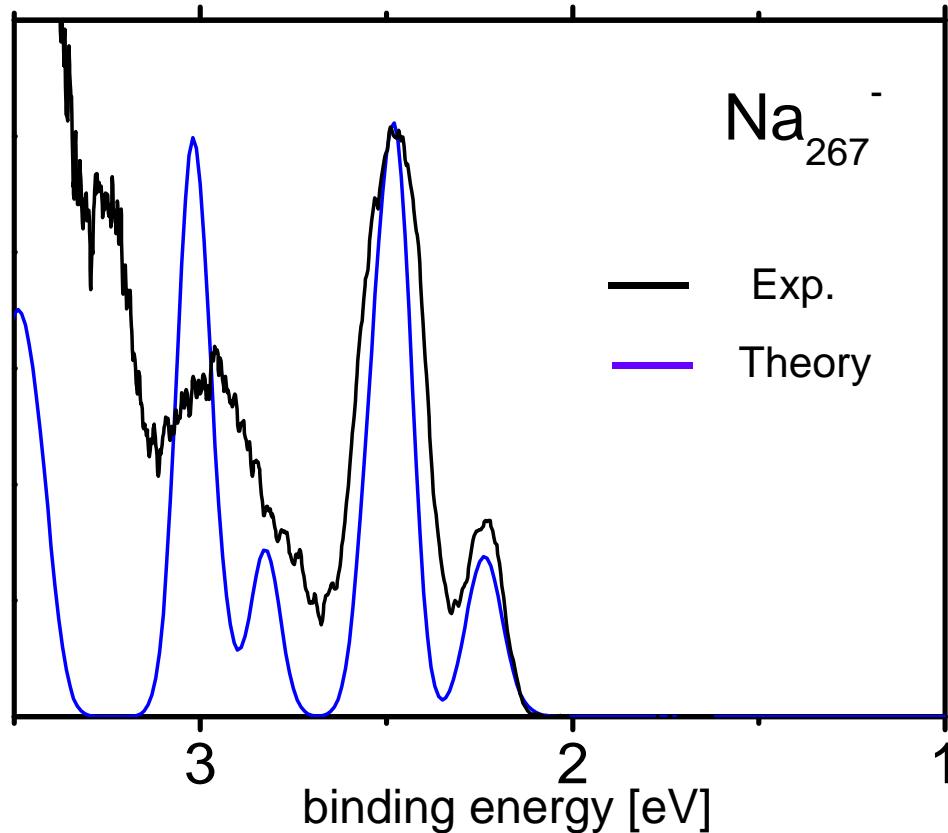
calculations by  
M. Moseler  
and B. Huber,  
Freiburg



Closed shell icosahedral structures!

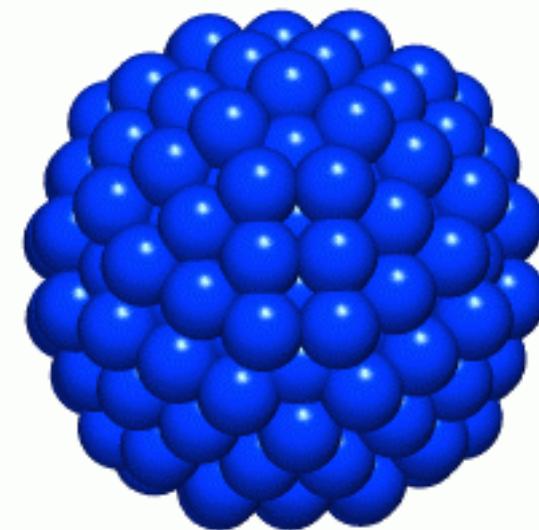


# Double magic cluster



268 electrons

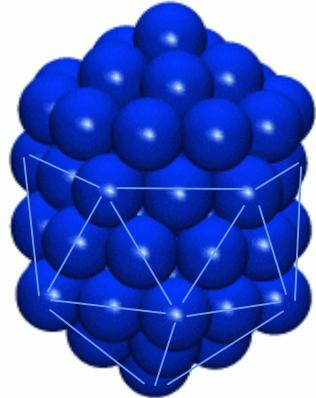
&



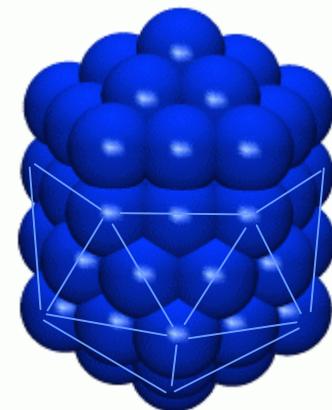
Closed anti-Mackay-shell  
on 147 atom icosahedron



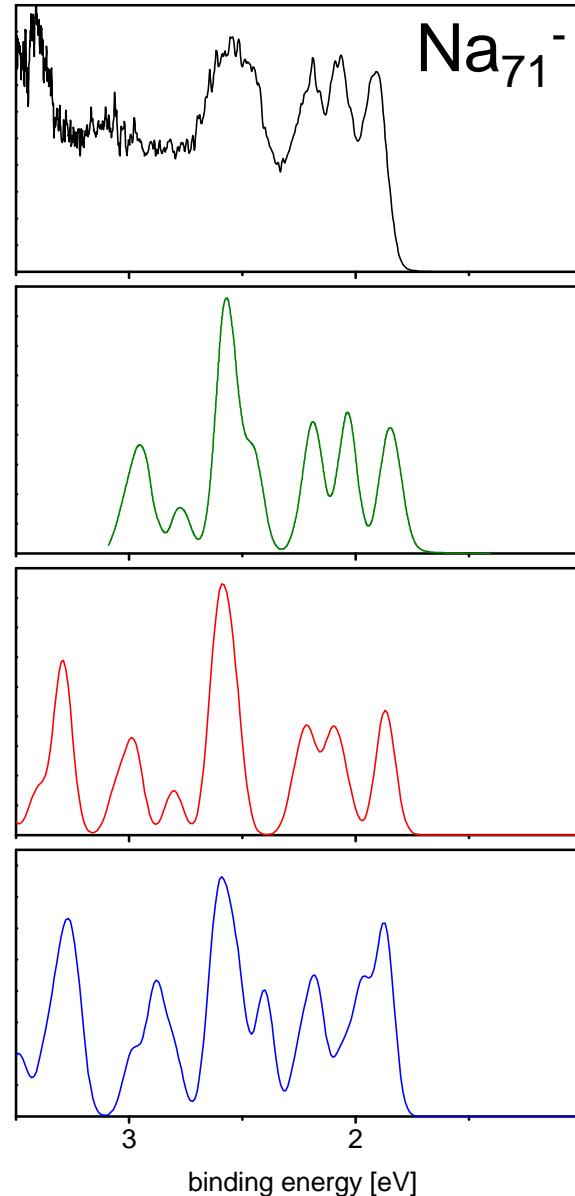
# Sodium: Mackay / anti-Mackay stacking



55 atom icosahedron  
with anti-Mackay-cap



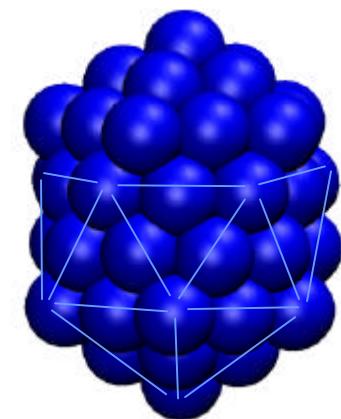
55 atom icosahedron  
with Mackay-cap



Experiment

Calculated DOS

(M.Moseler, IWM Freiburg)



55 atom icosahedron  
with twisted cap

(Noya et al., cond-mat/0506329)



# Program

Introduction

free electron model

Experiment

Photoelectron spectroscopy on  
size selected clusters

Results

Simple metals: electronic and geometric  
structure

- Sodium
- Noble metals

Divalent metals: nonmetal/metal transitions

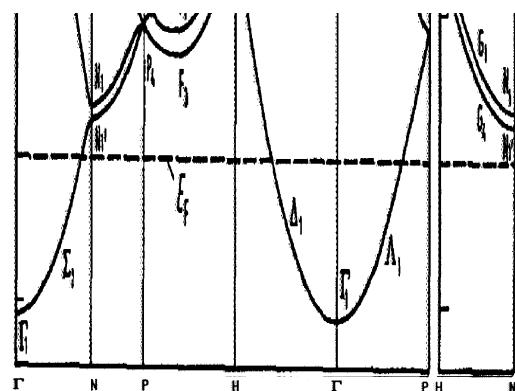
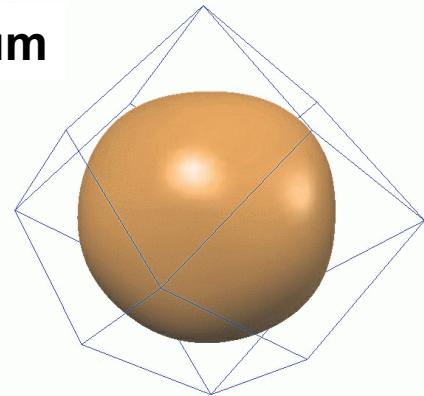
- Introduction: Mercury
- Zinc
- Strontium

Summary



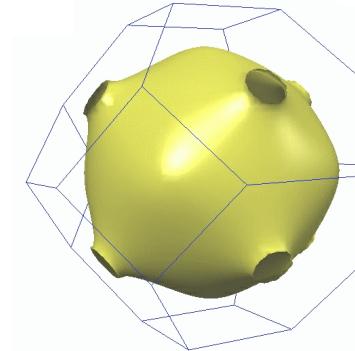
# Comparison of alkali and noble metals

sodium



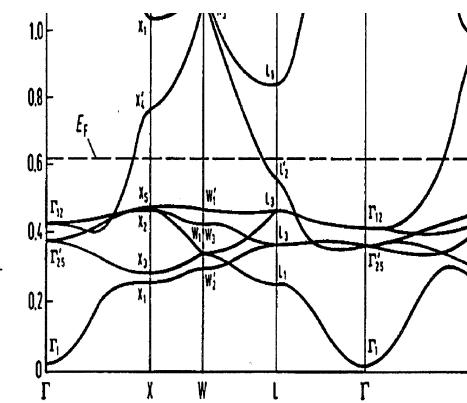
Fermi surfaces

copper

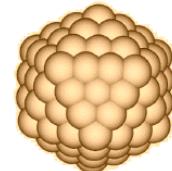


Band structures

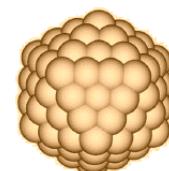
d-Band



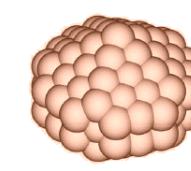
Predicted cluster geometries



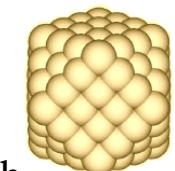
$I_h$



$I_h$



$D_{5h}$



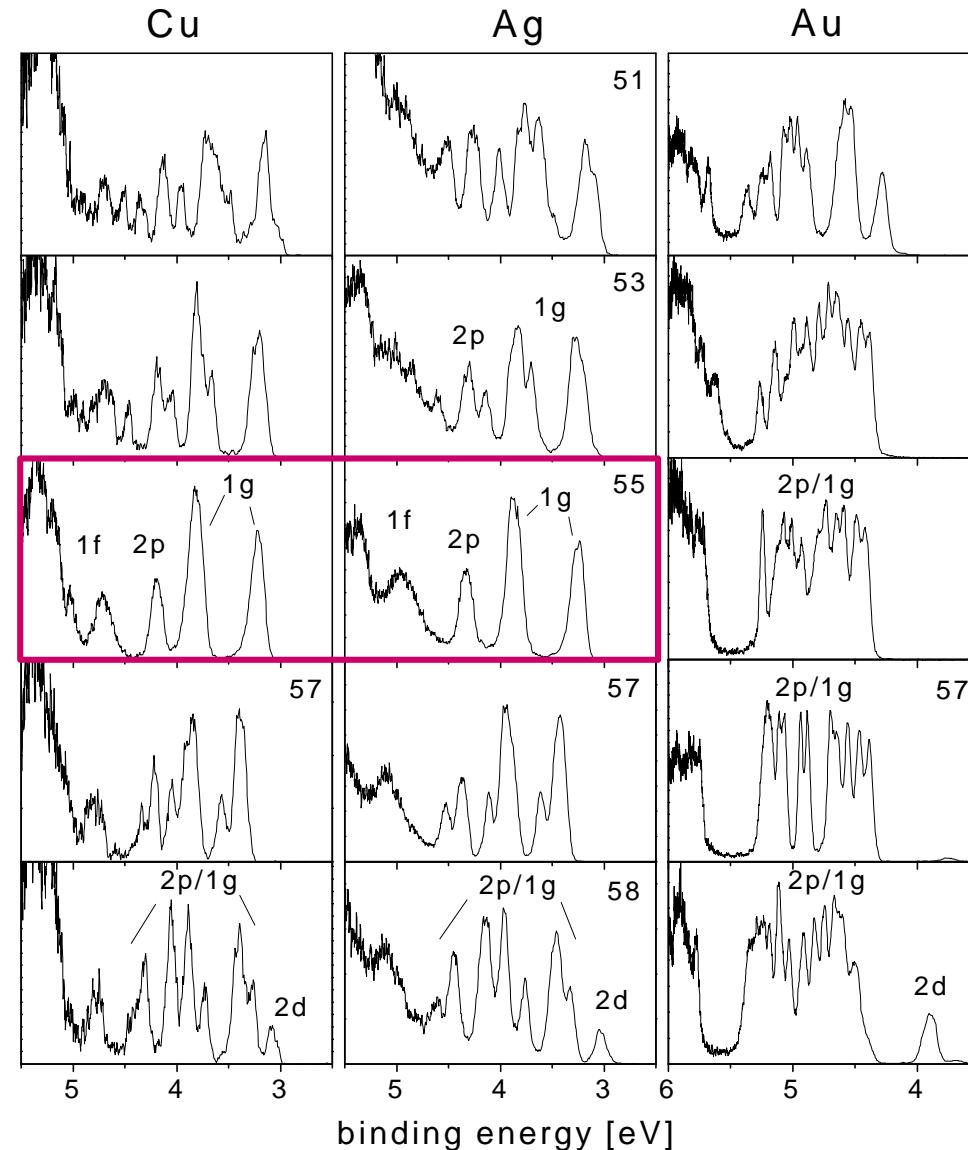
$O_h$



# PES of noble metal cluster anions

size 55:  
highly degenerate states  
for copper and silver!

size 58:  
appearance of a  
new shell (2d)





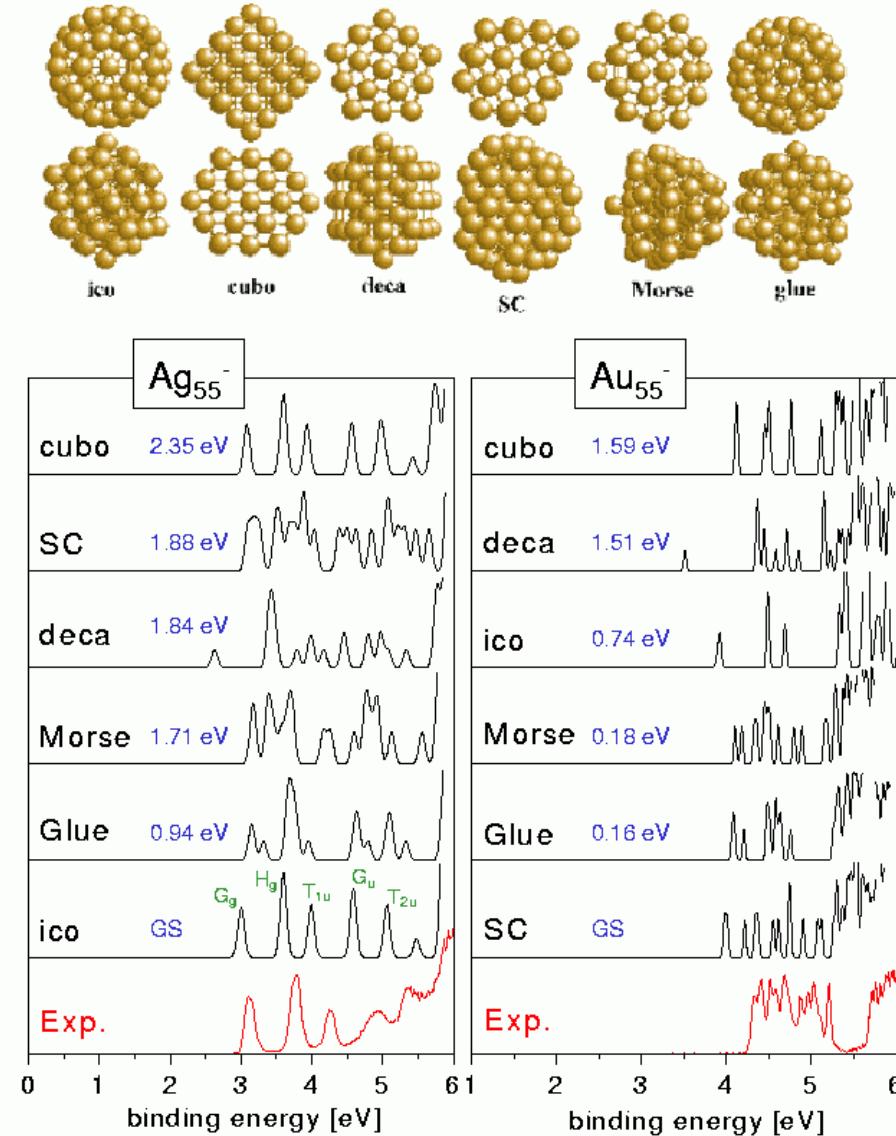
# Comparison with theory

selected cluster  
structures

calculated DOS  
(M.Moseler,  
H.Häkkinen)

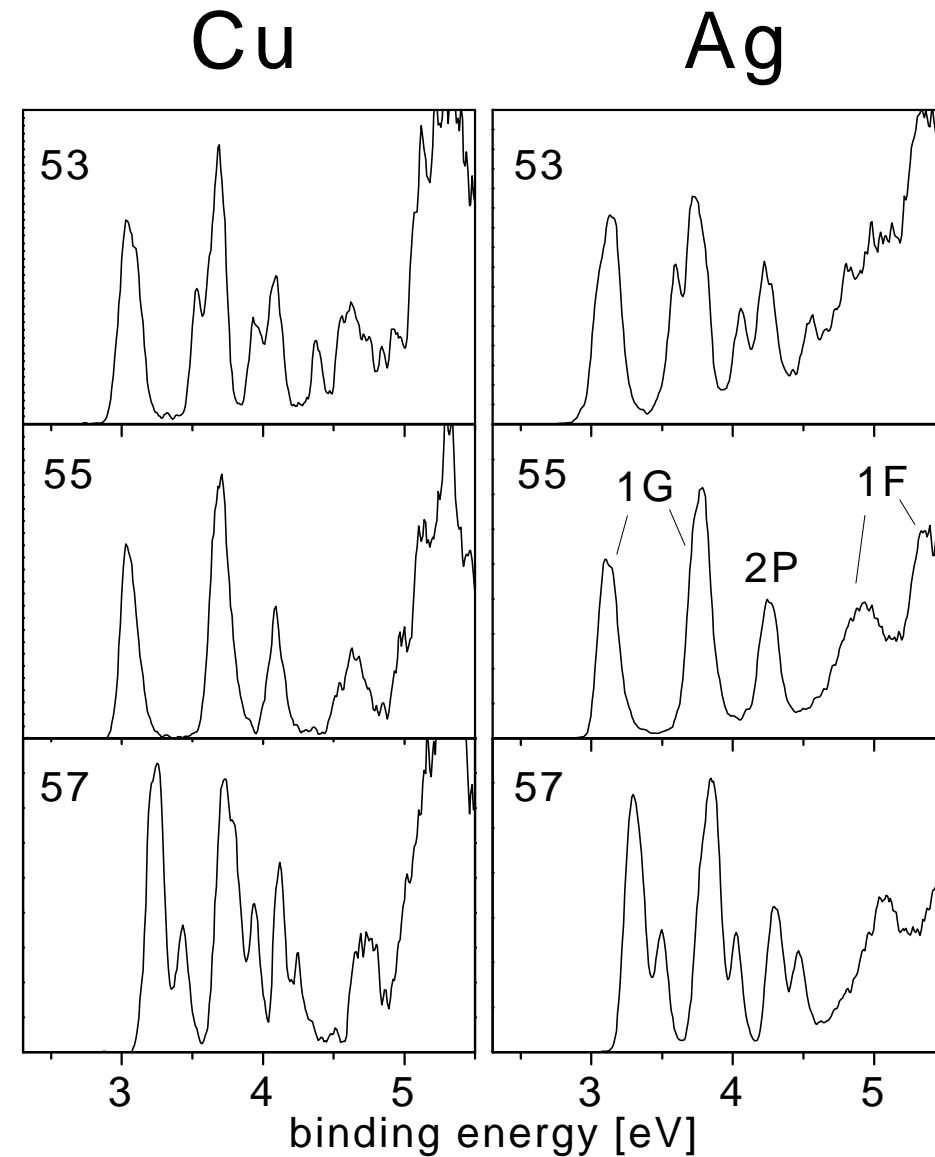
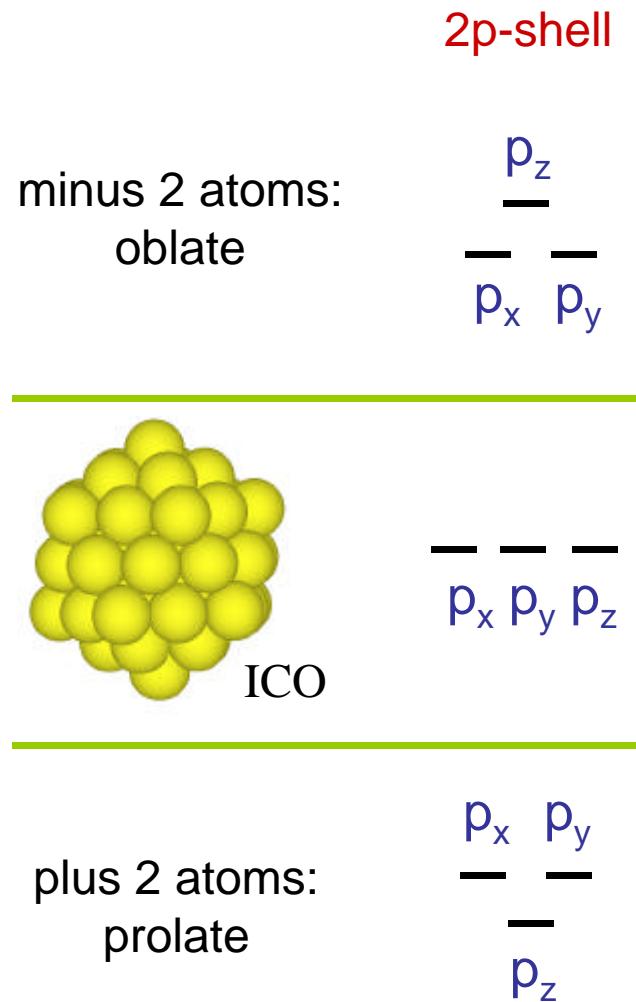
Program by  
Landman/Barnett

Experiment





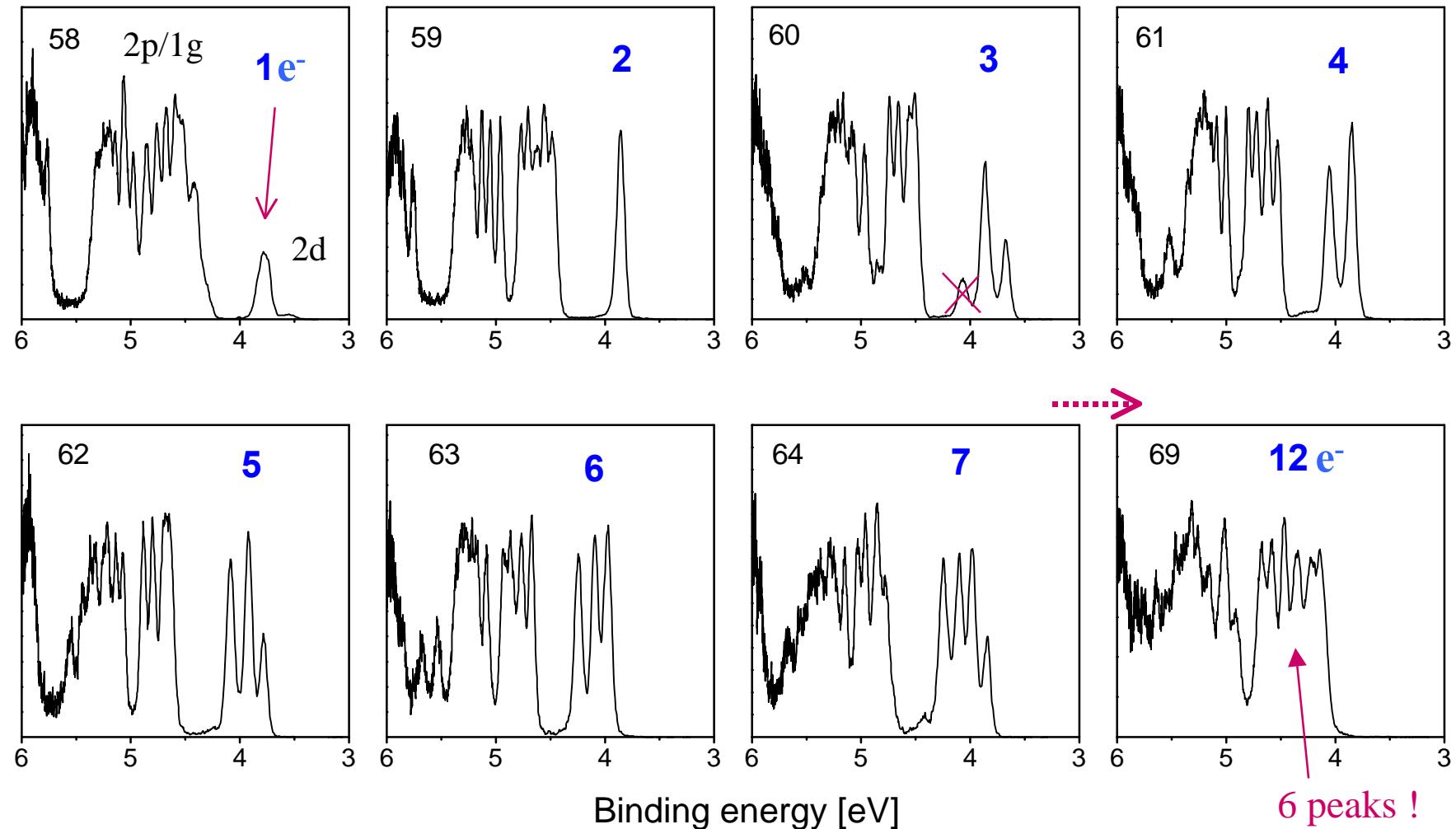
# Symmetry perturbation





# Counting electrons: gold clusters

PES of  $\text{Au}_n^-$ ,  $n = 58\text{-}69$





# Program

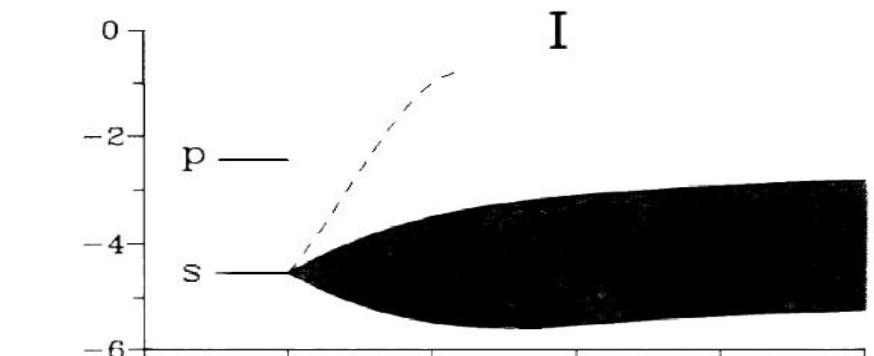
- |              |  |
|--------------|--|
| Introduction | free electron model  |
| Experiment   | Photoelectron spectroscopy on<br>size selected clusters  |
| Results      | <p>Simple metals: electronic and geometric structure</p> <ul style="list-style-type: none"><li>• Sodium</li><li>• Noble metals</li></ul> <p>Divalent metals: nonmetal/metal transitions</p> <ul style="list-style-type: none"><li>• Introduction: Mercury</li><li>• Zinc</li><li>• Strontium</li></ul> |
| Summary      |  |



# Development of the electronic bands of metal clusters

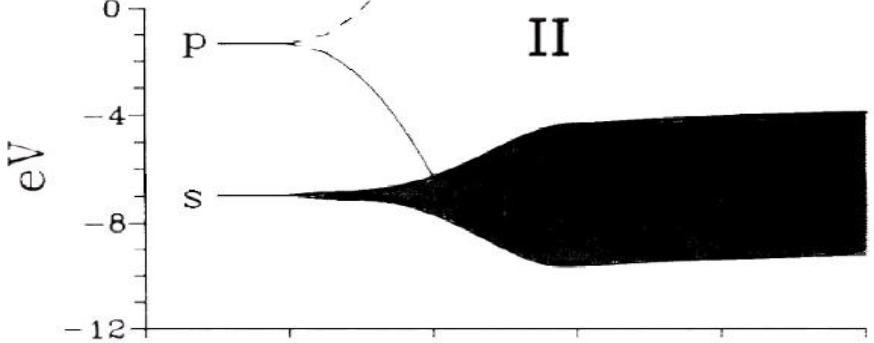
**Monovalent:**

**Sodium**



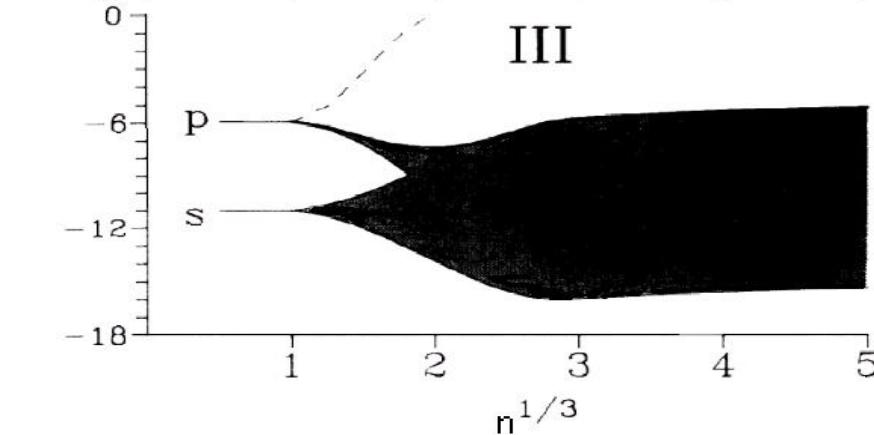
**Divalent:**

**Zinc**



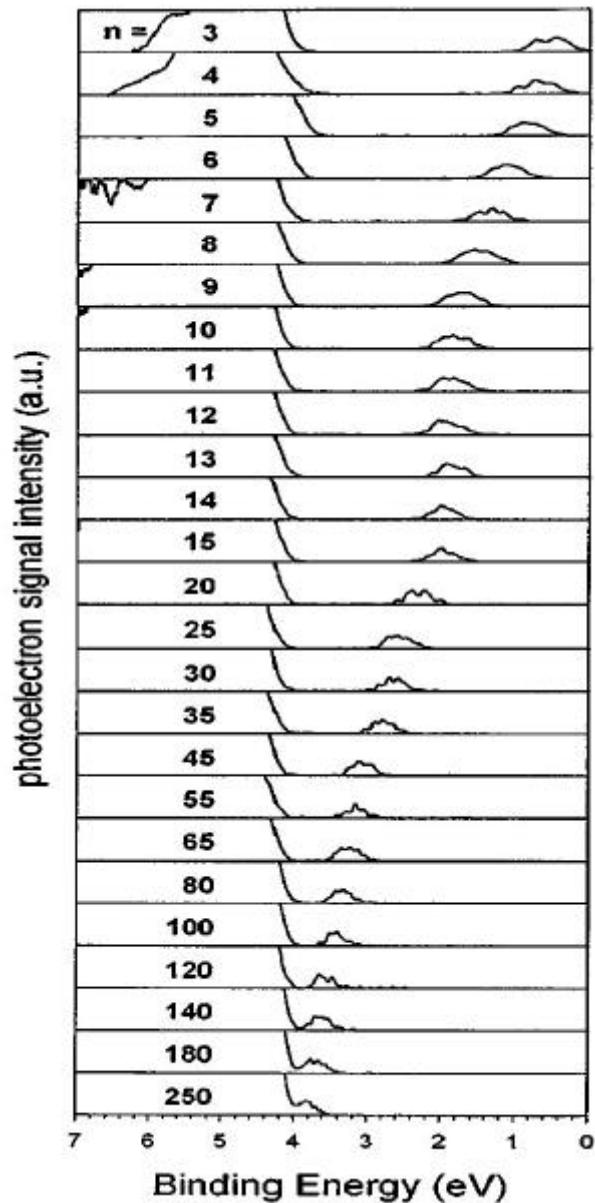
**Trivalent:**

**Aluminum**

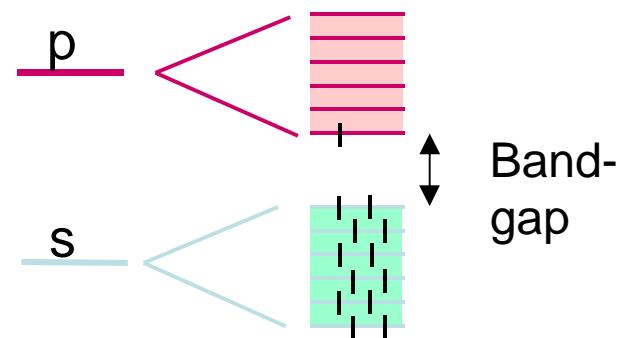




# Photoelectron spectroscopy on $\text{Hg}_n^-$ -clusters: Nonmetal-metal-transition



Cluster anions:  
1 electron in p-band

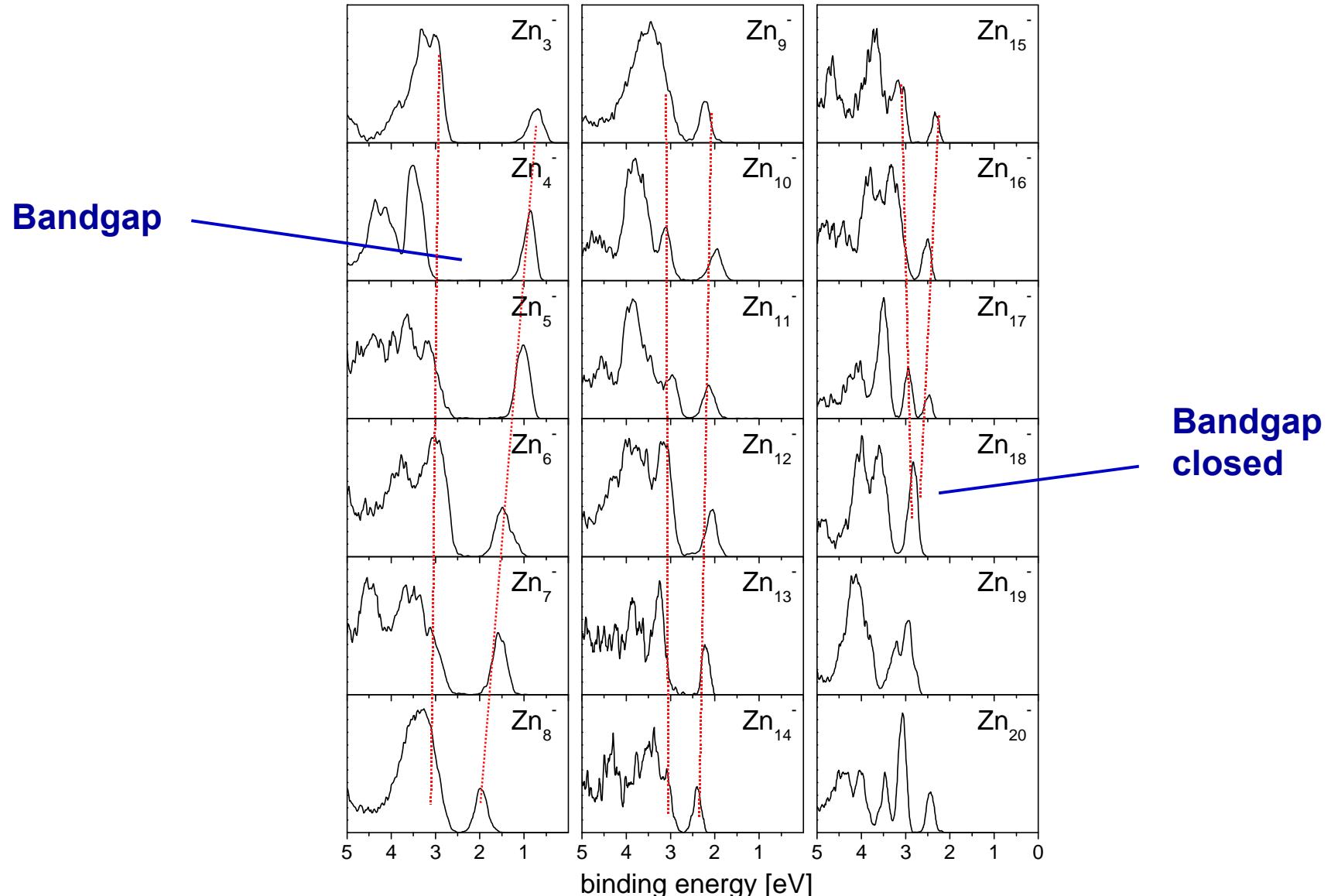


Busani, Folkers, Cheshnovsky,  
PRL 81, 3836 (1998)



# Photoelectron spectroscopy on small Zn<sup>+</sup>-clusters

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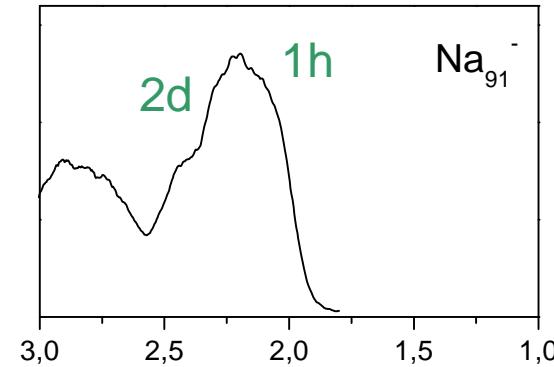




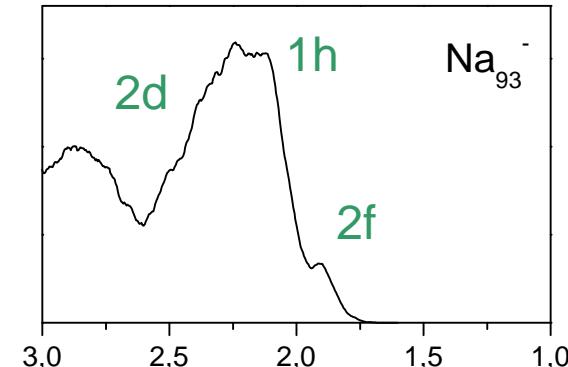
# Free electron shells in larger Zn clusters

Comparison  
with spectra  
of sodium  
clusters

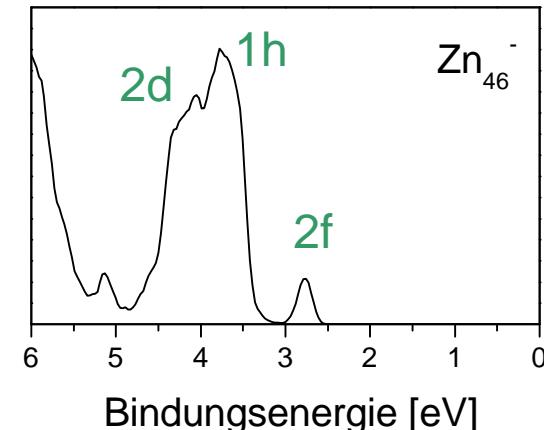
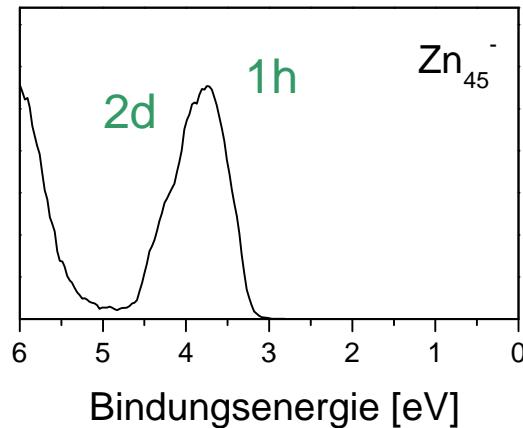
92 (91) electrons



94 (93) elektrons



Energy axis  
scaled according  
to Fermi energies  
Na: 3.1 eV  
Zn: 9.5 eV





# Other divalent metals

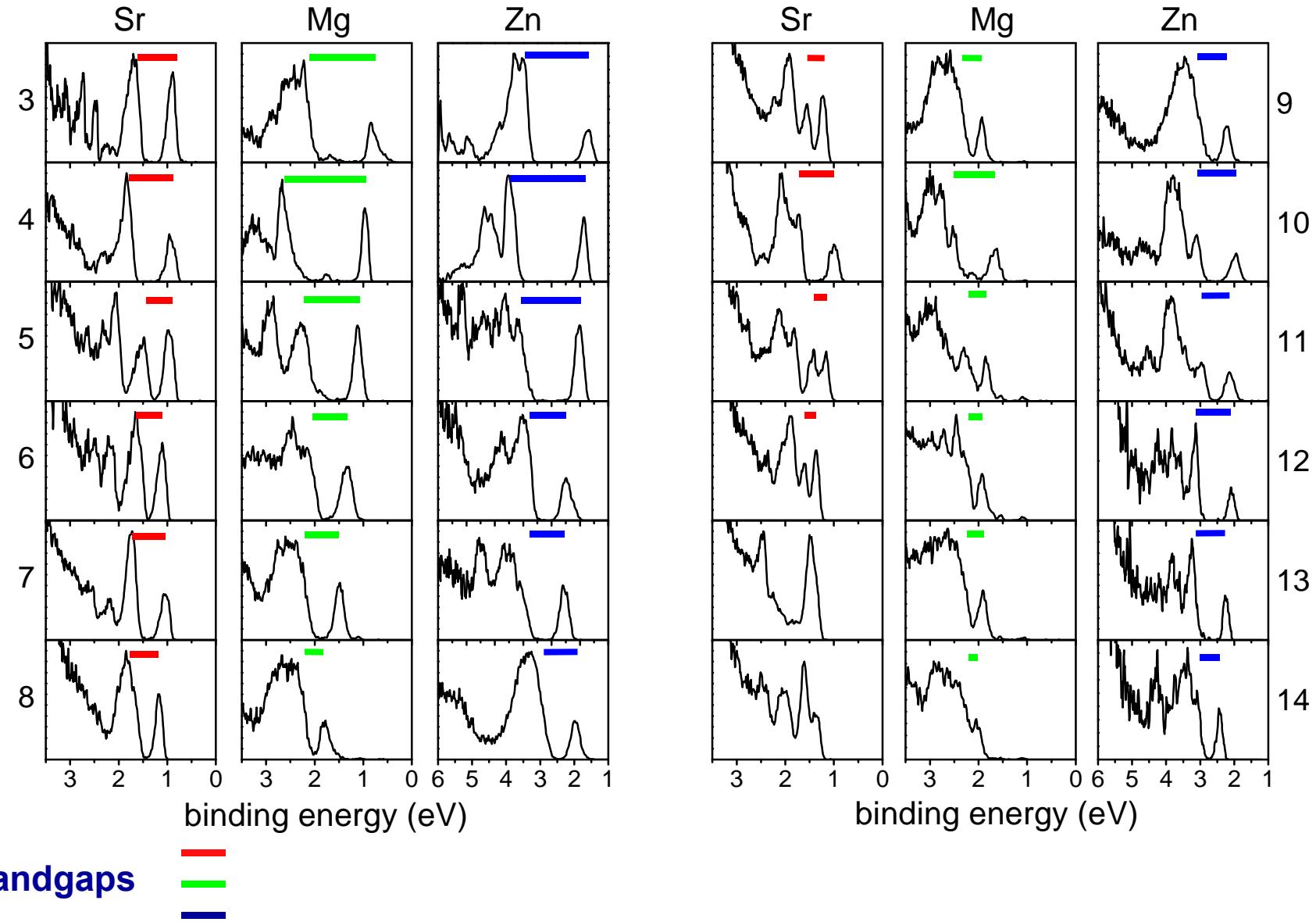
Increasing  
relativistic  
effects

Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
Period																		
1	1 H																2 He	
2	3 Li	4 Be															9 F	10 Ne
3	11 Na	12 Mg															17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Uub	113 Uut	114 Uuq	115 Uup	116 Uuh	117 Uus	118 Uuo
*Lanthanoids		*	57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb		
**Actinoids		**	89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No		





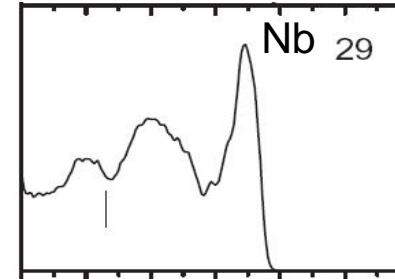
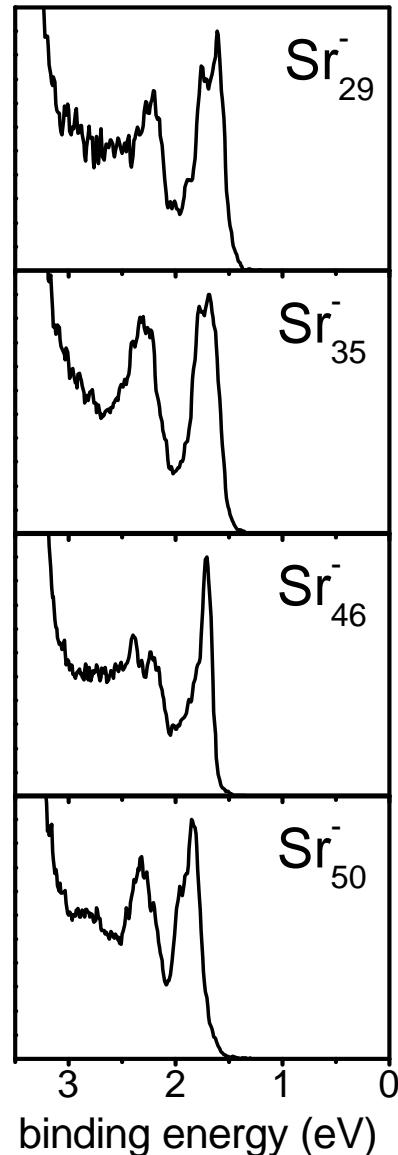
# Comparison of PES of small Sr, Mg and Zn



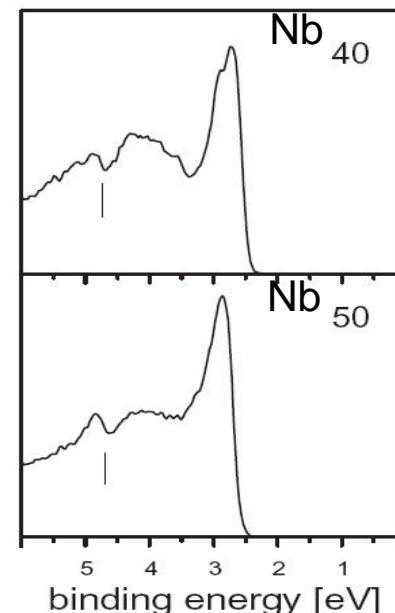


# PES of big Sr clusters

Electronically  
magic sizes:  
**No bandgap!**



G.Wrigge et al.  
Eur. Phys. J. D **24**, 23  
(2003)

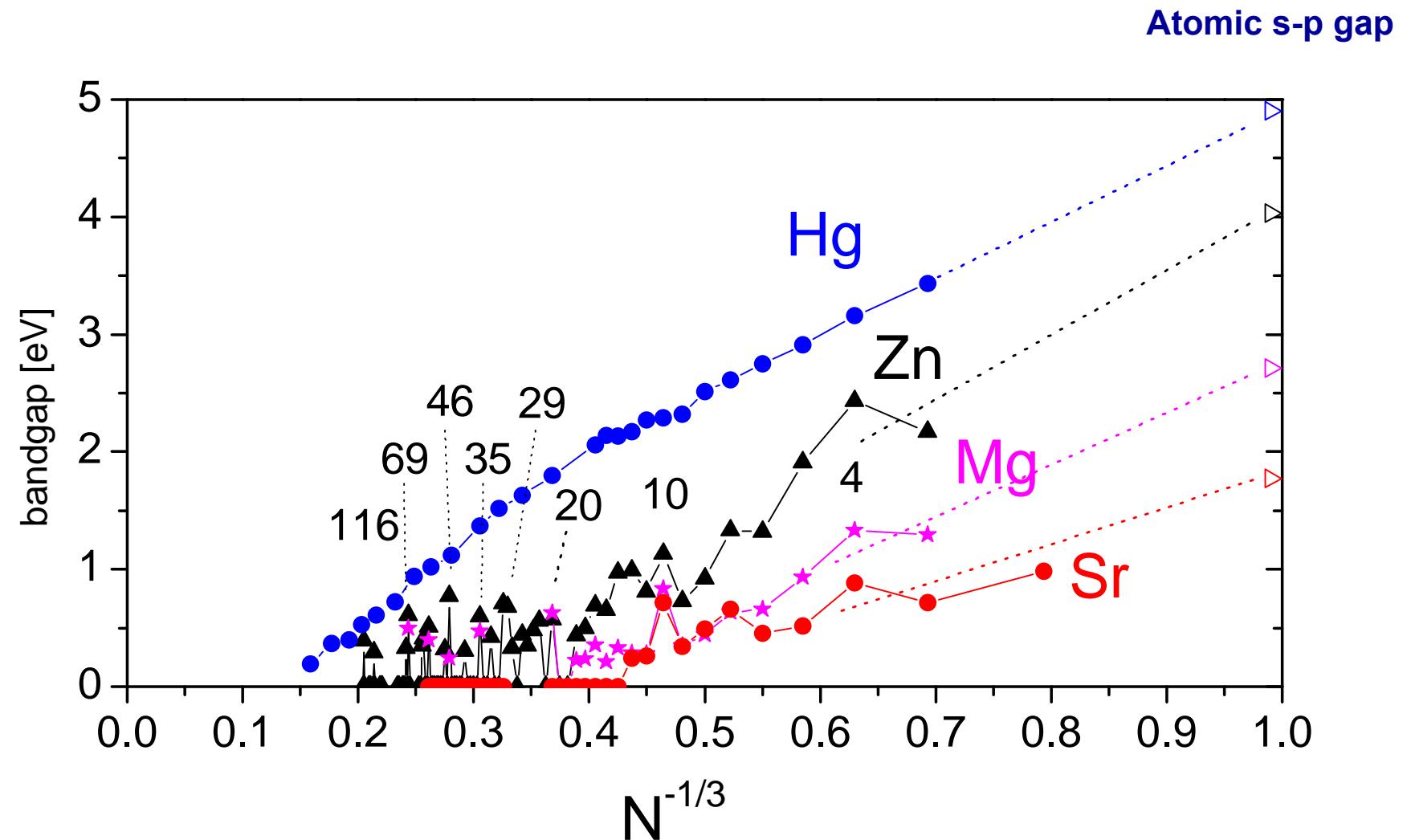


Spectra  
similar to  
open d-band  
transition  
metals!

**Sr develops  
into a  
transition metal!**



## Bandgaps of Hg, Zn, Mg and Sr



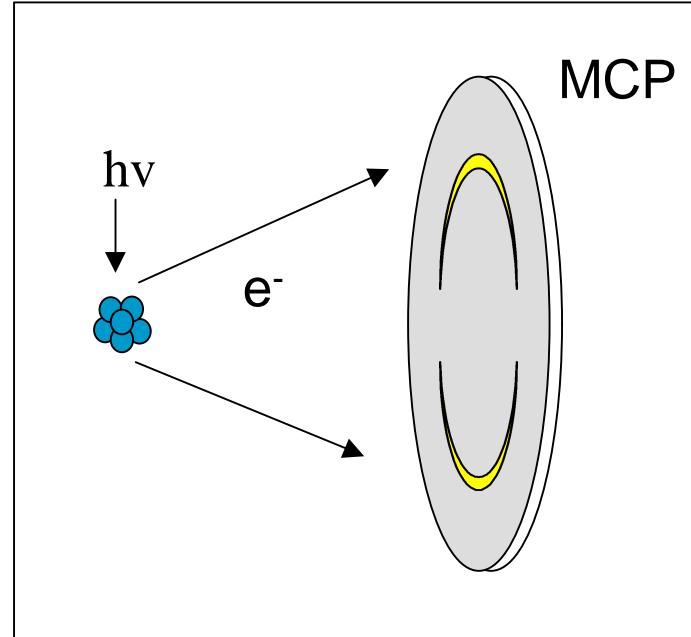


# Outlook: angular resolved PES

**Imaging Photoelectron  
Spectrometer:**

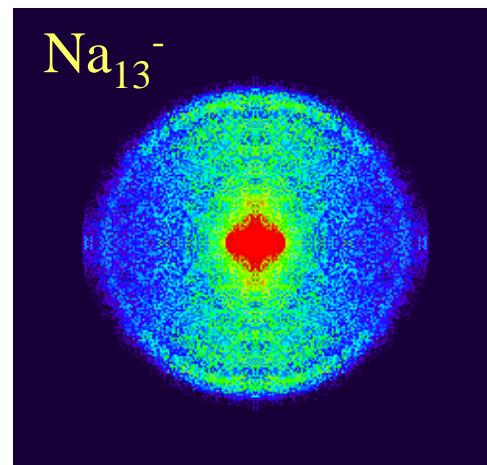
**Measurement of angular  
and kinetic energy distribution**

„Superatom“ behaviour ?

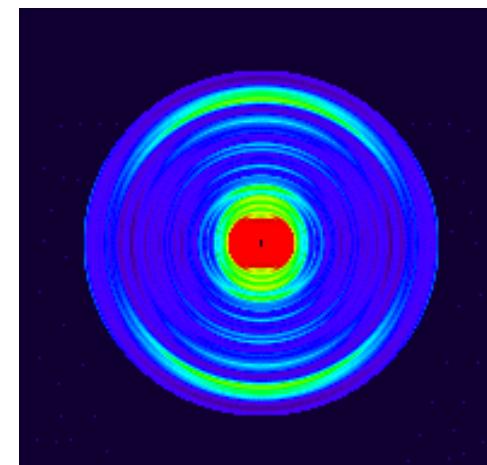


**Na<sub>13</sub><sup>-</sup>**

**1s<sup>2</sup> 1p<sup>6</sup> 1d<sup>6</sup>**



raw data



„Abel“ transform

**308 nm  
laser  
polarization**



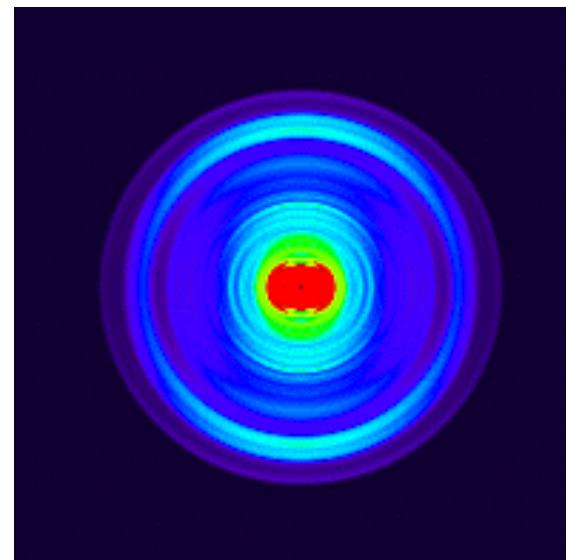
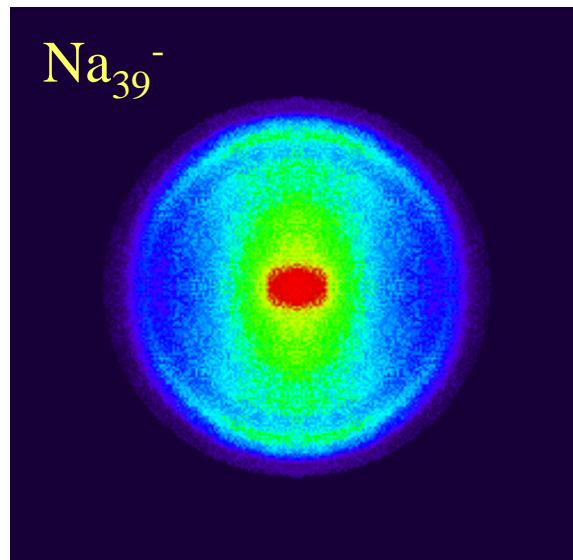
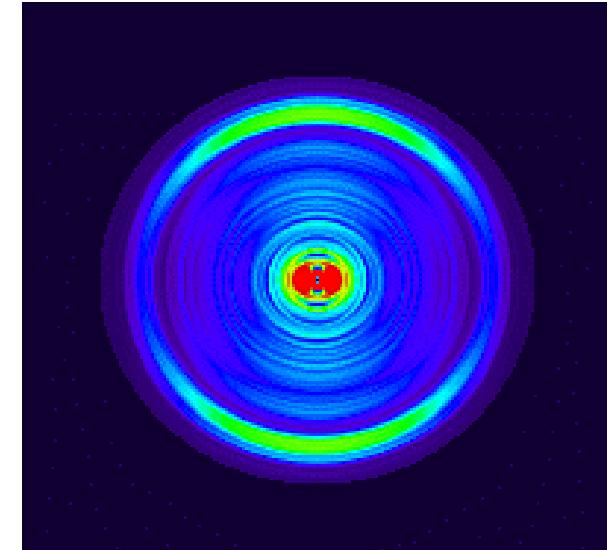
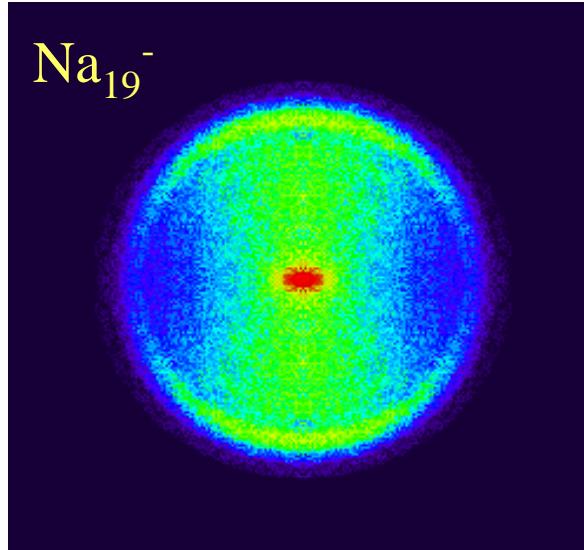


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# Angular resolved PES

nanosecond  
laser pulse  
308 nm

laser  
polarization



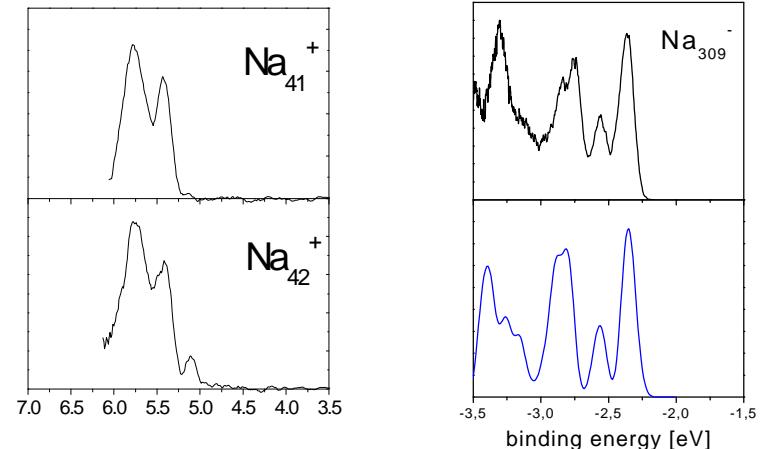
p-character of outgoing  
electron wave in  
all cases !

Atomic s-character  
visible?

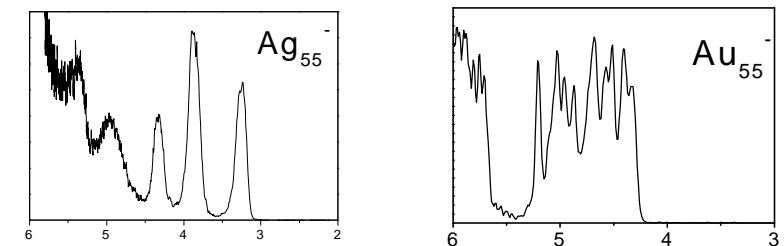


# Summary

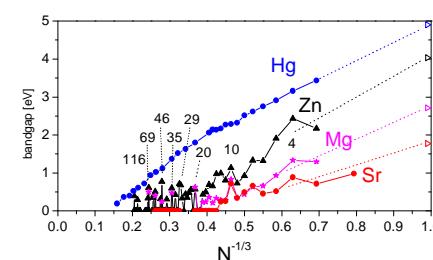
Sodium clusters:  
perfect shell structure  
(only) for liquid clusters



Noble metal clusters:  
strongly perturbed shell structure;  
high degeneracy only for high symmetry.  
And:  
Gold is different!



Divalent metals:  
Nonmetal/metal transition as a function  
of size  
Hg and Sr are special!





# Acknowledgment

Thanks to



**Abdollah Malakzadeh  
Jörg Schwöbel  
Christine Wehrstein  
Raphael Kuhnhen**

**Christof Bartels  
Christian Hock  
Pascal Didier  
Oleg Kostko  
Chunrong Yin**