



*The Abdus Salam*  
**International Centre for Theoretical Physics**



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**"8th Workshop on Three-Dimensional Modelling of  
Seismic Waves Generation, Propagation and their Inversion"**

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**Theoretical and observed envelopes  
of scattered high-frequency seismic waves  
at local to regional distances**

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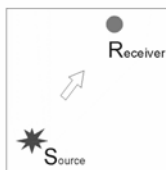
# Theoretical and observed envelopes of scattered high-frequency seismic waves at local to regional distances

## OUTLINE:

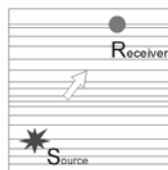
1. RANDOM MEDIA, RANDOM AND OBSERVED SIGNAL
2. MORPHOLOGY OF SCATTERED WAVES ON THE EARTH. CODA
3. THEORY. RANDOM SCATTERERS, RANDOM INHOMOGENEITY
4. SIMULATED ENVELOPES
5. INVERSION FOR TURBIDITY
6. NON-UNIFORMITY OF SCATTERER DENSITY IN THE EARTH

Common models of the medium where the waves propagate

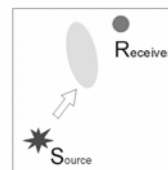
## **DETERMINISTIC MEDIA**



UNIFORM

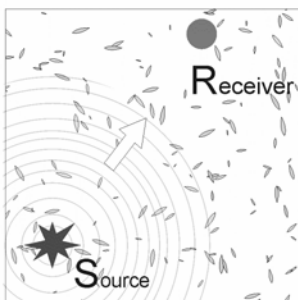


LAYERED HALF-SPACE

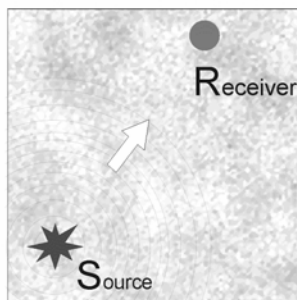


DETERMINISTIC OBSTACLE

## **RANDOM MEDIA**



RANDOM DISTRIBUTION  
OF OBSTACLES/SCATTERERS



RANDOM FIELD  
OF PROPERTIES  
( $\lambda$ ,  $\mu$ ,  $\rho$ )

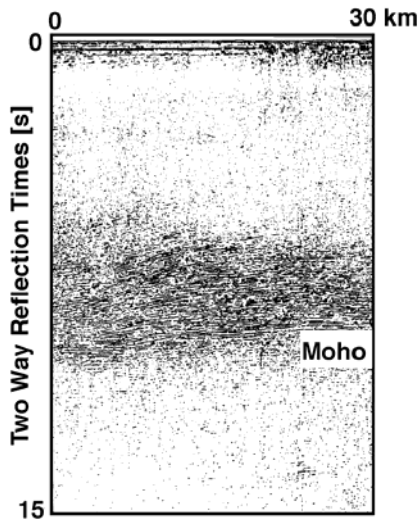
### RANDOM PERTURBATION OF PROPERTIES

$$\begin{aligned}\lambda(\mathbf{x}) &= \lambda_0(1 + \varepsilon_\lambda(\mathbf{x})); \\ \mu(\mathbf{x}) &= \mu_0(1 + \varepsilon_\mu(\mathbf{x})); \\ \rho(\mathbf{x}) &= \rho_0(1 + \varepsilon_\rho(\mathbf{x}))\end{aligned}$$

Weak inhomogeneity  
 $\varepsilon \ll 1$

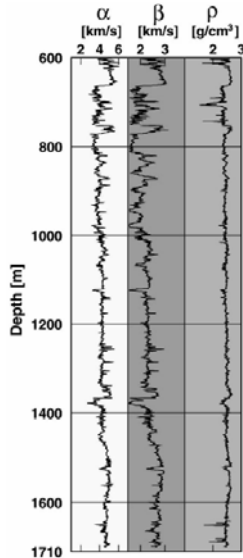
Acoustic case  
 $c(\mathbf{x}) = c_0(1 + \varepsilon(\mathbf{x}))$   
Coefficient of refraction  
 $n(\mathbf{x}) = (1 + \varepsilon(\mathbf{x}))$

# Random-like real-Earth structures



**Example reflection-seismic section: strong heterogeneity in the lower crust (Warner, 1990)**

anisotropic  
non-uniform  
random field



**Example well log  
Persistent oscillation of elastic parameters (Shiomi et al., 1997)**

non-Gaussian  
random field

RANDOM INHOMOGENEITY OR PERTURBATION OF PROPERTIES:

$$\lambda(\mathbf{x}) = \lambda_0(1 + \varepsilon_\lambda(\mathbf{x}));$$

$$\mu(\mathbf{x}) = \mu_0(1 + \varepsilon_\mu(\mathbf{x}));$$

$$\rho(\mathbf{x}) = \rho_0(1 + \varepsilon_\rho(\mathbf{x}));$$

Background:  $\lambda_0, \mu_0, \rho_0$

Perturbation:  $\varepsilon_\lambda(\mathbf{x}), \varepsilon_\mu(\mathbf{x}), \varepsilon_\rho(\mathbf{x})$

Acoustic case:  $c(\mathbf{x}) = c_0(1 + \varepsilon(\mathbf{x}))$ ;

Usual assumptions w.r.t. perturbation field:

(1) Weak:  $\varepsilon(\mathbf{x}) \ll 1$

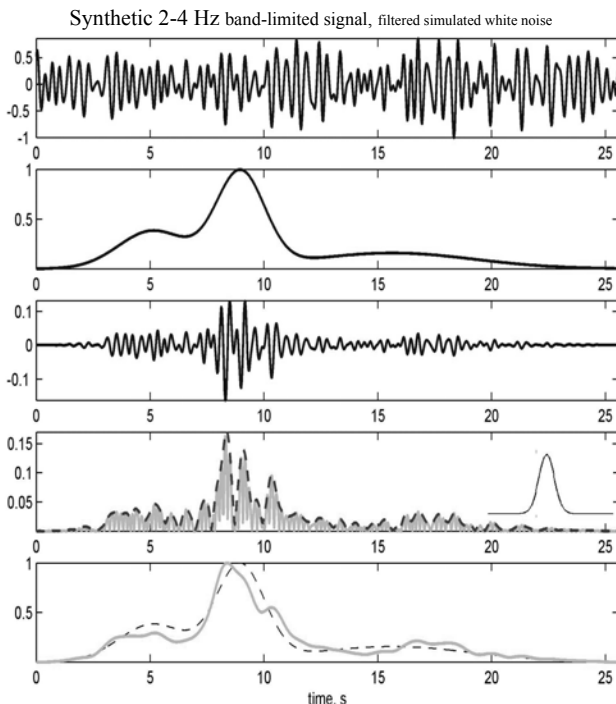
(2) Uniform = homogeneous = stationary:

$$\text{Cov}(\varepsilon(\mathbf{x}), \varepsilon(\mathbf{x} + \mathbf{y})) = \sigma_\varepsilon^2 \rho(\mathbf{y})$$

(3) Isotropic:  $\rho(\mathbf{y}) \rightarrow \rho(\|\mathbf{y}\|) = \rho(r)$

(in the non-Gaussian case,  
more details are needed)

## Random signal, envelope, power (1)



— stationary random signal  $x(t)$

constant mean power or variance:  $\sigma^2(t) = \langle x^2(t) \rangle$   
constant "true" rms amplitude:  $a_{rms}(t) = \sigma(t)$

— "true" envelope or modulating function  $a(t)$

( $a^2(t)$  - "True" power time history)

—  $y(t) = x(t) \times a(t)$ : simulates observed QUASISTATIONARY signal,

—  $\text{abs}(y(t))$

----- module of analytic signal (MAS)

—  $a_e(t) = \text{SQRT}(\text{smoothed } y^2(t))$

-----  $a(t)$

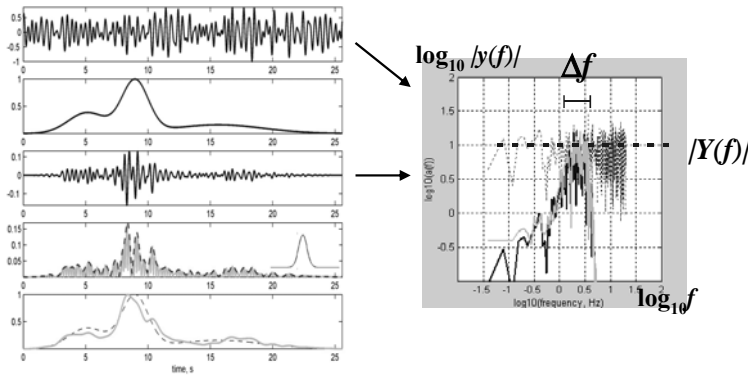
$a_e(t)$ : empirical envelope,  
an estimate for "true"  $a(t)$ ,  
like those derived from data

$a_e^2(t)$ : observed time history  
for power

$a_e(t)$  can be also estimated using signal peaks

"True": pertains to ENSEMBLE AVERAGE or MEAN of the process  
"Observed": pertains to a single SAMPLE FUNCTION or a REALIZATION of the random process

# Random signal, envelope, power (2)



3. Denote  $P(f/t)$  signal power spectrum, average over a window of length  $d$  around  $t$   
Then  
 $P(f/t) = 2|y(f)|^2 / d$

## 1. Main signal parameters:

$f_c$  – central frequency of a band

$\Delta f$  – bandwidth (1/  $\Delta f$  - time scale of “instant” power change)

$t_{drift} \approx \max(a(t))(da(t)/dt)^{-1}$   
– time scale of non-stationarity

$T_{sm}$  – width of smoothing window

Condition of quasi-stationarity:  
 $t_{drift} \gg 1/\Delta f$

Condition on smoothing window:  
 $T_{sm} \gg 1/\Delta f$

## 2. Denote:

$|Y(f)|$  – Fourier amplitude spectral level, average over the bandwidth  $\Delta f$

$d$  – signal duration (or window duration)

$y_{rms}$  – rms signal amplitude over  $d$

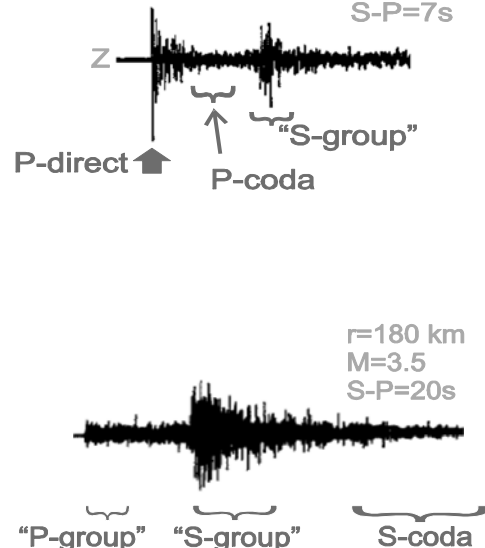
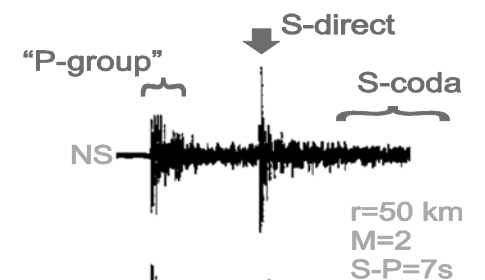
Then (Parseval’s theorem):

$$2 |Y(f)|^2 \Delta f = y_{rms}^2 d$$

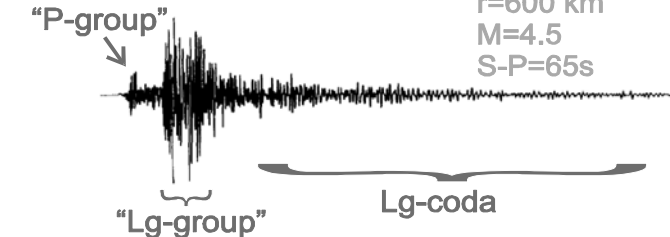
[permits to convert time domain to spectral domain estimates and back]

# Regional seismograms – examples, morphology

local event



regional event



Maeda & Walter 1996

**P-direct, S-direct** – represent source-time-function, disappear at  $r=15-70$  km for shallow events, short spikes for low magnitudes

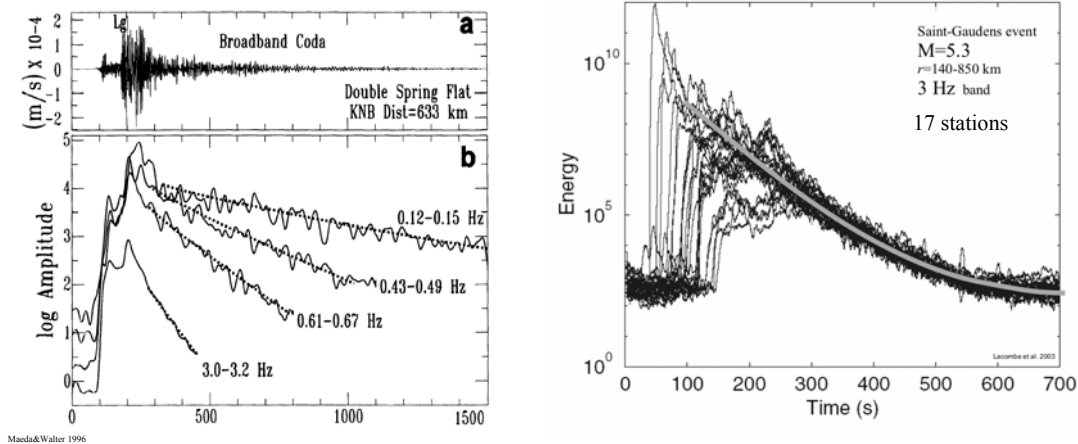
**P-group** – appearance defined by medium, mix of P-direct, P-P forward scattered and P-S converted

**P-coda** – P-P wide-angle scattered and P-S converted

**S/Lg-group** – mix of S and HF surface waves, direct and forward-scattered

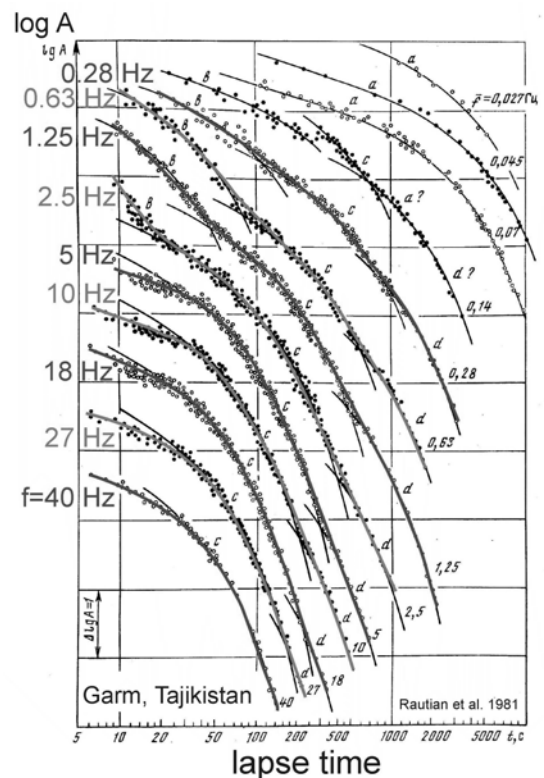
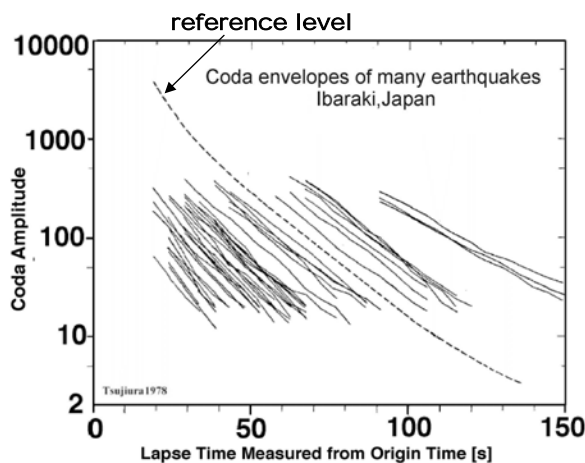
**S/Lg-coda** – S and HF surface waves, wide-angle scattered

# Regional envelopes



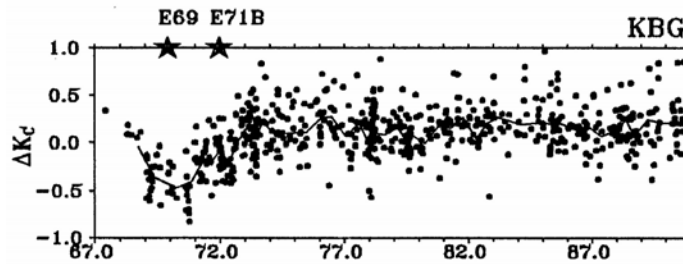
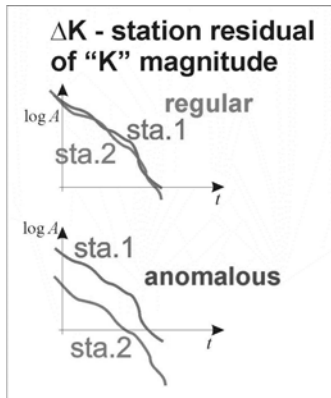
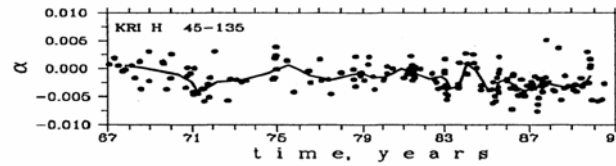
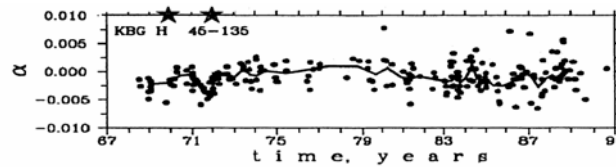
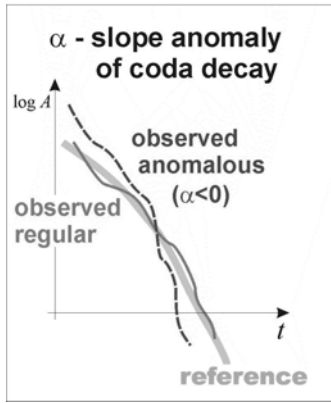
1. Envelopes from band-filtered HF records show systematic structure, first of all coda
2. To select coda, use sufficient delay, like  $2t_s$  (*coda window*)
3. Coda decay is monotonous, regular, frequency dependent
4. Coda envelope is approximately station-independent  
(a certain constant factor is present, it depends on local geology, useful for site specification)

# Regional coda

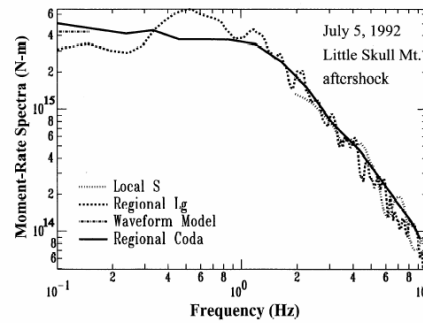
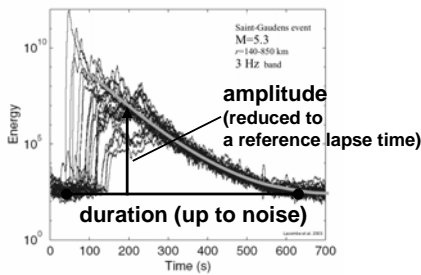


1. Coda envelope *shape* is approximately event-independent.
2. The scaling factor to reduce observed coda amplitude to a reference level gives ( $f$ -dependent) coda magnitude. After additional calibration it gives source spectrum  $\dot{M}_0(f)$

# Temporal variations of coda shape and level

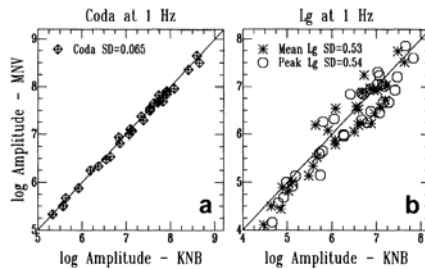


# Coda magnitudes. Source spectra from coda



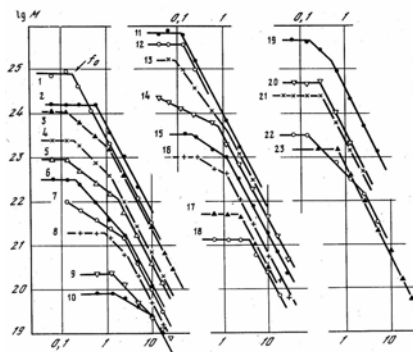
Maeda&Walter 1996

a set of coda magnitudes can be converted to an accurate source spectrum  $\dot{M}_0(f)$



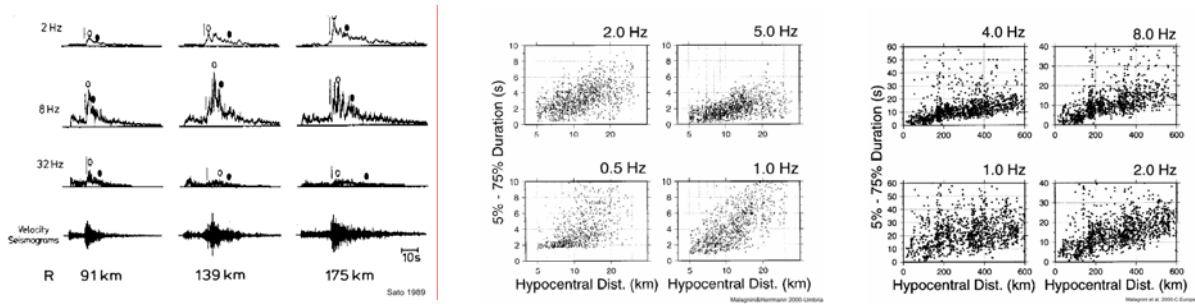
Maeda&Walter 1996

amplitude-based coda magnitude provides unsurpassed intrinsic accuracy:  $\sigma(\text{single } \log_{10} A \text{ measurement})=0.05-0.1$ , against 0.2-0.4 for usual magnitudes



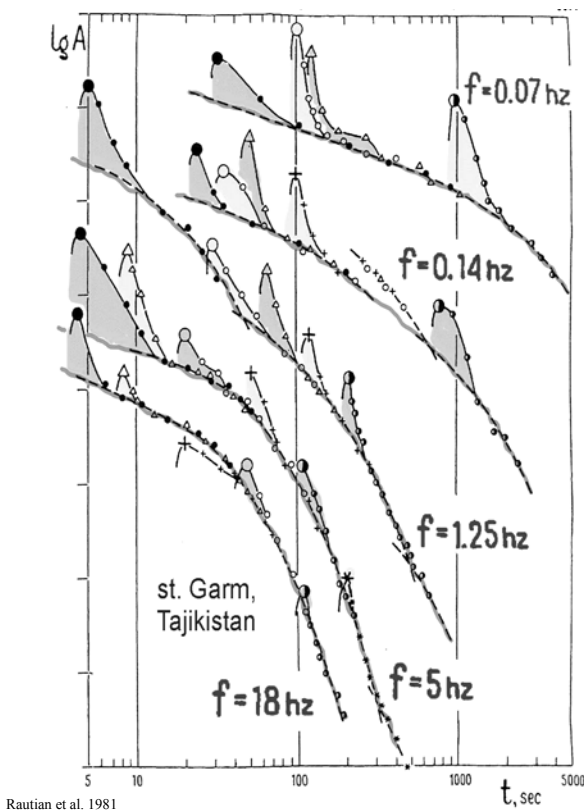
Rautian et al. 1981

# Regional envelopes – body wave pulses



1. The duration of a body-wave group is difficult to parametrize and measure because of a very heavy coda tail. Different definitions can be based on:
  - ideally – mean *delay* of energy; in practice: onset-to-centroid or onset-to-peak time,
  - ideally - rms *width* of energy distribution, in practice: rms duration (“standard deviation”) of truncated data, or “interquantile range” of energy distribution in time, like 5%-75% range.
2. The duration of a body-wave group grows with hypocentral distance in the local (0-100km) and regional (0-600km) distance ranges. Pulse broadening is seen for oblique, near-horizontal and near-vertical rays. Lg over continental paths behaves differently, with saturation of duration.

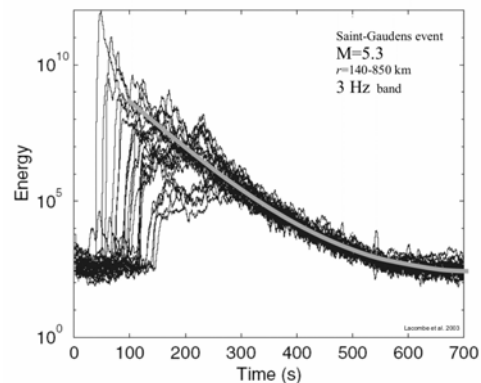
# Regional envelopes as a whole



Rautian et al. 1981

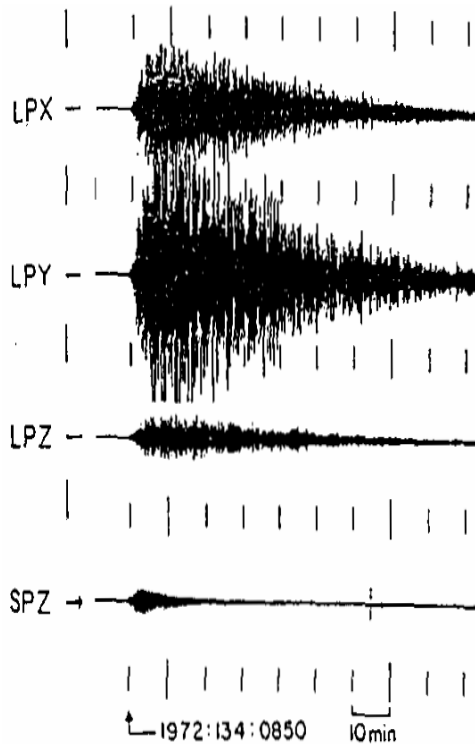
Over 20-30 to 500-1000 km distance range, S-wave group of increasing, medium-related duration is seen.

Typically S wave amplitudes are *above* coda asymptote.

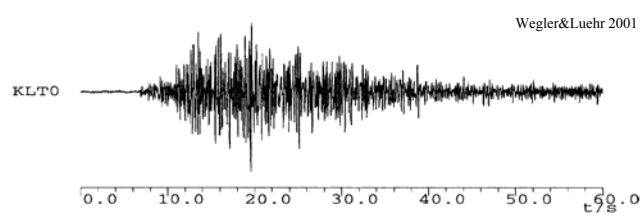


# Diffusive envelopes – lunar, volcanic

**lunar seismograms**



**B-type event on Merapi volcano**



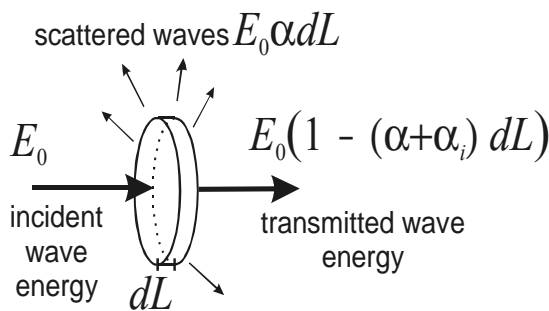
Spindle-like envelopes are characteristic for lunar seismograms and also for shallow events near volcanoes (“Minakami B-type events”).

One sees very emergent onset, no direct body wave, no indications of S wave group. Coda is clear and stable.

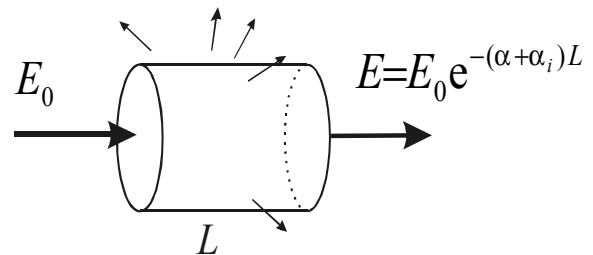
Such a picture is associated with wave energy diffusion in the medium (relatively very strong scattering).

(Contribution of source duration negligible)

## Theory. Scattering coefficient or turbidity



integrating loss along incident ray:



$\alpha$  - scattering coefficient or turbidity (also  $\alpha_s$ , also  $g$ )  
fractional loss of energy to scattering, per 1 km  
probability of scattering per 1 km  
units:  $\text{km}^{-1}$

$\alpha_i$  - absorption coefficient  
fractional intrinsic/inelastic loss, per 1 km

$\alpha_t = \alpha + \alpha_i$  - attenuation/extinction coefficient  
fractional *total* loss, per 1 km

Dimensionless quality factors  $Q$  are defined:

$Q^{-1}$  = fractional loss per (wavelength/ $2\pi$ )  
so that

$$\alpha_s = 2\pi f / c Q_s \quad \alpha_i = 2\pi f / c Q_i \quad \alpha_t = 2\pi f / c Q_t$$

$$\text{and: } 1/Q_t = 1/Q_s + 1/Q_i$$

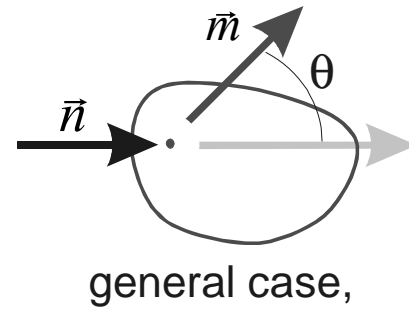
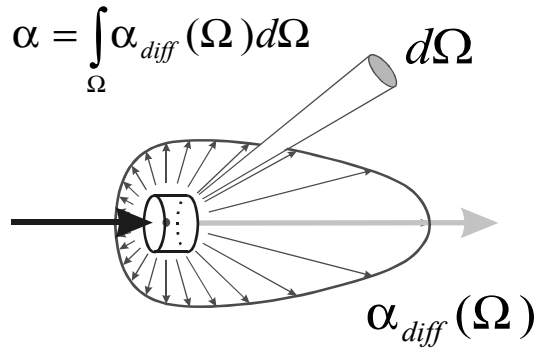
for a beam of particles:

$\alpha$  is the probability of scattering per 1 km;  
hence:

$$\text{Mean Free Path: } l = 1/\alpha \quad [\text{km}]$$



# Angular distribution of scattered energy. Phase function or indicatrix (1)



$\alpha_{diff}(\Omega)$  - differential scattering coefficient,  
fractional scattering loss  
per km per unit solid angle  
(per steradian)

$$\phi() = \phi(\Omega_n, \Omega_m) \Rightarrow \phi(\vec{n}, \vec{m})$$

scattering angle :

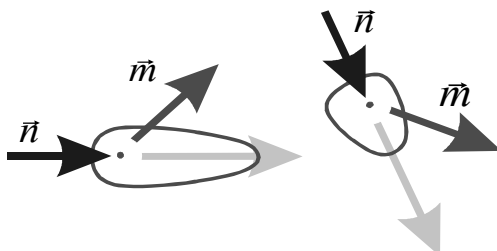
$$\theta = \arccos(\vec{m} \cdot \vec{n})$$

$\phi(\Omega) = \alpha_{diff}(\Omega) / \alpha$  - indicatrix or phase function

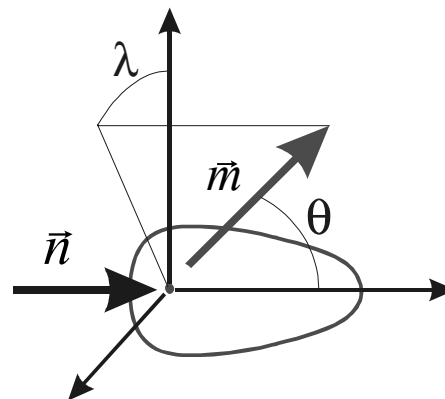
$$1 = \int_{\Omega} \phi(\Omega) d\Omega$$

$\phi(\Omega)$  can be treated as probability density  
for a scattered particle to select a particular position  
on a distant sphere around the scattering subvolume

## Phase function (continued)

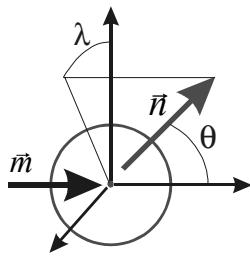


**anisotropic-medium case**  
(anisotropic w.r.t. N-E-Z reference,  
seems adequate e.g. for layered crust)



$$\phi(\vec{n}, \vec{m}) \Rightarrow \phi(\cos(\vec{n}, \vec{m})) \Rightarrow \phi(\theta)$$

non-isotropic,  
or anisotropic  
("ray-anisotropic")  
case, axisymmetrical  
("isotropic-medium" case, with statistically  
isotropic medium;  
no isotropy w.r.t. incident ray direction)



$$\phi(\vec{m}, \vec{n}) = \text{const} = \frac{1}{4\pi}$$

isotropic-medium and ray-isotropic case  
the simplest case

# Equations of radiative transfer (stationary case)

Define  $I_s(\mathbf{r}, \mathbf{n})$

- scattered radiation intensity at  $\mathbf{r}$  along  $\mathbf{n}$

as:  $dP_s = I_s(\mathbf{r}, \mathbf{n}) d\Omega_n$

where  $dP_s$  is

scattered wave power propagating from  $\mathbf{r}$ ,

along  $\mathbf{n}$ ,

into a cone with a solid angle  $d\Omega_n$

Similarly, define  $I_0(\mathbf{r}, \mathbf{n})$

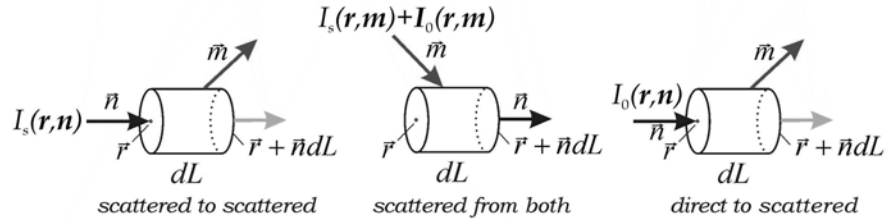
- direct ("ballistic") radiation intensity at  $\mathbf{r}$  along  $\mathbf{n}$

(from a certain source).

For the case of a point source, assume that a ray from it

is along  $\mathbf{n}$  at  $\mathbf{r}$ .

(all this with respect to radiation in a certain frequency band  $\Delta f$ )



$$I_s(\mathbf{r} + \mathbf{n}dL, \mathbf{n}) - I_s(\mathbf{r}, \mathbf{n}) = -dI_1 + dI_2 = (\text{loss}) + (\text{gain})$$

$$\text{loss: } dI_1 = \alpha I_s(\mathbf{r}, \mathbf{n})dL + \alpha_i I_s(\mathbf{r}, \mathbf{n})dL \quad [\text{scatt.} + \text{intr.}],$$

(here  $\alpha$  is the sum over all  $m$  !)

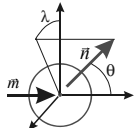
$$\text{gain: } dI_2 = \alpha \int_{4\pi} (I_s(\mathbf{r}, \mathbf{m}) + I_0(\mathbf{r}, \mathbf{m})) \phi(\mathbf{m}, \mathbf{n}) d\Omega_m$$

and similarly for  $I_0$ , giving:

$$\frac{dI_s(\mathbf{r}, \mathbf{n})}{dL} = -\alpha I_s(\mathbf{r}, \mathbf{n}) - \alpha_i I_s(\mathbf{r}, \mathbf{n}) + \alpha \int_{4\pi} (I_s(\mathbf{r}, \mathbf{m}) + I_0(\mathbf{r}, \mathbf{m})) \phi(\mathbf{m}, \mathbf{n}) d\Omega_m$$

$$\frac{dI_0(\mathbf{r}, \mathbf{n})}{dL} = -\alpha I_0(\mathbf{r}, \mathbf{n}) - \alpha_i I_0(\mathbf{r}, \mathbf{n})$$

(in the non-stationary case, use  $I_s = I_s(\mathbf{r}, t, \mathbf{n})$ , and  $\frac{d}{dL} = \mathbf{n} \cdot \nabla + \frac{1}{c} \frac{\partial}{\partial t}$  instead of  $\frac{d}{dL}$ )



$$\phi(\mathbf{m}, \mathbf{n}) = \text{const} = \frac{1}{4\pi}$$

isotropic-medium and ray-isotropic case  
the simplest case

## Isotropic scattering case: general

consider the simplest case:

- instant point source flashing at  $t=0$ ,
- unit source energy  
in the frequency band  $(f - \Delta f, f + \Delta f)$ ;
- acoustic/scalar waves:  
no conversion, no polarization
- isotropic scattering

### DEFINITIONS

**basic parameters:**

$r$	source to receiver distance;
$c$	body wave speed (in applications, mostly S-wave speed);
$f, \Delta f$	wave frequency and bandwidth; $\omega = 2\pi f$
$\lambda = c/f$	wavelength
$k = 2\pi/\lambda = \omega/c$	wavenumber
$P(r, t)$	wave intensity in the same band (omnidirectional);
$P_c(t)$	coda intensity: $P(r, t) \rightarrow P_c(t)$ when $t \gg r/c$
$l$	mean free path
$t^* = l/c$	mean free time
$Q$	quality factor due to scattering ( $Q = \omega t^*$ )

**dimensionless / scaled parameters:**

$\rho \equiv r/l$	scaled distance
$\tau \equiv cr/l = t/t^*$	scaled lapse time
$i(\rho, \tau), i_c(\tau)$	scaled scattered intensity (3D, use $I^2$ for 2D):

$$i(\rho, \tau) = \left( \frac{l^3}{c} \right) P(r, t)$$

$i_c(\tau) \equiv i(0, \tau)$  scaled coda intensity:

OMNIDIRECTIONAL WAVE INTENSITY

$$P_s(r, t) = \int_{4\pi} I_s(r, t, \mathbf{n}) d\Omega_n \quad \text{scattered}$$

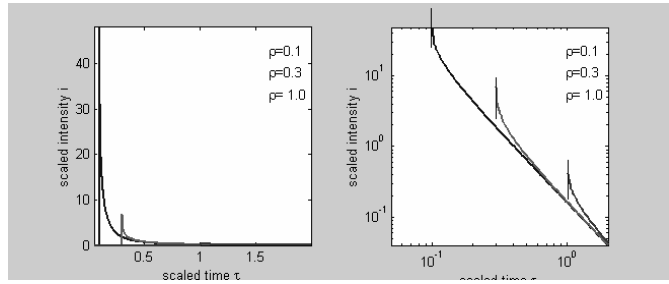
$$P(r, t) = \int_{4\pi} (I_0(r, t, \mathbf{n}) + I_s(r, t, \mathbf{n})) d\Omega_n \quad \text{total}$$

# Isotropic scattering case: SIS

$\rho \ll 1, \tau \ll 1$   
**Single (isotropic) scattering model - SIS**  
 (single= Born approximation):

$$i^{\text{SIS}}(\rho, \tau) = \frac{1}{4\pi\rho\tau} \ln\left(\frac{\tau + \rho}{\tau - \rho}\right)$$

$$i_c^{\text{SIS}}(\tau) = \frac{1}{2\pi\tau^2}$$



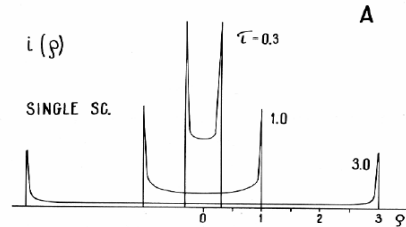
Main properties:

**A. "positive"** [fit regional waveforms]

1. Clear coda
2. Clear coda asymptote
3. Pulse envelope approaches coda asymptote from above

**B. "negative"** [contradict regional waveforms]

1. Spike-like arrival, no pulse broadening with distance
2. Inaccurate at  $\rho \cong 1$  or more



**"Coda-Q" determination:**

fit the observed coda shape selecting  $Q_c$  in the equation

$$I_c^{\text{SIS}}(t) = \frac{\exp(-2\pi ft / Q_c)}{2\pi c l t^2}$$

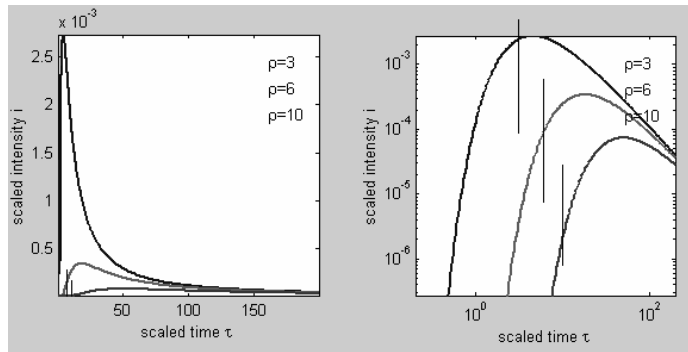
# Isotropic scattering case: diffusion approximation

$\tau \gg 1, \text{ any } \rho$   
**Diffusion isotropic scattering model - DIS**

$$i^{\text{DIS}}(\rho, \tau) = \frac{1}{(4/3\pi\tau)^{3/2}} \exp\left(-\frac{\rho^2}{4/3\tau}\right)$$

$$i_c^{\text{DIS}}(\tau) = \frac{1}{(4/3\pi\tau)^{3/2}}$$

the solution of parabolic/diffusion equation  
 for wave energy density  $E(\mathbf{r}, t) = P(\mathbf{r}, t)/c$ :  
 $\partial E / \partial t = D \nabla^2 E$   
 where  $D = lc/3$  in 3-dim.case (or  $lc/2$  in 2D)



Main properties:

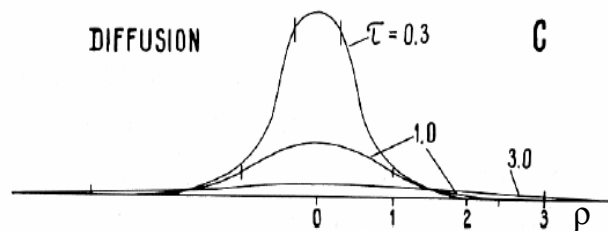
**A. "positive"** [fit regional waveforms]

1. Clear coda, clear coda asymptote
2. "Pulse" broadens with distance

**B. "negative"** [contradict regional waveforms]

1. "Pulse" envelope approaches coda asymptote from below
2. Weak arrival
3. "Pulse" is too long
4. In space, energy concentrates around the source
5. Bad model at  $\rho \cong 2$  or less

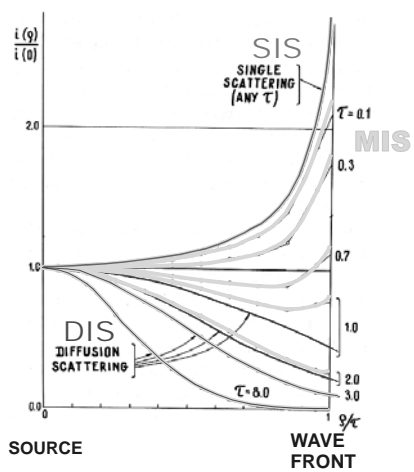
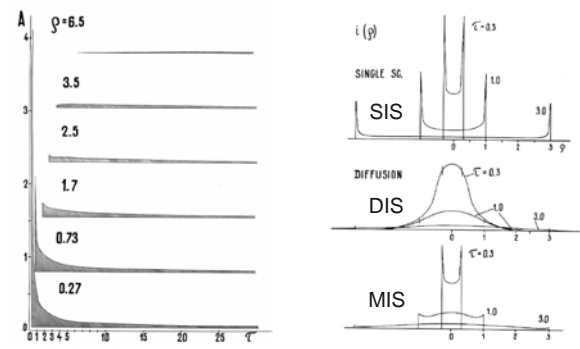
**C. conclusion:** Cannot fit regional waveforms (however proves useful for lunar and volcanic data)



# Isotropic scattering case: multiple

**Multiple isotropic scattering model - MIS**  
**any  $\tau$ , any  $\rho$**

$i_c^{MIS}(\rho, \tau) \ll$  Numerical MC model (Gusev & Abubakirov 1987)  $\gg$   
 Analytical series representation (Zeng et al. 1991)

$$i_c^{MIS}(\rho, \tau) \cong \frac{1}{2\pi\tau^2} \left[ 1 + \left( \frac{27}{16\pi} \tau \right)^{1/2x} \right]; \quad x = 1.10 \quad (\text{Abubakirov \& Gusev 1990})$$


Main properties:

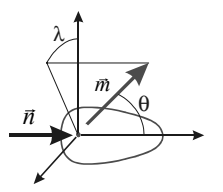
A. "positive" [fit regional waveforms]

1. Clear coda & coda asymptote

B. "negative" [contradict regional waveforms]

1. Spike-like arrival (or very long train):  
 no realistic pulse broadening with distance

## Multiple non-isotropic scattering (MNIS) in general



$\phi(\vec{n}, \vec{m}) \Rightarrow \phi(\cos(\vec{n}, \vec{m})) \Rightarrow \phi(\theta)$

non-isotropic, or anisotropic ("ray-anisotropic") case, axisymmetrical ("isotropic-medium" case, with statistically isotropic medium; no isotropy w.r.t. incident ray direction)

Instead of a single  $l \equiv$  MFP in the isotropic case, two characteristic lengths:

(1)  $l_n$  - "non-isotropic", "true" MFP,  
 (2)  $l$  - transport MFP, defined through diffusion asymptotics ( $t \rightarrow \infty$ ) as  $l = 3D/c$  (in 3-dim.case)

dimensionless / scaled parameters:

$\rho \equiv r/l$  scaled distance ("transport")  
 $\tau \equiv cr/l = t/t^*$  scaled lapse time ("transport")  
 $\rho_n \equiv r/l_n$  scaled distance ("common, true")  
 $\tau_n \equiv crt/l_n = t/t_n^*$  scaled lapse time ("common, true")  
 $i(\rho, \tau), i_c(\tau)$  scaled scattered intensity (3D, use  $l^2$  for 2D):

$$i(\rho, \tau) = i\left(\frac{r}{l}, \frac{t}{t^*}\right) = \left(\frac{l^3}{c}\right) P(r, t)$$

scaled coda intensity:  
 $i_c(\tau) \equiv i(0, \tau)$

### MORE DEFINITIONS

basic parameters:

$l, t^* = l/c$ , redefined as transport mean free path, and transport mean free time (compatible with the previous definition)

$l_n, t_n^* = l_n/c$ , (common) mean free path, and mean free time

$Q$  transport quality factor due to scattering ( $Q = \omega t^* = 2\pi l / \lambda$ );

$Q_n$  (common) quality factor due to scattering ( $Q_n = \omega t_n^* = 2\pi l_n / \lambda$ );

**KEY FORMULA FOR TRANSPORT MFP**

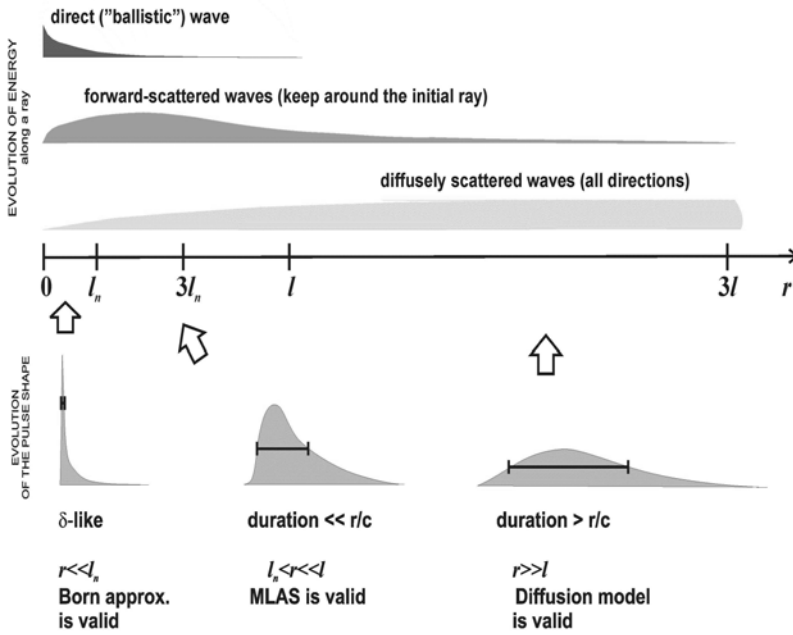
$$l = \frac{l_n}{1 - \langle \cos \theta \rangle}$$

where

$$\langle \cos \theta \rangle = \frac{\int \phi(\Omega) \cos \theta d\Omega}{4\pi} = \frac{\int_0^{2\pi} \int_0^\pi \phi'(\theta) \cos \theta \sin \theta d\lambda d\theta}{4\pi}$$

Typical value for the Earth's lithosphere:  $l \equiv$  MFP = 100 km, so for typical local/regional observations:  $\rho = 0.3-2$

# Multiple low-angle scattering: a good example of MNIS



FORWARD-ENHANCED  
(NARROW) PHASE FUNCTION

$$\langle \theta^2 \rangle \ll 1$$

$$l_n/l = 1 - \langle \cos \theta \rangle \approx \langle \theta^2 \rangle / 2 \ll 1$$



## DEFINITIONS

OF scattering- $Q$  :

standard:

$$Q = 2\pi l_n / \lambda$$

(direct  $\rightarrow$

$\rightarrow$  forward-scattered)

in seismology, in practice

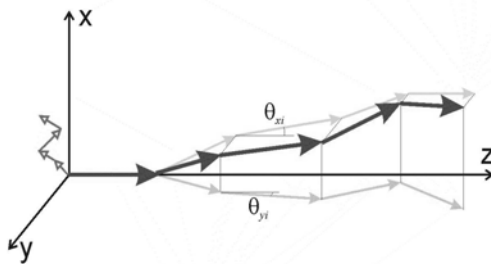
$$Q = 2\pi l / \lambda$$

(direct + forward-scattered  $\rightarrow$

$\rightarrow$  diffusely-scattered)

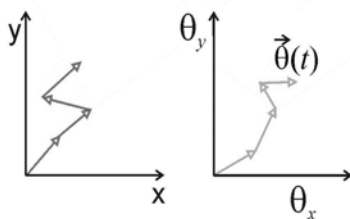
[related to the habit to integrate entire "body-wave group" as *direct wave*]

## Multiple low-angle scattering(2)



MEAN DELAY=  
 $t(\text{pulse centroid}) - t(\text{onset})$

$$\langle T \rangle = \frac{\int_{t_d}^{\infty} (t - t_d) E(t) dt}{\int_{t_d}^{\infty} E(t) dt}$$



Assume  
 $\langle \theta_{xi}^2 \rangle < \infty$   
 $\langle \theta_{yi}^2 \rangle < \infty$   
 then  $\vec{\theta}(t)$  is a  
 Brownian motion

$$\langle T \rangle = \frac{r^2}{6cl}$$

$$\tau_a = \frac{\langle T \rangle}{t^*} = \frac{1}{6} \rho^2$$

# Multiple non-isotropic scattering – simulation

Monte-Carlo simulation:  
the standard technique  
to solve real  
radiative transport  
problems.  
No ready analytic solution  
exists  
for multiple non-isotropic  
scattering  
even in the case of  
uniform-space geometry  
and isotropic-medium  
phase function

## EXAMPLE

2D,  $\tau=0.7$ ,  $N=500$

source:

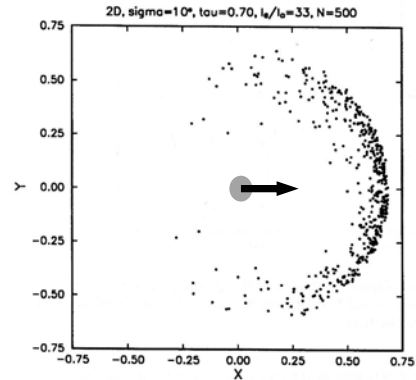
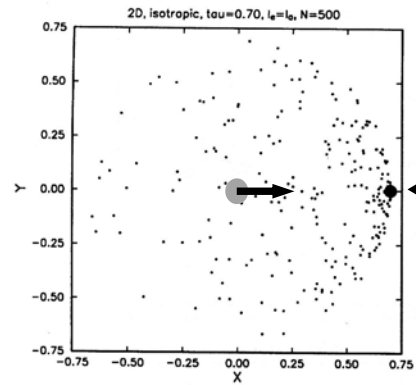
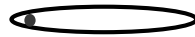
needle-like radiation pattern  
along +x



phase function:  
*isotropic*

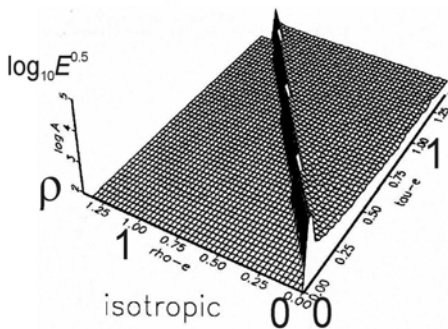


phase function:  
 $\langle \cos^2 \theta \rangle^{0.5} = \sigma = 10^\circ$

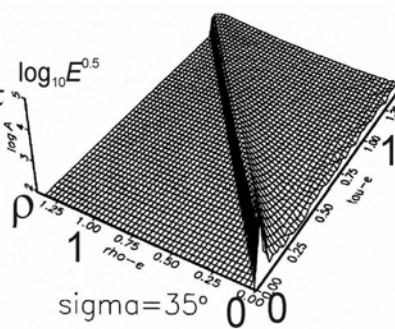


ballistic/direct component

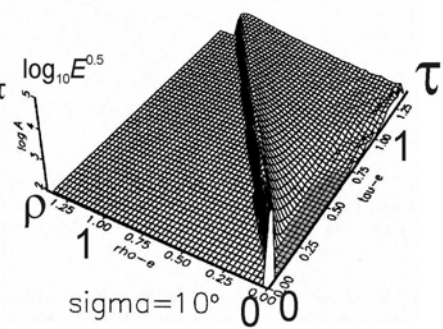
## Multiple non-isotropic scattering – simulated envelopes



**Isotropic scattering case:**  
spike-like energy pulse –  
no broadening,  
completely unrealistic  
well-formed, monotonous,  
believable coda



**Moderately elongated  
phase function ( $\sigma=35^\circ$ ):**  
acceptably broadening  
energy pulse  
marginally acceptable coda  
with no minimum



**Narrow phase function  
( $\sigma=10^\circ$ ):**  
well-formed, broadening  
energy pulse  
coda with minimum,  
completely unrealistic

**CONCLUSION:** Both isotropic-scattering and MLAS models do not work.  
Real phase function must be moderately elongated  
(note that this behavior is characteristic over a very wide range of frequencies!)

## Which parameter specifies the scattering properties of the Earth's medium?

**Three modes of analysis of observed signals are used to extract scattering properties of the Earth's medium:**

**(1) The ratio of coda amplitude to S-wave pulse amplitude gives  $l$  - transport MFP**

{traditionally, viewed as "back-scattering MFP" or "isotropic-scattering MFP"}

[in an improved form, works as a part of MLTWA]

**(2) The rate of S-wave pulse energy attenuation with distance gives  $Q_{total}$  =>  $l$  - transport MFP**

{traditionally, the "scattering part" of  $Q_{total}^{-1}$  is treated as "the" scattering  $Q^{-1}$  and associated with "isotropic-scattering MFP"}

[in a modified form, works as a part of MLTWA]

**(3) The rate of pulse broadening with distance gives  $l$  - transport MFP**

**No technique has been proposed in seismology to determine  $l_n$  - true MFP**

**and there are theoretical obstacles that complicate such a determination**

**A certain confusion is produced by using isotropic scattering model in the interpretation of observations**

**whereas in the Earth, the phase function is definitively forward-enhanced**

**In reality, most techniques that aimed at determination of MFP (or scattering  $Q$ ), yield transport MFP**

**CONCLUSION:**

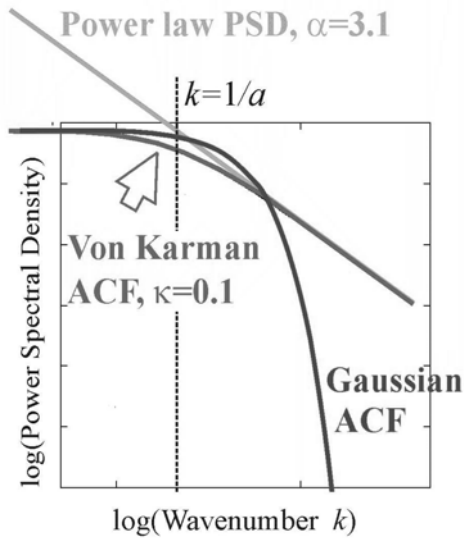
**one can continue to use the usual "seismological" scattering- $Q$  parameter**

**but should keep in mind that it essentially related to transport MFP, and *not* to true MFP**

## Models for random inhomogeneity field in the lithosphere and related phase functions

Random medium - with the simplest set of assumptions (for the Earth, essentially, each assumption is an oversimplification) Waves are <i>acoustic/scalar</i> : $c(\mathbf{x}) = c_0 (1 + \varepsilon'(\mathbf{x}))$ Inhomogeneity is <i>weak</i> : $\varepsilon'(\mathbf{x}) \ll 1$ Inhomogeneity is <i>Gaussian</i> - can be described by ACF: $\text{Cov}(\varepsilon'(\mathbf{x}), \varepsilon'(\mathbf{y}))$ Inhomogeneity is <i>stationary</i> : $\text{Cov}(\varepsilon'(\mathbf{y}), \varepsilon'(\mathbf{y} + \mathbf{x})) = \sigma_\varepsilon^2 R'(\mathbf{x})$ Inhomogeneity is <i>isotropic</i> : $\text{Cov}(\varepsilon'(\mathbf{y}), \varepsilon'(\mathbf{y} + \mathbf{x})) = \sigma_\varepsilon^2 R'(\mathbf{x}) = \sigma_\varepsilon^2 R( \mathbf{x} ) = \sigma_\varepsilon^2 R'(r)$	Case	ACF	POWER SPECTRUM $k' =  k' $ is related to FT[ $\varepsilon(\mathbf{x})$ of <i>medium</i> ]	PHASE FUNCTION $k =  k  = \omega/c$ is related to <i>propagating waves</i>
	General	$R(r)$	$\tilde{R}(k')$	$\phi(\theta) \propto k^4 \tilde{R}(2k \sin(\theta/2))$
	Gaussian ACF	$\exp(-r^2/a^2)$	$\propto \exp(-(ka)^2/4)$	$\phi(\theta) = \frac{\exp((\cos\theta - 1)/\sigma^2)}{2\pi\sigma^2(1 - \exp(-2/\sigma^2))}$ where $\sigma^2 = 2/(ka)^2$
	self-affine	diverges at $r=\infty$	$k^{-\alpha}$	$\propto (\sin(\theta/2))^{-\alpha}$ diverges at $\theta=0$
	Von Karman	$\propto \left(\frac{r}{a}\right)^\kappa K_\kappa\left(\frac{r}{a}\right)$	$\propto \frac{1}{(1+a^2k^2)^{\kappa+3/2}}$ $\approx k^{-(2\kappa+3)}$ when $k \gg 1/a$	$\propto k^2 (1 + 4a^2k^2 \sin^2(\theta/2))^{-(\kappa+3/2)}$ $\approx \sin(\theta/2)^{-(2\kappa+3)}$ as $k \gg 1/a$ (i.e. at not very small $\theta$ )

## Models for random inhomogeneity field: continued



**The case of self-similar inhomogeneity:**  
 $\alpha=3$   
 $\kappa=0$

Case	Properties of phase function $\phi(\theta)$ and power spectral density (PSD)
Gaussian ACF:	$\phi(\theta)$ : The angular width is strongly frequency-dependent: $\sigma = 2^{0.5}/ka$ . PSD: Abrupt high-wavenumber cutoff
Self-affine case, power-law PSD:	$\phi(\theta)$ : Frequency-independent shape for all $\theta$ PSD: <i>Non-integrable</i> (in practical calculation, PSD can be truncated at small $k$ )
Von Karman ACF	$\phi(\theta)$ : Through selecting a sufficiently large value of $a$ , one can provide the frequency-independent behavior of $\phi(\theta)$ for almost all $\theta$ , except for very small $\theta$ ( $<1/ka$ ). PSD: Integrable.

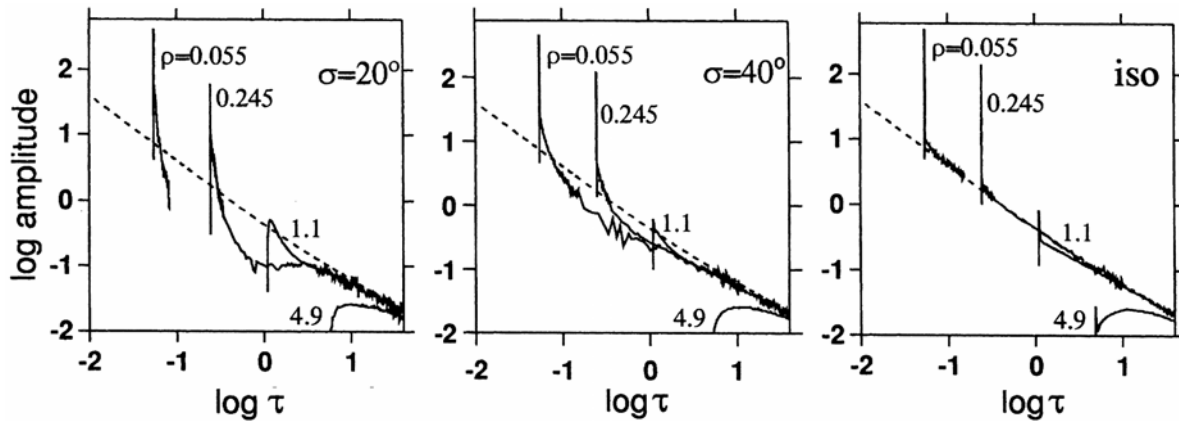
## Random inhomogeneity field: models vs. reality

Case	comments
Gaussian ACF:	Qualitatively unacceptable model. The strong frequency dependence ( $1/k \rightarrow 1/f$ ) of the width $\sigma$ of phase function makes impossible to match the requirement: $\sigma \approx 25-40^\circ$ - simultaneously for many frequency bands.
Self-affine case, power-law PSD or Von Karman-ACF case with large $a$	Qualitatively acceptable model. The frequency-independent shape of phase function for all or almost all angles enables one to fit the qualitative behavior of envelopes simultaneously for many frequency bands. [rough ranges for parameters: $\alpha=3.2-4$ ; $\kappa=0.1-0.5$ ]

Unexplored: effects of non-Gaussian statistics of inhomogeneity on properties of scattered field



## Simulated envelopes: Gaussian-ACF case



### $\sigma=20^\circ$

- (1) gap instead of coda
- (2) pulse broadens with distance

### $\sigma=40^\circ$

- (1) acceptable coda, note that its level is below that for isotropic-scattering case
- (2) spike instead of pulse up to  $\rho \approx 1.5$

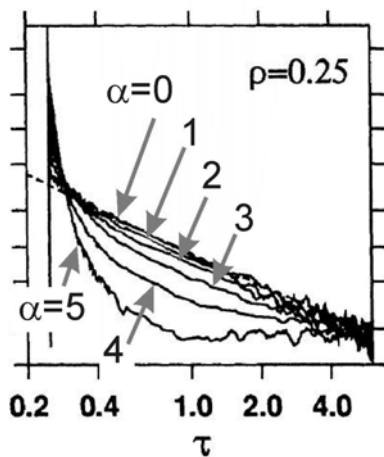
### isotropic

### scattering ( $\sigma=\infty$ )

- (1) "perfect" coda
- (2) no pulse broadening at all

The interval estimate for  $\sigma$ , namely  $\sigma = 20-40^\circ$ , is attained, but it works for a single frequency band only! Gaussian-ACF model is mostly of instructional interest!

## Simulated envelopes: self-affine case

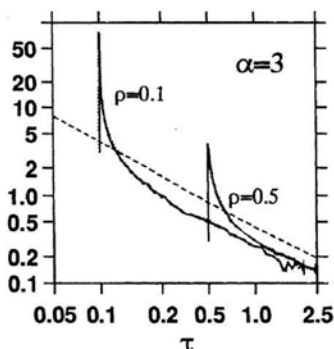


### $\alpha=3$

- (1) quite acceptable coda shape
- (2) slightly too abrupt pulse onset

### $\alpha=4$

- (1) early coda somewhat too low
- (2) acceptable pulse shape



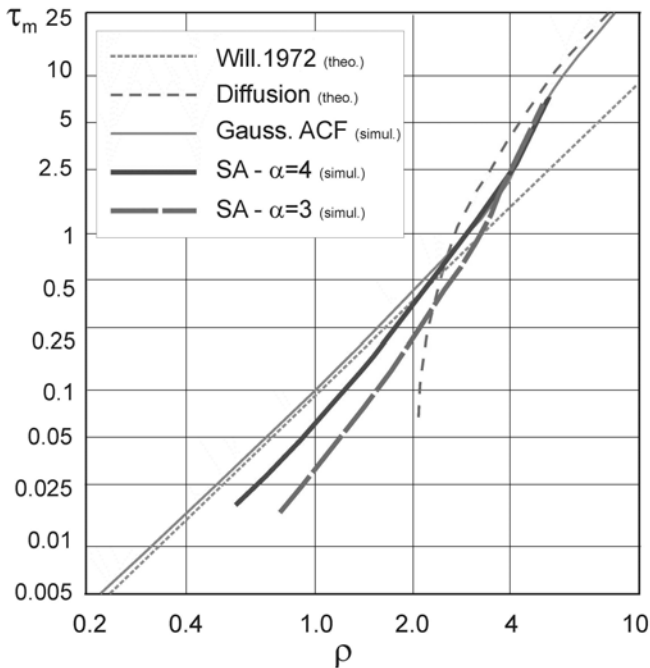
### CONCLUSION

- (1) Self-similar random inhomogeneity with  $\alpha=3.2-4$  is a reasonable starting model for the lithosphere
- (2) Coda levels are systematically somewhat lower w.r.t. those of the isotropic scattering model ( $\alpha=0$ )



## Duration of simulated envelopes

Scaled onset-to-peak delay time  $\tau_m$   
vs. scaled distance  $\rho$



Gaussian-ACF case,  
narrow phase function:

$$\tau_m = 0.091 \rho^2$$

on condition  $\rho \ll 1$   
(Williamson 1972)

Onset-to-peak delay for self-similar model media is significantly *shorter* than for the Gaussian-ACF medium, and the distance trend is *faster than quadratic*.

When the  $\alpha$  parameter can be specified or assumed, one can use the results of simulation to derive  $l$  from the observed duration trend.

## Ways for inversion for scattering/attenuation parameters (body waves)

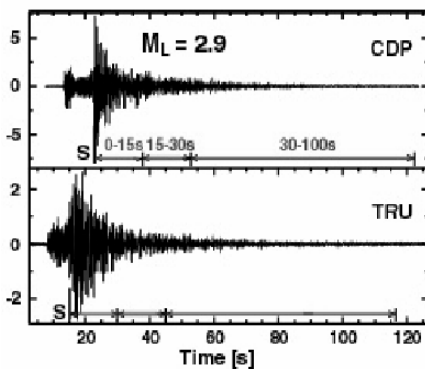
approach	comment
<p>A. Total attenuation</p> <p><math>Q^{-1}_{\text{total}} [=Q^{-1}_{\text{scattering}} + Q^{-1}_{\text{intrinsic}}]</math></p> <p>from body wave Fourier <i>spectra</i>.</p> <p>A1. From spectra as is – one (or more) events at many stations.</p> <p>A2. From spectra normalized to coda power at one or more stations</p>	<p>Efficient descriptive approach, valid for eventual synthetics.</p> <p>Results physically not transparent.</p> <p>Systematic, consistent selection of the data window difficult.</p> <p>Using coda normalization may significantly reduce noise.</p>
<p>B. Total attenuation <math>Q^{-1}_{\text{total}}</math> from body wave <i>amplitudes</i>, raw or coda-normalized</p>	<p>Generally, outdated approach. Produces <math>Q^{-1}_{\text{total}}</math> estimates than often are biased (duration of the body wave group is distance-dependent; thus squared amplitude does not provide a good estimate for energy).</p>
<p>C. Separately <math>Q^{-1}_{\text{scattering}}</math> and <math>Q^{-1}_{\text{intrinsic}}</math> assuming isotropic scattering in uniform random medium.</p> <p>C1. By MLTWA (Multiple Lapse-Time Window Analysis) method</p> <p>C2. From Pulse-energy to coda-power ratio at the same propagation time.</p>	<p>Consistent separate estimates of <math>Q^{-1}_{\text{scattering}}</math> and <math>Q^{-1}_{\text{intrinsic}}</math>.</p> <p>Results may be significantly model-dependent</p>

# Ways for inversion for scattering/attenuation parameters (body waves) (2)

approach	comment
D. Only $Q^{-1}_{\text{scattering}}$ from body-wave pulse broadening.	Results may be model-dependent
E. Only $Q^{-1}_{\text{intrinsic}}$ from $\kappa(r)$ ( $\kappa$ in $A/A_0 = \exp(-\pi\kappa f)$ )	Efficient but works only for frequency-independent component of attenuation. May be biased by effects of source spectra
F. Determination of "coda Q"	The approach assumes single isotropic scattering i.e. an unrealistic model, and cannot yield reliable results; but supported by a number of empirical parallels between $Q_{\text{total}}$ and coda Q.  Empirical coda Q is often lapse-time dependent, but other Q measures may behave similarly.

## MLTWA (after Fehler 2003)

Integrate Energy in Windows whose Times are Referenced to S-wave Arrival Time

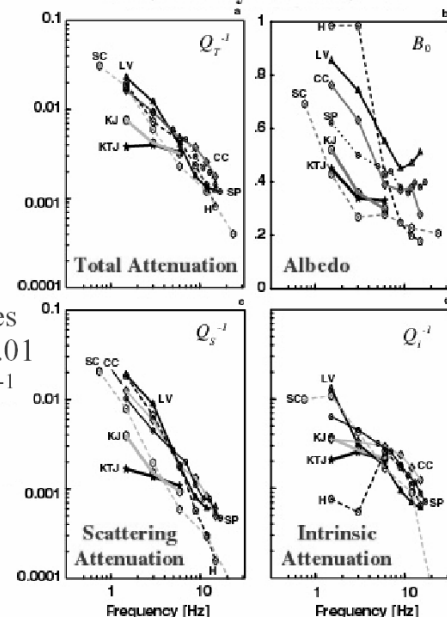


$$EI_1(f)_{ij} = \rho_0 \int_0^{15s} |\dot{u}_{ij}^s(t; f)|^2 dt,$$

$$EI_2(f)_{ij} = \rho_0 \int_{15s}^{30s} |\dot{u}_{ij}^s(t; f)|^2 dt,$$

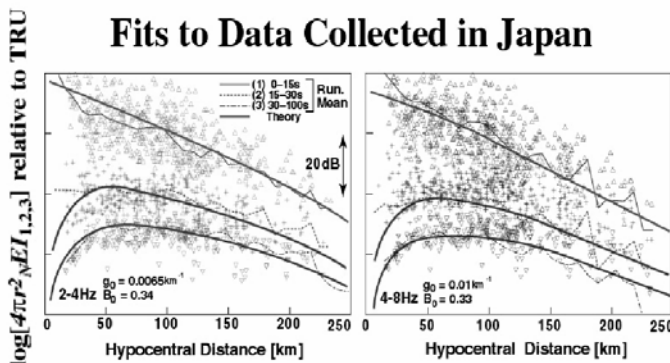
$$EI_3(f)_{ij} = \rho_0 \int_{30s}^{100s} |\dot{u}_{ij}^s(t; f)|^2 dt$$

Results Obtained with Multiple Lapse-Time Window Analysis Method

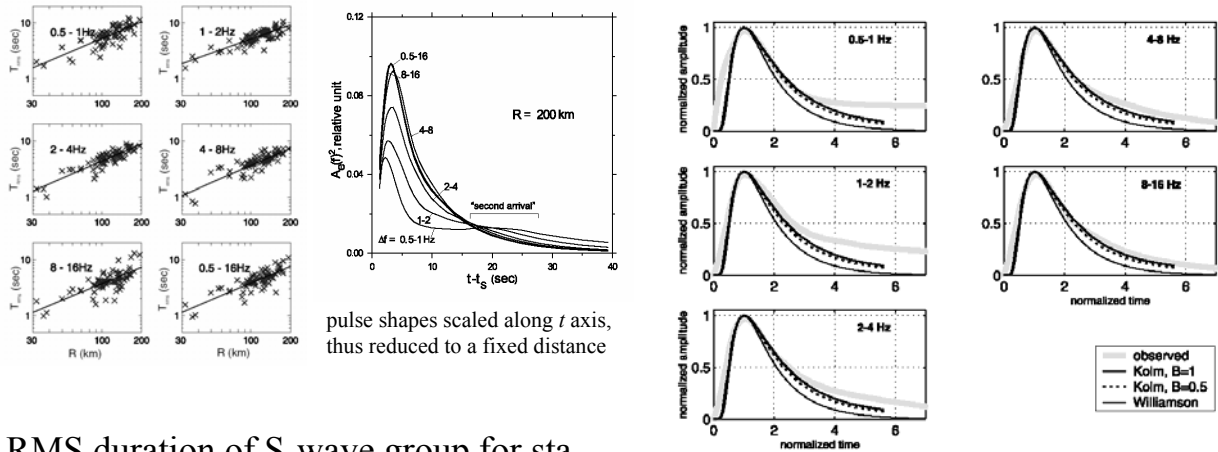


Gives  $g_0 \sim 0.01 \text{ km}^{-1}$

## Fits to Data Collected in Japan



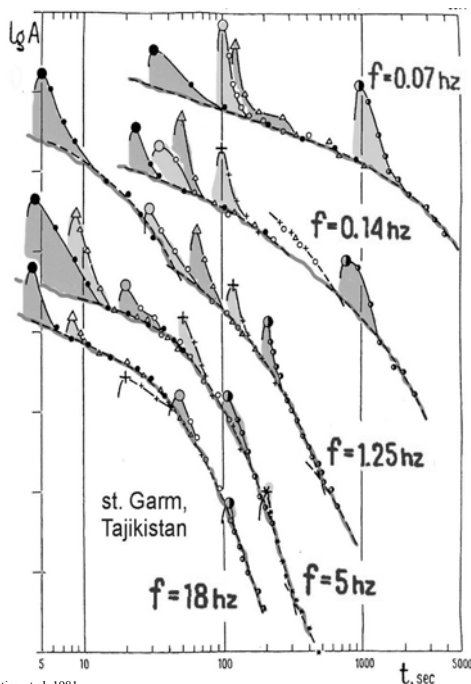
# Scattering parameters from pulse duration vs distance trend



RMS duration of S-wave group for sta. PET grows as  $r^{-1.0}$  indicating strongly distance-dependent scattering Q. To determine MFP, onset-to-peak delays are used. In the 1-12 Hz  $f$  range, and for  $r=100$  km, MFP estimates are around 100km

Average observed pulse shapes and their fit by predictions of (1) Gaussain-ACF model [bad fit] and (2) self-similar inhomogeneity case with  $\alpha=3^{2/3}$  (Kolmogorov's spectrum)[much better fit] Independently, the comparable estimate  $\alpha \approx 3.8-3.9$  follows from the onset-to-peak delay vs frequency relationship

## Regional envelopes give qualitative understanding of scattering in the Earth(1)



Rautian et al. 1981

(1) Over the entire 20-30 to 400-800 km distance range, the S-wave group/pulse is seen *above* coda asymptote.

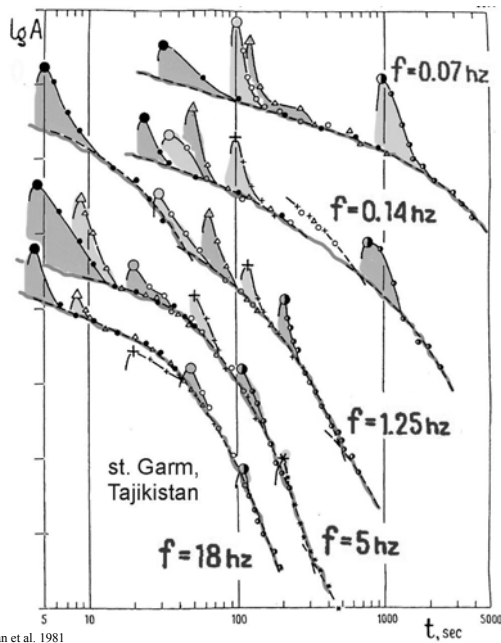
(2) The duration of the pulse is increasing with distance. This pulse broadening is caused by medium, not source, and must be produced by forward-scattering. (Continental Lg is a special case).

(3) Diffusion scattering is not observed. Pulse duration is, roughly, proportional to distance.

(1,2,3) suggest scattering phenomena in general but do not match the picture of scattering in the uniformly scattering medium, (that predicts (a) quadratic trend of duration vs. distance, and (b) fast sinking of a pulse in the diffuse envelope)

All this implies: *ray-average MFP is not constant but rapidly decreases with distance.*

# Regional envelopes give qualitative understanding of scattering in the Earth(2)



Ray-average MFP is not constant but rapidly decreases with distance. Therefore, in the Earth, for almost any ray and any HF band:

distance  $r$  is less than or comparable to ray-average *MFP*

or

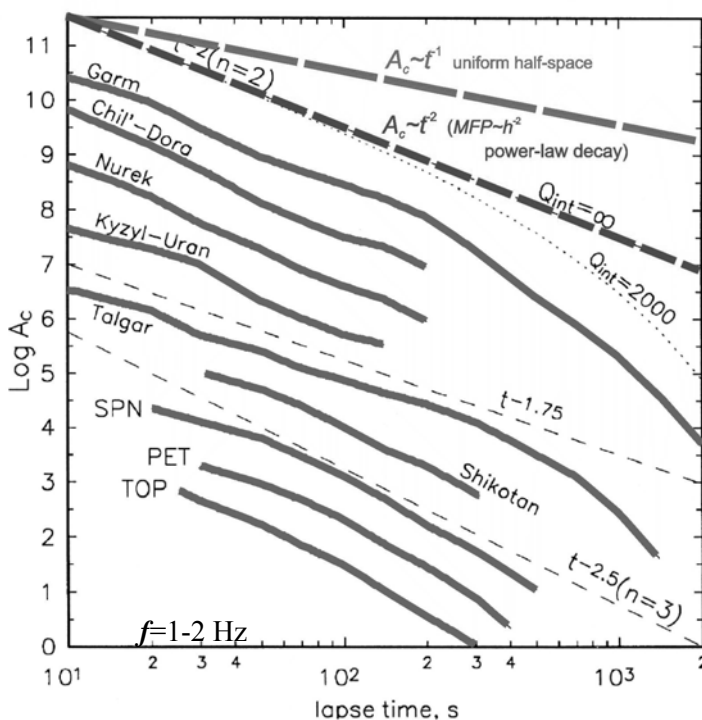
$\rho$  is less than or comparable to 1.0

As rays dive deeper with increasing distance, this means that in the Earth

***scattering effects rapidly decay with depth***

(follows as well from the existence of impulsive teleseismic P-waves)

## Estimating the *transport MFP* vs. *depth* trend from coda shape



Observed coda amplitude over a wide lapse-time range follows neither

$t^{-1}$  (SIS in the uniformly scattering space)

nor

$t^{-1} \exp(-\pi ft/Q_i)$

(same+intrinsic loss labeled "coda Q").

Instead, a trend like

$t^{-1.75-2.5}$

is seen,

corresponding to SIS in the scattering half-space with very fast depth decay of MFP:

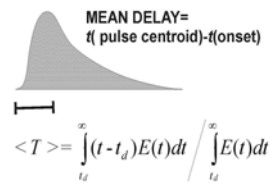
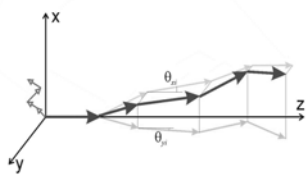
$MFP(h) \sim h^{-1.5-3}$

(adjustment:  $\exp(-\pi ft/Q_i)$  with  $Q_i=2000$ )

(A traditional coda-Q determination yields a mixture of MFP(h) effect and of intrinsic Q. It can match S-wave Q because a large fraction of S-wave attenuation is caused by radiation loss into deeper weakly scattering layers, thus emulating intrinsic loss in a uniform space.)

# Estimating the *transport MFP* vs. *depth* trend from pulse broadening

**Basis for inversion:**  
 mean delay of a pulse =  $f(g(\mathbf{r})$  along a ray)



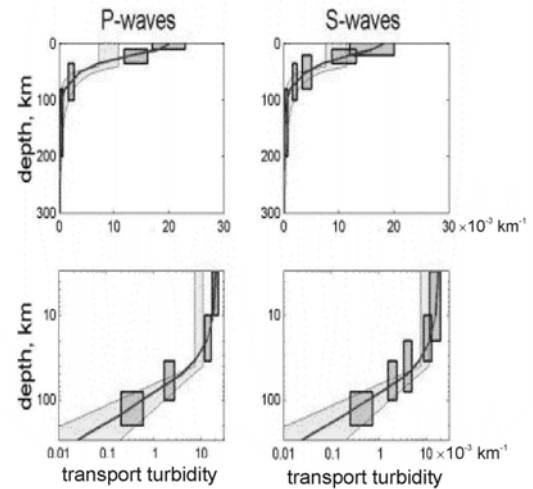
let transport MFP  $l=l(\mathbf{r})$ , tr. turbidity  $g=1/l=g(\mathbf{r})$

(1)  $g(\mathbf{r})=const=g$ :  $\langle T \rangle = \frac{gr^2}{6c}$  (Williamson 1972)

(2) non-uniform case:  $\langle T \rangle = \frac{1}{cS} \int_0^S g(u)(S-u)u du$

where  $u$  is the along-ray distance and  $S$  is the length of the ray  
 (Bocharov 1988)

inverted vertical profiles  $g(h)$   
 for  $P$  and  $S$  waves under Kamchatka  
 (based on  $\sim 2500$  onset-to-peak delays,  
 from hypocenters at  $h=20-300$  km)



colors: different estimates

in practical inversion assuming  $\alpha=3.7$   
 and thus: onset-to-peak delay  $=0.28\langle T \rangle$

1. from  $h=10-15$  to  $h=40-50$  km:  
 TMFP  $\sim 50-100$  km
2. from  $h=60-80$  km down,  
 fast decay: TMFP  $\sim h^{-2-3}$

## VERY IMPORTANT TOPICS NOT COVERED:

1. Conversion scattering:  $P \rightarrow S$ ,  $S \rightarrow P$ ,  $S \rightarrow$  surface wave ...)
2. Surface wave (2D) scattering.
3. Inversion of the HF radiation capability function (seismic luminosity) of a finite earthquake source from scattered envelopes

## OTHER IMPORTANT TOPICS NOT COVERED :

1. Regional specificity of scattering. Case of Lg
2. Inversion of diffusive envelopes.
3. Synthesis of scattered envelopes.
4. Inversion of observed coda for the relative density of scatterers in 2D or 3D (assuming uniform Q)
5. Inversion of observed coda for the distribution of Q (assuming uniform density of scatterers)
6. Diffraction-based approach (Flatte&Wu 1988)

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The sources of some graphics above:

H.Sato. <http://www.zisin.geophys.tohoku.ac.jp/~sato/lecturenotes/SatoSeismWaveScat110201.pdf>

M.Fehler. [http://www.ees4.lanl.gov/staff/fehler/Fehler\\_MGSS\\_Talk\\_1.pdf](http://www.ees4.lanl.gov/staff/fehler/Fehler_MGSS_Talk_1.pdf)

M.Fehler. [http://www.ees4.lanl.gov/staff/fehler/Fehler\\_MGSS\\_Talk\\_2\\_part\\_1.pdf](http://www.ees4.lanl.gov/staff/fehler/Fehler_MGSS_Talk_2_part_1.pdf)

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