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International Centre for Theoretical Physics



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Computational Physics and Materials Science:  
Total Energy and Force Methods**

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**Orbital magnetization in periodic solids  
and its connection to NMR**

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These are preliminary lecture notes, intended only for distribution to participants

# Orbital magnetization in periodic solids and its connection to NMR

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Rutgers, The State University of New Jersey

THE STATE UNIVERSITY OF NEW JERSEY  
**RUTGERS**



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How can we calculate the orbital magnetization in periodic solids



# Why is this important?

$$\vec{H} = \vec{B} - 4\pi\vec{M}$$

$$\vec{M} = \vec{M}_{\text{spin}} + \vec{M}_{\text{orbital}}$$

No theory for  
periodic solids!

- Interesting in and of itself
- Spintronics
- Magnetic semiconductors
- Anom. Hall effect

# Vocabulary (one band in 2D)

Berry connection

$$A_\alpha(\vec{k}) = i \langle u_{\vec{k}} | \partial / \partial k_\alpha | u_{\vec{k}} \rangle$$

Berry curvature

$$\Omega(\vec{k}) = \nabla \times \vec{A}$$

Electric polarization

$$P_\alpha = \frac{q}{(2\pi)^2} \int_{BZ} A_\alpha(\vec{k}) d^2k$$

Anomalous Hall conductivity

$$\sigma_{xy} = \frac{q^2}{(2\pi)^2 \hbar} \int \Omega(\vec{k}) f(E_{\vec{k}} - \mu) d^2k$$

Chern number

$$C = \frac{1}{2\pi} \int_{BZ} \Omega(\vec{k}) d^2k = \frac{1}{2\pi} \oint_{BZ} \vec{A}(\vec{k}) \cdot d\vec{k}$$

# Terms & conditions

- one-particle  $H$ , broken TR
- $B=0$ , or commensurate
- ferromagnetic insulator
- zero Chern numbers
- spinless electrons
- two dimensional
- isolated occupied band
- tight-binding model

1-particle states  
labeled by  $k$

Wannier  
representable

for simplicity  
of presentation

for tests

# Theory

Polarization

Magnetization

$$\begin{aligned}\vec{d} &= -e \sum_i \langle \psi_i | \vec{r} | \psi_i \rangle \\ &= -e \sum_i \langle w_i | \vec{r} | w_i \rangle\end{aligned}$$

fixed  
eigenstates

loc. mol. orb.

thermodynamic limit

# Theory

Polarization

Magnetization

finite samples

$$\begin{aligned}\vec{d} &= -e \sum_i \langle \psi_i | \vec{r} | \psi_i \rangle \\ &= -e \sum_i \langle w_i | \vec{r} | w_i \rangle\end{aligned}$$

therm

bulk Wannier  
functions  $|R\rangle$

$$\vec{P} = \frac{\vec{d}}{A} = -\frac{e}{A_0} \langle \vec{0} | \vec{r} | \vec{0} \rangle$$

# Theory

Polarization

Magnetization

finite sample

circulation operator

$$\begin{aligned}\vec{d} &= -e \sum_i \langle \psi_i | \vec{r} | \psi_i \rangle \\ &= -e \sum_i \langle w_i | \vec{r} | w_i \rangle\end{aligned}$$

$$\begin{aligned}\vec{m} &= -\frac{e}{2c} \sum_i \langle \psi_i | \vec{r} \times \vec{v} | \psi_i \rangle \\ &= -\frac{e}{2c} \sum_i \langle w_i | \vec{r} \times \vec{v} | w_i \rangle\end{aligned}$$

thermodynamic limit

$$\vec{P} = \frac{\vec{d}}{A} = -\frac{e}{A_0} \langle \vec{0} | \vec{r} | \vec{0} \rangle$$

# Theory

Polarization

Magnetization

finite samples

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thermodynamic limit

$$\vec{P} = \frac{\vec{d}}{A} = -\frac{e}{A_0} \langle \vec{0} | \vec{r} | \vec{0} \rangle$$

$$\vec{M}_{\text{LC}} = \frac{\vec{m}}{A} = -\frac{e}{2cA_0} \langle \vec{0} | \vec{r} \times \vec{v} | \vec{0} \rangle$$

# Theory

Polarization

Magnetization

compare in a simple  
tight-binding model

$$\begin{aligned}\vec{m} &= -\frac{e}{2c} \sum_i \langle \psi_i | \vec{r} \times \vec{v} | \psi_i \rangle \\ &= -\frac{e}{2c} \sum_i \langle w_i | \vec{r} \times \vec{v} | w_i \rangle\end{aligned}$$

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# A simple tight-binding model

VOLUME 61, NUMBER 18

PHYSICAL REVIEW LETTERS

31 OCTOBER 1988

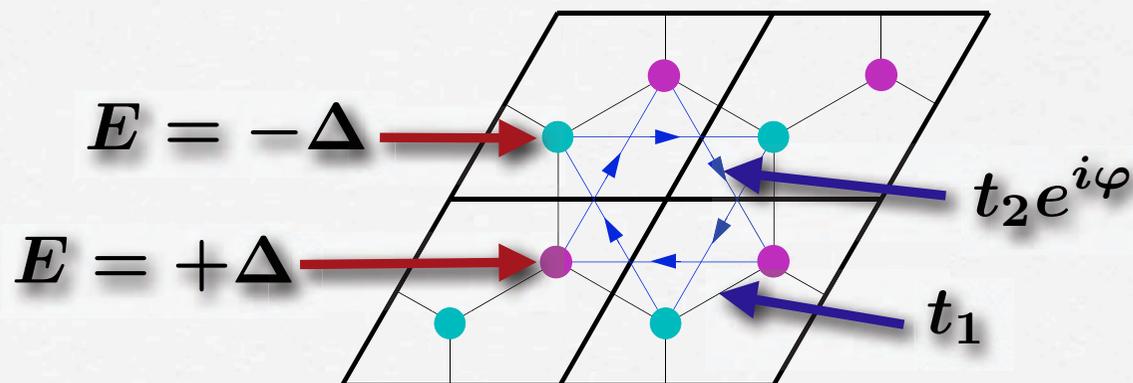
## Model for a Quantum Hall Effect without Landau Levels: Condensed-Matter Realization of the "Parity Anomaly"

F. D. M. Haldane

Department of Physics, University of California, San Diego, La Jolla, California 92093

(Received 16 September 1987)

A two-dimensional condensed-matter lattice model is presented which exhibits a nonzero quantization of the Hall conductance  $\sigma^{xy}$  in the *absence* of an external magnetic field. Massless fermions *without spectral doubling* occur at critical values of the model parameters, and exhibit the so-called "parity anomaly" of (2+1)-dimensional field theories.



# A simple tight-binding model

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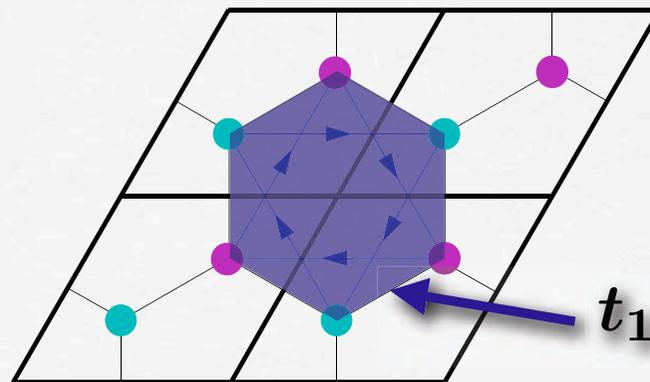
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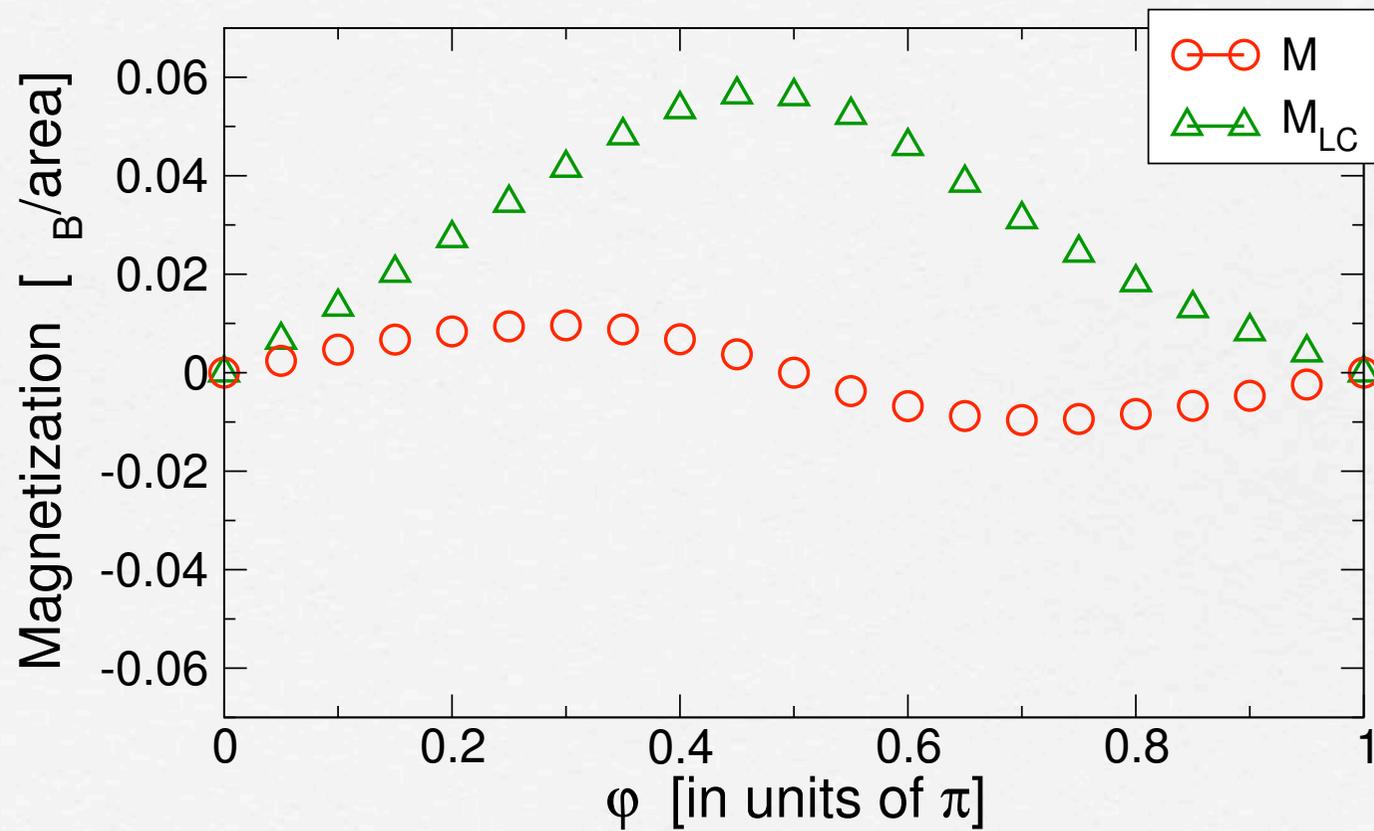
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# Compare - Numerical results



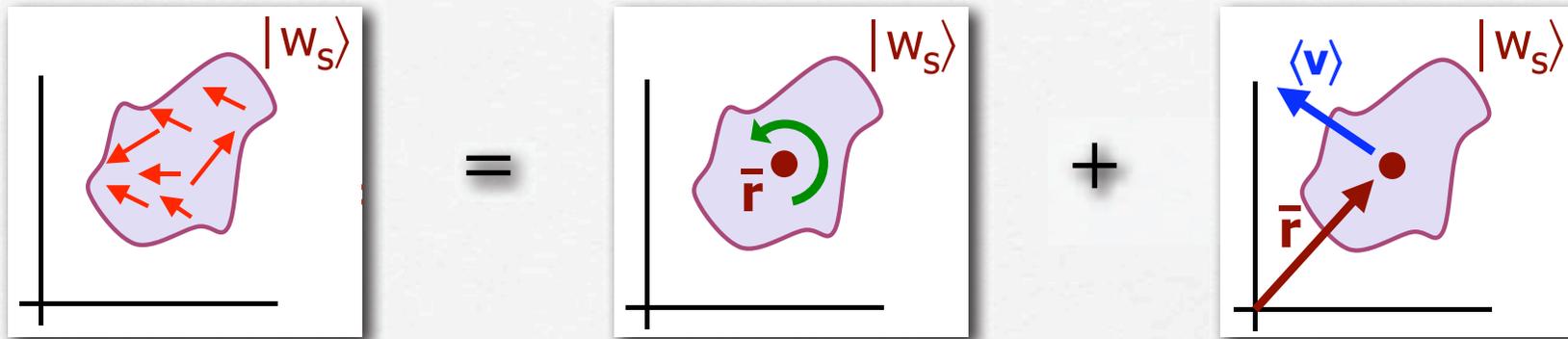
Something has gone wrong!

compare in a simple  
tight-binding model

$$\begin{aligned}\vec{m} &= -\frac{e}{2c} \sum_i \langle \psi_i | \vec{r} \times \vec{v} | \psi_i \rangle \\ &= -\frac{e}{2c} \sum_i \langle w_i | \vec{r} \times \vec{v} | w_i \rangle\end{aligned}$$

$$\vec{M}_{\text{LC}} = \frac{\vec{m}}{A} = -\frac{e}{2cA_0} \langle \vec{0} | \vec{r} \times \vec{v} | \vec{0} \rangle$$

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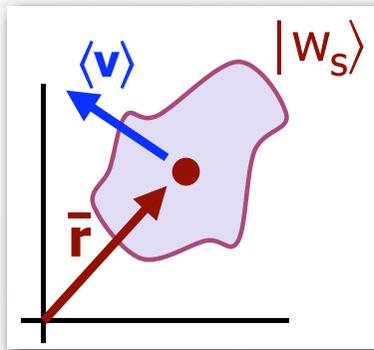


$$\langle w_s | \vec{r} \times \vec{v} | w_s \rangle = \langle w_s | (\vec{r} - \bar{r}) \times \vec{v} | w_s \rangle + \bar{r} \times \langle w_s | \vec{v} | w_s \rangle$$

(LC) local  
circulation

(IC) itinerant  
circulation

# Itinerant circulation



$$\vec{r} \times \langle w_s | \vec{v} | w_s \rangle$$

(IC) itinerant  
circulation

- bulk WF: bulk band carries no net current

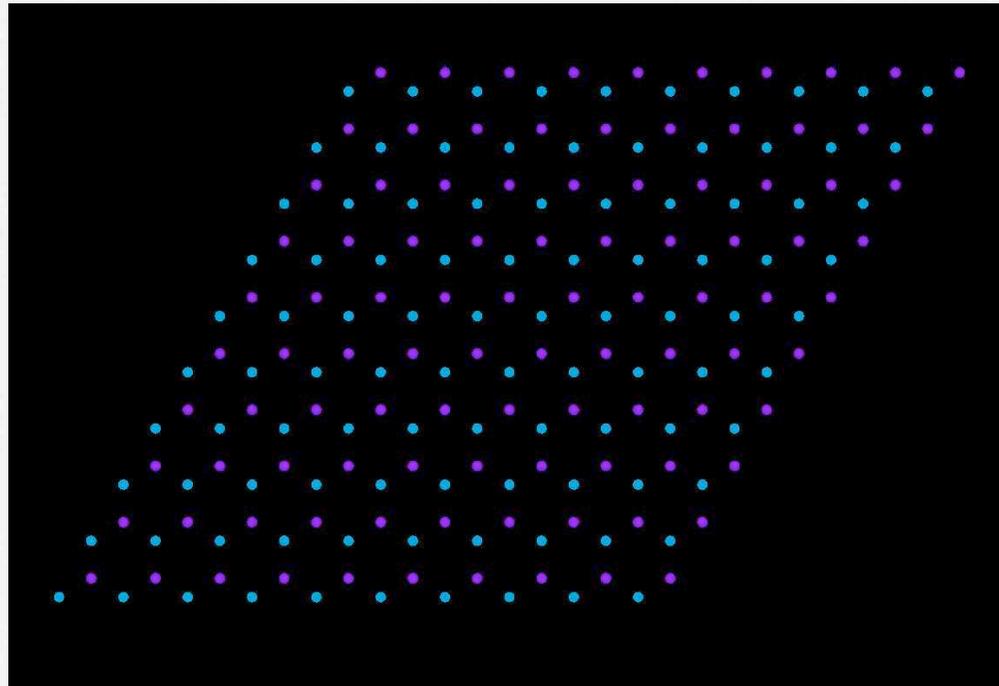
$$\text{so } \langle v \rangle = 0$$

$$\text{so } \vec{r} \times \langle v \rangle = 0$$

- but what about surface WF?

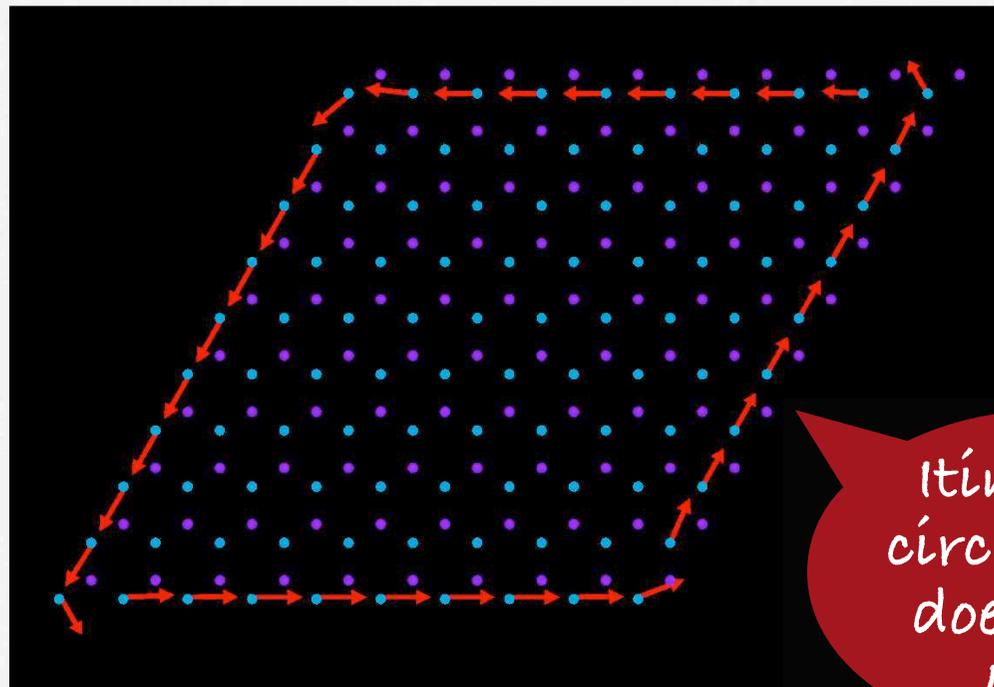
# Itinerant circulation

WF currents  $-e\langle w_i | \vec{v} | w_i \rangle$



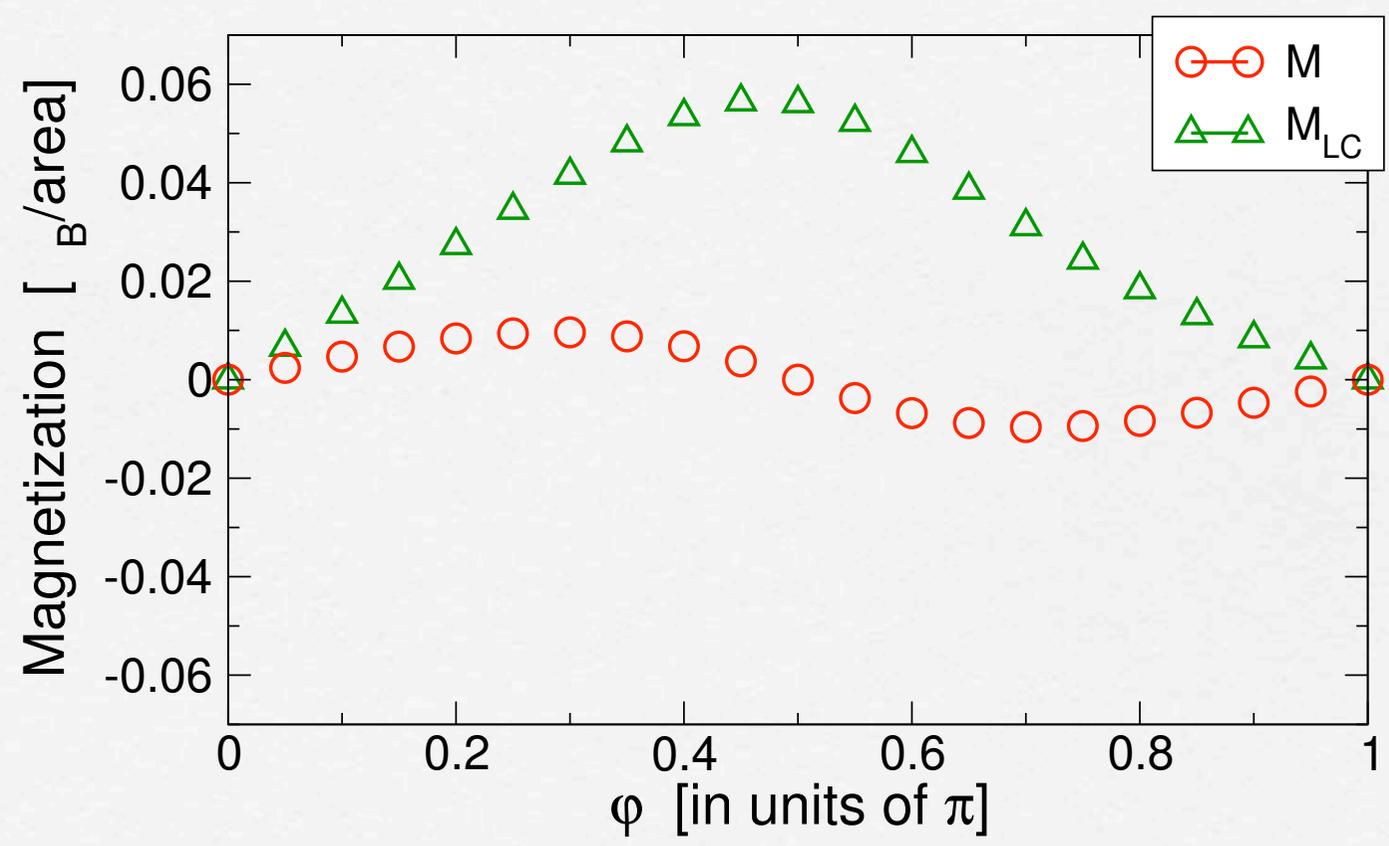
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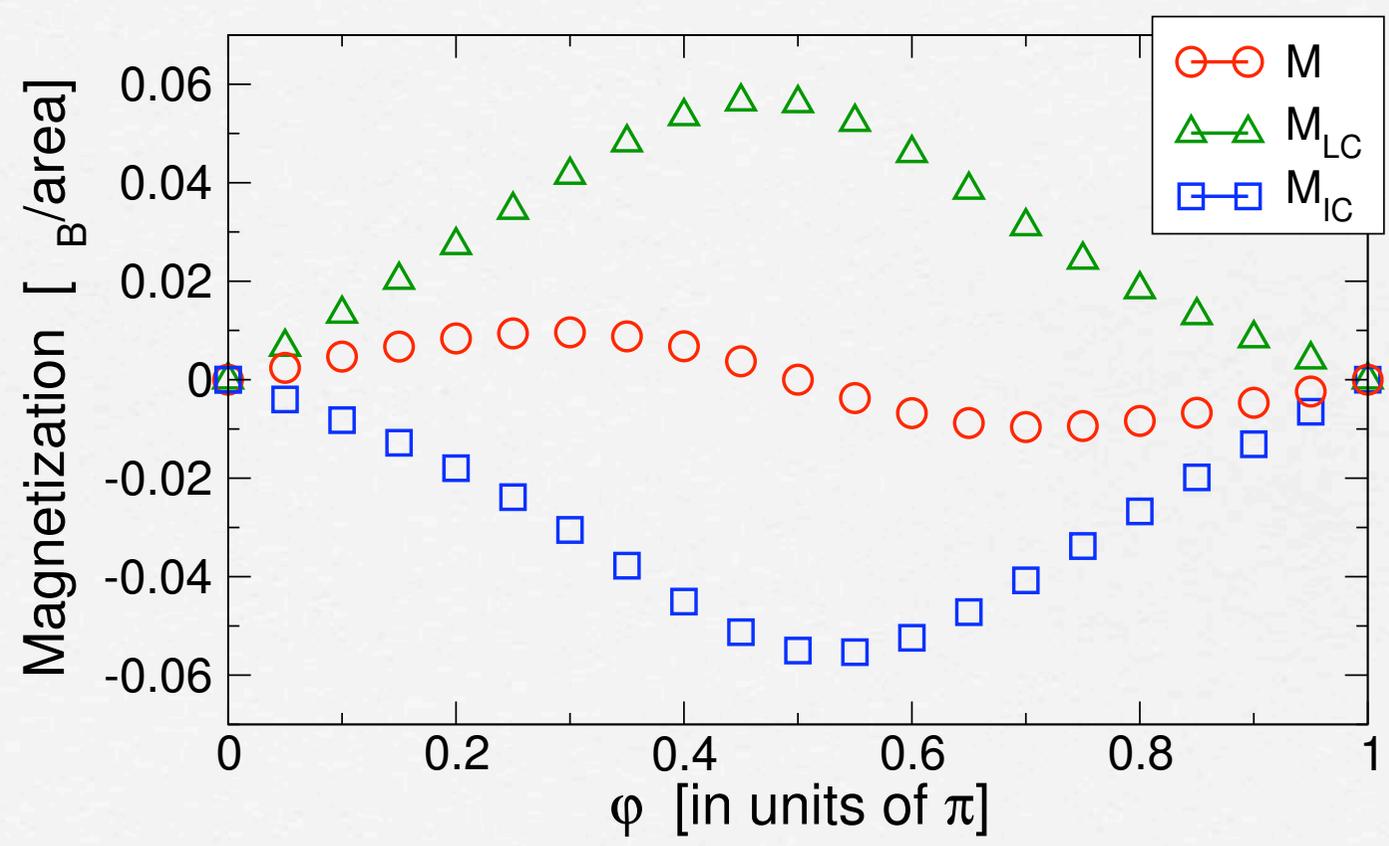


Itinerant  
circulation  
does exist  
 $M_{IC}$ !

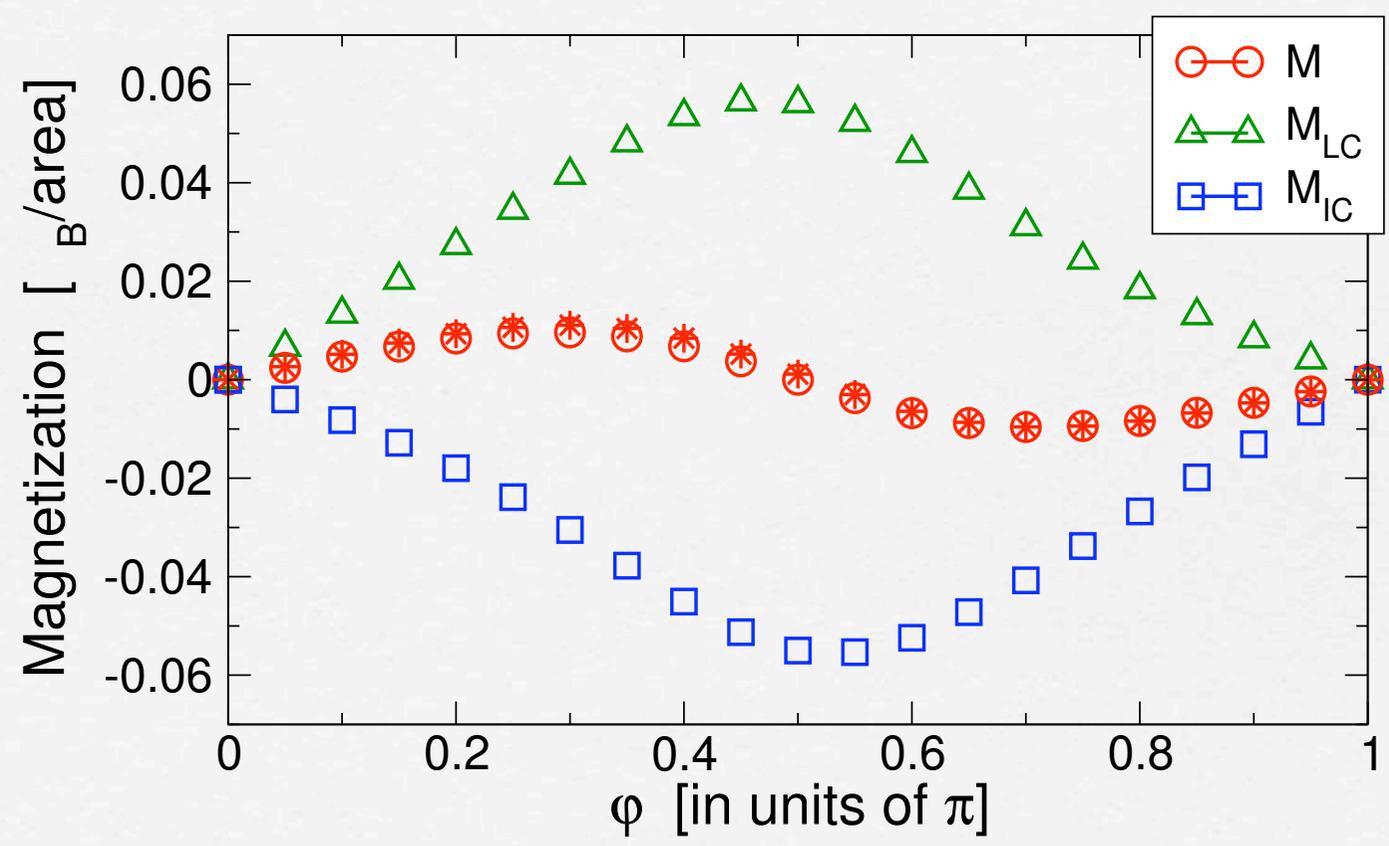
Compare again!



Compare again!

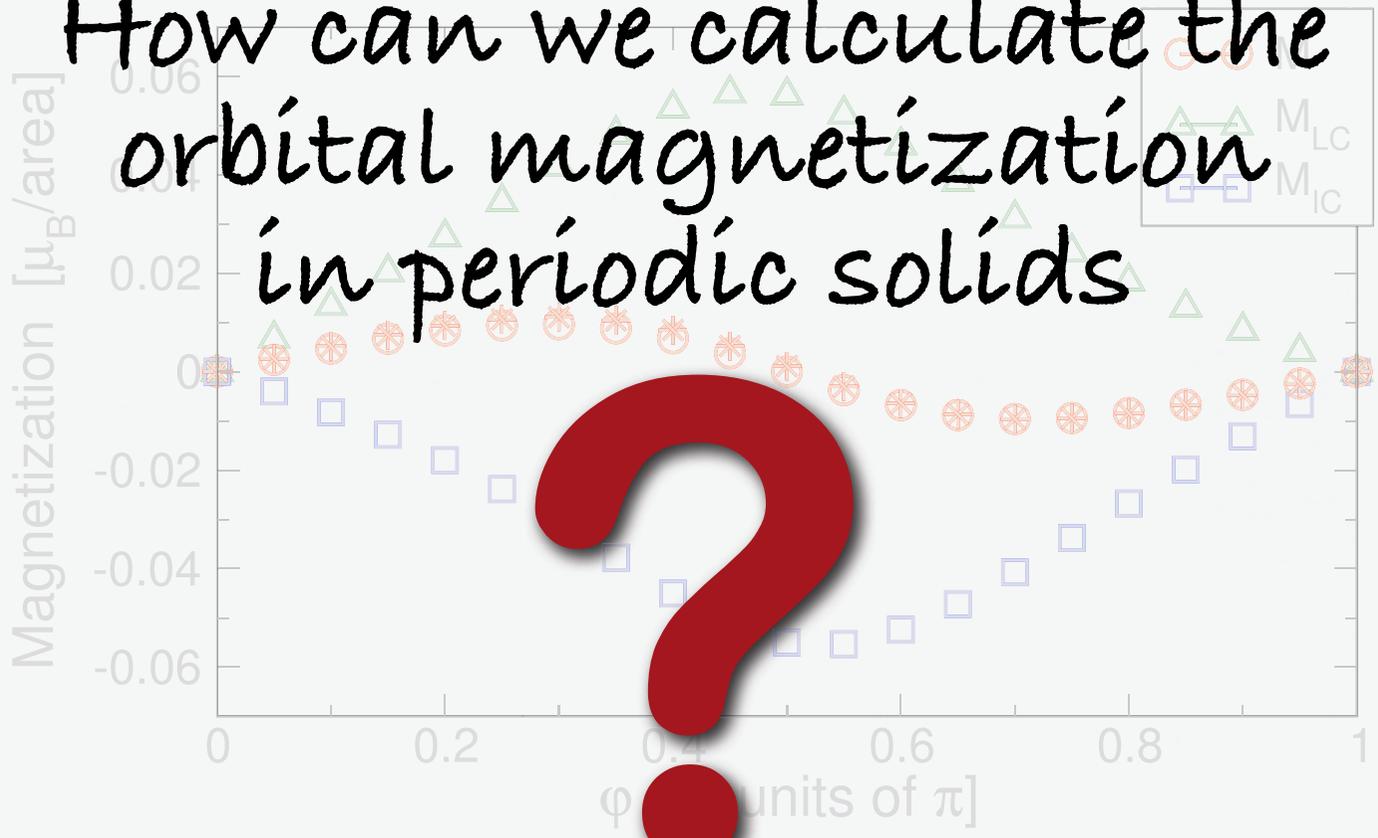


Compare again!



Compare again!

How can we calculate the orbital magnetization in periodic solids



## Final result

$$\vec{M}_{\text{LC}} = -\frac{e}{2A_0c} \langle \vec{0} | \vec{r} \times \vec{v} | \vec{0} \rangle$$

$$\vec{M}_{\text{IC}} = -\frac{e}{2A_0c\hbar} \sum_{\vec{R}} \text{Im} \left[ R_x y_{\vec{R},\vec{0}} H_{\vec{0},\vec{R}} - R_y x_{\vec{R},\vec{0}} H_{\vec{0},\vec{R}} \right]$$

## Final result

$$\vec{M}_{\text{LC}} = \frac{e}{2\hbar c} \text{Im} \int \frac{d^2 k}{(2\pi)^2} \langle \partial_{\vec{k}} u_{\vec{k}} | \times H_{\vec{k}} | \partial_{\vec{k}} u_{\vec{k}} \rangle$$

$$\vec{M}_{\text{IC}} = -\frac{e}{2\hbar c} \int \frac{d^2 k}{(2\pi)^2} E_{\vec{k}} \Omega_{\vec{k}}$$

## Final result

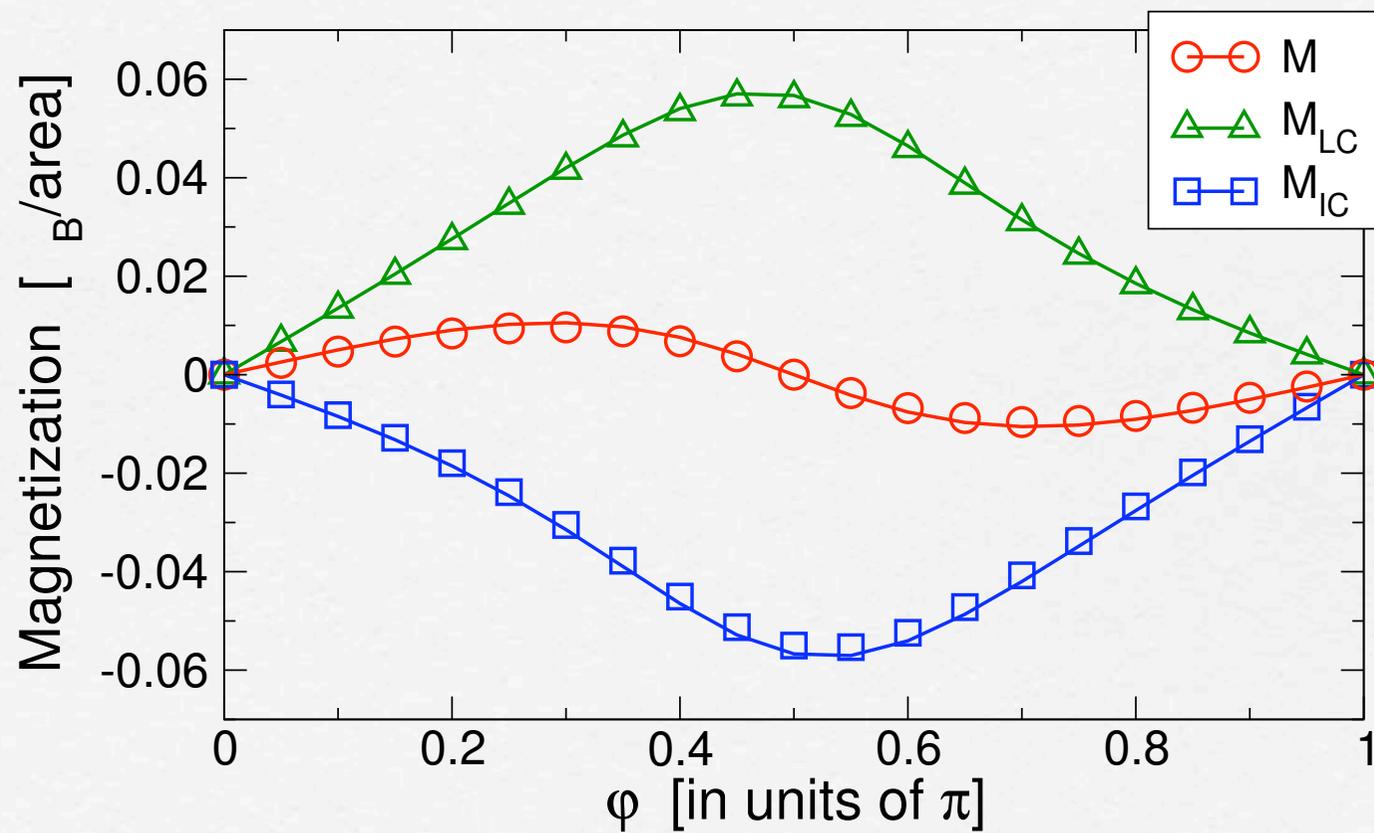
$$\vec{M} = \frac{e}{2\hbar c} \text{Im} \int \frac{d^2k}{(2\pi)^2} \langle \partial_{\vec{k}} u_{\vec{k}} | \times (H_{\vec{k}} + E_{\vec{k}}) | \partial_{\vec{k}} u_{\vec{k}} \rangle$$

## Final result

$$\vec{M} = \frac{e}{2\hbar c} \text{Im} \int \frac{d^2 k}{(2\pi)^2} \langle \partial_{\vec{k}} u_{\vec{k}} | \times (H_{\vec{k}} + E_{\vec{k}}) | \partial_{\vec{k}} u_{\vec{k}} \rangle$$

- Invariant under  $\mathbb{H}$  !  $\mathbb{H} + \Delta E$
- Gauge invariant  $|u_{\vec{k}}\rangle \rightarrow e^{i\phi(\vec{k})} |u_{\vec{k}}\rangle$
- Easy to discretize and implement
- Consistent with Xiao et al.  
PRL 95, 137204 (2005)

Perfect agreement!



# Extensions

$$\vec{M} = \frac{e}{2\hbar c} \text{Im} \sum_n \int_{\text{BZ}} \frac{d^3 k}{(2\pi)^3} f(E_{n,\vec{k}} - \mu)$$

$$\langle \partial_{\vec{k}} u_{n,\vec{k}} | \times (H_{\vec{k}} + E_{n,\vec{k}} - 2\mu) | \partial_{\vec{k}} u_{n,\vec{k}} \rangle$$

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□ three dimensions

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- three dimensions
- multi-band

# Extensions

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$$\langle \partial_{\vec{k}} u_{n,\vec{k}} | \times (H_{\vec{k}} + E_{n,\vec{k}} - 2\mu) | \partial_{\vec{k}} u_{n,\vec{k}} \rangle$$

three dimensions

metals ?

multi-band

Non-zero Chern No. ?

# Papers & posters

PRL 95, 137205 (2005)

PHYSICAL REVIEW LETTERS

week ending  
23 SEPTEMBER 2005

## Orbital Magnetization in Periodic Insulators

T. Thonhauser,<sup>1</sup> Davide Ceresoli,<sup>2</sup> David Vanderbilt,<sup>1</sup> and R. Resta<sup>3</sup>

<sup>1</sup>*Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08854, USA*

<sup>2</sup>*International School for Advanced Studies (SISSA/ISAS) and INFN-DEMOCRITOS, via Beirut 4, 34014, Trieste, Italy*

<sup>3</sup>*Dipartimento di Fisica Teorica Università di Trieste and INFN-DEMOCRITOS, strada Costiera 11, 34014, Trieste, Italy*  
(Received 20 May 2005; published 22 September 2005)

Working in the Wannier representation, we derive an expression for the orbital magnetization of a periodic insulator. The magnetization is shown to be comprised of two contributions, an obvious one associated with the internal circulation of bulklike Wannier functions in the interior, and an unexpected one arising from net currents carried by Wannier functions near the surface. Each contribution can be expressed as a bulk property in terms of Bloch functions in a gauge-invariant way. Our expression is verified by comparing numerical tight-binding calculations for finite and periodic samples.

PRL 95, 137205  
(2005)

Orbital magnetization in crystalline solids:  
Multiband insulators, Chern insulators, and metals

Davide Ceresoli,<sup>1</sup> T. Thonhauser,<sup>2</sup> David Vanderbilt,<sup>2</sup> and R. Resta<sup>3</sup>

<sup>1</sup>*International School for Advanced Studies (SISSA/ISAS) and DEMOCRITOS, via Beirut 2-4, 34014 Trieste, Italy*

<sup>2</sup>*Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08854, USA*

<sup>3</sup>*Dipartimento di Fisica Teorica Università di Trieste and DEMOCRITOS, strada Costiera 11, 34014 Trieste, Italy*

We derive a multiband formulation of the orbital magnetization in a normal periodic insulator (i.e., one in which the Chern invariant, or in 2d the Chern number, vanishes). Following the approach used recently to develop the single-band formalism [T. Thonhauser, D. Ceresoli, D. Vanderbilt, and R. Resta, Phys. Rev. Lett. 95, 137205 (2005)], we work in the Wannier representation and find that the magnetization is comprised of two contributions, an obvious one associated with the internal circulation of bulk-like Wannier functions in the interior and an unexpected one arising from net currents carried by Wannier functions near the surface. Unlike the single-band case, where each of these contributions is separately gauge-invariant, in the multiband formulation only the sum of

PRB 74, 024408  
(2006)

# Papers & posters

**Magnetic circular dichroism and the orbital magnetization of ferromagnets**

Ivo Souza<sup>1</sup> and David Vanderbilt<sup>2</sup>

*Department of Physics, University of California, Berkeley (USA)*

*Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey (USA)*

**Orbital magnetization in a supercell framework: Single k-point formula**

D. Ceresoli<sup>1</sup> and R. Resta<sup>2</sup>

1. Scuola Internazionale Superiore di Studi Avanzati (SISSA) and DEMOCRITOS,  
Trieste, Italy.

2. University of Trieste and DEMOCRITOS, Trieste, Italy.

# Summary

- Solved long-standing problem and developed a **theory of orbital magnetization**
- Orbital magnetization is a **bulk property**
- Sum of two distinct contributions
- Suitable for calculations using standard **band-structure codes**
- Closely related to **NMR**

# Acknowledgments



Davide  
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Resta

# Acknowledgments



Arash  
Mostofi



David  
vanderbilt



Nicola  
Marzari



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