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Geometric phases, polarization, distribution of electron charge centers, Wannier functions and bonding in materials

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# Geometric Phases, Polarization, Distribution of Electron Charge Centers, Wannier Functions and Bonding in Materials



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# Collaborators

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# BACKGROUND

Geometric phases: Modern theory of polarization

(King-smith and Vanderbilt, PRB 47, 1651 (93); R. Resta, Rev. Mod. Phys, 66, 899 (94)).

Polarization in multi-ferroic materials: *puzzles* 

(Wang et al, Science 299, 1719 (03); Neaton et al, PRB 71, 014113 (05)).

#### Charge Density Partitioning:

Maximally Localized Wannier Functions (Marzari and Vanderbilt, PRB 56, 12847 (97)). In 2 and 3 D: localization length of MLWFs > physical length-scale of the system. In 1 D: they are equal

#### Chemistry of Materials:

**Topological analysis** of charge density, Electron Localization Functions (Bader, Atoms in the Molecules (89); Silvi and Savin, Nature 371, 683 (94)). is known *not* to yield estimation of polarization!

Non-abelian Geometric Phases: a frame-work to address all above points



#### Wannier Functions

- Wannier function: A localized orbital description of Bloch electron (Wannier, 1937)
- W. Kohn: Analytic properties (1959); Construction (1973); Applications to O(N) calculations (1993)
- Important Applications:
  - Semi-classical electron dynamics
  - Model hamiltonians for many body calculations
  - Linear scaling (O(N)) electronic structure calculations
  - Modern theory of polarization (geometric phase)
  - Analogus to Lewis molecular bond orbitals  $\rightarrow$  chemistry
- Non-uniqueness of Wannier Functions: Phase factors

$$W_n(\mathbf{r}) = \int_{\mathbf{BZ}} \mathbf{dk}(\mathbf{e}^{\mathbf{i}\theta_{\mathbf{kn}}})\psi_{\mathbf{kn}}(\mathbf{r})$$

# **Wannier Functions**

• Fourier transforms of Bloch functions:

 $W_n(x) = \sum_m \int dk \ \psi_{km}(x) \ e^{i\theta(k,m)}$ Arbitrary phases  $\theta(k,m)$  of Bloch fn: *non-uniqueness of W<sub>n</sub> Smoothness* of Bloch functions as a function of *k* determine the localization properties of W<sub>n</sub>

• Marzari and Vanderbilt (1997):

 $Ω = \langle r^2 \rangle | \langle r \rangle^2$ Θ(k,m) chosen to minimize Ω

•  $\Omega$  is finite for insulators (> physical length-scale<sup>2</sup>) and  $\infty$  for metals

**PROBLEM:** Origin of phases

- Random phases from diagonalization
- Phases natural to the geometry of the QM problem

#### **OUR SOLUTION:**

• We obtain Bloch functions that are smooth and periodic in *k*:

- 1. Starting with  $k_0$ , we obtain Bloch functions at all k using parallel transport.
- 2. Aperiodic factors associated with geometric phases are analytically filtered out.

# Geometric phases: Wannier functions in 1-dimension

- Generate Bloch functions at k, using parallel transport from  $k_{\rm 0}$
- Filter out the geometric phase factors



(Bhattacharjee and Waghmare, Phys Rev B 71, 045106 (05)).

#### Parallel Transported Wavefunctions and Geometric Phase

• Parallel Transport:

$$< n, \lambda | \frac{d}{d\lambda} | n, \lambda >= 0$$

• Geometric phase:  $|n, \lambda + \Delta \lambda >_{||} = |n, \lambda >_{||} + \Delta \lambda \frac{d}{d\lambda} |n, \lambda >_{||}$ 



 $\psi(\lambda_1)$  and  $\psi(\lambda_2)$  are related to each other by parallel transport

#### Method (within density functional theory)

- Parallel Transport(PT) Bloch functions from  $k = -\frac{\pi}{a}$  to  $k = \frac{\pi}{a}$ 
  - Obtain  $\frac{d}{dk}|u_{kn}\rangle$  using DFT linear response s.t.  $< u_{km}|\frac{d}{dk}|u_{kn}\rangle = 0$
  - $-|u_{k+\Delta kn}\rangle = |u_{kn}\rangle + \Delta k \frac{d}{dk} |u_{kn}\rangle :$ Runge-Kutta integrate from kto  $k + \delta k$
  - $\text{ Obtain } \Gamma = Im(Log(\langle u_{k+\frac{2\pi}{a}m}|P|u_{kn}\rangle)) \qquad \left[e^{i\Gamma}\right]_{mn} = \left\langle u_{mk_0}^{\parallel}\right| \exp\left(i\frac{2\pi x}{a}\right) \left|u_{nk_0+2\pi/a}^{\parallel}\right\rangle$
- Rotate the PT Bloch functions with eigenvectors of F=(M): Different bands are decoupled
- Maximization of localization results naturally

$$W_n(x) = \sum_{m,k} e^{-ik.\gamma_n} M_{nm} \psi_{km}^{||}(x)$$

• In 2 or 3-D:  $\Gamma_x$  may not commute with  $\Gamma_y$ : No perfectly localized Wannier function!

Ref. Bhattacharjee and Waghmare, Phys. Rev. B 71, 045106 (2005).

# Discretized Parallel Transport and $\Gamma$

Bloch functions of the form :

$$\psi_{kn}(r) = e^{ik.r}u_{kn}(r)$$

Connecting Bloch functions at k and  $k+\Delta k$ 

Overlap matrix S : 
$$S_{mn}(k, k + \Delta k) = \langle u_{mk} | u_{n,k+\Delta k} \rangle$$

**Overlap matrix, S, in terms of R and \Gamma\_k as,**  $S = \operatorname{Re}^{i\Gamma_k}$ Determination of R and  $\Gamma$  matrices  $\rightarrow$ 

**Singular Value Decomposition** of overlap matrix,  $S=U\sum V^{\dagger}$ Rotate wave functions at k+ $\Delta k$  by  $M = (UV^{\dagger})^* \rightarrow S' = R'$ 

$$\left[e^{i\Gamma}\right]_{mn} = \langle u_{mk_o}^{\parallel} \mid e^{\frac{i2\pi x}{a}} \mid u_{nk_o+\frac{2\pi}{a}}^{\parallel} \rangle$$

$$\Gamma = \operatorname{Im}\log\langle u_{k+\frac{2\pi}{a}m} \mid e^{i\frac{2\pi x}{a}} \mid u_{kn} \rangle$$

Parallel transported wave functions satisfy "parallel transport" gauge:

$$\langle u_{km}^{\parallel} \mid \frac{\partial u_{kn}^{\parallel}}{\partial k} \rangle = 0$$

Eigenvalues of  $\Gamma^a$  matrix,  $\tau_i$  = eigenvalues of *PrP* \* (2 $\pi/a$ ) (Equivalent to Sgiarovello, Peressi, Resta, PRB64, 115202 (2001)).

#### Geometric Phases in 1-d and 2-d



- Eigenvalues of Γ: Centre of Wannier function ("Bond")
- Eigenvectors of  $\Gamma$ : which bands make up the "Bond"











#### Application to 1-d models

• Insulator: centrosymmetric and a general asymmetric potential



- Reproduce results of He and Vanderbilt (2001)
- Universal exponents (0.75, 1.5) for both the potentials

• Metal (partially filled band): centrosymmetric potential



Reproduce results of Beigi and Arias (1999)  $\rho^{T}(x, x') = \sum_{nR} W_{n}^{T\star}(x, R) W_{n}^{T}(x', R)$ 

• localization length  $\langle x^2 \rangle \rightarrow \infty$ 

Issues with computation of polarization

## Polarization: Berry phase

(Ref. King-smith and Vanderbilt, Phys. Rev. B47, R1651 (1993); R. Resta, RMP 66, 899 (94)).

 $P=1/V \int \rho(r) dr$ : does *not* work for an infinite crystal

P has to be defined through a *change*  $\Delta P$ , arising from an adiabatic flow of charge when a system is changed from one state to another:

$$\Delta P = \int_{\lambda_1}^{2} J(\lambda) d\lambda = P(\lambda_2) - P(\lambda_1)$$

$$P(\lambda) = \int_{\lambda_1}^{\lambda_1} i e/(2\pi)^3 \sum_n \int \langle u_{kn}(\lambda) | d/dk | u_{kn}(\lambda) \rangle dk$$

$$= \gamma(\lambda) + e R/V \quad (R: direct space lattice vector)$$

$$P \text{ forms a lattice}$$

A Consequence:

1. P of a centrosymmetric phase can be nonzero!

 $P \rightarrow P + eR/V$  P = eR/V/2: half integer quantum P

### **Implications for Real Materials: Biferroics**

Eg. Biferroic InMnO<sub>3</sub> (isostructural to YMnO<sub>3</sub>)

 P=19 µC/cm<sup>2</sup> (as obtained using Berry phase) But the reference paraelectric str:

P = half integer quantum = 27  $\mu$ C/cm<sup>2</sup>

$$P(1) - P(0) = \int_0^1 \frac{\partial P}{\partial \lambda} d\lambda$$

►  $\Delta P = 8 \mu C/cm^2$  should be a measured P

Serrao, Waghmare, Rao, et al, J. Appl. Phys 100, 076104 (2006).

A similar consideration holds true for YMnO<sub>3</sub>! Van Aken, Spaldin et al, Nat. Mat. 3, 164 (2004). 2. Measured polarization is dependent on the path followed by the system during a measurement, such as a switching transition path.



Has observable signatures in Raman spectra (Ref. Sood and Waghmare in preparation). Interpretation of absolute polarization estimated in MTP with observed P (order parameter of ferroelectrics) is tricky, and should be done with care.

Can the non-abelian geometric phases (Γ matrices) help? Band-by-band decomposition of P.

Eg. Superlattices (Vanderbilt et al, PRL 06).

Here: How to use  $\Gamma$  matrices in obtaining P that is easier to connect with experimental P?

(Nirat Ray and U. V. Waghmare, a preprint).

P determined with modern theory of polarization depends on the choice of unit cell!

**Example:** PbTiO<sub>3</sub> in tetragonal phase

Atomic positions in reduced units

Pb	0.0
Ti	0.039
0 <sub>x,</sub> O <sub>y</sub>	0.117
Oz	0.114



Unit cell is doubled in directions PERPENDICULAR to the direction along which we calculate polarization

# P<sub>z</sub> as calculated using different DFT codes (Modern Theory of P)

	Calculated using ABINIT (C/m <sup>2</sup> )	Calculated using PWSCF (C/m <sup>2</sup> )
PbTiO <sub>3</sub> Single unit cell	-0.90	1.19 (mod 2.10)
PbTiO <sub>3</sub> Four unit cells	0.15	0.15 (mod 0.52)

# P determined within the Modern Theory of Polarization depends on the *choice of unit cell*.

Note that changes in P (if smaller than quantum P) are independent of the unit cell!

# **Our scheme to determine P**

(With Nirat Ray)

Ionic polarization

Ionic positions (d<sub>i</sub>) are remapped between [-0.5,0.5)

$$P_{ion} = \frac{\sum_{i} Z_{i} d_{i}}{\Omega}$$

**e** Electronic polarization  $\sum Z_i d_i$ 

Centre of ionic charge defined as:  $d_{center} = \frac{i}{\sum Z_i}$ 

Each eigenvalue of Γ matrix folded between [-0.5,0.5) is shifted by -1 or +1 so that it is closer to the centre of an ionic charge

Justification: Implicitly, use paths expressed in the space of ionic displacements

$$P_{el} = \frac{\sum_{k\perp} \sum_{i} e \tau_z^i(k_{\perp})}{\Omega} \longrightarrow \quad \text{Electronic Polarization}$$

	Calculated using ABINIT (C/m <sup>2</sup> )	Calculated using PWSCF (C/m <sup>2</sup> )	Present scheme (C/m²)
PbTiO <sub>3</sub> Single unit cell	-0.90	1.19 (mod 2.10)	-0.90
PbTiO <sub>3</sub> Four unit cells	0.15	0.15 (mod 0.52)	-0.90

#### **Present scheme:**

- Polarization which is independent of the choice of unit cell !!
- Easier to interpret

#### Polarization as a function of parameter "s"

# A *two unit supercell* of PbTiO<sub>3</sub> is evolved from the paraelectric (s=0) to the ferroelectric phase (s=1)



 Discontinuity in the Polarization as calculated in MTP, when the [polarization] becomes greater than half the quantum of polarization

# Implications for Real Materials: Materials with chemical disorder, Solid solutions, Biferroics, etc

 Zr substituted PbTiO<sub>3</sub> forming a solid solution with PbTi<sub>0.75</sub>Zr<sub>0.25</sub>0<sub>3</sub>



PbTi<sub>0.75</sub>Zr<sub>0.25</sub>0<sub>3</sub>

Zr at centro-symmetric positions, and Ti off-centred by 0.04 Å

	ABINIT	Present Scheme
	C/m <sup>2</sup>	C/m <sup>2</sup>
PbTi <sub>0.75</sub> Zr <sub>0.25</sub> O <sub>3</sub>	0.099 (0.52)	-0.95

# What about Wannier functions in 3-D ???



More than one path to go from one Bloch vector to another in D>1 !

Parallel transport of Bloch functions along different closed paths in the Brillouin zone generate different phase factors and it is no longer possible to generate Bloch functions that are smooth in k *using parallel transport* as a function of k.

WFs in higher dimensions: Basic Idea



Auxiliary Subspace: Definite Geometric Properties

$$\Psi_{\mu}(\mathbf{R},\mathbf{r}) = (\alpha/2\pi)^{3/2} e^{-\alpha|\mathbf{r}-\tau_{\kappa}-\mathbf{R}|^2} Y_{lm}(r-\widehat{\tau_{\kappa}}-R)$$

$$\langle \mathbf{r} | v_{\mu \mathbf{k}} \rangle = \sum_{\mathbf{p}} e^{i \mathbf{k} \cdot (\mathbf{R} - \mathbf{r})} \Psi_{\mu}(\mathbf{R}, r)$$

A || Transport between Auxiliary and Physical Subspaces

- $S_{\mu n}^{\mathbf{k}} = \langle v_{\mu \mathbf{k}} | u_{n \mathbf{k}} \rangle \qquad \qquad R_{\mathbf{k}} = U_{\mathbf{k}} \Sigma_{\mathbf{k}} U_{\mathbf{k}}^{\dagger}, e^{i \Gamma_{\mathbf{k}}} = U_{\mathbf{k}} V_{\mathbf{k}}^{\dagger}$ 
  - $S^{\mathbf{k}} = R_{\mathbf{k}} e^{i\Gamma_{\mathbf{k}}}, \qquad \qquad M_{\mathbf{k}} = (U_{\mathbf{k}} V_{\mathbf{k}}^{\dagger})^*$

$$\begin{split} S^{\mathbf{k}} &= U_{\mathbf{k}} \Sigma_{\mathbf{k}} V_{\mathbf{k}}^{\dagger} & \tilde{S}^{\mathbf{k}} = S^{\mathbf{k}} (V_{\mathbf{k}} U_{\mathbf{k}}^{\dagger}) = U_{\mathbf{k}} \Sigma_{\mathbf{k}} U_{\mathbf{k}}^{\dagger}, \\ & \downarrow & \downarrow \\ \text{Nonzero} & \text{Zero} \\ \text{Geometric Phases} \end{split}$$

Wannier functions
$$|\tilde{u}_{\mu\mathbf{k}}\rangle = \sum_{j} M_{\mu j} |u_{j\mathbf{k}}\rangle f_{nk}^{1/2}$$
, $< \mathbf{r} |\Phi_{\mu}(\mathbf{R})\rangle = \frac{\Omega}{(2\pi)^3} \sum_{\mathbf{k}} e^{i(\mathbf{k}-\mathbf{R}).\mathbf{r}} < \mathbf{r} |\tilde{u}_{\mu\mathbf{k}}\rangle$  $\rho(r, r') = \sum_{R,\mu} \langle r | \Phi_{\mu}(R) \rangle \langle \Phi_{\mu}(R) | r' \rangle$  $\Rightarrow$  Applicable to insulators, metals, molecules, cluster, any dimensionality, unoccupied states $\Rightarrow$  Localization comparable with the MLWFs.

----> Further localization can be achieved through Joint Diagonalization of x, y, z (Cardoso, J. Math. Anal. App. (96)).

# **Examples of Wannier Functions**



(Bhattacharjee and Waghmare, Phys. Rev. B 71, 121102(R), 2006.)

### From Wannier functions to Atomic Orbitals: Bonding

Pure atomic orbitals:  $|\phi_n\rangle$ ,  $(n = \{i_a, l, m\})$ ;  $S_{lm} = \langle \phi_l | \phi_m \rangle$ Wannier function:  $|W_I\rangle$ 

Bond Orbital Overlap Population (BOOP):

$$B_{lm}^{I} = \langle W_{I} | \phi_{l} \rangle (S^{-1\dagger})_{lm} \langle \phi_{m} | W_{I} \rangle$$

Break up of effective charge:

(Similar to COOP of Roald Hoffman)

 $Z_{lm}^{I} = \frac{q_e}{u_x} \Delta [\langle W_I | \phi_l \rangle (S^{-1\dagger} X S^{-1})_{lm} \langle \phi_m | W_I \rangle]$ Bond Orbital Position Population (BOPP) where,  $X_{ij} = \langle \phi_i | x | \phi_j \rangle$ Born effective charge:  $Z_x^{\star} = \sum_I \sum_{lm} Z_{lm}^I$ :

**BOPP: covalency, charge transfer and local polarizability** 

### Anomalously Large Effective Charges



**PbTiO<sub>3</sub>: A Ferroelectric material** 

~ lonic materials, with ionic charges of +2 (Pb), +4 (Ti) and -2 (O).

But the dynamical charge of Ti (Z\*): dipole:  $\mu = Z^* d_{Ti}$  Force: F = Z\* E

is anomalously large: Z\* (Ti) = 7.1

This is a generic feature of most ferroelectric perovskite oxides, eg. BaTiO<sub>3</sub>, KNbO<sub>3</sub>.

Gives large dielectric (  $\alpha~Z^{*2})$  and electromechanical (  $\alpha~Z^{*}$  ) couplings

## What is the origin?



# **BOPP Analysis: PbTiO<sub>3</sub>**

**BOOP Analysis**  $\begin{bmatrix} \bullet ^{Ti_1} & \bullet ^{Ti_2} \end{bmatrix}$ 

	WF1 π	WF2 σ	WF3 σ
Charge Transfer	1.10	0.33	0.21
Local (O) Polarizability	0.00	-0.06	-0.10
Covalency	0.27	0.30	0.41
Nonlocal Changes	0.06	0.01	0.01

In the O-centered WF1, population of  $3d_{xz}$  state of Ti<sub>1</sub> atom increases by 0.038 e, whereas that at Ti<sub>2</sub> decreases by 0.034 e: large part of anomalous charge ~ 2 e!



Local charges remain the same

Mechanism of anomalous effective charge:

Transfer of a small fraction of electrons from one Ti to the neighboring one is facilitated by the oxygen *p* orbitals perpendicular to the -Ti-O-Ti- chain.

Bhattacharjee, Waghmare, submitted to JACS.

# Like "charge double-exchange"

► Why perovskites are so great: O(2)-TM-O(2) chains in all three directions!

**Distribution of Electron Charge Centres (DECC):** A gauge invariant scheme for charge partitioning; A new way of looking at bonding

(With Joydeep Bhattacharjee and Shobhana Narasimhan, cond-mat/0612468).

Thanks to a referee from Nature whose comments forced us to generalize the DECC formulation.

## **Distribution of Electron Charge Centres (DECC)**

Conventional thinking of Crystal Structure: *Where are the atoms?* 

We ask: Where are the electrons?

- As  $\Gamma_x$  and  $\Gamma_y$  do not commute, it is not possible to determine both x and y coordinate of electron charge centre simultaneously. This is partly why  $\Omega(MLWF) > \Omega(physical)$ ;  $\Omega(physical)$  accessible by linear response (Waghmare et al, PRB 67, 125111 (03)).
- Only a joint probability may be formulated: eg. x and y coordinates are  $(x_i, y_i)$
- Coordinate axis are not unique! Eg. x and x+y are also good choices. Sum over all possible choices limited by the lattice. *ie.* sum over all possible primitive unit cells. A primitive real space lattice unit cell ↔ G-vector (rec. lattice) shortest along its dir. → sum over all "G"s (like in a path integral)

## **Distribution of Electron Charge Centres (DECC)**



G: a rec. lattice vector shortest along its dir.
b<sub>1</sub>, b<sub>2</sub>: two linearly independent vectors forming the shortest path to G define a rec. space primitive cell
a<sub>1</sub>, a<sub>2</sub>, a<sub>3</sub> are the corresponding vectors in real space.

For each  $\{\mathbf{b_1}, \mathbf{b_2}, \mathbf{b_3}\}$  (G), obtain non-abelian geometric phase matrices  $\Gamma_i$ 's, whose eigenvalues give a point in real space as an electron charge centre:

$$\mathbf{T}_{lmn}(\mathbf{k}) = \tau_l^1(\mathbf{k})\mathbf{a}_1 + \tau_m^2(\mathbf{k})\mathbf{a}_2 + \tau_n^3(\mathbf{k})\mathbf{a}_3$$

 Use eigenvalues and vectors of P r<sub>i</sub> P given by geometric phase matrix
 Use quantum joint probability distribution function (Barut, Foundations of Physics, 18, 999 (1988)).

$$D(\mathbf{r}) = \frac{1}{N_G} \sum_{G} \int_{K_G} dk \sum_{lmn} \delta(\mathbf{r} - \mathbf{T}_{lmn}(\mathbf{k})) \langle v_{\mathbf{k}l}^1 | v_{\mathbf{k}m}^2 \rangle \langle v_{\mathbf{k}m}^2 | v_{\mathbf{k}n}^3 \rangle \langle v_{\mathbf{k}n}^3 | v_{\mathbf{k}l}^1 \rangle$$
  
Eigenvalues and eigenfunctions of  $r_i$  ( $\Gamma_i$ 's).

>>>> : Bargmann invariants (Ref. Simon and Mukunda, PRL 70, 880 (93)).  $\sum_{G}$  can be suitably terminated: eg. G < Gcut

# Properties of DECC

- DECC has the symmetry of the system; symmetrization can save much of computation.
- It is a real function (but can be negative).
- For an insulator, peaks of DECC function are wellisolated and a given set of peaks contains integer quantum (e) charge.
- Generalization to metals involves including occupation numbers (with appropriate powers) in parallel transport.
- N-electron M-center bond defined by the peaks of DECC associated with M nuclei and containing N electrons.
- $\int dr D(r) r$  gives polarization.
- $\int dr D(r) = N_e$ .

## **DECC** for ionic insulators

Z<sub>static</sub>-electron Uni-centerd bond



Charge contained in a set of peaks localized on an atom: static charge

Difference in DECC with atomic displacements: change in  $P \rightarrow Z^*$ 

### **DECC** for covalent systems



A covalent bond: 2-electron 2-centered bond

Negative values at the anti-bonding sites: Violation of L'Espegnat inequality in QM

We interpret covalent bonds are truly quantum mechanical in nature!

Note the complete shell containing 8 electrons for each atom!



Covalency scale (Number of shared e /atom): Al > Mo > Pb > Cu

Explanation for Al has greater mechanical shear strength than Cu (Yip et al, Science (2002)).

## **DECC** for molecular systems



 $\sum$  electrons associated with each to C = 8.

# Summary

Non-abelian geometric phases

- 1. Help with estimation of polarization
- 2. Used in formulating Wannier functions
- 3. Have been used in formulating a new scheme for charge partitioning: DECC
- 4. Link with bonding and chemistry of materials