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## **School and Conference on Algebraic K-Theory and its Applications**

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**Topological K-theory**

Eric Friedlander  
*Northwestern University, Evanston, USA*

### 3. TOPOLOGICAL K-THEORY

In this lecture, we will discuss some of the machinery which makes topological  $K$ -theory both useful and computable. Not only does topological  $K$ -theory play a very important role in topology, but also it has played the most important guiding role in the development of algebraic  $K$ -theory.

**3.1. The Classifying space  $BU \times \mathbb{Z}$ .** The following statements about topological vector bundles are not valid (in general) for algebraic vector bundles. These properties suggest that topological  $K$ -theory is better behaved than algebraic  $K$ -theory.

**Proposition 3.1.** (cf. [?]) *Let  $T$  be a compact Hausdorff space. If  $p : E \rightarrow T$  is a topological vector bundle on  $T$ , then for some  $N > 0$  there is a surjective map of bundles on  $T$ ,  $(\mathbb{C}^{N+1} \times T) \rightarrow E$ .*

*Any surjective map  $E \rightarrow F$  of topological vector bundles on  $T$  admits a splitting over  $T$ .*

*The set of homotopy classes of maps  $[T, BU(n)]$  is in natural 1-1 correspondence with the set of isomorphism classes of rank  $n$  topological vector bundles on  $T$ .*

*Proof.* The first statement is proved using a partition of unity argument.

The proof of the second statement is proved by establishing a Hermetian metric on  $E$  (so that  $E \simeq F \oplus F^\perp$ ), which is achieved by once again using a partition of unity argument.

To prove the last statement, one verifies that if  $T \times I \rightarrow G$  is a homotopy relating continuous maps  $f, g : T \rightarrow G$  and if  $E$  is a topological vector bundle on  $G$ , then  $f^*E \simeq g^*E$  as topological vector bundles on  $T$ . Once again, a partition of unity argument is the key ingredient in the proof.  $\square$

**Proposition 3.2.** *For any space  $T$ , the set of homotopy classes of maps*

$$[T, BU \times \mathbb{Z}], \quad BU = \varinjlim_n BU_n$$

*admits a natural structure of an abelian group induced by block sum of matrices  $U_n \times U_m \rightarrow U_{n+m}$ . We define*

$$K_{top}^0(T) \equiv [T, BU \times \mathbb{Z}].$$

*For any compact, Hausdorff space  $T$ ,  $K_{top}^0(T)$  is naturally isomorphic to the Grothendieck group of topological vector bundles on  $T$ :*

$$K_{top}^0(T) \simeq \frac{\mathbb{Z}[\text{iso classes of top vector bundles on } T]}{[E] = [E_1] + [E_2], \text{ whenever } E \simeq E_1 \oplus E_2}.$$

*Proof.* (External) direct sum of matrices gives a monoid structure on  $\sqcup_n BU_n$  which determines a (homotopy associative and commutative)  $H$ -space structure on  $BU \times \mathbb{Z}$  which we view as the mapping telescope of the self map

$$\sqcup_n BU_n \rightarrow \sqcup_n BU_n, \quad BU_i \times \{\star \in BU_1\} \rightarrow BU_{i+1}.$$

The (abelian) group structure on  $[T, BU \times \mathbb{Z}]$  is then determined.

To show that this mapping telescope is actually an H-space, one must verify that it has a 2-sided identity up to *pointed* homotopy: one must verify that product on the left with  $\star \in BU_1$  gives a self map of  $BU \times \mathbb{Z}$  which is related to the identity via a base-point preserving homotopy. (Such a verification is not difficult, but the analogous verification fails if we replace the topological groups  $U_n$  by discrete groups  $GL_n(A)$  for some unital ring  $A$ .)  $\square$

**Example 3.3.** Since the Lie groups  $U_n$  are connected, the spaces  $BU_n$  are simply connected and thus

$$K_{top}^0(S^1) = \pi_1(BU \times \mathbb{Z}) = 0.$$

It is useful to extend  $K_{top}^0(-)$  to a relative theory which applies to pairs  $(T, A)$  of spaces (i.e.,  $T$  is a topological space and  $A \subset T$  is a closed subset). In the special case that  $A = \emptyset$ , then  $T/\emptyset = T_+/\star$ , the pointed space obtained by taking the disjoint union of  $T$  with a point  $\star$  which we declare to be the basepoint.

**Definition 3.4.** If  $T$  is a pointed space with basepoint  $t_0$ , we define the reduced K-theory of  $T$  by

$$\tilde{K}_{top}^*(T) \equiv K_{top}^*(T, t_0).$$

For any pair  $(T, A)$ , we define

$$K_{top}^0(T, A) \equiv \tilde{K}_{top}^0(T/A)$$

thereby extending our earlier definition of  $K_{top}^0(T)$ .

For any  $n > 0$ , we define

$$K_{top}^n(T, A) \equiv \tilde{K}_{top}^0(\Sigma^n(T/A)).$$

In particular, for any  $n \geq 0$ , we define

$$K_{top}^{-n}(T) \equiv K_{top}^{-n}(T, \emptyset) \equiv \tilde{K}_{top}^0(\Sigma^n(T_+)).$$

Observe that

$$\tilde{K}_{top}^0(S \wedge T) = \ker\{K_{top}^0(S \times T) \rightarrow K_{top}^0(S) \oplus K_{top}^0(T)\},$$

so that (external) tensor product of bundles induces a natural pairing

$$K_{top}^{-i}(S) \otimes K_{top}^{-j}(T) \rightarrow K_{top}^{-i-j}(S \times T).$$

Just to get the notation somewhat straight, let us take  $T$  to be a single point  $T = \{t\}$ . Then  $T_+ = \{t, \star\}$ , the 2-point space with new point  $\star$  as base-point. Then  $\Sigma^2(T_+)$  is the 2-sphere  $S^2$ , and thus

$$K_{top}^{-2}(\{t\}) = \ker\{K_{top}^0(S^2) \rightarrow K_{top}^0(\star)\}.$$

We single out a special element, *the Bott element*

$$\beta = [\mathcal{O}_{\mathbb{P}^1}(1)] - [\mathcal{O}_{\mathbb{P}^1}] \in K_{top}^{-2}(pt),$$

where we have abused notation by identifying  $(\mathbb{P}^1)^{an}$  with  $S^2$  and the images of algebraic vector bundles on  $\mathbb{P}^1$  in  $K_{top}^0((\mathbb{P}^1)^{an})$  have the same names as in  $K_0(\mathbb{P}^1)$ .

**3.2. Bott periodicity.** Of fundamental importance in the study of topological  $K$ -theory is the following theorem of Raoul Bott. Recall that if  $(X, x)$  is pointed space, then the **loop space**  $\Omega X$  is the function complex (with the compact-open topology) of continuous maps from  $(S^1, \infty)$  to  $(X, x)$ . The loop space functor  $\Omega(-)$  on pointed spaces is adjoint to the suspension functor  $\Sigma(-)$ : there is a natural bijection

$$\text{Maps}(\Sigma(X), Y) \simeq \text{Maps}(X, \Omega(Y))$$

of sets of continuous, pointed (i.e, base point preserving) maps.

**Theorem 3.5.** (*Bott Periodicity*) *There are the following homotopy equivalences.*

- *From  $BO \times \mathbb{Z}$  to its 8-fold loop space:*

$$BO \times \mathbb{Z} \sim \Omega^8(BO \times \mathbb{Z})$$

*Moreover, the homotopy groups  $\pi_i(BO \times \mathbb{Z})$  are given by*

$$\mathbb{Z}, \mathbb{Z}/2, \mathbb{Z}/2, 0, \mathbb{Z}, 0, 0, 0$$

*depending upon whether  $i$  is congruent to 0, 1, 2, 3, 4, 5, 6, 7 modulo 8.*

- *From  $BU \times \mathbb{Z}$  to its 2-fold loop space:*

$$BU \times \mathbb{Z} \sim \Omega^2(BU \times \mathbb{Z})$$

*Moreover,  $\pi_i(BU \times \mathbb{Z})$  is  $\mathbb{Z}$  if  $i$  is even and equals 0 if  $i$  is odd.*

Atiyah interprets this 2-fold periodicity in terms of  $K$ -theory as follows.

**Theorem 3.6.** (*Bott Periodicity*) *For any space  $T$  and any  $i \geq 0$ , multiplication by the Bott element induces a natural isomorphism*

$$\beta : K_{top}^{-i}(T) \rightarrow K_{top}^{-i-2}(T).$$

Using the above theorem, we define  $K_{top}^i(X)$  for any topological space  $X$  and any integer  $i$  as  $K_{top}^{\bar{i}}(X)$ , where  $\bar{i}$  is 0 if  $i$  is even and  $\bar{i}$  is -1 if  $i$  is odd.

In particular, taking  $T$  to be a point, we conclude that  $\tilde{K}_{top}^0(S^2) = \mathbb{Z}$ , generated by the Bott element.

**Example 3.7.** Let  $S^0$  denote  $\{*, \star\} = *_+$ . According to our definitions, the  $K$ -theory  $K_{top}(*)$ , of a point equals the reduced  $K$ -theory of  $S^0$ . In particular, for  $n > 0$ ,

$$K_{top}^{-n}(*) = \tilde{K}_{top}^{-n}(S^0) = \tilde{K}_{top}^0(S^n) = \pi_n(BU).$$

Thus, we conclude

$$K_{top}^n(*) = \begin{cases} \mathbb{Z} & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$

We can reformulate this by writing

$$K_{top}^i(S^n) = \begin{cases} \mathbb{Z} & \text{if } i + n \text{ is even} \\ 0 & \text{if } i + n \text{ is odd} \end{cases}$$

**3.3. Spectra and Generalized Cohomology Theories.** Thus, both  $BO \times \mathbb{Z}$  and  $BU \times \mathbb{Z}$  are “infinite loop spaces” naturally determining  $\Omega$ -spectra in the following sense.

**Definition 3.8.** A spectrum  $\underline{E}$  is a of pointed spaces  $\{E^0, E^1, \dots\}$ , each of which has the homotopy type of a pointed C.W. complex, together with continuous structure maps  $\Sigma(E^i) \rightarrow E^{i+1}$ .

The spectrum  $\underline{E}$  is said to be an  $\Omega$ -spectrum if the *adjoint*  $E^i \rightarrow \Omega(E^{i+1})$  of each map is a homotopy equivalence; in other words, a sequence of pointed homotopy equivalences

$$E^0 \xrightarrow{\sim} \Omega E^1 \xrightarrow{\sim} \Omega^2 E^2 \xrightarrow{\sim} \dots \xrightarrow{\sim} \Omega^n E^n \rightarrow \dots$$

Each spectrum  $\underline{E}$  determines an  $\Omega$ -spectrum  $\tilde{\underline{E}}$  defined by setting

$$\tilde{E}_n = \varinjlim_j \Omega^j \Sigma^{j-n}(E_n).$$

The importance of  $\Omega$ -spectra is clear from the following theorem which asserts that an  $\Omega$ -spectrum determines a “generalized cohomology theory”

**Theorem 3.9.** (cf. [Spanier]) *Let  $\underline{E}$  be an  $\Omega$ -spectrum. For any topological space  $X$  with closed subspace  $A \subset X$ , set*

$$h_{\underline{E}}^n(X, A) = [(X, A), E^n], \quad n \geq 0$$

*Then  $(X, a) \mapsto h_{\underline{E}}^*(X, A)$  is a generalized cohomology theory; namely, this satisfies all of the Eilenberg-Steenrod axioms except that its value at a point (i.e.,  $(*, \emptyset)$ ) may not be that of ordinary cohomology:*

- (a.)  $h_{\underline{E}}^*(-)$  is a functor from the category of pairs of spaces to graded abelian groups.
- (b.) for each  $n \geq 0$  and each pair of spaces  $(X, A)$ , there is a functorial connecting homomorphism  $\partial : h_{\underline{E}}^n(A) \rightarrow h_{\underline{E}}^{n+1}(X, A)$ .
- (c.) the connecting homomorphisms of (b.) determine long exact sequences for every pair  $(X, A)$ .
- (d.)  $h_{\underline{E}}^*(-)$  satisfies excision: i.e., for every pair  $(X, A)$  and every subspace  $U \subset A$  whose closure lies in the interior of  $A$ ,  $h_{\underline{E}}^*(X, A) \simeq h_{\underline{E}}^*(X - U, A - U)$ .

Observe that in the above definition we use the notation  $h_{\underline{E}}^*(X)$  for  $h_{\underline{E}}^*(X, \emptyset) = h_{\underline{E}}^*(X_+, *)$ , where  $X_+$  is the disjoint union of  $X$  and a point  $*$ .

**Definition 3.10.** The (periodic) topological  $K$ -theories  $KO_{top}^*(-)$ ,  $K_{top}^*(-)$  are the generalized cohomology theories associated to the  $\Omega$ -spectra given by  $BO \times \mathbb{Z}$  and  $BU \times \mathbb{Z}$  with their deloopings given by Bott periodicity.

In particular, whenever  $X$  is a finite dimensional C.W. complex,

$$K_{top}^{2j}(X) = [X, BU \times \mathbb{Z}], \quad K_{top}^{2j-1}(X) = [X, U],$$

so that we recover our definition of  $K_{top}^0(X)$  (and similarly  $KO_{top}^0(X)$ ).

Let us restrict attention to  $K_{top}^*(X)$  which suffices to motivate our further discussion in algebraic K-theory. ( $KO_{top}^*(X)$  motivates Hermetian algebraic K-theory.) There are also other interesting generalized cohomology theories (e.g., cobordism theory represented by the infinite loop space  $MU$ ) which play a role in algebraic K-theory, and there are also more sophisticated equivariant K-theories, none of which will we discuss in these lectures.

Tensor product of vector bundles induces a multiplication

$$K_{top}^0(X) \otimes K_{top}^0(X) \rightarrow K_{top}^0(X)$$

for any finite dimensional C.W. complex  $X$ . This can be generalized by observing that tensor product induces group homomorphisms  $U(m) \times U(n) \rightarrow U(n+m)$  and thereby maps of classifying spaces

$$BU(m) \times BU(n) \rightarrow BU(n+m).$$

With a little effort, one can show that these multiplication maps are compatible up to homotopy with the standard embeddings  $U(m) \subset U(m+1), U(n) \subset U(n+1)$  and thereby give us a pairing

$$(BU \times \mathbb{Z}) \times (BU \times \mathbb{Z}) \rightarrow BU \times \mathbb{Z}$$

(factoring through the smash product). In this way,  $BU \times \mathbb{Z}$  has the structure of an  $H$ -space which induces a pairing of spectra and thus a multiplication for the generalized cohomology theory  $K_{top}^*(-)$ . (A completely similar argument applies to  $KO_{top}^*(-)$ ).

**Remark:** Each of the topological  $K$ -groups,  $K_{top}^{-i}(X)$ ,  $i \in \mathbb{N}$ , is given as  $K_{top}^0(\Sigma^i X)$  where  $\Sigma^i X$  is the  $i^{th}$  suspension of  $X$ . On the other hand, algebraic K-groups in non-zero degree are not easily related to the algebraic  $K_0$  of some associated ring.

As an example of how topological  $K$ -theory inspired even the early (very algebraic) effort in algebraic  $K$ -theory we mention the following classical theorem of Hyman Bass. The analogous result in topological K-theory for rank  $e$  vector bundles over a finite dimension C.W. complex of dimension  $d < e$  can be readily proved using the standard method of “obstruction theory”.

**Theorem 3.11.** (*Bass stability theorem*) *Let  $A$  be a commutative, noetherian ring of Krull dimension  $d$ . Then for any two projective  $A$ -modules  $P, P'$  of rank  $e > d$ , if  $[P] = [P'] \in K_0(A)$  then  $P$  must be isomorphic to  $P'$ .*

**3.4. Skeleta and Postnikov towers.** If  $X$  is a C.W. complex then we can define its **p-skeleton**  $sk_p(X)$  for each  $p \geq 0$  as the subspace of  $X$  consisting of the union of those cells of dimension  $\leq p$ . Thus, the C.W. complex can be written as the union (or colimit) of its skeleta,

$$X = \cup_p sk_p(X).$$

There is a standard way to “chop off” the bottom homotopy groups of a space (or an  $\Omega$ -spectrum) using an analogue of the universal covering space of a space (which “chops off” the fundamental group).

**Definition 3.12.** Let  $X$  be a C.W. complex. For each  $n \geq 0$ , construct a map  $X \rightarrow X[n]$  by attaching cells (proceeding by dimension) to kill all homotopy groups of  $X$  above dimension  $n - 1$ . Define

$$X^{(n)} \text{ to } X, \quad \text{htyfib}\{X \rightarrow X[n]\}.$$

So defined,  $X^{(n)} \rightarrow X$  induces an isomorphism on homotopy groups  $\pi_i$ ,  $i \geq n$  and  $\pi_j(X^{(n)}) = 0$ ,  $j \leq n$ .

The **Postinov tower** of  $X$  is the sequence of spaces

$$X \rightarrow \dots \rightarrow X^{(n+1)} \rightarrow X^{(n)} \rightarrow \dots$$

Thus,  $X$  can be viewed as the “homotopy inverse limit” of its Postnikov tower.

Algebraic K-theory corresponds most closely the topological  $K$ -theory which is obtained by replacing the  $\Omega$ -spectrum  $\underline{K} = \underline{BU} \times \mathbb{Z}$  by  $\underline{kU} = \underline{bu} \times \mathbb{Z}$  obtained by taking at stage  $i$  the  $i^{\text{th}}$  connected cover of  $BU \times \mathbb{Z}$  starting at stage 0. The associated generalized cohomology theory is denoted  $kU^*(-)$  and satisfies

$$kU^i(X) \simeq K_{top}^i(X), \quad i \leq 0.$$

**3.5. The Atiyah-Hirzebruch Spectral sequence.** The Atiyah-Hirzebruch spectral sequence for topological K-theory has been a strong motivating factor in recent developments in algebraic K-theory. Indeed, perhaps the fundamental criterion for *motivic cohomology* is should satisfy a relationship to algebraic K-theory strictly analogous to the relationship of singular cohomology to topological K-theory.

**Theorem 3.13.** (*Atiyah-Hirzebruch spectral sequence*) For any generalized cohomology theory  $h_{\underline{E}}^*(-)$  and any topological space  $X$ , there exists a right half-plane spectral sequence of cohomological type

$$E_2^{p,q} = H^p(X, h^q(*)) \Rightarrow h_{\underline{E}}^{p+q}(X).$$

The filtration on  $h_{\underline{E}}^*(X)$  is given by

$$F^p E_{\infty}^* = \ker\{h_{\underline{E}}^*(X) \rightarrow h_{\underline{E}}^*(sk_p(X))\}.$$

In the special case of  $K_{top}^*(-)$ , this takes the following form

$$E_2^{p,q} = H^p(X, \mathbb{Z}(q/2)) \Rightarrow K_{top}^{p+q}(X)$$

where  $\mathbb{Z}(q/2) = \mathbb{Z}$  if  $q$  is even and 0 otherwise.

In the special case of  $kU^*(-)$ , this takes the following form

$$E_2^{p,q} = H^p(X, \mathbb{Z}(q/2)) \Rightarrow kU^{p+q}(X)$$

where  $\mathbb{Z}(q/2) = \mathbb{Z}$  if  $q$  is an even non-positive integer and 0 otherwise.

*Proof.* There are two basic approaches to proving this spectral sequence. The first is to assume  $T$  is a cell complex, then consider  $T$  as a filtered space with  $T_n \subset T$  the union of cells of dimension  $\leq n$ . The properties of  $K_{top}^*(-)$  stated in the previous theorem give us an exact couple associated to the long exact sequences

$$\cdots \rightarrow \oplus K_{top}^q(S^n) \simeq K_{top}^q(T_n/T_{n-1}) \rightarrow K_{top}^q(T_n) \rightarrow K_{top}^q(T_{n-1}) \rightarrow \oplus K_{top}^{q+1}(S^n) \rightarrow \cdots$$

where the direct sum is indexed by the  $n$ -cells of  $T$ .

The second approach applies to a general space  $T$  and uses the Postnikov tower of  $BU \times \mathbb{Z}$ . This is a tower of fibrations whose fibers are Eilenberg-MacLane spaces for the groups which occur as the homotopy groups of  $BU \times \mathbb{Z}$ .

What is a spectral sequence of cohomological type? This is the data of a 2-dimensional array  $E_r^{p,q}$  of abelian groups for each  $r \geq r_0$  (typically,  $r_0$  equals 0, or 1 or 2; in our case  $r_0 = 2$ ) and homomorphisms

$$d_r^{p,q} : E_r^{p,q} \rightarrow E_r^{p+r, q-r+1}$$

such that the next array  $E_{r+1}^{p,q}$  is given by the cohomology of these homomorphisms:

$$E_{r+1}^{p,q} = \ker\{d_r^{p,q}\} / \text{im}\{d_r^{p-r, q+r-1}\}.$$

To say that the spectral sequence is “right half plane” is to say  $E_r^{p,q} = 0$  whenever  $p < 0$ . We say that the spectral sequence **converges to the abutment**  $E_\infty^*$  (in our case  $h_{\underline{E}}^*(X)$ ) if at each spot  $(p, q)$  there are only finitely many non-zero homomorphisms going in and going out and if there exists a decreasing filtration  $\{F^p E_\infty^n\}$  on each  $E_\infty^n$  so that

$$E_\infty^n = \bigcup_p F^p E_\infty^n, \quad 0 = \bigcap_p F^p E_\infty^n,$$

$$F^p E_\infty^n / F^{p+1} E_\infty^n = E_R^{p, n-p}, \quad R \gg 0.$$

□

The Postnikov tower argument together with a knowledge of the  $k$ -invariants of  $BU \times \mathbb{Z}$  shows that after tensoring with  $\mathbb{Q}$  this Atiyah-Hirzebruch spectral sequence collapses; in other words, that  $E_2^{*,*} \otimes \mathbb{Q} = E_\infty^{*,*} \otimes \mathbb{Q}$ .

**Theorem 3.14.** (Atiyah-Hirzebruch) *Let  $X$  be a C.W. complex. Then there are isomorphisms*

$$kU^0(X) \otimes \mathbb{Q} \simeq H^{ev}(X, \mathbb{Q}), \quad kU^{-1}(X) \otimes \mathbb{Q} \simeq H^{odd}(X, \mathbb{Q}).$$



These isomorphisms are induced by the *Chern character*

$$ch = \sum_i ch_i : K_0(-) \rightarrow H^{ev}(-, \mathbb{Q})$$

discussed in Lecture 4.

While we are discussing spectral sequences, we should mention the following:

**Theorem 3.15.** (*Serre spectral sequence*) Let  $(B, b)$  be a connected, pointed C.W. complex. For any fibration  $p : E \rightarrow B$  of topological spaces with fibre  $F = p^{-1}(b)$  and for any abelian group  $A$ , there exists a convergent first quadrant spectral sequence of cohomological type

$$E_2^{p,q} = H^p(B, H^q(F, A)) \Rightarrow H^{p+q}(E, A)$$

provided that  $\pi_1(B, b)$  acts trivially on  $H^*(F, A)$ .

The non-existence of an analogue of the Serre spectral sequence in algebraic geometry (for cohomology theories based on algebraic cycles or algebraic K-theory) presents one of the most fundamental challenges to computations of algebraic K-groups.

**3.6. K-theory Operations.** There are several reasons why topological K-theory has sometimes proved to be a more useful computational tool than singular cohomology.

- $K_{top}^0(-)$  can be torsion free, even though  $H^{ev}(-, \mathbb{Z})$  might have torsion. This is the case, for example, for compact Lie groups.
- $K_{top}^*(-)$  is essentially  $\mathbb{Z}/2$ -graded rather than graded by the natural numbers.
- $K_{top}^*(-)$  has interesting cohomology operations not seen in cohomology. These operations originate from the observation that the exterior products  $\Lambda^i(P)$  of a projective module  $P$  are likewise projective modules and the exterior products  $\Lambda^i(E)$  of a vector bundle  $E$  are likewise vector bundles.

**Definition 3.16.** Let  $X$  be a finite dimensional C.W. complex and  $E \rightarrow X$  be a topological vector bundle of rank  $r$ . Define

$$\lambda_t(E) = \sum_{i=0}^r [\Lambda^i E] t^i \in K_{top}^0(X)[t],$$

a polynomial with constant term 1 and thus an invertible element in  $K_{top}^0(X)[[t]]$ . Extend this to a homomorphism

$$\lambda_t : K_{top}^0(X) \rightarrow (1 + K_{top}^0(X)[[t]])^*,$$

(using the fact that  $\lambda_t(E \oplus F) = \lambda_t(E) \cdot \lambda_t(F)$ ) and define  $\lambda^i : K_{top}^0(T) \rightarrow K_{top}^0(T)$  to be the coefficient of  $t^i$  of  $\lambda_t$ .

For a general topological space  $X$ , define these  $\lambda$  operations on  $K_{top}^0(X)$  for by defining them first on the universal vector bundles over Grassmannians and using the functoriality of  $K_{top}^0(-)$ .

In particular, J. Frank Adams introduced operations

$$\psi^k(-) : K_{top}^0(-) \rightarrow K_{top}^0(-), \quad k > 0$$

(called **Adams operations**) which have many applications and which are similarly constructed for algebraic K-theory.

**Definition 3.17.** For any topological space  $T$ , define

$$\psi_t(x) = \sum_{i \geq 0} \psi^i(X) t^i \equiv \text{rank}(x) - t \cdot \frac{d}{dt}(\log \lambda_{-t}(x))$$

for any  $x \in K_{top}^0(T)$ .

The *Adams operations*  $\psi^k$  satisfy many good properties, some of which we list below.

**Proposition 3.18.** For any topological space  $T$ , any  $x, y \in K_{top}^0(T)$ , any  $k > 0$

- $\psi^k(x + y) = \psi^k(x) + \psi^k(y)$ .
- $\psi^k(xy) = \psi^k(x)\psi^k(y)$ .
- $\psi^k(\psi^\ell(x)) = \psi^{k\ell}(x)$ .
- $ch_q(\psi^k(x)) = k^q ch_q(x) \in H^{2q}(T, \mathbb{Q})$ .
- $\psi^p(x)$  is congruent modulo  $p$  to  $x^p$  if  $p$  is a prime number.
- $\psi^k(x) = x^k$  whenever  $x$  is a line bundle

In particular, if  $E$  is a sum of line bundles  $\oplus_i L_i$ , then  $\psi^k(E) = \oplus((L_i)^k)$ , the  $k$ -th power sum. By the splitting principle, this property alone uniquely determines  $\psi^k$ .

We introduce further operations, the  $\gamma$ -operations on  $K_0^{top}(T)$ .

**Definition 3.19.** For any topological space  $T$ , define

$$\gamma_t(x) = \sum_{i \geq 0} \gamma^i(X) t^i \equiv \lambda_{t/1-t}(x)$$

for any  $x \in K_{top}^0(T)$ .

Basic properties of these  $\gamma$ -operations include the following

- (1)  $\gamma_t(x + y) = \gamma_t(x)\gamma_t(y)$
- (2)  $\gamma([L] - 1) = 1 + t([L] - 1)$ .
- (3)  $\lambda_s(x) = \gamma_{s/1+s}(x)$

Using these  $\gamma$  operations, we define the  $\gamma$  filtration on  $K_{top}^0(T)$  as follows.

**Definition 3.20.** For any topological space  $T$ , define  $K_{top}^{\gamma,1}(T)$  as the kernel of the rank map

$$K_{top}^{\gamma,1}(T) \equiv \ker\{\text{rank} : K_{top}^0(T) \rightarrow K_{top}^0(\pi_0(T))\}.$$

For  $n > 1$ , define

$$K_{top}^0(T)^{\gamma,n} \subset K_{top}^{\gamma,0}(T) \equiv K_{top}^0(T)$$

to be the subgroup generated by monomials  $\gamma^{i_1}(x_1) \cdots \gamma^{i_k}(x_k)$  with  $\sum_j i_j \geq n, x_i \in K_{top}^{\gamma,1}(T)$ .

**3.7. Applications.** We can use the Adams operations and the  $\gamma$ -filtration to describe in the following theorem the relationship between  $K_{top}^0(T)$ , a group which has no natural grading, and the graded group  $H^{ev}(T, \mathbb{Q})$ .

**Theorem 3.21.** *Let  $T$  be a finite cell complex. Then for any  $k > 0$ ,  $\psi^k$  restricts to a self-map of each  $K_{top}^{\gamma,n}(T)$  and satisfies the property that it induces multiplication by  $k^n$  on the quotient*

$$\psi^k(x) = k^n \cdot x, \quad x \in K_{top}^{\gamma,n}(T)/K_{top}^{\gamma,n+1}(T).$$

Furthermore, the Chern character  $ch$  induces an isomorphism

$$ch_n : K_{top}^{\gamma,n}(T)/K_{top}^{\gamma,n+1}(T) \otimes \mathbb{Q} \simeq H^{2n}(T, \mathbb{Q}).$$

In particular, the preceding theorem gives us a K-theoretic way to define the grading on  $K_{top}^0(T) \otimes \mathbb{Q}$  induced by the Chern character isomorphism. The graded piece of (the associated graded of)  $K_{top}^0(T) \otimes \mathbb{Q}$  corresponding to  $H^{2n}(T, \mathbb{Q})$  consists of those classes  $x$  for which  $\psi^k(x) = k^n x$  for some (or all)  $k > 0$ .

Here is a short list of famous theorems of Adams using topological K-theory and Adams operations:

**Application 3.22.** *Adams used his operations in topological K-theory to solve fundamental problems in algebraic topology. Examples include:*

- *Determination of the number of linearly independent vector fields on the  $n$ -sphere  $S^n$  for all  $n > 1$ .*
- *Determination of the only dimensions (namely,  $n = 1, 2, 4, 8$ ) for which  $\mathbb{R}^n$  admits the structure of a division algebra. (The examples of the real numbers  $\mathbb{R}$ , the complex numbers  $\mathbb{C}$ , the quaternions, and the Cayley numbers gives us structures in these dimensions.)*
- *Determination of those (now well understood) elements of the homotopy groups of spheres associated with  $KO_{top}^0(S^n)$ .*